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1 Mortality Following Post-Operative Periprosthetic Fracture of the Femur after Total Hip
2 Replacement: Meta-analysis of 35 cohort studies including 4841 patients.

3

4 Abstract:

5 **Introduction:** Post-operative periprosthetic fracture of the femur (POPFF) is associated with
6 increased mortality. There is a lack of general estimates of mortality following POPFF and a
7 need for higher-level evidence in this area. The aim of this study was to estimate mortality
8 following POPFF mortality using data reported in cohort studies from the last decade.

9

10 **Materials and Methods:** Literature search was conducted using Medline and EMBASE.

11 Primary outcome was all-cause mortality during time as an inpatient, within 30-days, within
12 90-days and within one year of POPFF. Mortality (95% CI [confidence interval]) was
13 estimated using metaregression.

14

15 **Results:** 4841 patients from 35 cohort studies were included. Study quality was generally
16 low. Weighted mean follow-up was 2.3 years and the most common POPFF was Vancouver
17 B. Pooled mortality as an inpatient was 2.4% (95% CI 1.6% to 3.4%). Pooled mortality
18 within 30 days was 3.3% (95% CI 2.0% to 5.0%). Pooled mortality within 90 days was 4.8%
19 (95% CI 3.6% to 6.1%). Pooled mortality within one year was 13.4% (95% CI 11.9% to
20 14.8%). Mortality following POPFF was like that of Neck of femur fracture (NOF) up to 30
21 days, but better at one year.

22

23 **Conclusion:** Mortality is like that experienced by patients following NOF up to 30 days, but
24 better at one year, which may represent the lower underlying risk of death in the POPFF

25 cohort. These results may form the basis for evaluation of services treating POPFF in the
26 future.

27

28 Word count: 238

29 Key words: Hip arthroplasty, Periprosthetic fracture, Hip, Femur, Mortality

30 **Introduction**

31 Improvements in survival of total hip replacements (THR) due to a reduction in common
32 failure modes has led to a shift in focus onto previously less common failure modes, such as
33 post-operative periprosthetic femoral fracture (POPF). The cumulative incidence of POPF
34 is between 2.1% for cemented stems and 7.7% for cementless stems at 20 years (1-3). A vast
35 majority of patients require major surgery which is associated with large volume blood loss
36 and an increased risk of post-operative mortality (4). Once complete the patient must then
37 endure a substantial risk of reoperation which is reported to be as high as 23% (5). The
38 overall mortality following POPF approaches that of hip fracture, which affects a similar
39 cohort of frail patients (6-8). Estimated mean life expectancy for patients following POPF is
40 just 71 months (9).

41 Estimates of mortality following POPF may serve as a useful metric for treatment success
42 and every effort should be made to improve patient survival. Current estimates of mortality
43 are generally limited to analyses of single center cohorts or larger registry-based studies.

44 Mortality from single center cohort studies may not represent mortality in other health
45 systems with different patient populations, since mortality may change with increasing
46 patient age and comorbidity (4, 10-12), treatment method (13, 14), pre-operative delays (6,
47 11) and fracture type (6). Registry based estimates of mortality may also be limited to
48 capturing cases of POPF which are revised (15), which may not accurately represent all
49 patients with POPF, who are managed with fixation or without surgery at all. Meta-analysis
50 of recent studies to combine cohort data into a larger international multi-center group, may be
51 a useful way of understanding mortality in the context of modern surgical practice and setting
52 benchmarks on which services can be evaluated.

53 The aim of this study is to estimate mortality rate following POPF after THR from cohort
54 studies published in the last decade.

55 **Methods**

56 *Data source:*

57 A meta-analysis of death rates following POPFF after total hip replacement reported in peer-
58 reviewed cohort studies from the last decade was performed.

59 *Systematic review and meta-analysis of cohort studies:*

60 The study methodology was peer-reviewed and registered on the PROSPERO (id:
61 CRD42020170819). The literature search was conducted using the online databases Medline
62 and EMBASE. Articles were identified using a combination of keyword searches describing
63 periprosthetic fracture of the femur, hip replacement and mortality. Results were combined
64 with searches for Mesh terms (Appendix 1).

65 Citation searching was performed for all full text manuscripts to identify manuscripts which
66 were not found in initial searches. Inclusion criteria for cohort studies included: Articles
67 written in English language, available in full text, published between January 2010 and
68 January 2020, human studies reporting mortality of cohorts which contain only patients with
69 POPFF following primary THR.

70 We excluded conference abstracts, manuscripts which reported on the same cohort twice and
71 systematic reviews to prevent duplication of observation. Abstracts and the full texts were
72 screened by two authors (ON and AA) independently and disagreements at each stage were
73 settled by consensus. Risk of bias/quality of studies was assessed using criteria developed by
74 Wylde et al. independently by two authors (ON and AA) (16). Where available extracted data
75 included: Title, authors, year of publication, number in cohort, average age of cohort, average
76 co-morbidity score of cohort, frequency of Vancouver grades in cohort(17), frequency of
77 treatment methods in cohort, average follow-up, follow-up range, number lost to follow up,
78 number died, survival/ mortality of cohort (with confidence intervals), number of
79 reoperations and time of reoperations. Where available, survival curves published as figures

80 were digitized manually to extract data points (18). Data was extracted by three authors (ON,
81 AA and JL).

82

83 *Statistical analysis:*

84 The primary exposure was the diagnosis of POPFF, and the primary outcome measure was
85 all-cause mortality during a specified follow-up time. The time periods during which deaths
86 were reported included: Inpatient deaths, all deaths within 30 days of POPFF, all deaths
87 within 90 days of POPFF and all deaths within one year of POPFF. Study and patient level
88 statistics were estimated using mean values weighted by number of cases. Mortality was
89 estimated by dividing the total number of patients during a given time (excluding loss to
90 follow-up) by the number of patients. Where reported, number of patients who died was
91 derived from the Kaplan–Meier estimates of patient survival. Unadjusted estimates of
92 mortality for each time with 95% confidence intervals was estimated using a fixed effects
93 model (inverse-variance method). The included studies were assessed for heterogeneity based
94 on cohort characteristics and inclusion criteria. Robust estimates of mortality rates were
95 calculated using meta-regression with adjustment for mean age of patients, patient sex,
96 treatment method and fracture type. Adjusted estimates were calculated with associated 95%
97 confidence intervals. To understand the mortality rates in context of normal orthopedic
98 practice, meta-analysis results were compared against the mean values for survival following
99 neck of femur fracture (NOF) as reported in a recent systematic review of international
100 fragility fracture registries (19). All data analysis was completed using R (version 4.0.0,
101 Vienna Austria, 2019).

102 **Results**

103 Our search resulted in 727 unique references from database and citation searches. After title
104 and abstract screening, 639 records were excluded, and 88 manuscripts underwent full text
105 review (Figure 1). After full-text review 35 papers were included in the meta-analysis.

106 *Data quality assessment:*

107 Despite a low number of patients being lost to follow-up, the quality of cohort studies was
108 generally low with most studies reporting only from a single center with half of studies
109 explicitly reporting consecutive patients (Table 1).

Table 1 Description of study quality in accordance with criteria set out by Wylde *et al.*, 2017.

Author, date (reference)	n	Representativeness (multicenter adequate)	Percentage follow up	Minimization of potential confounding (multivariable analysis adequate)	Inclusion of consecutive patients (yes - adequate)
<i>Amenabar and Vera, 2015 (20)</i>	76	inadequate	adequate	inadequate	inadequate
<i>Biggi et al., 2019 (21)</i>	235	inadequate	adequate	inadequate	adequate
<i>Boylan et al., 2018 (10)</i>	1655	adequate	adequate	adequate	inadequate
<i>Cassidy et al., 2018 (22)</i>	9	adequate	adequate	inadequate	inadequate
<i>Chakrabarti et al., 2019 (23)</i>	32	inadequate	adequate	inadequate	adequate
<i>Chatziagorou et al., 2019 (24)</i>	632	adequate	adequate	inadequate	adequate
<i>Cohen et al., 2018 (13)</i>	71	inadequate	adequate	inadequate	inadequate
<i>Colman et al., 2014 (25)</i>	97	inadequate	adequate	inadequate	adequate
<i>Dargan et al., 2014 (26)</i>	27	inadequate	adequate	inadequate	adequate
<i>Ehlinger and Bonnomet, 2014 (27)</i>	234	adequate	adequate	inadequate	adequate
<i>El-Bakoury et al., 2017 (28)</i>	27	inadequate	adequate	adequate	inadequate
<i>Finlayson et al., 2019 (29)</i>	189	inadequate	adequate	adequate	adequate
<i>Font-Vizcarra et al., 2010 (30)</i>	21	inadequate	adequate	inadequate	adequate
<i>Fuchtmeier et al., 2015 (31)</i>	121	inadequate	adequate	inadequate	adequate
<i>Gavanier et al., 2017 (32)</i>	45	adequate	adequate	inadequate	inadequate
<i>Gitajn et al., 2017 (4)</i>	203	adequate	adequate	adequate	adequate
<i>Griffiths et al., 2013 (11)</i>	60	inadequate	adequate	inadequate	inadequate
<i>Jennison and Yarlagadda, 2018 (33)</i>	29	inadequate	adequate	inadequate	adequate
<i>Jennison and Yarlagadda, 2020 (34)</i>	173	inadequate	adequate	inadequate	adequate
<i>Johnson-Lynn et al., 2016 (35)</i>	82	adequate	adequate	inadequate	adequate
<i>Langenhan et al., 2012 (36)</i>	52	inadequate	adequate	adequate	adequate
<i>Mardian et al., 2015 (9)</i>	67	inadequate	adequate	adequate	inadequate
<i>Moloney et al., 2014 (37)</i>	58	adequate	adequate	inadequate	adequate
<i>Munro et al., 2014 (38)</i>	55	inadequate	adequate	inadequate	adequate
<i>Pavone et al., 2019 (39)</i>	38	inadequate	adequate	inadequate	inadequate
<i>Perez-Prieto et al., 2015 (40)</i>	21	inadequate	adequate	inadequate	inadequate
<i>Phillips et al., 2013 (41)</i>	79	inadequate	adequate	adequate	adequate
<i>Shields et al., 2014 (42)</i>	70	inadequate	adequate	inadequate	inadequate
<i>Spina and Scalvi, 2018 (43)</i>	39	inadequate	adequate	inadequate	adequate
<i>Spina et al., 2014 (44)</i>	61	inadequate	adequate	inadequate	inadequate
<i>Suarez-Huerta et al., 2015 (45)</i>	17	inadequate	adequate	inadequate	inadequate
<i>Thaler et al., 2019 (46)</i>	40	inadequate	adequate	inadequate	adequate
<i>Trieb et al., 2016 (47)</i>	34	inadequate	adequate	inadequate	adequate
<i>van Laarhoven et al., 2020 (48)</i>	86	adequate	inadequate	inadequate	adequate
<i>Zheng et al., 2020 (49)</i>	106	inadequate	adequate	adequate	adequate

111 *Included studies:*

112 35 cohorts included a total of 4841 patients who were diagnosed with POPFF following
113 THR. Weighted mean follow-up was 2.3 years and ranged from 0 to 23 years after POPFF.
114 The most common POPFF Vancouver class was type B (69.4%) and B2 (30.5%) sub
115 classification, specifically. Most patients were treated with ORIF (Table 2). Reoperation was
116 reported in 21 studies (2102 patients) and the weighted mean reoperation rate was 13.7% of
117 POPFF cases. Few studies reported detailed outcomes for patients who were conservatively
118 managed. Zheng reported a high mortality in a group of 11 patients treated non-operatively
119 following POPFF (49).

120

121 Ten studies (1293 patients) reported in-patient mortality, 19 studies (2928 patients) reported
122 30-day mortality, 17 studies (1374 patients) reported 90-day mortality and 24 studies (4100
123 patients) reported one-year mortality. Patient level characteristics derived from reported data
124 are displayed in Table 2. The studies were heterogeneous and included a range of ages,
125 fracture types and treatment methods.

126 **Table 2** Descriptive statistics for studies included in systematic review and meta-analysis.
 127 *Note:* ASA indicates American Society of Anesthesiologists, ORIF is open reduction and
 128 internal fixation.
 129

<i>Variable</i>	<i>Statistic</i>	All cohorts	Inpatient mortality	30-day mortality	90-day mortality	One-year mortality
<i>Number of cohorts</i>	<i>n</i>	35	10	19	17	24
<i>Publication year</i>	<i>range</i>	4841	1293	2928	1374	4100
<i>Patients</i>	<i>n</i>	2010-2020	2012-2020	2010-2020	2012-2020	2012-2020
<i>Age in years</i>	<i>weighted mean</i>	77.8	76.3	78	77	77.7
<i>Female patients</i>	<i>%</i>	69.4	75.8	67.8	63.3	70.5
<i>ASA</i>	<i>weighted mean</i>	2.7	2.8	2.7	2.5	2.8
<i>Vancouver A</i>	<i>%</i>	2.5	2.4	3.5	4.7	2.6
<i>Vancouver B1</i>	<i>%</i>	23.0	8.5	31	32.7	23.9
<i>Vancouver B2</i>	<i>%</i>	30.5	12.6	40.6	43	25.4
<i>Vancouver B3</i>	<i>%</i>	15.9	22.5	9	11.2	16.7
<i>Vancouver C</i>	<i>%</i>	26.9	53.5	12.8	7.7	31.3
<i>Treatment:</i>						
<i>Revision</i>	<i>%</i>	43.5	32.5	40.8	52.6	37.7
<i>ORIF</i>	<i>%</i>	55.7	65	54.2	47	61.4
<i>Follow up (years)</i>	<i>weighted mean</i>	2.3	4.2	1.7	2.5	2.5
<i>Follow up range in years</i>		0.0-23.0	0-23.0	0-23	0-13.9	0-13.9

130

131 *In-patient mortality:*

132 10 Studies reported inpatient mortality. These studies included 1293 patients with a weighted
133 mean age of 76.3 years, of which 75.8% were female and included a large proportion of
134 Vancouver C POPFF (Figure 2). For ten studies with complete data (1293 patients), the
135 adjusted mortality rate (95% CI) was 2.4% (95% CI 1.6% to 3.4%).

136

137 *30-day mortality:*

138 19 Studies reporting 30-day mortality included 2928 patients with a weighted mean age of
139 78.0 years, 67.8 % were female and most cases were Vancouver B2 POPFF (40.6%) (Figure
140 3). For 19 studies with complete data (2928 patients), the adjusted mortality rate (95% CI)
141 was 3.3% (95% CI 2.0% to 5.0%).

142 *90-day mortality:*

143 17 studies reporting 90-day mortality included 1374 patients with a weighted mean age of
144 77.0 years, 63.3 % were female and most cases were Vancouver B2 POPFF (43.0%) (Figure
145 4). For 17 studies with complete data (1374 patients), the adjusted mortality rate (95% CI)
146 was 4.8% (95% CI 3.6% to 6.1%).

147

148 *One-year mortality:*

149 24 studies reporting one-year mortality had a weighted mean age of 77.7 years, 70.5% were
150 female and most cases were Vancouver C POPFF (31.3%) (Figure 5). For 22 studies with
151 complete data (2375 patients), the adjusted mortality rate (95% CI) was 13.4% (95% CI
152 11.9% to 14.8%).

153

154 Data extracted from a recent review of national hip fracture registries demonstrated a mean
155 percentage inpatient mortality of 4.6%, 30-day mortality of 6.5% and one year mortality of
156 24.0% (19). In comparison to international data on mortality following NOF reported in
157 registries (19), in-patient mortality was similar but mortality following POPFF appeared to be
158 better up to one year following fracture (Figure 6).

159 **Discussion**

160 This study gives the first estimate of mortality following POPFF around a primary THR from
161 international cohort studies from the last decade. This study estimated an adjusted mortality
162 rate of 3.3% within 30 days of POPFF, which increased to 13% at one year. Overall, the
163 mortality rate following POPFF was better than that for fractured NOF as reported by
164 international hip fracture registries (19). The findings of this study may be used in the
165 formation of benchmarks representing the likely estimates of mortality following POPFF.

166 The demographics of the included studies are like those reported in large scale registry
167 analyses (50-52). Many comparisons have been drawn between patients with POPFF and
168 those with hip fracture, with authors making frequent comparisons between the two groups.
169 Patients with POPFF have a co-morbidity profile which is reported to be significantly better
170 than that of patients with fractured neck of femur (6, 8, 10). After adjustment for the
171 comparative difference in comorbidities, there was no significant difference in the mortality
172 risk between the two groups at 30 days and at one year (10). Differences in mortality rate
173 may also be accounted for by longer delays until surgery in comparison to patients with
174 native hip fractures, which is likely to be due to the added requirement of subspecialist
175 surgeons and specialist equipment (10). In general, POPFF surgery takes longer than native
176 hip fracture surgery and following surgery there is greater major and minor complications,
177 rate of return to theatre and requirement for blood transfusion (8). These factors may
178 contribute to significant risk of mortality after POPFF and surgeons should seek to streamline
179 these approaches to reduce the effects of delays to surgery, intraoperative blood loss and
180 return to the operating room on patient mortality.

181 Early mortality following POPFF represents a combined effect of injury and treatment. As
182 one might expect, deaths closely following POPFF probably have greater likelihood of a
183 causal relationship with POPFF and its treatment, whereas later deaths are more likely to

184 represent the comorbidity profile of patients with POPFF. In a large Swedish registry study
185 the mortality risk increased dramatically at 14 days after POPFF and returned to a level
186 slightly higher than that of a comparable patient without fracture (53). In this study, the
187 greatest estimated increase in mortality also occurred in the immediate post-operative period
188 with a 30-day mortality rate of 3.3%. This might suggest that the physiological hit of the
189 POPFF injury and surgery are key to patient survival in the immediate peri-operative period.
190 Patients with greater co-morbidities might be expected to tolerate this insult less well.
191 Perhaps unsurprisingly, worse ASA, Deyo comorbidity score and Charlson Comorbidity
192 Score are all associated with increased mortality risk following treatment for POPFF (4, 10).
193 Likewise, age greater than 85 years old at the time of POPFF is associated with a nine fold
194 increase in mortality risk at one year and dependent functional status are associated with a
195 fivefold increased mortality risk at one year (8). These results follow a similar trend to those
196 from a large German cohort where increased mortality was associated with patients over the
197 age of 85 and a history of cardiac disease (12). Larger well controlled prospective studies are
198 required to investigate the effect of surgical delay and risk factors on patient outcomes
199 following POPFF.

200 90-day mortality from the analyzed studies was 4.7%, which may in part be attributable to the
201 added physiological load of re-operations occurring because of failed treatment. In this study
202 approximately 1 in 7 patients in studies reporting reoperation underwent further surgery,
203 which is similar to rates reported for all both hip and knee implant POPFF (54). Although re-
204 operation only affects a proportion of patients, it poses a significant risk to a frail population
205 and should be avoided. Common causes of reoperation are non-union, infection and stem
206 loosening (24). Re-operations may be avoided through increased use of revision techniques
207 (54) and modern locking plates (24). However, high quality prospective studies in this area
208 are limited and prevent the formation of evidence-based guidelines which may reduce

209 reoperation rates. This emphasizes the need for further investigation with focused quality
210 improvement and studies to demonstrate the most effective surgical POPFF treatment
211 methods.

212 In the UK data on POPFF admissions will be collected prospectively alongside data already
213 collected for NOF (55). This approach is likely to improve the outcomes of patients with
214 POPFF and the results of this study may be used as a benchmark from which to assess the
215 performance of POPFF management in the future.

216

217 *Limitations:*

218 The studies included were of poor quality and were heterogeneous. Given that most papers
219 published in the orthopedic literature are retrospective in nature, this was unavoidable, and
220 the results represent a pooling of published evidence for patients with a range of POPFF
221 classification and treatment methods. This limitation increases the risk of reporting and
222 publication bias and the true mortality rate for patients with POPFF may differ. Further study
223 using robust hospital derived datasets may improve the estimates reported in this paper.

224 The studies included in this analysis include cohorts from many different countries and
225 represent an interesting global perspective on mortality following POPFF. However,
226 aggregation of international results is likely to be subject to confounding factors due to local
227 and national practices and racial and or ethnic differences between papers. The estimates for
228 mortality in this paper were adjusted according to features of the reported cohorts but
229 adjustment of all relevant features such as comorbidity scores was not possible due to varied
230 reporting practices. Specifically, we were not able to identify comorbidities which are
231 associated with an increased risk of death. The cause of death is not consistently reported in
232 studies describing POPFF cohorts, which limits further investigation. Future studies may

233 benefit from unified reporting practices using simple measures such as pre-operative ASA
234 grading. Given this limitation, mortality estimates should be treated with caution and may
235 serve as a useful guide to mortality following POPFF.

236 Direct contrast with NOF mortality is an interesting comparison but due to the aggregated
237 nature of the data, this analysis does not serve as a precise comparison and direct
238 comparisons should be made in suitably designed studies, which allow for measurement and
239 adjustment of co-morbidity risk factors. The estimates in this study are likely to reflect the
240 mortality risk factors of the included patient population and should be quoted with reference
241 to these. Application of these estimates in patient discussions should be caveated with
242 reference to the patient co-morbidities in each case.

243 Some cohorts included all admissions with POPFF, which may include patients which do not
244 require surgery where mortality is likely to be low, and patients who are not fit for surgery,
245 where the associated mortality may be high (49). This irregularity in reporting is likely to
246 reduce the accuracy of the estimated mortality in this study.

247

248 *Conclusion:*

249 This study combines the reported mortality for patients with POPFF from studies published
250 in the last decade. Mortality was three percent at 30 days, **five percent at 90 days** and 13% at
251 one year. Mortality following POPFF is significant and may in part be due to patient age,
252 comorbidities, and a large rate of reoperation. These results may be used to create more
253 accurate estimates of the mortality after POPFF and help guide treatment decisions. We
254 recommend further research into predictive factors of early mortality after POPFF, as well as
255 looking into treatment options which offer a lower risk of reoperation.

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