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Elser, Michael J, Neige, Ellie, Berger, Thomas et al. (2023) On the Importance of Nanoparticle Necks and Carbon Impurities for Charge Trapping in TiO<sub>2</sub>. Journal of Physical Chemistry C. pp. 8778-8787. ISSN: 1932-7455

<https://doi.org/10.1021/acs.jpcc.3c00430>

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# On the Importance of Nanoparticle Necks and Carbon Impurities for Charge Trapping in TiO<sub>2</sub>

Michael J. Elser, Ellie Neige, Thomas Berger, Mario Chiesa, Elio Giamello, Keith McKenna,\*  
Thomas Risse, and Oliver Diwald\*



Cite This: *J. Phys. Chem. C* 2023, 127, 8778–8787



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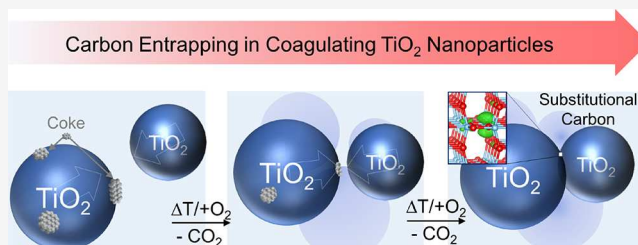
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**ABSTRACT:** Particle attachment and neck formation inside TiO<sub>2</sub> nanoparticle networks determine materials performance in sensing, photo-electrochemistry, and catalysis. Nanoparticle necks can feature point defects with potential impact on the separation and recombination of photogenerated charges. Here, we investigated with electron paramagnetic resonance a point defect that traps electrons and predominantly forms in aggregated TiO<sub>2</sub> nanoparticle systems. The associated paramagnetic center resonates in the *g* factor range between *g* = 2.0018 and 2.0028. Structure characterization and electron paramagnetic resonance data suggest that during materials processing, the paramagnetic electron center accumulates in the region of nanoparticle necks, where O<sub>2</sub> adsorption and condensation can occur at cryogenic temperatures. Complementary density functional theory calculations reveal that residual carbon atoms, which potentially originate from synthesis, can substitute oxygen ions in the anionic sublattice, where they trap one or two electrons that mainly localize at the carbon. Their emergence upon particle neck formation is explained by the synthesis- and/or processing-induced particle attachment and aggregation facilitating carbon atom incorporation into the lattice. This study represents a substantial advance in linking dopants, point defects, and their spectroscopic fingerprints to microstructural features of oxide nanomaterials.



## INTRODUCTION

Point defects play a key role in functional metal oxides and there is a continuous need to increase our understanding of their formation, their stability, and their influence on the materials properties.<sup>1–5</sup> Apart from their importance for mass transport and sintering, point defects in intergranular regions can serve as trapping sites to promote charge carrier recombination and/or to retard transport of charge carriers for their collection in energy conversion processes. At a larger observation scale than point defects, solid–solid interfaces that correspond to particle necks are important structural features inside nanoparticle-based ensembles. Interparticle necking was shown to have a substantial impact for catalytic, photocatalytic, photoelectronic, and electronic applications.<sup>3–7</sup> The exploration of potential interconnections between morphological features, the abundance of point defects, and spectroscopic fingerprints<sup>8–12</sup> represents a major challenge in defect engineering in functional materials.

In oxygen-deficient and electronically reduced titanium dioxide (TiO<sub>2-x</sub>), the excess spin density is distributed over the titanium cations surrounding the defect,<sup>13–15</sup> which corresponds to the electronic reduction of Ti<sup>4+</sup> to Ti<sup>3+</sup> ions. In terms of a charge compensation mechanism, this differs from those of paramagnetic defects in other metal oxides where the excess electron density is localized at the vacancy

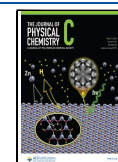
site<sup>16</sup> comparable to F<sup>+</sup> centers in insulators of pronounced ionicity, such as in alkali halides or alkaline earth oxides.<sup>11,17</sup>

In the materials science community, there seems to be agreement that the electronic structure of the impurity-free TiO<sub>2</sub> polymorphs rutile and anatase do not provide sufficient stabilization for electron trapping inside the center of the vacancy.<sup>18,19</sup> On the other hand, symmetrical EPR signals with *g* factors in the range between the free spin value *g* = 2.0023 and *g* = 2.004 were directly assigned in a number of reports and without significant proofs to single electron oxygen vacancy centers in TiO<sub>2</sub>.<sup>20–24</sup> Authors of related studies connect their observations to the early work of Serwicka et al.,<sup>25–27</sup> who found that the adsorption of electron acceptors such as O<sub>2</sub>, SO<sub>2</sub>, or SF<sub>6</sub> on oxygen-deficient TiO<sub>2</sub> increases the intensity of an isotropic signal at *g* = 2.003. She attributed this phenomenon to the adsorption-induced localization of conduction band electrons at oxygen vacancy sites. Later studies by other groups even suggested a correlation between

Received: January 19, 2023

Revised: April 13, 2023

Published: May 3, 2023



this defect and photocatalytic activity of TiO<sub>2</sub> in the range of visible light.<sup>20,28</sup> A recent defect characterization study on mesoporous and nanocrystalline TiO<sub>2</sub> nanoparticle networks<sup>29</sup> reports an interesting charge/discharge behavior that occurs upon materials exposure to slow electrons. Related materials, which provide trapping sites for long-lived charge carriers – electrons and holes at room temperature and in the dark – were found to exhibit enhanced activity for photocatalytic CO<sub>2</sub> reduction.<sup>29</sup>

A series of studies related to the design of photocatalysts have focused on the impact of carbon admixture to the TiO<sub>2</sub> lattice in a concentration range between impurity doping,<sup>30</sup> to oxycarbides, and finally to titanium carbides TiC.<sup>31,32</sup> Some of these studies also included EPR data and reported the presence of the abovementioned EPR resonance<sup>21,24,33–36</sup> without directly connecting the origin of the EPR center to carbon.

A direct linkage between the abundance of spectroscopically accessible point defects that participate in electron trapping, charge carrier recombination and altered surface reactivity,<sup>28,37</sup> and microstructural nanoparticle arrangements is a key issue in materials design. The present study will explore the correspondence between the abundance of the abovementioned paramagnetic sites and the structural and microstructural powder properties of polycrystalline TiO<sub>2</sub> materials as their hosts. From previous investigations, we learned that independent synthetic pathways can induce particle aggregation and particle necking and – at the same time – favor the formation of these paramagnetic point defects.<sup>1</sup> Moreover, another focus of this study is to revisit the adsorption-induced formation and/or intensity enhancement of EPR signals close to the free electron *g* value. On TiO<sub>2</sub>, such effects have previously been associated with a localization of conduction band electrons involving electron centers in the surface and/or near surface region of polycrystalline TiO<sub>2</sub>.<sup>25–27</sup> We address the question of whether localization of conduction band electrons at specific defects or the direct interaction between isolated paramagnetic defects with O<sub>2</sub> can explain these phenomena.

The structure of the paper is as follows: first, we present results on TiO<sub>2</sub> nanoparticle samples synthesized by flame spray pyrolysis (FSP), which exhibit significant concentrations of particle–particle interfaces and necks and exhibit a paramagnetic electron center with a signal around the free electron *g* value. Motivated by this, we review materials synthesis and processing approaches that lead to enhanced concentrations of particle necks and solid–solid interfaces hosting such defects. In the following section, we describe and analyze results from a detailed EPR investigation of the electron center. Supported by density functional theory (DFT) calculations that explore the paramagnetic properties of substitutional carbon atoms inside the TiO<sub>2</sub> anatase lattice in detail, we can now rationalize the emergence of these trapping sites in networks of interconnected TiO<sub>2</sub> nanocrystals. An alternative explanation for the previously reported adsorption-induced localization of conduction band electrons will be presented.<sup>25–27</sup>

## EXPERIMENTAL SECTION

**Gas Phase Synthesis of TiO<sub>2</sub> Nanoparticles.** TiO<sub>2</sub> nanoparticles were synthesized either by metal–organic chemical vapor synthesis (MOCVS) or by flame spray pyrolysis (FSP):

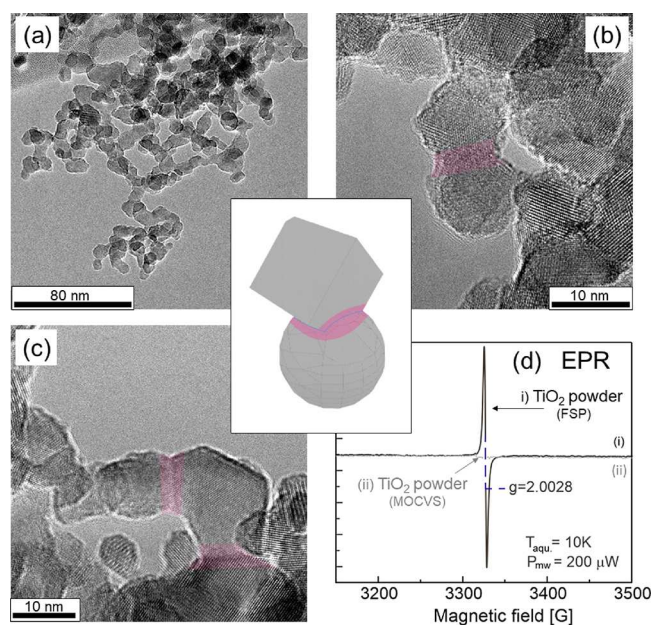
**Metal–Organic Chemical Vapor Synthesis (MOCVS).** The MOCVS reactor consists of a fused silica tube placed inside a cylindrical furnace and a preheating zone to evaporate the precursor (Ti(IV) isopropoxide, Sigma-Aldrich, 99.999%) at *T* = 393 K.<sup>38</sup> Argon gas (5.0) transports the gaseous precursor from the preheating zone into the furnace, where decomposition occurs at 1073 K. Stable process conditions are guaranteed by the spatial separation of the precursor evaporation and the reaction zone. Continuous pumping keeps the residence time of resulting nuclei within the reactor short and prevents substantial coarsening and coalescence.

**Flame Spray Pyrolysis (FSP).** A precursor solution of titanium tetra isopropoxide (TTIP, Sigma-Aldrich, 97%) in toluene solution was prepared (anhydrous, Sigma-Aldrich, 99.8%). The solution was then injected by a syringe pump with a flow rate of 2 mL·min<sup>−1</sup> into the nozzle of the flame burner and atomized by oxygen as dispersion gas. Further process details are described in refs 39 and 40.

The as-synthesized nanoparticle powder was annealed in oxygen and vacuum to remove carbon remnants and residual water from the particle surfaces. Heating rates, dwell times, and environmental gas atmospheres were as follows: first, the powder was annealed under high vacuum conditions to 873 K using a heating rate of 10 K·min<sup>−1</sup>. This temperature was held for 60 min under continuous pumping *p* < 10<sup>−5</sup> mbar. Finally, 20 mbar of oxygen was introduced for 30 min followed by evacuation for an additional 30 min. The oxygen admission–evacuation cycle was repeated two times. After a final oxygen admission step, the sample was cooled down in an oxygen atmosphere to *T* < 493 K in order to achieve a stoichiometric composition inside the TiO<sub>2</sub> nanoparticle powder.

**Materials Characterization by XRD and TEM.** X-ray diffraction (XRD) measurements were performed on a Bruker AXS D8 Advance diffractometer using Cu K $\alpha$  radiation ( $\lambda$  = 154 pm). Crystalline domain sizes *d*<sub>XRD</sub> were determined from powder diffraction data using the Debye–Scherrer equation. Transmission electron microscopy (TEM) data were obtained using a JEOL JEM-F200 cold field emission transmission electron microscope (Jeol Ltd., Tokyo, Japan) operating at 200 kV. Images were recorded using a TVIPS F216 2k by 2k CMOS camera (TVIPS GmbH, Gauting, Germany) and the samples were measured on lacey carbon grids coated with copper.

**Electron Paramagnetic Resonance.** For EPR measurements, the powder sample was inside a Suprasil quartz glass tube connected to an appropriate high vacuum pumping system (*p* < 10<sup>−6</sup> mbar). Spectra acquired on MOCVS and FSP grown TiO<sub>2</sub> nanoparticle powders (Figure 1) were performed with a Bruker EMXplus-10/12/P/L X-band spectrometer equipped with a waveguide Cryogen-Free System from Oxford Instruments. The spectra were recorded at 10 K with a field modulation frequency of 100 kHz, modulation amplitude of 0.2 mT, and microwave frequency of 9.30 GHz. Spin quantification was carried out with the Xenon software from Bruker. The detailed EPR analysis discussed along Figures 3, 5, and 6 was carried out with a Bruker EMX 10/12 spectrometer using a Bruker ER 4102ST standard rectangular resonant cavity in the TE102 mode. The *g* values were determined on the basis of a DPPH standard. For quantitative measurements, the spin concentrations were obtained by double integration of EPR signals, which were measured at *T* = 77 K using a microwave power of 200  $\mu$ W.



**Figure 1.** TEM images (a–c) and representative EPR spectrum (d) related to  $\text{TiO}_2$  nanoparticle powders that were synthesized by flame spray pyrolysis (FSP). The representative EPR spectra in panel (d) relate to oxidized FSP grown material (i) in comparison to that synthesized by metal organic chemical vapor synthesis (MOCVS) (ii).<sup>1,38</sup> Different from the MOCVS nanoparticle powders, FSP powders show high concentrations of necks between nanoparticles (highlighted in panels (b) and (c)). The EPR spectra in panel (d) were acquired on nanoparticle powders after identical oxygen treatment protocols at 873 K. Only for the FSP grown powder we measured an isotropic signal at  $g = 2.0028$ .

**Density Functional Theory Calculations.** DFT calculations for bulk anatase  $\text{TiO}_2$  with and without carbon defects were performed using the CP2K simulation package and the truncated PBE0 hybrid-DFT exchange–correlation functional PBE0-TR-LRC.<sup>41</sup> This functional has been parameterized to minimize self-interaction errors in the description of localized electron and hole polarons by variation of the percentage of Hartree–Fock exchange ( $\alpha$ ) to ensure that Koopmans’ condition (a necessary requirement for an exact functional) is satisfied.<sup>42</sup> The optimized functional for anatase ( $\alpha = 11.5\%$ ) predicts optimized lattice constants of  $a = 3.795 \text{ \AA}$ ,  $c = 9.607 \text{ \AA}$  and a single electron band gap of 2.93 eV in good agreement with available experimental data,<sup>18,30</sup> and more generally the generalized Koopmans’ condition approach has been shown to yield extremely good densities and quasiparticle energy gaps when compared against exact solution of the many-electron Schrödinger equation for simple model systems.<sup>43</sup> More details on the approach for parameterization are given in refs 42 and 43. Triple  $\zeta$  basis sets were used for titanium, oxygen, and carbon<sup>44,45</sup> and the Goedecker–Teter–Hutter pseudopotentials available within CP2K.<sup>46–48</sup> The plane wave energy cutoff, a reference grid that controls the Gaussian mapping onto the multigrid, is set to 60 Ry. Five multigrids are used for mapping products of Gaussians onto a real-space integration grid with a cutoff of 600 Ry for the finest level of the multigrid. The electronic convergence was set to  $1 \times 10^{-6}$  Ry per self-consistent field (SCF) cycle, and the Broyden–Fletcher–Goldfarb–Shanno method is used for optimization of cell vectors and bulk geometries until forces were below  $8 \times 10^{-4}$  Ry/ $a_0$  (0.02 eV/ $\text{\AA}$ ). The use of the auxiliary density matrix

method reduces the computational cost of the expensive Hartree–Fock integrals permitting calculations on very large supercells. A  $5 \times 5 \times 2$  (600 atoms) anatase supercell with dimensions  $18.977 \times 18.977 \times 19.214 \text{ \AA}$  was used for modeling a single carbon substituting an oxygen site defect ( $\text{C}_\text{O}$ ). The supercell structure was optimized at constant volume for  $q = 0, -1$ , and  $-2$  e charge states of the defect. The one-electron energy levels were referenced to the bulk valence band edges to produce electronic structure diagrams for the defects. The structure and spin density of the  $\text{C}_\text{O}^{-1}$  defect was visualized using the VESTA program.<sup>49</sup>

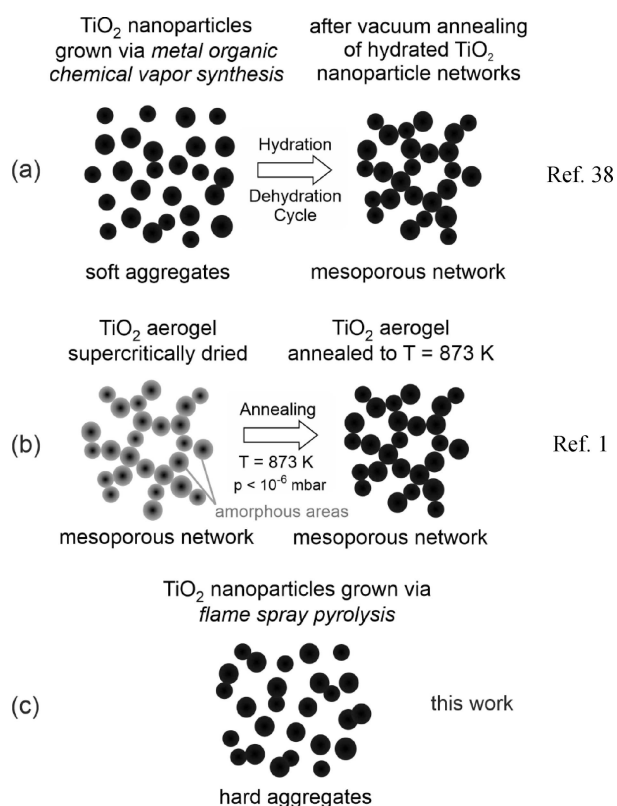
## RESULTS AND DISCUSSION

Flame spray pyrolysis (FSP) is a well-suited synthesis technique for the production of  $\text{TiO}_2$  nanoparticles.<sup>39,50–55</sup> In agreement with other studies,<sup>56</sup> the particle systems that were synthesized here are characterized by uniform and narrow particle size distributions, and the average values coincide with those of the average crystallite domain size as derived from XRD reflection broadening and application of the Scherrer equation (Supporting Information, Figures S1 and S2). After controlled oxidation treatment of the nanoparticle powders, the 13 nm primary particles form stable aggregates and – as evidenced in the TEM micrographs of Figure 1a–c – host significant concentrations of solid–solid interfaces and necks between the particles.

$\text{TiO}_2$  anatase nanoparticles from metal organic chemical vapor synthesis (MOCVS) show comparable crystallinity, particle size (Figures S1 and S2, Supporting Information), and morphology.<sup>55</sup> After powder synthesis and processing, the resulting particle ensembles form soft aggregates (agglomerates) of more open structure, where no evidence for incomplete particle fusion and necking between different particles was obtained by TEM.<sup>38</sup> This is in contrast to the FSP derived material (Figure 1a–c). Apart from other fundamental FSP powder properties (crystallinity, particle size, and morphology) that are comparable to those of the MOCVS derived powders (Supporting Information, Figures S1 and S2), we identified an intense EPR signal at  $g = 2.0028$  for the FSP material (Figure 1d, (i) black trace), that is absent in the spectra of the MOCVS derived powder (Figure 1d, (ii) gray trace) despite identical parameters for materials processing. The signal saturation characteristics and temperature dependence are identical to those for strongly aggregated nanoparticle systems<sup>1</sup> and will be described in detail below.

**Approaches to Generate Hard  $\text{TiO}_2$  Nanoparticle Aggregates with Particle Necks.** Figure 2 summarizes different synthetic approaches to either generate continuous  $\text{TiO}_2$  nanoparticle networks made up from primary anatase nanocrystals with diameters of less than 15 nm (a and b) or nanocrystal powders where larger concentrations of particle necks result from synthesis and processing. Samples that were produced via metal organic chemical vapor deposition (MOCVS) correspond to powders of loosely agglomerated crystalline particles.<sup>57</sup> The particles aggregate and form solid–solid interfaces when brought into contact with condensed water and subjected to a hydration–dehydration cycle with a final annealing step in vacuum ( $p < 10^{-5}$  mbar).<sup>1,38</sup>

Alternatively, sol–gel processing of ethanediolato(titanate) (EGMT) for  $\text{TiO}_2$  aerogel synthesis induces nucleation and growth of particles as nanocrystalline nodes that are interconnected via amorphous necks and bridges. Thermal annealing at  $T = 873 \text{ K}$  completes crystallization at the expense



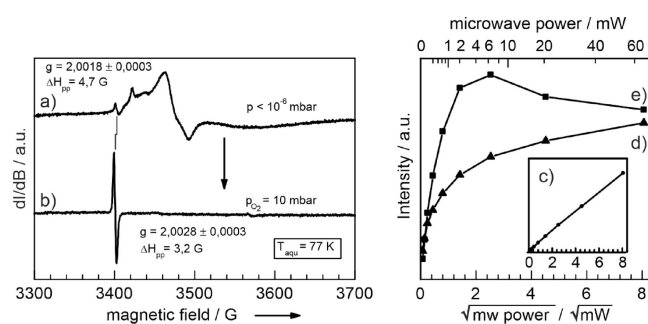
**Figure 2.** Scheme illustrating different approaches to generate TiO<sub>2</sub> nanoparticle powders and nanoparticle networks with enhanced concentrations of particle–particle interfaces and necks.

of the amorphous moieties (Figure 2b). As reported in detail elsewhere,<sup>1</sup> processes (a) and (b) lead to nano- and microstructures that strongly resemble each other in terms of their primary particle size, crystallinity, and aggregate structures.

Pore size distributions of annealed TiO<sub>2</sub> aerogels (Figure 2b) and hydrated TiO<sub>2</sub> nanoparticle networks (Figure 2a) together with electron microscopy and XRD data<sup>1,38</sup> point to mesoporous nanoparticle networks with a high abundance of particle–particle interfaces and necking regions between the individual particles. Although extended hard particle aggregates, where most of the particles are connected to their neighbors via solid–solid interfaces, i.e., necks (Figure 2a,b), do not exist in FSP grown materials (Figures 1a–c and 2c), in such materials, we found considerable concentrations of particle dimers and trimers that again exhibit particle necking as a result of incomplete particle fusion during particle growth in the flame.

We now turn to the paramagnetic particle properties of materials systems derived from the three approaches a, b, and c in Figure 2. We exemplify this for a mesoporous nanoparticle network derived from metal organic chemical vapor synthesis (MOCVS). Particle aggregation and formation of an electronically reduced mesoporous nanoparticle network was achieved by applying a hydration–dehydration cycle to dry nanoparticle powders followed by vacuum annealing to 873 K (see scheme of Figure 2a).

In the EPR spectrum of a reduced TiO<sub>2-x</sub> nanoparticle network, the high magnetic field range (Figure 3a) shows a complex signal envelope that is specific to Ti<sup>3+</sup> (d') ions, the electronic and geometric structure of which was previously

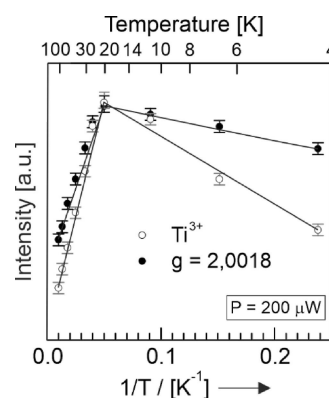


**Figure 3.** Left: X-band CW-EPR spectra of vacuum annealed (i.e., reduced) TiO<sub>2-x</sub> nanoparticle networks and recorded at 77 K. These were measured either (a) under dynamic vacuum or (b) in an O<sub>2</sub> atmosphere after room temperature admission of 10 mbar O<sub>2</sub> from the gas line connected to the spectrometer system to the sample cell. Right: microwave power saturation plots (T<sub>aqu</sub> = 77 K) for the signals presented in the left panel; (c) Ti<sup>3+</sup> ions (from spectrum a); (d) EPR center at g = 2.0018 in vacuum (spectrum a); (e) EPR center at g = 2.0028 generated and measured in the presence of adsorbed O<sub>2</sub> (spectrum b).

analyzed in great detail.<sup>8,58,59</sup> There is an additional weak signal at g = 2.0018, which is typically observed in particle systems that are rich in solid–solid interfaces.<sup>1</sup> Conversely, it has not been detected in dry processed MOCVS TiO<sub>2</sub> powders, which are made up of loosely agglomerated particles. While the Ti<sup>3+</sup> species do not show any saturation effect in the microwave power range 1 ≤ P<sub>MW</sub> ≤ 60 mW (Figure 3c), the isotropic signal at g = 2.0018 (Figure 3d) saturates at P<sub>MW</sub> > 2 mW (Figure 3e).

Adsorbed oxygen has three major effects on the EPR properties of TiO<sub>2-x</sub> nanoparticle networks (Figure 3b): (i) O<sub>2</sub> bleaches the Ti<sup>3+</sup> specific resonances, (ii) shifts the resonance position of the isotropic signal from g = 2.0018 to 2.0028, and (iii) gives rise to a significant signal intensity enhancement of the latter signal.

Figure 4 shows the intensities of the two EPR signals as a function of inverse temperature for the vacuum annealed TiO<sub>2-x</sub> sample (Figure 3a) in the range between 4 and 100 K



**Figure 4.** T dependence of the EPR signal intensities related to Ti<sup>3+</sup> sites (open circles) and the electron center at g = 2.0018 (full circles) in vacuum annealed (i.e., reduced) TiO<sub>2-x</sub> nanoparticle networks (see also Figure 3a). The integral intensity values for T = 20 K were normalized for the sake of comparison. Please note that the Ti<sup>3+</sup>-related EPR signal intensities are at least one order of magnitude larger than those related to the electron center.

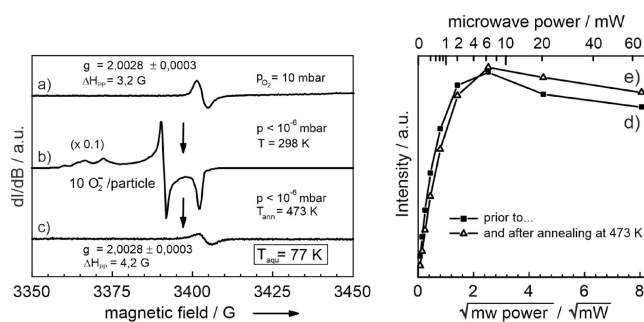
(Figure 4). With constant linewidth and signal shape, the two signals exhibit the intensity trends plotted in Figure 4a,b.

Between 100 and 20 K, the intensities of both signals increase linearly with inverse temperature as expected by the Curie law (Figure 4). Hence, above 20 K, the corresponding species behave as isolated and non-interacting paramagnetic entities. Upon further cooling down to 4 K, the shape and peak-to-peak widths of the EPR signals for the electron center and the  $\text{Ti}^{3+}$  ions do not change. However, their intensities decrease, which is unexpected for paramagnetic species. From previous studies of slightly oxygen-deficient  $\text{TiO}_2$ , we learned that in the nanocrystalline form, such systems exhibit a significant fraction of EPR-silent excess electrons.<sup>60,61</sup> Based on the available data, it is not possible to provide a conclusive explanation for the intensity decrease of the signals below 20 K, but the coinciding maxima for the two chemically different species at 20 K and with no indication for spatial proximity point to a long-ranged coupling of these species, which may involve the abovementioned excess electrons. Additional magnetic interactions cannot be excluded and a more detailed explanation requires additional investigations.

We now turn to the effect of oxygen admission to vacuum-annealed  $\text{TiO}_2$  nanoparticle surfaces, which can be divided along the following three major lines (Figure 3b):

- When electronically reduced surface and subsurface sites – such as the  $\text{Ti}^{3+}$  ions – emerge in  $\text{TiO}_{2-x}$  upon vacuum-annealing, adsorbed  $\text{O}_2$  as an electron acceptor drives an interfacial electron transfer to transform into anionic surface oxygen species that can be paramagnetic ( $\text{O}_2^-$ ) or diamagnetic ( $\text{O}_2^{2-}$ ,  $\text{O}^{2-}$ ).
- As a triplet molecule  $\text{O}_2$  undergoes spin exchange interaction with other paramagnetic surface species (radicals and point defects), this leads to broadening of the EPR signal related to these species and, ultimately, to its extinction at enhanced local  $\text{O}_2$  coverages. Pumping off the molecularly adsorbed oxygen from the sample cell at room temperature reverses the effect and re-establishes the EPR signal. Related phenomena are well-suited to indicate whether paramagnetic states are located at the surface or subsurface region.
- For the specific case here, the effective spin exchange interaction between adsorbed triplet oxygen and the paramagnetic  $\text{O}_2^-$  also explains why the latter signal is not measured in the presence of adsorbed oxygen (Figure 3b). It, however, appears upon pumping (see below Figure 5, a  $\rightarrow$  b).
- $\text{O}_2$  adsorption can alter the local electronic and geometric structure of surface or subsurface defects resulting in a change of resonance position ( $g$  value) as well as the spin–lattice relaxation times.

We investigated the effect of oxygen admission on the paramagnetic samples' properties. For this purpose, we added 10 mbar  $\text{O}_2$  gas at room temperature, sealed the cell, and cooled the sample down to an acquisition temperature of  $T_{\text{aqu}} = 77$  K. This process shifts the resonance position of the isotropic signal from  $g = 2.0018$  to  $g = 2.0028$  being associated with a reduction of the peak-to-peak line width (Figures 3b and 5a). Furthermore, a significant intensity enhancement of the signal is observed. As in the absence of oxygen (Figure 3d), the isotropic signal at  $g = 2.0028$  saturates at microwave powers  $P_{\text{MW}} > 2$  mW (Figure 3e). In addition,  $\text{O}_2$  bleaches the  $\text{Ti}^{3+}$ -specific resonances (Figure 3b).

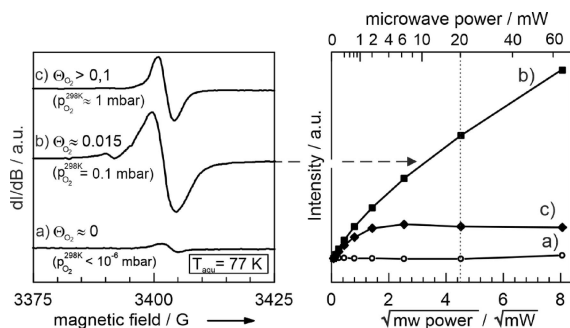


**Figure 5.** CW X-band EPR spectrum showing the electron center signal (a) after  $\text{O}_2$  admission to a vacuum annealed (i.e., reduced)  $\text{TiO}_{2-x}$  anatase nanoparticle network. Room-temperature evacuation eliminates spin exchange interactions of molecular oxygen with surface adsorbed  $\text{O}_2^-$  resulting in intense and characteristic resonances in the EPR spectrum (b). Annealing to  $T = 473$  K decomposes the  $\text{O}_2^-$ -related resonances but does not alter the relaxation properties of the spin center resonating at  $g = 2.0028$  (curves e and d). Both microwave saturation curves were achieved with an initial  $\text{O}_2$  pressure of 10 mbar at room temperature and subsequent cooling to  $T = 77$  K.

From the gas volumes determined for the different cell compartments and the defined  $\text{O}_2$  pressure (10 mbar) inside the cell at room temperature, we calculated the amount of adsorbed oxygen. Assuming a uniform adsorbate distribution over the high surface area material ( $\sim 30$  mg  $\text{TiO}_2$ ,  $A = 3.9$  m<sup>2</sup> from the specific surface area of 130 m<sup>2</sup> · g<sup>-1</sup>), this corresponds to 0.4 monolayer equivalents (0.4 ML) if all  $\text{O}_2$  molecules are adsorbed at  $T = 77$  K. The effect of a condensing  $\text{O}_2$  gas on the EPR spectrum of surface bound radicals at low temperature becomes evident by comparing the situation discussed above to one where the amount of  $\text{O}_2$  gas was reduced by evacuating the cell. The resulting EPR spectrum obtained after subsequent cooling to 77 K is shown in Figure 5b. The spectrum is dominated by signals associated with  $\text{O}_2^-$  species adsorbed at surface  $\text{Ti}^{4+}$  ions as adsorption sites. The intensity of these species is so large that it is impossible to identify possible contributions of the species with an EPR signal at  $g = 2.0028$  (Figure 5a). Thus, admission of molecular oxygen to a reduced  $\text{TiO}_{2-x}$  sample results in a quenching of the  $\text{Ti}^{3+}$  center signals and the formation of surface bound  $\text{O}_2^-$  radicals. In addition, the underlying oxidation process results in a change of the isotropic signal, which is observed even in the presence of adsorbed molecular oxygen. The latter observation may indicate that the underlying species are not directly located on the surface of the  $\text{TiO}_2$  particle network. For further analysis, a sample, which was previously exposed to oxygen gas at 298 K, was subsequently annealed at 493 K in vacuum ( $p < 10^{-5}$  mbar). After cooling to 77 K, the EPR spectrum (Figure 5c) clearly demonstrates that annealing annihilates the paramagnetic  $\text{O}_2^-$  by their decomposition into diamagnetic products. The remaining resonance signal at  $g = 2.0028$  indicates the stability of the underlying species inside the re-oxidized nanoparticle network (Figure 5c). In addition to the identical  $g$  factor values (Figure 5a,c), the microwave saturation behavior (Figure 5e) is also comparable to that of the signal prior to  $\text{O}_2^-$  decomposition (Figure 5d). This suggests that the underlying point defects are not significantly affected by oxidative treatment even though changes of the line width and signal intensity indicate some impact of the treatment on these species as well. The lower thermal stability of the  $\text{O}_2^-$  ions as compared to the electron center at  $g = 2.0028$

can be utilized to isolate its signal by annealing to  $T = 473$  K as exclusive resonance contribution for further analysis.

In a next step, we varied the  $O_2$  pressures in the sample cell. The spectra for two additional pressures – in comparison the vacuum spectrum is shown in panel (c) – are shown in the left panel of Figure 6. All spectra exhibit a single isotropic signal at  $g = 2.0028$ , which differs significantly in intensity.



**Figure 6.**  $O_2$  coverage ( $\Theta_{O_2}$ ) dependent EPR signal of the electron center using a microwave power of 20 mW (left panel). The right panel displays the corresponding microwave power dependence of the electron center signal for different  $O_2$  coverages ( $\Theta_{O_2}$ ) as shown in the left panel.

A systematic study for different oxygen pressures (see Figure S3, Supporting Information) reveals an EPR signal intensity maximum at about 0.1 mbar if using a microwave power of 200  $\mu$ W. This pronounced dependence of the EPR intensity vanishes for measurements at very low microwave power (6.3  $\mu$ W; Figure S4). These  $O_2$  coverage-dependent trends are attributed to changes of the spin lattice relaxation times as can be concluded from power saturation plots (right panel of Figure 6).

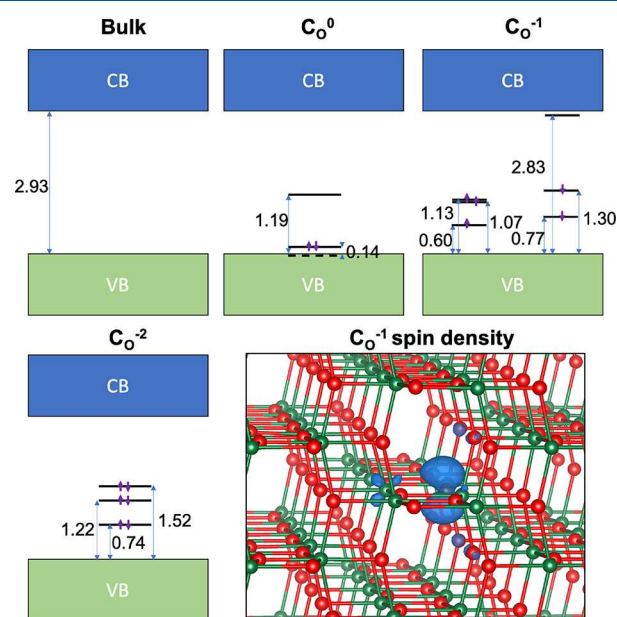
The independence of the signal intensity on oxygen pressure at low microwave power reveals a comparable number of paramagnetic centers. However, the observed intensity behavior at higher microwave powers cannot be attributed to one single type of paramagnetic defect alone. In addition to spin exchange effects at higher  $O_2$  coverages, we assume that there are at least two signal components with distinct microwave saturation properties, which comprise the total signal.

**Discussion of the Electron Center: Its Origin and  $O_2$  Adsorption-Dependent Properties.** *Electron Trapping at Carbon Impurities.* As outlined above, the EPR resonance signals at  $g = 2.0018$  and – after contact with  $O_2$  – at  $g = 2.0028$  (Figure 3a) reveal a small  $g$ -tensor anisotropy with all components close to the free spin value. The EPR properties of the underlying spin center are very different from those of  $Ti^{3+}$  with their characteristic anisotropic symmetry.<sup>8,59</sup>

Although the materials characterized for this study were derived from high purity chemicals and synthesized in the gas phase, at high temperatures and in an  $O_2$  atmosphere, we investigated the hypothesis that the unintentional admixture of smallest amounts of carbon may be linked to the here reported EPR resonances. In  $TiO_2$  nanoparticle synthesis – irrespective of whether performed in the gas phase or in solution – carbon impurities cannot be excluded, despite the fact that carbon can be effectively removed by sample calcination in an oxygen atmosphere.<sup>11,61,62</sup> As outlined in previous work<sup>1</sup> and revealed by a quantitative analysis of FSP nanoparticle powders after

oxidative annealing treatment here, the EPR center concentrations are in the range between 1 and 10 ppm (Table S1, Supporting Information). Depending on particle size, this corresponds to 0.02 (FSP  $TiO_2$  with  $d_{av} = 8.5 \pm 2.5$  nm) to 0.1 (CVS  $TiO_2$  with  $d_{av} = 12 \pm 6$  nm) spins per particle. (Corresponding concentrations are far below those of carbon impurities that would originate from the instantaneous surface contamination with hydrocarbons from air.<sup>63</sup> Such contamination effects, however, represent an inevitable step during sample transfer from the sample cell/EPR tube into an analysis chamber for carbon analysis ( $c \geq 1000$  ppm)).

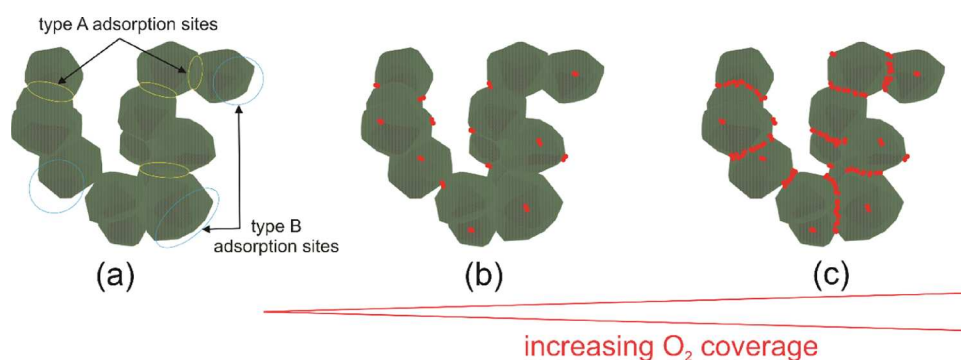
Previous DFT calculations for carbon-related defects in  $TiO_2$  by Di Valentin *et al.*<sup>30</sup> have shown that under oxygen-poor conditions, oxygen substitution by carbon ( $C_O$ ) inside the anionic sublattice of anatase is thermodynamically favorable, whereas in oxygen-rich conditions, interstitial carbon and carbon substituting for titanium are preferred. Since anatase nanoparticles are typically reduced to some extent,  $C_O$  is expected to form more readily in the nanoparticle networks studied in the present work (Figure 7). The  $C_O$  defect was



**Figure 7.** Predicted electronic structure of bulk anatase  $TiO_2$  bulk and  $C_O$  defects in  $q = 0, -1e$ , and  $-2e$  charge states. The spin density associated with the  $q = -1e$  defect is also shown which is primarily localized on C ( $2p$ ) with a smaller contribution from neighboring Ti ( $3d$ ) and O ( $2p$ ).

predicted to introduce six C  $2p$  levels in the anatase band gap. Since only four of these levels are occupied in the neutral ( $q = 0$ ) state, this defect could present a prospective trap for electrons photoexcited into the conduction band. To further investigate this hypothesis, we revisit the  $C_O$  defect using a more advanced DFT approach specifically developed to model charge carrier trapping in  $TiO_2$  (see Methods for details).

The predicted electronic structures of the  $C_O$  defect in the  $q = 0, -1e$ , and  $-2e$  charge states are summarized in Figure 7. The neutral defect ( $C_O^0$ ) has two doubly occupied C  $2p$  levels. The first is a resonant state very close to the valence band maximum (VBM) with the second 0.14 eV above the VBM. The third unoccupied  $2p$  level sits 1.19 eV above the VBM. The results for this defect are qualitatively similar to the previously reported results by Di Valentin *et al.*;<sup>30</sup> however the



**Figure 8.** Schematic presentation of a section of a nanoparticle network (a) that exhibits qualitatively different  $\text{O}_2$  adsorption sites, namely, type A and type B. With increasing  $\text{O}_2$  coverage, we expect preferential  $\text{O}_2$  condensation in the neck region between adjacent grains (Figure 8c, type A), whereas less molecules adsorb at the B-type sites that are remote (Figure 8b).

C 2p levels are typically deeper (consistent with the fact that functional used here should suffer much less from self-interaction error) and a band gap in better agreement with experiments is obtained. The  $\text{C}_\text{O}^{-1}$  defect is spin-polarized with five electrons distributed over five C 2p levels close to the VBM (within a range 0.6–1.3 eV) and a further unoccupied 2p level that sits just below the conduction band minimum. The spin density is mainly localized on the C atom in a 2p orbital but there is some hybridization with Ti 3d and O 2p orbitals on adjacent atoms. The fact that the spin density is primarily localized on C, which has small spin-orbit coupling, would be consistent with a  $g$  factor close to the free electron value. The thermodynamic charge transition level (CTL: the Fermi energy for which the formation energy of the neutral and negatively charged defects are equal) is 1.8 eV above the VBM. The  $\text{C}_\text{O}^{-2}$  defect has six electrons occupying C 2p states in the range 0.7–1.5 eV above VBM with no other unoccupied states in the gap. The corresponding CTL between the  $-1$  and  $-2$  charge states is 2.2 eV above the VBM.

The CTLs for the  $\text{C}_\text{O}$  defects are deeper than those associated with the oxygen vacancies in anatase (which are close to the conduction band minimum). Therefore, in equilibrium, one would expect  $\text{C}_\text{O}$  defects to act as a double trap for electrons donated by oxygen vacancies, thereby reducing the intrinsic  $n$ -type carrier concentration. If the number of oxygen vacancies exceeds that of  $\text{C}_\text{O}$ , one would expect almost all  $\text{C}_\text{O}$  defects to be in the diamagnetic  $q = -2e$  charge state. However, single photoionization of these defects or double photoionization followed by trapping of a single electron from the conduction band (both feasible with near-IR to visible light) would lead to transient formation of the spin polarized  $\text{C}_\text{O}^{-1}$  defect. Another possibility to explain this apparent contradiction would be that the properties of  $\text{C}_\text{O}$  defects in the strained regions near nanoparticle necks could be sufficiently different to make the concentration of negatively charged  $\text{C}_\text{O}$  defects in equilibrium non-negligible.

As shown in Figure 3, the intensity of the EPR signals increases significantly upon adsorption of  $\text{O}_2$ , which traps electrons as an electron acceptor. Related changes in the number of electrons ( $n$ -type carrier concentration) in  $\text{TiO}_2$  may shift the equilibrium between  $\text{C}_\text{O}^{-1}$  and  $\text{C}_\text{O}^{-2}$  states and could explain the EPR intensity increase and the slight shift in the  $g$  value. From previous experimental studies where we analyzed oxygen adsorption at different semiconductor metal oxide nanoparticle powders,<sup>64</sup> we learned that the position of the  $\text{O}_2/\text{O}_2^-$  redox potential energy lies less than 0.3 eV below

the bottom of the anatase  $\text{TiO}_2$  conduction band. This would also explain the absence of electron transfer from deep trap states to adsorbed  $\text{O}_2$ .

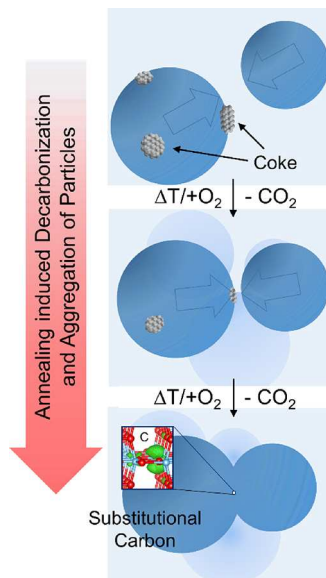
**Electron Center and Its  $\text{O}_2$  Adsorption-Dependent EPR Properties.** Electron transfer from  $\text{Ti}^{3+}$  sites to  $\text{O}_2$  resulting in the formation of adsorbed  $\text{O}_2^-$  can be effectively tracked by EPR spectroscopy.<sup>61</sup> The electron transfer from the underlying shallow trap state to molecular  $\text{O}_2$  is enabled by the electron affinity of  $\text{O}_2$  with values between 0.3 and 0.44 eV. In turn, the lowest unoccupied molecular orbital (LUMO), that serves as the electron acceptor, is located slightly below the shallow trapped state of the reduced anatase. This explains spontaneous charging of the adsorbed  $\text{O}_2$  molecules.<sup>65</sup> Compared to  $\text{Ti}^{3+}$  sites as shallow trap sites in the surface or subsurface region, the EPR signal of the electron center at  $g = 2.0028$  is not quenched upon oxygen adsorption. Hence, electron transfer onto adsorbed oxygen molecules does not take place, which may be due to position of the  $\text{C}_\text{O}^{-1}$  related states in the band gap (Figure 7).

The local concentration of  $\text{O}_2$  that is adsorbed in the vicinity of the defect hosting the unpaired electron determines the signal intensity. It can either lead to an intensity increase (shortening of the relaxation time  $T_1$  by spin exchange and, thus, diminished microwave power saturation) or to its depletion (quenching at defect sites where  $\text{O}_2$  preferentially accumulates to form adsorbate clusters). The rise and fall of the relaxation-dependent intensities with  $\text{O}_2$  coverage (Figure 6 and Figure S3) can be rationalized by a model that assumes the presence of two types of spin centers with identical local environments but different locations inside the heterogeneous nanoparticle network. With respect to the first coordination sphere, the electrons experience a spherically symmetric electric field distribution. With respect to their location inside the nanoparticle network, the two types of defects are different (Figure 8). They can exist in regions where sinter necks interconnect adjacent nanoparticles (Figure 8, type A adsorption sites) or they can be located in parts of the nanoparticle network that are remote from such necks, i.e., in the surface or subsurface region of the individual particles (Figure 8, type B adsorption sites).

Impinging at the nanoparticle network surface,  $\text{O}_2$  molecules experience a strong heterogeneity in terms of adsorption energetics. Nanoscale capillary condensation in the neck region has been explored on  $\text{TiO}_2$  fine powders.<sup>66,67</sup> A recent study investigated supported  $\text{WO}_3$  nanoparticles with scanning tunneling microscopy and spectroscopy (STM-STs)<sup>68</sup> and

revealed preferential molecule adsorption in the neck region of the nanoparticles, which would correspond to type A adsorption sites (Figure 8a). The authors also succeeded in spatially resolving electronic responses from different particle regions in vacuum and after exposure to O<sub>2</sub>. As a result, the study provides strong evidence for preferential reduction in the neck region<sup>51,61</sup> connecting adjacent grains and, furthermore, that region facilitates increased O<sub>2</sub> adsorption.

**Trapping of Carbon Impurities.** The computational analysis outlined in the discussion section A provides a sound explanation for the EPR resonances in slightly oxygen deficient TiO<sub>2</sub> nanoparticle networks. Carbon at the lowest concentrations can substitute positions in the oxygen sublattice to form C<sub>O</sub><sup>-1</sup> sites. At this point, the question arises why hard aggregate formation and particle necking as illustrated by Figure 2 and the rationalization of the O<sub>2</sub> adsorption-dependent EPR properties (Figure 6) would promote carbon incorporation into the TiO<sub>2</sub> lattice. A simple and intuitively comprehensible answer would be that during all the dynamic processes that occur during oxidative carbon removal (Figure 9, top panel) at elevated temperatures, the attachment and



**Figure 9.** Scheme that illustrates how synthesis and processing induced particle coagulation converts surface carbon impurities into dopants of the host lattice. The different stages from the top to the bottom should also indicate the progressive elimination of carbon by combustion treatment in O<sub>2</sub> down to the level of a few ppm.

fusion of the particles may lead to trapping of residual traces of carbon inside the contact region, which converts from surface structures into bulk species (Figure 9, bottom panel). Such particle attachment and necking can occur during the particle formation and aggregation process in the flame (FSP, Figures 1a–c and 2c) because of hydration/dehydration treatment of pre-cleaned MOCVS nanoparticle powders (Figure 2a), which may still contain traces of carbon at the particle surfaces or the controlled decomposition and crystallization of a carbon-rich titania aerogel (Figure 2b).

## CONCLUSIONS

TiO<sub>2</sub> nanoparticle synthesis and associated powder processing involves particle coagulation and aggregation (Figures 1 and

2). This is particularly true for solvent-based approaches and for evacuation steps of previously hydrated materials (Figure 2b). During coagulation and neck formation between particles, surface impurities such as carbonaceous species become entrapped between particles and convert to substitutional carbon in the anionic sublattice of TiO<sub>2</sub>.

Whereas the stabilization of electrons in the d orbitals of Ti atoms is strongly favored in pure and carbon-free TiO<sub>2</sub> materials as opposed to the trapping of unpaired electrons inside oxygen vacancies, substitutional carbon, already with concentrations as low as a few ppm, traps unpaired electrons and offers a way to localize the spin in the TiO<sub>2</sub> lattice. The here-discussed EPR properties of the spin center and its O<sub>2</sub> adsorption induced changes correspond to those of localized and isolated unpaired electrons in defects or radicals<sup>69,70</sup> rather than to the O<sub>2</sub> adsorption-induced localization of conduction band electrons as suggested in previous studies.<sup>25–27</sup>

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.3c00430>.

X-ray diffraction pattern, particle size distribution plots, O<sub>2</sub> coverage-dependent EPR signal intensity changes, and an estimate of trapped carbon impurities (PDF)

## AUTHOR INFORMATION

### Corresponding Authors

Keith McKenna — School of Physics, Engineering and Technology, University of York, York YO10 SDD, United Kingdom; [orcid.org/0000-0003-0975-3626](https://orcid.org/0000-0003-0975-3626); Email: [keith.mckenna@york.ac.uk](mailto:keith.mckenna@york.ac.uk)

Oliver Diwald — Department of Chemistry and Physics of Materials, Paris-Lodron Universität Salzburg, Salzburg 5020, Austria; [orcid.org/0000-0002-2425-5281](https://orcid.org/0000-0002-2425-5281); Email: [oliver.diwald@plus.ac.at](mailto:oliver.diwald@plus.ac.at)

### Authors

Michael J. Elser — Institute of Particle Technology (LFG), Friedrich-Alexander-Universität Erlangen-Nürnberg, Erlangen 91058, Germany

Elie Neige — Department of Chemistry and Physics of Materials, Paris-Lodron Universität Salzburg, Salzburg 5020, Austria

Thomas Berger — Department of Chemistry and Physics of Materials, Paris-Lodron Universität Salzburg, Salzburg 5020, Austria; [orcid.org/0000-0002-2062-5193](https://orcid.org/0000-0002-2062-5193)

Mario Chiesa — Department of Chemistry and NIS Centre, University of Torino, Torino I-10125, Italy; [orcid.org/0000-0001-8128-8031](https://orcid.org/0000-0001-8128-8031)

Elio Giamello — Department of Chemistry and NIS Centre, University of Torino, Torino I-10125, Italy; [orcid.org/0000-0002-3726-2798](https://orcid.org/0000-0002-3726-2798)

Thomas Risse — Institut für Chemie und Biochemie, Freie Universität Berlin, Berlin 14195, Germany; [orcid.org/0000-0003-0228-9189](https://orcid.org/0000-0003-0228-9189)

Complete contact information is available at: <https://pubs.acs.org/doi/10.1021/acs.jpcc.3c00430>

### Funding

Open Access is funded by the Austrian Science Fund (FWF). FWF P 34906.

## Notes

The authors declare no competing financial interest.

## ACKNOWLEDGMENTS

E.N., T.B., and O.D. acknowledge support from the Austrian Science Fund FWF P34906. K.P.M. acknowledges use of the Viking Cluster, which is a high-performance computer facility provided by the University of York.

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