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The Livestock Farming Digital Transformation: Implementation of New and Emerging Technologies Using Artificial Intelligence

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Running Title: New and Emerging Digital Technologies Applied to Livestock

Abstract

Livestock welfare assessment helps monitor animals' health status to maintain productivity, identify injuries and stress, and avoid deterioration. It has also become an important marketing strategy since increasing consumer pressure for a more humane transformation in animals' treatment. Common visual welfare practices by professionals and veterinarians may be subjective and cost-prohibitive, requiring trained personnel. Recent advances in remote sensing, computer vision, and artificial intelligence (AI) have helped developing new and emerging technologies for livestock biometrics to extract key physiological parameters associated with animal welfare. This review discusses the livestock farming digital transformation by describing (i) biometric techniques for health and welfare assessment, (ii) livestock identification for traceability and (iii) machine and deep learning application in livestock to address complex problems. This review also includes a critical assessment of these topics and research done so far proposing future steps for deployment of AI models in commercial farms. Most studies focused on model development without applications or deployment for the industry. Furthermore, reported biometric methods, accuracy, and machine learning approaches presented showed some inconsistencies that hinder validation. Therefore, it is required to develop more efficient, non-contact and reliable methods based on AI to assess livestock health, welfare, and productivity.

Keywords: Machine learning; deep learning; animal welfare; biometrics; computer vision.

Introduction

Climate change predictions that are affecting most agricultural regions and livestock transportation routes are related to increasing ambient temperatures, rainfall variability, water availability, and increased climatic anomalies, such as heatwaves, frosts, bushfires, and floods, affecting livestock's health, welfare, and productivity. These events have triggered and prioritised a critical digital transformation within livestock research and industries to be more predictive than reactive, implementing new and emerging technologies on animal monitoring for decision making purposes. Several advances in smart livestock monitoring have as aim the objective measurement of animal stress using digital technology to assess the effect of livestock welfare and productivity using biometrics and artificial intelligence (AI).

42 The most accurate methods to measure livestock's health and welfare are invasive
43 tests, such as analysis of tissue and blood samples, and contact sensors positioned on the
44 skin of animals or internally either by minor surgery, intravaginal, or rectally implanted ¹⁻
45 ³. However, these are apparent impractical approaches to monitor many animals in farms
46 for continuous assessments. These approaches require a high level of know-how by
47 personnel for sampling, sensor placement, data acquisition processing, analysis and
48 interpretation. Furthermore, they impose medium to high stress levels on animals,
49 introducing biases in the analysis and interpretation of data, for this reason, researchers
50 are focusing on developing novel contactless methods to improve animal welfare ⁴. There
51 are also visual assessments that can be made by experts and trained personnel to assess
52 levels of animal stress and welfare. However, these can be subjective and require human
53 supervision and assessment with similar disadvantages of physiological assessments and
54 sensor technologies mentioned before ⁵.

55 Recent digital technological advances in sensor technology, sensor networks with The
56 Internet of Things (IoT) connectivity, remote sensing, computer vision and artificial
57 intelligence (AI) for agricultural and human-based applications have allowed the potential
58 automation and integration of different animal science and animal welfare assessment
59 approaches ^{6, 7}. There has been increasing research on implementing these new and
60 emerging digital technologies and adapted to livestock monitoring, such as minimal
61 contact sensor technology, digital collars and remote sensing ⁸. Furthermore, novel
62 analysis and modelling systems have included machine and deep learning modelling
63 techniques to obtain practical and responsible AI applications. The main applications for
64 these technologies have been focused on assessing physiological changes from animals to
65 be related to different types of stress or the early prediction of diseases or parasite
66 infestation ^{4, 9}. One of the most promising approaches is implementing AI incorporating
67 remote sensing and machine learning modelling strategies to achieve a fully automated
68 system for non-invasive data acquisition, analysis and interpretation. Specifically, this
69 approach is based on inputs from visible, thermal, multispectral, hyperspectral cameras
70 and LiDAR to predict targets, such as animal health, stress and welfare parameters. This
71 is presented in detail in the following sections of this review.

72 However, much of the research has been based on academic work using the limited
73 amount of data accumulated in recent years to test mainly different AI modelling
74 techniques rather than deploying and practical application to the industry. Some research
75 groups have focused their efforts on pilots for AI system deployments to assess the effects
76 of heat stress on animals and their respective production, welfare on farming and animal
77 transport, animal identification for traceability, and monitoring greenhouse emissions to
78 quantify and reduce the impact of livestock farming on climate change.

79 This review is based on the current research on these new and emerging digital
80 technologies applied to livestock farming to assess health, welfare and productivity (Table
81 1). Some AI-based research applied for potential livestock applications have tried to solve
82 too many and complex problems rather than concentrating on more simple and practical
83 applications and with little deployment examples. However, the latter is a generalised
84 problem of AI applications within all industries, in which only 20% of AI pilots, have been
85 applied to real world scenarios and have made it to commercial production. The latter
86 figures have increased slightly due to COVID-19 for 2021 with increases up to 20% for
87 machine learning and 25% for AI deployment solutions, according to the Hanover
88 Enterprise Financial Decision Making 2020 report ¹⁰. By establishing a top-down approach
89 (identifying goldilocks problems), specific and critical solutions could be easily studied to

90 develop effectively new and emerging technologies, including AI. In Australia and
91 worldwide, several issues have been identified for livestock transport in terms of the effect
92 of climate change, such as increased temperatures, droughts, and heat waves on livestock
93 welfare (especially during long sea trips through very hot transport environments, such as
94 those in the Persian Gulf with temperatures reaching over 50 °C) and the identification
95 and traceability of animals. Many livestock producing countries have identified AI and a
96 digital transformation as an effective and practical solution for many monitoring and
97 decision-making problems from the industry.

98

99 **Biometric techniques for health and welfare assessment**

100 The most common methods for animal welfare and health assessment are either visual
101 and subjective, specifically for animal behaviour, or invasive. They may involve collecting
102 blood or urine samples to be analysed using expensive and time-consuming laboratory
103 techniques such as enzyme-linked immunosorbent assays (ELISA) and polymerase chain
104 reaction (PCR) ^{9, 11, 12}. Other measurements that are usually related to the health and
105 welfare of animals are based on their physiological responses such as body temperature,
106 heart rate (HR), and respiration rate (RR) ^{13, 14}. To measure body temperature, the most
107 reliable methods are intravaginal or measured in the ear, with the most common devices
108 based on mercury or digital thermometers ^{1, 2}. Body temperature is vital for early detection
109 and progression of heat stress, feed efficiency, metabolism, and disease symptoms
110 detection such as inflammation, pain, infections, and reproduction stage, among others ^{1,}
111 ¹⁵. Traditional techniques to assess HR may involve manual measurements using
112 stethoscopes ¹⁶⁻¹⁸, or automatic techniques based on electrocardiogram (ECG) devices,
113 such as commercial monitor belts with chest electrodes, such as the Polar Sport Tester
114 (Polar Electro Oy, Kempele, Finland) ^{19, 20}, and photoplethysmography (PPG) sensors
115 attached to the ear ²¹. The heart rate parameter and variability are usually used as an
116 indicator of environmental stress, gestation period, metabolic rate, and diagnosis of
117 cardiovascular diseases ^{13, 14}. On the other hand, respiration rate (RR) is typically
118 measured by manually counting the flank movements of animals resulting from breathing
119 in 60 s using a chronometer ^{16, 18} or counting the breaths in 60 s using a stethoscope, or
120 by attaching sensors in the nose, or thorax, which can detect breathing patterns ².
121 Respiration rate can be used to indicate heat stress and respiratory diseases ^{16, 22, 23}.

122 The main disadvantage of traditional methods based on contact or invasive sensors to
123 assess physiological responses is the potential stress they can cause to the animal by the
124 methodology used, which can introduce bias. The stress may be caused by the anxiety
125 provoked by the restraint and manipulation/contact with their bodies for the actual
126 measurement or to attach different sensors. Furthermore, these methods tend to be costly
127 and time-consuming, making it very impractical assessing a large group of animals. In
128 manual measurements, they may also have human error and, therefore, are subjective
129 and not that reliable. Some specific applications for different livestock will be discussed,
130 separating for cattle, sheep and pigs (Table 1).

131

132 **Cattle**

133 To assess the body temperature of cattle continuously, Chung et al. ³ proposed an
134 invasive method for dairy cows by implanting a radio frequency identification (RFID)

135 biosensor (RFID Life Chip; Destron Fearing™, Fort Worth, TX, USA) on the lower part of
136 ears of three cows that were monitored for one week; however, this method showed
137 medium-strength correlations when compared directly to the intravaginal temperature
138 probe for two of the cows ($R^2 = 0.73$) and low correlation in the third cow ($R^2 = 0.34$).
139 The authors then developed a machine learning (ML) model based on the long short-term
140 memory method to increase prediction accuracy. However, the study only reported the
141 root mean squared error (RMSE = 0.081) of the model but left out the accuracy based on
142 the correlation coefficient as it should be done for regression ML models. On the other
143 hand, Tahsin ²⁴ developed a remote sensor system named Cattle Health Monitor and
144 Disease Detector connected using a wireless network. This system integrated a DS1620
145 digital thermometer/thermostat (Maxim Integrated™, San Jose, CA, USA) and a Memsic
146 2125 thermal accelerometer (Parallax, Inc., Rocklin, CA, USA) to assess the activity of
147 animals by measuring the lateral and horizontal movements of the cow. The integrated
148 sensors node was placed on the neck using a collar, with the option to be powered using
149 a solar panel. Furthermore, Wang et al. ²⁵ developed a non-invasive/contactless sensor
150 system to assess the body temperature of cattle using an infrared thermal camera (AD-
151 HF048; ADE Technology Inc., Taipei, Taiwan), an anemometer (410i; Testo SE & Co.,
152 Kilsyth, VIC, Australia), and a humidity sensor (RC-4HA; Elitech Technology, Inc., Milpitas,
153 CA, USA). These sensors were placed in the feedlot at 1 m from the cows and 0.9 m above
154 the ground to record the head of each cow, while these were restrained using a headlock.
155 The authors used a rectal thermometer as groundtruth to validate the method and
156 reported a difference of 0.04 ± 0.10 °C between the groundtruth and the method proposed.
157 The anemometer and humidity sensor were used to remove the frames affected by
158 external weather factors to extract outliers.

159 In the case of heart rate, Zipp et al. ²⁶ used a Polar S810i and RS800CX sensors
160 attached to the withers and close to the heart to measure HR and HR variability (HRV)
161 while locked after milking to assess the impact of different stimulation methods (acoustic,
162 manual and olfactory). However, the authors reported technical problems to acquire HR
163 and HRV, which led to missing values and altered the analysis. This is another drawback
164 of using contact sensors as they can become unreliable due to different reasons, such as
165 natural animal movements causing sensors to lose contact with the animal skin and
166 connectivity problems. Buchli et al. ²⁷ used a Polar S810i belt attached to the torso of
167 calves to measure HR while the animals were in their pen. However, similar to the previous
168 study, these authors also had errors in the data acquired and excluded data from eight
169 calves. To avoid these problems, remote sensing methods have been explored, such as
170 those developed by Beiderman et al. ²⁸ based on an automatic system to assess HR, RR
171 and chewing activity using a tripod holding a PixeLink B741 camera (PixeLink, Rochester,
172 NY, USA) and a Photop D2100 laser connected to a computer. The laser pointed at the
173 neck and stomach of the cow. The acquired signal was analysed using the 'findpeaks'
174 Matlab® (Mathworks, Inc., Natick, MA, USA) function to assess HR from the neck area
175 and RR and chewing from the stomach section. The authors reported a correlation
176 coefficient $R = 0.98$ for HR, $R = 0.97$ for RR and 0.99 for chewing data compared with
177 manual measurements for RR and chewing and Polar sensor for HR. These latter methods
178 may solve the contact problems and unreliability of data quality; however, they seem to still
179 be manual methods requiring operators. The authors did not propose an automation
180 system for measurements.

181 Jorquera et al. ¹⁷ also presented contactless methods to assess skin temperature, HR
182 and RR of dairy cows using remote sensing cameras and computer vision analysis. These

183 authors used a FLIR AX8 camera (FLIR Systems, Wilsonville, OR, USA) integrated into a
184 Raspberry Pi V2.1 camera module to record infrared thermal images (IRTI) and RGB videos
185 of the face of the cows while restrained in the crush. The IRTIs were analysed automatically
186 using the FLIR Atlas software development kit (SDK) for Matlab® and cropped the videos
187 in the eye and ear sections. The RGB videos were used to assess HR using the PPG method
188 based on the luminosity changes in the green channel of the eye, forehead and full face
189 of the cows; these signals were then further analysed using a customised Matlab® code
190 previously developed for humans ²⁹ and adapted for animals. On the other hand, the
191 authors used a FLIR ONE camera to record non-radiometric videos of the cows. These
192 were analysed using Matlab® based on the change in pixel intensity in the nose section
193 to measure the inhalations and exhalations from which RR was calculated.

194 Regarding the RR techniques, besides the manual counts usually conducted based on
195 visual assessment of the flank movement of animals, researchers have also developed
196 computer vision techniques, which aid in the reduction of human error/bias. Stewart et al.
197 ³⁰ assessed 15 dairy cows using three comparative methods to determine RR with i)
198 manual counts of the flank movements by recording the time it took the cow to reach 10
199 breaths, ii) manual counts of flank movements similar to the method (i) but from an RGB
200 video recorded using a high-dynamic-range (HDR) CX220E camera (Sony Corporation,
201 Tokyo, Japan), and iii) manual count of the air movement (temperature variations) from
202 the nostrils. The latter was performed from infrared thermal videos recorded using a
203 ThermoCam S60 camera (FLIR Systems, Wilsonville, OR, USA). The three methods showed
204 to give similar responses with the highest average difference (0.83 ± 0.57) between
205 methods (i) and (iii). Furthermore, Lowe et al. ³¹ presented a similar approach but tested
206 only in five calves. In the latter study, two methods were compared i) manual count of
207 flank movements from an RGB video recorded using a Panasonic HCV270 camera
208 (Panasonic, Osaka, Japan), this was made by recording the time taken for the calf to reach
209 five breath cycles, and ii) manual count of the thermal fluctuations (colour changes) in the
210 nostrils from infrared thermal images recorded using a FLIR T650SC camera. The Adobe
211 Premiere Pro CC (Adobe, San Jose, CA, USA) was used for the manual counts for both
212 methods. A high determination coefficient ($R^2 = 0.93$) was reported comparing both
213 methods. More recently, Kim and Hidaka ³² used a FLIR ONE Pro infrared thermal camera
214 to record IRTIs and RGB videos from the face of calves. The authors first measured the
215 colour changes from the nostril region manually as the time it took for the calf to complete
216 five breaths. A mask region-based convolutional neural network (Mask R-CNN) and
217 transfer learning were used to automatically develop a model using the RGB video frames
218 to automatically detect and mask the calves' nose. Once the nose was detected and
219 masked in the RGB videos, co-registered IRTIs were used to automatically extract the
220 mean temperature of the region of interest. The authors reported an $R^2 = 0.91$ when
221 comparing the manual and automatic methods.

222 Besides the ones used to assess physiological responses, other biometrics have been
223 explored to be applied in beef and dairy cattle. These methods consist of the use of
224 biosensors and/or image/video analysis (remote sensing). For example, Huang et al. ³³
225 developed a computer vision method to assess body measurements (dimensions) of cattle
226 using an O3D303 3D LiDAR camera to record the individual animals' side view and post-
227 processing using filter fusion, clustering segmentation and matching techniques. Tsai et
228 al. ³⁴ developed an integrated sensor module composed of a Raspberry Pi 3B processing
229 unit (Raspberry Pi Foundation, Cambridge, England), a Raspberry Pi V2 camera module
230 and a BME280 temperature and relative humidity sensory for environmental

231 measurement. This integrated module was placed on the top of the drinking troughs in a
232 dairy farm to record drinking behaviour of the cows. The authors then applied
233 convolutional neural networks (CNN) based on Tiny YOLOv3 real-time object detection
234 deep learning network for the head detection of cows to predict the drinking length and
235 frequency which were found to be correlated with the temperature humidity index (THI;
236 $R^2 = 0.84$ and $R^2 = 0.96$, respectively).

237

238 **Sheep**

239 Researchers have been working on different techniques to assess sheep's behavioural
240 and physiological responses using contact and contactless sensors. Giovanetti et al.³⁵
241 designed a wireless system consisting of a halter with a three-axis accelerometer ADXL335
242 (Analog Devices, Wilmington, MA, USA) attached; this was positioned in the lower jaw of
243 dairy sheep to measure the acceleration of their movements on x-, y- and z-axes.
244 Furthermore, the authors used a Sanyo VPC-TH1 camera (Sanyo, Osaka, Japan) to record
245 videos of the sheep during feeding and manually assessed whether the animals were
246 grazing, ruminating or resting as well as the bites per minute. Similarly, Alvarenga et al.
247³⁶ designed a halter attached below the jaw of sheep; this halter had an integrated data
248 logger Aerobtec Motion Logger (AML prototype V1.0, AerobTec, Bratislava, Slovakia),
249 which is able to measure acceleration in x-, y- and z-axes transformed into North, East
250 and Down reference system. Besides, they recorded videos of the sheep using a JVC Everio
251 GZR10 camera (JVC Kenwood, Selangor, Malaysia) to manually assess grazing, lying,
252 running, standing and walking activities. These data were used to develop ML models to
253 automatically predict activities, obtaining an accuracy of 85%.

254 Abecia et al.³⁷ presented a method to measure the body temperature of ewes using
255 a button size data logger DS1921K (Thermochron™ iButton®, Maxim Integrated, San
256 Jose, CA, USA) taped under the tail of the animals. This sensor was able to record
257 temperature data every 5 min. Using remote sensing, de Freitas et al.³⁸ used a FLIR i50
258 infrared thermal camera to record images from different areas of the sheep: anus, vulva,
259 muzzle, and eyes. The authors used the FLIR Quickreport software to manually select the
260 different sections in each sheep and obtain each area's mean temperature. They concluded
261 that the vulva and muzzle were the best areas to assess temperature during the oestrous
262 cycle in ewes. Sutherland et al.³⁹ also used an infrared thermal camera (FLIR Thermacam
263 S60) to record videos of the left eye of ewes. These videos were analysed to assess eye
264 temperature using the Thermacam Researcher software ver. 2.7 (FLIR Systems,
265 Wilsonville, OR, USA). Additionally, the authors used a Polar RS800CX sensor and placed
266 it around the ewes thorax to assess HR and HRV.

267 In terms of potential applications of sensor technology, Cui et al.⁴⁰ developed a
268 wearable stress monitoring system (WSMS) consisting of master and slave units. The
269 master unit was comprised of environmental sensors such as temperature, relative
270 humidity and global positioning system (GPS) attached to an elastic band and placed
271 around the rib cage of sheep, while the slave unit was composed of physiological sensors
272 such as an open-source heart rate sensor (Pulse Sensor, World Famous Electronics llc,
273 New York, NY, USA), and a skin temperature infrared sensor (MLX90615; Melexis, Ypres,
274 Belgium). This system was tested on meat sheep during transportation and proposed as
275 a potential method to assess physiological responses with minimal stress. Zhang et al.⁴¹
276 designed a wearable collar that included two sensors to measure (i) heart rate and oxygen
277 saturation in the blood (MAX30102; Max Integrated, San Jose, CA, USA), and (ii) body

278 temperature (MLX90614; Melexis, Ypres, Belgium). These sensors were connected to the
279 Arduino Mobile App (Arduino LLC, Boston, MA, USA) through Bluetooth® for real-time
280 monitoring and used an SD card for data storage. The authors also proposed this system
281 to assess physiological responses during transportation of sheep. However, these studies
282 can only monitor sentinel animals, making laborious, difficult and impractical the
283 assessment of all animals transported.

284 To solve the later problem, Fuentes et al. ¹⁶ presented a contactless/non-invasive
285 method to assess temperature, HR and RR of sheep using computer vision analysis and
286 machine learning. The authors used a FLIR DUO PRO camera to simultaneously record
287 RGB and infrared thermal videos of sheep. The infrared thermal videos were analysed
288 using customised Matlab® R2020a algorithms to automatically recognise sheep's head
289 and obtain the maximum temperature. Results showed a very high correlation ($R^2 = 0.99$)
290 between the temperatures obtained with the thermal camera and the rectal and skin
291 temperatures measured using a digital thermometer. On the other hand, RGB videos were
292 analysed using customised Matlab® R2020a codes to assess HR and RR based on the PPG
293 principle using the G colour channel from RGB scale for HR and "a" from Lab scale for RR.
294 An artificial neural network model was developed using the Matlab® code outputs to
295 predict the real HR and RR (measured manually), obtaining high accuracy $R = 0.94$. This
296 study also proposed a potential deployment system to be used for animals in transport.

297 For other biometric assessment, Zhang et al. ⁴² developed a computer vision method
298 to measure the dimensions of sheep using three MV-EM120C Gigabit Ethernet charge-
299 coupled device (CCD) cameras (Lano Photonics, JiLin Province, China) located at different
300 positions (top, left and right side) of the weighing scale for sheep. The recorded images
301 were analysed in Matlab® R2013 using the superpixel segmentation algorithm. The
302 authors also obtained the dimension parameters manually and found a correlation $R =$
303 0.99 for weight and $R = 0.79$ for dimensions (width, length, height and circumference)
304 using support vector machine.

305

306 **Pigs**

307 Pigs are also commonly studied to develop biometric techniques to assess behavioural
308 and physiological responses. For example, Byrd et al. ⁴³ used a KPC-N502NUB camera
309 (KT&C, Fairfield, NJ, USA) mounted on top of the pigs' pen to assess pig behaviour. The
310 authors used the GeoVision VMS software (GeoVision Inc, Taipei, Taiwan) and assessed
311 whether the pigs were active (standing or sitting) or inactive (lying sternal or lateral).
312 Nasirahmadi et al. ⁴⁴ assessed the lying behaviour of pigs using closed-circuit television
313 (CCTV) with a Sony RF2938 camera above the pen. Matlab® software was used to analyse
314 the videos using computer vision algorithms to detect the position of each pig and analyse
315 the distance between each animal considering their axes, orientation and centroid. On the
316 other hand, Pezzuolo et al. ⁴⁵ obtained body measurements and weight of pigs using a
317 Kinect V1 depth camera (Microsoft Corporation, Redmond, WA, USA) positioned on the
318 top and side of the pen. Videos were analysed using the Scanning Probe Image Processor
319 (SPIP™) software (Image Metrology, Lyngby, Denmark) to obtain length, front and back
320 height, and heart girth. Furthermore, authors developed linear and non-linear models to
321 predict weight, obtaining an accuracy $R^2 > 0.95$ in all modelling methods tested. The
322 drawback that the authors mentioned from this technique is that the system can only
323 record data from a single camera at a time because there is interference when using
324 simultaneous data acquisition of the two cameras.

325 Regarding techniques to measure body/skin temperature from pigs, da Fonseca et al.
326 ⁴⁶ used a Testo 876-1 handheld infrared thermal camera (Testo Instruments, Lenzkirch,
327 Germany) to record images of piglets' full body. The IRSoft v3.1 software (Testo
328 Instruments, Lenzkirch, Germany) was used to obtain the maximum and minimum skin
329 temperature values. Rocha et al. ⁴⁷ presented a method to measure the body temperature
330 of pigs using two IR-TCM284 infrared thermal cameras (Jenoptik, Jena, Germany). One
331 camera was placed in the pen perpendicular to the pigs' body, while the second one was
332 positioned 2.6 m above the pigs in the loading alley for transportation. The areas of
333 interest evaluated were neck, rump, orbital region, and the area behind the ears; these
334 were manually selected using the IRT Cronista Professional Software v3.6 (Grayess,
335 Bradenton, FL, USA) and extracting the minimum, maximum and mean temperatures.
336 Authors found that the temperatures from the orbital region and behind the ears were the
337 most useful to assess different types of stress (cold/heat, thirst, hunger, pain) during
338 handling and transportation. On the other hand, Feng et al. ⁴⁸ developed a computer vision
339 and machine learning method to predict the rectal temperature of sows using a T530 FLIR
340 infrared thermal camera to capture images. The FLIR Tools software (FLIR Systems,
341 Wilsonville, OR, USA) was used to obtain the maximum and mean skin temperature in
342 different areas such as ears, forehead, shoulder, back central and back end, and vulva.
343 With these data, the authors developed a partial least squared regression (PLS) model to
344 predict rectal temperature, obtaining an accuracy of $R^2 = 0.80$.

345 Wang et al. ⁴⁹ developed a contactless method to assess HR of pigs using two different
346 setups (i) a webcam C920 HD PRO (Logitech, Tainan, Taiwan) located on top of the
347 operation table with an anaesthetised pig, and (ii) a Sony HDRSR5 Handycam located on a
348 tripod above resting individual housing with a resting pig. Matlab® was used to analyse
349 the videos by selecting and cropping the (i) neck for the first setup and (ii) abdomen, neck
350 and front leg for the dual setup. Authors used the PPG principle using the three colour
351 channels of the RGB scale and found the G channel provided the most accurate results
352 compared to measurements using an ECG. Barbosa Pereira et al. ⁵⁰ also developed a
353 method using anaesthetised pigs; they used a long wave infrared VarioCam HD head 820
354 S/30 (InfraTecGmbH, Dresden, Germany) to assess HR and RR. The videos were analysed
355 using Matlab® R2018a, and it included the segmentation using a multilevel Otsu's
356 algorithm, region of interest (chest) selection, features identification and tracking using
357 the Kanade-Lucas-Tomasi (KLT) algorithm, temporal filtering to measure trajectory and
358 principal components analysis (PCA) decomposition and selection. This allowed them to
359 obtain an estimated HR and RR at the selected frequency rates. The authors reported a
360 determination coefficient $R^2 = 0.96$ for HR compared to the ECG method and $R^2 = 0.97$ for
361 RR compared to ventilator data. Jorquera-Chavez et al. ⁵¹ developed a contactless method
362 to assess temperature, HR and RR of pigs using an integrated camera composed of a FLIR
363 AX8 infrared thermal camera and a Raspberry Pi Camera V2.1 to record IRTIs and RGB
364 videos, and a FLIR ONE infrared thermal camera to record non-radiometric videos. The
365 authors used the same method as that reported for cows ¹⁷ using Matlab® R2018b
366 selecting the eyes and ears as regions of interest for temperature, eye section for HR and
367 nose for RR. The same method was used in the study developed by Jongman et al. ⁵², but
368 they used a FLIR DUO PRO R dual camera (infrared thermal and RGB) and reported a
369 correlation coefficient within the $R = 0.61 - 0.66$ range for HR and RR compared to manual
370 measurements.

371 **Table 1.** Summary of biometric methods to assess health and welfare for cattle, sheep and pigs.

Animals	Measurement	Technique	Groundtruth (traditional methods)	Number of animals	Accuracy of method	Proposed application	References
Cattle							
Dairy cows	Body temperature	Implanted RFID biosensor and Machine learning	Vaginal temperature (probe)	3	RMSE = 0.08	First steps for precision agriculture methods	3
Simulated cows	Temperature and movements	Wearable digital sensors Wireless data acquisition	None	1 toy simulating a cow and hot water	Not reported	Health monitoring and disease detection	24
Cattle (Holstein and Jersey)	Body temperature	Contactless biometrics Computer vision Infrared thermal images	Rectal temperature (probe)	Not specified	Mean difference between methods 0.04 ± 0.10 °C	Alternative to traditional temperature methods	25
German Holstein cows	HR HRV	Wearable sensors	None	40	Not reported	Tested impact of different stimulation methods	26
Dairy calves	HR	Wearable sensors	None	69	Not reported	Behavioural and stress response	27
Cows	HR RR Chewing	Contactless biometrics Computer vision RGB images and laser	HR: wearable sensor RR and Cheiwng: manual count	6	HR: R = 0.98 RR: R = 0.97 Chewing: R = 0.99	Biomedical monitoring for optimised cattle treatment	28
Dairy cows Holstein Friesian	Skin temperature HR RR	Contactless biometrics Computer vision Infrared thermal images and RGB videos	Skin temperature: vaginal probe HR: wearable sensors RR: manual count	10	Skin Temperature: R = 0.74 HR: R = 0.20 – 0.83 RR: R = 0.87	Monitoring of physiological responses	17
Dairy cows	RR	Computer vision Infrared thermal and RGB videos	Manual count	15	Mean difference Manual vs RGB video: -0.01 ±0.87 Manual vs infrared videos: 0.83 ±0.57	Monitoring of health and welfare	30

Calves	RR	Contactless biometrics Computer vision Infrared thermal images	Manual count from RGB videos	5	$R^2 = 0.93$	Monitoring of health and welfare	31
Japanese Black Calves	RR	Contactless biometrics Computer vision Infrared thermal images Deep learning	Manual count	5	$R^2 = 0.91$	Monitoring health	32
Qinchuan cattle	Body measurements (dimensions)	Contactless biometrics Computer vision RGB images	Manual measurements	3	2 mm	Contactless body measurements of large livestock	33
Dairy cows	Drinking behaviour	Integrated sensor module Computer vision Deep learning	None	25	Not reported	Automatic and quantitative assessment of drinking behaviour as a measure of heat stress	34
Sheep							
Dairy sheep	Behaviour activities	Wireless system Wearable sensors RGB videos	Manual assessment	3	93%	Behaviour assessment	35
Ewes	Behaviour activities	Wearable sensors RGB videos Machine learning	Manual assessment	6	85%	Assessment of sheep activity previous to methane measurements Assessment of temporal grazing patterns	36
Ewes	Body temperature	Wearable sensor	None	15	Not reported	Measurement of temperature changes in lambing period	37
Ewes	Surface temperature of different areas (anus, vulva, muzzle, eyes)	Contactless biometrics Computer vision Infrared thermal images	Rectal and vaginal temperature	20	Not reported	Assessment of temperature during oestrous cycle Reproductive management	38

Ewes	Eye temperature HR HRV	Computer vision Infrared thermal images Wearable sensors	None	20	Not reported	Assessment of autonomic nervous system responses	39
Meat sheep	Skin temperature HR	Wireless wearable monitoring system	Traditional veterinary monitors	60	Non-significant differences (no p-value reported)	Assessment of physiological responses with minimal stress	40
Mutton sheep	HR Oxygen saturation Body temperature	Wearable sensors	None	Not reported	Not reported	Disgnose survival status during transportation	41
Merino lambs	Skin temperature HR RR	Contactless biometrics Computer vision Machine learning	Skin and rectal temperature (digital thermometer) Stethoscope Manual count	12 sheep /3 times a day /four weeks	Skin temperature: $R^2 = 0.99$ HR and RR: $R = 0.94$	Assessment of physiological responses and heat stress during transportation	16
Sheep	Body measurements (dimensions; weight)	Contactless biometrics Computer vision Machine learning	Manual measurements	27	Weight: $R = 0.99$ Dimensions: $R = 0.79$	Increase efficiency in herds management	42
Pigs							
Pigs	Behaviour	Contactless biometrics Computer vision	None	10 pigs /2 replicatio ns	Not reported	Assessment of heat stress	43
Pigs	Lying behaviour	Contactless biometrics Computer vision	Not reported	88	96%	Welfare assessment	44
Pigs	Body measurements (dimensions) Weight estimation	Contactless biometrics Computer vision	Manual measurments	78	$R^2 > 0.95$ to predict weight	Estimate pigs' weight during weaning period	45
Piglets Sus Scrofa	Skin temperature Cold/heat stress Thirst stress Hunger stress Pain stress	Contactless biometrics Computer vision Infrared thermal images Machine learning	Stress conditions based on treatments	72	Cold/heat stress: 100% Thirst stress: 91% Hunger stress: 86% Pain stress: 50%	Assessment of stress during handling and transportation	46

Sows	Rectal temperature	Contactless biometrics Computer vision Infrared thermal images Machine learning	Rectal temperature (mercury thermometer)	99	$R^2 = 0.80$	Welfare assessment	48
Pigs	HR	Contactless biometrics Computer vision	Electrocardiogram	2	78% (Green colour channel)	Real-time monitoring of health and welfare	49
Pigs	HR RR	Contactless biometrics Computer vision Infrared camera	Electrocardiogram Ventilator data	17	HR: $R^2 = 0.96$ RR: $R^2 = 0.97$	Long term monitoring of research animals	50
Pigs	Skin temperature HR RR	Contactless biometrics Computer vision Infrared thermal images and RGB videos	None	46	Not reported	Early detection of disease before symptoms appear	51
Pigs	Eye temperature HR RR	Contactless biometrics Computer vision Infrared thermal and RGB videos	Stethoscope Manual count from videos	28	Eye temperature: not reported HR and RR: $R = 0.61 - 0.66$	Physiological responses due to respiratory diseases	52

372 * Abbreviations: RFID: radio frequency identification; RMSE: root mean squared error; HR: heart rate; HRV: heart rate variability; RR: respiration rate;
373 RGB: red, green, blue

374 **Biometric techniques for recognition and identification**

375 Correct and accurate identification of livestock is essential for farmers and producers.
376 It also allows relating each animal to different productivity aspects such as health-related
377 factors, behaviour, production yield and quality, and breeding. Furthermore, animal
378 identification is essential for traceability, especially during transport and after selling, to
379 avoid fraud and animal ledger or identification forging. However, traditional methods
380 involve ear tags, tattoos, microchips, and Radio Frequency Identification (RFID) collars,
381 which involve high costs; some may be unreliable and easily hacked or interchanged.
382 Furthermore, they require human labour for their maintenance, making them time-
383 consuming and prone to human error and may lead to swapping tags ⁵³⁻⁵⁶. Therefore,
384 some studies in recent years have focused on the development of contactless biometric
385 techniques to automate the recognition and identification of different animals such as
386 bears using deep learning ⁵⁷ and cows based on different features such as the face ^{53, 58},
387 muzzle ⁵⁵, body patterns ⁵⁴, iris recognition ⁵⁹, or retinal patterns ⁵⁶.

388

389 **Cattle**

390 Most of these biometric techniques for recognition and identification have been
391 developed for cattle. Authors have presented methods based on one of the three main
392 techniques (i) muzzle pattern identification, (ii) face recognition, and (iii) body recognition
393 and identification. The first technique has been applied for cattle recognition using images
394 of the muzzle and analysed for features as it has a particular pattern that is different for
395 each animal, similar to the human fingerprints. Once these features and patterns are
396 recognised, a deep learning model is developed to identify each cow ^{55, 60-64}. Face
397 recognition methods using different techniques such as local binary pattern algorithms ⁵⁸
398 and CNN have been proposed for specific cattle breeds with different colours and patterns,
399 such as Simmental ⁶⁵, Holstein, Guernseys, and Ayrshires, among others ^{53, 66}; however,
400 none has been presented in single coloured cattle breeds such as Angus. On the other
401 hand, body recognition methods have been developed to identify cows within a herd using
402 computer vision and deep learning techniques. Within the proposed methods is the cattle
403 recognition from the side ⁶⁷, from behind ⁶⁸, different angles ⁶⁹, or from the top ⁷⁰. The
404 latter was proposed to identify and recognise Holstein and Friesian cattle using an
405 unmanned aerial vehicle (UAV) ⁷⁰⁻⁷³. Bhole *et al.* ⁶⁷ proposed an extra step for cow
406 recognition from the side by recording IRTIs to ease the image segmentation and remove
407 the background.

408

409 **Sheep**

410 While biometrics applied for the identification and recognition of sheep have not been
411 deeply explored, the development of some proposed methods has been published. The
412 techniques that have been reported for sheep consist of retinal recognition using a
413 commercial retinal scanner OptiReader (Optibrand®, Fort Collins, CO, USA) ⁷⁴ and face
414 recognition using classification methods such as machine or deep learning. Salama *et al.*
415 ⁷⁵ developed a deep learning model based on CNN and Bayesian optimisation and obtained
416 an identification accuracy of 98%. Corkery *et al.* ⁷⁶ proposed a method based on
417 independent components analysis and the InfoMax algorithm to identify the specific

418 components from the normalised images of the sheep's faces and then find them in each
419 tested image; the authors reported an accuracy within 95 – 95%.

420

421 **Pigs**

422 The biometric techniques that have been published to identify pigs are based mainly
423 on face recognition and body recognition from the top of the pens. Hansen *et al.* ⁷⁷
424 developed a face recognition method using CNN with high accuracy (97%). Marsot *et al.*
425 ⁷⁸ developed a face recognition system based on a mix of computer vision to identify the
426 face and eyes and deep learning CNN for classification purposes, obtaining an accuracy of
427 83%. On the other hand, Wang *et al.* ⁷⁹ proposed a method to identify pigs from images
428 recorded from the whole body using integrated deep learning networks such as dual-path
429 network (DPN131), InceptionV3 and Xception, with an accuracy of 96%. Huan *et al.* ⁸⁰
430 tested a Weber texture local descriptor (WTLD) identification method with different masks
431 to detect and recognise individual features such as hair, skin texture, and spots using
432 images of groups of pigs; the tested WTLD methods resulted in accuracies >96%. Kashiha
433 *et al.* ⁸¹ based their automatic identification method on computer vision to recognise
434 marked pigs within a pen using the Fourier algorithm for patterns description and Euclidean
435 distance; this technique resulted in an 89% accuracy.

436

437 **Machine and deep learning application in livestock to address** 438 **complex problems**

439 This section concentrates specifically on the research on AI application using machine
440 learning and deep learning modelling techniques on livestock, specifically for cattle, sheep
441 and pigs. One of the latest researches has been focused on the use of AI to identify farm
442 animals' emotional response, including pigs and cattle ⁸². However, it may be difficult to
443 assess and interpret the emotional state of farm animals only from facial expression and
444 ear positioning as proposed in the latter study, and more objective assessment could be
445 performed using targets based on hormonal measurements from endorphins, dopamine,
446 serotonin and oxytocin among others, which will require blood sampling. Therefore, all the
447 in vitro and tissue applications were excluded from this section since they require either
448 destructive or invasive methods to obtain data.

449

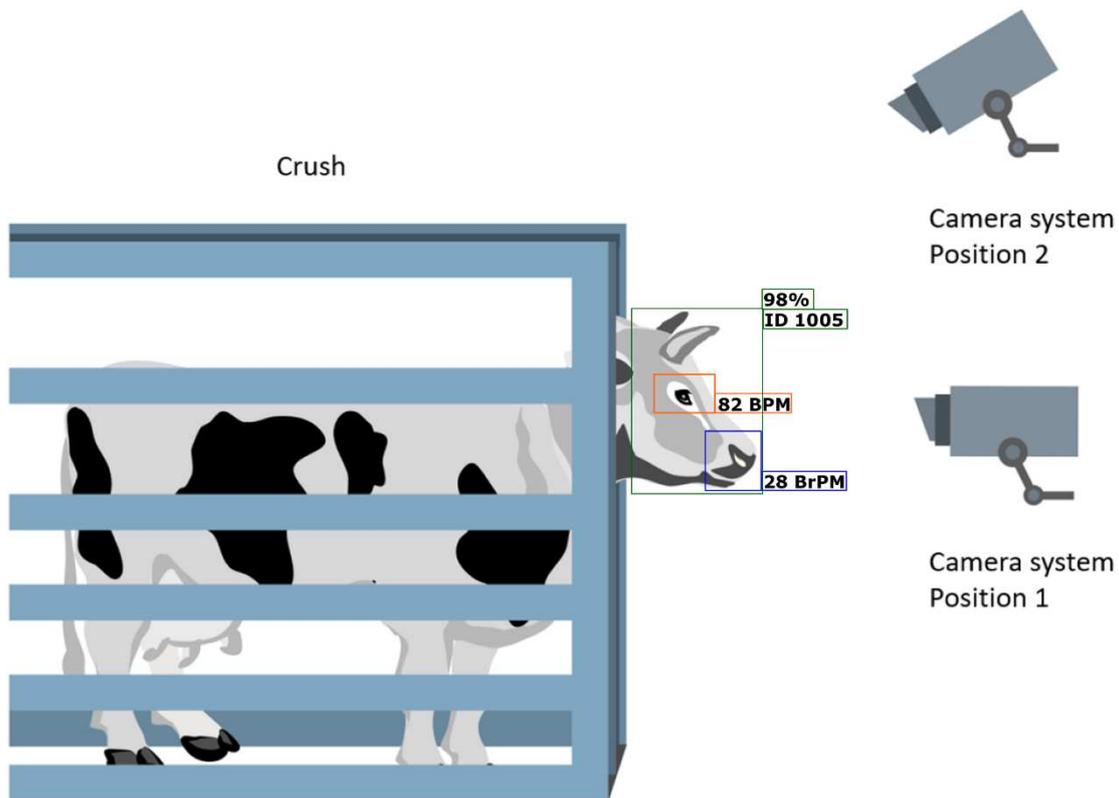
450 **Cattle**

451 A simple AI approach was proposed using historical data (four years) with almost
452 ubiquitous sensor technology in livestock farms, such as meteorological weather stations
453 with daily temperature and relative humidity ⁸³. In this study, meteorological data was
454 used to calculate temperature and humidity indices (THI) using different algorithmic
455 approaches as inputs to assess the effect of heat stress on milk productivity as targets in
456 a robotic dairy farm. This approach attempted to answer complex questions with
457 potentially readily available data from robotic and conventional dairy farms and proposed
458 a deployment system for an AI approach with a general accuracy of AI models of 87%.
459 More accurate heat stress assessments could be achieved by either sensor technology,
460 with minimal invasiveness to animals, such as ear clips, collars or similar, or remote
461 sensing cameras, computer vision and deep learning modelling. However, the latter digital

462 approach requires assessing individual animals using extra hardware and sensors, camera
463 systems located in strategic positions allowing monitoring of every single animal (e.g.,
464 corral systems and straight alleys). Furthermore, these new digital approaches require the
465 recording of new data. A big question in AI applications of AI in cattle, in this case, would
466 be whether it is worth the significant extra investment in hardware and machine learning
467 modelling using new data to increase the accuracy of models by an additional 10%.

468 Sensor technology and sensor networks have been implemented in cattle to assess
469 lameness, such as accelerometers, IoT connectivity and time series machine learning
470 modelling approaches ^{84, 85}. These applications were the first approaches to be
471 implemented in animals after applications in humans for fitbits. Sensor readings and
472 connectivity using IoT will facilitate the implementation of this technology in a near or
473 real-time fashion. However, there is a big downside of the requirement of sensors for every
474 single animal to be monitored. This is valid to other applications for sensor integration ¹¹,
475 such as collars, halter and ear tag sensors ⁸⁶, to detect physiological changes, behaviour
476 and other anomalies ⁸⁷.

477 As mentioned before, animal recognition using deep learning approaches should be
478 considered the first step to apply further remote sensing and AI tools. A second step should
479 be the identification of key features from animals using deep learning ⁸⁸, which makes
480 possible the extraction of physiological information from those specific regions using
481 machine learning modelling, such as heart rate from the eye section or exposed skin (e.g.
482 ears or muzzle) and respiration rate from the muzzle section. These animal features should
483 be recognised in a video to extract enough information to obtain physiological parameters
484 that currently require 4-8 seconds (e.g., heart rate and respiration rate) for the signal to
485 stabilise and get meaningful data. Hence, the AI implementation steps should consider
486 animal recognition, specific feature recognition and tracking and extraction of physiological
487 parameters using machine learning. This may also be integrated as a whole system along
488 with automatic animal identification using face recognition and deep learning as proposed
489 in Figure 1.



490
 491 **Figure 1.** Proposed artificial intelligence system to assess dairy cows integrated system for face
 492 recognition, animal identification (green box) and biometrics such as heart rate in beats per minute
 493 (BPM; orange box) and respiration rate in breaths per minute (BrPM; green box). The percentage in
 494 the green box represents the certainty of identification.

495 Integration of UAV, computer vision algorithms and CNN have been attempted for the
 496 recognition of cattle from the air ⁸⁹. However, these authors concentrated efforts on the
 497 feasibility and testing of different algorithms rather than the potential deployment of a
 498 pilot program. Furthermore, these approaches could also be used for animal recognition
 499 and the potential extraction of physiological parameters, such as body temperature (using
 500 infrared thermal cameras as payload). Dairy cows could offer more identification features
 501 than Angus cattle, which may require the implementation of multispectral cameras to
 502 include potential non-visible features from animals.

503
 504 **Sheep**

505 Sensor technology and sensor networks have also been applied in parallel with
 506 machine learning approaches for sheep using electronic collars and ear sensors as input
 507 data and supervised selecting several behaviour parameters as targets with a reported
 508 accuracy of <90% for both methods ⁹⁰. Some predictive approaches from existing data
 509 have been attempted to assess carcass traits from early-life animal records ⁹¹ using
 510 supervised and unsupervised regression machine learning methods with various low to
 511 high accuracies reported.

512 Similar detection systems mentioned before for other animals have been applied for
 513 sheep counting using computer vision and deep learning CNN methods ⁹², which can also
 514 be used in parallel with other AI procedures to extract more information from animals for

515 health or welfare assessments, such as sheep weight ⁹³. Following this approach, additional
516 physiological parameters, such as heart rate, body temperature and respiration rate, can
517 be extracted from individual sheep non-invasively ¹⁶. The latter study also proposed using
518 this AI approach for real livestock farming applications, such as animal welfare assessment
519 for animals during transportation.

520 Other welfare assessments have been developed for sheep based on the facial
521 classification expression for pain level applied using deep learning CNN and computer
522 vision with 95% accuracy. However, no deployment reported, which can be used to assess
523 further animal welfare ⁹⁴.

524

525 **Pigs**

526 Some simple machine learning applications have been implemented to predict water
527 usage in pig farms using regression machine learning algorithms ⁹⁵. However, this study
528 reported a maximum determination coefficient of $R^2 = 0.42$ for regression tree algorithms,
529 which could be related to poor parameter engineering, since only temperature and relative
530 humidity was used.

531 Automatic pig counting ⁹⁶, pig posture detection ^{97, 98}, mounting ⁹⁹ and sow behaviour
532 ¹⁰⁰, localisation and tracking ¹⁰¹, aggressive behaviour ¹⁰² have been attempted using
533 computer vision and deep learning. These are relatively complex approaches for
534 meaningful questions considering further pipeline of analyses. These approaches could be
535 used to extract more information from the individual pigs once they have been recognised,
536 such as biometrics including heart rate and respiration rate extracted for other animals,
537 such as sheep mentioned before ¹⁶ and cattle identification ⁷³ with accuracies in
538 identification between 86% and 96% with a maximum of 89 individuals.

539 Other approaches have been implemented for the early detection (between 1 to 7
540 days of infection) of respiratory diseases in pigs using deep learning approaches ¹⁰³. Other
541 computer vision approaches using visible and infrared thermal imagery analysis without
542 machine learning approaches also delivered an acceptable assessment of respiratory
543 diseases in pigs ⁵¹.

544

545 **Conclusions**

546 Implementing remote sensing, biometrics and AI for livestock health and welfare
547 assessment could have many positive ethical implications and higher acceptability by
548 consumers of different products derived from livestock farming. Specifically, integrating
549 digital technologies could directly impact increasing the willingness to purchase products
550 from sources that introduced AI to increase animal welfare on the farm and transport for
551 ethical and responsible animal handling and slaughtering. However, a systematic
552 deployment of different digital technologies reviewed in this paper will require further
553 investment, which some governments, such as Australia, has identified as a priority.

554 It is difficult to assess the applicability or deployment options from different research
555 done so far on livestock, which have applied biometrics and AI since there is no consistency
556 in the reporting of the accuracy of models, performance, testing for over or underfitting of
557 models, number of animals used or proposed pilot or deployment options (Table 1).

558 Furthermore, in most of these studies, there are no follow-ups on the models either by
559 establishing potential pilot deployments to test them in real-life scenarios. Many
560 researchers only rely on the validation and testing protocols within the model development
561 stage. The latter does not give any information on the practicality or applicability of these
562 digital systems, since circumstances in real life scenarios change in time and models need
563 to be re-evaluated and continuously fed with new data to learn and adapt to different
564 circumstances and scales of use.

565 It is also clear that much of the AI developments and modelling for livestock farming
566 applications are academic, and very little research has focused on efficient and practical
567 deployment to real-world scenarios. To change this, researchers should work on real-life
568 problems in the livestock industry, starting with simple ones and pressing questions. The
569 next step is to solve them using efficient and affordable technology, starting with big data
570 analysis from historical data accumulated by different industries. The idea here is to
571 initially apply AI where the data exists to achieve maximum reach with high performance
572 and scalable applications (e.g. heat stress assessment on milk production using historical
573 weather information and productivity data). It is also required to check whether the correct
574 data is available, avoid basing AI on reduced datasets and restricted only to test different
575 machine learning approaches. Academic exercises based on AI modelling for its sake only
576 rarely reach pilot programs and applications to the real world. Furthermore, data quality
577 and data security are becoming fundamental issues that should be dealt using digital
578 ledger systems for data and model deployments, such as blockchain implementation. This
579 approach allows treating data and AI models as a currency to avoid hacking and
580 adulteration, especially with AI models and data dealing with welfare assessments for
581 animals in farms to claim ethical production or animals in transport.

582 To solve these problems, AI modelling, development and deployment strategies
583 should have a multidisciplinary team with constant communication during the model
584 development and deployment stages; or what could be a better approach, but very rare
585 nowadays is to have an expert on animal science, data analysis and AI dealing with
586 business companies. This could change soon through specialised Agriculture, Animal
587 Science and Veterinary degrees in which data analysis, machine learning and AI is
588 introduced in their respective academic curriculums.

589 Integrating new and emerging digital technology with AI development and deployment
590 strategies for practical applications would create effective and efficient AI pilot applications
591 that can be easily scaled up to production to create successful innovations in livestock
592 farming.

593

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