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1 **Title**

2 Orthopaedic aspects of SAMS syndrome

3 **Authors**

4 Dirk E. Schrande, MD<sup>1,2</sup>, Heleen M. Staal, MD, PhD<sup>1,2</sup>, Colin A. Johnson, BA, PhD<sup>3</sup>, Alistair

5 Calder, MD, PhD<sup>4</sup>, Neeti Ghali, MD<sup>5</sup>, Albert E. Chudley, MD<sup>6</sup>, Constance T.R.M. Stumpel, MD,

6 PhD<sup>7,8</sup>

7 <sup>1</sup> Department of Orthopedics, Maastricht universitair medisch centrum, Debyelaan 25,

8 6229HX, Maastricht, The Netherlands.

9 <sup>2</sup> Research School CAPHRI, Maastricht, The Netherlands.

10 <sup>3</sup> Division of Molecular Medicine, Leeds Institute of Medical Research, The University of

11 Leeds, LS9 7TF, UK

12 <sup>4</sup>Department of Radiology, Great Ormond Street Hospital, Great Ormond

13 Street, London WC1N 3JH, UK

14 <sup>5</sup>Department of Clinical Genetics, Northwick Park Hospital, Watford Road, Harrow HA1 3UJ,

15 UK

16 <sup>6</sup>Department of Pediatrics and Child Health and Department of Biochemistry and Medical

17 Genetics, University of Manitoba, Winnipeg, MB R3A 1R9, Canada

18 <sup>7</sup>Department of Clinical Genetics, Maastricht universitair medisch centrum, Debyelaan 25,

19 6229HX, Maastricht, The Netherlands.

20 <sup>8</sup>GROW-School for Oncology and Developmental Biology, Maastricht, the Netherlands.

21 **Corresponding author**

22 Corresponding author

23 Dirk E. Schrande

24 Function: Senior orthopaedic resident. M.D.

25 Email address: Dirkschrande@gmail.com

26 Department of Orthopaedics, Maastricht University Medical Center, P. Debyelaan 25, 6229

27 HX Maastricht, the Netherlands

28 Telephone number: 0031433876543

29 Fax: 0031884597986

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40 **Abstract**

41 The combination of Short stature, Auditory canal atresia, Mandibular hypoplasia, and  
42 Skeletal abnormalities (SAMS, OMIM 602471) has been reported as a very rare, autosomal-  
43 recessive developmental disorder with unique skeletal anomalies. Up to now only four  
44 patients have been reported. There are a number of striking orthopaedic diagnoses within  
45 the SAMS syndrome. In particular the scapulohumoral synostosis and the bilateral congenital  
46 ventral dislocation of the hips. Whenever a bilateral congenital ventral dislocation of the  
47 hips and or a scapulohumoral synostosis is found or clinically suspected, SAMS syndrome  
48 should be considered as primary diagnosis until proven otherwise.

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## 60 **Introduction**

61 The combination of Short stature, Auditory canal atresia, Mandibular hypoplasia, and  
62 Skeletal abnormalities (SAMS, OMIM 602471) has been reported as a very rare, autosomal-  
63 recessive developmental disorder with unique skeletal anomalies. These include bilateral  
64 humeral hypoplasia, humeroscapular synostosis, pelvic abnormalities, and proximal defects  
65 of the femora.<sup>1</sup> Up to now only four patients have been reported.<sup>2,3</sup>

66 SAMS was reported in two cases to be a provisionally autosomal-recessive disorder with  
67 features of a first and second branchial arch syndrome.<sup>1,2</sup> However, these two patients  
68 (Patient A and B) also had additional, unique skeletal anomalies that comprised bilateral  
69 humeral hypoplasia, humeroscapular synostosis, pelvic abnormalities and proximal defects  
70 of the femora. Those cases were further elaborated in the article of Parry et al, and uniquely  
71 extended to a total of four patients with the same clinical features.<sup>3</sup> The genetic origin was  
72 discovered in patient C by exome sequencing to be an homozygous predicted null mutation  
73 of GSC, encoding Goosecoid homeobox protein, a paired-like homeodomain transcription  
74 factor. In patient A, a homozygous 306 kb microdeletion including the Goosecoid gene only,  
75 confirmed that SAMS is a human malformation syndrome resulting from GSC mutations.<sup>3</sup>

76 There are a number of striking orthopaedic diagnoses within the SAMS syndrome. This  
77 article will elaborate on the orthopaedic aspects of the SAMS syndrome, of all known  
78 patients to date with SAMS syndrome. A differential diagnosis and subsequent treatment  
79 recommendation is provided where possible. Informed consent was obtained from all  
80 individual participants included in the study.

81

82 **SAMS Population**

83 *Patient A*

84 This female was first seen by clinical genetics at 9 years of age. She is the youngest of six  
85 children born to consanguineous (first cousin) Caucasian Mennonite parents. Her five older  
86 sibs and her parents are all phenotypically normal. She was born at term in to a 32-year-old  
87 mother and a 37-year-old father. She sat at 9 months, walked at 19 months, spoke her first  
88 words at 24 months, and talked in sentences by 5 years. Continence was achieved during the  
89 third year. Her psychomotor development was delayed. At birth, bilateral atresia and short  
90 humeri with restricted shoulder movement was noted. The family emigrated to Canada from  
91 Mexico when she was a young child. At nine-years-old she was functioning as an average  
92 student at a grade 2 to grade 3 level. On physical examination she presented as a small for  
93 her age child with an unusual facial appearance. There was hypotelorism with deeply set  
94 eyes and downslanting palpebral fissures, malar hypoplasia, micrognathia and a small mouth  
95 with prominent upper central incisors. She had a high-arched palate with crowding of teeth  
96 and a short frenulum. The ear pinnae were simple and dysplastic; both ear canals were  
97 atretic. Humeri were short with winged scapulae and a lumbar hyperlordosis. her range of  
98 motion of both shoulders were markedly restricted, all motion was due to scapulothoracic  
99 movement. Some degree of flexion contracture was noted at the hips and the feet  
100 (described below) easily rolled into valgus. Skeletal radiographs showed severe mandibular  
101 hypoplasia, bilateral scapulohumeral fusion, short humeri with some distal metaphyseal  
102 flaring. There was a metacarpal sign on the right and mild shortness of the ulnae. Height was  
103 107.5 cm, weight 15 kg, and OFC 49 cm (all <5<sup>th</sup> centile). The carpal length was very short,  
104 about three standard deviations below the mean for chronological age. Complete failure of

105 ossification of both pubic bones and the very small sacro-sciatic notches are evident on the  
106 radiograph. Both hips were ventrally dislocated. The proximal femoral epiphyses were very  
107 poorly ossified, and slightly irregular and flattened; the femoral necks were short and wide.  
108 There was a mild degree of “ball in socket” deformity of both ankle joints, and the  
109 ossification centers for the calcaneal apophyses appeared unusual.

110 She was re-evaluated at 26 years of age. She was employed as an office assistant. She is  
111 single lives with her older sister and family. She seems socially well developed, has a driver's  
112 license and has hobbies that include crochet, floral arrangements and she does belong to a  
113 church group. Currently, she uses an amplified hearing system because of her conductive  
114 deafness. She has had dental work, but no surgery on her jaw. She has no visual problems,  
115 but she does complain of headaches related to the computer work. She does have regular  
116 periods it is likely she is likely to be fertile but she has never had a pregnancy. She cannot  
117 raise her arms above her shoulders because of the scapulohumoral fusion. In addition, she  
118 complains of hip pain. This is aggravated by standing for a long time, walking for long  
119 distances or sitting in one place for too long. She takes occasional Advil and Tylenol for the  
120 pain. An orthopaedic evaluation in her small rural community revealed bilateral hip  
121 dislocation. Radiographs of her pelvis showed “no acetabulae”.

122 On examination, her height is 4 feet 8 inches tall. Her weight is 82 pounds and her head size  
123 was 52.5 cm (<5<sup>th</sup> percentile). She has a small face, a high arched palate with some teeth  
124 crowding and a small mandible. Her ears externally appeared normal except that she has no  
125 ear canals. She has a marked lordosis but no obvious scoliosis. Her forearms and hands  
126 appeared normal, but she has restricted supination of the forearms. She also cannot lift her

127 arms above her shoulders. Hip abduction was restricted. She walked with a waddling gait  
128 consistent with severe hip disease.

129

130 *Patient B*

131 A Currently twenty-one-year-old female was the fifth child born to consanguineous (first  
132 cousins) Afghan parents. At birth, bilateral auditory canal atresia, short humeri, and clubfeet  
133 were noted. She had simply-formed external ears with bilateral auditory canal atresia, with a  
134 conductive hearing loss of 40 dB. There was severe micrognathia but no cleft palate. The  
135 humeri were short with winged scapulae. All motion of the shoulder was due to  
136 scapulothoracic movement. She had clubfeet and normal hips. At the age of 5 years  
137 psychomotor development and behavior were appropriate for age. The clubfeet were  
138 treated with casts and she underwent multiple orthopaedic surgical procedures that enabled  
139 her to walk with orthopaedic adjusted shoes. The surgical procedures were performed in the  
140 country of origin, unfortunately no specific details are noted. She had a restricted range of  
141 motion of both shoulders and luxations of the proximal radius. Skeletal radiographs showed  
142 very striking findings; there was severe mandibular hypoplasia, bilateral scapulohumeral  
143 synostosis, and there were no distinct humeral heads recognizable. The humeri were broad  
144 and short. Both elbows showed lateral dislocation of the radius. Both hands had proximally  
145 implanted thumbs. Delayed ossification of both pubic rami and large sacro-sciatic notches  
146 were present. Both hips were ventrally dislocated, and internally rotated (Figure 1). Both  
147 feet were fixated in endorotation. Currently height is 157 cm (3<sup>rd</sup> centile) and weight 42 kg  
148 (2<sup>nd</sup> centile). Walking is possible with use of orthopaedic adjusted shoes, without use of



149 crotches. Although the hips are both ventrally dislocated and minimized in their motion,  
150 there are minimal complaints of discomfort.

151

152 *Patient C*

153 A currently seven-year-old male was born at 34 weeks of gestation by emergency caesarean  
154 section for fetal distress and failure to progress after an uneventful pregnancy with normal  
155 scans. The birth weight was 1.95 kg, which is in the second centile, and the occipitofrontal  
156 circumference was in the 91st centile. Initial and subsequent postnatal examinations  
157 revealed a high-arched palate, malar hypoplasia, and severe micrognathia leading to  
158 respiratory compromise. He appeared to have rhizomelic shortening of upper limbs, and  
159 reduced pronation /supination of elbows with proximally placed thumbs. He also had  
160 bilateral fixed clubfeet with reduced calf bulk, bilateral cryptorchidism, rudimentary pinnae,  
161 and bilateral external auditory canal atresia. An auditory brainstem response test was  
162 performed at a corrected gestational age of 8 weeks and revealed that both sides were  
163 measured at less than 30 dBnHL. Other dysmorphic features included scaphocephaly with a  
164 prominent forehead; a slightly downward slant to the palpebral fissures; a short, upturned  
165 nose; and a small mouth. In contrast to individuals A and B, individual C had severe neonatal  
166 respiratory insufficiency necessitating a tracheostomy and nasogastric feeding because of  
167 poor coordination with no suck, swallow, or gag. A skeletal survey of individual C revealed  
168 left humeroscapular synostosis, a feature that appears to be pathognomonic for SAMS, but  
169 the right shoulder joint appeared normal. There was flaring of the distal metaphysis,  
170 immature ossification of the pelvis and resulting flattened acetabulum and bilaterally  
171 dislocated hips. There was bilateral shortening of clavicles and absence of ossification of the

172 pubic rami. The spine appeared normal. His clubfeet were initially managed by serial  
173 Ponsetti casting, and at the age of 2 months the patient underwent bilateral percutaneous  
174 achilles tenotomies followed by serial casting. Currently the patient has gone over to ankle-  
175 foot orthoses.

176

177 *Patient D*

178 A currently 3½-year-old male was the first child born to consanguineous UK-Bangladeshi  
179 parents. He was born at 34 weeks of gestation with a birth weight of 2.25kg after prolonged  
180 rupture of membranes at 32 weeks. At birth, bilateral auditory canal atresia, rhizomelic  
181 shortening, talipes requiring physiotherapy and a flat perineum were noted. Like individual  
182 C, he required support with feeding, with a gastrostomy inserted at the age of 8 months. He  
183 sat independently at 18 months and walking with a frame age 2½. At 3½, he has several  
184 single words but communicates mainly through pointing. Initial and subsequent examination  
185 revealed a small boy with micrognathia and downslanting palpebral fissures. His ears were  
186 simple, low-set and posteriorly rotated. There was crowding of teeth and a high palate.  
187 There was a tendency to hold arms in flexion and internal rotation and there was limited  
188 rotation in both forearms and limited abduction of both hips. Skeletal X-rays demonstrated  
189 bilateral scapulohumeral synostosis with mild scapular hypoplasia and bilateral radial head  
190 dislocation. The inferior pelvis consisted of paired single bones, though to reflect ischial rami  
191 and tuberosities, with no ischial body or pubic ossification. There was no femoral head  
192 ossification and the upper femora articulated with the inferior iliac bones. The femora were  
193 markedly internally rotated, with greater trochanters facing medially. Frog leg lateral views  
194 showed adequate anteversion.

195 A detailed MRI evaluation of the pelvis at the age of 22 months. This clarified some of the  
196 unusual anatomical features: the inferior pelvis comprised a short ischiopubic ramus with  
197 morphology suggesting the presence of an ischial tuberosity, conjoined ischial and pubic  
198 rami, and small pubic bodies, with abnormal fusion of the pubic bodies. The bodies of the  
199 ischia, including the ischial spines, were completely absent (no bone or cartilage present), as  
200 were the superior pubic rami. There was no bony or cartilaginous connection between the  
201 small ischiopubic rami and the iliac bones; a musculo-tendinous structure stretching from  
202 the medial iliac bone to the ischiopubic ramus was present. This may represent an abnormal  
203 obturator internus. In essence, there is no acetabulum. The upper femora demonstrated  
204 very small, flat, unossified cartilaginous epiphyses, articulating with the inferior iliac bone  
205 only.

206

## 207 **Discussion**

208 The clinical features in 4 patients with SAMS syndrome are reported. The various striking  
209 orthopaedic features are enumerated in the below.

210

### 211 *Bilateral congenital ventral hip luxation*

212 Subluxation of the hip is an incomplete contact between the articular surfaces of the  
213 femoral head and acetabulum, whereas dislocation represents a complete loss of contact.  
214 The femoral head can dislocate either posterior-laterally (dorsal) or antero-laterally  
215 (ventral). Whilst earlier reports on SAMS have not clearly defined the direction of the  
216 dislocation or subluxation of the hip, we suggest that anterior dislocation is the typical

217 feature associated with SAMS (Figure 1). To the best of our knowledge, bilateral –  
218 congenital- ventral dislocation of the hip is, apart from the previous articles describing SAMS  
219 syndrome, not mentioned before in current literature.

220 There are several conditions that feature bilateral posterior congenital hip dislocation. The  
221 first report describes occurrence of bilateral hip dislocation in Ehlers-Danlos syndrome (EDS,  
222 OMIM 130000-130090).<sup>4,5</sup> The association of congenital joint dislocations and EDS has only  
223 been reported in Types I and VII.<sup>4</sup> In all patients, the diagnosis of congenital hip dislocation  
224 was made remarkably late, in seven of nine because of a limp when walking.

225 The second condition with bilateral posterior hip dislocation is the pseudoaminopterin  
226 syndrome (OMIM 600325); fetuses exposed to aminopterin during the 8<sup>th</sup> – 9<sup>th</sup> week of  
227 development may show aminopterin embryopathy, resulting in a specific phenotype that  
228 includes unusual face, skull, and skeletal abnormalities.<sup>6</sup>

229 A third condition describing posterior bilateral hip dislocation is nail-patella syndrome  
230 (OMIM 161200). Nail–patella syndrome (also known as "HOOD syndrome") is a genetic  
231 disorder that results in small, poorly developed nails and kneecaps, but can also affect many  
232 other areas of the body, such as the elbows, chest, and hips. Mentioned only once in current  
233 literature, this is a rare symptom within the nail-patella syndrome.<sup>7</sup>

234 The fourth syndrome describing bilateral posterior hip dislocation is Larsen syndrome  
235 (OMIM 150250). Larsen syndrome is a rare pathologic condition characterized by congenital  
236 multiple joint dislocations, most striking the knee dislocations, with characteristic facial  
237 features.<sup>8</sup> The fifth condition affecting hip development is arthrogryposis (eg distal  
238 arthrogryposis as OMIM 108120). Arthrogryposis represents a group of heterogeneous  
239 disorders, characterized by contractures of multiple joints at birth. Involvement of the hip is

240 very common (55-90% of patients) ranging from soft tissue contractures to subluxation and  
241 dislocation. Congenital posterior bilateral dislocations are frequently described within the  
242 spectrum.<sup>9</sup> Finally, spina bifida (OMIM 301410) can result in bilateral posterior hip luxation.  
243 Children with spina bifida develop a wide variety of congenital and acquired orthopaedic  
244 deformities. Among these are hip deformities such as contractures, subluxation and  
245 dislocation.<sup>10</sup>

246 As with most patients with (bilateral) luxated hips, if permitted within the syndromal range,  
247 SAMS patients are able to walk. As seen in Figure 1; a neojoint has developed over the  
248 trochanter majus to the posterior acetabular rim, which allows for weight bearing.  
249 Treatment (or management) of the dislocated hips in SAMS depends largely on the  
250 individual anatomical situation. In the absence of an acetabulum, a hip joint cannot be  
251 reconstructed and a conservative approach is warranted. The role of an acetabular of femur  
252 osteotomy depends on the individual situation and clinical symptoms that would limit  
253 physical function or health-related quality of life.

#### 254 *Scapulohumoral synostosis*

255 Another very rare orthopaedic aspect of SAMS is the (bilateral) scapulohumoral synostosis.  
256 There are different syndromes featuring a limited shoulder function or cartilaginous disease  
257 of the shoulder causing shoulder impairment at older age. To the best of our knowledge  
258 there are no previous reports of a scapulohumeral or glenohumeral synostosis. The  
259 scapulohumoral synostosis is bilateral in all cases, except for patient C in whom only the  
260 right shoulder appeared normal (Table 1). In general when a restriction of motion is present  
261 in a large joint there should be a differentiation between primary osseous fusion or  
262 secondary changes that withhold some type of retraction of movement. In case of secondary

263 changes that restrict the scapulohumoral motion in a child, heterotopic ossification diseases  
264 such as progressive osseous heteroplasia (POH, OMIM 166350), Albright's hereditary  
265 osteodystrophy or McCune Albright syndrome (OMIM 103580) and fibrodysplasia ossificans  
266 progressiva (POH, OMIM 135100) should be considered. Initial radiographic exams will be  
267 able to exclude these differential diagnostic considerations.<sup>11</sup> Treatment is not possible in  
268 case of primary fusion, as there is no cartilage present. At most, an osteotomy of the upper  
269 arm can be considered when a problematic position is perceived. Treatment in case of  
270 heterotopic ossification diseases is controversial, as resection of the ossifications can lead to  
271 aggravation of the disease.<sup>5,11</sup>

272

### 273 *Congenital radial head luxation*

274 Bilateral radial head dislocation was noted in patient B and C, however not in patient A.  
275 (Table 1). Patient D was 9 months old at time of screening, it is unclear whether bilateral  
276 radial head dislocation will evolve in the near future. As most common congenital anomaly  
277 of the elbow, radial head dislocation has an estimated incidence rate of 0.06% to 0.16%.<sup>12</sup>  
278 Dislocations are most commonly posterior, with anterior or lateral dislocations present in  
279 one-third of cases. In most cases, congenital dislocation of the radial head is seen in  
280 conjunction with genetic syndromes such as Nail – Patella syndrome (OMIM 161200),  
281 Arthrogryposis (OMIM 108120), Apert syndrome (OMIM 101200) and Ehlers – Danlos  
282 syndrome (OMIM 130000-130090). Furthermore, posterior dislocations may show an  
283 autosomal-dominant or X-linked recessive mode of inheritance. Although it is most often  
284 bilateral, unilateral congenital radial head dislocation has been described.<sup>12</sup> Conservative  
285 treatment is indicated for the majority of cases because functional impairment is usually

286 minimal. However, in the setting of severe pain or restricted motion, radial head resection  
287 can be performed in skeletally mature patients. The procedure is contraindicated in children  
288 with open epiphyses because the radial head will regrow (and redislocate) following  
289 resection.<sup>13</sup>

290

#### 291 *Delayed ossification of the pubic rami*

292 Delayed ossification of the pubic rami was noted in all patients, varying between slow  
293 ossification and complete absence. Wide interpubic distance is typical of conditions with  
294 defective and/or delayed ossification, such as achondrogenesis (OMIM 200600, 200610,  
295 600972), hypochondrogenesis (OMIM 200610), spondyloepiphyseal dysplasia congenital  
296 (OMIM 183900) and opsismodysplasia (from Greek, delayed maturation; OMIM 258480). The  
297 most delayed pubic growth can be seen in cleidocranial dysplasia (OMIM 119600), in which  
298 hypoplastic pubic bones with wide pubic symphysis may persist until adulthood.<sup>14</sup> Although  
299 the delayed ossification has almost no clinical consequences, it can be seen as a predictor of  
300 certain processes at an early stage. In the neonatal period – an age when the clinical  
301 manifestations are ill- delayed ossification of the pubic rami can be an outstanding  
302 radiological finding. Correct evaluation and correlation can orient and define the diagnosis of  
303 certain processes at an early stage.<sup>15</sup> There is no treatment necessary.

304

#### 305 *Short humeri with distal metaphyseal flaring*

306 Short humeri with distal metaphyseal flaring were present in three out of four patients. As  
307 short humeri are common within development of several forms of achondroplasia (OMIM

308 100800), metaphysal flaring of the humerus is not.<sup>16</sup> Chondrodysplasia punctata (OMIM  
309 215100) is a radiological diagnosis characterized by punctate or stippled calcifications in  
310 epiphyseal cartilage and seen in peroxisomal disorders such as Zellweger syndrome (OMIM  
311 614872), neonatal adrenoleukodystrophy (OMIM 601539), and infantile Refsum disease  
312 (OMIM 266500).<sup>17</sup>

313 As is now evident there are several orthopaedic aspects of SAMS syndrome. The highlighted  
314 aspects are those that are common within the four patients currently diagnosed with SAMS  
315 syndrome, but very rare to the outside population. The lumbar hyperlordosis, short ulnae,  
316 narrow sacosciatic notches and proximal femoral defects are more common and aspecific in  
317 the diagnosis of SAMS syndrome. Therefore, there is no further elaboration on these  
318 features in this paper. The bilateral clubfeet are a common finding in SAMS, however  
319 bilateral clubfeet are frequently found in children with and without syndromal disease,  
320 recognition and treatment is well-known worldwide, and therefore not further elaborated in  
321 this paper.

322

## 323 **Conclusion**

324 There are a number of striking orthopaedic diagnoses within the SAMS syndrome. In  
325 particular the scapulohumoral synostosis and the bilateral congenital ventral dislocation of  
326 the hips, are pathognomonic for the diagnosis SAMS syndrome. The differential diagnosis of  
327 the other orthopaedic aspects of SAMS syndrome is broad. Early diagnosis of each symptom  
328 allows for early recognition and subsequent follow-up. Treatment in most cases is  
329 conservative, although functional impairment can determine otherwise. Whenever a  
330 bilateral congenital ventral dislocation of the hips and or a scapulohumoral synostosis is



331 found or clinically suspected, SAMS syndrome should be considered as primary diagnosis  
332 until proven otherwise. This very rare autosomal recessive and clinically recognizable  
333 condition can be confirmed by GSC mutations.

334

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339

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392 **Figure legends**

393 **Figure 1.** Patient B. Radiograph of the right foot. Persistent deformation after talectomy in  
394 the past.

395

396 **Figure 2.** Patient B. Anteroposterior pelvis roentgen image. Both hips are ventrally  
397 dislocated, and internally rotated. The articulating surface is between the greater  
398 trochanters and the inferior iliac bones.

399

400 **Figure 3.** Clinical features of patient B at the age of 19 years old.

401

402 **Figure 4.** Patient D. AP radiograph of both shoulders aged 2 years demonstrating bilateral  
403 scapulohumeral synostosis.

404

405 **Figure 5.** Patient D. AP pelvic radiograph aged 9 years. The pubic bodies, superior pubic rami  
406 and ischial bodies are absent. The upper femora have migrated into the pelvic cavity.

407

408 **Table 1.** Summary of orthopaedic aspects of SAMS syndrome.

Patient	A	B	C	D
Gender	Female	Female	Male	Male
Country of origin	Canada	Afghanistan	Pakistan	Bangladesh
Current age	28	21	8	3.5
Consanguinity (degree)	+ (first cousin)	+ (first cousin)	+ (first cousin)	+ (first cousin)
GSC nucleotide mutation	c.400C>T	chr14.hg19:g.95,204,793_95,511,597del	c.196_212del	c.355+1G>C
Predicted protein alteration	p.(Gln134*)	p.?	p.(Gly66Argfs*98)	p.?
Ventral dislocation of the hips	+	+	+	+
Scapulohumeral synostosis	+ (L+R)	+ (L+R)	+ (L)	+ (L+R)
Bilateral radial head dislocation	-	+	+	+
Delayed ossification of the pubic rami	+	+	+	+
Short humeri with distal metaphysal flaring	+	+	+	-
Lumbar hyperlordosis	+	+	-	-
Short ulnae	+	+	-	-

Narrow sacrosciatic notches	+	+	-	+
Proximal femoral defects	+	+	-	+
Bilateral clubfeet	-	+	+	+

Figure 1



Figure 2





Figure 3



Figure 4



Figure 5

