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Making Forest Data Fair and Open

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Data on tropical forests are in high demand. But ground forest measurements are hard to sustain and the people who make them are extremely disadvantaged compared to those who use them. We propose a new approach to forest data that focuses on the originators and the challenges of sustaining forest measurements.

It is a truth, universally acknowledged, that those in possession of time and good fortune must be in want of information. Nowhere is this more so than for tropical forests, which include the richest and most productive ecosystems on Earth. Information on tropical forest carbon and biodiversity, and how these are changing, is immensely valuable, and many different stakeholders wish to use tropical and subtropical forest data. These include scientists, governments, non-governmental organisations, and commercial interests such as those extracting timber or selling carbon credits. Another crucial, often ignored group are the local communities for whom forest information may help to assert their rights and conserve or restore their forests.

A widespread view is that to lead to better public outcomes it is not only necessary but also sufficient for forest data to be open and "FAIR" (Findable, Accessible, Interoperable, Reusable)[1,2]. There is indeed a powerful case. Open data − those which anyone can use and share without restrictions − can encourage transparency and reproducibility, foster innovation, and be used more widely, thus translating into a greater public good (e.g., https://creativecommons.org). For example, open biological collections and genetic sequences (e.g., GBIF, GenBank) have enabled species discovery, and open Earth Observation data helps people understand and monitor deforestation (e.g., Global Forest Watch). But the perspectives of those who make forest measurements are much less recognised[3], meaning that open and "FAIR" data can indeed be extremely unfair. We argue here that forest data policies and practices need to be "fair" in the correct linguistic use of the term, meaning "just" and "equitable".

In the world where forest data origination - measuring, monitoring, and sustaining forest science - is secured by large, long-term capital investment, such as through space missions and some officially-supported National Forest Inventories, making all data open makes perfect sense. But where data origination depends on insecure funding and precarious employment conditions, top-down calls to make these data open can be deeply problematic[4]. Even when well-intentioned, such calls ignore the socio-economic context of the places where the forest plots are located and how knowledge is created, entrenching the structural inequalities that characterise scientific research and collaboration among and within nations[5–9]. A recent review found scant evidence for open data ever lessening such inequalities[10]. Clearly, only a privileged part of the global community is currently able to exploit the potential of open forest data[11]. Meanwhile, some local communities are *de facto* owners of their forests and associated knowledge, so making information open – for example, the location of valuable species – may carry risks to themselves and their forests.

The Challenge

The risks of open forest data exploitation are magnified by features of how forests are measured and who does the measuring. Generating long-term data on forest health and change involves physically measuring and identifying millions of trees. This means establishing, maintaining and revisiting plots, and curating records indefinitely. Trees are long-lived organisms so forests require decades of monitoring to properly infer change. Sustaining local observations for decades needs deep, long-term commitment to the unique but shifting combinations of people, institutions, regulations, interests and relationships that characterise each forest site. The challenge is enhanced by the great biodiversity of tropical forests. Measuring a single hectare of Amazon forest involves collecting and identifying up to ten times the number of tree species in the United Kingdom's entire 24 million hectares. There are very few people with the skills to do this.

Long-term tropical forest data measurements not only require effort and skill but often carry risk and depend on some of the most disadvantaged actors in the global science community. Many forest workers, namely researchers, technicians, students, field assistants and local communities, lack basic job security, much less a career path, despite the long-term dedication monitoring forests requires. In addition, many tropical forest workers may endure dangerous field conditions, with threats including kidnapping, armed insurgents, narcotraffickers, land-grabbers, infectious disease, snakebite, floods, fire, dangerous transport, and gender-based violence. Besides these personal dangers, tropical scientists often lack the basic resources to measure and maintain their forest plots, let alone develop their research groups[8].

In contrast to the experiences of those monitoring forests on the ground, consider the context for satellite and aircraft-based measurements, which require ground-based data for validation. Space-based forest missions are expensive but are funded by public or private capital. Once in orbit, they stream data to analysts 'for free'. This requires relatively few people to sustain, and while the analysts' work is highly skilled, it carries little professional and physical risk and lacks commitment to place. Forest fieldwork is less capital-intensive, but needs sustained investment, is intensely human, and carries substantial costs and risks. There are no automated collecting stations to help identify and measure trees, so without the long-term dedication of many forest workers, data collection simply stops.

The risks and costs involved in acquiring and sustaining ground forest data are persistently overlooked, ignored, or regarded as externalities to be picked up by the forest workers themselves. This is especially problematic because countries that hold the most tropical forests are among those least able to invest in science and development (Figure 1, Figure S1). For example, monitoring the carbon balance of intact tropical moist forests has been estimated to cost 7 million US dollars a year[12], easily exceeding present support. By contrast, the U.S.A. alone spends over $90 million annually on its national forest inventory[13]. So, many tropical forest data are collected by skilled people working with minimal funding, challenging conditions and facing other constraints, including complex layers of rules, agreements and

research permits. Given such huge disparities, it is hardly reasonable to expect this output to be served on an open plate to the world.

It is perhaps unsurprising that the most vocal proponents of making tropical and subtropical forest data open are often not those who actually measure and monitor them. Meanwhile, key beneficiaries include powerful publishers (usually with commercial interest), agencies and tech companies (often with commercial or political interests), and highly-educated computer-savvy analysts wishing to integrate Earth Observation data with forest data (naturally with a career interest). Relatively few of these institutions and people are based in the tropics and subtropics. Fewer still are also data originators.

And so, for many data originators the present meaning of making tropical forest data “open” is to transfer the hard-won output of their labours to more privileged individuals and institutions and lose more of the limited control they have over their professional lives. Power flows from the originators to public agencies, private companies and data scientists, mainly in the global North.

A Way Forward

Can this situation be changed to benefit data originators and users alike? We believe that the future of tropical forest data should be open, as is already the case for some biological data (e.g. species records and DNA sequences), but realising the potential scientific and societal gains of open data requires a radically new agreement among forest data originators, users, and funders. It needs users and funders to explicitly acknowledge the power dynamics - and to do something practical about them.

To ensure the benefits of long-term on-the-ground forest data streams are fairly secured, we present here eight key recommendations based on an alternative model which focuses on the needs of the data originators and ensures users and funders contribute properly. In this model,

the skills, careers, and livelihoods of the originators go front-and-centre. An equitable and sustainable approach to measuring the world's forests therefore starts by recognising the human challenge involved in long-term forest measurements. It puts people - not data - first. This means recognising the true costs of forest data origination and supporting better-quality careers for those doing the fieldwork.

These include funding the direct and indirect costs of: (**1**) fieldwork and essential laboratory work, including herbaria support; (**2**) training, safe working practices, and secure employment conditions for the professionals on whom forest data production depends*;* and (**3**) the overheads of institutions responsible for data delivery. Long-term support of integrated forest data management is also essential, beyond what GBIF and GenBank provide for species records and DNA sequences. Therefore, (**4**) covering the costs of coordinated data curation and database infrastructure needs to be standard[14]. Together, addressing these true costs will put funders in a position to ensure their support leads to open data releases and more open science.

Thus, agreements to make data open become explicitly tied to properly-funded actions that sustain data origination and develop in-country capacity so that all benefit from the open data model[4,14]. Building local capacity and infrastructure will generate better science which will lead to better forest management[15] and reduce inequalities between those producing and using data. It's not only fair to invest in data originators and their development, it is also better for the ultimate goals all want to achieve.

Meanwhile, we can help build long-term tropical forest research on more equitable foundations. Authors and journals can support this by (**5**) embracing holistic definitions of authorship to include those involved in data collection and management and (**6**) ensuring results are communicated in the originators' languages. Because we share the same world but research investment capacity is highly uneven (Fig. 1), (**7**) international agreements and funding to support data origination, capacity building, stable and long-term careers are needed

to empower (sub)tropical institutions. Last but not least, it is essential to (**8**) develop deep, long-term, and equitable collaborations, which should be the stated aim of funders, producers and users alike[8]. Global and national research networks have already emerged to help originate, assemble and share forest data, while putting data originators in control of data management and access[12,16,17]. These initiatives inherit asymmetries in scientific research but can build bridges and develop the next generation of (sub)tropical leaders by supporting them with data, tools, connectivity, and opportunities to lead academic and applied outcomes.

Successful systemic change demands we build partnerships across divides. Our shared need to secure a stable climate and protect biodiversity is becoming enshrined in global agreements and national laws not only because the benefits are becoming ever clearer, but because the fundamental principle of differentiated obligations and contributions has been widely recognised. And just as the health of all depends on equitable global access to nutrition and medical resources, so the benefits of sharing data will flow much more easily when those who make forest measurements become truly valued. In short, for tropical forest data to be open, they first must be supported fairly.

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Data availability

The data used to produce Figure 1 are publicly available. Data on gross domestic product and population size are available from the World Bank at https://data.worldbank.org/indicator. Data on tropical forest area (closed or open/fragmented

forests) were extracted from the Forests 2000 by Major Ecological Domains grid provided by the Food and Agriculture Organization (FAO) at http://www.fao.org/geonetwork.

Author contributions

O.L.P. and R.A.F.L. contributed equally. O.L.P. drafted the initial version of the manuscript with subsequent inputs from R.A.F.L. R.A.F.L. produced the figures. All authors contributed to discussing the theme, made suggestions and approved the text.

Positionality Statement

We, the authors, are all forest researchers working across different disciplines and networks to better understand tropical and subtropical forests and support their sustainable future. Some come from the South and are based in the South, some come from the North and based in the North, and some from the South are based currently in the North. We recognise that labels such as “North” and “'South”, while useful, simplify complex realities, and are to an extent limited. In our careers we have been originators in the sense of working in the field to establish, identify, and/or re-measure forest and savanna plots. We have also worked to get funding to establish and sustain plots, support data management, grow collaborations, and do science. Many of us have also benefited in different ways, including publications, building research groups and achieving professional recognition. While our experiences, career stages and backgrounds are diverse, we are united by our convictions that the production and the use of forest data must become more equitable, that many less visible colleagues who contribute vital work need proper recognition, and that fair, long-term collaboration across geographical, socio-economic, and cultural divides is essential to build the best outcomes for science and society.

Competing interests

The authors declare no competing interests.

Figure legends

Figure 1. The global distribution of (A) the 2008-18 average national gross domestic product (GDP) per capita, and (B) tropical forest area per capita. For both panels, countries are coloured based on the quantiles of the overall distribution, from low (dark red) to high values (dark blue).