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# 1 Tonga eruption increases chance of temporary surface 2 temperature anomaly above 1.5°C

3  
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9

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11

12 **On 15th January 2022, the Hunga Tonga-Hunga Ha'apai (HTHH) eruption injected 146 MtH<sub>2</sub>O and**  
13 **0.42 MtSO<sub>2</sub> into the stratosphere. This large water vapour perturbation means HTHH's will likely**  
14 **increase the net radiative forcing, unusual for a large volcanic eruption, increasing the chance of**  
15 **the global surface temperature anomaly temporary exceeding 1.5°C over the coming decade.**  
16 **Here, we estimate the radiative response to the HTHH eruption, and derive the increased risk**  
17 **that the global mean surface temperature anomaly shortly exceeds 1.5°C following the eruption.**  
18 **We show that HTHH has a tangible impact of the chance of imminent 1.5°C exceedance**  
19 **(increasing the chance of at least one of the next five years exceeding 1.5°C by 7%), but the level**  
20 **of climate policy ambition, particularly the mitigation of short-lived climate pollutants, dominates**  
21 **the 1.5°C exceedance outlook over decadal timescales.**

22

23 The eruption of Hunga Tonga-Hunga Ha'apai (HTHH) on 15th January 2022 was one of the most  
24 well-observed in human history<sup>1-4</sup>. Ranked with a Volcanic Explosivity Index of 5<sup>3</sup>, this was the most  
25 explosive eruption since Pinatubo in 1991, producing perturbations in surface pressure which  
26 reverberated around the globe for days after the climactic eruption event itself<sup>1</sup>. But perhaps more  
27 significant than this, the eruption was notable because of the composition of its stratospheric  
28 perturbation – an estimated 0.42 MtSO<sub>2</sub> sulphur dioxide injection<sup>2,3</sup> and 146 MtH<sub>2</sub>O water vapour  
29 injection<sup>5</sup>. The HTHH eruption resulted in the largest stratospheric water vapour perturbation  
30 observed in the satellite era (a 10-15% increase in the water vapour content of the stratosphere),  
31 with a modest accompanying SO<sub>2</sub> injection (approximately 1/50<sup>th</sup> the size of the Pinatubo  
32 eruption<sup>6</sup>).

33

34 Most large volcanic eruptions are notable for their negative perturbation on global surface  
35 temperatures, since they emit large quantities of SO<sub>2</sub>, an aerosol particulate which scatters  
36 incoming solar radiation. However, it is possible that over a multi-year period HTHH will cause a  
37 temporary increase in global surface temperatures due to this large water vapour increase and lack  
38 of a large counterbalancing sulphate aerosol perturbation<sup>7</sup>. Some groups have separately calculated  
39 the radiative impact of the SO<sub>2</sub> injection<sup>8</sup>, ignoring the impact of the large water vapour  
40 perturbation, while others have included the water vapour<sup>9</sup>, but focus on the negative radiative  
41 perturbation caused by an increased rate of hydrolysis of SO<sub>2</sub> to H<sub>2</sub>SO<sub>4</sub>, and not the impact of the  
42 water vapour itself. Estimates of the combined radiative perturbation resulting from the HTHH  
43 eruption are dominated by the water vapour contribution, resulting in a positive net radiative  
44 forcing perturbation despite the increased rate of SO<sub>2</sub> hydrolysis<sup>7</sup>, and meaning the multi-year  
45 climate response to HTHH is determined by the evolution of the stratospheric water vapour  
46 perturbation. If a large fraction of the injected stratospheric water vapour plume remains over  
47 several years, the HTHH eruption could measurably, albeit temporarily, change the likelihood of the  
48 global mean surface temperature (GMST) anomaly exceeding 1.5°C. This is not identical to 1.5°C-  
49 exceedance in the context of the Paris Agreement, which relies on GMST averaged over a multi-

50 decade interval, isolating the long-term trend. Despite this, the first year which exceeds 1.5°C will  
51 garner significant media attention, even if a portion of this results from HTHH. Here, we look to  
52 place the likelihood of 1.5°C-exceedance into context by understanding the contribution from the  
53 HTHH eruption.

54

55 In May 2022 the World Meteorological Organisation published its assessment of the probability of  
56 the annual-average GMST anomaly exceeding 1.5°C in at least one of the next five years,  
57 determining a 50:50 chance that a 1.5°C year (GMST relative to 1850-1900 baseline) would be  
58 recorded between 2022-2026<sup>10,11</sup>. This analysis used several full-complexity general circulation  
59 models forced with prescribed historical concentration timeseries until present day and the SSP2-  
60 45<sup>12</sup> scenario thereafter (following the Decadal Climate Prediction Project protocol<sup>13</sup>), but did not  
61 include the impact of the recent HTHH eruption. To consider the impact of this eruption on this  
62 statement, we first require an estimate the additional instantaneous radiative forcing (IRF) resulting  
63 from a well-mixed ( $\pm 60^\circ\text{N/S}$ , 7.5hPa-40hPa) 146 MtH<sub>2</sub>O stratospheric water vapour injection.

64

65 We estimate this using the SOCRATES Radiative Transfer Model<sup>14,15</sup> using a representative near-  
66 present day ERA5 reanalysis atmospheric profile<sup>16</sup> (the full protocol used to determine the water  
67 vapour's IRF contribution is described in the methods). In January 2022, a water vapour  
68 perturbation of 1 ppm mass mixing ratio (MMR) of H<sub>2</sub>O is added to the background climatology  
69 state between 40 and 7.5 hPa, and 60°S and 60°N. Over this domain a 1 ppm MMR increase is very  
70 close to the 146 Tg H<sub>2</sub>O mass of water vapour increase estimated by retrievals from the Microwave  
71 Limb Sounder on board NASA's Aura satellite<sup>5</sup>. This results in a +0.12 ( $\pm 0.04$ ) W/m<sup>2</sup> IRF perturbation  
72 directly following the eruption event, which subsequently decays as the stratospheric water vapour  
73 perturbation is removed over the following decade. The uncertainty range on this IRF estimate is  
74 calculated using various alternative domains for the vertical and horizontal spread of the water  
75 vapour, as described in methods. We ignore the negative IRF contribution from the accompanying  
76 SO<sub>2</sub> deposit since the SO<sub>2</sub> deposit is significantly smaller than the accompanying water vapour  
77 deposit<sup>7</sup>, and it is unclear that the SO<sub>2</sub>'s cooling response would be measurable following a HTHH-  
78 sized stratospheric SO<sub>2</sub> injection<sup>17</sup>. Some studies<sup>9</sup> which include the SO<sub>2</sub> injection and find a net-  
79 negative IRF in the initial months following the eruption, however the size of this negative IRF  
80 appears inconsistent with the context of other similarly sized tropical eruptions in the observational  
81 record<sup>17</sup>, and with observations of tropical stratospheric temperatures which are consistent with a  
82 large radiative perturbation due to the water vapour injection<sup>18</sup>. Despite this simplification, our IRF  
83 perturbation is consistent with other groups' estimates of the combined radiative forcing  
84 perturbation from HTHH<sup>7</sup>.

85

86 These are used to construct perturbed effective radiative forcing (ERF) scenarios by adding the  
87 HTHH IRF timeseries to the background ERF scenario (*historical*+SSP2-4.5<sup>19</sup>; see figure S1 in the SI),  
88 assuming stratospheric water vapour's IRF is approximately equal to its ERF. The warming response  
89 are computed using the FalRv2.0 simple climate model<sup>20</sup> (see methods). We also include two  
90 further scenarios assuming that a 1.5°C-consistent mitigation pathway is followed beyond present  
91 day (i.e. following a *historical*+SSP1-1.9<sup>19</sup> ERF timeseries, with and without HTHH), to assess the  
92 relative impact of the HTHH eruption compared to global mitigation decisions over the next decade.

93

94 The resulting GMST anomaly for each scenario is shown in figure 1a. The *historical*+SSP2-4.5 ERF  
95 scenario including HTHH is shown in green, and excluding HTHH in light grey (best-estimate shown  
96 with solid lines, dotted lines denote a plume showing 5-95<sup>th</sup> percentile range). The two SSP1-1.9  
97 scenarios are also shown on panel a (blue including HTHH, dark grey excluding HTHH). For all  
98 scenarios the GMST anomaly lies around 1.1°C between 2010-2019 compared to 1850-1900 pre-

99 industrial reference period, consistent with estimates from the IPCC's Sixth Assessment Report<sup>21</sup>.  
100 Solid lines in panel b show the increasing risk of 1.5°C-exceedence for each scenario between 2015-  
101 2035, calculated as the fraction of a 50,000 member GMST ensemble which exceeds 1.5°C in each  
102 year. Following the HTHH eruption, the GMST anomaly increases (green and blue lines), meaning  
103 the chance of 1.5°C-exceedence in any year in the decade following HTHH is elevated compared to  
104 the baseline cases (grey lines). The cumulative probability of remaining below 1.5°C (dashed lines in  
105 panel b) decreases rapidly from 2022 in all scenarios, but faster for scenarios including HTHH, since  
106 these include an additional positive radiative forcing from HTHH. Over the five-year period 2022-  
107 2026, the light grey *historical*+SSP2-45 scenario has a 50% probability of 1.5°C-exceedence, which  
108 increases to 57% once the HTHH eruption is included (green).

109  
110 While this increase in 1.5°C-exceedence risk is important, over multi-year timescales the changing  
111 risk profile for 1.5°C-exceedence is still dominated by human choices. Following a 1.5°C-consistent  
112 mitigation pathway beyond present day (dark grey) results in a similar 2022-2026 1.5°C-exceedence  
113 risk (60%) without including the impact of the HTHH eruption. This is because the rapid mitigation  
114 of short-lived climate pollutants (principally aerosols and methane) in a highly ambitious mitigation  
115 pathway results in a temporary increase in the ERF over the next decade, and therefore a  
116 temporary increase in the rate of anthropogenic warming. Additionally including the HTHH eruption  
117 in this *historical*+SSP1-1.9 scenario (blue) results in a two-thirds probability of 1.5°C-exceedence  
118 between 2022-2026 (67%).

119  
120 While the HTHH eruption produces a measurable change in the probability of imminent 1.5°C-  
121 exceedence for any given scenario, human choices still dominate the decadal risk outlook. Further,  
122 crossing 1.5°C in a single year does not mean the Paris Agreement has failed. Although exposure to  
123 climate risk increases with elevated GMST regardless of cause, exceedence of temperature  
124 thresholds in the Paris Agreement are based strictly on the anthropogenic contribution to GMST;  
125 natural forcing and the climate system's internal variability does not play a role in dictating whether  
126 these thresholds have been crossed. Despite this, the HTHH eruption temporarily does increase the  
127 GMST anomaly over the next five years, while stratospheric water vapour concentrations are  
128 perturbed<sup>5</sup>. Over this period HTHH increases the likelihood we observe our first 1.5°C year by  
129 around 7%.

130

### 131 **Data Availability Statement**

132

133 The ERA5 data required to estimate the radiative perturbation caused by the HTHH eruption are  
134 available at [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels-monthly-means?tab=overview)  
135 [monthly-means?tab=overview](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels-monthly-means?tab=overview)<sup>22</sup>, including atmospheric temperature, specific humidity (water  
136 vapour mass mixing ratio), ozone mass mixing ratio, cloud fraction, cloud liquid and ice water  
137 content, evaluated on pressure levels. ERA5 surface albedo and surface temperature variables are  
138 available at [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-means?tab=form)  
139 [monthly-means?tab=form](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-means?tab=form)<sup>23</sup>. The Shared Socioeconomic Pathways Effective Radiative Forcing  
140 timeseries used to estimate the global temperature response are available at  
141 <https://doi.org/10.5281/zenodo.5705391><sup>24</sup>.

142

### 143 **Code Availability Statement**

144

145 The FalRv2.0 simple climate model used to estimate the global temperature response is available at  
146 <https://doi.org/10.5281/zenodo.4683173><sup>20</sup>. The SOCRATES radiative transfer model is available at  
147 <https://code.metoffice.gov.uk/trac/socrates/wiki><sup>15</sup>, with instructions on how to access in

148 [https://homepages.see.leeds.ac.uk/~lecsjed/winscpuse/socrates\\_userguide.pdf](https://homepages.see.leeds.ac.uk/~lecsjed/winscpuse/socrates_userguide.pdf). Figure production  
149 code is available from <https://doi.org/10.5281/zenodo.7319240><sup>24</sup>.

150

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152

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158

## 159 **Author Contributions Statement**

160

161 SJ, MA and RG designed the study. CS ran the SOCRATES offline radiative transfer calculations. SJ  
162 computed the temperature response with FalRv2.0, analysed the results and produced the figure.  
163 All authors contributed to writing the manuscript.

164

## 165 **Competing Interests Statement**

166

167 The authors declare no competing interests.

168

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170

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193 [global-temperature-temporarily-reaching-15%C2%B0c-threshold](https://public.wmo.int/en/media/press-release/wmo-update-5050-chance-of-global-temperature-temporarily-reaching-15%C2%B0c-threshold) (2022).
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228

## 229 **Methods**

230

### 231 **Estimating the radiative perturbation from HTHH**

232

233 To calculate the radiative perturbation in response to the HTHH eruption, we started with a  
234 monthly background climatology for the year 2014 from ERA5<sup>1-3</sup>. The base year does not make a  
235 large difference for instantaneous radiative forcing (IRF) calculations<sup>4</sup>. ERA5 climatological data  
236 comprises atmospheric temperature, specific humidity (water vapour mass mixing ratio), ozone  
237 mass mixing ratio, cloud fraction, cloud liquid and ice water content, surface albedo and surface  
238 temperature. The variables with three spatial dimensions are retrieved on the CMIP6 pressure  
239 layers (1000, 925, 850, 700, 600, 500, 400, 300, 250, 200, 150, 100, 70, 50, 30, 20, 10, 5, 1 hPa). For  
240 running the SOCRATES radiative transfer code, layer boundaries need to be defined so we chose  
241 the linear midpoint of layers as the boundaries with 1013.25 hPa as the surface pressure and 0 hPa  
242 at the top of atmosphere.

243

244 Simulations were run from January 2022 to December 2028. In January 2022, a water vapour  
245 perturbation of 1 ppm mass mixing ratio (MMR) of H<sub>2</sub>O was added to the 30 hPa, 20 hPa and 10  
246 hPa layers in the background climatology (i.e. bounded by 40 and 7.5 hPa) between 60°S and 60°N.  
247 Over that domain a 1 ppm MMR increase is very close to the 146 Tg H<sub>2</sub>O mass of water vapour  
248 increase estimated by retrievals from the Microwave Limb Sounder on board NASA's Aura satellite<sup>5</sup>.  
249 The amount of water vapour that we added to this stratospheric domain in addition to the ERA5  
250 baseline climatology decreases linearly every month over 7 years from 1 ppm MMR in January 2022  
251 to zero in January 2029 (based on an estimate of a 5-10 year decay timescale in Millán et al.  
252 (2022)<sup>5</sup>). We calculated the net (longwave plus shortwave) IRF for each month as the difference of a  
253 pair of radiative transfer simulations using the SOCRATES broad-band radiation code<sup>6,7</sup>, taking the  
254 flux differences (downwelling minus upwelling) at a latitude-dependent tropopause height<sup>8</sup>.  
255 Shortwave radiative forcing was calculated as the weighted sum of 5 representative solar zenith  
256 angles at each latitude in each month using Gaussian quadrature. The net IRF for January 2022 with  
257 the largest water vapour perturbation is +0.12 Wm<sup>-2</sup>, comparable to the +0.15 Wm<sup>-2</sup> estimated in  
258 Millán et al. (2022)<sup>5</sup>. IRF at the tropopause is assumed to be similar to effective radiative forcing  
259 (ERF) at the top of atmosphere in the absence of any specific literature evidence to the contrary, for  
260 which ERF has a closer correspondence to global mean surface temperature than IRF where they  
261 differ<sup>9</sup>. The stratospheric water vapour IRF calculated each month was averaged over each year.

262

### 263 **Sensitivity analysis**

264

265 As a sensitivity study we recalculated the IRF with several alternative assumptions for the vertical  
266 and horizontal spread of the water vapour plume, conserving the 146 Tg H<sub>2</sub>O mass water vapour  
267 perturbation throughout. These include: 1) 60S-60N, 4 hPa - 25 hPa, 1.5 ppb (one model level  
268 higher), 2) 60S-60N, 15 hPa - 60 hPa, 0.7 ppb (one model level lower), 3) 60S-60N, 4 hPa - 60 hPa,  
269 0.6 ppb (more vertical spread), 4) 90S-90N, 7.5 hPa - 40 hPa, 0.9 ppb (plume spreads globally), 5)  
270 30S-30N, 7.5 hPa - 40 hPa, 1.7 ppb (plume confined to tropics). The experiments which varied the  
271 height of the plume show little influence on the globally averaged IRF response (see SI figure S2).  
272 Assuming wide or narrow horizontal plume spreads following the water vapour injection scaled the  
273 initial IRF response by a factor of two (+0.08 Wm<sup>-2</sup> for the narrow plume vs. +0.16 Wm<sup>-2</sup> for the  
274 wide plume). In all experiments cases we ignored the impact of the SO<sub>2</sub> injection. While in theory  
275 this biased our calculated IRF responses high, in practise the SOCRATES offline radiative transfer  
276 calculation was unlikely to change significantly with the SO<sub>2</sub> injection included, since it is so small  
277 for the HTHH eruption. Zuo et al. (2022) estimated the GMST response to HTHH to be -0.004°C in  
278 the year following the eruption, based on linearly scaling the surface temperature anomaly after  
279 large southern volcanic eruptions to the intensity of HTHH's 0.42 MtSO<sub>2</sub> injection<sup>10</sup> (substantially  
280 smaller than the +0.035°C peak temperature anomaly response to HTHH water vapour plume we  
281 calculated here).

282

### 283 **Estimating the temperature response**

284

285 A perturbed effective radiative forcing (ERF) scenario was then produced by adding the HTHH IRF  
286 timeseries to the background ERF scenario (*historical*+SSP2-4.5 or *historical*+SSP1-19<sup>11</sup>; shown in  
287 figure S1 of the SI), assuming stratospheric water vapour's IRF was approximately equal to its ERF.  
288 The warming response to the HTHH-perturbed and unperturbed scenarios were computed with the  
289 FaIRv2.0 simple climate model<sup>12</sup>, using best-estimate observationally-constrained physical response  
290 parameters. Having determined the warming response to these drivers, additional uncorrelated  
291 'internal variability' noise (normally distributed;  $\sigma=0.2^{\circ}\text{C}$ ,  $n=50,000$ -member ensemble) was added  
292 to the temperature anomaly to produce GMST-like temperature anomaly realisations covering the

293 entire historical and near-future period. The standard deviation of the internal variability  
294 distribution is chosen to reproduce the WMO's result that the probability of 1.5°C-exceedence  
295 between 2022-2026 in the unperturbed *historical*+SSP2-4.5 scenario is 50%<sup>13</sup>.

296

297 All code to reproduce the figures is available at <https://doi.org/10.5281/zenodo.7319240><sup>14</sup>.

298

## 299 **Methods References**

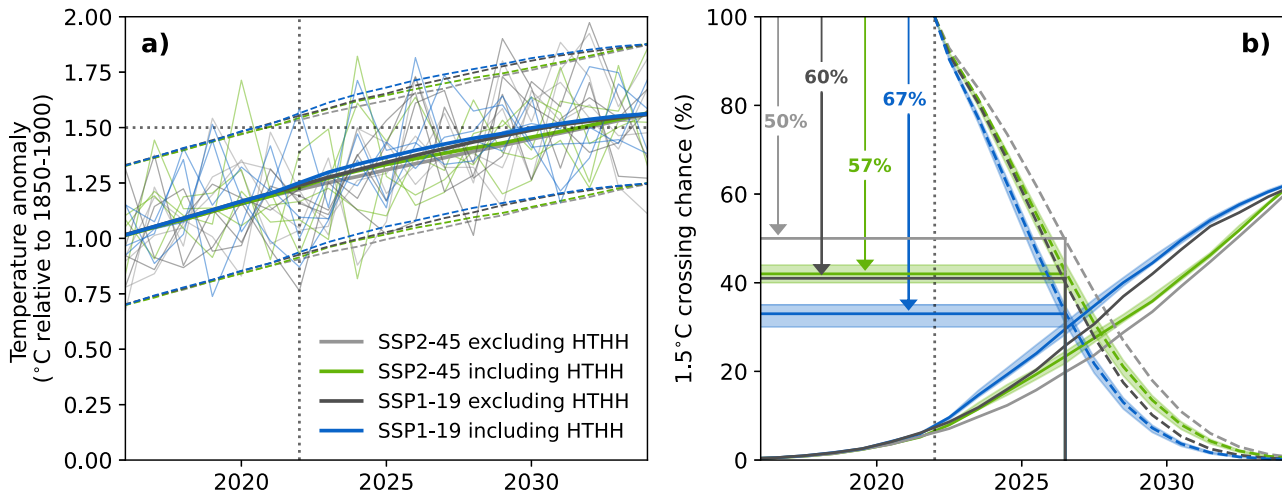
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338 **Figure 1: Impact of the 2022 HTHH eruption on projected global average surface temperature**  
 339 **anomaly between 2015 and 2035.** HTHH eruption occurs in 2022 (vertical dotted lines). Panel a  
 340 plots the temperature anomaly relative to 1850-1900 calculated with FaIRv2.0 and best-estimate  
 341 climate response parameters for two SSP scenarios (SSP2-45, current policy trajectory; and SSP1-  
 342 19, ambitious mitigation pathway), both including (green/blue for SSP2-45/SSP1-19) and excluding  
 343 (light/dark grey for SSP2-45/SSP1-19) the estimated forcing response to the HTHH eruption. Dashed  
 344 lines show the 5-95<sup>th</sup> percentile range; best-estimate responses are shown with thick coloured  
 345 lines; thin lines show interannual variability. Panel b shows the likelihood of global surface  
 346 temperature anomaly exceeding 1.5°C between 2015-2035 (solid lines) and the cumulative  
 347 probability that no year has yet exceeded 1.5°C (dashed lines). Cumulative risk of 1.5°C-exceedance  
 348 for the five years 2022-2026 are marked with arrows in the top left corner of panel b. The shaded  
 349 ranges show the uncertainty in the 2022-2026 1.5°C-exceedance risk.

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