



Deposited via The University of Sheffield.

White Rose Research Online URL for this paper:

<https://eprints.whiterose.ac.uk/id/eprint/183031/>

Version: Accepted Version

Article:

Stevance, H.F., Parsons, S.G. and Eldridge, J.J. (2022) To be or not to be a black hole : detailed binary population models as a sanity check. *Monthly Notices of the Royal Astronomical Society: Letters*, 511 (1). L77-L81.

<https://doi.org/10.1093/mnrasl/slac001>

This is a pre-copyedited, author-produced PDF of an article accepted for publication in *Monthly Notices of the Royal Astronomical Society: Letters* following peer review. The version of record H F Stevance, S G Parsons, J J Eldridge, To be or not to be a black hole: detailed binary population models as a sanity check, *Monthly Notices of the Royal Astronomical Society: Letters*, 2022;, slac001., is available online at: <https://doi.org/10.1093/mnrasl/slac001>

Reuse

Items deposited in White Rose Research Online are protected by copyright, with all rights reserved unless indicated otherwise. They may be downloaded and/or printed for private study, or other acts as permitted by national copyright laws. The publisher or other rights holders may allow further reproduction and re-use of the full text version. This is indicated by the licence information on the White Rose Research Online record for the item.

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.

To be or not to be a black hole: detailed binary population models as a sanity check

H. F. Stevance,^{1*} S. G. Parsons² J. J. Eldridge¹

¹Department of Physics, The University of Auckland, Private Bag 92019, Auckland, New Zealand

²Department of Physics and Astronomy, The University of Sheffield, Hicks Building, S3 7RH, Sheffield, United Kingdom

Accepted XXX. Received YYY; in original form ZZZ

ABSTRACT

We use the self-consistent stellar populations in the Binary Population A Spectral Synthesis (BPASS) models to assess whether NGC1850-BH1 is a black hole. Using search criteria based on reported physical properties in the literature we purposefully search for suitable systems with a black hole (or compact object) companion: we do not find any. Good matches to the observations are found in models where the bright component is a stripped star and the companion is natively (meaning we did not impose this in our search) 1 to 2.3 magnitudes fainter than the primary in the optical bands. This alone can explain the lack of detection of the companion in the MUSE spectra without the need to invoke rapid rotation, although the conservative mass transfer exhibited by these particular models is likely to lead to rapidly rotating companions which could further smear their spectroscopic signatures. We advise that future claims of unseen black holes in binary systems would benefit from exploring detailed binary evolution models of stellar populations as a sanity check.

Key words: stars: evolution – stars: black holes – (stars:) binaries: close – software: simulations

1 INTRODUCTION

Increasing the sample of stellar mass black holes (BH) with well constrained physical characteristics is essential to improving our collective understanding of stellar evolution and compact remnants. Gravitational wave detectors have considerably boosted our sample of BHs in binaries (e.g. [The LIGO Scientific Collaboration et al. 2021](#)), but these systems are at the very end of their evolution; finding black holes in binaries prior to the formation of a second compact remnant provides further constraints on stellar evolution in intermediary stages of the life of these systems. Recently, several studies with detailed orbital motion analysis have identified multiple systems with a BH component, such as LB1 and HR 6819 ([Liu et al. 2019](#); [Rivinius et al. 2020](#)). But the complexity of these analyses has led to controversy surrounding the discoveries, and further work by independent groups found evidence that BH components were not responsible for the observational properties (LB1 - [Irrgang et al. 2020](#); [Shenar et al. 2020](#); [Abdul-Masih et al. 2020](#); [El-Badry & Quataert 2020](#); HR6819 - [Bodensteiner et al. 2020](#)) A new study by [Saracino et al. \(2021\)](#) suggested the presence of a BH in the massive cluster NGC 1850 located in the Large Magellanic Cloud. Dubbed NGC 1850 BH1, it is reported to have a mass of $11M_{\odot}$ and be in a 5.04 day orbit around a main sequence turn-off donor star with $M_{\text{donor}} = 4.9 M_{\odot}$. A few days later [El-Badry & Burdge \(2021\)](#) showed that the period-density relationship reduced the estimated donor mass to $\sim 1M_{\odot}$ (with an upper limit $\sim 2.5M_{\odot}$). They also used MESA ([Paxton et al. 2011](#),

[2013](#), [2015](#), [2018](#), [2019](#)) to propose an alternative binary system to explain the observations, but they emphasise that the model they present only serves as an example to show that “more banal” alternatives are possible. In this letter we will use the Binary Population And Spectral Synthesis (BPASS) datasets to address two questions: 1) Is the existence of NGC 1850BH1 supported by stellar evolution? 2) What type of system is most likely to explain the physical and observational properties described in [Saracino et al. \(2021\)](#) and [El-Badry & Burdge \(2021\)](#)? BPASS includes an extensive pre-computed grid of detailed stellar evolution models which includes binary interactions – one of the key differences between MESA and BPASS is that the former is most often used to flexibly create individual systems as needed, whereas the grid of stellar models in the later was created and tested to self-consistently reproduce a wide range of observables (see [Eldridge et al. 2017](#); [Stanway & Eldridge 2018](#)). In the context of studies such as this one it is very important to emphasise that we are not using the BPASS code to create a system on the fly to recreate the observations. Instead we are searching through *existing data products* to see if our populations can *predict* observed systems. This gives us confidence that the models we find are consistent with stellar evolution at large, and allows us to quantify how prevalent a matched model would be in a given population. All the models used in this work use the BPASS fiducial initial mass function which is a [Kroupa \(2001\)](#) prescription with a maximum initial mass of $300 M_{\odot}$. Amongst the 13 metallicities available, we use $Z=0.010$ which is the closest match to NGC 1850. Finally, to facilitate searches through the vast stellar library ($\sim 250,000$ models) we are using the freely available BPASS stellar library dataframes created with hoki ([Stevance et al. 2020a](#); [Stevance et al. 2020b](#)).

* E-mail: hfstevance@gmail.com

Table 1. Properties of the nearest matching models with compact remnants

MODEL ID	Age (Myrs)	P (days)	$M_{\text{bright}} M_{\odot}$	$M_{\text{dark}} M_{\odot}$	$\log(T_{\text{bright}}/K)$
175736	81.10	4.89	0.90	2.55	4.81
176986	80.31	5.42	1.16	2.26	4.55

In Section 2 we perform several searches to see if systems containing potential NGC1850-BH1 candidates are predicted, before removing the constrain of a compact companion and performing a search to identify the models that best match the observational properties of the system. In Section 3 we discuss the implications of our model search and conclude on the most likely nature of the "dark" companion.

2 MODEL SEARCH

2.1 Looking for NGC 1850 BH1

First let us consider the system as described by Saracino et al. (2021): a $\sim 5 M_{\odot}$ star with a $\sim 11 M_{\odot}$ black hole primary in a 5 day orbit. We perform a search on a wide window of parameters, selecting any secondary model with $M=3-7M_{\odot}$, ages between 80 and 300 Myrs, and periods ranging from 3 to 7 days. At this stage we do not attempt to match the observed photometry to our synthetic photometry. The closest match obtained are $5 M_{\odot}$ stars with black hole masses $6.3M_{\odot}$, and we find no models with larger black hole masses. The originally described system is therefore not predicted in our simulations. We then perform a more specific black hole (or compact remnant) search taking into account the analysis of El-Badry & Burdge (2021), who placed limits on the mass of the binary components. We also include constraints on the synthetic photometry (± 1 mag) of f336w and f814w (the two filters present in our models with reported observational values). The search criteria are as follows

- (i) Must contain a compact remnant
- (ii) Age = [80, 120] Myrs
- (iii) f336w = -2.537 ± 1 mags
- (iv) f814w = -1.68 ± 1 mags
- (v) $P=5.04 \pm 2$ days
- (vi) $M_{\text{bright}} = [0.5, 2] M_{\odot}$
- (vii) $M_{\text{dark}} = [2, 6] M_{\odot}$

The mass limits are based on the best estimates in figure 2 of El-Badry & Burdge 2021. We do not use temperature as a search criteria to allow for discussion but we come back to it at the end of this section. Using these constraints we find two matching models (see Table 1), both of which have a dark companion with a mass around the upper mass limit for a neutron star. The upper mass limit of neutron stars remains debated but estimates based on the binary neutron star merger GW 170817 (see figure 3 in Abbott et al. 2018) indicate that Model 175736 ($2.55 M_{\odot}$) is very likely a black hole whereas Model 176986 ($2.26M_{\odot}$) may be a black hole or a neutron star depending on the equation of state considered. Note that the ages are lower than that of NGC 1850 by 20 Myrs, which is actually necessary for these models to be viable matches: In BPASS to save computing time the primary and secondary stars are evolved in detail successively, not simultaneously, and the age of a secondary star model is its age since the last rejuvenation episode. A multitude

of primary models could provide such systems with compact companions within 20 to 30 Myrs, and it is not within the scope of this letter to assess the most likely primary route as it does not inform the question of the nature of NGC 1850-BH1 further. Even without searching for the primary evolution of these systems, BPASS outputs provide an estimate of the occurrence rate of each model within a given simple stellar population. Models 175736 and 176986 have occurrence rates of 0.047 and 0.048 per $10^6 M_{\odot}$, respectively, meaning that in NGC1850 (with $M \sim 10^5 M_{\odot}$) there is roughly a 0.5 percent chance of the parent system occurring. Additionally, the phases at which the criteria are met are very short lived for each model (40 and 15,200 years, respectively), making their observation even more unlikely. Finally, we need to address the temperature discrepancy between the reported value in Saracino et al. (2021) – 14,500 K – and the predicted values in our two models – 35,000 to 63,000K (see Table 1). Not only are our best black hole system matches extremely rare, the predicted surface temperature of the bright component actually excludes them quite confidently as viable matches to the observations. Consequently our synthetic stellar population suggests that there is no compact remnant in NGC 1850. But the "dark" companion does not have to be a compact remnant and we explore these possibilities in the next section.

2.2 Looking for the best match

We now search for binary models without compact remnants that match the physical properties of the system. We slightly tighten the constraint on the photometry to highlight the very best matches in our analysis.

- (i) Not a compact remnant companion
- (ii) Age = [80, 120] Myrs
- (iii) f336w = -2.537 ± 0.8 mags
- (iv) f814w = -1.68 ± 0.8 mags
- (v) $P=5.04 \pm 2$ days
- (vi) $M_{\text{bright}} = [0.5, 2] M_{\odot}$
- (vii) $M_{\text{dark}} = [2, 6] M_{\odot}$
- (viii) $\log(T_{\text{bright}}) = 4 - 4.3$

We find three models (166726, 163570 and 168485) matching these criteria; their properties are summarised in Tables 2 and 3, and their evolution is shown in Figure 1. All three models are very similar: The bright star is heavily stripped with mass ~ 1.27 to $1.85M_{\odot}$ and the unseen component is a 3 to $5.5 M_{\odot}$ secondary which gained mass through Roche Lobe Overflow (RLOF) starting during the Main Sequence of the primary star. In this scenario the system is observed at the very end of the semi-detached phase when the primary undergoes a second episode of rapid mass loss as the remainder of the the hydrogen envelope expands due to the onset of hydrogen shell burning. BPASS models do not record spectra of individual stars but the absolute magnitudes of both stars are predicted. We find that in the B, V and R band (covering the wavelength range of the MUSE data obtained by Saracino et al. 2021), the stripped primary is 1 to 2.3 magnitudes brighter than its counterpart. For completeness we note that in the current models the evolution of the second star is treated approximately and is not calculated in detail, and the synthetic photometry values quoted here are for a ZAMS star of the same mass (since these models have just been rejuvenated through binary interaction). In the raw BPASS data the second star is 3 to 4 magnitudes fainter than the bright component, therefore that values quoted here are conservative estimates. Ultimately the study of systems such as these could reveal more about the impact of mass transfer on the secondary stars of

Table 2. Properties of the stripped star models that best match observations

MODEL ID	Age (Myrs)	P (days)	$M_{\text{bright}} M_{\odot}$	$M_{\text{dark}} M_{\odot}$	$\log(T/K)$	$\log(g) \text{ cm.s}^{-2}$
166726	84.42	4.82917	1.52	3.24	4.03	2.84
166726	84.61	5.24026	1.41	3.28	4.02	2.77
166726	84.76	5.7367	1.27	3.32	4.01	2.68
163570	85.98	4.70969	1.70	4.33	4.02	2.89
163570	86.26	5.30375	1.60	4.43	4.01	2.82
168485	85.79	4.54248	1.85	5.47	4.01	2.91
168485	86.04	5.17962	1.74	5.58	4.01	2.85

Table 3. Zero Age Main Sequence masses and number of such systems expected to occur per $10^6 M_{\odot}$ at $Z=0.010$

MODEL ID	M_{bright}	M_{dark}	$N/10^6 M_{\odot}$
166726	6	1.8	2.88
163570	6	2.4	2.78
168485	6	3	2.72

binary systems and allow us to further refine our models. On the whole these models correspond to rather garden variety binary stars with primary Zero Age Main Sequence masses $6 M_{\odot}$ and secondary masses ~ 2 to $3 M_{\odot}$ (see Table 3). As a result their occurrence rate is much higher than any model involving a compact remnant, with each individual system expected to occur ~ 1.5 times in a massive cluster such as NGC 1850 ($M \sim 10^5 M_{\odot}$). Collectively over 4 systems (on average) are expected to have the potential to match the observed properties in such a cluster, and the time span over which the models presented above do match our criteria ranges from 250,000 years for Model 168485 to over half a million years for Model 166726 - which means that these stars are evolving on the thermal timescale and are not unlikely to be observed in a 100 Myrs cluster such as NGC 1850.

3 DISCUSSION AND CONCLUSIONS

In their letter [El-Badry & Burdge \(2021\)](#) suggested that NGC 1850-BH1 could be a stripped star, but could not exclude the presence of a black hole as their upper mass limit on the unseen companion extended to $6 M_{\odot}$. Using the stellar populations modeled with BPASS we confirm that NGC 1850-BH1 is a stripped star, and exclude the possibility that it would be a black hole (or neutron star). The original interpretation that the visible star was a main sequence turn off star is understandable when using isochrones, but evolutionary tracks including binary interactions can lead stars at the end of their RLOF to circle back towards their MS turn off, which can be missed in a single-star paradigm. [El-Badry & Burdge \(2021\)](#) suggested that the unseen companion could be hidden in the spectrum due to rapid rotation smearing the spectral lines. In our best three matching models the stripped star is brighter than its companion in the optical bands by 1 to 2.3 magnitudes, or a factor of roughly 2.5 to >8 . It is therefore not surprising that the companion would appear "dark" and be left undetected in the spectrum; for example, advanced disentanglement techniques were required to identify the components of the supposed LB-1 system and the final results revealed a flux contribution of 55% and 45% for the primary and the secondary respectively (see table 1 in [Shenar et al. 2020](#)), which is

a much smaller disparity than observed here. Overall rapid rotation likely does not need to be invoked to result in a non-detection, as suggested by [El-Badry & Burdge \(2021\)](#), but it is highly probable that the systems shown here would have rapidly rotating companions as the mass transfer episodes they underwent was rather conservative. The systems in Models 168485, 163570 and 166726 only lost 0.18 percent, 4.7 percent and 16 percent of their pre-RLOF mass, respectively. Conservative mass transfer leads to the spin-up of the accretor and as a result we could expect the unseen companion to be rapidly rotating at the time the system is observed, making it harder to detect in the spectra even with a very high signal-to-noise. Since BPASS does not directly include rotation we are not able at this stage to quantify the rotation of the unseen companion. Stellar evolution models are not perfect, and population synthesis is itself limited by the fact that we can only simulate in detail a finite and discrete number of systems. That is why the range of values applied for our search criteria must be wider than the observational errors, and although all three of our best models are the result of Case A mass transfer, it would be incorrect to conclude at this stage that the system reported in [Saracino et al. \(2021\)](#) is necessarily a result of Case A mass transfer. Additionally, the use of a discrete grid of models means that matching radial velocities directly is not a realistic endeavour, that is why we set independent mass ranges for M_{bright} and M_{dark} - although they are rooted in the radial velocity analysis of [El-Badry & Burdge 2021](#). Comparison to their figure 2 indicates that Model 166726 would have very high inclination approaching 90 degrees (resulting in an eclipse, which would have been seen), whereas Models 163570 and 168485 would have inclinations around or below 60 degrees consistent with a non-eclipsing system. Overall, there is overwhelming evidence that our stellar evolution models cannot predict NGC 1850-BH1 and that frequently occurring intermediate-mass stripped binaries are the best explanation. Future observational studies suggesting the presence of an unseen black hole in a binary system would benefit from using BPASS and `hoki` to search for their systems in our stellar populations as a sanity check (e.g. [Eldridge et al. 2020](#))¹. If more precise matches to observations are desired, the information obtained from matching the stellar population synthesis in BPASS can then be used as a starting point to create a range of MESA models to iterate upon, thereby taking advantage of the strengths of the respective codes. It is also worth keeping in mind that triple systems can offer a natural explanation to quiescent BH candidates (e.g. HR6819 [Romagnolo et al. 2021](#)) and that dynamical interactions play a role in the formation of BH-Main Sequence star systems (e.g. [Banerjee 2018](#)). If further observations of the NGC 1850-BH1 system confirm the existence of NGC 1850-BH1, it would pose a direct challenge to our simulations and offer a fantastic constraint to our stellar evolution prescription, directly impacting our understanding of compact remnant pathways.

ACKNOWLEDGEMENTS

The authors are grateful to the anonymous referee for their insightful suggestions. We are also thankful to Chris Usher for sharing

¹ We have tutorials and jupyter notebooks freely available to make searching through our models as accessible as possible. We are also always happy to answer your questions on GitHub or via email.

Tutorial: <https://heloises.github.io/hoki/ModelSearch.html>
Further Example - based on ([Stevance & Eldridge 2021](#)):
https://github.com/UoA-Stars-And-Supernovae/Binary_pathways_to_SLSNe_I_17gci

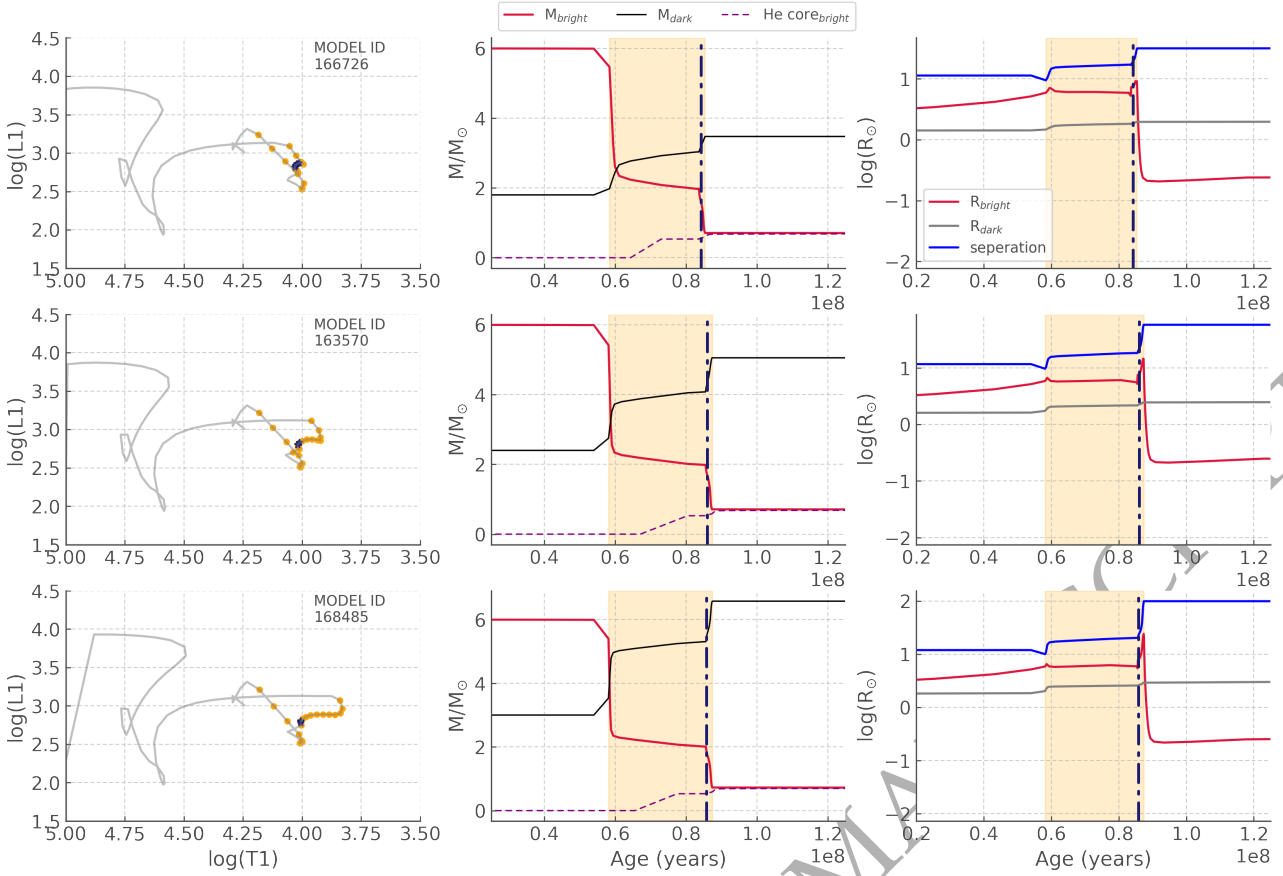


Figure 1. **Left:** Evolutionary track of the matching models in Hertzsprung-Russell Diagrams. The orange markers shows the time steps where Roche Lobe Overflow (RLOF) is occurring in the model. The dark blue markers show the steps at which the models match the search criteria. **Middle:** Evolution of the masses of both binary components. We also plot the He core mass as it shows that the RLOF occurs on the main sequence before a He core has formed (Case A). **Right:** Evolution of the separation and of the stellar radii. The shaded regions show RLOF, and the dark blue dotted line shows the time at which the models match the observations.

the original observational data of the system with us so quickly, as well as Sebastian Kamann and Nate Bastian for thought provoking questions in private correspondence. HFS and JJE acknowledge the support of the Marsden Fund Council managed through Royal Society Te Aparangi. SGP acknowledges the support of a Science and Technology Facilities Council (STFC) Ernest Rutherford Fellowship.

Third party software

This work made extensive use matplotlib Hunter (2007), numpy (Hunter 2007; Harris et al. 2020) and pandas pandas development team (2020); Wes McKinney (2010).

DATA AVAILABILITY

The code to reproduce all the analysis and figures can be found on GitHub (<https://github.com/UoA-Stars-And-Supernovae/ngc1850bh1>) with large dataset stored on Zenodo <https://zenodo.org/record/5813956#.YdJK33VBzmE> The BPASSv2.2 stellar library is available as a repository of individual text (Download

`bpass-v2.2-newmodels.tar.gz` files²) or as individual tables split by metallicity³

REFERENCES

- Abbott B. P., et al., 2018, *Phys. Rev. Lett.*, 121, 161101
 Abdul-Masih M., et al., 2020, *Nature*, 580, E11
 Banerjee S., 2018, *MNRAS*, 481, 5123
 Bodensteiner J., et al., 2020, *A&A*, 641, A43
 El-Badry K., Burdge K., 2021, arXiv:2111.07925 [astro-ph]
 El-Badry K., Quataert E., 2020, *MNRAS*, 493, L22
 Eldridge J. J., Stanway E. R., Xiao L., McClelland L. A. S., Taylor G., Ng M., Greis S. M. L., Bray J. C., 2017, *Publications of the Astronomical Society of Australia*, 34, e058
 Eldridge J. J., Stanway E. R., Breivik K., Casey A. R., Steeghs D. T. H., Stevance H. F., 2020, *MNRAS*, 495, 2786
 Harris C. R., et al., 2020, *Nature*, 585, 357
 Hunter J. D., 2007, *Computing in Science & Engineering*, 9, 90
 Irrgang A., Geier S., Kreuzer S., Pelisoli I., Heber U., 2020, *A&A*, 633, L5
 Kroupa P., 2001, *MNRAS*, 322, 231
 Liu J., et al., 2019, *Nature*, 575, 618

² <https://drive.google.com/drive/folders/1BS2w9hpdaJeu16-YtZum--F4gxWIPYX1>

³ <https://zenodo.org/record/3905388#.YZ603JFBzDQ>

- Paxton B., Bildsten L., Dotter A., Herwig F., Lesaffre P., Timmes F., 2011, [ApJS](#), **192**, 3
- Paxton B., et al., 2013, [ApJS](#), **208**, 4
- Paxton B., et al., 2015, [ApJS](#), **220**, 15
- Paxton B., et al., 2018, [ApJS](#), **234**, 34
- Paxton B., et al., 2019, [ApJS](#), **243**, 10
- Rivinius T., Baade D., Hadrava P., Heida M., Klement R., 2020, [A&A](#), **637**, L3
- Romagnolo A., Olejak A., Hypki A., Wiktorowicz G., Belczynski K., 2021, arXiv e-prints, [p. arXiv:2107.08930](#)
- Saracino S., et al., 2021, arXiv:2111.06506 [astro-ph]
- Shenar T., et al., 2020, [A&A](#), **639**, L6
- Stanway E. R., Eldridge J. J., 2018, [Monthly Notices of the Royal Astronomical Society](#), **479**, 75
- Stevance H. F., Eldridge J. J., 2021, [MNRAS](#), **504**, L51
- Stevance H., Eldridge J., Stanway E., 2020a, [The Journal of Open Source Software](#), **5**, 1987
- Stevance H., Eldridge J., Stanway E., 2020b, [The Journal of Open Source Software](#), **5**, 1987
- The LIGO Scientific Collaboration et al., 2021, arXiv e-prints, [p. arXiv:2111.03634](#)
- Wes McKinney 2010, in Stéfan van der Walt Jarrod Millman eds, Proceedings of the 9th Python in Science Conference. pp 56 – 61, [doi:10.25080/Majora-92bf1922-00a](#)
- pandas development team T., 2020, pandas-dev/pandas: Pandas, [doi:10.5281/zenodo.3509134](#), <https://doi.org/10.5281/zenodo.3509134>

This paper has been typeset from a \TeX/L\TeX file prepared by the author.

ORIGINAL UNEDITED MANUSCRIPT