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The potential of microbiome replacement therapies for C. difficile infection -- Manuscript Draft--

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- 1 The potential of microbiome replacement therapies for
- ² C. difficile infection
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- 16 Key words

15

18

17 *C. difficile*, microbiome therapies, bile acids, nutritional competition

1 Abstract

2 Purpose of review

- 3 There is a paradox when treating *C. difficile* infection (CDI); treatment antibiotics reduce *C.*
- 4 difficile colonisation but cause further microbiota disruption and can lead to recurrent
- 5 disease. The success of faecal microbiota transplants (FMT) in treating CDI has become a
- 6 new research area in microbiome restorative therapies, but are they a viable long-term
- 7 treatment option?

8 Recent findings

- 9 C. difficile displays metabolic flexibility to use different nutritional sources during CDI. Using
- microbiome therapies for the efficient restoration of bile homeostasis and to reduce the
- bioavailability of preferential nutrients will target the germination ability of *C. difficile* spores
- and the growth rate of vegetative cells. Several biotechnology companies have developed
- microbiome therapeutics for treating CDI, which are undergoing clinical trials.

14 **Summary**

- 15 There is confidence in using restorative microbiome therapies for treating CDI after the
- demonstrated efficacy of FMT, where several biotechnology companies are aiming to supply
- what would be a 'first in class' treatment option. Efficient removal of *C. difficile* from the
- different intestinal biogeographies should be considered in future microbiome therapies.
- 19 With the gut microbiota implicated in different diseases, more work is needed to assess the
- 20 long-term consequences of microbiome therapies.

1 Key points

2	•	Treatment antibiotics are associated with an unacceptably high rate of infection
3		recurrence.

- Faecal microbiota transplantation has been used successfully to treat recurrent CDI
 but carries risks of transplanting opportunistic pathogens and potential unknown
 long-term consequences.
- Rational inclusion of microbe candidates against all steps in the disease process are
 needed to maximise therapeutic efficacy.
- Several biotechnology companies have developed biotherapies for treating recurrent
 CDI, some of these show promising results from Phase III clinical trials.

Introduction

1

- 2 The intestinal microbiota provides a range of functions to the human host; aiding food digestion, regulating our immune system, and protection from pathogenic microorganisms -3 4 a term called colonisation resistance. Broad-spectrum antibiotics, although essential to treat 5 infections caused by an unknown aetiological agent, target different bacteria and have been 6 shown to dramatically impact in the commensal microbiota. Broad-spectrum antibiotics can: 7 lower the gut microbial diversity(1), impact digestion of foods(2), cause an imbalance in the 8 regulation of our immune system(3), and can increase the carriage of pathogenic 9 microorganisms or those harbouring antimicrobial resistance genes (4,5). Clostridium difficile is the main cause of antibiotic-associated diarrhoea and causes 10 significant morbidity and mortality, contributing a healthcare cost burden of over €3 Billion 11 in the EU and \$4.8 billion in the US(6,7). C. difficile infection (CDI) is a toxin-mediated 12 disease that can cause pseudomembranous colitis and toxic megacolon, which can be fatal. 13 14 Onset of CDI is associated with the consumption of different antibiotic classes, for example 3rd generation cephalosporins (ceftriaxone), fluoroquinolones (ciprofloxacin), and 15 clindamycin(8). Current treatment options include metronidazole and vancomycin, but 16 17 these are associated with high rates of recurrent infections (25%); however, the probability of developing a second and third recurrent episode escalates subsequently(9). Multiple 18 antibiotic treatments have a detrimental impact on microbial diversity, impairing the 19 20 colonisation resistance ability of the microbiota. Replenishing this ecological niche, thereby 21 reinstating colonisation resistance, is the basis for microbiome replacement therapies.
 - Faecal microbiota transplantation; successes and pitfalls

- 1 Faecal microbiota transplantation (FMT) involves the transplantation of faeces from a
- 2 suitable donor to a recipient; it was first used in 1953 in the treatment of
- 3 pseudomembranous colitis(10). For the last 40 years, FMT treatment has been clinically
- 4 used to treat recurrent CDI cases, helping to restore microbiota diversity and composition
- 5 within a recipient. However, determining the efficacy of FMT treatment, especially during
- 6 early clinical trials, is difficult due to the number of variables. For example, donor screening
- 7 methodologies, using different routes of FMT administration, number of FMT doses given,
- 8 prior antibiotic treatments administered, and variations in timing between FMT doses, all
- 9 can affect the reported efficacies(11). However, a weighted systematic review by Tariq et
- 10 al.(12) reported that the clinical cure rate after a single oral FMT dose was 76.1% (66.4%-
- 11 85.7%), and multiple doses of FMT can increase cure rate. A randomised controlled clinical
- trial determined the efficacy of vancomycin treatment with/without FMT for the treatment
- of recurrent CDI; a resolution rate of 81% was reported in the FMT group whilst those
- receiving vancomycin only had a resolution rate of 31%(13). This shows that clinical cure
- towards recurrent CDI can be achieved through microbiome therapies where antibiotics
- 16 alone cannot.
- 17 The use of an untargeted microbiome therapy, such as FMT, can have downstream safety
- implications for the recipient. Improper screening can lead to the transfer of pathogens or
- 19 undesirable genetic elements, such as antimicrobial resistance determinants or the colon
- 20 cancer linked PKS toxin. In 2019, two FMT recipients for recurrent CDI treatment
- 21 subsequently developed severe illness due to the transplantation of multidrug *E. coli* due to
- improper screening of donor faeces; this was fatal in one recipient (14). This led to a <u>safety</u>
- 23 <u>alert</u> from the Food and Drug Administration (FDA) regarding the use of FMT. In 2020, six
- 24 patients that received FMT therapy also contracted enteropathogenic E. coli and Shiga

- toxin-producing E. coli (STEC) from the donors' stools; two patients died from diarrhoea
- 2 associated with STEC infections. This led to an urgent recall of the identified donor faecal
- 3 samples and an FDA safety alert. A standardised protocol for enhanced screening of donors
- 4 is essential for the effective treatment, and safety and wellbeing of CDI patients.
- 5 Studies into the long-term efficacy of FMT highlighted that, although efficacious in clinical
- 6 cure, long-term relapse following FMT treatment occurs in 8-18% of recipients(15,16). CDI
- 7 relapse in these cases was highly associated with post-FMT antibiotic consumption;
- 8 however, this included antibiotics that are not associated with induction of CDI, such as
- 9 penicillin(16). Furthermore, transplantation of an unknown mix of microbes could have
- unintended consequences for the recipient. For example, there is a link between the gut
- microbiota and obesity and comorbidities(reviewed in(17)), and microbiota transplantation
- to cure recurrent CDI could lead to the recipient suffering from weight gain.
- 13 General considerations for microbiome therapies for
- 14 recurrent CDI
- 15 The functional role of the intestinal microbiota is so essential that many researchers believe
- the microbiota should be described as an organ of the body. Understanding its roles will
- pave the way for the rational design of safe microbiome-based therapeutics. This is not an
- 18 exhaustive list but meant to highlight the considerations needed.
- 19 **Changing the nutritional landscape of the gut**. The gut microbiota is a source of vitamin
- 20 production and essential for the breakdown of ingested foods, providing our body with
- 21 essential nutrients. Changes in the gut microbiota can result in different metabolic diseases,
- 22 such as obesity. Microbial fermentation of dietary fibres produces short chained fatty acids

- 1 (SCFA; acetate, propionate, and butyrate), a primary energy source for colonocytes, which
- 2 induce apoptosis of colon cancer cells, and help epithelial cells maintain the hypoxia gut
- 3 environment(18). However, not all microbial metabolites are beneficial to the host.
- 4 Microbial conversion of phosphatidylcholine to trimethylamine is positively associated with
- 5 major cardiovascular events(19). This highlights the diet-microbiota-host complexity and
- 6 further research is needed to establish safe microbiome-based therapies.
- 7 **Impact on immune system homeostasis.** The maturity of the immune system co-evolves
- 8 with the gut microbiota, which enables the body to respond against infection whilst
- 9 maintaining tolerance to the normal microbiota. Intestinal macrophages do not produce
- 10 pro-inflammatory cytokines in response to microbial stimuli binding to Toll-like
- receptors(20). Some *Clostridium* species, particularly those belonging to clusters IV and
- 12 XIVa, can promote regulatory T cell accumulation in the colon, to help maintain immune
- homeostasis(21). Perturbations in the microbiota can have consequences for autoimmune
- diseases, such as Inflammatory Bowel Disease and Type I diabetes.

Scientific basis for microbial therapies targeting CDI

- 16 Preventing recurrent CDI is likely to involve interventions at multiple steps during the
- disease process that microbiome-based therapies need to consider. Of note, microbiome
- therapies may need to work in conjunction with antibiotics, as the low microbial diversity
- 19 caused by antibiotic therapy may increase the engraftment of the transplanting
- 20 microbiome, enhancing protection.
- 21 *C. difficile* spore germination. Germination of *C. difficile* spores is the first step during CDI.
- 22 Some amino acids and primary bile acids, but not secondary bile acids, are potent

- 1 germinating signals. The conversion of human intestinal 1° to 2° bile acids is performed by a
- 2 range of indigenous microbial species, and their absence is key for the commencement of
- 3 CDI(22). This bile acid conversion is catalysed by bile salt hydrolases and the 7α -
- 4 dehydroxylation ($7\alpha DH$) enzyme; $7\alpha DH$ enzymes are only found in a few known *Clostridium*
- 5 and Eubacterium species. Antibiotic consumption can deplete those microbes responsible
- 6 for bile acid conversion, leading to an accumulation of 1° bile acids and spore germination.
- 7 Supplementation with *Clostridium scindens* restored bile acid homeostasis, reducing the
- 8 pool of 1° bile acids and preventing *C. difficile* spore germination in mice(23). However,
- 9 mucosal biofilms are known to harbour vegetative *C. difficile* cells, which play a role in
- recurrent CDI(24); thus, identifying microbes that can inhibit *C. difficile* growth will be
- beneficial. As sessile *C. difficile* cells are a potential reservoir for recurrent infections,
- therapies able to displace *C. difficile* from the sessile communities would be highly desirable.
- 13 **Competition for preferred growth nutrients.** The expansion of *C. difficile* vegetative cells is
- fuelled through the fermentation of amino acids, initially proline and glycine(25,26). As this
- resource becomes depleted, *C. difficile* undergoes metabolic reprogramming to utilise other
- amino acids and carbon sources funnelled into the central carbon pathway(27). Providing
- microbes that can compete for this amino acid reservoir, such as *Clostridium Bifermentans*,
- has been shown to prevent a lethal infection(28). Other nutritional sources utilised by *C.*
- 19 difficile include, sorbitol(29), haeme(30), ethanolamine(31), and indole(32); thus, microbes
- 20 competing for these nutritional sources will limit or prevent expansion of *C. difficile*
- 21 vegetative cells. Interestingly, host mucin can be metabolised by members of the sessile
- 22 population, such as *Bacteroides thetaiotaomicron*, releasing monosaccharides, such as sialic
- acid(33), N-acetylglucosamine(34), and succinate(35), which, in the absence of other
- scavenging microbes, are utilised by *C. difficile* to drive expansion. Metabolism of a wide

- 1 range of nutrients for energy highlights the metabolic flexibility employed by *C. difficile*
- 2 during outgrowth.
- 3 **Reduce toxin potency.** Strains of *C. difficile* can produce up to three toxins, where it is
- 4 recognised that TcdA and TcdB are responsible for majority of clinical symptoms; thus,
- 5 inclusion of antagonistic microbes against the effects of these toxins is another
- 6 consideration. A secreted serine protease produced by Saccharomyces boulardii, a non-
- 7 pathogenic yeast, can cause proteolytic digestion of TcdA and TcdB(36). Some
- 8 Bifidobacterium and Lactobacillus species, such as L. delbrueckii and L. kefr can antagonise
- 9 the cytotoxic effect of these toxins(37,38). Similarly, Valdes-Varela et al.(39) noted that
- strains of Bifidobacterium longum and B. breve depleted toxins from C. difficile culture
- supernatants, and this effect is potentially mediated through unknown secreted factors
- from *Bifidobacterium* strains(24,40). A defined microbial consortium, MET-1, reduced gut
- inflammation by reducing the amount of TcdA without affecting *C. difficile* viability(41).
- 14 Rational targeting of each step in the disease process will enhance microbiome-based
- therapies for treatment of CDI. However, further understanding of microbiota-C. difficile
- interactions at the different intestinal biogeographies may help rationalise their
- inclusion/exclusion in microbiome-based therapies.
- 18 Microbiome therapies contributing towards successful
- 19 resolution of CDI
- 20 The high efficacy of FMT treatment has been well established, but can a similar efficacy be
- 21 achievable using a more defined or targeted mix of microbes? Recovered microbial strains
- from FMT donors have been combined as a therapy for in vivo treatment of recurrent CDI. A

- simple mixture of six phylogenetically diverse bacteria were selected as a bacteriotherapy
- 2 based on abundance; these were able to displace *C. difficile* in a recurrent mouse model to
- 3 resolve disease(42). Similarly, inclusion of 33 bacterial isolates from a stool sample were
- 4 developed as a 'proof-of-concept' microbiome therapy that successfully treated two
- 5 patients whom had previously failed multiple rounds of antibiotic chemotherapy; this
- 6 microbial mixture successfully resolved recurrent CDI in both patients(43). These studies
- 7 show that simple microbiota cohorts can be effective in preventing recurrent infections.
- 8 Screening faecal communities for their ability to exclude *C. difficile* colonisation has been
- 9 used to design microbiome therapies. Several panels of simplified faecal communities were
- assessed in vitro to reduce C. difficile levels and, after another round of simplification of
- those anti-C. difficile communities, communities were assessed in vivo to reduce C. difficile
- disease(44). Community designations FS2B and FS2C both protected mice from *C. difficile*
- disease; FS2C communities caused a reduction in *C. difficile* levels. These communities were
- 14 associated with enhanced recovery of the indigenous microbiota.
- 15 Another rationale for inclusion of microbial species into putative microbiome therapies is
- using metagenomic analysis of cultured faecal isolates to provide functional predictions to
- antagonise *C. difficile in vitro*. Using this approach, Ghimire *et al.*(45) found 66 species that
- inhibited *C. difficile* growth when co-cultured; most inhibiting species were found to be
- 19 acetate or butyrate producers. Testing 256 combinations of these species showed the
- 20 importance of microbial composition and abundance in inhibiting *C. difficile*; with a
- 21 composition of 12 bacterial species effectively inhibited *C. difficile in vitro* growth.
- 22 The design of some microbiome therapies has focused on a particular nutrient resource,
- 23 such as mucin. Highly glycosylated mucins are the dominant structural component of

- 1 mucus, and a vital nutrient source for gut microbes. Exploiting this niche enhances *C. difficile*
- 2 disease progression; mucin monosaccharides act as a chemoattractant for *C. difficile* to
- 3 colonise the mucus layer(46). A five-member consortium (BacMix) was selected based on
- 4 capacity to metabolise mucin-derived monosaccharides, consisting of Akkermansia
- 5 muciniphila, Ruthenbacterium lactatiformans, Alistipes timonensis, Muribaculum intestinale,
- and Bacteroides sp.(34). Although members of the BacMix consortium colonised mice, this
- 7 resulted in a modest decrease in *C. difficile* colonisation, suggesting that either *C. difficile*
- 8 can compete for this nutritional niche or that *C. difficile* is able to adapt to different
- 9 nutritional sources.
- 10 The inclusion of microbes into microbiome therapies has been based on mathematical
- modelling to replicate the ecological principals of FMT treatment. Here, Xiao et al.(47) used
- the classical generalised Lotka-Volterra model to simulate the FMT process with input data
- from preclinical mouse data and a clinical trial of FMT treatment. They proposed a cocktail
- of 14 species, including two direct inhibitors of *C. difficile* (*C. scindens* and *Roseburia*
- 15 *hominis*), to reduce *C. difficile* colonisation.
- 16 The pursuit of microbiome-based therapies for CDI: overview
- of biotechnology companies
- 18 With 258,000 and 172,000 CDI cases in the US and Europe, respectively, novel treatments
- 19 for CDI are urgently needed; a mission being undertaken by several biotechnology
- 20 companies. Microbiome therapies for the treatment of CDI can help prevent further patient
- 21 morbidity and the need for additional antibiotic treatment; an overuse of antibiotics leading
- to the development of resistance. However, microbiome therapies are not without pitfalls.

- 1 As a 'first in class' medical product, any microbiome therapy would need to be well
- 2 tolerated, undergo rigorous safety testing, and show superiority over standard treatment
- 3 options. Nevertheless, several biotechnology companies have made great progress in this
- 4 area with several products in the clinical testing pipeline (**Table 1**).
- 5 Seres Therapeutics Inc. has developed a microbiome therapeutic for the prevention of
- 6 recurrent CDI based on sporulating bacteria from the Firmicutes phylum taxa, SER-109. A
- 7 Phase I clinical trial indicated that SER-109 had a favourable safety profile and successfully
- 8 prevented recurrent CDI with a clinical resolution of 97% in 30 participants (48). Engraftment
- 9 of spores was associated with the restoration of bile acid homeostasis, increasing the
- concentration of 2° bile acids(49). Despite a setback during Phase II clinical trial, SER-109 has
- registered positive topline results in a Phase III clinical trial. However, as a stool preparation,
- the composition and abundance of spores within SER-109 can vary; thus, Seres have
- developed a defined spore microbiome therapy, SER-265, which is currently in Phase I
- 14 clinical trials.
- 15 RBX2660 (Rebiotix Inc./Ferring Pharmaceuticals) is a suspension of healthy donor microbiota
- for the resolution of recurrent CDI. Unlike SER-109, RBX2660 is composed of different
- bacterial phyla and is delivered by an enema rather than an oral route(50). In a Phase III
- clinical trial, RBX2660 successfully met primary endpoint, demonstrating superior efficacy vs
- placebo (70.4% vs 58.1%) at eight weeks post treatment (51). As a further development of
- 20 RBX2660, RBX7455 is a standardised, lyophilised, orally administered live biotherapeutic
- 21 that displayed good human tolerance and efficacy at preventing recurrent CDI(52).

- 1 CP101 (Finch Therapeutics) is a lyophilised, orally administered biotherapeutic constructed
- 2 from healthy donor faeces. CP101 met primary endpoint in a Phase II clinical trial (PRISM3),
- 3 with 74.5% achieving sustained clinical cure 8 weeks after administration.
- 4 VE303 (Vedanta Biosciences) is an orally administered rationally-designed bacterial
- 5 commensal therapy, comprised of 8 distinct species belonging to Clostridium clusters IV,
- 6 XIVa, and XVII. Administration of VE303 restored microbiome composition after antibiotic-
- 7 induced dysbiosis and was well tolerated in Phase I trials; Phase II trials are underway.

8 Conclusions

9 The intestinal microbiome acts as a gateway for preventing recurrent CDI; but continual 10 antibiotic treatments limit microbial recovery allowing C. difficile expansion. There is clear rationale for the use of microbiome therapies in the treatment of CDI; however, striking the 11 12 right blend and balance of microbes is key for success. Rationale inclusion of microbes based on inhibition at all the steps in the infection process is likely to enhance efficacy. There are 13 several promising microbiome candidates lead by biotechnology companies undergoing 14 15 clinical trials, and early results indicate superiority over vancomycin treatment alone. There 16 are still questions over the long-term effect of microbiome therapies on the human host, as

manipulating the microbiome can have grave consequences for the host.

17

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3 Conflicts of interest

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- 8 Durata, Merck, Nabriva Therapeutics plc, Pfizer, Qiagen, Roche, Seres Therapeutics Inc.,
- 9 Synthetic Biologics, Summit and The Medicines Company.

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Table 1. Selected biotechnology companies with microbiome base therapies targeting CDI

Company	Product	Status
Seres Therapeutics	SER-109	Phase III
Rebiotix	RBX2660	Phase III
Vedanta Biosciences	VE303	Phase II
Finch Therapeutics	CP101	Phase II
NuBiyota	MET-2	Phase I
Rebiotix	RBX7455	Phase I
Seres Therapeutics	SER-262	Phase I