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1 **Assessing the performance of public transport services in a developing** 2 **country: a case study using data envelopment analysis**

3 **Abstract**

4 The accurate evaluation of public transport (PT) services in developing countries is a challenging task
5 due to a lack of reliable data and formal guidelines. The aim of this study was to develop a framework
6 addressing these data limitations and quantify PT performance using output-oriented Data Envelopment
7 Analysis (DEA). The local bus service operation of Chattogram City Corporation (CCC) area of
8 Bangladesh was used as a case study. A performance assessment inventory was developed identifying
9 the major stakeholders related to local bus service operations. The relative efficiencies of different bus
10 routes (from users' and operators' perspectives) were estimated using the DEA model, which
11 incorporated data from a semi-structured questionnaire survey linked with the inventory. The PT
12 authority also ranked all bus routes according to their degree of efficiency. Results indicated that relative
13 efficiency scores in various routes varied according to the stakeholders' perspectives. A range of
14 different parameters were considered when evaluating the performance of the service. The proposed
15 inventory and framework would be useful for policymakers and urban planners in sequencing and
16 prioritizing different routes. This optimization process would provide improved transport services to
17 the general public.

18 **Keywords:** Public transport; data envelopment analysis; local bus routes; transportation modeling;
19 Bangladesh

20 **1. Introduction**

21 Due to rapid rates of urbanization, cities in both developed and developing countries are facing diverse
22 challenges in managing Public Transport (PT) services¹ (Badami & Haider, 2007; Morris, Ison, &
23 Enoch, 2005). Increasing traffic congestion, and the high maintenance costs of PT services, restrict the
24 transport authorities' ability to ensure the efficient operation of an affordable, quality PT service. These
25 issues also makes this form of transport less attractive to users (Bertini & El-Geneidy, 2003; Boujelbene
26 & Derbel, 2015; Hassan, Hawas, & Ahmed, 2013). Ensuring an efficient PT service is far more
27 challenging in a developing country than in a developed country due to a lack of financial resources,
28 political pressures, imbalance of supply and demand for PT services and a lack of demand-driven PT
29 service management policies (Boujelbene & Derbel, 2015; Iles, 2005; Sohail, Maunder, & Miles, 2004).
30 It appears that the demands for PT services are decreasing in many developed countries, with an
31 associated deterioration in the quality of the service provided. Much of this is due to an increasing
32 dependence on private vehicles. In developing countries, issues related to service quality are primarily

¹ Public transport service is a shared transport service available for people to commute from one place to another which consists of structural (e.g., road, transport modes) and non-structural elements (e.g., policies and rules).

33 associated with a rapid increase in transportation demand (Iles, 2005). Though most of the problems
34 associated with PT service management are similar in both the developed and developing worlds, their
35 causation, magnitude, and impacts on mobility behavior are different. In developing countries PT
36 management issues are exacerbated by rapid urbanization, a growing population, lack of safety and
37 security, and poor enforcement of rules and regulations (Verma & Ramanayya, 2019). Regular
38 assessment schedules and improved PT services are deemed essential to meet an increasing
39 transportation demand with the limited resources available.

40 Systematic transportation planning not only refers to the provision of transport facilities (the structural
41 measures) but also encompasses the regular improvement in the performance of the service (the
42 nonstructural measures). To improve PT services, cities in many developing countries have adopted
43 various structural and nonstructural measures to reduce the gap between demand and supply.
44 Nonstructural measures include the provision of subsidies, a performance assessment of PT service
45 through regular monitoring, and the evaluation of service efficiency (measured by cost efficiency, labor,
46 and vehicle utilization) and effectiveness (transit ridership, service quality, service satisfaction) (Iles,
47 2005; Verma & Ramanayya, 2019; C. Zhang, Juan, Luo, & Xiao, 2016). Monitoring and evaluation of
48 PT systems are useful in identifying deficiencies in the existing transportation service. Measuring PT
49 performance is useful in identifying issues in the existing system, possible root causes, and the sectors
50 requiring special attention. The identification of these issues and development of rigorous management
51 programs is a prerequisite for transit improvement (Dhingra, 2011; Litman, 2008).

52 Usually, the performance assessment of the PT service is carried out by the state in order to determine
53 suitable areas for funding and incentives. This focuses primarily on the operational efficiency and
54 effectiveness of the transit system (Dhingra, 2011; C. Zhang, Juan, & Xiao, 2015). Other organizations
55 such as local government, municipalities, and transport operators are also involved in these assessments.
56 Different stakeholders have their own focus areas. For instance, privately-owned transit operators
57 usually focus on economic return in order to maximize profits while minimizing costs (Huque, 2020).
58 Monitoring the PT service is essential for private transit operators so that they can become competitive
59 service providers. This is especially the case where multiple transit operators are responsible for
60 managing the PT sector of a city (Kathuria, Parida, & Sekhar, 2017; Khasnabis, Alsaidi, Liu, & Ellis,
61 2002; Swami & Parida, 2015). In contrast, public transit operators primarily focus on providing public
62 service to meet social demands (Phillips, 2004). The purpose and scope of the performance assessment
63 are also generally context-specific. Regular PT performance assessments are critical for both public and
64 private operators in the developing countries. For a public transit operator in a developing country,
65 major challenges in regards performing regular service assessments are related to the limited public
66 funds available, increased demand for PT services, and expanding social needs (Yao, Xu, & Li, 2019).
67 The influence of politics in developing cities also often result in poor service from private operators
68 (Sohail et al., 2004). PT performance assessment in developing countries is also a challenging task due

69 to a lack of data, data which is both labor-intensive, as well as costly, to obtain (Zannat & Choudhury,
70 2019).

71 Stakeholders such as users and communities have different expectations compared to transit operators
72 or PT authorities. PT users are mainly concerned with reducing travel time and costs, with an
73 expectation of good service quality at a low price. Due to differing stakeholder attitudes and
74 expectations, it becomes necessary to consider the opinions of all stakeholders in order to gain a
75 comprehensive understanding of PT performance. Conflicting interests among different actors,
76 however, can lead to difficulties in performance evaluation, so it is essential to have good
77 communication between the various stakeholders (Seker & Aydin, 2020; Susniene & Jurkauskas, 2008).
78 Only a small number of studies have highlighted the importance of incorporating perceptions of the
79 different stakeholders in the PT service quality evaluation process (Güner & Coşkun, 2016; Hassan et
80 al., 2013; Chintan Sheth, Triantis, & Teodorović, 2007; C. Zhang et al., 2016; Zhao, Triantis, Murray-
81 Tuite, & Edara, 2011). This is a challenging task, however, particularly in these developing countries
82 due to: (i) a lack of panel data for monitoring and evaluating PT services (Zannat & Choudhury, 2019);
83 (ii) a lack of a comprehensive framework of PT performance assessment inventory that addresses data
84 limitations, along with the views and interests of different stakeholders (Hassan et al., 2013; Hawas,
85 Khan, & Basu, 2012); (iii) the existence of multiple organizations and public transport authorities that
86 have overlapping activities and a lack of an overall coordinating authority (e.g., single bus route
87 operated by multiple public and private companies); and (iv) a lack of accountability and transparency
88 among responsible public transport authorities.

89 Most of the existing studies related to PT performance assessment have been conducted on cities located
90 in the USA, Europe, and China. Unfortunately, the PT performance assessment frameworks and
91 inventory developed for those cities are not really transferrable to developing countries, due to a lack
92 of commitment in addressing data limitation issues and the conflicting interests of multiple
93 stakeholders. For example, fine-scale data (e.g., time, location, and service status of PT) can be collected
94 using GPS technology installed in vehicles, which enables regular monitoring and evaluation of PT
95 operation (Zannat & Choudhury, 2019). In many large and medium-sized developed cities, smart cards
96 (e.g., Oyster card in London, Smart Link in New York) are used for automatic fare collection in the PT
97 system. Smart card data can also be used for extracting information such as arrival time (Zhou, Yao,
98 Chen, Gong, & Lai, 2017), passenger waiting time (Tavassoli, Mesbah, & Shobeirinejad, 2018), the
99 number of left-behind passengers (Zhu, Koutsopoulos, & Wilson, 2017), and the spatial variation in PT
100 ridership (Tu et al., 2018). All these data sources provide an opportunity to monitor PT performances.
101 In developing countries, this lack of structured networks and use of manually-operated fare collection
102 systems limit the ability for comprehensive data collection. For example, in Bangladesh, paratransit²

² Paratransit is also known as community transport that provides individualized rides without fixed routes.

103 service, as well as, traditional PT service (e.g., local bus service) operates beyond permitted road
104 networks (Enam & Choudhury, 2011).
105 This study looked to address the challenges noted above by (i) developing a performance assessment
106 inventory which considered the data limitations; (ii) identifying and classifying different actors and
107 their roles in PT service operation in order to incorporate their interests in the framework of PT
108 performance assessment; (iii) deriving an efficiency score for the PT service incorporating both
109 qualitative and quantitative parameters; and (iv) highlighting the importance of efficiency scores and
110 their implications in regards providing a better PT service. This study utilizes data envelopment analysis
111 (DEA) to evaluate PT performance in a developing country and looks at developing approaches to
112 account for the major challenges related to PT performance assessment. It highlights the importance of
113 incorporating different stakeholders in evaluating the performance of PT service by applying a
114 combined qualitative and quantitative approach. The study is carried out in Chattogram City
115 Corporation (CCC) area of Bangladesh (Figure 1) and is focused on the local bus service. To the best
116 of our knowledge, this study is the first of its kind to focus on a PT performance assessment in a city of
117 a developing country.

118 **2. Theoretical background**

119 The requirement to conduct comprehensive PT performance assessments have emerged as a necessity
120 in ensuring rigorous quality management and increased operational efficiency in regards this form of
121 transport (Boujelbene & Derbel, 2015; Güner & Coşkun, 2016; Kathuria et al., 2017; Khasnabis et al.,
122 2002; Taboada & Han, 2020). According to Wei et al. (2017), a performance assessment process is the
123 first step required in making a PT management system efficient. A well-structured PT evaluation system
124 is a prerequisite for sustainable transportation (Jasti & Ram, 2018; Zannat, Adnan, & Dewan, 2020).
125 Continuous evaluation of the performance and effectiveness of a service is required to improve its
126 quality (Kathuria et al., 2017; Swami & Parida, 2015). Carter and LoMAx (1992) noted that in
127 transportation systems, the management of planning and assessment of performance have become an
128 integral part of ensuring accountability and transparency by providing baseline data with which to assess
129 the system as whole. Their argument has been supported by analyzing the emerging use of the PT
130 assessment process for funding allocation, administrative planning, and to allow a comparative analysis
131 of the various transit agencies. Performance-related information is necessary for decision-makers to
132 evaluate public investment alternatives (Chintan Sheth et al., 2007). The increasing use of such
133 assessment processes allows a better gauge of performance measures and indicators — integral parts of
134 the performance assessment (Benjamin & Obeng, 1990). According to Fielding, Glauthier, and Lave
135 (1978), the efficiency and effectiveness of PT performance measures are useful for multi-level
136 governance. Phillips (2004) added ‘impact’ to efficiency and effectiveness. Carter and LoMAx (1992)
137 suggested PT performance assessment should be based on effectiveness, efficiency, impact,
138 productivity, and quality of service. The complex interaction of multiple factors, stakeholders, and

139 organizations results in a PT performance assessment being potentially a very challenging task
140 (Hirschhorn, Veeneman, & van de Velde, 2018; Chintan Sheth et al., 2007). Complexities in
141 performance assessment may also vary according to (i) the system under consideration (e.g., bus, train,
142 and airways); (ii) the routes; (iii) functions; (iv) policy changes; and (v) the specific goals and objectives
143 (Ryus et al., 2003).

144 Existing studies have applied differing techniques when undertaking the task of assessing transit service
145 performance. Examples include the SERVQUAL model (Barabino, Deiana, & Tilocca, 2012; Sam,
146 Hamidu, & Daniels, 2018), impact score technique (Alçura, Kuşakcı, Şimşek, Gürsoy, & Tanrıverdi,
147 2016), importance-performance analysis (Z. M. Ali, Ismail, Suradi, & Ismail, 2009; Cao & Cao, 2017;
148 Wu, Shieh, & Pan, 2008), customer satisfaction index (Z. M. Ali et al., 2009; Eboli & Mazzulla, 2009),
149 ordered logit model (Echaniz, Ho, Rodriguez, & dell'Olio, 2019; Tyrinopoulos & Antoniou, 2008),
150 structural equation modeling (Eboli & Mazzulla, 2007; Shen, Xiao, & Wang, 2016; Wan, Kamga, Hao,
151 Sugiura, & Beaton, 2016), and multicriteria evaluation (Hassan et al., 2013; Pedroso, Bermann, &
152 Sanches-Pereira, 2018; Yeh, Deng, & Chang, 2000). More recently, some studies have used the
153 Structural Entropy-TROPSIS model to evaluate the PT system (Huang, Shuai, Sun, Wang, & Antwi,
154 2018; X. Zhang, Zhang, Sun, Zou, & Chen, 2018).

155 Over the last two to three decades, substantial work using data envelopment analysis (DEA) has been
156 undertaken (Alizadeh & Safi, 2020; Lao & Liu, 2009; Chintan Sheth et al., 2007; Singh, Singh, Singh,
157 Kumari, & Sangaiah, 2019; Wei et al., 2017). The DEA is a non-parametric approach, introduced by
158 Charnes, Cooper, and Rhodes (1978), and based on the work of Farrel (1957). This approach uses a
159 linear programming method to measure the relative efficiencies of multiple organizations using
160 decision-making units (DMUs) (Lao & Liu, 2009). The DEA evaluates DMUs against best practice, so
161 this approach is popular (Alizadeh & Safi, 2020; Lao & Liu, 2009; C. Zhang et al., 2016). It is widely
162 used in situations where a system has a great variety of inputs and outputs (A. I. Ali & Lerme, 1997);
163 factors common to PT systems (Lao & Liu, 2009; Wei et al., 2017). Different approaches can be taken
164 when assessing PT performance (Eboli & Mazzulla, 2007; Hassan et al., 2013; Huang et al., 2018;
165 Pedroso et al., 2018; Tyrinopoulos & Antoniou, 2008; Yeh et al., 2000; X. Zhang et al., 2018), however
166 DEA offers a number of advantages. Firstly, it allows the simultaneous analysis of inputs and outputs
167 in order to derive an efficient rating within a set of units. Secondly, inputs and outputs can have
168 diversified units. Thirdly, the DEA does not require the generation of standards against efficiency
169 measures. Fourthly, the method does not require predetermined production functions to relate the inputs
170 and outputs. Finally, it is a data-driven approach (A. I. Ali & Lerme, 1997; Alizadeh & Safi, 2020; Lao
171 & Liu, 2009; Malano, Burton, & Makin, 2004; Chintan Sheth et al., 2007; Wei et al., 2017), thereby
172 allowing an effective assessment to be conducted.

173 To measure the PT performance, many studies use the original Charnes-Cooper-Rhodes (CCR) and
174 Banker-Charnes-Cooper (BCC), which assumes a constant and variable return to scale (Karlaftis &
175 Tsamboulas, 2012; C. Zhang et al., 2015). Other studies have attempted to improve the measurement

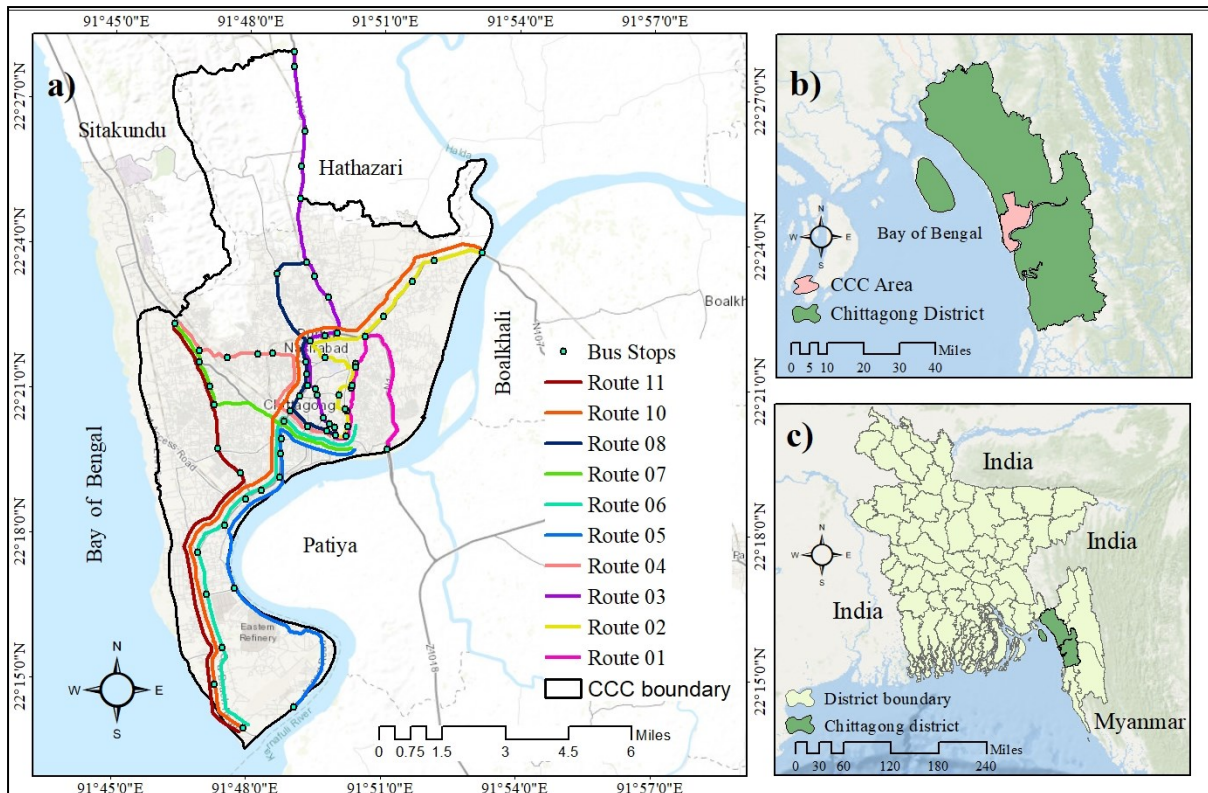
176 approach by modifying the DEA or combining the DEA approach with other models. Wei et al. (2017)
177 applied a combination of DEA, geographic information system (GIS), and multi-objective spatial
178 optimization techniques to assess the operational efficiency of PT services. Chintan Sheth et al. (2007)
179 used DEA and goal programming functionality in DEA to analyze the relationship among the PT service
180 providers and users, along with exogenous factors related to the transportation investment (e.g.,
181 emissions, noise pollution, etc.). Alizadeh and Safi (2020) proposed a hybrid framework (combining
182 DEA and data mining techniques) to measure the performance of a bus fleet. While many of the other
183 studies have concentrated on improving the measurement approach of the DEA, the focus of the current
184 work has been on addressing data limitation issues and incorporating the perceptions of multiple
185 stakeholders including users, operators, and service providers.

186 **3. Materials and methods**

187 This study employed three interrelated steps in the development process. Firstly, any conflicting
188 interests between the different stakeholders were identified, and their roles in the decision-making
189 process related to PT operation were clarified, particularly those related to the local bus service in CCC.
190 Secondly, a performance assessment inventory was developed to account for the different stakeholder
191 groups. This was based on knowledge obtained from the literature and feedback from the various
192 stakeholders. Finally, policy recommendations aimed at improving the performance of local bus service
193 were developed and documented.

194 **3.1. Study area**

195 The study area is within the Chattogram City Corporation (CCC) located in the southeastern part of
196 Bangladesh (Figure 1). Chattogram is the second-largest city in Bangladesh, a prime seaport, and a
197 large commercial hub and business center (Zannat, Raja, & Adnan, 2019). The city has a total
198 population of 3.3 million with a mean density of approximately 19,000 people per km² (Bondarenko,
199 2018). The population growth rate is 2.3%, which is higher than the national growth rate of Bangladesh
200 (Mia, Nasrin, Zhang, & Rasiah, 2015). For administrative purposes, the CCC area is divided into 41
201 wards (the smallest administrative unit). The average household size is 4.8 person with 1.6 earning
202 members per household (WB, 2018). The average daily household income is approximately BDT 1,700
203 (BBS, 2016). The literacy rate of the population living within the study area has increased from 44.6%
204 in 1981 to 68.8% in 2011 (BBS, 2011). It is a polycentric city with four distinctive commercial centers:
205 Kotwali, Chawkbazar, Agrabad, and GEC circle. The major industrial zones are located in the
206 peripheral areas (WB, 2018). Among the EPZs found within Bangladesh, the Chattogram Export
207 Processing Zone (EPZ) is the biggest contributor to exports. This constituted 11% of the national GDP
208 in 2011 (Mia et al., 2015).



209

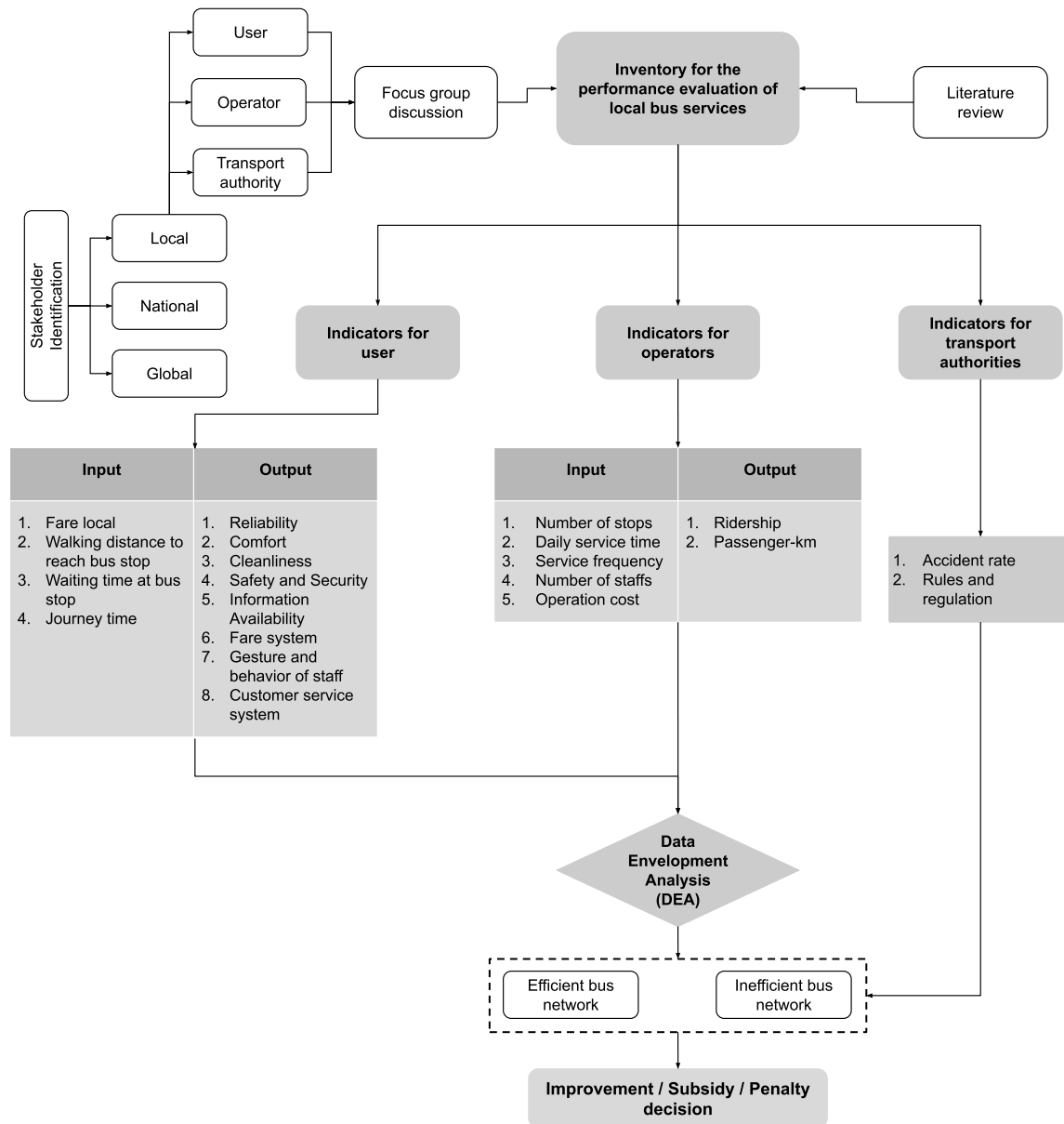
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Figure 1 Location of Chattogram city corporation (CCC) area

211

As is the case with other major Bangladesh cities, the CCC is experiencing an increased demand for transportation caused by rapid population growth and urbanization. A local bus service is the primary mode of transport for most city dwellers. About 50% of commuters use local bus services to travel within the area (CDA, 2009). Many industries, factories, offices, garments, and shopping centers are located in the CCC, so the local bus service plays a very important role in the movement of people within the zone (Zannat, Showkat, & Islam, 2014). Other types of motorized and non-motorized vehicles (e.g., rickshaw, autorickshaw which is driven by compressed natural gas (CNG), human hauler, private car) operate within the area (Zannat et al., 2021). There are currently 10 routes traversed by the public bus service, 17 routes for human haulers, and 16 routes for CNG powered auto-rickshaws. There are, however, no dedicated bus lanes in the existing transport network. The average seating capacity of local buses ranges from 24 to 36 person and air conditioning systems are not available. Around 1,300 buses operate within the city area. There are more than 70 designated bus stops along the 10 routes, however local buses tend to pick up and drop off passengers at any point along the road (WB, 2018). This study addresses all 10 bus routes within the city (01, 02, 03, 04, 05, 06, 07, 08, 10, and 11) (Figure 1). The length of these routes are between 8 (route 08) and 25 kms (route 10) (CDA, 2009).

225



226

227

Figure 2 Flowchart, showing methodology of this work

228 3.2. Identifying stakeholders of local bus service

229 Understanding the role of the differing stakeholders responsible for PT operations is important when
 230 evaluating the performance of this mode of transport (Susniene & Jurkauskas, 2008). In a developing
 231 country like Bangladesh, a stakeholder's role and the extent of their involvement in the demand and
 232 supply management of the PT services, is not well defined. In this study all stakeholders were carefully
 233 identified and classified in regards their roles, the type of relationships with others, and their
 234 involvement in the formulation of policies. The most obvious stakeholder is the actual user of the bus
 235 service. There are no PT user-oriented organizations (formal or informal) in the study area so focus
 236 group discussions and questionnaire surveys were carried out with representatives of the various user
 237 groups. Local and national acts, rules, policies, and ordinances, institutional memorandum and

238 organogram were reviewed to understand the involvement of other stakeholders (e.g., municipality, PT
239 company, road maintenance service) in the provision of the service. Relevant documents were collected
240 from the respective organizations, including from their websites. A short interview was also conducted
241 with representatives of the major organizations within the CCC to understand their activities and ability
242 to provide improved bus services.

243 Table 1 provides a summary of all the various stakeholders (apart from the actual service users) and
244 their respective roles in the operation of the local services. These stakeholders were primarily involved
245 in decision-making, service operation, and the overall management processes. Different stakeholders
246 were involved in formulating policies at local (city), national, and global scales. For instance, the Road
247 Transport and Highway Division (RTHD) formulated policies for land transport at the national and city
248 level using information from national and international transport experts/consultants. This included
249 existing policies, rules and laws, cross-cutting issues among other departments and resource
250 availability. A stakeholder's involvement at the implementation level, however, is more complex. For
251 instance, local bus services in CCC are primarily operated by a privatized organization called the
252 Chattogram Metropolitan Paribahan Malik Group (CMPMG). The Bangladesh Road Transport
253 Authority (BRTA) is a regulatory body that controls, manages, and ensures discipline in the sector and
254 controls road safety at national and city levels within Bangladesh. The BRTA is also responsible for
255 vehicle registration, providing driving licenses and the designation of specific bus routes within and
256 outside the metropolitan area. The number of buses in a prescribed route (called the ceiling) is usually
257 determined at an official meeting, with people present normally include an administrator of Chattogram
258 Metropolitan Police (CMP), a Deputy Director from BRTA (Engineering), the General Secretary of
259 CMPMG, representatives from the CCC (Traffic Engineering section), Bangladesh Road Transport
260 Corporation (BRTC), reporters and representatives of civil society and other organizations.
261 Stakeholders meet only once during the implementation phase with the scope of the meeting constrained
262 by the official route ceiling. Local and state authorities do not monitor or inspect service quality.
263 Representatives working for the service operation and management at the local level were contacted for
264 input (Table 1). Discussions with these local officials provided details on the current inventory and the
265 approaches used to collect data. The stakeholders (with the exception of the final users) were then
266 divided into two groups: i) "transport operators" (e.g., BRTC, CMPMG, bus owners, and local partners)
267 and ii) "transport authorities" (RTHD, BRTA, BRTC, CCC, CDA, and CMP). Officials who work at
268 the national and global levels play an important role in the later phase of performance assessment such
269 as providing subsidies or incentives to the operators to improve service quality.

270

271

272

273

274 Table 1 Stakeholders and their role in local bus operation in CCC

Level of involvement	Nature of involvement	Scale			Stakeholders
		Local	National	Global	
Decision making Level	Policy formulation and decision making	√	√		RTHD, ministers, members of Parliament, bureaucrats
	Provision of field information to the policy makers		√	√	Transport experts
Implementation level	Provision of route permit, license and registration	√	√		BRTA
	Provision of limited bus service	√			BRTC
	Maintenance and construction of roadway	√			CCC
	Construction and improvement of roadway	√			CDA
	Traffic and accident control	√			CMP
	Local bus service operation	√			CMPMG, bus owners, local partners

275

276 **3.3. Developing performance assessment inventory**

277 Following the identification of the major stakeholders (transit users, transport operators, municipality,
 278 and state authorities), a number of performance indicators that could be used to evaluate the local bus
 279 service were selected (Figure 2). This selection of input-output variables for the DEA is an important
 280 step. Performance evaluations are generally carried out by expert intervention, using a heuristic
 281 decision-making process, a review of the available literature, and exploratory analytical methods
 282 (Taboada & Han, 2020). Due to a lack of fine-scale data such as bus route level data from passengers
 283 and operators, and the lack of performance assessment standards in Bangladesh, the research team
 284 developed an input-output inventory based on an extensive review of other studies which had been done
 285 on the PT performance assessment process, with a focus on both developed and developing countries.
 286 To adjust the inventory in the context of local bus service operation in CCC area, selected indicators
 287 identified from the literature were then verified by the focus groups. During the focus group discussion,

288 different stakeholders (local bus service users, bus operators and transport authorities) ascertained the
 289 importance, availability (i.e., source of information) and association of identified indicators with local
 290 bus service operation and management in the context of CCC. The final inventory included definitions
 291 of each indicator and the methods to be followed, or questions to be asked, to extract indicator-related
 292 information. The performance assessment indicators from the perspective of users (Table 2), and
 293 operators (Table 3), were included in the DEA analysis. The indicators from transport authorities
 294 (municipal and other local organizations) were selected based on their roles and interest.

295 Table 2 Inventory of performance assessment from the users' perspective

	Indicator	Definition	Sources
Input	1. Fare	Ticket price (per person per km)	(Eboli & Mazzulla, 2008)
	2. Walking distance to reach the bus stops	Time needed to reach the nearest stop from an origin on foot	(Eboli & Mazzulla, 2007; Joewono & Kubota, 2007; CH Sheth, 2003; Tyrinopoulos & Antoniou, 2008)
	3. Waiting time at stops for buses	Average time spent waiting for a bus at a stop	(Nathanail, 2008)
	4. Journey time	Time spent on the bus	(CH Sheth, 2003)
Output	1. Reliability	The ability of a transit system to adhere to schedule, as well as the ability of the transit vehicles to depart or arrive on time	(Eboli & Mazzulla, 2007; CH Sheth, 2003; Tyrinopoulos & Antoniou, 2008)
	2. Comfort	User perception about comfort within the bus in terms of crowding, air condition, noise level, and amenities	(Eboli & Mazzulla, 2007; Joewono & Kubota, 2007; Nathanail, 2008; Tyrinopoulos & Antoniou, 2008)
	3. Cleanliness	Cleanliness of the interior and exterior of buses	(Eboli & Mazzulla, 2007; Joewono & Kubota, 2007; Lai & Chen, 2011; Nathanail, 2008; Tyrinopoulos & Antoniou, 2008)
	4. Safety & security	Possibility of being involved in a road accident,	(Eboli & Mazzulla, 2007; Joewono & Kubota, 2007; Lai & Chen, 2011;

	Possibility of becoming a victim of a crime	Nathanail, 2008; Tyrinopoulos & Antoniou, 2008)
5. Information availability	Availability of information to the user provided by the service operator	(Eboli & Mazzulla, 2007; Joewono & Kubota, 2007; Lai & Chen, 2011; Nathanail, 2008; Tyrinopoulos & Antoniou, 2008)
6. Fare system	The rate of the ticket and the ticket category	(Eboli & Mazzulla, 2007; Joewono & Kubota, 2007; Lai & Chen, 2011; Tyrinopoulos & Antoniou, 2008)
7. Gesture and behavior	Personal appearance and behavior of the various types of personnel employed by the transport operator	(Eboli & Mazzulla, 2007; Joewono & Kubota, 2007; Lai & Chen, 2011; Nathanail, 2008; CH Sheth, 2003; Tyrinopoulos & Antoniou, 2008)
8. Customer service system	Ease of purchasing tickets and how well user opinions or complaints to the service operator were handled	(Eboli & Mazzulla, 2007; Lai & Chen, 2011; Tyrinopoulos & Antoniou, 2008)

296

297

Table 3 Indicators of performance assessment from the operators' perspective

	Indicator	Definition	Sources
Input	1. Number of Stops	Total number of stops in a route	(Adler & Berechman, 2001; Eboli & Mazzulla, 2007)
	2. Daily service time	Total duration of operational time in a day	(Eboli & Mazzulla, 2007; Tyrinopoulos & Antoniou, 2008)
	3. Service frequency	Number of trips made by a bus in a day	(Eboli & Mazzulla, 2007; Lai & Chen, 2011; Lao & Liu, 2009; Tyrinopoulos & Antoniou, 2008)
	5. Number of staffs	Number of staff used to operate the buses on a route	(Husain, Abdullah, & Kuman, 2000; Kerstens, 1996)
	6. Operating cost	The cost of running a bus from origin to destination, including staff wages	(Husain et al., 2000)

	1. Ridership	Number of passengers accommodated per day	(Adler & Berechman, 2001; Lao & Liu, 2009)
Output	2. Passenger-km	Multiplication of the total passengers, daily trip number, and length (km) of a route	(Kerstens, 1996; Lao & Liu, 2009)

3.4. Conducting a survey

A field survey was carried out in April 2018 to collect the necessary data from the users, operators, and transport authorities to assess performance. To obtain information from the PT users, a semi-structured questionnaire was used. The interview questionnaire was developed based on the inventory discussed in the previous section. The sample size was determined using equation 1 below (Israel, 1992). The equation determined the minimum number of the respondents within a large population size required at a 95% confidence level with a normal distribution.

$$n = z^2 pq / e^2 \quad (1)$$

where, n is the sample size; z is the z-value of given confidence level (for 95% confidence level it is 1.96); p is the estimated proportion of an attribute that is present in the population, and q is the $(1-p)$ and e is the tolerance level (assumed 5% tolerance level).

About 3.3 million people live in CCC, of whom about half rely on PT (CDA, 2009). As the population size was large, and the variability (the proportion of middle-income people using non-motorized transport) was not known, it was assumed $p = 0.5$ (maximum variability), so q would be 0.5. The minimum size of the sample was estimated to be 384. A total of 10 routes were selected so the minimum number of required respondents was 38-40 for each route. After conducting 40 interviews, however, the number of respondents surveyed per route was increased to give a ‘data saturation’ point. The data saturation was achieved after completing 80 to 100 interviews per route. No new insights were gained after this due to repetition of information. A total of 1000 PT users were selected with approximately 100 users being interviewed in each of the 10 bus routes (Figure 1). A total of 10 survey groups (each group comprising 3-4 persons) carried out the survey, with each group assigned a specific bus route during the survey. Data was collected between 9:00 am and 6:00 pm on both weekdays and on weekends. The survey was conducted in Bengali, and each question was explained to the respondent in a non-technical manner, with each questionnaire being completed in approximately 15 minutes. Respondents also provided socio-demographic information such as age, gender, education, occupation and household income. Table 4 provides a summary of the sample population in relation to the overall population distribution within the CCC. Information obtained from the local bus service evaluation is expected to be slightly biased towards the low and lower middle-income segment of the population. Such income groups tend to use local bus services due to the lower cost when compared to other modes (car, autorickshaw, ride-hailing service, rickshaw). It should be noted that the sample population is also skewed in regards gender distribution, with a higher ratio of the male population sample. This is due to

328 the patriarchal nature of Bangladesh society and the low number of females in the labor force (36.4%)
 329 in Chattogram (ADB, 2016). A summary of the user and operator input-output indicators is presented
 330 in Table 5.

331 Table 4 Characteristics of the sample population (n = 1000)

Variable	Category	Sample distribution (%)	Distribution* of population in CCC (%)
Age	<15	49.1	58
	30-45	39.7	22
	45-60	9.4	13.4
	≥60	1.8	6.6
Gender	Male	74.1	49.5
	Female	25.9	50.5
Education level	Elementary	15.8	N/A
	Secondary	17.9	
	Higher secondary	31.3	
	Graduate or higher	35	
Occupation	Business	26.5	N/A
	Service	35.3	
	Housewife	11.9	
	Student	19.8	
	Retired	3.8	
	Others	2.7	
Income (BDT) ***	≤20K	21.8	50
	20-40K	59.0	40
	40-60K	18.9	
	≥60K	0.3	10

332 * WorldPop (www.worldpop.org) (Bondarenko, 2018), Census Data Bangladesh, 2011(BBS,
 333 2011) *** 1 BDT = 0.012 USD

334

335

Table 5 Summary of the input-output, extracted from the sample users (n=1000) and operators

Variable name	Unit	Mean	Std. Dev.	Relevant questions / source
Users' perspective (inputs)				
Fare	Taka/km	22.9	7.37	Field survey
Required time to reach the bus stops	Minute	11.26	5.83	Field survey
Waiting time at stops for buses	"	9.5	4.11	Field survey
Journey time	"	70.66	15.55	Field survey
Users' perspective (outputs)				
Reliability	Scaling by 1 to 5	2.04	0.566	<ul style="list-style-type: none"> ▪ Punctuality of an operation ▪ Frequency ▪ Reliability to reach to a destination on time during peak hours ▪ Possibility of sudden breakdown of a bus ▪ Adequacy of space inside a bus
Comfort	"	1.83	0.533	<ul style="list-style-type: none"> ▪ Comfort level in holding/keeping bags, luggage etc. in the bus ▪ Comfort level in accessing/egressing into bus with belongings ▪ Comfort level inside the bus during the summer ▪ Convenience level to read any book/newspaper in the bus at night ▪ Cleanliness of the seats
Cleanliness	"	1.93	0.607	<ul style="list-style-type: none"> ▪ General cleanliness inside the bus ▪ Interior condition of the bus ▪ Exterior condition of the bus
Fare system	"	2.70	0.99	<ul style="list-style-type: none"> ▪ Flexibility of existing ticket system ▪ Existing fare in compared to affordability

Safety and security	"	1.68	0.544	<ul style="list-style-type: none"> ▪ Availability of necessary information (e.g., map of bus line, timetable, bus fare etc.) in the bus stops ▪ Availability of necessary information (e.g., map of bus line, timetable, bus fare etc.) inside the bus ▪ Availability and adequacy of transport information within the city ▪ Safety level while on the bus ▪ Safety level while waiting for a bus ▪ Safety level while accessing/egressing a bus ▪ Security level against crime (e.g., stealing, hijacking etc.) while on the bus ▪ Security level against crime (stealing, hijacking etc.) at the stoppage ▪ Behavior and attitude of the bus driver ▪ Behavior and attitude of the staffs ▪ Assistance level of the bus staffs ▪ Satisfaction level with bus staffs' uniform ▪ Availability of ticket counter at the stoppage
Information availability	"	2.65	0.83	<ul style="list-style-type: none"> ▪ Security level against crime (stealing, hijacking etc.) at the stoppage ▪ Behavior and attitude of the bus driver ▪ Behavior and attitude of the staffs ▪ Assistance level of the bus staffs ▪ Satisfaction level with bus staffs' uniform ▪ Availability of ticket counter at the stoppage
Gesture and behavior of staffs	"	2.01	0.63	<ul style="list-style-type: none"> ▪ Satisfaction level with existing ticket purchasing system ▪ Response level of bus authority to complains filed by users
Customer service system	"	1.55	0.417	<ul style="list-style-type: none"> ▪ Satisfaction level with existing ticket purchasing system ▪ Response level of bus authority to complains filed by users

Operators' perspective (input)

No. of Stops	In number	15	CMP (TD)
Daily service time	Hour	17.8	CMPMG
Service frequency (per hour)	In number	6	CMPMG
Number of staff	In number	131	CMPMG

Operating cost	Taka/One-way Trip	750	Provided by the bus driver and staffs
Operators' perspective (output)			
Ridership	In number/per day	3430	Provided by the bus driver and staffs appointed by the owner
Passenger-km	Kilometer	55700	Estimated by multiplying ridership per trip, daily trip number, and route length of the corresponding route

337

338 **3.1.1. *Input and output related information from the users' perspectives***

339 Due to a lack of availability of any official data, the following adjustment was made when gathering
340 input and output related information from the user:

341 **i) Fare:** Local bus operators tend to use a fare scale that is different from the government-defined fare.
342 The bus staff manually collect the fare from individuals. Passengers were asked about the amount that
343 they pay for each trip. They were also asked about their origin and destination. This was used to estimate
344 the fare paid per kilometer.

345 **ii) Time to reach the bus stops:** To protect the privacy of the users, information of their exact origin
346 and destination was not collected. Users also have different modes to reach the bus stop. To avoid the
347 complexity associated with these multiple access modes, the time required to reach the bus stop is
348 determined from the stated travel time instead of the geographically measured travel time. Users only
349 provided information about the trips that they were making during the interview (every interview was
350 done onboard). The collected information had less error for recall bias using this method.

351 **iii) Bus stop waiting time and journey time:** Since local bus services were manually operated, the
352 frequency of the service does not follow a similar pattern during the day. Information on the waiting
353 time of a trip was therefore collected at the bus stops from the users when they were making. Bus drivers
354 allow passengers to get in or out of the bus at locations other than designated bus stops, and can wait
355 for longer than the designated time to accumulate more passengers onboard. Buses tend to be old and
356 have no provision for GPS facilities. Due to this factor, accurate calculation of travel time between the
357 different bus stops was not possible. To overcome this issue, the onboard survey team recorded the
358 travel time using a stopwatch, while the users reported their access and egress bus stop information.

359 **iv) Qualitative information:** For each question related to the quality of local bus services, the
360 respondents provided a score on a 1 to 5 Likert-like scale. Multiple questions were asked to define each
361 parameter such as reliability, comfort, cleanliness, fare system, safety and security, information
362 availability, gesture and behavior of staff, and customer service system. A single response can be
363 unreliable and misleading, or placing a check in the wrong place may result in an incorrect response.
364 On the contrary, many items may have little impact on the overall score of discrete indicators (Ajzen,
365 2005). To evaluate how closely related the questions were as a group, a reliability check was performed
366 using Cronbach's alpha score (Field, 2013).

367 **3.1.2. *Input and output related information from operators' perspectives***

368 A telephone survey was used to obtain information from the operators with the bus drivers being
369 interviewed at night after the end of their shift. The bus drivers answered questions related to the
370 operation of the services. Information was also collected from the bus owners and staff. The different
371 methods to collect the input and output variables are documented below:

372 **i) Daily service time (hour):** To calculate daily service time in each route, information was collected
373 from the bus owners (e.g., CMPMG) and crosschecked against information provided by the transport

374 authority (e.g., BRTA). This approach was followed because the service time of each driver/staff
375 member could vary depending on their working hours.

376 **ii) Service frequency (per hour):** The service frequency was calculated using information from the
377 staff responsible for monitoring the number of passengers traveling on the bus and from the number of
378 buses passing each checkpoint. The bus owners appointed staff to record the operations for each day.

379 **iii) Operating cost (per trip in BDT):** Data related to operating cost (per trip) were also collected from
380 the driver during the telephone interview. As the wages of the drivers and staff (those working with the
381 drivers) is determined by the number of trips made within a day, drivers could provide information per
382 trip regarding both wage and fuel costs. People not directly involved in operating the bus service were
383 not considered as their salary does not vary depending on the number of trips made within a day. The
384 following equation was used to calculate operating costs. This does not include fixed costs (insurance,
385 road tax, registration fee, permit charge, etc.) as they do not vary within these short time periods.

$$\text{Operating cost} = \text{Fuel cost (in BDT) per trip} + \text{wage of driver and staff (in BDT) per trip} + \text{maintenance cost (in BDT) per trip} \quad (2)$$

386 **iv) Daily ridership (number of passengers in a day):** Drivers were asked about the total number of
387 passengers traveling on each trip, both during peak and off-peak times. Information about the total
388 number of trips made on the day of the survey was collected from the staff involved in cross-checking
389 the number of passengers travelling on each bus.

$$\text{Daily ridership} = \text{Average number of trips made in a day} * \text{Average number of passengers traveled with each bus} \quad (3)$$

390 **v) Secondary data:** The number of bus stops and staff working on each bus route was collected from
391 the Chattogram Metropolitan Police (traffic department) and bus owner organization (CMPMG). Since
392 different bus routes were operated by different companies, one staff/driver work on multiple routes was
393 not possible in this case.

394 **3.1.3. Information from transport authorities**

395 Transport authorities involved in operation and management of local bus service were asked to evaluate
396 the routes in respect to accident rates and maintaining traffic rules and regulations.

397 **3.5. Performance assessment of local bus service**

398 This study employed the Data Envelopment Analysis (DEA) to evaluate the performance of local bus
399 service in CCC using both qualitative and quantitative parameters. The DEA model includes linear
400 programming to create an empirical production function to maximize outputs or minimize inputs. The
401 efficiency score of each decision-making unit (DMU) is calculated by comparing its production
402 function with the estimated production function. Thus, the performance of a DMU is assessed by
403 directly comparing inputs and outputs with the “best practice” of a peer or a combination of peers
404 (Cooper, Seiford, & Zhu, 2011).

405 In this study, we classified the stakeholders into three groups — local bus operator (CMPMG, bus
 406 owner and local partner), customers (local bus users and community people), and transport authority
 407 (CMP, CDA, CCC, and BRTA). The DEA method was employed to evaluate the performance of the
 408 local bus service from the point of view of the customer and the bus operators. In general transport users
 409 tend to maximize the outputs (service quality) related to comfort, cleanliness, safety, and security. The
 410 operators were generally satisfied with the initial investment and costs. Their aim was to maximize the
 411 outputs (essentially rides).

412 The following assumptions were used during model development:

413 1) Bus-lines are isolated individual entities that have a similar type of inputs and outputs and have the
 414 same type of activities;

415 2) All types of service vehicles use the same amount of fuel per unit distance;

416 Performance was assessed from the perspective of the transport authority, using a general scoring
 417 method for each route.

418 This study considered each bus route as a DMU. There are two types of DEA models possible: input-
 419 oriented and output-oriented. This study applied a radial output-oriented approach. The DEA scores
 420 were calculated using the following equations (Lao & Liu, 2009):

$$\begin{aligned}
 & \text{Max } \mu \\
 \text{Subject to } & x_{i0} \geq \sum_{j=1}^n x_{ij} \lambda_j \quad i = 1, 2, \dots, m \\
 & y_{r0} \mu \leq \sum_{j=1}^n y_{rj} \lambda_j \quad r = 1, 2, \dots, s \\
 & \sum_{j=1}^n \lambda_j = 1 \\
 & \lambda_j \geq 0 \quad j = 1, 2, \dots, n
 \end{aligned} \tag{4}$$

421 where, j is the index of decision-making units, i is the index of input, r is the index of output, x_{ij} is the
 422 i^{th} input for DMU $_j$, y_{rj} is the r^{th} output for DMU $_j$, λ_j is the nonnegative scalars (weight) for DMU $_j$, and
 423 μ is the optimal output level.

424 For model 3, there might be both input and output slacks³. After calculating model (3) we have:

$$\begin{aligned}
 S_i^- &= \theta^* x_{i0} - \sum_{j=1}^n \lambda_j x_{ij} & i = 1, 2, 3 \dots, m \\
 S_r^+ &= \sum_{j=1}^n \lambda_j y_{rj} - y_{r0} & r = 1, 2, 3 \dots, s
 \end{aligned} \tag{5}$$

³ Slacks represent potential reduction in the input (for input-oriented DEA) or increase in the output (for output-oriented DEA) variables for the weakly efficient or inefficient DMU units in the data set when compared with the ultimate benchmark targets.

425 where, S_i^- and S_r^+ represents input and output slacks, respectively. Therefore, we use following linear
 426 programming model to determine possible non-zero slacks after solving model 3.

$$\begin{aligned}
 & \max \sum_{i=1}^m S_i^- + \sum_{r=1}^s S_r^+ \\
 & \sum_{j=1}^n \lambda_j x_{ij} + S_i^- = \theta^* x_{i0} && i = 1,2,3 \dots, m \\
 & \sum_{j=1}^n \lambda_j y_{ij} + S_r^+ = \theta^* y_{i0} && r = 1,2,3 \dots, s \quad (6) \\
 & \sum_{j=1}^n \lambda_j = 1 \\
 & \lambda_j \geq 0 && j = 1,2,3 \dots, n
 \end{aligned}$$

427 **4. Results**

428 **4.1. Performance of local bus service**

429 **4.1.1. Performance assessment by users**

430 Table 6 provides a summary of the results. It presents the relative efficiency scores and the ranks of
 431 different bus routes from the perspective of the user and the operator, as well as a rank of each route
 432 from the perspective of the transport authority. The relative efficiency score lies between 0 and 1, where
 433 0 and 1 indicate the respective inefficient and efficient DMU (bus routes) among all the DMUs
 434 considered. Of the ten bus routes used in this study, four (routes 02, 03, 06, 08) were relatively efficient
 435 ($1/\mu=1.0$) from the users' perspective and were ranked as 1.

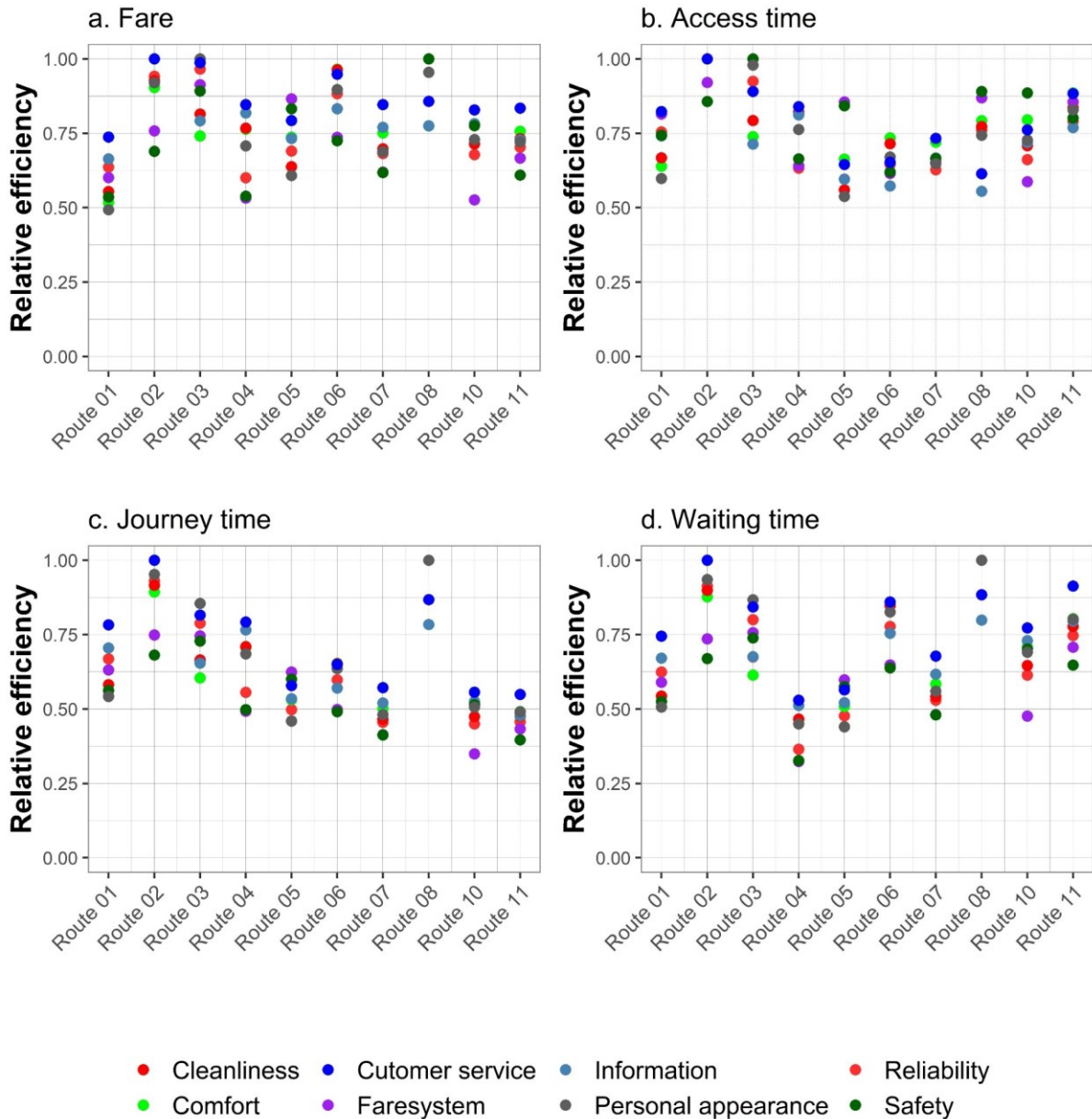
436 Figure 3 provides further insights into the performance of the local bus service according to the user,
 437 and presents the relative efficiency scores of single input and output for the different bus routes. Most
 438 of the routes were found to be efficient in regards fares (as the input). Route 02 was efficient in all four
 439 input areas: fare, access time, journey time, and waiting time. The users provided relatively higher
 440 scores for most of the outputs for this route such as cleanliness, customer service, information,
 441 reliability, comfort, personal appearance. Route 08 was efficient for fare, journey time, and waiting
 442 time, while route 03 yielded a higher efficiency score for fare and access time. The relative efficiency
 443 scores of routes 04 and 07 were the lowest. Although four of the ten routes obtained higher efficiency
 444 scores according to the user, their performance was not equivalent for all input-output pairs.

445 Table 6 DEA scores ($1/\mu$) of routes from different perspectives

Route	Users' perspective	Ranking from users' perspective	Operators' perspective	Ranking from the operators' perspective	Ranking of routes by TA
Route 01	0.858	3 rd	0.956	2 nd	7
Route 02	1	1 st	1	1 st	8

Route 03	1	1 st	0.567	3 rd	4
Route 04	0.847	3 rd	0.8	3 rd	1
Route 05	0.919	2 nd	0.744	3 rd	9
Route 06	1	1 st	1	1 st	2
Route 07	0.847	3 rd	1	1 st	5
Route 08	1	1 st	0.976	2 nd	10
Route 10	0.849	3 rd	1	1 st	3
Route 11	0.931	2 nd	0.879	3 rd	6

446



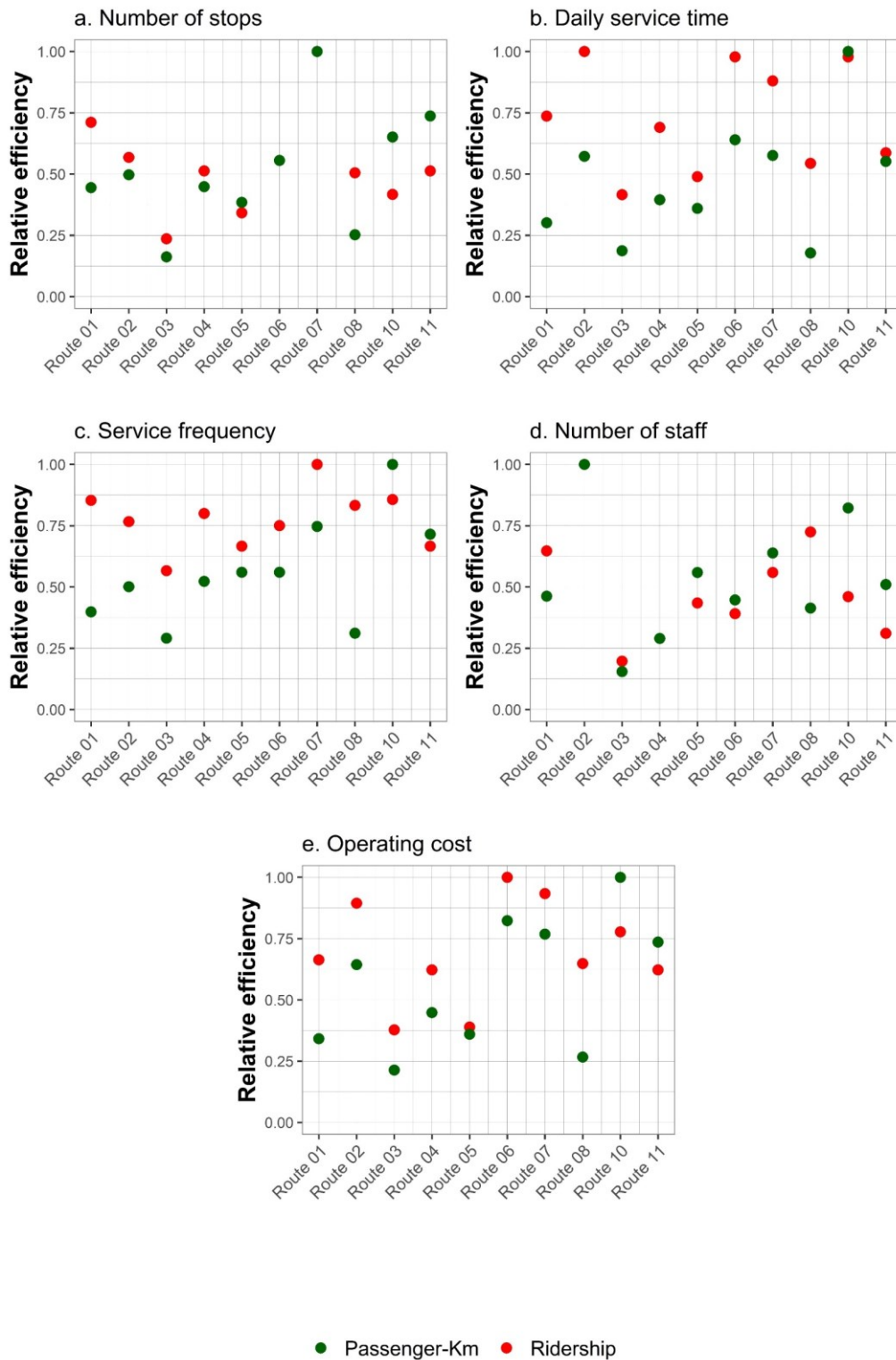
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448

Figure 3 Individual input and output wise efficiency score of the routes (users' perspective)

449 **4.1.2. Performance assessment by operators**

450 Bus routes 02, 06, 07, and 10 were the most efficient (ranked as 1) according to the operator, while
451 route 03 was the least efficient (Table 6). Route 03 is approximately 11 km in length and has a relatively
452 low operating cost. However, the number of staff working during the service time was high compared
453 to the number of daily ridership and the passenger-km of other routes. Due to this, the route was least
454 efficient in all five aspects of inputs (Figure 4). On the other hand, routes 02, 06, 07, and 10 were
455 characterized by a larger number of bus stops, leading to longer service times of buses that provide
456 service to a larger group (i.e., higher ridership and passenger-km). Hence, these routes received higher
457 efficiency scores from the operators.



458

459 Figure 4 Individual input- and output-wise efficiency score of the routes (Operators' perspective)

460 **4.1.3. Performance assessment by PT authority**

461 The PT authority ranked the 0 bus routes based on the application of existing traffic rules and
 462 regulations, and accident rates. Compared to the ranking of the users and operators, the PT authority

463 selected route 04 as the best due to its low accident rate, the regularity of license upgrades, and tax
464 clearance. Route 08 was regarded as the least efficient in terms of traffic rules and regulations.

465 **4.2. Performance evaluation of the DEA model**

466 The performance of the DEA model was assessed by estimating slacks. Slacks were measured from
467 both a user and operator perspective. Bus routes with an efficiency score (μ) 1 without slack was
468 considered efficient, while routes having an efficiency score of 1 with slacks was weakly efficient. The
469 routes with an efficiency score >1 (with or without slack) are inefficient.

470 Table 7 exhibits that route 02, route 03, route 06, and route 08 were estimated to be efficient (slack is
471 zero) from the users' perspective (i.e., there is no weakly efficient DMU). Similarly, route 02, route 06,
472 route 07, and route 10 were efficient from the operators' perspective (Table 8). It was noted that route
473 02 and route 06 were on the efficiency frontier line both from the users' and operators' perspectives.
474 On the other hand, routes that had an efficiency score >1 and slack status "True" were inefficient. These
475 inefficient routes had slacks in both inputs and outputs. Tables 7-8 also show non-zero optimal λ_j which
476 is the benchmark for a specific inefficient DMU under evaluation.

477 The output-oriented model reveals that to improve the efficiency of route 01, route 04, route 05, route
478 07, route 10, and route 11, operators must improve the service quality to increase user satisfaction. For
479 example, operators of route 01 need to improve the service quality for additional slack variables
480 $S_{y1}=0.27$, $S_{y2}=0.39$, $S_{y3}=0.39$, $S_{y5}=0.08$, $S_{y6}=0.15$, $S_{y7}=0.84$ to increase the users' satisfaction relevant
481 to the reliability, comfort, cleanliness, information system, safety and security, and appearance of staff.
482 Service quality of route 02 or route 03 can be considered as a benchmark. Likewise, route 01 needs to
483 increase passenger-km for an additional slack variable $S_{y2}=17440$ from the operators' perspective. On
484 the other hand, for route 11, the operator needs to increase ridership for an additional slack variable
485 $S_{y1}=860$ passengers/day.

Table 7 Efficiency score of the routes with slack (users' perspective)

Route	μ	Slack status	Fare	Access time	Waiting time	Journey time	Reliability S_{y1}	Comfort S_{y2}	Cleanliness S_{y3}	Fare S_{y4}	Info. system S_{y5}	Safety and security S_{y6}	Personal appearance S_{y7}	Customer service S_{y8}	λ_2	λ_3	λ_6	λ_8
Route 01	1.17	TRUE	0.27	0	0.82	1.15	0.27	0.39	0.39	0	0.08	0.15	0.84	0	0.75	0.41	0	0
Route 02	1	FALSE	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
Route 03	1	FALSE	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
Route 04	1.18	TRUE	0	0.08	4.87	3.82	0.60	0.003	0.05	0.47	0.07	0.19	0.22	0	1	0	0	0
Route 05	1.09	TRUE	0	0	2.59	14.47	0.57	0.14	0.49	0	0	0.08	0.78	0.12	0.06	0.49	0	0.42
Route 06	1	FALSE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
Route 07	1.18	TRUE	0	1.27	1.83	25.11	0.37	0	0.23	0.21	0.12	0	0.32	0	0.83	0.15	0	0.02
Route 08	1	FALSE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Route 10	1.05	TRUE	0.01	0	0	21.16	0.58	0	0.28	1.06	0.08	0	0.46	0.16	0.37	0.49	0	0.11
Route 11	1.07	TRUE	0.14	0	0	33.22	0.27	0.02	0.14	0	0.21	0.02	0.17	0	0.77	0.05	0	0.07

487 Table 8 Efficiency scores of routes with slack (operators' perspective)

Route	μ	Slack status	No. of Stops	Daily service time	Service frequency	No. of staffs	Operating cost	Ridership S_{y1}	Pass-km S_{y2}	λ_2	λ_6	λ_7	λ_9
Route 01	1.05	TRUE	0.33	2.83	0	0	182.5	0	17440	0.29	0	0.45	0
Route 02	1	FALSE	0	0	0	0	0	0	0	1	0	0	0
Route 03	1.76	TRUE	9.33	2.67	0	56.67	200	0	15000	0	0	0.67	0

Route 04	1.25	TRUE	4.67	0.33	0	63.33	125	0	7500	0	0	0.83	0
Route 05	1.34	TRUE	3.69	4.71	0	0	322.96	0	0	0.14	0	0.29	0.16
Route 06	1	FALSE	0	0	0	0	0	0	0	0	1	0	0
Route 07	1	FALSE	0	0	0	0	0	0	0	0	0	1	0
Route 08	1.03	TRUE	2.88	7.38	0	0	162.5	0	17625	0.31	0	0.25	0
Route 10	1	FALSE	0	0	0	0	0	0	0	0	0	0	1
Route 11	1.14s	TRUE	0	1.5	0.05	27.5	0	860.87	0	0	0	0.7	0.25

488

5. Discussion

5.1. Stakeholders and their roles in the PT performance assessment

One of the main objectives of this study was to identify the major stakeholders involved in providing local bus services in the CCC area and to understand their specific roles and responsibilities. This was to determine who would be involved in the process of performance assessment. Results revealed that several stakeholders are associated with the operation of local bus service in CCC (Table 1). The study identified that the complex nature of stakeholder involvement, and a general lack of coordination and accountability among the differing players, limited any possibility of improvement in the quality of service provided by the local bus service. Al-Qadery and Muhibbullah (2008) also demonstrated these issues in regards a lack of coordination and integration of the different institutions. This also resulted in a deterioration in service quality. As a result, traffic congestion in many areas increased significantly, a factor which was also observed in the CCC.

5.2. Factors influencing efficiency of different bus routes

Transport planners, local governments, and transport operators in many cities have recognized the PT performance assessment as an effective tool for planning, management, monitoring and evaluation of this form of transport (Litman, 2008). The results seen in this study indicate that even with ongoing data limitations the use of proper guidelines can allow a PT performance assessment to be successfully undertaken in developing countries with the outcomes used to improve general service quality. The DEA modeling indicated that significant differences existed among the various stakeholders. These findings are in line with the original hypotheses. For example, routes 02, 03, 06, and 08 were efficient from the users' perspective, with an average bus stop wait time of less than 10 minutes. The average travel time to reach the final destination (55 to 65 minutes) was also generally less than other routes. A similar association of efficiency score with travel time and waiting time was reported in other studies (Lee, Lee, Kho, & Kim, 2019; Sun, Chen, Zhang, & Shen, 2016). However, input parameters such as fare per kilometer and average time to reach a bus stop from home indicated a lower influence on the efficiency score. Most of the bus stops were located within 400 m or 15 minutes walking distance. However, the estimated slack values (Table 7) indicated that, from the users' point of view, poor reliability, cleanliness, safety and security and lack of comfort on some of the routes were issues which resulted in a lower efficiency score. Several studies have highlighted that improvements in these services can improve the efficiency of the PT service (Eboli & Mazzulla, 2009; Joewono & Kubota, 2007; Lai & Chen, 2011). From an operator's perspective, routes with a smaller number of stops, a low service time, staff, and higher daily ridership and passenger-km were more efficient. Routes 02, 06, 07, and 10 were found to be in this category. In contrast, routes 03 and 08 were more efficient from a user point of view than from an operator point of view. These routes received a high score from users for reliability, comfort, fare system, safety and security, gesture and behavior, access time, waiting time, time spent on buses, and egress time, while the operators provided low scores for ridership and

525 passenger-km. Several studies have also demonstrated that bus route operating costs are associated with
526 operation time, passenger-km, and the number of bus stops (Eboli & Mazzulla, 2007; Lao & Liu, 2009).
527 Routes 02 and 06 were quite efficient according to both the users and operators. In contrast to users and
528 operators, the PT authority mainly considered the existing state of applicable traffic rules and
529 regulations when evaluating the performance of the different bus routes.

530 **5.3. Measures to improve bus route efficiency**

531 The differences in efficiency scores indicated variations in the quality of service, technical efficiency,
532 and the level of traffic law maintenance across the bus routes examined. It is likely that reducing the
533 relative differences in efficiency scores could help improve the performance of the local bus service.
534 The modeling has provided details on these major deficiencies. Poor satisfaction levels felt by users in
535 respect to current service conditions indicated a general need for other stakeholders to listen to their
536 thoughts and incorporate these into any rectification measures. The survey has highlighted the major
537 areas of dissatisfaction where improvements are most needed. Islam, Hasan, Das, and Rahman (2018)
538 have also stated the necessity of incorporating the needs and expectations of service users into PT
539 service improvement procedures. For example, the low satisfaction of users in regards comfort,
540 information systems and customer service conditions have reinforced the need to improve conditions
541 inside the buses, stoppage intervals and ticket counters. Previous PT policies also overlooked the
542 minimum standards that a bus operator should follow in order to provide acceptable services within the
543 CCC area. Efforts to reduce waiting times at bus stops, as well as reductions in the average travel time,
544 would make this mode of transport more acceptable to users. Although cities of many developing
545 countries heavily rely on government subsidies for PT services, it does not necessarily ensure improved
546 management and operation of the service due to a weak association between the subsidies provided and
547 the actual fare structure (Iles, 2005; Verma & Ramanayya, 2019).

548 From the operators' perspective, having higher ridership and passenger-km would not translate into
549 higher efficiency rates. It is important to provide demand-driven route planning to ensure adequate
550 stoppage intervals and dedicated service frequency. The poor performance score from the transport
551 authorities indicated issues with the attitudes of the various operators towards current transport rules
552 and regulation, and the dissatisfaction of the authorities with the current operation of these bus services.

553 **6. Conclusion**

554 This study aimed to develop a comprehensive framework to assess the performance of different bus
555 routes from the perspective of users, operators, and the local authorities. It also aimed to address the
556 data limitation issues which are common in developing countries. The DEA method was employed to
557 calculate the relative efficiency of 10 bus routes in the CCC area of Bangladesh. The modeling results
558 indicated perceived differences in the performance of local bus routes according to the particular
559 viewpoint of the specific stakeholder. Data collection is resource-intensive, however the measures
560 outlined could replicate the framework necessary to allow successful evaluation of the PT, both in the

561 short and the long term. For instance, transport authorities could store information related to the business
562 (such as fuel cost, the wages of staff, daily service timings, service frequency and total ridership) which
563 individual bus owners regularly collect to enable accurate monitoring of business profitability. Such
564 information is essential for useful PT evaluation activities. The transport authority (e.g., BRTA) will be
565 able to utilize this compiled information for use in renewing of transit operator contracts. The most
566 labor-intensive task in implementing the proposed inventory is the collection of user satisfaction
567 information. Alternative methods such as the use of mobile technology would help collect data quickly
568 and also reduce associated costs. In Bangladesh, mobile and smartphones are being used by 83% and
569 43% of the total population, respectively. A recent study revealed that almost 80% of slum dwellers
570 have personal mobile phones, while 30% have internet facilities and access to social networks such as
571 Facebook (Huq, Holvoet, & Huq, 2020). In this case it is unlikely that the collection of user opinions
572 using mobile phone technology will be skewed to certain income groups. Consideration of the opinion
573 of users (customer satisfaction criteria) in the performance assessment could assist in improving the
574 service to the satisfaction of the users. The inclusion of transport operators in the performance
575 evaluation process will also permit the suppliers to be proactive in fulfilling the demands of the
576 customers. This will also likely reduce uncontrolled competition between the many poorly performing
577 transit operators.

578 Although this study has presented a comprehensive approach to assessing the performance of local bus
579 services, a few limitations must be noted. First, the performance of local bus services may vary within
580 a route. This study only estimated an overall efficiency score for each route. Second, users provided
581 only approximate data for some of the input variables. This included such things as the time needed to
582 arrive at the bus stop from home, the time needed to reach the destination from bus stops, and the
583 waiting time for the bus. Third, temporal variations in the performance of different bus routes were not
584 considered. Fourth, a very basic measurement method (CCR) has been used which can be further
585 improved by using an advanced DEA model such as super-efficiency network data envelopment
586 analysis (SE-NDEA). Future research can utilize the proposed framework and also incorporate other
587 urban factors such as land use mix and density of population, along with other environmental factors
588 such as pollution figures and number of recorded accidents. This approach would enable a more detailed
589 inspection and comparison of performance differences between differing bus routes. The inventory can
590 also be used for assessing the performance of inter-city PT services. In those circumstances stakeholder
591 identification and classification may differ, and focus group discussions will be needed to adjust all
592 indicators for inter-city level assessments where necessary. The proposed inventory and framework
593 would be useful in other developing countries where access to detailed and accurate data is limited.
594 Policymakers and urban planners can use the results of this work when sequencing and prioritizing
595 different routes. Use of this process will provide an improved service experience.

596

7. References

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