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1 **Title: Private protected areas contribute to global protected area coverage and increase**
2 **PA network connectivity**

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10

11 **Classification**

12 **Major:** Biological Sciences

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24 **Private protected areas contribute to global protected area coverage and increase PA**
25 **network connectivity**

26

27 **Abstract**

28 Privately protected areas (PPAs) are increasing in number and extent. Yet, we know little about
29 their contribution to conservation, and how this compares to other forms of protected area (PA).
30 We address this gap by assessing the contribution of 17,561 PPAs to the coverage,
31 complementarity and connectivity of existing PA networks in 15 countries across 5 continents.
32 We find that PPAs (i) are three times more likely to be in biomes with <10% of their area
33 protected than other PA governance types and twice as likely to be in areas with the greatest
34 human disturbance; (ii) that they protect a further 1.2% of Key Biodiversity Areas; (iii) that
35 they account for 3.4% of land under protection; and (iv) that they increase PA network
36 connectivity by 7.05%. Our results demonstrate the unique and significant contributions that
37 PPAs can make to the conservation estate and that PPAs deserve more attention, recognition
38 and resources for better design and implementation.

39

40 **Key words:** Privately Protected Areas, Private Land Conservation, Systematic Conservation
41 Planning, Gap Analysis, Ecosystem Representation, Representativeness, Connectivity

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49 **Introduction**

50 Terrestrial protected areas (PAs) cover approximately 16% of the world's land mass¹.
51 However, PAs are disproportionately established in higher and steeper areas that have lower

52 agricultural and economic potential². Therefore, the global PA network underrepresents key
53 species and ecosystems, lacks connectivity, and does not adequately protect areas of high
54 conservation importance. The global PA network thus fails its own goal to comprehensively
55 conserve biodiversity^{2, 3}. State governed PAs dominate conservation strategies in most
56 countries⁴, but government action alone will be insufficient to reach global PA targets^{5, 6}. Co-
57 managed, community governed and privately protected areas (PPAs) are increasingly being
58 used as tools to increase PA coverage and connectivity, and complement existing state PA
59 networks.

60 PPA are defined as areas that (i) are governed by private actors; (ii) are primarily
61 engaged in biodiversity conservation activities and have long-term intent to remain in place;
62 and (iii) have legal or other effective means of protection⁷. PPAs vary in landowner types and
63 governance authorities (e.g., individuals, non-governmental organisations (NGOs) or corporate
64 businesses) and protection mechanisms (e.g., conservation easements, NGO freeholds or
65 perpetual landholder agreements). As of November 2018, the World Database on Protected
66 Areas (WDPA) reported 13,250 PPAs representing 5.7% (324,851 km²) of the total number of
67 all PAs⁸, although this is likely to be a significant underestimation because few countries
68 legally recognise or report PPAs⁹. Despite apparent global increases in PPA establishment,
69 recognition and reporting efforts, very little is known about their contribution to the global
70 conservation estate. Moreover, most countries fail to plan or co-ordinate PPA establishment to
71 maximize their conservation benefits^{10, 11}. It is thus critical to assess the distribution of PPAs
72 to better understand their contributions to the global conservation estate and identify what
73 potential they have to help achieve global biodiversity targets.

74 Previous studies suggest that PPAs make different contributions to the conservation
75 estate, compared to PAs under other forms of governance. PPAs tend to be located at lower
76 elevations¹⁰, closer to human settlements¹⁰, in underrepresented ecoregions¹¹, and in areas of

77 high conservation priority¹². PPAs have also been found to increase overall PA network
78 connectivity^{13,14}. Yet, these studies have been conducted at national or sub-national levels: to
79 date no international-level analysis has been conducted. Such international-level analyses are
80 needed to provide a more nuanced picture of the current contributions of PPAs to global
81 conservation efforts. This information is critical for better informed global conservation
82 planning, including ecoregion-based conservation strategies that support transnational
83 ecological processes and biodiversity. Furthermore, most studies compare PPAs to state PAs
84 and exclude co-managed or community governed PAs^{10, 11}. As conservation approaches
85 continue to diversify, comparisons of different approaches will become more important to
86 determine where, when and why different PA governance types deliver positive biodiversity
87 outcomes.

88 Here, we conduct the largest study to date on the contributions of PPAs to the global
89 conservation estate. We analyse the contributions of 17,561 PPAs to terrestrial PA networks in
90 15 countries (Australia, Belize, Brazil, Canada, Chile, Colombia, Finland, Guatemala,
91 Honduras, Kenya, Mexico, Namibia, Peru, South Africa and the USA). Collectively, our case
92 countries represent a wide variety of global ecoregions (377)¹⁵ and biodiversity hotspots (13)¹⁶.
93 Our study seeks to understand the contributions of PPAs to conservation on an international
94 scale and to assess how these contributions differ to state, co-managed and community
95 governed PAs.

96 State PAs are governed by federal or national ministries, sub-national ministries or
97 agencies or are areas that have sub-delegated management (e.g., to an NGO)¹⁷. Co-managed
98 PAs have collaborative management arrangements across different organisations or groups
99 (e.g., La Reserva Nacional Pampa Galeras Bárbara D’Achille, which has a collaborative
100 governance arrangement between the Peruvian government and resident indigenous
101 communities); or transnational boundaries (e.g., Roosevelt Campobello International Park,

102 which is owned and governed by both the American and Canadian government)¹⁷. Community
103 governed PAs are defined as indigenous peoples' conserved areas and territories, or community
104 conserved areas that are declared and run by local communities¹⁷.

105 Specifically, we focus on PPA contributions to: (i) PA network coverage; (ii) coverage
106 of threatened or under protected biomes (<10% of biome under protection in case countries);
107 (iii) coverage of Key Biodiversity Areas (KBAs); (iv) coverage of areas of high human
108 disturbance; and (v) PA network connectivity. To generate a better estimate of the relative
109 contribution of PPAs to areas of conservation importance and connectivity, we compare the
110 performance of existing PPAs to a counterfactual dataset with random PPA placement. We
111 limit our analysis to countries with a minimum of 10 PPAs reported to the WDPA to ensure
112 our results offer a more balanced interpretation of the contributions of PPAs. Moreover, to
113 ensure adequate reporting of PPAs, and to justify inclusion within our study, countries in our
114 sample have at least one of the following: (i) legal recognition of PPAs; (ii) national PPA
115 legislation; or (iii) a national PPA database¹⁰. Although our dataset does not represent a full
116 census of PPAs, it compiles the best currently available data to determine the contributions of
117 PPAs to the global PA estate and provides an important insight into the potential contributions
118 of PPAs in the future (see Methods).

119

120 **Results & Discussion**

121 **Coverage**

122 We find that across our 15 case countries, PPAs cover 246,586 km² (an area equivalent to the
123 size of the United Kingdom), accounting for 3.4% of total PA network coverage in these
124 countries. By comparison, state PAs, co-managed, and community governed PAs account for
125 4,620,065 km² (63%), 572,278 km² (7.8%) and 1,852,381 km² (25%) of total land area under
126 protection, respectively (see Supplementary Information – Table 1). Across the entire WDPA,

127 PPAs account for 1% of the total area of PAs with a reported governance type, with state, co-
128 managed and community governed PAs accounting for 70.5%, 28% and 0.5%, respectively⁸.

129 We find substantial variation in the contribution of PPAs to PA networks in individual
130 countries. South Africa has the highest PPA coverage (25% of total protected land) and Canada
131 the lowest (0.02% of total protected land) (see Supplementary Information – Table 1). This
132 variation is likely the result of historical, environmental, demographic, and economic
133 idiosyncrasies. Across our case countries, differences in PPA distribution could arise from: (i)
134 the difference between the common law system, a legacy of British Colonial Settlement that
135 facilitates private land ownership, and civil law systems used by other European colonial
136 powers, which make private land ownership harder to obtain^{18,19}; (ii) presence of established
137 non-governmental PPA networks (e.g. RESNATUR in Colombia and ICMbio in Brazil) that
138 encourage the creation and facilitation of PPAs from a grassroots level; and (iii) presence of
139 and differences in economic incentives. PPAs in South Africa are, at least in part, the result of
140 provincial ordinances. These ordinances have allowed game management and ownership of
141 private land²⁰, providing an incentive to establish PPAs in grasslands and next to national parks
142 to take advantage of nature-based tourism activities. In the USA, the six largest conservation
143 incentive programs (e.g., The Conservation Reserve Program) target agricultural land (mostly
144 in grassland biomes) and encourage farmers and ranchers to take land out of intensive
145 agricultural production²¹. Financial incentives for grassland conservation also exist in Australia
146 (e.g., plainstender)²². Gaining a better understanding of how different incentive mechanisms
147 (both within and between countries) shape the establishment of PPAs will be essential for the
148 creation of more effective management and monitoring systems.

149

150 **Representation of Biomes, Key Biodiversity Areas and Human Disturbance**

151 In line with Aichi Target 11, we assess the extent to which PPAs contribute to a conservation
152 estate that is ecologically representative (i.e., a conservation estate that contains adequate
153 samples of the full range of existing ecosystems and ecological processes, including at least
154 10% of each ecoregion within each country) and protects areas important for biological
155 conservation. Biomes represent biodiversity at a broad level and are the most suited
156 biodiversity metric for assessing ecosystem representativeness at an international scale¹⁵.
157 KBAs highlight sites of global importance for biodiversity that should be prioritised for
158 conservation interventions²³. We assessed the contribution of PPAs to overall
159 representativeness of PA networks by calculating the area of each biome protected by PPAs
160 and the contribution of PPAs to protecting areas important for biological conservation by
161 calculating the area of KBAs protected by PPAs. We also assess to what extent PPAs protect
162 areas of high human disturbance by calculating the Human Footprint (HF) both within and
163 outside of PAs²⁴. We choose the HF because it shows to what extent PPAs are situated in
164 threatened areas and whether they conserve areas of potential conservation concern. PPAs in
165 these areas may protect the last best habitat in a matrix of otherwise degraded lands or be
166 situated in already degraded lands that PPA owners may potentially aim to restore.

167

168 ***Biomes***

169 Within our sample, we find PPAs are three times as likely to be in biomes that do not have 10%
170 of their total area under protection, compared to other PA governance types. We find that 12%
171 of total area of PPAs is in biomes with <10% of their total area protected, compared with 3.9%,
172 2.3% and 0.5% of state, co-managed and community governed PAs, respectively (Fig 1). We
173 find that 3.2% of randomly placed PPAs are present in biomes with <10% of their total area
174 under protection (Table 1). PPAs contribute most to protecting Mediterranean forests and
175 woodlands (12% of the total area of Mediterranean forests and woodlands under protection is

176 protected by PPAs) (Fig 2). This biome experiences the fourth highest conversion rate from
177 natural vegetation to other land uses (41% of biome area converted globally) and is protected
178 by a skeletal network of PAs (5% of biome protected globally)²⁵. Our results suggest PPAs can
179 play a key role in increasing the ecological representativeness of the global PA network and
180 that they are present in biomes that are threatened and underrepresented (<10% of total biome
181 protected).

182 **[Fig 1]**

183 **[Fig 2]**

184 We use a complementarity metric (see Methods) to assess whether PPAs protect more
185 or less of a particular biome than would be expected, given the total area of PPAs and that of
186 state, co-managed and community managed PAs. We conduct this analysis to determine if
187 PPAs complement other forms of PAs or if they are generally conserving the same elements of
188 biodiversity. We find that PPAs have greater than expected complementarity for all grassland
189 biomes and for at least seven biomes in total for all other PA governance types (Fig 3). These
190 results show that PPAs are better at representing grasslands than any other PA governance type.
191 This result is critical because grassland biomes are the most significantly degraded biomes
192 globally^{25, 26}, because habitat conversion in grasslands is exceeding habitat protection by a
193 ratio of 8:1²⁵, and because grasslands offer a multitude of important ecosystem services²⁷.

194 Within our case countries, there is a positive relationship between biomes and
195 ecoregions with large proportions of their area under private ownership (e.g. grasslands biomes
196 and the Atlantic Forest) and the total area protected by PPAs. In Australia, private ownership
197 of grasslands averages 10% across the country but can be as high as 60% in certain states (e.g.,
198 Victoria²²). In the USA, 70% of the Northern Great Plains are privately owned²⁸. Within Brazil
199 the majority of PPAs are located within the Atlantic Forest biome, which has 80% of its range
200 under private land ownership²⁹. PPAs may be more present in grasslands due to financial

201 incentives for owners of private grasslands^{22, 30}. It may also be due to a large number of
202 institutions (e.g., The Nature Conservancy or the Land Trust Alliance) that can support
203 landowners wanting to dedicate their land to private conservation. In addition, the amount of
204 rural development grants and number of NGOs (Non-Governmental Organisations) positively
205 influence the number of conservation easements along the Pacific coast of the USA³¹.

206 **[Fig 3]**

207

208 *Key biodiversity areas and areas of high human disturbance*

209 Within our sample, we find that PPAs protect 1.2% of the total area of KBAs, compared with
210 state (32%), co-managed (1.1%) and community governed PAs (2.6%) – (see Supplementary
211 Information – Table 2), and randomly placed PAs (0.68% -Table 1). Twenty percent of the
212 total area of PPAs within our case countries are located within KBAs compared with state
213 (28%), co-managed (1.1%) and community governed PAs (5.8%) (see Supplementary
214 Information – Table 2) and randomly placed PAs (11% - Table 1). Our results show PPAs
215 make a small but nevertheless important contribution to protecting KBAs.

216 Within our case countries, we found that a greater proportion of the area of PPAs is
217 situated within higher HF areas, compared to other PA types: 47% of the total area of PPAs is
218 in areas with $HF \geq 3$ compared with state (23%), co-managed (11%) and community governed
219 PAs (12% - Fig 4) and randomly placed PAs (43% - Table 1), respectively. We use a human
220 disturbance score of 3 as a threshold, which represents when land can be considered as “human-
221 dominated”³². Previous analyses show that this threshold is where species are far more likely
222 to be threatened by habitat loss³³. To further test that PPAs are situated within areas of higher
223 HFs, rather than being identified as being under high human pressure themselves (as is the case
224 with some PAs)³⁴, we determine the HF scores of the immediate areas surrounding PPAs (1
225 km, 5 km and 10 km). We find that 53% of PPAs have a HF score of <3 compared with 34%,

226 29% and 37% of land within 1 km, 5 km and 10 km of PPAs respectively (see Supplementary
227 Information – Table 3). We also find that 62%, 60% and 58% of individual PPAs have the
228 same or lower HFs than 1 km, 5 km and 10 km buffers surrounding them, respectively. These
229 results show that PPAs have lower human footprint scores than their immediate surroundings.
230 Our findings suggest that PPAs have a key role in conserving areas facing greater pressure
231 from urban and agricultural expansion and other external threats. Furthermore, areas with
232 greater human pressure are also more likely to be substantially degraded³⁵ and PPAs could thus
233 play a key role in the restoration of degraded lands. PPAs may be more likely to be present in
234 areas of higher HFs due to historic biases in the distribution of private- and state-owned land
235 across high and low productivity landscapes, respectively³⁶.

236 We also find that at least twice as much of the total area of PPAs is in areas with the
237 highest HF scores (between 12 - 50) than any other PA governance type: 4% of total area of
238 PPAs compared with 2%, 0.66% and 0.47% for total area of state, co-managed and community
239 governed PAs respectively (Fig 4). We find that PPAs with HF scores between 12 - 50 were
240 situated in large conurbations (e.g., suburbs of São Paulo, Brazil). Urban PAs are distinctively
241 important for two reasons. First, urban PAs can offer key ecological benefits, such as water
242 regulation to reduce flooding, improving air quality and helping to reduce the urban heat island
243 effect³⁷. Second, urban PAs can offer experiences in nature to large numbers of people living
244 close to them. Visitors to these areas may be more socially and economically diverse than
245 visitors to more remote PAs³⁸. PPAs in urban areas could thus help to broaden and diversify
246 access to nature, promote human health and well-being in under-privileged groups, and help
247 build greater political support for nature conservation within urban populations. However, the
248 proportion of PPAs which allow public access, and the extent to which these potential benefits
249 are realised is unknown. As urban areas and urban populations continue to grow, understanding
250 and protecting biodiversity in cities is of global conservation importance³⁹.

251 [Fig 4]

252 [Table 1 Comparison of current PPA distribution with random placement]

253

254 Adjacency

255 Many species need large areas for roaming distances to reduce genetic bottlenecks, to assist
256 populations in the evasion of natural disasters, and for migration routes⁴⁰. Animal movement
257 can be difficult if PAs are disconnected from one another. We find that on average 11%, 13%,
258 14% and 18% of PPAs are located within 0 m, 30 m, 100 m and 500 m of a PA of another
259 governance type, respectively. For random placement, 0%, 0.5%, 1.7% and 6% of PPAs were
260 located within 0 m, 30 m, 100 m and 500 m of a PA of another governance type respectively.

261 We find substantial variation in the percentage of PPAs adjacent to PAs of other
262 governance types across our case countries (Mean = 168, SE = 65). Belize, Kenya, and Namibia
263 have PPAs with the highest levels of adjacency with a PA of another governance type; 60%,
264 46% and 44% of PPAs at 30 m of state, co-managed and community governed PAs,
265 respectively. However, in Canada, Colombia, Honduras, and Mexico <5% of PPAs are
266 adjacent to PAs of another PA governance type.

267 Adjacency may be highest in Belize because so much of the country is under some form
268 of protection (37%). Adjacency may also be greater in Kenya and Namibia than other countries
269 due to ecotourism reserves siting along national park boundaries. Adjacency in South Africa is
270 lower than expected perhaps due to the removal of UNESCO biosphere reserves in our analysis.
271 Removal of UNESCO biosphere reserves has a bigger impact in South Africa than other
272 countries because the total area of UNESCO sites in South Africa (109,705 km²) accounts for
273 25% of the total area of UNESCO sites across our 15 case countries (429,347 km²). In contrast,
274 adjacency may be low in Canada, Colombia, Honduras and Mexico because PPAs make up
275 <2% of the total protected area within these countries and therefore there is a reduced

276 probability that they will be located next to PAs of other governance types. Our findings differ
277 to regional or local scale studies showing that PPAs have high adjacency with other PA
278 governance types^{13,14}. This discrepancy may be because these studies have focused on areas of
279 limited size where PPAs are known to be present^{14,41}. Increasing the distance from 0 m to 500
280 m had no substantial effect on our calculations (See Supplementary Information – Table 4).

281

282 **Connectivity**

283 Global biodiversity targets call for PAs to be well connected to one another⁴². To determine
284 the contribution of PPAs to total connected protected land in each country, we performed a
285 with and without PPAs scenario analysis using four dispersal distances scenarios of 1 km, 10
286 km, 30 km, and 100 km⁴³. We define dispersal distance as the distance a terrestrial vertebrate
287 species is able to travel between existing populations. We preferentially show results for a
288 dispersal distance of 10 km because that is the median dispersal distance for a terrestrial
289 vertebrate⁴³. The exclusion of PPAs decreased contiguous protected land by an average of
290 7.05% across our case countries (see Supplementary Information – Table 5). This compares to
291 5.6% for random placement. In our study, the inclusion of PPAs in Kenya made the greatest
292 contributions to PA connectivity increasing the total protected connected land by 29%. This
293 increase may be because PPAs are mainly clustered in one area and are located on the border
294 of national parks. PPAs subsequently connect several national parks together creating one large
295 contiguous patch of connected land. Increases in connectivity are low where there are few
296 PPAs, where PPAs are small are in size, and where they have limited adjacency with other
297 forms of PA. We found that changing dispersal distances (1 km – 100 km) had a limited effect
298 on percentage change of total protected land including/excluding PPAs (see Supplementary
299 Information – Table 6).

300

301 **Conclusion**

302 Our analysis reveals three important insights. Firstly, PPAs can protect areas that are under-
303 represented by PAs under other forms of governance and contribute to protecting KBAs.
304 Across our case countries, a greater proportion of PPAs are found in biomes that have <10%
305 of their total area protected and areas of higher human pressure. Secondly, PPAs' contribution
306 to PA coverage is significant in some countries but negligible in others. For the five countries
307 in our sample with the greatest contribution to national PA coverage (South Africa, Guatemala,
308 Belize, Namibia, and Peru), PPAs account for 15% of the total area protected in those countries.
309 Thirdly, PPAs make a modest contribution to the connectivity of national PA networks. We
310 found that 38% of PPAs are adjacent to a PA of another form of governance and that the
311 inclusion of PPAs increase protected connected land by 19% for the five countries with highest
312 PPA adjacency and connectivity within our sample. It is important to note that due to
313 underreporting to the WDPA and national-level platforms, our findings represent a “bare
314 minimum” of the contribution of PPAs. Improvements in PPA reporting would likely reflect a
315 greater contribution from PPAs to the global conservation estate.

316 We suggest that greater legislative, technical and financial support for PPAs and a more
317 co-ordinated approach to their establishment could help maximise their benefits. These forms
318 of support could incentivise and facilitate the establishment of PPAs and help PPA owners
319 implement better land management and restoration practices. Greater co-ordination of PPA
320 establishment could be achieved by: (i) creating frameworks for the inclusion of PPAs into
321 national conservation strategies; (ii) the creation of PPA support networks (such as
322 RESNATUR in Colombia); and (iii) supporting countries with the recording and reporting of
323 PPA boundaries (with the consent of relevant authorities and organisations) to national
324 authorities and the WDPA.

325 Reporting of PPA boundaries requires time, resources and institutional infrastructure,
326 which some governments may lack. In some countries, political situations may mean PPA land
327 holders and governance authorities and/or governments may be less willing to gather and report
328 data on PPA boundaries¹³. Civil society organisations, land trusts and PPA networks working
329 in these countries can play a key role in facilitating the reporting of PPAs to the WDPA and other
330 authorities with appropriate consent. Additionally, indigenous and local communities may have
331 competing claims to the land contained within some PPAs, often based on customary tenure, which
332 may or may not be recognised by governments. PPA owners and networks therefore have a
333 responsibility and moral duty to ensure that these claims are adequately addressed and resolved in an
334 appropriate and ethical manner, recognising the power disparities that often exist between conservation
335 organisations and indigenous and local communities. Lastly, we encourage future research to assess
336 the spatial contributions of PPAs in other regions to examine the underlying factors and
337 governance structures that lead to specific spatial configurations of PPAs. Such analyses should
338 include efforts to better understand the role of different stakeholders (e.g., private landowners,
339 NGOs and land trusts) and their motivations for the establishment of PPAs, as well as
340 assessments of national policies and incentives that support PPAs.

341

342 **Methods**

343 Our study uses PA boundaries and global spatial datasets of biome distribution¹⁵, key
344 biodiversity areas (KBAs)⁴⁴ and human disturbance²⁴ to determine the contributions of PPAs
345 to global conservation. We used ArcMap 10.4, Conefor2.6⁴⁵ and R⁴⁶ for all our analyses.

346

347 **Spatial layers and processing**

348 We downloaded the November 2018 version of the WDPA from
349 <http://www.protectedplanet.net/> as a primary source for PA boundaries⁸. As in other PA
350 assessments⁴³, we excluded from subsequent analysis 233 PAs with a “proposed” and 439

351 with a “not reported” status, 29 PAs reported as points without an associated area and 75
352 UNESCO Man and Biosphere Reserves. When point data was included, we created a circular
353 buffer around the point in ArcMap to account for the total size of the reported PA area. We
354 buffered 16 points. These circular buffers are unlikely to represent the real shape of the PA or
355 their exact location because location points provided by the WDPA can be either in the centre
356 of the PA or on an outer edge. This discrepancy could impact our connectivity analysis by
357 affecting the distance to the nearest PA by up to the half the actual width of the PA (if the
358 point is located on an outer edge of the PA). However, we feel that these discrepancies are
359 likely to have a limited impact on our study because buffered PAs were few and small (mean
360 size = 5 km²). Remaining PA boundaries were classified into five reported governance types
361 (state, co-managed, community, private and non-reported) using the GOV_TYPE field in the
362 WDPA. We filtered for PPAs using the following GOV_TYPE values; For-profit
363 organisations, Non-profit organisations and Individual landowners. All PA management
364 types (Ia to VI) are included within our study. As of November 2018, the WDPA reported
365 13,250 designated PPA boundaries. We identified a further 11,074 PPAs that had been
366 incorrectly reported through the DESIG field, which details the designation of a PA at the
367 national level (i.e., Private Natural Heritage Reserves, *Reservas Particulares do Patrimônio*
368 *Natural*, in Brazil are mistakenly reported as being under government management when
369 they are, in fact, privately protected⁹ - see Supplementary Information Table 7). We cross-
370 checked these potential PPAs by consulting with national PPA experts and conducting
371 document analyses of open access materials (e.g., in Honduras, we contacted employees
372 working for the National Institute for Conservation and Forest Development, Protected
373 Areas, and Wildlife (ICF)). We based our definitions of PA governance types on that of the
374 IUCN⁷ (see Introduction). We excluded 1,346 PAs with a non-reported governance type.
375 Excluding PAs with non-reported governance type accounted for 6% of the total area of PAs

376 in our 15 case countries. The minimum and maximum size of a PA that we excluded from the
377 study due to no governance being reported was 1 km² and was 30,893 km², respectively, with
378 a mean size of 306 km².

379 We classified remaining PA boundaries by ISO3 country code. We excluded countries
380 with <10 PPAs reported to the WDPA from subsequent analysis resulting in a global subset of
381 15 countries as any potential PPA effects would be negligible. We also excluded the UK due
382 to difficulties in establishing the governance structure of potential PPAs because areas under
383 habitats directives and other such initiatives are all reported as government PAs, even when
384 managed by private entities. We obtained additional PPA data for our case countries from
385 multiple sources outside of the WDPA (e.g., Chile's Asociación de Iniciativas de Conservación
386 en Areas Privadas y de Pueblos Originarios (<http://asiconservachile.cl/acch/>) (see
387 Supplementary Information – Table 7). This resulted in an extra 1,038 PPA boundaries (70,240
388 km²) that had not been reported to the WDPA (see Supplementary Information – Table 7). We
389 ensured all additional PPA boundaries met our standard definition of a PPA through
390 consultation with PPA experts in their regions of expertise and document analysis of open
391 access material.

392 We dissolved PA boundaries with the same governance type to remove overlaps and
393 erased overlaps between PAs of different governance types to avoid double counting¹¹. To
394 determine which governance classification to retain, we created a governance hierarchy: state
395 governance, co-management, community governance, and private governance¹¹. This
396 hierarchy is based on the strength of legal recognition and environmental protection security
397 that each governance type offers¹¹. We designated state PAs as the highest tier because they
398 have the strongest legal standing across all countries and can provide strict environmental
399 protection. We designated PPAs as last in our hierarchy because in some countries (e.g., Chile)
400 PAS have no legal recognition, regulation and no guaranteed permanence. Hereafter these

401 layers are referred to as ‘PA governance layers’. While establishing this hierarchy was
402 necessary for the analysis, we recognise that the assumptions made will not reflect reality in all
403 cases, since the level of recognition, strictness of protection and quality of conservation
404 outcomes will all vary within and between governance types. We removed 6% (105,441 km²),
405 5% (664,824 km²) and 3% (439,589 km²) of private, co-managed and community governed
406 PAs respectively, due to boundaries overlapping with a governance type prioritised by our
407 hierarchy.

408 The number of reported PPAs is believed to be a significant underestimation of their
409 total number⁹. The quality of available data is highly variable depending on the original data
410 source⁴⁷. Here, we have used the best available data, collected from multiple sources (see
411 Supplementary Information – Table 7), to provide initial insights into the spatial outcomes of
412 PPAs. After the removal of overlaps, our final dataset included 13,206 PPA boundaries
413 originally reported to WDPA, 3,317 PPA boundaries from within the WDPA that had been
414 incorrectly reported as having another PA governance type and 1,038 PPA boundaries from
415 additional sources (see Supplementary Information – Table 7). This resulted in 17,561 PPA
416 boundaries in total.

417 We used the World Wildlife Fund’s (WWF) terrestrial ecoregions layer to assess biome
418 complementarity between PPAs and PAs under other governance types¹⁵. We used the 14
419 biomes (i.e., the natural vegetation that would be expected in that area assuming minimal
420 human disturbance) identified by WWF as our unit of analysis because we could not be
421 confident enough in the accuracy of the PA boundaries or ecoregions to make comparisons at
422 the ecoregion level. Hereafter this layer is referred to as the ‘biome layer’.

423 We used the Key Biodiversity Area (KBAs) dataset⁴⁴ to assess what degree PAs under
424 different governance types protect KBAs. KBAs are sites contributing significantly to the
425 global persistence of biodiversity. We used the 2018 released Global Footprint Dataset (V3)²⁴,

426 which compiles the cumulative human environmental pressure in 2009, to assess to what
427 degree PAs under different governance types protect areas of greater human disturbance. We
428 used the Global Human Footprint dataset as it the most complete and highest-resolution
429 globally consistent terrestrial dataset on cumulative human pressures on the environment⁴⁰. All
430 data were projected in Mollweide (World) as this is an equal area projection to calculate the
431 total area of PAs within different biomes or degrees of human disturbance.

432

433 **Analysis**

434 We conducted spatial analyses in ArcMap 10.04 and Conefor 2.6⁴⁵ to determine the total area
435 of PAs within different biomes, degrees of human disturbance and their overlap with KBAs
436 and the contribution of PPAs to national PA network connectivity. We determined the total
437 area of PAs within each PA governance layer using the calculate geometry tools. As per
438 previous studies that determine what PAs protect¹¹, we clipped each of the biome, HPF and
439 KBA layers with the different PA governance layers to determine the overlap between each.

440 To determine the complementarity of PPAs to other governance types for what biomes
441 they protect we used an adapted a complementarity metric¹⁰. We define complementarity in
442 this context to mean to what extent PPAs supplement the biome coverage of PAs of other
443 governance types and increase overall biome representation within the PA network of our 15
444 case countries:

$$445 \quad \text{Complementarity metric of a biome} = Mc = \frac{Pp * R - Op}{Pp * R + Op}$$

446 Where Pp = the percentage of a particular biome conserved by PPAs; R = (Area of State or Co-
447 managed or Community governed PA) / PPA Area; Op = the percentage of a particular biome
448 conserved by either state, co-governed or community governed PA. This metric is on a scale
449 of -1 to +1, where negative values indicate less than expected complementarity and positive
450 values indicate greater than expected complementarity between PPAs and PAs under other

451 governance regimes. Expected complementarity is determined by the ratio of the area of PPAs
452 to PAs under other governance types.

453 We then generated a network of random reserves, equal in area to the current PPA
454 network within each country, to evaluate the coverage of existing PPAs relative to random
455 counterfactuals. We generated this network by randomly selecting cells from a grid until
456 reaching the area of the current reserve network within each country was reached. As per
457 previous studies, the grid size was equal to the average size of each PA governance type within
458 each country⁴⁸. This process was repeated 1,000 times using R⁴⁶ to provide an average of the
459 total area of each biome, HF and KBA present within our model. We summed totals for each
460 country to give a general overview for our case countries.

461

462 **Connectivity.** To conduct our connectivity analysis, we used undissolved polygons. We
463 assessed connectivity using two metrics: adjacency and connected protected land.

464

465 **Adjacency.** We measured the adjacency of PPAs to PAs under other governance regimes using
466 the select by location tool. Due to small misalignments in polygon boundaries, PPA adjacency
467 may be inflated because only a small portion (i.e., 1 – 2% of the total area of a PPA) does not
468 overlap with a PA of another governance type. This was the case for 5,102 PPAs (20% of the
469 total number and mostly from Finland) and they were removed from this part of the analysis.
470 To further account for small inaccuracies in the location of PA polygon boundaries we
471 considered four within distance measurements of 0 m, 30 m, 100 m and 500 m to see what
472 difference changing the distance of the buffer made to our results (see Supplementary
473 information – Table 4). As with previous studies⁴¹, we preferentially show a 30 m buffer as we
474 believe that it accounts for most minor inaccuracies in the location of PA boundaries. To test
475 if the placement of PPAs around other forms of PAs occurs by chance or there if there are

476 underlying factors, we generated 20 randomized maps in which the same PPA polygons were
477 moved and rotated at random to new locations within each study country. We used the ‘sp’
478 package in R⁴⁶ to generate a new random centroid for each PPA around which the polygon
479 shape was then redrawn. If there were any overlaps between polygons, the script would rerun
480 until a map of non-overlapping PA could be drawn. We re-ran the selection by location tool in
481 ArcMap10.4 for each randomized map and averaged the results and compared that to those for
482 the existing protected area network¹⁴.

483

484 **Connected protected land.** To determine the contribution of PPAs to connected PA networks,
485 we used Conefor2.6⁴⁵ in command line (<http://www.conefor.org>). We performed a with and
486 without PPAs scenario analysis using four dispersal distances of 1 km, 10 km, 30 km, and 100
487 km (as per previous studies⁴³), to determine the equivalent connected area (ECA) of PA
488 networks in each country. The ECA equates to the size of a single patch (PA) that would
489 provide the same value of the probability of connectivity than the actual PA network in a
490 country or continent. In effect, it summarizes the amount of reachable area in the PA network⁴³.
491 From the ECA we computed the normalized Equivalent Connected Area (ECA_{norm})⁴³, a
492 connectivity metric that summarizes the percentage of reachable area in a PA network
493 compared to the total country area, generally referred to as protected connected land. The
494 protected connected land indicator assumes that PAs are effectively managed for connectivity
495 (i.e., there are no important barriers for species movements and other ecological flows within
496 PAs)⁴³. We preferentially use a dispersal distance of 10 km as per previous studies⁴³.

497 To test if the current PPA network distribution performs better or worse than random
498 at increasing connectivity we also ran scenarios incorporating the 20 randomized PPA maps
499 created for each country (previously created for the adjacency analysis). We averaged the

500 results of the 20 randomized scenarios and compared these to those for the existing protected
501 area network¹⁴.

502

503 **Limitations**

504 We identify three potential limitations to our analysis. First, this analysis predominately relies
505 of PA boundaries reported to the WDPA. The quality of the data reported to the WDPA can be
506 highly variable depending on the original data source⁴⁷. Until recently, data quality on the
507 WDPA has been measured and reported rather than controlled⁴⁷. Data quality issues may
508 include incorrect or missing attributes (e.g. GOV_TYPE)^{9, 47}, differences in the reported PA
509 area and the submitted polygon boundaries⁴⁷, and presence of PA boundaries that may be
510 degazetted⁵⁰. These issues can cause both under or over-estimations of the coverage of PAs.
511 Most pertinent to our study is the underreporting of PPAs to the WDPA, which is widely
512 discussed in the literature⁹. An underreporting of PPAs means that results regarding how much
513 PPAs contribute to total PA network coverage, protecting of KBAs and connectivity within our
514 case countries are an under-estimate and should be regarded as a bare minimum. We are also
515 aware that PPAs can be underreported in a biased way. For example, in some countries (e.g.,
516 Australia and Canada) certain states or provinces do not report or legally recognise PPAs⁷. We
517 have attempted to mitigate this by contacting local experts who may have access to data
518 currently unpublished at international and national levels. The failure of some states / provinces
519 to report PPAs may lead to a bias of our results regarding the representativeness of PPAs,
520 however the impacts of this may be limited. This is because biomes are mapped at such a large
521 scale that each biome in each country covers multiple states. Therefore, if one or two states fail
522 to report PPAs it is likely that those biomes will still be represented by PPAs within other states.
523 Additionally, the omission of a small subset of states within the country will have a limited
524 impact of the general trend of where PPAs are located. The impact upon HF is harder to

525 determine. However, most states/regions share similar characteristics of having more remote
526 and less remote areas. Therefore, it is not implausible that the characteristics of PPAs in states
527 that fail to report PPAs may be similar to those of PPAs in states or regions that do (see
528 Supplementary Information – Fig. 1). It should also be noted that we have analysed countries
529 with good PPA networks and/or reporting. Therefore, our results cannot be more broadly
530 applied to other countries that have not been included within our study. However, our study
531 shows what may be possible if PPA creation is supported and encouraged by a wider number
532 of countries.

533 Second, there is a temporal mismatch between HF dataset (2009) and the PPA dataset
534 (2018). With human pressures continuing to rise this could mean that our calculated HFs within
535 and surrounding PAs are an underestimate of the true values in 2018. However, the average
536 global HF only rose by 9% between 1993 and 2009 (16 years) despite an increase of 23% in
537 global population and 153% in the world economy. Therefore, the effects of the temporal
538 mismatch in our data (8 years) are likely to be small⁴⁹. Moreover, for the 60% (10,537) of PPA
539 boundaries for which we have the designation year, 70% (7,376) were established before 2009.
540 Third, we do not assess the extent to which PPAs protect threatened species, beyond their
541 coverage of KBAs (despite some PPAs being set up for specific species) due to a lack of high-
542 quality information on the presence/absence of species in individual PPAs (particularly
543 difficult due to their small size and infrequent use of comprehensive species lists), population
544 densities, minimum viable population sizes of threatened species.

545 Lastly, although a PPA may be reported in a given location, this does not mean that it
546 is successfully conserving biodiversity, or that it will remain in place in perpetuity⁵⁰. Assessing
547 the effectiveness of PPAs is beyond the remit of this study and future studies should assess the
548 effectiveness of PPAs in different countries. The few studies that have assessed the
549 performance of PPAs to mitigate deforestation and degradation⁵¹ and land cover change⁵²

550 found that PPAs are more effective than other forms of PA. Studies of the permanence of PPAs
551 showed that only 6.2% of PPAs were degazetted in a 92 year period (compared with 2.2% for
552 state PAs)¹⁹.

553

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559

560

561

562 **Author contributions**

563 R.P. designed the study, conducted the analysis and wrote the first draft of the manuscript. GH.
564 and J.A.O. contributed substantially to both the design of the study and revisions of the
565 manuscript.

566

567 **Data Availability Statement**

568 All data used in this manuscript is openly available online. Protected areas boundaries are
569 available from: <http://www.protectedplanet.net/>, the WWF ecoregions layer is available from:
570 <https://www.worldwildlife.org/publications/terrestrial-ecoregions-of-the-world>, Global
571 Human Footprint Dataset V3 (2009) is available from:
572 <https://sedac.ciesin.columbia.edu/data/set/wildareas-v3-2009-human-footprint> and Key
573 Biodiversity Areas are available on request by filling out a form found at:
574 <http://www.keybiodiversityareas.org/kba-data/request>

575

576

577 **Code Availability Statement**

578 The R script used to rotate and move polygons can be found at:

579 <https://github.com/cemac/rotate-move-pas>.

580 The R script for random placement of PPAs can be found here:

581 https://github.com/eerhp/Privately_Protected_Areas_Palfrey_2021

Tables

Table 1: Comparison of the current PPA distribution with random placement

% of PPAs within biomes receiving 0 – 9.99%, 10 – 16.99% and 17% + overall protection		
	Current PPA distribution	Random Placement
0 – 9.99% of biome protected	12	3.2
10 – 16.99% of biome protected	45	42
17 – 100% of biome protected	43	54

% of PPAs within each Human Footprint grouping		
	Current PPA distribution	Random Placement
0	25	26
1 – 2	28	33
3 - 5	28	25
6 – 11	14	12
12 – 50	5	4

% of PPAs within Key Biodiversity Areas		
	Current PPA distribution	Random Placement
% of KBA protected by a PPA	1.2	0.7
% of PPA area within KBAs	20	11

Figure Legends / Captions

Fig 1. Proportion of each biome protection level protected by PA governance types

Proportion of areas of human disturbance (ranked between 0 and 50) protected by state, co-managed, community and privately governed PAs. 0 = no human pressure, 1 – 2 = low human pressure, 3 – 5 = moderate human pressure, 6 – 11 = high human pressure, 12 - 50 = very high human disturbance.

Fig 2. Proportion of each terrestrial biome protected by protected areas

a, Proportion of total area of biome protected across our 15 case countries covered by each governance type (state, co-managed, community, private), ordered by proportion of private governance. A star (*) indicates biomes where <10% of their total area is protected by any form of PA. Biome abbreviations: Flooded g./sav. = Flooded grasslands and savannas; Trop./sub. g./sav./sh. = Tropical and subtropical grasslands, savannas, and shrublands; Montane g./sh. = Montane grasslands and shrublands; Temp. g./sav./sh. = Temperate grasslands, savannas, and shrublands; Deserts/x. sh. = Deserts and xeric shrublands; Med. for./wd./scrub = Mediterranean forests, woodlands, and scrub; Boreal for./taiga = Boreal forests/taiga; Temp. Con. For. = Temperate conifer forests; Temp. br./ mix. for. = Temperate broadleaf and mixed forests; Trop./sub. con. for. =Tropical and subtropical coniferous forests; Trop./sub. dry br. for. = Tropical and subtropical dry broadleaf forests; Trop./sub. moist br. for. = Tropical and subtropical moist broadleaf forests.

Fig 3. Complementarity of PPAs to other governance types in protecting terrestrial biomes

a – c, Relative proportions of biomes protected by **(a)** state, **(b)** co-governed and **(c)** community governed PAs compared with and privately governed PAs. + values (0 – 1) = greater than expected complementarity of a given biome between PPAs and either state/co-managed/community PAs accounting for the difference in the total protected area of PPAs and state, co-managed or community PAs. – values (0 - -1) = less than expected complementarity of a given biome between PPAs and either state/co-managed/community PAs accounting for the difference in the total protected area of PPAs and state, co-managed or community PAs. A value of 0.2 indicates twice as much of a biome represented by a PPA than would be expected given the total ratio of PPAs to a PA of a state/co-managed/community PA.

Fig 4 . Proportion of each HF category protected by PA governance types

Proportion of areas of protection level; 0 – 9.99% of biome protected, 10 – 16.99% of biome protected and 17 – 100% of biome protected by state, co-managed, community and privately governed PAs. MH = Million Hectares.

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