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1 **Spatial patterns of diffusive greenhouse gas emissions from cascade hydropower reservoirs**

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16 **Abstract**

17 Greenhouse gas (GHG) emissions from reservoirs have received increasing attention in recent
18 years. Despite extensive studies in single reservoirs, GHG emission patterns in cascades of
19 multiple reservoirs, which are becoming increasingly common worldwide, remain unknown. This
20 study investigates the spatial patterns of diffusive carbon dioxide (CO₂), methane (CH₄) and
21 nitrous oxide (N₂O) emissions, as well as their total CO₂-equivalent (CO₂-eq), for a cascade
22 hydropower system in the heavily dammed upper Mekong River, China. Results demonstrated that
23 GHG emissions in cascade reservoirs were higher than that in the upstream channel since the
24 accumulated sediments fueled microbes for GHG production. In cascade reservoirs, CO₂ made the
25 largest contribution (58.6%–84.8%) to total CO₂-eq, while the contribution of N₂O was marginal.
26 Deep reservoirs emitted less CO₂, which was attributed to higher CO₂ consumption by
27 phytoplankton. Reservoirs formerly occupying the most upstream position for the longest period
28 of time in the cascade emitted the most CH₄, perhaps due to accumulations of river borne sediments.
29 The total CO₂-eq generally increased with distance downstream except within deep reservoirs.
30 These findings indicate that, with respect to mitigating GHG emissions, the deepest, most upstream
31 reservoir should be constructed first in the configuration of cascade hydropower reservoirs, and
32 less sediment will enter downstream reservoirs, which have higher CO₂-eq emissions.

33

34 **Keywords:** Upper Mekong River; dam; methane; carbon dioxide; nitrous oxide

35 **1. Introduction**

36 As climate warms, greenhouse gas (GHG) emissions from inland waters, particularly from
37 reservoirs, have received widespread attentions (Deemer et al., 2016; Maavara et al., 2019). Global
38 rivers are extensively dammed for the purposes of hydropower production, and over 8,600 dams
39 primarily designed for hydropower generation are in operation (Zarfl et al., 2015). A cascade
40 configuration, i.e. a sequence of multiple dams on the same river, is becoming increasingly
41 common, particularly on large rivers (Grumbine and Xu, 2011). For instance, on the 1200-km
42 mainstream of the upper Mekong River, China, 10 hydropower dams have been built and 11 dams
43 are under construction or are being planned (Fan et al., 2015; Kondolf et al., 2014). The role of
44 hydropower reservoirs as contributors to the emissions of GHGs such as carbon dioxide (CO₂),
45 methane (CH₄) and nitrous oxide (N₂O), however, remains a topic of controversy (Barros et al.,
46 2011; Deemer et al., 2016; Prairie et al., 2017; Rosa and Schaeffer, 1995). GHG emissions from
47 reservoirs have the potential to counteract the environmental benefits of hydropower (Giles, 2006;
48 Qiu, 2009; Santos et al., 2006). It was estimated that global reservoirs emit 120.5 g CO₂-C m⁻²
49 year⁻¹, 43.8 g CH₄-C m⁻² year⁻¹, and 0.1 g N₂O-N m⁻² year⁻¹, which contribute greatly to global
50 budgets of anthropogenic GHG emissions (Bastviken et al., 2011; Deemer et al., 2016).

51 After river damming, sediment accumulation supplies rich substrates (nitrogen, organic carbon) to
52 fuel microbes for GHG production, creating hotspots of GHG emissions (Barros et al., 2011;
53 Berberich et al., 2020; Maavara et al., 2017; Tranvik et al., 2009), which have been extensively
54 studied in single hydropower reservoirs (Abril et al., 2005; Chen et al., 2009; DelSontro et al.,
55 2016; Harrison et al., 2017; Lu et al., 2011; Maeck et al., 2013; Zheng et al., 2011). Maeck et al.

56 (2013) found that sediment accumulation in deposition zones, such as dam forebays, fuels high
57 CH₄ emissions in the reservoir. Before being emitted to the atmosphere, GHG concentrations may
58 also change due to biogeochemical cycles along their pathway (Liang et al., 2019). For example,
59 CO₂ can be consumed through photosynthetic uptake by phytoplankton (Van Dam et al., 2018),
60 which is potentially controlled by hydraulic residence time, since phytoplankton prefer to
61 proliferate in reservoirs with long hydraulic residence time (Chen et al., 2020). In a reservoir
62 cascade, the order of dam construction, location, and the physical properties (hydraulic residence
63 time etc.) of the constructed reservoirs may drive trends in GHG emission patterns by affecting
64 sediment transport, phytoplankton growth and biogeochemical cycling. Recently, ebullitive
65 emissions of GHG from cascade reservoirs have been documented (Liu et al., 2020); however the
66 spatial patterns of diffusive GHG emissions from cascade reservoirs and the driving factors remain
67 unclear.

68 In this study, we aim to explore spatial patterns of diffusive GHG emissions. In particular, we
69 investigate the role of known drivers (water and sediment properties, hydraulic residence time,
70 reservoir age and water depth) on diffusive GHG emissions from individual reservoirs, and
71 quantify the interacting impacts of parameters specifically associated with reservoir cascades,
72 including the spatial order and timing of construction of dams within a cascade. The microbes
73 responsible for GHG production were also analyzed using quantitative polymerase chain reaction
74 (qPCR) method. The findings can add our understanding of diffusive GHG emissions from cascade
75 hydropower reservoirs.

76 **2. Materials and methods**

77 **2.1 Study area**

78 The Mekong River (Fig. 1) originates in the Tibetan Plateau and empties into the South China Sea
79 after flowing along 4,909 km through six nations (China, Myanmar, Lao PDR, Thailand,
80 Cambodia and Viet Nam), making it among the largest river systems in the world. The mean annual
81 discharge at the outlet of this river is $457 \text{ km}^3 \text{ yr}^{-1}$ and it has a watershed area of $760,000 \text{ km}^2$ (Shi
82 et al., 2017). It is customary to divide the Mekong Basin into an upper basin that resides within
83 China, where it is known as the Lancang River, and a lower basin extending from Yunnan, China
84 through Southeast Asia. The most precipitous drop in the Mekong River occurs in the upper basin,
85 where it falls 4,500 m over 2139 km and has a flow over $76.5 \text{ km}^3 \text{ yr}^{-1}$, creating large potential for
86 hydropower production. From 1993 through 2018, nine hydropower dams were built on the
87 mainstream of the upper Mekong River. They are, from upstream, Dahua (R1), Huangdeng (R2),
88 Miaowei (R3), Gongguoqiao (R4), Xiaowan (R5), Manwan (R6), Dachaoshan (R7), Nuozhadu
89 (R8), and Jinghong (R9), creating a system of connected reservoirs along the river channel. The
90 basic information of the nine reservoirs is shown in Fig. 1. The heavily dammed upper Mekong
91 River provides a distinct case to investigate spatial patterns of diffusive GHG emissions.

92 **2.2 Sample collection and analyses**

93 A field survey was carried out at 65 sites (Fig. 1) along the upper Mekong River in September
94 2018. To minimize the variations incurred by the timing of sampling, the field survey at different
95 sites was conducted synchronously by several workgroups. At each site, triplicate measurements
96 of CO_2 , CH_4 and N_2O fluxes across air-water interfaces were made (method described in Section
97 2.3), while surface water (500 mL volume grab samples) and bed sediment (50 g wet mass) were

98 sampled in triplicate. Bed sediment samples were collected with an Ekman grab sampler (ZG0204,
99 Shanghai Zigui Instrument Co. Ltd., China), completely homogenized after removing large debris
100 (stone, tree branches etc.), and then kept frozen in liquid nitrogen. Due to the lack of bed sediment
101 deposited immediately downstream of dams (up to ~5 km downstream) of R1, R2, R3, R4, R6 and
102 R7, 59 sediment samples were collected in the field survey in total. In the upstream channel,
103 sampling (sediment, water and GHGs) was conducted on a bridge. In each reservoir, sampling
104 (water and GHGs) at the site right after the front dam was conducted at the shore; sampling
105 (sediment, water and GHGs) at the sites in the lacustrine zone was conducted on a boat in the
106 middle of the channel. The water depth and the distance from the dam of each sampling site were
107 presented in Supplementary Table S1. In the laboratory, portions of the homogenized sample were
108 randomly selected for the analyses of sediment characteristics and microbes in triplicate (*See* the
109 details below). 300 mL of water samples (or 200 mL when phytoplankton was very dense) was
110 filtered on GF/F filters (0.45 μm) to collect phytoplankton for *Chl-a* analysis using the hot ethanol
111 extraction method (Chen et al., 2016). A Vario MACRO cube elemental analyser (Elementar Inc.,
112 Germany) was used to measure sediment organic carbon and total nitrogen concentrations
113 following the freeze-drying and hand-grinding of these sediment samples. Grain size was analysed
114 by using an ultrasonic oscillator (PS-60A, Shenzhen Shenghuatai ultrasonic equipment Co. Ltd.,
115 China) to disperse raw sediment samples, after which measurements were made with a Mastersizer
116 2000 Laser Grain-size Meter. Grain size was presented as median diameter value (d_{50} , μm). Water
117 temperature and turbidity were measured *in situ* via a YSI multiparameter meter (Yellow Springs,
118 OH, USA).

119 2.3 Diffusive CO₂, CH₄ and N₂O flux measurements

120 Diffusive GHG fluxes were estimated using the thin boundary layer model (Encinas Fernández et
121 al., 2014; Musenze et al., 2014b; Whitfield et al., 2011):

$$122 \quad F = K \cdot (C_w - C_{eq}) \cdot M \quad (1)$$

123 where F corresponds to gas flux between water and atmosphere, $\text{g m}^{-2} \text{h}^{-1}$, which can be converted
124 to the value in $\text{mg m}^{-2} \text{d}^{-1}$ by multiplying 24,000; C_w is the concentration of dissolved gas in surface
125 water, mol m^{-3} ; C_{eq} is the concentration of dissolved gas in surface water that is in equilibrium
126 with atmospheric concentrations, mol m^{-3} ; K is gas transfer velocity, m h^{-1} ; M is gas molar mass
127 (g mol^{-1}). C_{eq} is calculated based on the GHG concentrations in the air above the sampling site,
128 measured at the time of sampling using the headspace method (described below).

129 Gas transfer velocity was computed as described in prior studies using Equation 2 (Shi et al., 2020;
130 Xue et al., 2016):

$$131 \quad K = k_{600} \cdot \left(\frac{S_c}{600}\right)^n \quad (2)$$

132 where the k_{600} (m s^{-1}) was estimated using Equation 3 in the lentic reservoirs (62 sampling sites)
133 (Wanninkhof, 2014), and Equation 4 in the turbulent upper channel (3 sampling sites) (Raymond
134 et al., 2012; Ulseth et al., 2019), respectively. S_c corresponds to the Schmidt number at water
135 surface temperature as per prior studies (Wanninkhof, 2014); n was $-2/3$ for $U_{10} \leq 3.7 \text{ m s}^{-1}$ and -
136 $1/2$ for $U_{10} > 3.7 \text{ m s}^{-1}$.

$$137 \quad k_{600} = 2.07 + 0.215 \cdot U_{10}^{1.7} \quad (3)$$

138 where U_{10} corresponds to the wind speed at 10 m above the surface of the water, m s^{-1} . U_{10} was
139 obtained from the wind speed at 5 m above water surface by multiplying a factor of 1.06 (Jiang et

140 al., 2008), and the wind speed at 5 m above water surface was measured using a portable wind
141 meter (16026, Aice Biotechnology Co., Ltd, Hangzhou, China) at the time of sampling.

$$142 \quad k_{600} = 5937 \cdot [1 - 2.54 \cdot V^2 / (gD)] \cdot (VS)^{0.89} \cdot D^{0.58} \quad (4)$$

143 where V is the flow velocity, m s^{-1} ; D is the water depth, m ; g is the gravitational acceleration, 9.8
144 m s^{-2} ; S is the bed slope (unitless). The bed slop was calculated by using the bed elevation data,
145 which was obtained from Huaneng Lancang River Hydropower Co., Ltd. The cross-sectional
146 averaged flow velocity and water depth were measured in-situ by using a propeller-typed current
147 meter (LS20B, Nanjing Water Conservancy and Hydrology Automation Institute, China) and a
148 sounding rod (GeeLii 5552, Shanghai GeeLii Co., Ltd. China), respectively.

149 Surface water GHG concentrations were quantified via the headspace equilibration approach
150 (Wang et al., 2009; Whitfield et al., 2011). Briefly, water samples (20 mL) were collected in pre-
151 evacuated 60 mL polypropylene syringes with three-way stopcocks at 5 cm below the water
152 surface, after which a headspace was generated via adding 20 ml of ambient air into the syringe.
153 Samples were then shaken for 2 minutes, after which the headspace gas was injected into a 12-ml
154 pre-evacuated Exetainer® vial (839 W, Labco, UK) and was analyzed via a Agilent 7890 B
155 (Agilent Technologies, Inc., USA) gas chromatograph equipped with autosampler and a thermal
156 conductivity detector (TCD) for CO_2 , a flame ionization detector (FID) for CH_4 and a micro-
157 electron capture detector (ECD) for N_2O analyses. N_2 and He were used as carrier gases at a flow
158 rate of 20 ml min^{-1} . Gas analyses were conducted within 7 days after collection. The concentrations
159 of dissolved GHG in surface water were calculated using Equation 5 (Hu et al., 2018):

160
$$C_w = \frac{(C_h - C_0) \times V_h + \alpha \times C_h \times V_w}{V_w} \quad (5)$$

161 where C_0 and C_h are headspace gas concentrations before and after shaking, respectively, mol L⁻¹;

162 V_h is headspace volume, ml; V_w is water volume (20 mL); α is the Bunsen coefficient (0.622).

163 **2.4 CO₂-eq emission calculation**

164 Total CO₂-eq emissions at each sampling site were calculated by summing CO₂-eq of each GHG
165 gas for the given time horizon (Davidson et al., 2015; IPCC, 2021). Here we used the 100-year
166 horizon values, reported most recently by the Sixth Assessment Report of the Intergovernmental
167 Panel on Climate Change (IPCC), which are 27.2 and 273 for CH₄ and N₂O, respectively (IPCC,
168 2021). Since CH₄ can produce higher radiative forcing than CO₂, a higher CO₂-eq will be generated
169 when more organic carbon is mineralized to CH₄ rather than CO₂. Thus, CH₄/CO₂ was also
170 calculated in this study according to Holgerson and Raymond (2016).

171 **2.5 Microbial abundance analyses**

172 Microbes associated with GHG production in sediments were quantified via qPCR. Most of
173 microbes are responsible for CO₂ production during the degradation of organic matter, and total
174 bacterial 16S rDNA was used as biomarker for analyzing microbial CO₂ production (Shi et al.,
175 2017). According to Fernández-Baca et al. (2019), *mcrA* was used as biomarker for microbes
176 associated with CH₄ production. N₂O is a nitrification and denitrification byproduct (Kuypers et
177 al., 2018). Nitrifier *amoA* and denitrifiers *nirS* were used as biomarkers to quantify microbes
178 associated with N₂O production (Hou et al., 2013; Morales et al., 2010). A FastDNA Power-Max
179 Soil DNA Isolation Kit (MP Biomedical, USA) was used based on the provided instructions to
180 isolate sediment DNA, which was then utilized for qPCR analyses conducted in an iQ5 cycler

181 instrument (Bio-Rad, USA). All qPCR reactions were conducted in a 20 μL total volume,
 182 composed of 10 μL SYBR® Premix Ex Taq™ (Toyobo, Japan), 0.5 mM each of forward and
 183 reverse primers, 0.8 μL of 3mg/mL bovine serum albumin (Sigma, USA), template DNA, and
 184 ddH₂O. The primers and qPCR programs are presented in Table S2. In order to construct a standard
 185 curve, plasmid DNA containing the target fragment was serially diluted (10^{-2} – 10^{-8}). These qPCR
 186 reactions were conducted in sealed 96-well plates (Bio-Rad), and triplicate DNA-free negative
 187 control samples were run on each plate. The abundance value of the microbe corresponding to
 188 each GHG gas ($m_{i,j}$) were transformed to a ratio ($a_{i,j}$) relative to the largest abundance value among
 189 all the sampling sites using Equation 6. Then, a microbe indicator (B_i) for total GHG production
 190 at each sampling site was calculated by summing the ratios at this site using Equation 7.

$$191 \quad a_{i,j} = \frac{m_{i,j}}{m_j^{max}} \quad (6)$$

$$192 \quad B_i = \sum_j a_{i,j} \quad (7)$$

193 where $m_{i,j}$ is the abundance of microbe j ($j = 16\text{s rDNA}, mcrA, amoA, nirS$) at sampling site I ; m_j^{max}
 194 is the maximum abundance of microbe j among all sampling sites; B_i is the microbe indicator for
 195 total GHG production at sampling site i .

196 **2.6 Statistical analysis**

197 SPSS v22.0 (SPSS Inc., IL, USA) was used in all statistical testing. Logarithmic transformation
 198 on the original data was conducted to improve data normality. Difference in CH₄ fluxes between
 199 the upstream channel and the most downstream R9 reservoir was compared via t-tests, after data
 200 normality had been assessed by the Kolmogorov-Smirnov and variance homogeneity tests. $P <$
 201 0.05 was the significance threshold. The correlation between GHG emissions and hydro-

202 environmental parameters was analyzed based on the simple linear regression after data
203 normalization. Outliers in datasets are defined as values that fall more than
204 3.0 standard deviations from the normal (Hansen et al., 2012).

205 **3. Results**

206 **3.1 Hydro-environmental and microbial conditions**

207 The reservoirs within the cascade on the mainstem of the upper Mekong River range in hydraulic
208 residence time from 0.01 to 2.36 years and in age from 1 to 25 years at the time of our field survey
209 in 2018 (Fig. 1c). These reservoirs were neither built in order from upstream to downstream or
210 vice versa (Fig. 1b).

211 Along the flow direction, water temperature showed a general increasing trend from $< 12.5^{\circ}\text{C}$ in
212 the upstream channel to $23.2 \pm 1.0^{\circ}\text{C}$ (mean \pm SD) in the downstream reservoirs (Fig. 2a).

213 Sediment organic carbon and total nitrogen rose from $11.2 \pm 2.9 \text{ mg g}^{-1}$ (mean \pm SD) and $0.20 \pm$
214 0.01 mg g^{-1} (mean \pm SD) in the upstream channel to $20.3 \pm 2.5 \text{ mg g}^{-1}$ (mean \pm SD) and $1.25 \pm$
215 0.12 mg g^{-1} (mean \pm SD) in the most downstream R9, respectively (Fig. 2b). *Chl-a* was generally

216 below $5.0 \mu\text{g L}^{-1}$ in the upper Mekong River, with peak values observed in the largest reservoirs
217 of R5 and R8. *Chl-a* reached maximum values of 35.2 and $82.0 \mu\text{g L}^{-1}$ in R5 and R8, respectively

218 (Fig. 2c). The abundance of microbes associated with GHG production showed generally an
219 increasing trend along the upper Mekong River. The GHG microbe indicator increased from 0.43
220 ± 0.05 (mean \pm SD) in the upstream channel to 1.26 ± 0.39 (mean \pm SD) in the most downstream
221 R9 (Fig. 2d).

222 **3.2 Diffusive CH₄, CO₂, N₂O fluxes**

223 Along the flow direction, CO₂ fluxes generally increased with distance downstream, with outlier
224 values at some sampling sites in R5 and R8. The CO₂ flux increased from $548.5 \pm 38.7 \text{ mg m}^{-2} \text{ d}^{-1}$
225 ¹ (mean \pm SD) in the upstream channel to $1328.3 \pm 369.7 \text{ mg m}^{-2} \text{ d}^{-1}$ (mean \pm SD) in the most
226 downstream R9, but was below $210.0 \text{ mg m}^{-2} \text{ d}^{-1}$ at some sampling sites in R5 and R8 (Fig. 3a).
227 The CH₄ flux was below $1.5 \text{ mg m}^{-2} \text{ d}^{-1}$ in the upstream channel, which was lower than in the
228 downstream reservoirs (t-test, $p < 0.05$). The CH₄ fluxes in downstream cascade reservoirs reached
229 $1.0\text{--}12.2 \text{ mg m}^{-2} \text{ d}^{-1}$, with extreme values observed in R4 and R6. The CH₄ fluxes reached
230 maximum values of 8.7 and $12.2 \text{ mg m}^{-2} \text{ d}^{-1}$ in R4 and R6, respectively (Fig. 3a). CH₄/CO₂ mass
231 ratio indicated that there were extreme values in R4, R6 and R8, which were 0.022 , 0.027 and
232 0.014 , respectively. The remaining CH₄/CO₂ values generally exhibited an increasing trend along
233 the flow direction (Fig. 3b). Similarly, N₂O fluxes also exhibited a consistent rising trend along
234 the flow direction. N₂O fluxes increased from $0.015 \pm 0.001 \text{ mg m}^{-2} \text{ d}^{-1}$ (mean \pm SD) in the
235 upstream channel to 0.032 ± 0.001 (mean \pm SD) $\text{mg m}^{-2} \text{ d}^{-1}$ in the most downstream R9 (Fig.3a).
236 Total CO₂-eq emissions exhibited an increasing trend with outlier values in R5 and R8, which
237 increased from $591.5 \pm 40.4 \text{ mg m}^{-2} \text{ d}^{-1}$ (mean \pm SD) in the upstream channel to 1404.9 ± 393.1
238 $\text{mg m}^{-2} \text{ d}^{-1}$ (mean \pm SD) in the most downstream R9, while the outlier values were below 250.0
239 $\text{mg m}^{-2} \text{ d}^{-1}$ (Fig. 3c). Compared with CH₄ and N₂O, CO₂ has the largest contribution to the total
240 CO₂-eq emissions, which reached $84.7 \pm 9.3\%$ (mean \pm SD). CH₄ and N₂O contributed to $14.0 \pm$
241 8.9% (mean \pm SD) and $1.4 \pm 0.8\%$ (mean \pm SD) of total CO₂-eq emissions, respectively (Fig. 4).

242 **3.3 Relations between diffusive GHG emissions and hydro-environmental conditions**

243 The relations between diffusive GHG emissions and the hydro-environmental factors were shown

244 in the heatmap based on correlation analysis (Fig. 5). The CO₂ was negatively correlated with *Chl-*
245 *a* ($R^2 = -0.40, p = 0.29$), hydraulic residence time ($R^2 = -0.23, p = 0.55$) and water depth ($R^2 = -$
246 $0.43, p = 0.25$). The CH₄ was positively correlated with reservoir age ($R^2 = 0.62, p = 0.08$), but
247 was negatively correlated with water depth ($R^2 = -0.24, p = 0.54$). The CH₄/CO₂ ratio was
248 positively correlated to phytoplankton ($R^2 = 0.38, p = 0.32$) and water depth ($R^2 = 0.08, p = 0.83$).
249 N₂O emissions showed a positive relationship with all these hydro-environmental factors, with the
250 dominant influences of sediment TN ($R^2 = 0.81, p = 0.01$) and water temperature ($R^2 = 0.79, p =$
251 0.01).

252 **4. Discussion**

253 Rivers act as “leaky pipes” for terrestrial sediment transport to coastal waters (Cole et al., 2007).
254 After river damming, the flow velocity decreases, allowing suspended particles to settle in
255 reservoirs, providing substrates (nitrogen, organic carbon) for microbial consumption and
256 associated GHG production in reservoirs (Maeck et al., 2013). As a result, higher CO₂, CH₄ and
257 N₂O emissions were detected in cascade reservoirs relative to the upstream channel (Fig. 3a).
258 Following the gradually intensified land use from upstream to downstream (Fig. S1), the contents
259 of organic carbon and nitrogen in sediments generally increased along the flow direction (Fig. 2b).
260 Concurrently, water temperature increases gradually (Fig. 2a) due to the large decrease in elevation,
261 the flow direction from north towards south, and the thermal accumulation in reservoirs (Ren et
262 al., 2020), which favors microbial proliferation in sediments with the presence of sufficient
263 substrates. Microbes associated with GHG production (methanogens, nitrifiers and denitrifiers)
264 generally exhibited an increase from the upstream channel to cascade reservoirs (Fig. 2d), leading

265 to the increasing trends of diffusive GHG emissions along the flow direction, especially for N₂O
266 emissions (Fig. 3a).

267 CO₂ was the dominant contributor to the total CO₂-eq emissions, making the total CO₂-eq
268 emissions exhibit similar spatial patterns to CO₂ along the river, which generally increased along
269 the flow direction except sharp decreases in the large reservoirs of R5 and R8 (Fig. 3a). CO₂
270 emissions were most strongly controlled by water depth and phytoplankton biomass, which are
271 interrelated and show inverse relationships with CO₂ emissions (Fig. 5). Large water depth often
272 means high dam and hence big reservoirs with long hydraulic residence time. After river damming,
273 riverine or lotic environments are converted to lentic habitat, favoring phytoplankton proliferation,
274 especially evident in large reservoirs with long hydraulic residence times (Chen et al., 2020;
275 Soballe and Kimmel, 1987). In the upper Mekong River, R5 and R8 are the two largest reservoirs
276 with the hydraulic residence time over 1.8 years, enabling the development of dense phytoplankton
277 for consuming CO₂ (Fig. 2c), indicating the primary drivers of CO₂ emissions in the upper Mekong
278 River are controlled by the hydraulic properties of each individual reservoir.

279 CH₄ was found to be positively correlated to reservoir age (Fig. 5). However, Barros et al (2011)
280 reported that CH₄ emissions declined with reservoir age. The different findings in this study could
281 be because of strict biomass removal policies implemented in the flooded areas prior to reservoir
282 impoundment in China (Shi et al., 2017). Therefore, the emissions are not mainly dependent on
283 initial organic matter stored in flooded areas, but on reservoir primary production as well as the
284 magnitude of subsequently accumulated sediment and substrate from influxes. In particular, the
285 old reservoirs (with larger age) are mainly located in the downstream (after MW reservoir in Fig.

1), where there are larger drainage areas as well as higher contents of organic carbon and nitrogen in runoff due to intensified land use (Fig. S1). Meanwhile, CH₄ emissions as well as their contributions to total CO₂-eq emissions are closely related to the historic location within the dam cascade. High CH₄ concentrations were observed in R4 and R6, which are formerly occupied the most upstream position in the cascade. In reservoirs, high deposition of suspended particles builds up thick, potentially anoxic sediment layers, which is favorable for CH₄ production, and thus a large fraction of organic carbon can be recycled as CH₄ rather than CO₂ (Shi et al., 2018). In the upper Mekong River, water turbidity decreased sharply after passing through the cascade dams (Fig. S2). Furthermore, the sediment input of the most upstream reservoir is mainly from the headwater region due to the narrow drainage area along the upstream reach of this canyon river (Fig. S1). R4 and R6 were the most upstream reservoirs for the longest time, 26 and 7 years, respectively (Fig. 1c), and received and subsequently deposited large amounts of sediments from the upstream region (Fig. S2), potentially promoting anoxia in the deep bottom sediments and enabling high CH₄ emissions. Accordingly, CH₄ emission peaks were observed after the dam (Fig. 3a) due to turbine degassing, an important GHG emission pathway (Deemer et al., 2016). Since CH₄ has a higher CO₂-eq value than CO₂, organic carbon mineralizing to CH₄ rather than CO₂ result in greater warming effects. Therefore, the elevated contribution of CH₄ to total CO₂-eq emissions should receive attentions in future evaluation of global warming effects on dammed river systems, particularly if a high sediment load is anticipated, as in the most upstream reservoir in a cascade. In the upper Mekong, additional long-term monitoring should be continued in the current upstream-most reservoir (R1), which was built in the same year of the data collection and

307 already showed elevated CH₄ emissions (Fig. 3a).

308 N₂O showed the clearest relationship between distance downstream and emissions magnitude (Fig.
309 3a). N₂O is a byproduct of nitrification and denitrification (Beaulieu et al., 2011; Ni et al., 2011;
310 Su et al., 2019). N₂O emissions in the upper Mekong dam cascade were positively correlated with
311 all the environmental parameters tested, but most strongly related to substrate availability and
312 water temperature. Given the continuous, nearly linear increase with distance through the cascade,
313 the N₂O emissions are most strongly controlled by the north-south upstream to downstream
314 temperature gradient from high elevation to low elevation. Increases in water temperature along
315 the flow direction enhances microbe-mediated N₂O production (Shi et al., 2020). Additionally,
316 nitrogen substrates and organic carbon often act as limiting factors of N₂O emissions in aquatic
317 systems (Gómez-Alday et al., 2014; Wang et al., 2014). The additionally strong correlation with
318 sediment TN, which increases with distances downstream due to intensifying land use (Fig. 2b),
319 suggests that N₂O emissions are highly dependent on the available N substrate.

320 Largely following the trends in CH₄ emissions, the CH₄/CO₂ ratio increased gradually along the
321 cascade reservoirs. This is because thick bottom anoxic sediments in the reservoirs can make
322 organic carbon mineralize more into CH₄ than CO₂, causing high CH₄/CO₂ ratio (Maeck et al.,
323 2013). According to the bathymetry survey in 2017, the depth of deposited sediment was on
324 average about 15-20 m in the reservoirs. The highest values of CH₄/CO₂ ratio were observed in
325 R4 and R6, which are formerly occupied the most upstream position in the cascade for the longest
326 time. The reason was similar to that of CH₄, which had already been elaborated above. The
327 CH₄/CO₂ ratio was found to be positively correlated to reservoir age (Fig. 5), which could be

328 attributed to the high positive correlation between CH₄ and reservoir age. The total CO₂-eq
329 emissions has negative correlations to *Chl-a* and water depth, but positive correlations to TN and
330 OC. The reason is straightforward, as CO₂ was the dominant contributor to total CO₂-eq emissions,
331 making the total CO₂-eq behave similarly to CO₂.

332 These findings provide an important step forward in our understanding of GHG emissions during
333 the development of cascade hydropower reservoirs. Our findings suggest that, with respect to
334 mitigating GHG emissions in canyon rivers, the most upstream reservoir could be constructed first,
335 if possible, and that it should ideally be deep. In such cases, the majority of organic matter will be
336 trapped in the most upstream reservoir, and less organic matter enters downstream reservoirs,
337 where it is favorable for CO₂-eq emissions (Fig. 3c). Although the spatial patterns of GHGs were
338 found to be mainly controlled by the order of dam construction, location, and reservoir physical
339 properties in the reservoir cascade, more field surveys are still needed in future to identify their
340 seasonal variations. Recently, studies have reported that ebullition makes an important
341 contribution to CH₄ emissions (Deemer et al., 2016; Liu et al., 2020), particularly in shallow and
342 organic-rich reservoirs. In this study, we did not measure the ebullition flux, but focused on
343 diffusive emissions. Some information about ebullition of CH₄ from cascading reservoirs in the
344 upper Mekong River can be found in Liu et al. (2020).

345 **5. Conclusions**

346 Recently, GHG emissions from hydropower reservoirs have received considerable attention. In
347 this study, we investigated the spatial patterns of diffusive GHG emissions in the heavily dammed
348 upper Mekong River. Our main conclusions are:

- 349 (1) The total CO₂-eq emissions in each reservoir generally increased with distance
350 downstream except within deep reservoirs. The deep reservoirs had the lower CO₂-eq
351 emissions.
- 352 (2) CO₂ made the largest contribution to total CO₂-eq relative to CH₄ and N₂O, and their
353 emissions were mainly controlled by the hydraulic residence time of each individual
354 reservoir.
- 355 (3) High CH₄ emissions were observed in reservoirs that are formerly occupied the most
356 upstream position in the cascade for the longest periods of time, and were thus strongly
357 related to the properties of the reservoir cascade.
- 358 (4) N₂O emissions showed the clearest linear increase with distance downstream through the
359 cascade, and were strongly controlled by the water temperature gradient.
- 360 (5) With respect to the mitigation of GHG emission from cascade hydropower reservoirs, the
361 most upstream reservoir is suggested to be constructed first.

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367

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