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1 **Color 3D printing of pulped Yam utilizing a natural pH**
2 **sensitive pigment**

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14 **Abstract**

15 A novel color control strategy for 3D printing was proposed based on a single
16 channel nozzle with a single raw material. The basic principle was to regulate the pH
17 of the extruded line through electrolysis which would result in the color change of pH-
18 sensitive pigments mixed in the printed materials. Using pulped yam as a feedstock and
19 a pH sensitive pigment extracted from purple sweet potato (PPP), the process
20 parameters were optimized using a moisture content of 35% and a PPP content of 5
21 mg/100g. Printing tests showed that the color of the extruded line could be conveniently
22 controlled by adjusting the applied electrode potential from -45 to 45 V with a hue range
23 of 0.192-0.916, which exhibited a gradually changing color scheme from mauve to
24 yellow. The proposed strategy showed promising potential in color 3D printing with the
25 advantages of low cost, convenient control and simple composition.

26 **Keywords**

27 Color 3D printing; Electrolysis; Pulped yam; Pigment

28

29 **1. Introduction**

30 Three-dimensional (3D) printing is a developing technology for generating
31 complex structured objects based on layer-by-layer stacking and deposition [1], [2].
32 The 3D printing of food has shown great market potential in the food industry for its
33 advantages in flexible customization according to personal preferences [3], [4].

34 As a novel food manufacturing technology, many meaningful works have been
35 reported to explore the 3D printing of food. Liu, et al. established a milk protein based
36 food simulant for 3D printing and investigated the effect of whey protein isolate
37 concentration on the printing performance of the food simulant [5]. Yang, et al.
38 successfully developed a new 3D printing food construct based on a lemon juice gel
39 system [6]. Yet, to the best of our knowledge, few studies in the field of food 3D printing
40 focused on providing a range of colors for 3D printed products.

41 To achieve the printing of colored 3D models, continuing efforts have been made
42 to develop novel processes, devices and materials [7], [8]. Indeed, some commercial
43 systems have attained dimensional resolution in the 16 μm range with increasing color
44 saturation in recent years [9]. Despite these successes, the colorization materials and
45 processes are similar having a basis in the general subtractive color theory [10]. This
46 restriction implies that the color change of the materials relies on highly precise fusion
47 or combination of three (Red, Green, Blue) or four (Cyan, Magenta, Yellow and Black)
48 primary colors. However, the precise quantitative control of multiple primary materials
49 would require costly complex mechanical and software design. In addition, the primary

50 color strategy would also depend on the properties of the primary materials which
51 significantly limits the scope and application of the developed systems. Multi-material
52 or multi-color printing is also a color printing method. Multi-material machines are often
53 equipped with dual extruders or more, which require more complex structure and compact
54 layout [11], [12], [13], [14], [15]. To solve these problems, a more reasonable color control
55 strategy is needed.

56 Anthocyanins are a group of non-toxic and edible pigments, which change their
57 colors according to pH values [16]. They can present a relatively wide color range due
58 to the molecule structure transformation induced by different pH environments [17].
59 Anthocyanins exhibit advantages having a wide range of low cost material sources
60 primarily as they present in the vacuoles of flowers, fruits, vascular plants and many
61 other plant tissues [18]. In recent years, anthocyanins have been widely used as
62 colorants in candies, beverages, jams, and dairy products [19], [20], [21], [22].
63 Therefore, anthocyanins may provide a convenient solution to control the color of
64 printed materials by controlled adjustment of pH.

65 In terms of pH, the electrolysis method is an effective technique to adjust the pH
66 of materials containing water [23], [24], [25], [26]. Wu, et al. reported that electrolysis
67 can change the pH of a hydrogel resulting in a color reaction of phenolphthalein in the
68 hydrogel [27]. Zhai, et al. reported the use of electrochemical writing to print patterns
69 on polysaccharide films based on the color change of anthocyanins [28]. Therefore, the
70 combination of pH sensitive pigments and electrolysis technique can provide a novel

71 method for the control of colored 3D printing.

72 In this study, a single-step color control strategy for 3D printing was proposed by
73 combining pH sensitive pigments with electrolysis technique. Pulped yam (PY) was
74 used as feedstock and anthocyanin extracted from purple sweet potato (*Ipomoea batatas*
75 L.) was used as the pH sensitive pigment. A special electrolysis nozzle was designed to
76 control the pH value of the extruded yam in real-time which consequently provided a
77 range of desired colors. The proposed strategy showed promising potential in color 3D
78 printing with the advantages of low cost, convenient control and simple structure.

79 **2. Materials and methods**

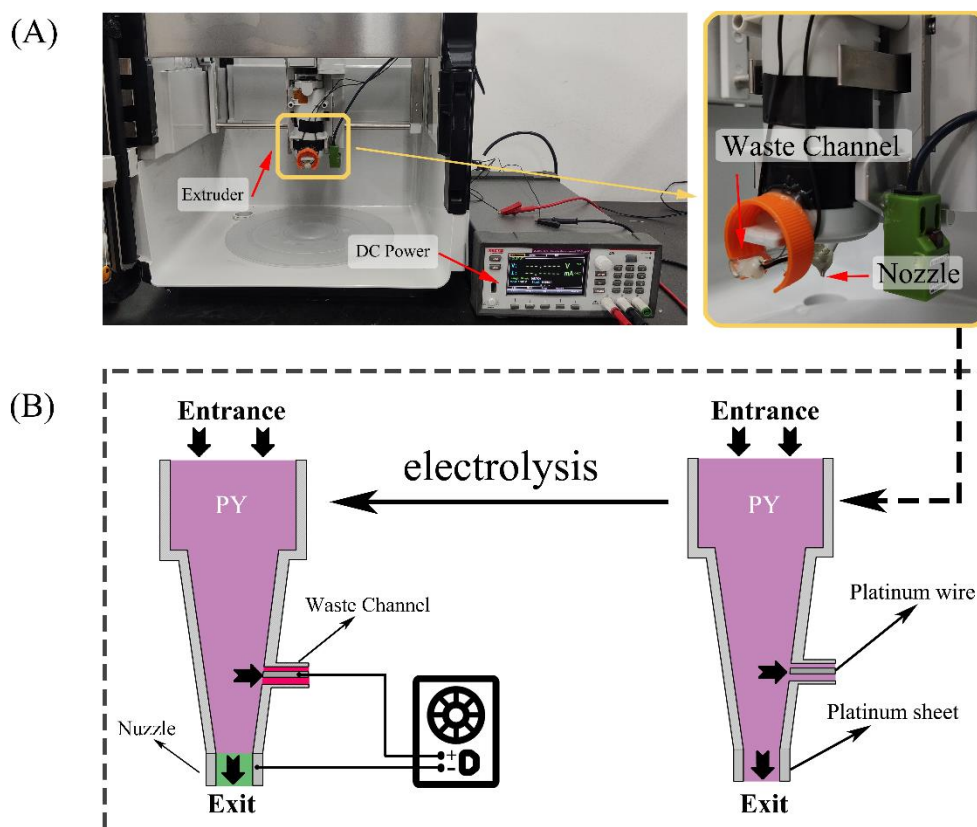
80 **2.1 Materials**

81 Purple sweet potato powder, mulberry powder, carrot powder, black wolfberry
82 powder, roselle powder were obtained from Lvshuai Food Co., Ltd.(Taizhou, China).
83 Iron Stick Yam was obtained from local supermarket. Sodium sulfate was purchased
84 from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). All chemicals were of
85 analytical grade and used without any purification.

86 **2.2 Device and process parameters for 3D printing**

87 A 3D food printer from Foodini (Natural Machines, Spain) was modified in
88 hardware and software to achieve the color printing (Fig. 1A). The extruder is
89 configured with a nozzle and a waste channel that unnecessary color-changing materials
90 could be discharged. Platinum wire and nozzle configurations were fabricated as
91 electrodes to realize electrolysis during material extrusion (Fig. 1B). Electrode potential

92 was applied using a programmable DC power supply (2280S-60-3, Keithley). The color
 93 printing process was controlled with a self-developed plugin based on Octoprint system
 94 (<https://octoprint.org/>). The printing was performed with the following parameters
 95 according to pre-experiment: layer height of 1.4 mm, nozzle diameter of 1.5 mm, line
 96 width of 1.5 mm, extrusion rate of 3.5 mm³/s.



97
 98 Fig. 1. Images of the color 3D printing device (A) and diagram of the nozzle configuration
 99 (B)

100 2.3 Preparation and characterization of pigments

101 The pigments utilized for screening were extracted from the powder of purple
 102 potato, carrot, mulberry, black wolfberry, roselle [29]. Briefly, 100 g of powder was
 103 added into 1 L of 40% (v/v) ethanol, stirred continuously at 60 °C for 12 h in dark

104 conditions. Then the extracted solution was filtered, concentrated by evaporation on a
105 rotary evaporator at 50 °C also under dark conditions. The concentrated extract
106 solutions were stored at -40 °C for 24 h and then freeze dried.

107 The color images of purple potato pigment (PPP), carrot pigment (CP), black
108 wolfberry pigment (BWP), mulberry pigment (MP) and roselle pigment (RP) were
109 recorded with pH value varying from 3 to 9 with gradient of 0.2. The H value from the
110 HSV model of the images were extracted to quantitatively represent the color range of
111 the pigments. The spectra from 450 to 760 nm of the pigments were also obtained using
112 an UV–Vis spectrophotometer (Agilent CPROY 100, Varian Corporation, USA).

113 **2.4 Preparation of pulped yam (PY) for printing**

114 Yam was peeled, washed and steamed for 45 minutes, then mixed with water,
115 pigments and electrolytes, stirred for 5 min. Water contents of 25%, 30%, 35%, 40%,
116 45%, 50%, 55%, 60%, 65%, 70% were screened to optimize the water addition.
117 Pigments contents of 0.5-6 mg/100g (based on the weight of PY, each gradient of 0.5)
118 added to the PY were compared to obtain the appropriate pigments contents. 0.5% of
119 sodium sulfate was mixed in PY as an electrolyte to enhance the electrical conductivity.

120 **2.5 Printability of PY**

121 **2.5.1 Rheological measurement**

122 Rheological characterization was carried out by a rotary rheometer (Discovery
123 HR-1, TA Instruments, USA) using a parallel plate attachment (20 mm diameter and
124 1000 µm gap) according to the method of Liu with slight modification [30]. The PY

125 sample to be measured was loaded into the plate and equilibrated for 3 min before
126 testing. Then, flow sweep tests were conducted at shear rate range of $0.01\text{--}1\text{ s}^{-1}$ and
127 dynamic oscillation frequency analysis was performed at an angular frequency range
128 of 1 to 100 rad/s in the linear viscoelastic region. The storage modulus (G'), the loss
129 modulus (G'') and $\tan \delta$ (G''/G') were recorded. All tests were performed at 25°C .

130 **2.5.2 Stability measurement**

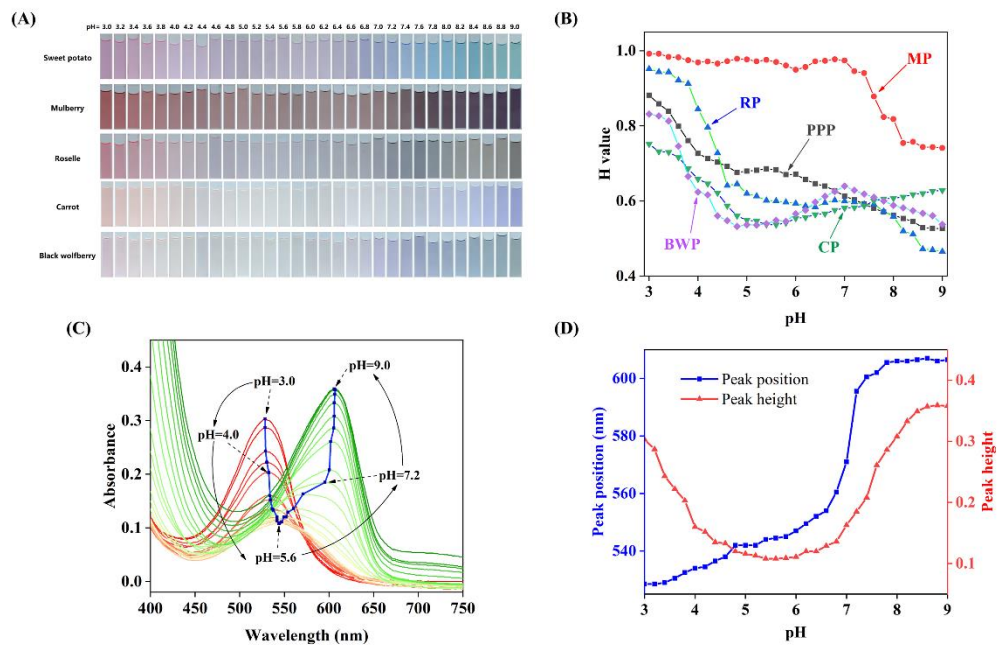
131 Stability measurements were executed based on the methods of Zhu [31]. A hollow
132 square column (bottom dimension of $20 \times 20\text{ mm}$, wall thickness of 3 mm , shown in
133 Fig. S1) was designed to evaluate the printability of samples. Successive layers of
134 printing continued up to the height until the square column structure collapsed
135 indicating the yield point of the construct. Stability measurements were made three
136 times for each sample.

137 **3. Results and discussion**

138 **3.1 Screening of pigments**

139 Five types of natural pigments extracted from purple potato (PPP), carrot (CP),
140 black wolfberry (BWP), mulberry (MP) and roselle (RP) were screened to obtain a wide
141 color range. Fig. 2A shows the images of the PPP, CP, BWP, MP, RP solutions at
142 different pH values (pH 3.0-9.0) with concentration of 10 mg/L , and the corresponding
143 H value is plotted in Fig. 2B. As shown in Fig. 2, PPP and RP exhibited a monotonically
144 decreasing trend with a wider range of H value, indicating a wider color range,
145 compared with other pigments. However, the RP presented a sharp drop in the H value
146 when the pH increased from 4.0 to 4.8 and quite low saturation after the pH was higher
147 than 7.2, which would require high precision of pH control and cause poor tinctorial

148 power. For PPP, its color changed from red to green with pH increasing from 3.0 to 9.0,
 149 corresponding to a continuously and progressively decreased H value. The results
 150 agreed well with the reports by Choi, et al., which indicated that PPP had promising
 151 potential as a pH sensitive pigment [32]. Therefore, the PPP was selected as the optimal
 152 pH sensitive pigment for further development.



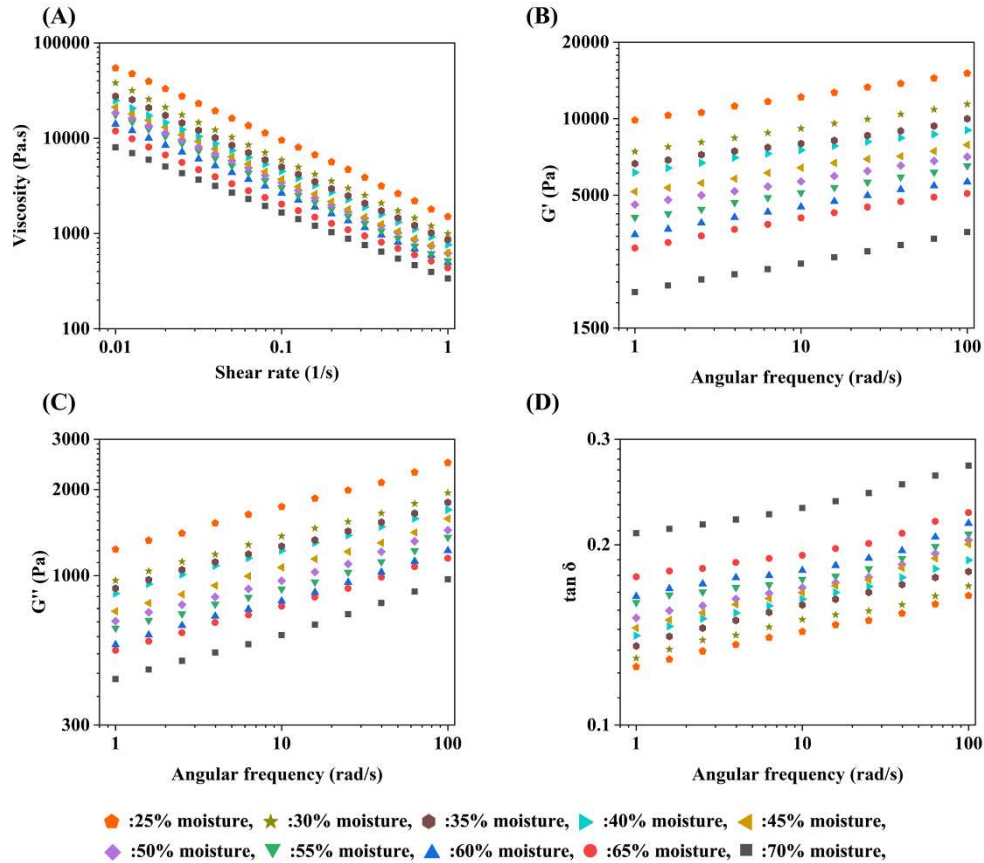
153
 154 Fig. 2. Color change (A) and corresponding H value (B) of pigments from different plants at
 155 pH 3-9; The UV-Vis spectra of purple potato pigment (C) and the corresponding peak changes(D).

156 The UV-Vis spectra of PPP from pH 3.0 to 9.0 are shown in Fig. 2C.
 157 Corresponding with the color changes in PPP solutions, the absorption peak shifted
 158 from 528 to 600 nm when the pH increased from 3.0 to 9.0. It was found that the peak
 159 wavelength kept increasing, while the peak height decreased first and then increased
 160 with pH (Fig. 2D), meaning that the red color of the PPP solution gradually faded,
 161 turned to green and gradually deepened. The changing of the spectra agreed well with

162 the H value, which could be attributed to the structure change of anthocyanin molecules
163 [33]. The anthocyanins mainly presented in the form of flavylum cation within pH 2-
164 3, appearing red. When pH increased to 4.0–6.0, the structures gradually transformed
165 into quinones, transforming to purple. As the pH continued to increase (pH 6-8), a
166 pseudo-base structure was generated and the solution finally turned blue.

167 **3.2 Rheological behaviors of PY**

168 To acquire better printability, the viscosity curve of PY with different moisture
169 content was compared in Fig. 3A. The viscosity of PY decreased significantly with the
170 increase of shear rate, indicating that PY was a typical pseudoplastic fluid exhibiting
171 shear thinning behavior [34], [35], [36]. This behavior could be explained by the
172 gradual orientation of the soluble starch molecules and the breaking of the hydrogen
173 bonds between the amylose molecules [37]. Furthermore, the increase in moisture
174 content led to an overall decrease in viscosity which would facilitate the pass of PY
175 through the nozzle. However, high moisture content would affect the shape retention of
176 the material.



177

178 Fig. 3. The effects of different moisture content of PY on shear rate and viscosity (A), storage

179

modulus (G') (B), loss modulus (G'') (C), $\tan \delta$ (D).

180 Fig. 3B and Fig. 3C showed the storage modulus (G') and loss modulus (G'') of

181 PY with different moisture content. Both G' and G'' increased as the oscillation

182 frequency increased, and G' was higher than G'' at the same moisture content,

183 indicating solid-like properties. Therefore, the PY would have sufficient strength to be

184 stably deposited on the next layer after extrusion through the nozzle [38]. In addition,

185 the increase of moisture induced a simultaneous decline of G' and G'' , but resulted in a

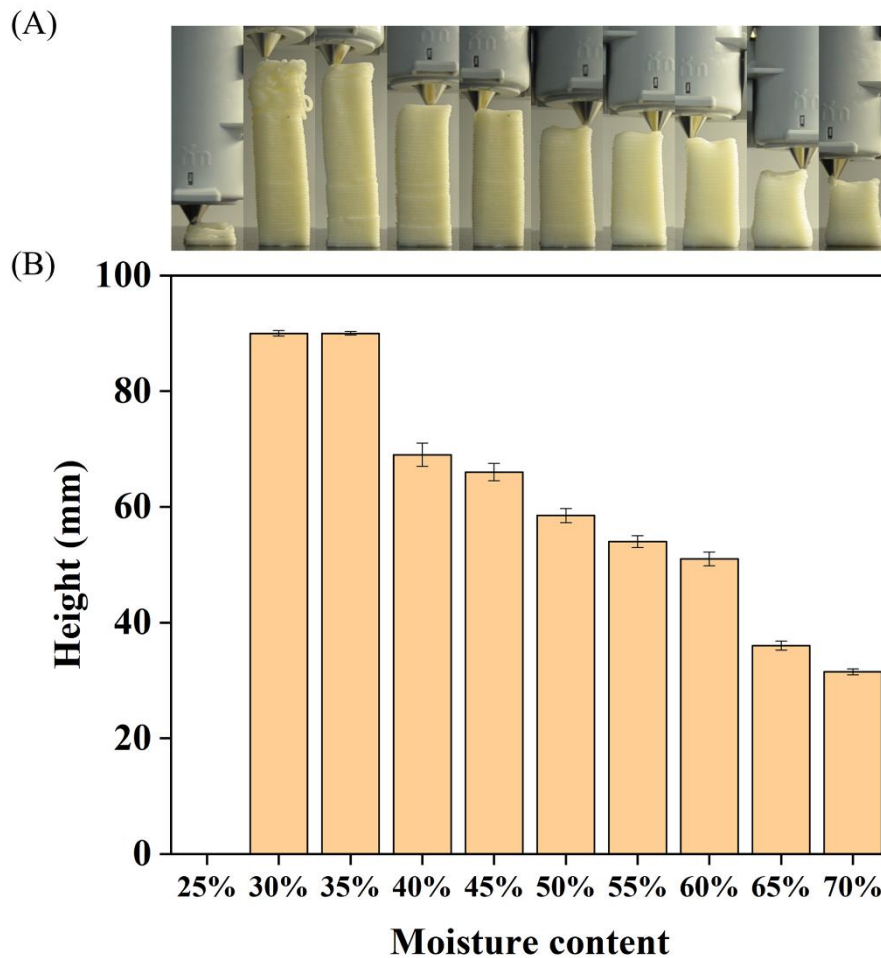
186 significant increase of the dynamic mechanical loss tangent ($\tan \delta$) calculated as G''/G'

187 (Fig. 3D). The increase of $\tan \delta$ indicated the decreased ability of energy absorption and

188 lower viscosity which was consistent with the measured viscosity results. This could be

189 explained from the perspective of starch gelatinization [39]. As the water content
190 increased, more water molecules entered the yam starch granules to expand the starch
191 granules resulting in the break of hydrogen bonds between the starch chains.

192 To evaluate the printing performance of PY with different moisture content, the
193 maximum printing height of a hollow square column was measured and presented in
194 Fig. 4. When the moisture content was below 25%, the high viscosity made it very
195 difficult for material extrusion and the extruded line was too dry for the extruded layers
196 to adhere to each other. Therefore, the maximum height of PY samples with moisture
197 content lower than 25% was recorded as 0 directly. With the increase of moisture
198 content from 30% to 70%, the height of the square column gradually decreased. In
199 particular, the wall around the square column softened significantly when the moisture
200 content exceeded 60%, thus no structure could be formed. Unlike the case of high
201 moisture content, the collapse with moisture content between 40% to 60% was mainly
202 caused by the force imbalance of the square column, the structure deviated in one
203 direction and eventually collapsed. As seen from Fig. 4, the sample with moisture
204 content of 35% showed the best printability with a maximum height of 90 mm and
205 exhibited a smoother surface texture. Therefore, 35% was selected as the optimal
206 moisture content.



207

208 Fig. 4. 3D printing of hollow square column using PY with different moisture content (A)

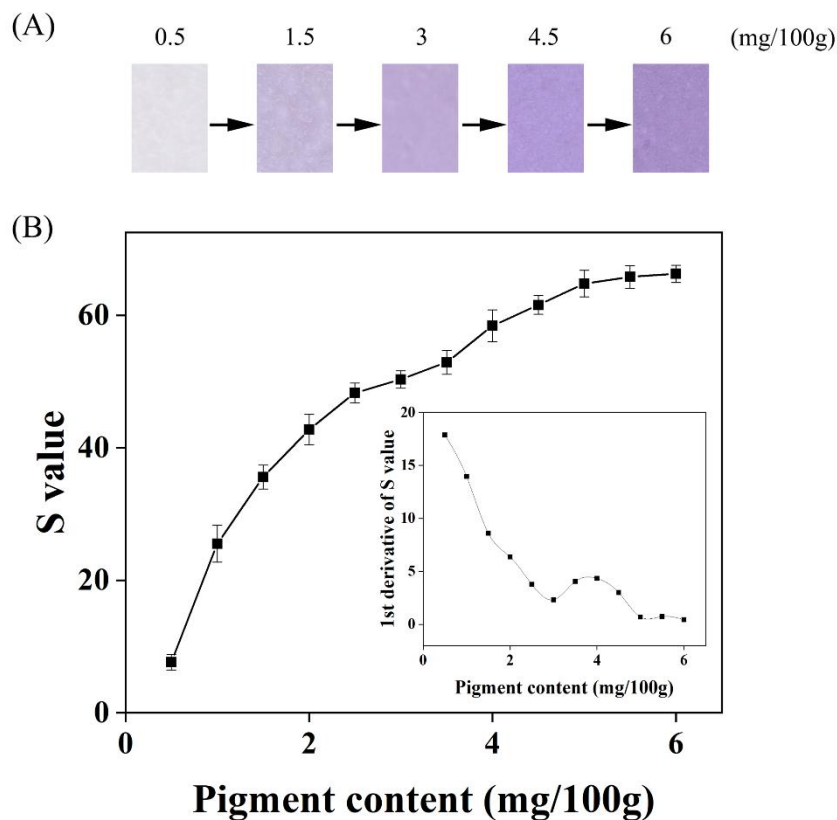
209 and the maximum height (B) that can be reached before collapse of the column.

210 3.3 Optimization of the pigment content

211 Since the pigment content would significantly affect the color saturation of the
 212 printing material, the dependence of saturation (S value) of the PPP-PY mixture (PPY)
 213 on PPP content was shown in Fig. 5. It was found the S value of the mixture increased
 214 from 7.6 to 66.2 with the PPP content increasing from 0.5 to 6 mg/100g. It may be noted
 215 that the slope of the curve gradually levels off. When the PPP content exceeded 5
 216 mg/100 g, the S curve achieves an asymptotic maximum which indicated that further

217 increase of PPP content did not significantly improve the color saturation of the mixture.

218 Therefore, the appropriate content of PPP was selected as 5 mg/100g.



219

220 Fig. 5. Color change of PPY with different amounts of PPP (A), the S value of PPY under

221 different PPP addition amount (B) and the curve of the 1st derivative of the S value curve

222 (illustration).

223 3.4 Color control of the extruded line

224 3.4.1 Depending of PPY color on pH

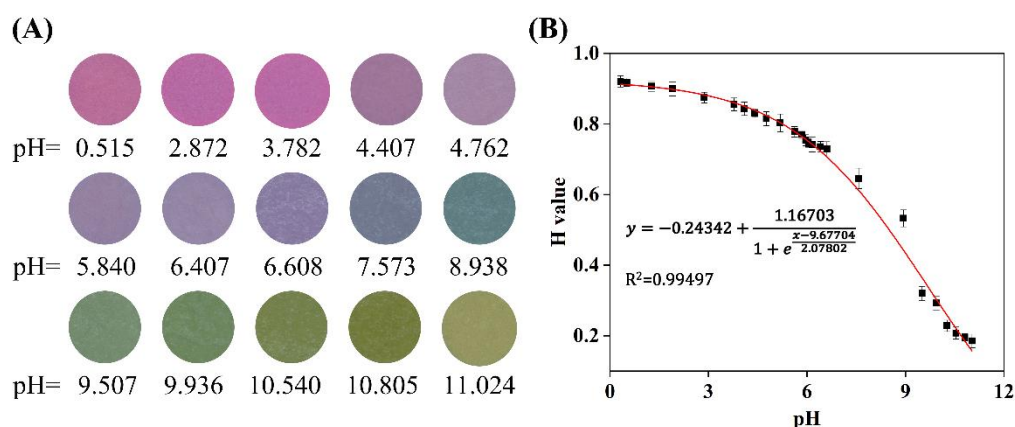
225 The basic idea was to produce H^+ or OH^- through electrolysis, which would result

226 in pH change of PY and consequently induce color change of PPY. Therefore, PPY with

227 different pH was prepared to extract their corresponding color information (H value,

228 part of images were shown in Fig. 6A). The relationship between the pH and H value

229 of PPY was shown in Fig. 6B, indicating that the H value of PPY gradually decreased
 230 with the increase of pH. With the increase of pH, the color of PPY changed from rose
 231 red (pH 0.32), pink (pH 6.7), green (pH 8.2) to yellow (pH 11.0). It should be mentioned
 232 that the change of H slowed down when too strong acidity or alkalinity formed
 233 indicating that the anthocyanins had basically been converted into flavonoid cations or
 234 chalcone [40]. The Boltzmann method was used to fit the relationship between pH and
 235 H value with a correlation coefficient (R^2) of 0.995, the fitting curve is as follows [41],
 236 $H \text{ value} = -0.24342 + 1.16703/(1 + \exp((pH - 9.67704)/2.07802))$ (1)

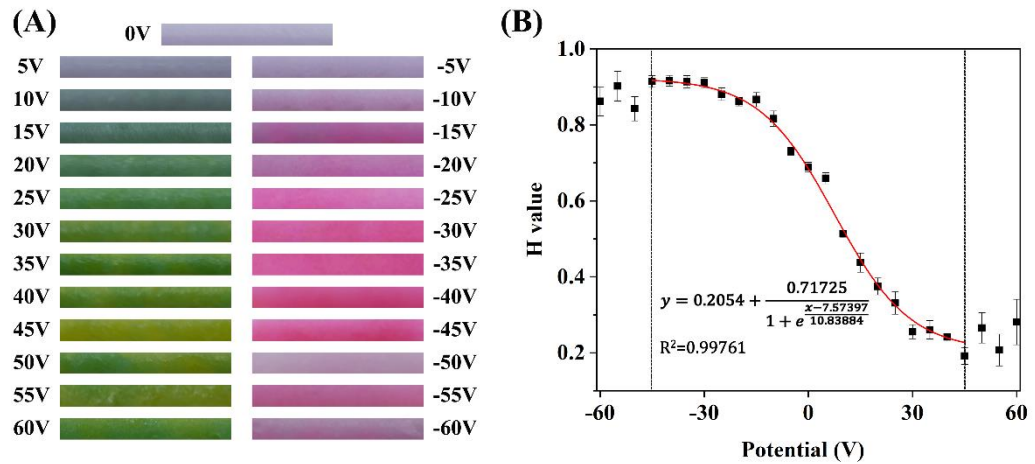


237

238 Fig. 6. Images of PPY at different pH (A) and the corresponding H value curve (B).

239 3.4.2 Depending of the extruded line color on the electrode potential

240 The colors of PPY samples extruded at a range of electrolytic potentials (-60 to 60
 241 V with step of 5 V) were collected and are presented in Fig. 7A. When positive potential
 242 was applied, the color of extruded lines gradually turned from light purple to green,
 243 then darkened to yellow as the potential increased. When the applied potential was set
 244 to negative, the color changed from light purple to magenta, then to red.



245

246 Fig. 7. Images of the extruded line at electrolytic potentials between -60 to 60 V (A) and the
 247 corresponding H value curve (B)

248 The H value of the extruded lines under different potential are shown in Fig. 7B,
 249 identifying the range from 0.192 to 0.916. It was found that the H value presented an
 250 S-shaped or sigmoidal trend, with slow change from -45 to -15 V and 30 to 45 V. The
 251 H value exhibited a marked reduction when increasing in the range -15 to 30 V, showing
 252 a correspondence with the color changes shown in Fig. 7A. Boltzmann method was
 253 used to fit the relationship between applied potential and H value (shown in Fig. 7B)
 254 with R^2 of 0.998 and the fitting curve is as following,

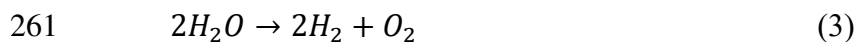
$$255 \quad H \text{ value} = 0.2054 + 0.71725 / (1 + \exp((E - 7.57397) / 10.83884)) \quad (2)$$

$$256 \quad (-45 \text{ v} < E < 45 \text{ v} \quad R^2 = 0.998)$$

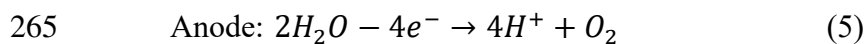
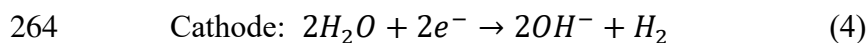
257 3.4.3 Theoretical analysis of the electrolysis process

258 In principle, the variation in color achieved in the extruded lines was produced due
 259 to the formation of different acid-base environments in the hydrolysis reaction [42].

260 The following formula describes the associated chemical reaction [43]:



262 The half reactions occurring on the cathode and anode, respectively, can be written
263 as



266 When a negative potential was applied, the nozzle acted as anode and the waste
267 channel served as cathode, hydrogen ions thus accumulated based on Eq. (5), thereby
268 realizing a strong acid environment and red color. On the contrary, gray-green, green or
269 even yellow lines were produced when the nozzle worked as cathode due to the
270 formation of an alkaline environment. However, if the potential was too high,
271 appreciable electrolysis of water would occur generating a large amount of hydrogen
272 or oxygen forming a significant and fluctuating gas [44], [45], [46]. This explains to
273 variation in color at high potential. When the applied potential was between -40 to 30
274 V, the final pH of the extruded material depended on the applied potential level based
275 on the following electrochemical theory [47].

276 Briefly, ionic substances diffused and migrated due to the existence of a
277 concentration and potential gradient, which generates a current in the electrolyte [48].

278 The material balance of ionic category i may be described as in Eq. (6) [49]:

279 $(\partial c_i)/\partial t + \nabla \cdot N_i = R_i$ (6)

280 Where c_i is the concentration of the ionic species i (mol/m³), R_i is the
281 production term (mol/(m³·s)) and N_i is the molar flux of the species i .

282 The current density J on the electrode surface can be expressed as Eq. (7) by Tafel
283 laws [44], [50].

$$284 \quad J = 10^{((\eta - a)/b)} \quad (7)$$

285 Where both a and b are constants. Considering these reactions and the current
286 density in the electrolytic cell, the system can be expressed as a potential-based model
287 [51]. The η (overpotentials) can be expressed as

$$288 \quad \eta = E + E_{eq} \quad (8)$$

289 Where E is the electrode potential, E_{eq} is the equilibrium potential. In the
290 experiment, the E was much larger than E_{eq} , so it is reasonable to approximate η as
291 E .

292 As a rate quantity, current (I) was expressed by the following equation:

$$293 \quad I = Q/t = J \cdot A \quad (9)$$

294 Where Q is the amount of charge flowing in time t , equal to the number of
295 hydrogen ions and hydroxide radicals produced in the electrolysis process according to
296 the law of conservation of charge, A is the available surface area for the reaction.

297 During the color 3D printing process, the nozzle diameter remained unchanged,
298 resulting in a constant value of the electrolysis cross-section area (A). In addition, the
299 same extrusion rate (v) was always maintained, and each extruded line segment had the
300 same electrolysis time (t).

301 According to the Eq. (9), $Q = J \cdot A \cdot t$, substituting Eq. (7) and (8), the total charge
302 produced by the electrolysis process in time t can be given as:

303 $Q = 10^{((E - a)/b)} A \cdot t$ (10)

304 The concentration of hydrogen ions or hydroxide ions produced per unit time in
305 the extrusion process can be obtained as in Eq. (11):

306 $C_{H^+ \text{ or } OH^-} = Q/(v \cdot t) = 10^{((E - a)/b)} A/v$ (11)

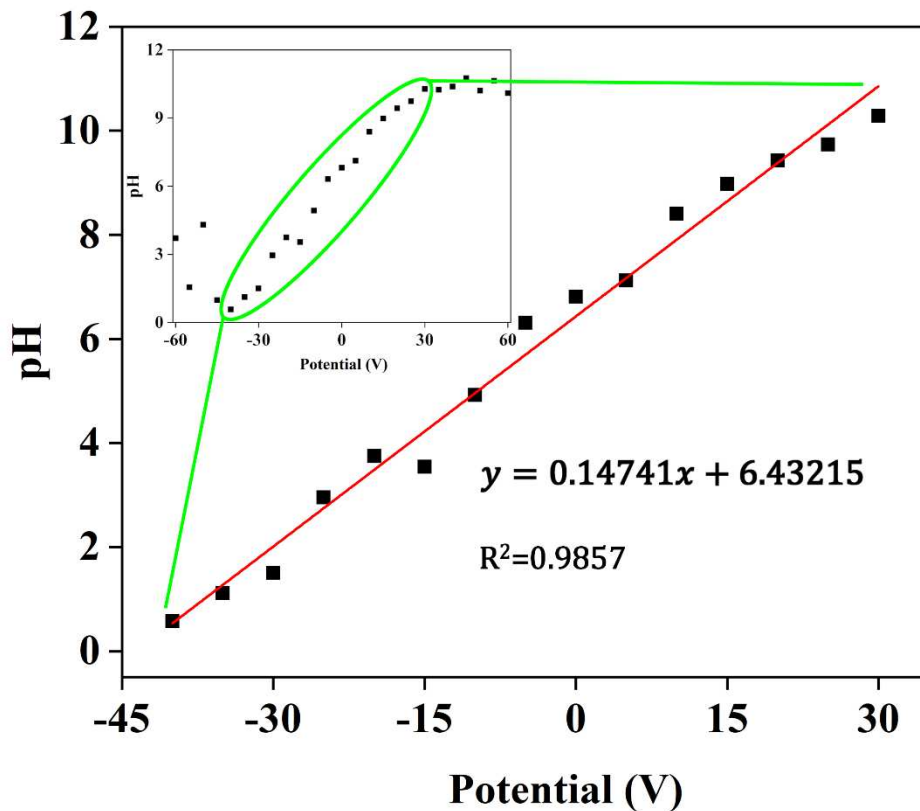
307 Therefore, the relationship between the applied potential and the pH of the
308 extrusion line can be obtained as:

309 $pH = -\log C_{H^+} = (a - E)/b - \log A + \log v$ ($E < 0$) (12)

310 $pH = 14 - (-\log C_{OH^-}) = 14 - (a - E)/b + \log A - \log v$ ($E > 0$) (13)

311 Eq. (12) and Eq. (13) indicate that the pH of the extruded line is linearly related to
312 the applied potential.

313 To verify this linear relationship, the pH values of the lines extruded at different
314 potential were acquired according to Eq. (1), and plotted in Fig. 8 with potential as xaxis.
315 It was found that the pH of the extruded line showed a good linear relationship with the
316 applied potential from -40 to 30 V with R^2 of 0.986, which agreed well with Eq. (12)
317 and Eq. (13). The above results and analysis suggested that the color of PPY could be
318 conveniently controlled by adjusting the electrode potential.

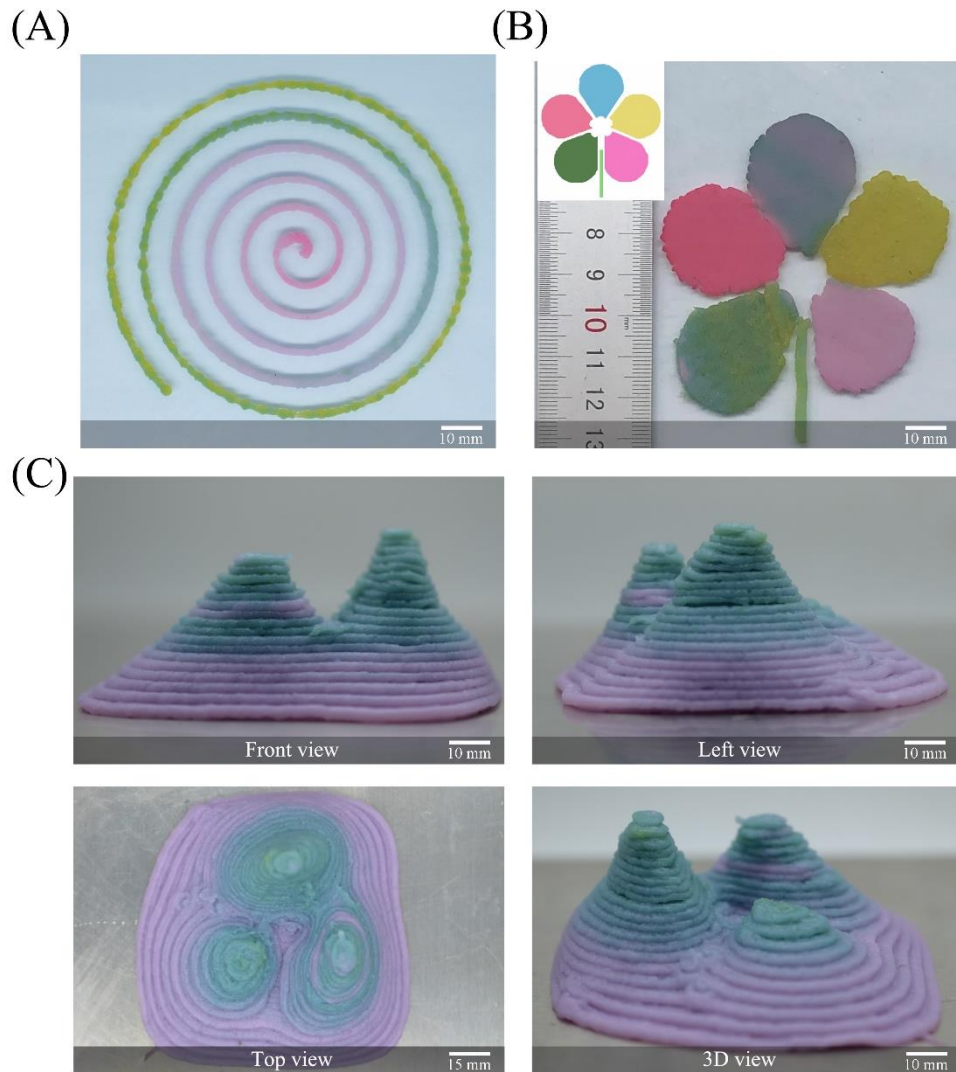


319

320 Fig. 8. The relationship between the electrolytic potential and the pH of the extruded line.

321 3.5 Color 3D printing of PY

322 In general, the color information (H value) in a printing file is extracted according
 323 to the present position of the nozzle, converted into the desired potential through the
 324 quantitative relationship between the electrode potential and the line color (Eq. (2)),
 325 then the programmable DC power supply is set to the desired potential to realize the
 326 pH and color.



327

328 Fig. 9. A selection of color 3D printing works: the Archimedes spiral with gradient colors

329 (A), the model and renderings of petals in six different colors (B), and the mountain-shaped sand

330 table whose color changed with height (C).

331 Patterns of different dimensions were printed to demonstrate 3D color printing of

332 food. As shown in Fig. 9A, an Archimedes spiral was printed to exhibit the continuous

333 color-changing capacity (Supplementary Video 1), which shows graduated color of rose,

334 magenta, purple, green, and yellow. The applied potential was set from -35 to 35 V

335 according to the distance of the nozzle to the spiral center. A petal model with six colors

336 (purple, yellow, blue gray, red, dark green, light green) and the printed result is shown
337 in Fig. 9B. A mountain-shaped sand table as shown in Fig. 9C was also printed. To
338 express the height of the mountain, the range of electrode potential (0-25 V) was set
339 according to the height of the model, purple was used for the base, green for the top and
340 graduated colors in between with an increasing elevation. The printed models
341 confirmed that the proposed method and device were capable of color 3D printing.
342

343 **4. Conclusion**

344 This study provided a novel approach for color 3D printing of food products,
345 which explored the color-changing characteristics of natural pH sensitive pigments
346 using an electrolysis method. Pulped Yam was used as a feedstock and pH sensitive
347 pigments were extracted from purple potato which presented a wide hue range
348 compared with other pigments. Process parameters were optimized as moisture content
349 of 35% and PPP content of 5 mg/100g. Both theoretical derivation and experimental
350 tests indicated that the pH of the extruded line was linearly related with the applied
351 electrode potential, which could provide a hue range of 0.192-0.916 by conveniently
352 adjusting the potential from -45 to 45 V. Color models of different dimensions were
353 printed to demonstrate the practicality of the proposed method which exhibited
354 acceptable results. Results suggest that the proposed method shows a promising
355 potential in color 3D printing with the advantages of low cost, convenient control and
356 simple structure. In future work, other pigments and more sources of feedstocks should
357 be explored to provide enhanced color control capacity.

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