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1 **TITLE**

2 **Assessment of RANK/RANK-L prevalence and clinical significance in NSCLC European Thoracic**
3 **Oncology Platform Lungscape cohort and SPLENDOUR randomized clinical trial**

4
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32

33 **ABSTRACT**

34 **Background:**

35 The primary objective of this study is to evaluate the clinical significance of RANK/L expression,
36 in both a retrospective cohort of surgically resected stage I-III NSCLC (Lungscape) and a
37 randomized clinical trial-cohort (SPLENDOUR) of advanced NSCLC treated with chemotherapy
38 alone or in combination with denosumab.

39 **Methods:**

40 RANK-L expression was assessed on tissue microarrays (TMAs) in Lungscape and whole sections
41 in SPLENDOUR, using immunohistochemistry, with H-scores values >0 indicating positivity.
42 Prevalence of RANK positivity and its association with clinicopathological characteristics, and
43 patient outcome was explored in a subset of the ETOP Lungscape cohort and in SPLENDOUR. Also
44 investigated were the prevalence of RANK overexpression (proportion of positive cancer cells
45 $\geq 50\%$) in the Lungscape cohort, and RANK-L in the SPLENDOUR trial.

46 **Results:**

47 In the Lungscape cohort, RANK expression was assessed at a median follow-up of 46 months
48 (N=488 patients; 4 centers); 35% were female, 44/49/6% adenocarcinomas (AC)/squamous cell
49 carcinomas (SCC)/other, 48/27/25% with stage I/II/III. Median RFS/TTR/OS were 58/Not
50 reached/74 months. Prevalence of RANK expression was 31% (95%CI:27%-35%); significantly
51 higher in AC: 50% (95%CI:43%-57%) vs SCC: 12% (95%CI:8%-16%) ($p < 0.001$); more frequent in
52 females (42% vs 25%, $p < 0.001$) and tumors ≤ 4 cm (35.3% vs 23.3%, $p = 0.0065$). No association
53 with outcome was found.

54 In the SPLENDOUR trial (463 patients), the prevalence of membranous and cytoplasmic RANK
55 positivity was 34% (95%CI:30%-38%) and 9% (95%CI:7%-12%), respectively, while prevalence for
56 RANK-L was 5% (95%CI:3%-7%) and 36% (95%CI:31%-40%), respectively. Cytoplasmic RANK-L
57 positivity was more common among females (47% vs 31%, $p = 0.001$) and in non-SCC histology
58 (45% vs 10%, $p < 0.0001$). At the pre-specified 1% significance level, no prognostic or predictive
59 effect was found.

60 **Conclusions:**

61 Both cohorts indicate that RANK expression is more common in adenocarcinoma/non-squamous
62 NSCLC and in female patients. No prognostic effect is found, and in the clinical trial involving
63 addition of denosumab to chemotherapy no predictive effect is detected.

64

65 INTRODUCTION

66 Lung cancer remains the leading cause of cancer mortality. Non-small cell lung cancer (NSCLC)
67 accounts for 85% of primary lung malignancies. Recently, the knowledge of lung carcinogenesis
68 has been rapidly improving and life expectancy of individuals with lung cancer has significantly
69 increased following the emergence of targeted therapies and immunotherapy.

70 Common cell survival signalling pathways are activated by carcinogens as well as by inflammatory
71 cytokines, growth factors and immune modulators, which contribute substantially to cancer
72 development. Accordingly, prognostic and predictive biomarkers have been identified.

73 Nuclear factor-kappaB (NF-kappaB) has been shown to be involved in multiple steps in
74 carcinogenesis and resistance to chemotherapy or radiotherapy. There is today considerable
75 evidence that NF-kappaB is constitutively activated in a variety of solid tumors, including
76 prostate, breast, cervical, pancreatic and lung cancer [1]. Animal models and cell culture systems
77 have established the links between NF-kappaB and lung carcinogenesis [2, 3], highlighting the
78 significance of evaluating the NF-kappaB signalling pathway as a potential biomarker and
79 therapeutic target in lung cancer.

80 The Receptor Activator of NF- κ B, RANK, (also known as TNFRSF11A) and the ligand RANK-L (also
81 known as TNFSF11), a tumor necrosis factor (TNF) family member that signals through its
82 receptor RANK, was first discovered for its role in modulating the interactions between T-cells
83 and dendritic cells. Subsequently, its receptor RANK-L has been shown to activate osteoclasts,
84 promote lymphocyte maturation and function, and enable mammary gland and secondary lymph
85 node organogenesis[4-6]. A link between RANK-L signalling and cancer has been established in
86 recent years. Perhaps owing to its bone remodelling capabilities, the RANK-L-RANK pathway
87 facilitates bone metastasis formation [7, 8]. Also, it promotes seeding of breast tumor cells into
88 the lungs in a T-regulatory–cell-dependent manner and participates in development of progestin-
89 dependent mammary tumors [9-11]. A similar role in carcinogenesis, in correlation with sex
90 hormones, was suggested regarding lung cancer in animal models [12].

91 Targeting RANK using denosumab, a fully human monoclonal IgG2 antibody binding with a high
92 affinity to RANK-L is a proven strategy to prevent skeletal complications due to bone metastases
93 in advanced lung cancer [13] and other solid tumors. A retrospective subgroup analysis of
94 patients with lung cancer from this trial [13] suggested a potential survival benefit related to
95 denosumab administration as compared to zoledronate [14]. SPLENDOR (ETOP-5-12/EORTC
96 08111) was an open label phase III trial with the primary objective to evaluate whether the

97 addition of denosumab to standard first-line platinum-based doublet chemotherapy in advanced
98 NSCLC improves overall survival (OS). The study was stopped early, and no difference in outcome
99 was found.

100 Whether measuring the expression of RANK/L in NSCLC might serve as a prognostic or predictive
101 biomarker has not been previously addressed and no standard method for its determination has
102 been described. One report described a frequent and high expression of RANK on lung tumors,
103 associated with worse prognosis, in particular in females. No correlation was observed between
104 RANK expression and smoking status, but a potential correlation with the presence of KRAS
105 mutation was hypothesized [12].

106 The primary objective of the current study is to evaluate the prevalence of RANK(L)
107 (over)expression, as well as its association with selected patient or tumor characteristics and its
108 clinical significance, in NSCLC. The study addresses these questions from two distinct NSCLC
109 cohorts. The first is from the ETOP Lungscape, a clinically annotated biobank of resected stages
110 I-III NSCLC while the second from the SPLENDOUR (ETOP-5-12/EORTC 08111) clinical trial in
111 advanced NSCLC.

112

113 **METHODS**

114 Lungscape RANK cohort

115 *Study design*

116 Lungscape RANK was a cohort study of surgically resected, stage I-III NSCLC cases from a subset
117 of the Lungscape cohort [15]. Clinical and molecular data were obtained from the Lungscape
118 iBiobank database (<https://etopdata.etop-eu.org>). Mandatory clinical parameters and the 7th
119 TNM staging exactitude were centrally reviewed. The study has been conducted according to the
120 Lungscape master and RANK sub-study protocols; with adherence to country specific ethics and
121 regulatory requirements.

122 ***RANK IHC scoring and definition of RANK expression/overexpression***

123 Each site participating in the study provided 4 freshly-cut slides from one or more TMAs
124 containing 2 - 4 FFPE cores for each case accepted in the Lungscape program. IHC staining was
125 performed at a laboratory facility designated by Amgen, in order to allow the establishment of
126 optimal IHC procedures and uniform examination of all samples. RANK IHC assay sensitivity was

127 achieved on the commercial platform by use of a combination of RANK antibodies in a 50:50
128 ratio, using RANK cocktail 2.5 ug/ML each and RANK clone N1H8 ug/mL.

129 For that purpose, 4 sections of each TMA were cut and sent to the Amgen designated facility,
130 Clariant/Neogenomics, that is CLIA certified . The designated laboratory performed IHC analysis
131 for RANK on the provided TMA slides, including reading of slides for RANK staining. For each of
132 the four cores, staining intensity was measured in different localizations of the cell, i.e., in both
133 cytoplasm and membrane, and overall. RANK expression and overexpression were defined based
134 on the staining intensity measured overall in the cells. A Lungscape case is considered to have
135 positive RANK expression (RANK(+)) if the H-score of at least one core is non-zero, while RANK
136 overexpression (RANK(++)) corresponds to the cases that at least one of the four cores shows
137 $\geq 50\%$ of positive staining intensity.

138 **SPLENDOUR cohort**

139 ***Study history and translational objectives***

140 SPLENDOUR was an open-label phase III trial with the primary objective to assess the efficacy of
141 the addition of denosumab to standard first-line platinum-based doublet chemotherapy in
142 advanced stage IV NSCLC, stratified by bone metastases (presence versus absence), ECOG PS (0/1
143 versus 2), histology (squamous versus non-squamous), and geographic region (Eastern versus
144 Western versus Southern Europe). A total of 514 patients, 259 in chemotherapy-denosumab and
145 255 in the chemotherapy-alone arm, were randomized in the trial from 11-December-2014 to
146 10-January-2018. The trial is registered with ClinicalTrials.gov, number NCT02129699. The
147 primary efficacy analysis failed to demonstrate an OS improvement of the chemotherapy-
148 denosumab treatment combination compared to chemotherapy-alone[16]. This finding was also
149 verified by the combined analysis of SPLENDOUR and AMGEN-249 trials [17].

150 The translational research objective of the SPLENDOUR trial, presented here, is to investigate the
151 prognostic role of membranous and cytoplasmic RANK/RANK-L (RANK(L)) expression as well as
152 its predictive role as marker of response to denosumab treatment.

153 ***Material collection and testing***

154 Patients' availability of tumor tissue for translational research, was one of the trial's eligibility
155 criteria. FFPE tumor tissue was collected at baseline from a biopsy of the primary tumor or
156 metastasis or from archival tissue from tumor resection, or a paraffin-embedded cell block and
157 subsequently submitted to, catalogued, and maintained at a central laboratory (Institute of
158 Pathology at the University Hospital Lausanne – CHUV).

159 In the frame of the present study, cytoplasmic and membranous immunohistochemistry (IHC)
160 staining was performed for both RANK and RANK-L, using Mouse anti-RANK, clone N2B10/N1H8,
161 Monoclonal mouse anti-RANKL, clone M366 and Mouse IgG1 Isotype Control (Clone 11711).

162 Primary analysis for both biomarkers (RANK or RANK-L with cytoplasmic or membranous staining)
163 was performed using as cut-off an H-score value of 0 (negative cases: “0 H-score” versus positive
164 cases: “>0 H-score”). An alternative cut-off of 10 for positivity was used as sensitivity analysis
165 only for the membranous RANK and cytoplasmic RANK-L (not meaningful for the other cases, due
166 to very few cases above that cut-off value).

167 **Statistical Methodology**

168 The prevalence of RANK(L) (over)expression is reported as a percentage with a corresponding
169 95% exact binomial confidence interval (CI). Differences in clinicopathological characteristics by
170 biomarker status were assessed based on the Fisher’s exact test, for categorical characteristics,
171 or Mann-Whitney test, for continuous ones.

172 In the Lungscape cohort, where additional cancer-related biomarkers were available (MET[18],
173 ALK[19], PTEN[20], PD-L1[21, 22] IHC as well as MET, EGFR, KRAS & PIK3CA genes[23]), their
174 association with RANK (over)expression status was evaluated by histology type (adenocarcinoma
175 and squamous cell carcinoma; Fisher’s exact test) and overall (stratified by histology, Cochran-
176 Mantel-Haenszel statistic; homogeneity, Breslow-Day test).

177 In Lungscape, the primary long-term outcomes of interest were OS, recurrence-free survival (RFS;
178 time from surgery to first recurrence or death), and time to recurrence (TTR, time from surgery
179 to recurrence). In the SPLENDOUR trial, OS was the primary endpoint, with progression-free
180 survival (PFS) a secondary one.

181 In both studies, the time-to-event endpoints were estimated by the Kaplan-Meier method, while
182 Cox proportional hazards (PH) models were used to assess the clinical significance of RANK(L)
183 biomarkers.

184 More particularly, in Lungscape, the effect of RANK (over)expression on outcome was explored
185 through Cox proportional hazard models, adjusting for the following clinicopathological variables
186 of interest: sex, ethnicity, smoking history, age, adjuvant chemotherapy, adjuvant radiotherapy,
187 previous history of cancer, performance status (PS), stage, localization of primary tumor, tumor
188 size categories, histology, year of surgery, surgery technique, surgery anatomy, and the following
189 biomarkers/gene mutations: MET IHC, MET gene, ALK IHC, PTEN, EGFR, KRAS, PIK3CA and PD-L1
190 (cutoff 5%). The significant outcome prognostic factors were derived based on the backwards

191 elimination method (removal $p \geq 0.10$) and their effect was expressed through corresponding
192 Hazard Ratios (HRs) and 95% CIs.

193 In the frame of the randomized SPLENDOUR study, the prognostic role of each biomarker was
194 assessed by applying univariate Cox models, for OS and PFS, separately in each treatment arm
195 (Chemotherapy and Denosumab, Chemotherapy). A biomarker would be called prognostic if a
196 statistically significant difference was found between positive/negative biomarker status,
197 separately in each treatment arm. The predictive effect of the biomarkers was also assessed
198 through the testing of the interaction of each biomarker with treatment on OS and PFS. In this
199 case, multivariable Cox models including treatment and the RANK(L) biomarkers, were adjusted
200 for sex, age, ECOG PS, histology, bone metastasis and region.

201 The proportional hazards assumption of the Cox models was tested, using the Schoenfeld
202 residuals.

203 A stringent 1% significance level was chosen due to the issue of multiple testing for interpreting
204 the results of these translational analyses. Of note, all these analyses should be considered
205 exploratory, and no adjustment for multiple testing was performed.

206 Statistical analyses were carried out in SAS version 9.4 (SAS Institute, Cary, NC) and performed
207 for Lungscape at the ETOP statistical center, Frontier Science Foundation-Hellas, Athens, Greece
208 and for SPLENDOUR at the European Organisation for Research and Treatment of Cancer-EORTC.

209

210 **RESULTS**

211 **Lungscape: Assessment of prognostic effect of RANK (over)expression**

212 ***Analysis Cohort***

213 In the frame of the ETOP Lungscape study, RANK IHC was scored by a board-certified central
214 Lungscape pathologist. The analysis cohort consisted of 488 surgically resected stage I-III NSCLC
215 patients (88% of 556 total in 4 Lungscape centres (Medical University Gdansk, University Hospital
216 Basel, University Hospital Heidelberg and Roswell Park Cancer Institute), operated from 2003 up
217 to 2011. Clinicopathological characteristics of the analysis cohort, that includes 216 (44%)
218 adenocarcinomas and 241 (49%) squamous cell carcinomas, are presented in Table 1.

219 The median age of the analysis cohort was 66 years, consisting primarily of male patients (65%),
220 of Caucasian ethnicity (98%), former or current smokers (81%; 61% and 21%). Adjuvant

221 chemotherapy and radiotherapy were administered to 21% and 7% of the patients, respectively.
222 Disease stage distribution was: I 48%, II 27% and III 25%, while 60% of the tumors were of up to
223 4cm size. With respect to the surgery anatomy, lobectomy was the most frequent type (71%),
224 79% of the surgeries were open thoracotomies and the majority of surgeries (85%) were
225 performed after 2006.

226 ***RANK (over)expression prevalence and association with clinico-pathological characteristics and***
227 ***other molecular biomarkers***

228 The prevalence of RANK expression was 30.5% (95%CI: 26.5% - 34.8%), significantly higher in
229 adenocarcinomas 50.0% (95%CI: 43.1% - 56.9%) versus squamous cell carcinomas 11.6% (95%CI:
230 7.9% - 16.4%) ($p<0.001$, Table 1).

231 Among the 149 positive cases, 86 (58%) were positive only in cytoplasm, 54 (36%) positive in both
232 membrane and cytoplasm, while 9 (6%) only in membrane (Supplementary Table S1,
233 Supplementary Figure S1).

234 Maximum RANK score intensity in cytoplasm was 1+ for 25% of the cases, 2+ for 3% and 3+ for
235 only 0.2%, while analogously in membrane scores were 11% 1+, 1% 2+ and 0.4% 3+
236 (Supplementary Table S2). The distribution of H-scores is further illustrated in Supplementary
237 Figure S2. In cytoplasm, 93% of H-scores were up to 25 (71% 0), with an overall average H-score
238 of 6), while in membrane 97% were up to 25 (87% 0), with 2.3 average value.

239 The prevalence of RANK overexpression was 9.6% (95%CI: 7% - 13%) and was also significantly
240 more common in adenocarcinomas (17.6%; 95%CI: 13% - 23%) versus squamous cell carcinomas
241 (2.5%; 95%CI: 0.9% - 5.3%) ($p<0.001$, Table 1).

242 Furthermore, RANK expression was statistically significantly (at 1%) associated with sex and
243 tumor size (Table 1), irrespective of histology. More particularly, positive RANK expression was
244 higher in females (42%) rather than males (25%, $p<0.001$), and in tumors ≤ 4 cm than >4 cm (35%
245 vs 23%, respectively; $p=0.0065$). Also, significant associations were detected between surgery
246 characteristics and RANK expression (surgery anatomy and surgery technique, both $p<0.001$).

247 RANK overexpression was more prevalent in never smokers (18% vs 8% in former/current
248 smokers, $p=0.0078$) (Table 1).

249 Among the specific cancer-related biomarkers evaluated in Lungscape, a significant association
250 with RANK expression overall was detected only with IHC PTEN. Positive RANK expression was
251 significantly more prevalent in patients with IHC PTEN expression compared to those with PTEN

252 loss (37% vs 22%, $p<0.001$, stratified by histology). The same relationship existed for
253 adenocarcinomas alone (58% vs 38%, respectively, $p=0.0054$) (Supplementary Table S3).

254 RANK overexpression associations, were also examined and although based on small number of
255 cases, the results are consistent. The mentioned significant association of IHC PTEN with RANK
256 expression is also found with RANK overexpression both in adenocarcinomas (25% RANK
257 overexpression among IHC PTEN expression vs 7% in IHC PTEN loss, $p<0.001$), and overall
258 ($p<0.001$). No further significant association was detected (Supplementary Table S4).

259 ***Clinical significance of RANK (over)expression: Association with outcome***

260 In the analysis cohort of 488 Lungscape patients, at a median follow-up time of 46.2 months (IQR:
261 35.4 - 55.3), the majority of patients (67%) were alive, with 283 (58%) without evidence of
262 disease. In total, 205 (42%) RFS events were observed, with median RFS 57.9 months [95%CI:
263 52.9 - Not Estimable (NE)]. The median OS was 74.0 months (95%CI: 61.0 - NE), while median TTR
264 was not reached.

265 None of the three outcome variables (overall or separately for adenocarcinomas or squamous
266 cell carcinomas) differed significantly by RANK expression status (all log-rank p -values non-
267 significant $>5\%$; OS in Figures 1A-B, S3). No significant effect of RANK expression was found in the
268 corresponding multivariable Cox models adjusted for clinicopathological characteristics.

269 Regarding RANK overexpression, a difference (log-rank p -value=0.037), not significant at 1%, was
270 only found in the OS of adenocarcinomas (Figures 1C-D). This effect was also identified in the
271 overall multivariable Cox model, stratified by tumor size and adjusted for ethnicity, stage, PS,
272 previous cancer history and surgery technique, with $HR_{RANK\ express_vs_not}=1.73$ (1.07 - 2.80, $p=0.026$,
273 Table S5).

274 Finally, no statistically significant difference was found in the rate of bone metastases by RANK
275 expression or overexpression: 9.4% for positive RANK expression vs 8.0% for negative, $p=0.60$
276 and 10.6% for RANK overexpression vs 8.2% for not, $p=0.58$ (Table S6).

277

278 *SPLENDOUR: Assessment of prognostic and predictive effect of RANK(L) expression*

279 ***Analysis Cohort***

280 Information on RANK(L) measurements was available for 463 patients, 229 in chemotherapy-
281 alone and 234 in chemotherapy-denosumab arm, among the total 514 patients randomized in
282 the SPLENDOUR trial (Supplementary Figure S4).

283 ***Prevalence of membranous and cytoplasmic RANK(L) measurements and association with***
284 ***clinico-pathological and other baseline characteristics***

285 The prevalence of membranous RANK positivity (H-score>0) was 33.9% (exact binomial 95%CI:
286 29.6% - 38.4%) while for cytoplasmic was only 8.9% (95%CI: 6.4% - 11.8%) The corresponding
287 percentages for membranous RANK-L and cytoplasmic RANK-L were 4.8% (95%CI: 3.0% - 7.1%)
288 and 35.6% (95%CI: 31.3% - 40.2%), respectively (Tables 2, S7).

289 RANK(L) expression according to patients' baseline characteristics is summarized in Table 2, for
290 membranous RANK and cytoplasmic RANK-L. Since a low positivity rate was found for cytoplasmic
291 RANK and membranous RANK-L, leading to a small number of positive cases, results are provided
292 only for descriptive purposes (Table S7).

293 Treatment arms were well balanced between positive/negative RANK(L) patients.

294 Membranous RANK did not appear to be associated with any of the examined characteristics (a
295 differentiation by histology was present with higher RANK positivity among non-squamous,
296 $p=0.04$, not significant at 1%).

297 Cytoplasmic RANK-L expression was significantly associated with sex, histology as well as region.
298 More particularly, RANK-L positivity was more common among females (47% vs 31% in males,
299 $p=0.001$), Western Europe compared to Southern Europe (41% vs 25%, $p<0.001$), and in in non-
300 squamous histology (45% vs 10% in squamous, $p<0.0001$).

301 ***Clinical significance of RANK(L): Association with Overall and Progression-free Survival***

302 The evaluation of the clinical significance of RANK(L) biomarkers, was based on the 463 patients
303 with available membranous and cytoplasmic RANK(L) results of the total 514 randomised in the
304 SPLENDOUR trial [16], with median follow-up time 24.6 months (IQR: 14.0-30.4), 329 recorded
305 deaths (median OS 8.1 months (95%CI 7.4-9.9)) and 413 PFS events (median PFS 4.7 months
306 (95%CI 4.2-5.0)). In the overall cohort, as well as within each treatment arm, no significant effect
307 on OS was seen for membranous or cytoplasmic RANK expression (membranous $HR_{+vs-}=1.11$,
308 95%CI 0.88-1.39, $p=0.38$; cytoplasmic $HR_{+vs-}=0.83$, 95%CI 0.57-1.22, $p=0.35$) (results by treatment
309 arm in Figures S5A-B, S6 A-B).

310 Similarly, no significant effect was detected for membranous RANK-L expression (overall HR_{+vs-}
311 $=1.36$, 95%CI 0.85-1.26, $p=0.20$; by treatment arm in Supplementary Figures S7A-B).

312 In the case of the more frequent cytoplasmic RANK-L, a not significant at 1% OS differentiation
313 appeared only in the Chemotherapy only arm, with RANK-L(+) having a better prognosis

314 compared to RANK-L(-) patients ($HR_{+vs-}=0.71$, 95%CI 0.51-0.98, $p=0.037$) (by treatment arm in
315 Supplementary Figures S8A-B).

316 Results for PFS, analogous with OS, are provided in Supplementary Figures S9-S12. In addition,
317 similarly to OS a PFS differentiation (not significant at 1%) by cytoplasmic RANK-L expression was
318 found in the Chemotherapy only arm, ($HR_{+vs-}=0.73$, 95%CI 0.55-0.97, $p=0.029$).

319 Thus, overall, membranous or cytoplasmic RANK(L) expression levels cannot be considered as
320 prognostic factors, none of them reaching 1% significance level or found significant in both
321 treatment arms.

322 No biomarker was found predictive at the 1% level. The interaction effect of each one of the
323 biomarkers with treatment on OS/PFS was not significant ($p>0.01$), in separate analysis by
324 biomarker (Supplementary Figures S13-S14) as well as in multivariable analysis adjusting for
325 other baseline characteristics (Supplementary Tables S8-S9). Only cytoplasmic RANK-L (Figure 2)
326 had a marginally significant p-value for interaction ($p<0.05$), and this finding is reported here
327 (interaction $p=0.039/0.099$ in the separate OS/PFS analysis, $p=0.019/0.065$ in multivariable
328 OS/PFS). More particularly, cytoplasmic RANK-L(-) patients would tend to benefit more from the
329 combination of chemotherapy with denosumab treatment, compared to chemotherapy alone,
330 than RANK-L (+) patients (adjusted OS $HR_{chemo+deno vs chemo}=0.80$, 95%CI: 0.61-1.04 in RANK-L(-) and
331 $HR_{chemo+deno vs chemo}=1.29$, 95%CI: 0.89-1.88 in RANK-L (+), interaction $p=0.019$).

332 In the frame of sensitivity analysis, using the alternative cut-off of H-score=10 for defining
333 positive membranous RANK (20% prevalence) and cytoplasmic RANK-L (31% prevalence), survival
334 results were consistent with the main analysis (interaction of cytoplasmic RANK-L with treatment
335 on OS: $p=0.03$).

336 Of note, in an exploratory post-hoc analysis, no statistically significant difference was found in
337 the rate of bone metastases (post randomization) by RANK/RANKL membranous or cytoplasmic
338 expression, overall and by treatment arm, while differentiations between arms were also not
339 significant (Table S10).

340

341 **DISCUSSION**

342 Current available literature to accurately describe the prevalence of RANK(L) expression in lung
343 cancer is scarce, despite biological hypotheses correlating the downstream NF- κ B pathway
344 activation to oncogenesis, as well as to poor prognosis. Our study demonstrated a prevalence of

345 RANK expression of 50% in lung adenocarcinoma and 12% in lung squamous cell carcinoma.
346 Expression was commonly weak; overexpression was only present in 10% of cases and mostly
347 restricted to lung adenocarcinoma.

348 Another study on human lung cancer found RANK not to be expressed in healthy human lung
349 tissue, but expressed in 60-80% of 120 NSCLC tissues using 3 independent cohorts. The incidence
350 and intensity of expression were also greatest in adenocarcinomas, with 72% of lung
351 adenocarcinomas staining positive for RANK with a mean H-score of 20, as compared to 61% of
352 positivity with an H-score of 20 in squamous cell carcinoma [12]. RANK expression was
353 significantly associated with the presence of *KRAS* mutations while no correlation was observed
354 between RANK expression and smoking status and tumor stage.

355 A more rapid progression of lung cancer in women has been suggested in historical studies [24].
356 Earlier studies on mammary tumors have suggested the RANK-L/RANK system to be partly
357 regulated by sex hormones [5, 25]. Rao and colleagues demonstrated that female sex hormones
358 can promote lung cancer progression via the RANK pathway [12]. In our study prevalence of RANK
359 expression, using the combined membranous and cytoplasmic staining, was slightly lower, using
360 however a different staining technology and platform. No correlation with *KRAS* mutation could
361 be detected. However, we were able to confirm the higher prevalence in non-squamous and
362 female's tumors, suggesting a potential role of sex determinants impacting this oncogenic
363 pathway.

364 RANK-L inhibition using the monoclonal antibody denosumab has been approved for the
365 treatment of osteoporosis and to prevent skeletal-related events in patients with metastatic
366 cancer. Also, it has been linked to delayed re-occurrence of breast tumors in an adjuvant setting,
367 and reduction of tumor growth in patient-derived lung cancer xenografts [12, 26]. A post-hoc
368 exploratory analysis suggested that denosumab might improve survival of metastatic lung
369 cancer[14], which could not be confirmed by our randomized phase III trial SPLENDOR [16]. Our
370 subsequent combined analysis of two randomised trials evaluating the addition of denosumab
371 to standard first-line chemotherapy in advanced NSCLC, using individual patient data, also did
372 not find any statistically significant improvement in PFS or OS with the combination of
373 denosumab and chemotherapy versus chemotherapy alone, in the overall population and across
374 the important clinical subgroups as defined by age, gender, PS and presence of bone metastases,
375 histology, and region.

376 In our Lungscape cohort, the expression of RANK was not correlated with outcome in any clinical
377 subgroup in radically resected stage I-III NSCLC. Also, using any positivity or a threshold of 10 by
378 H-score, we could not identify a subgroup of biomarker-positive patients, be it RANK or RANK-L,
379 benefiting from the addition of denosumab to chemotherapy in patients treated in SPLENDOUR.

380 A disadvantage of the study is that given the pre-set methodology of staining, the emphasis of
381 the data lies on 1+ intensity of the staining. It cannot be excluded that a threshold of 2+ intensity,
382 which was not available for the trial samples, might yield relevant trustworthy prognostic or
383 predictive associations.

384 Novel immunotherapy-based combinations, particularly those targeting non-redundant
385 immunosuppressive mechanisms, may improve clinical responses in advanced tumors. In pre-
386 clinical models, the addition of a RANK-L-specific antibodies to anti-PD-1 or anti-CTLA-4
387 antibodies increased T-cell effector function and increased CD8⁺ T-cell infiltration, leading to
388 increased anti-tumor immunity [27]. Small real-world studies of metastatic melanoma and
389 NSCLC patients treated concurrently with denosumab and immunotherapies indicate promising
390 clinical responses [28]. While potential biological mechanisms of synergy have been suggested
391 [29], prospective controlled data are required to confirm the clinical utility of such combinations.

392

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402

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