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1 **Long-term performance prediction of solid oxide electrolysis cell (SOEC) for CO<sub>2</sub>/H<sub>2</sub>O**  
2 **co-electrolysis considering structural degradation through modelling and simulation**

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7 **Abstract**

8 Solid oxide electrolysis cells (SOECs) are promising devices for CO<sub>2</sub> and H<sub>2</sub>O co-electrolysis into  
9 syngas. Degradation occurring within SOECs is still of great concern for their commercial  
10 deployment. Therefore, it is important to understand the degradation mechanisms and optimize  
11 SOEC long-term operation. A 1-dimensional (1D) pseudo-dynamic model was developed for  
12 SOEC operating under CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis and implemented in Aspen Plus<sup>®</sup> using Fortran<sup>®</sup>  
13 routines. The structural degradation of a typical SOEC material set: Ni-YSZ cathode, YSZ  
14 electrolyte and LSM-YSZ anode were also accounted for. The model was dynamically validated  
15 for different current densities (0.5, 0.75 and 1.0 A/cm<sup>2</sup>). The effects of operating conditions and  
16 structural degradation on SOEC long-term electrochemical performance and syngas production are  
17 assessed. Performance prediction indicated that increasing the current density and operating  
18 temperature initially enhance SOEC performance. However, the operating voltage increases at  
19 faster rates at higher current densities and temperatures causing the syngas production efficiency  
20 to decrease due to higher power utilisation. Long-term SOEC degradation is mostly due to LSM-  
21 YSZ anode delamination with a degradation rate of 3.96 %/1000hrs at 750°C, 1 bar and 1.5 A/cm<sup>2</sup>.  
22 Applying an anti-oxidant layer on the interconnect surface and adjusting La/Sr ratio in the A-site  
23 of LSM considerably reduce the degradation rate by roughly 78% at the anode.

## 1 **Keywords**

- 2 CO<sub>2</sub> Utilisation; Solid Oxide Electrolysis Cell (SOEC); Syngas; Degradation; Modelling and
- 3 Simulation; Process analysis

## **Abbreviations**

CGO: Ceria gadolinium oxide

FTS: Fischer-Tropsch synthesis

LSCF: Lanthanum strontium cobalt iron oxide

LSM: Lanthanum strontium manganite

LZO: Lanthanum zirconate oxide

RWGS: Reverse water gas shift

SEM: Scanning electron microscope

SOEC: Solid oxide electrolysis cell

SOFC: Solid oxide fuel cell

TPB: Triple phase boundary

YSZ: Ytria-stabilised zirconia

## **Nomenclature**

$A_{\text{cell}}$ : Cell active area (cm<sup>2</sup>)

$A_{\text{YSZ}}$ : YSZ surface area (m<sup>2</sup>/g)

C: Temperature-independent constant

COS: Chromium oxide scale

$d_i$ : Thickness of component  $i$  (cm)

$D_{\text{LSM}}$ : LSM surface diffusion (cm<sup>2</sup>/hr)

$E_{a,i}$ : Component  $i$  activation energy (J/mol)

$E_i$ : Activation energy for component  $i$  growth (J/mol)

$E_{\text{Sin}}$ : Activation energy for sintering (J/mol)

F: Faraday constant (96,485 J/V.mol)

$\text{HHV}_{\text{in}}$  and  $\text{HHV}_{\text{out}}$ : Inlet and outlet higher heating value (W)

I: Current (A)

$j_0$ : Exchange current density (A/cm<sup>2</sup>)

$j$ : Current density (A/cm<sup>2</sup>)

$K_i$ : Adsorption constant

$K_i^0$ : Standard adsorption constant

$K_{g,i}$ : Weight gain rate for component  $i$  growth (g/cm<sup>4</sup>.hr)

$K_{p,i}$ : Parabolic constant for component  $i$  (cm<sup>2</sup>/hr)

$L_{\text{TPB}}$ : TPB length or TPB density (μm<sup>2</sup>)

$n$ : Number of electrons transferred per electrolysis

$N_{\text{cell}}$ : Number of cells in SOEC stack

$\dot{N}_{in}$ : Cathode inlet flowrate (mol/sec)

$P_{\text{cell}}$ : Power utilisation per cell (W)

$P_{\text{std}}$  and  $P$ : Standard and operating pressure (bar)

$Q_i$ : Enthalpy of adsorption of component  $i$  (J/mol)

$r_{Ni}$  and  $r_{YSZ}$ : Ni and YSZ radius (m)

$R$ : Universal gas constant (8.314 J/mol.K)

$RC$ : Reactant conversion

$R_i$ : Ohmic resistance

$R_{Y^{3+}}$ : Ionic radius (Å)

$T$ : Operating temperature (K)

$t$ : Operating time (hr)

$U$ : Operating voltage (V)

$U_{\text{EQ}}$ : Equilibrium voltage (V)

$U_P$ : Polarisation overpotential (V)

$U_S$ : Ohmic overpotential (V)

$V_{Ni}^c$ : Ni volume fraction at percolation

$V_i$ : Volume fraction of component  $i$

$X_i$ : Weight fraction of component  $i$

$Y_i$ : Mole fraction of component  $i$

$Z$ : Average particle coordination number

$Z_{YSZ,YSZ}$ : Coordination number between YSZ particles

### Greek letters

$\alpha$ : Charge transfer coefficient

$\Delta G_{f,i}$ : Gibbs free energy of formation for component  $i$  (J/mol)

$\Delta H$ : Enthalpy change of reaction (J/mol)

$\Delta S$ : Entropy change of reaction (J/mol)

$\Delta U$ : Degradation rate (%/1000hrs)

$\eta_{\text{syngas}}$ : Syngas production efficiency (%)

$\theta_i$ : Surface coverage fraction of component  $i$

$\lambda$ : Electrolyte fitting parameter

$\rho_i$ : Density of component  $i$  (g/cm<sup>3</sup>)

$\sigma_i^0$ : Pre-exponential factor for component  $i$  (S/cm)

$\sigma_i$ : Conductivity of component  $i$  (S/cm)

$\tau$ : Time constant (hr)

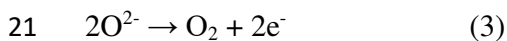
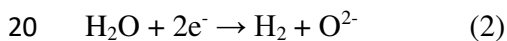
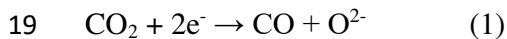
$\Phi$ : Porosity

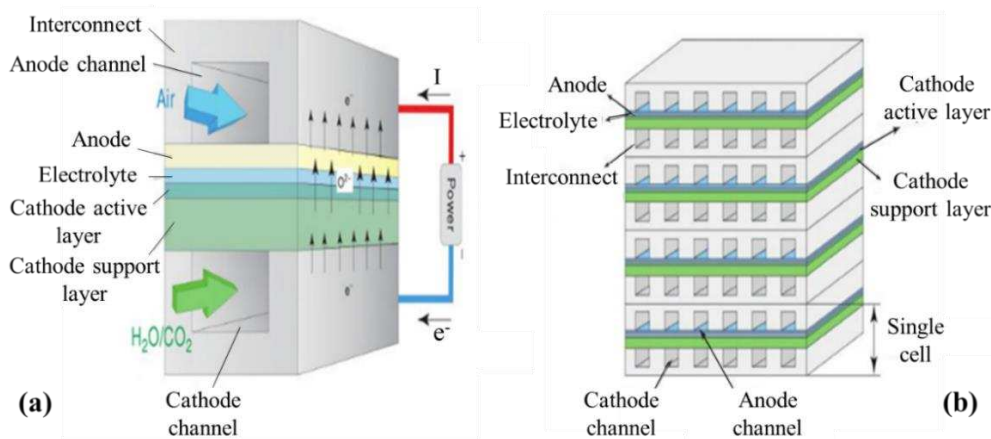
# 1. Introduction

## 1.1. Background

The abundant use of fossil fuels within the current global energy systems represents about 70% of CO<sub>2</sub> emissions which significantly contribute to global warming and climate change [1]. The Intergovernmental Panel on Climate Change (IPCC) recommends reducing CO<sub>2</sub> emissions to net-zero by 2050 [2]. One potential solution could be the deployment of synthetic fuels and chemicals. High-temperature SOECs are promising devices that use renewable electricity to convert H<sub>2</sub>O to H<sub>2</sub> or CO<sub>2</sub> to CO through electrolysis. The unique feature of SOEC over other electrolyser devices is its ability to co-electrolyse CO<sub>2</sub> and H<sub>2</sub>O to produce syngas (CO+H<sub>2</sub>) which can be further converted into a wide range of chemicals and fuels via the FTS process [3,4].

By geometry, SOECs can be tubular or planar. By scale, single cells (Figure 1a) are assembled to make a stack as shown in Figure 1b (in the case of planar SOEC). Then, several stacks can be arranged to form a SOEC module [5]. Each single cell mostly consists of an anode or oxygen electrode, a cathode or fuel electrode and a dense electrolyte. Using an external power source, CO<sub>2</sub> and H<sub>2</sub>O are co-reduced with electrons at the cathode electrode to produce CO and H<sub>2</sub> respectively (Reactions 1 and 2); both reactions also generate oxygen ions (O<sup>2-</sup>) which are transported to the anode electrode through an ion-conducting membrane (electrolyte); oxygen ions combine to liberate oxygen (O<sub>2</sub>) and electrons on the anode side (Reaction 3) [5,6].





**Figure 1:** Schematic diagram of planar (a) single cell and (b) SOEC stack [6]

## 1.2. Previous studies

### 1.2.1. Studies on SOEC performance

One important parameter for SOEC is process performance. Several experimental studies have been carried out using 1-cell [4,7–11], 6-cell stack [12,13] and 10-cell stack [14–16] at temperatures between 700–900°C and current densities up to 1.5 A/cm<sup>2</sup>. Modelling and simulation approaches were also used to understand the physico-chemical phenomena occurring in SOEC and improve the process performance.

#### 1.2.1.1. Steady-state modelling

Ni [17,18] developed 1D and 2D steady-state models of planar SOEC to study the electrochemical reactions and mass/heat transfer during CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis. The models also included the reaction kinetics of methanation and RWGS to quantify their contributions during CO<sub>2</sub> and H<sub>2</sub>O co-electrolysis. Xie and Xue [19] developed a 2D steady-state model of tubular SOEC in COMSOL MULTIPHYSICS<sup>®</sup>. They aimed to understand the surface co-electrolysis reactions and distribution of gas species within the electrodes based on mass, energy, momentum and charge balance. Stempien et al. [20] performed steady-state optimization of planar SOEC combined with power plant using macro-level modelling in Aspen HYSYS<sup>®</sup>. The authors assessed the effects of syngas recirculation, temperature and mole flux on SOEC performance and achieved 46.2% syngas efficiency at 1.54V and 800°C. Tubular and planar SOECs were investigated with micro 2D

1 modelling by Luo et al. [21] in COMSOL MULTIPHYSICS® and Menon et al. [22] in  
2 DETCHEM™, respectively. Luo et al. [21] studied the effects of operating conditions on SOEC  
3 performance and reported 59.4.% syngas efficiency at 1.4V and 700°C. Whereas, Menon et al. [22]  
4 analysed the effects of operating conditions and electrode microstructures on the species  
5 distribution. Hawkes et al. [23] developed a 3D steady-state model of planar SOEC using  
6 computational fluid dynamics (CFD) technique in FLUENT. Their results provided detailed  
7 profiles of operating voltage, temperature, current density and outlet gas composition for different  
8 operating conditions and achieved 55% syngas efficiency at 850°C.

#### 9 *1.2.1.2. Dynamic modelling*

10 Dynamic modelling allows greater analysis of a process reaction to disturbances such as change of  
11 operation strategies and component failures. Zheng et al. [24] developed a 1D dynamic model of  
12 tubular SOEC to study the transient response of operation switching from SOEC to SOFC. The  
13 model considers electrochemical/chemical reactions, dynamics in mass and energy balance. They  
14 analysed transient responses of temperature, voltage, power density and syngas mole fraction  
15 during mode switching. Luo et al. [25] developed a micro 2D dynamic modelling of tubular SOEC  
16 in COMSOL MULTIPHYSICS® to assess the transient behaviour of CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis  
17 when applying intermittent and unstable renewable energies. The model incorporates mass/heat  
18 transport, electrochemical/chemical reactions and momentum transfer. Dynamic process analysis  
19 was applied for optimal operation and achieved 66% syngas efficiency at 700°C and 1.33V. Planar  
20 SOEC for large-scale applications was investigated by Banerjee et al. [26] with 3D dynamic  
21 modelling using CFD in DETCHEM™. The authors performed steady-state process optimisation  
22 and analysed transient responses during operation switching between electrolysis and co-  
23 electrolysis modes. A syngas efficiency of 68.5% was observed at 850°C and 1.3V. For both steady-  
24 state and dynamic models aforementioned, only steady-state model validation was carried out by  
25 comparing voltage versus current density against experimental data.

### 1 **1.2.2. Studies on SOEC degradation**

2 Another important parameter for SOEC is durability. Degradation issue occurring inside SOECs is  
3 one of the main challenges that prevent their commercial deployment [5,27]. SOEC degradation is  
4 classified into three main categories including mechanical failure due to thermal stress,  
5 electrochemical/chemical degradation and structural degradation [28]. Structural degradation,  
6 resulting from the deterioration of SOEC components, represents one of the most critical  
7 degradations during SOEC long-term operation [5,28–30]. Most experimental studies have tested  
8 SOEC durability under co-electrolysis using Ni-YSZ/YSZ/LSM-YSZ (cathode/electrolyte/anode)  
9 cell configuration at 800-850°C and 0.25-1.5 A/cm<sup>2</sup> [4,8,31–33]. The authors reported tests below  
10 1500 hours with up to 60% reactant conversion.

11 Modelling efforts were also devoted to clarify SOEC degradation mechanisms and improve the cell  
12 lifetime. Virkar [29] investigated the operating pressures leading to anode delamination using a 1D  
13 steady-state model based on charge transport. Chatzichristodoulou et al. [34] proposed a 2D steady-  
14 state model of planar SOEC based on mass transfer and charge transport to study the distribution  
15 of electrochemical and chemical overpotential across the electrolyte. A 2D steady-state model of  
16 tubular SOEC based on charge, momentum and energy conservation was developed by Nerat and  
17 Juričić [30] in COMSOL MULTIPHYSICS®. They aimed to assess the effect of anode  
18 delamination on the SOEC conversion efficiency. Navasa et al. [35] studied the temperature and  
19 overpotential distributions through the electrodes using a 3D steady-state model of planar SOEC  
20 in COMSOL MULTIPHYSICS®. Their model incorporates momentum/mass/heat transfer,  
21 electrochemical reactions and species transport to predict the spatial variations of gas composition,  
22 temperature and overpotential through the electrodes. The above-mentioned models for  
23 degradation were developed for SOEC under H<sub>2</sub>O electrolysis. Wang et al. [36] investigated long-  
24 term operation strategies regarding degradation using a 2D steady-state model of planar SOEC  
25 under CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis in Aspen Custom Modeler®. However, their study only looked at  
26 temperature management for a fixed degradation rate of 1.4 %/1000hrs. The authors observed that



1 increasing SOEC inlet temperature reduces the temperature gradient between the stack inlet and  
2 outlet hence limits thermal failures.

### 3 **1.3. The aim and novelty of this paper**

4 Most modelling studies on SOEC in the literature focused on performance only and did not consider  
5 cell degradation. Proposed models on degradation are mostly for SOEC during H<sub>2</sub>O electrolysis  
6 whereas, information on SOEC degradation operating under CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis remains  
7 scarce. Moreover, steady-state model validation (voltage versus current density) was performed for  
8 the aforementioned models on SOEC degradation. Understanding that SOEC degradation measures  
9 the increase of operating voltage with time at a specified current density [37], validation of adequate  
10 parameters is critical for SOEC degradation model establishment. To the best of our knowledge,  
11 no paper has been found in open literature presenting a SOEC model with structural degradation  
12 of cathode, electrolyte and anode materials. Although novel materials for SOEC are being explored,  
13 it is paramount to understand the factors causing the material structural damages with time to find  
14 effective strategies to reduce degradation and enhance SOEC lifetime.

15 This work aims to propose a 1D pseudo-dynamic model of planar SOEC running under CO<sub>2</sub>/H<sub>2</sub>O  
16 co-electrolysis. In comparison to Wang et al. [36], the model developed in this paper includes the  
17 material structural deterioration affecting the performance and degradation of a typical SOEC set:  
18 Ni-YSZ/YSZ/LSM-YSZ. The model is implemented in Aspen Plus<sup>®</sup> using Fortran<sup>®</sup> routines and  
19 validated for both performance (syngas outlet composition) and degradation (voltage versus time)  
20 for different current densities. Process analysis based on the developed model is then performed to  
21 assess the effects of operating conditions (current density, temperature, feed gas composition and  
22 flowrate) and structural degradation on SOEC long-term electrochemical performance, durability  
23 and syngas production.

### 24 **2. Model development**

25 To develop the 1D pseudo-dynamic model for planar SOEC, the following were considered:

- 1 • The model consists of two sub-models: (1) model for the equilibrium voltage and (2) model for
- 2 the overpotentials resulting from structural degradation of SOEC component materials.
- 3 • Although the model parameters of the equilibrium voltage are generic for all SOECs, the
- 4 governing equations for the structural degradation are specific to the chosen SOEC material set.
- 5 This is because degradation mechanisms are different for each material composition.
- 6 • The sum of the equilibrium voltage and overpotentials represents the SOEC operating voltage.
- 7 • Only the structural degradation of SOEC component materials is considered dynamically i.e.
- 8 mass or heat accumulation inside the cell is ignored.

## 9 **2.1. Equilibrium voltage**

10 The equilibrium or open cell voltage ( $U_{EQ}$ ) represents the minimum electrical voltage required for  
 11 the electrolysis reactions to occur [28]. Since more than one electrochemical reaction occurs at the  
 12 TPB, the mixed theory potential is used to evaluate the equilibrium voltage. It represents the  
 13 superposition of potentials resulting from  $CO_2$  and  $H_2O$  co-electrolysis (Equation 4). Assuming the  
 14 adsorption of gas molecules on the electrode surface follows the Langmuir isotherm and empty  
 15 sites do not influence the equilibrium voltage,  $\Theta_i$  is calculated based on the modified Langmuir  
 16 adsorption law as described by Equations 5 and 6 [3,28].

$$17 U_{EQ} = \Theta_{CO_2} \left[ -\frac{\Delta G_{f,CO_2}}{nF} - \frac{RT}{nF} \ln \left[ \frac{Y_{CO_2}}{Y_{CO} Y_{O_2}^{0.5}} \left( \frac{P}{P_{std}} \right)^{-0.5} \right] \right] + \Theta_{H_2O} \left[ -\frac{\Delta G_{f,H_2O}}{nF} - \frac{RT}{nF} \ln \left[ \frac{Y_{H_2O}}{Y_{H_2} Y_{O_2}^{0.5}} \left( \frac{P}{P_{std}} \right)^{-0.5} \right] \right] \quad (4)$$

$$18 \Theta_i = \frac{K_i \times Y_i}{\sum_j K_j Y_j} \quad (5)$$

$$19 K_i = K_i^0 \exp\left(\frac{Q_i}{RT}\right) \quad (6)$$

20 The mole fractions of products and reactants at the electrode-electrolyte interface are included in  
 21 Equation 4. Hence, concentration overpotentials are implicitly included in the equilibrium voltage  
 22 [17].

## 2.2. Ni-YSZ cathode degradation

Ni-YSZ degradation is mostly caused by the growth of Ni particles at high temperatures via sintering. The increase of particle size in the YSZ phase reduces both the electronic conductivity within Ni phase and the TBP length [38]. Assuming Ni agglomeration takes place via Ni<sub>2</sub>-OH formation in the presence of H<sub>2</sub>O, the evolution of Ni particle size with time is described by Equation 7 as follows [39,40]:

$$\frac{d(r_{Ni})}{dt} = C \frac{X_{Ni}}{X_{YSZ} \times A_{YSZ} \times r_{Ni}^6} \left( \frac{Y_{H_2O}}{Y_{H_2}^{0.5}} \right) \exp\left(-\frac{E_{sin}}{RT}\right) \quad (7)$$

Equation 7 is integrated and Ni radius is derived as shown in Equation 8.

$$r_{Ni} = \left[ r_{Ni,0}^7 + C \frac{X_{Ni} \times t}{X_{YSZ} \times A_{YSZ}} \left( \frac{Y_{H_2O}}{Y_{H_2}^{0.5}} \right) \exp\left(-\frac{E_{sin}}{RT}\right) \right]^{1/7} \quad (8)$$

Equations 9 and 10 describe the initial and after time t of the TPB length and volume for Ni particles in terms of particle number N (assuming the cathode is a system with random packing of spherical particles) [38].

$$L_{TPB} = 2\pi \times N \times r_{Ni}; \quad L_{TPB,0} = 2\pi \times N_0 \times r_{Ni,0} \quad (9)$$

$$V = \frac{4}{3}\pi \times N \times r_{Ni}^3; \quad V_0 = \frac{4}{3}\pi \times N_0 \times r_{Ni,0}^3 \quad (10)$$

Mass balance of Ni particles at constant density requires  $V_0=V$ .

$$\text{Therefore, } V = V_0 \Rightarrow N \times r_{Ni}^3 = N_0 \times r_{Ni,0}^3 \quad (11)$$

Combining Equations 9 and 11 gives Equation 12. The TPB length change due to Ni particle growth is then expressed as shown in Equation 13.

$$L_{TPB} \times r_{Ni}^2 = L_{TPB,0} \times r_{Ni,0}^2 \quad (12)$$

$$\frac{L_{TPB}}{L_{TPB,0}} = \frac{r_{Ni,0}^2}{r_{Ni}^2} \quad (13)$$

1 The high field approximation of the Butler-Volmer equation (Equation 14) relates the polarisation  
 2 voltage with current density [38]. Equation 14 is re-written as Equation 15, which gives Equation  
 3 16 after time differentiation. Here, the subscript  $i$  represents the cathode or anode.

$$4 \quad j = j_0 \exp\left(\frac{\alpha \times n \times F}{RT} U_{P,i}\right) \quad (14)$$

$$5 \quad \frac{j}{j_0} = \exp\left(\frac{\alpha \times n \times F}{RT} U_{P,i}\right) \Rightarrow \ln\left(\frac{j}{j_0}\right) = \frac{\alpha \times n \times F}{RT} U_{P,i} \quad (15)$$

$$6 \quad d\ln\left(\frac{j}{j_0}\right) = \frac{\alpha \times n \times F}{RT} dU_{P,i} = \frac{\alpha \times n \times F}{RT} \left(\frac{dU_{P,i}}{dt}\right) dt \quad (16)$$

7 Integration of Equation 16 gives Equation 17. Considering that  $U_{P,i}(0) = 0$  and the exchange  
 8 current density is proportional to the TPB length [38], Equation 18 describes the polarisation  
 9 voltage in terms of TBP length.

$$10 \quad \ln(j) - \ln\left(\frac{j_0}{j_{0,0}}\right) = \frac{\alpha \times n \times F}{RT} [U_{P,i}(t) - U_{P,i}(0)] \quad (17)$$

$$11 \quad U_{P,i}(t) = \frac{RT}{\alpha \times n \times F} \left[ \ln(j) - \ln\left(\frac{L_{TPB}}{L_{TPB,0}}\right) \right] \quad (18)$$

12 The combination of Equations 13 and 18 gives the polarisation voltage at the cathode as expressed  
 13 in Equation 19.

$$14 \quad U_{P,Cat}(t) = \frac{RT}{\alpha \times n \times F} \left[ \ln(j) - \ln\left(\frac{r_{Ni,0}^2}{r_{Ni}^2}\right) \right] \quad (19)$$

15 The electronic conductivity of Ni-YSZ composite is calculated using Equation 20. Since  $\sigma_{YSZ}$  is  
 16 very low compared to  $\sigma_{Ni}$ ,  $\sigma_{YSZ}$  (hence, the second term in Equation 20) is assumed negligible [41].

$$17 \quad \sigma_{Ni-YSZ} = V_{Ni} \sigma_{Ni} + V_{YSZ} \sigma_{YSZ} \quad (20)$$

18 The time evolution of Ni effective conductivity is evaluated based on the percolation theory as  
 19 following [42,43]:

$$20 \quad \sigma_{Ni} = \sigma_{Ni,0} \left( \frac{V_{Ni} - V_{Ni}^c}{1 - \Phi / (1 + \Phi) - V_{Ni}^c} \right)^2 \quad (21)$$

$$1 \quad \sigma_{Ni,0} = 3.27 \times 10^4 - 10.65 \times T \quad (22)$$

$$2 \quad Z \frac{V_{Ni}^c / r_{Ni}}{V_{Ni}^c / r_{Ni} + (1 - V_{Ni}^c) / r_{YSZ}} = 1.764 \quad (23)$$

$$3 \quad Z = Z_{YSZ,YSZ} \frac{V_{Ni} / r_{Ni} + V_{YSZ} / r_{YSZ}}{V_{YSZ} / r_{YSZ}} \quad (24)$$

4 YSZ particle size is assumed to remain the same due to their high melting temperatures [38].

5 Therefore,  $r_{YSZ} = r_{YSZ,0}$ . The ohmic overpotential on the cathode side is determined according to

6 Ohm's law as follows:

$$7 \quad U_{S,Cat}(t) = \frac{d_{Ni-YSZ}}{\sigma_{Ni-YSZ}} \times j \quad (25)$$

### 8 **2.3. YSZ electrolyte degradation**

9 Under reducing environment and high temperatures, there is a phase transformation of YSZ crystal

10 structure from cubic to tetragonal phase due to cation diffusion, which leads to loss of YSZ ionic

11 conductivity [44]. According to Ohm's law, the decrease in electrolyte conductivity with time

12 increases the ohmic voltage (Equation 26). The time dependence of YSZ conductivity is calculated

13 using Equation 27, wherein the time constant  $\tau$  indicates YSZ structure stability and depends on

14 the dopant radius as shown in Equation 29 [45].

$$15 \quad U_{S,El}(t) = \frac{d_{El}}{\sigma_{El}} \times j \quad (26)$$

$$16 \quad \sigma_{El} = \sigma_{El,0} \left[ \lambda + (1 - \lambda) \exp\left(-\frac{t}{\tau}\right) \right] \quad (27)$$

$$17 \quad \sigma_{El,0} = \frac{\sigma_{El}^0}{T} \exp\left(-\frac{E_{a,El}}{RT}\right) \quad (28)$$

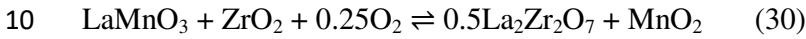
$$18 \quad \tau = 7.23 \times 10^{-38} \exp(89.8 \times r_{Y^{3+}}) \quad (29)$$

## 1 **2.4. LSM-YSZ anode degradation**

2 There are three main structural degradations responsible for LSM-YSZ anode delamination  
3 including lanthanum zirconate formation, Cr contamination and LSM-YSZ particle coarsening  
4 [28,46].

### 5 **2.4.1. Formation of lanthanum zirconate**

6 At the YSZ electrolyte boundaries and LSM-YSZ interface,  $O^{2-}$  ions are oxidized to  $O_2$  (Reaction  
7 3) creating high  $P_{O_2}$  near the LSM-YSZ interface. High  $P_{O_2}$  favours  $La_2Zr_2O_7$  (LZO) formation  
8 from  $LaMnO_3$  of LSM and  $ZrO_2$  of YSZ (Reaction 30). The accumulation of LZO causes an  
9 increase in ohmic resistance on the anode side due to LZO poor conductive properties [47].



11 LZO formation takes place via  $Mn^{2+}$  diffusion from LSM to YSZ and subsequent  $Zr^{4+}$  and  $La^{3+}$   
12 diffusion towards the anode-electrolyte interface [48]. Therefore, LZO layer thickness growth with  
13 time is calculated based on Wagner's law for parabolic oxidation as described by Equations 31 and  
14 32. Equations 33 and 34 evaluate the ohmic resistance due to LZO growth.

$$15 \quad d_{LZO}^2(t) = (K_{P,LZO} \times t) \exp\left(-\frac{E_{LZO}}{RT}\right) \quad (31)$$

$$16 \quad K_{P,LZO} = \frac{K_{g,LZO}}{(X_{O,LZO} \times \rho_{LZO})^2} \quad (32)$$

$$17 \quad R_{LZO} = \frac{d_{LZO}(t)}{\sigma_{LZO}} \quad (33)$$

$$18 \quad \sigma_{LZO} = \frac{\sigma_{LZO}^0}{T} \exp\left(-\frac{E_{a,LZO}}{RT}\right) \quad (34)$$

### 19 **2.4.2. Cr contamination**

20 To build a SOEC stack, each single cell requires an interconnect to connect the anode of one cell  
21 to the cathode of the adjoining cell. Fe-Cr alloys (such as Haynes 230 and Crofer 22APU) are often  
22 preferred as interconnect materials due to their good electrical conductivity, easy availability and  
23 low cost [49]. However, under high temperatures, Cr compounds are oxidized by gaseous  $O_2$  to

1 form a chromium oxide scale (Reaction 35) at the interface between the interconnect and the anode  
 2 [49,50].



4 Assuming  $\text{Cr}_2\text{O}_3$  is the only chromium oxide scale (COS) layer formed and its formation takes  
 5 place via  $\text{Cr}^{3+}$  and  $\text{O}^{2-}$  diffusion, COS thickness evolution with time is also evaluated using  
 6 Wagner's law for parabolic oxidation (Equation 36) [50]. Equations 37–39 describe the ohmic  
 7 overpotential on the anode side due to LZO and COS growth.

$$8 \quad d_{\text{COS}}^2(t) = \frac{K_{g,\text{COS}} \times t}{(x_{\text{O,COS}} \times \rho_{\text{COS}})^2} \exp\left(-\frac{E_{\text{COS}}}{RT}\right) \quad (36)$$

$$9 \quad U_{S,\text{An}}(t) = (R_{\text{LZO}} + R_{\text{COS}}) \times j \quad (37)$$

$$10 \quad R_{\text{COS}} = \frac{d_{\text{COS}}(t)}{\sigma_{\text{COS}}} \quad (38)$$

$$11 \quad \sigma_{\text{COS}} = \frac{\sigma_{\text{COS}}^0}{T} \exp\left(-\frac{E_{a,\text{COS}}}{RT}\right) \quad (39)$$

### 12 **2.4.3. LSM-YSZ phase coarsening**

13 Under high temperatures, the anode microstructures (especially near electrolyte/anode interface)  
 14 become coarser and denser leading to a decrease in the TBP length on the anode side [51]. The  
 15 model for LSM-YSZ degradation due to particle coarsening is based on the following:

- 16 • Since YSZ coarsening is very slow [51], LSM-YSZ degradation is only due to LSM phase  
 17 coarsening.
- 18 • LSM phase coarsening is due to  $\text{Mn}^{2+}$  particles diffusion on the LSM surface towards LSM-YSZ  
 19 interface to reach TBP active sites [46,51].

20 The mass transport of diffused particles in one dimension is described using Fick's second law  
 21 (Equation 40) in which the TBP length represents the concentration of diffused particles. The  
 22 solution to the Fick's law equation is given by Equation 41 when the surface concentration is zero  
 23 (i.e.  $x = 0$  and  $L_{\text{TPB}} = L_{\text{TPB},0}$ ) [46,52]. Integrating Equation 41 gives Equation 43 which describes  
 24 the variation of TPB length with time.

$$\frac{\partial}{\partial t} L_{TPB} = D_{LSM} \frac{\partial^2}{\partial x^2} L_{TPB} \quad (40)$$

$$\left( D_{LSM} \frac{d}{dx} L_{TPB} \right)_{x=0} = \frac{L_{TPB,0} \times D_{LSM}}{\sqrt{\pi t \times D_{LSM}}} \quad (41)$$

$$\frac{L_{TPB}}{L_{TPB,0}} = 1 - 2 \times \left( \frac{t \times D_{LSM}}{\pi} \right)^{1/2} \quad (42)$$

The polarisation voltage at the anode is obtained by combining Equations 18 and 42 as shown in Equation 43.

$$U_{P,An}(t) = \frac{RT}{\alpha \times n \times F} \left[ \ln(j) - \ln \left| 1 - 2 \times \left( \frac{t \times D_{LSM}}{\pi} \right)^{1/2} \right| \right] \quad (43)$$

### 3. Simulation

All the calculations were carried out using Aspen Plus® software. Since SOEC is not a standard component in Aspen Plus® Library, SOEC unit was simulated combining basic Aspen Plus® blocks and Fortran® routines for the structural degradation model implementation. Table 1 recapitulates the equations implemented in Aspen Plus®. The property method used for the simulation is Peng-Robison. This is because Peng-Robison gives more reliable results for non-polar compounds such as CO<sub>2</sub>, CH<sub>4</sub> and H<sub>2</sub>. Figure 2 illustrates the SOEC unit flowsheet developed in this work.

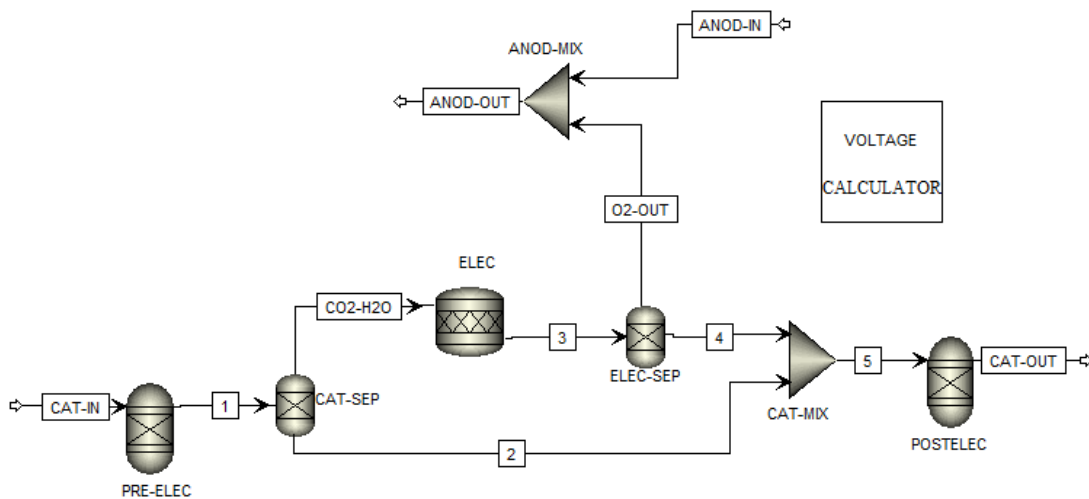


Figure 2: Process flowsheet of SOEC unit in Aspen Plus®



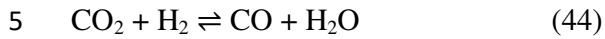
**Table 1:** Summary of mathematical modelling

Parameter	Equation
Adsorption constant (Equation 6)	$K_i = K_i^0 \exp\left(\frac{Q_i}{RT}\right)$
Surface coverage fraction (Equation 5)	$\Theta_i = \frac{K_i \times Y_i}{\sum_j K_j Y_j}$
Equilibrium voltage (Equation 4)	$U_{EQ} = \Theta_{CO_2} \left  -\frac{\Delta G_{f,CO_2}}{nF} - \frac{RT}{nF} \ln \left[ \frac{Y_{CO_2}}{Y_{CO} Y_{O_2}^{0.5}} \left( \frac{P}{P_{std}} \right)^{-0.5} \right] \right $ $+ \Theta_{H_2O} \left  -\frac{\Delta G_{f,H_2O}}{nF} - \frac{RT}{nF} \ln \left[ \frac{Y_{H_2O}}{Y_{H_2} Y_{O_2}^{0.5}} \left( \frac{P}{P_{std}} \right)^{-0.5} \right] \right $
Ni radius (Equation 8)	$r_{Ni} = \left[ r_{Ni,0}^7 + C \frac{X_{Ni} \times t}{X_{YSZ} \times A_{YSZ}} \left( \frac{Y_{H_2O}}{Y_{H_2}^{0.5}} \right) \exp\left(-\frac{E_{sin}}{RT}\right) \right]^{1/7}$
Polarisation voltage at the cathode (Equation 19)	$U_{P,cat}(t) = \frac{RT}{\alpha \times n \times F} \left[ \ln(j) - \ln\left(\frac{r_{Ni,0}^2}{r_{Ni}^2}\right) \right]$
Intrinsic Ni conductivity (Equation 22)	$\sigma_{Ni,0} = 3.27 \times 10^4 - 10.65 \times T$
Average coordination number (Equation 24)	$Z = Z_{YSZ,YSZ} \frac{V_{Ni}/r_{Ni} + V_{YSZ}/r_{YSZ}}{V_{YSZ}/r_{YSZ}}$
Ni volume fraction at percolation (Equation 23)	$Z \frac{V_{Ni}^c/r_{Ni}}{V_{Ni}^c/r_{Ni} + (1 - V_{Ni}^c)/r_{YSZ}} = 1.764$
Ni effective conductivity (Equation 21)	$\sigma_{Ni} = \sigma_{Ni,0} \left( \frac{V_{Ni} - V_{Ni}^c}{1 - \Phi / (1 + \Phi) - V_{Ni}^c} \right)^2$
Ni-YSZ conductivity (Equation 20)	$\sigma_{Ni-YSZ} = V_{Ni} \sigma_{Ni}$
Ohmic voltage at the cathode (Equation 25)	$U_{S,cat}(t) = \frac{d_{Ni-YSZ}}{\sigma_{Ni-YSZ}} \times j$

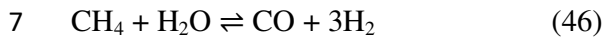
Time constant (Equation 29)	$\tau = 7.23 \times 10^{-38} \exp(89.8 \times r_{Y^{3+}})$
Intrinsic YSZ conductivity (Equation 28)	$\sigma_{El,0} = \frac{\sigma_{El}^0}{T} \exp\left(-\frac{E_{a,El}}{RT}\right)$
YSZ ionic conductivity (Equation 27)	$\sigma_{El} = \sigma_{El,0} \left[ \lambda + (1 - \lambda) \exp\left(-\frac{t}{\tau}\right) \right]$
Ohmic voltage at the electrode (Equation 26)	$U_{S,El}(t) = \frac{d_{El}}{\sigma_{El}} \times j$
LZO conductivity (Equation 34)	$\sigma_{LZO} = \frac{\sigma_{LZO}^0}{T} \exp\left(-\frac{E_{a,LZO}}{RT}\right)$
COS conductivity (Equation 39)	$\sigma_{COS} = \frac{\sigma_{COS}^0}{T} \exp\left(-\frac{E_{a,COS}}{RT}\right)$
LZO layer thickness (Equation 31)	$d_{LZO}^2(t) = \frac{K_{g,LZO} \times t}{(X_{O,LZO} \times \rho_{LZO})^2} \exp\left(-\frac{E_{LZO}}{RT}\right)$
COS layer thickness (Equation 36)	$d_{COS}^2(t) = \frac{K_{g,COS} \times t}{(X_{O,COS} \times \rho_{COS})^2} \exp\left(-\frac{E_{COS}}{RT}\right)$
Ohmic voltage at the anode (Equation 37)	$U_{S,An}(t) = \left( \frac{d_{LZO}(t)}{\sigma_{LZO}} + \frac{d_{COS}(t)}{\sigma_{COS}} \right) \times j$
Polarisation voltage at the anode (Equation 43)	$U_{P,An}(t) = \frac{RT}{\alpha \times n \times F} \left[ \ln(j) - \ln \left  1 - 2 \times \left( \frac{t \times D_{LSM}}{\pi} \right)^{1/2} \right  \right]$

- 1 The feed gas enters a Gibbs reactor (PRE-ELEC) and reaches equilibrium based on the RWGS
- 2 reaction (Reaction 44). Reactants (CO<sub>2</sub>-H<sub>2</sub>O) are separated from other gases and sent to a Stoic
- 3 reactor (ELEC) where they are co-electrolysed following Reactions 1 and 2. The reactant
- 4 conversion (RC) fraction is evaluated based on Faraday's law as described by Equation 45. In
- 5 reality, O<sub>2</sub> and syngas are produced in different compartments. Hence, a separator unit (ELEC-
- 6 SEP) is added to remove O<sub>2</sub> from other gases. All cathodic flows are mixed and sent to another

1 Gibbs reactor (POSTELEC) where both RWGS and steam reforming/methanation (Reaction 46)  
2 reactions occur. The hypothesis of equilibrium reactions 44 and 46 occurring before and after co-  
3 electrolysis reactions is based on kinetic values at high temperatures and in the presence of Ni  
4 catalyst [14,53].



$$6 \quad RC = \frac{I}{n \times F \times \dot{N}_{in}} = \frac{A_{cell} \times N_{cell} \times j}{n \times F \times \dot{N}_{in}} \quad (45)$$



## 8 **4. Model validation**

9 SOEC model was validated using experimental data from the literature. Two sets of data were  
10 selected to numerically validate SOEC performance and structural degradation. In this section, each  
11 single cell is made of Ni-8YSZ/8YSZ/(La<sub>0.75</sub>Sr<sub>0.25</sub>)<sub>0.95</sub>MnO<sub>3</sub>-8YSZ and Crofer 22 APU interconnect  
12 wherein 8 indicates Y<sub>2</sub>O<sub>3</sub> mol% in YSZ. During experiments, H<sub>2</sub> is added to the cathode feed gas  
13 to avoid Ni oxidation and Air/O<sub>2</sub> is continuously supplied to the anode to prevent LSM-YSZ change  
14 of state [8,53].

### 15 **4.1. Validation of SOEC performance**

16 Experiments from FuelCell Lab at the University of Perugia [53] were used to validate SOEC  
17 performance. SOEC stack consists of four planar cells supplied by Forschungszentrum Jülich.  
18 Three experimental tests were performed to assess SOEC performance in CO<sub>2</sub>/H<sub>2</sub>O co-electrolysis  
19 mode. SOEC details and test parameters are provided in Table 1. Validation results are presented  
20 in terms of CO<sub>2</sub>, H<sub>2</sub> and CO outlet mole fractions as a function of current density (Figure 3). The  
21 results were obtained by simulating SOEC model under the operating conditions given in Table 1.

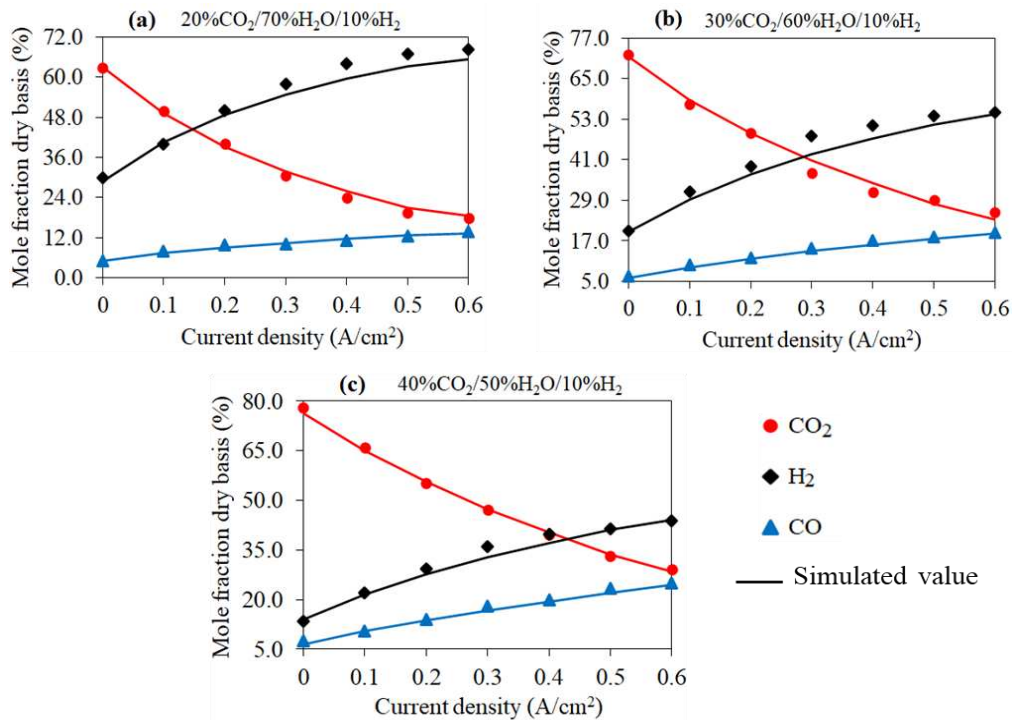
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1

**Table 1:** SOEC characteristics and test parameters from FuelCell Lab [53]

Parameter	Test 1	Test 2	Test 3
Cathode feed composition: CO <sub>2</sub> /H <sub>2</sub> O/H <sub>2</sub> (%mol)	20/70/10	30/60/10	40/50/10
Cathode flowrate (mol/hr)	8.53		
Cathode thickness (μm)	7 – 10		
Anode flowrate (mol/hr)	8.92		
Anode thickness (μm)	10 – 15		
Electrolyte thickness (μm)	8 – 10		
Operating temperature (°C)	750		
Operating pressure (bar)	1.0		
Cell size (cm <sup>2</sup> )	10 × 10		
Active cell area (cm <sup>2</sup> )	80		
Number of cells	4		



2

3

**Figure 3:** Experimental values of outlet syngas composition (symbols) compared to model predictions

4

(solid lines) for different inlet feed compositions

1

**Table 2:** Highest relative errors observed during SOEC performance validation

Current density (A/cm <sup>2</sup> )	Relative errors (%)		
	Test 1	Test 2	Test 3
0.0	4.0	7.7	13.2
0.1	8.8	9.0	3.2
0.2	7.1	6.2	5.1
0.3	5.5	11.5	8.9
0.4	7.9	7.5	6.3
0.5	7.2	4.8	5.5
0.6	4.3	8.6	2.6

2 Despite some discrepancies (Table 2), SOEC model gives fairly good predictions of syngas  
3 composition profiles. These discrepancies might be because the outlet syngas composition is  
4 experimentally obtained using gas chromatography which requires a dry sample (i.e. H<sub>2</sub>O mol% =  
5 0). However, during simulations, even after condensing water out from syngas, there is still some  
6 H<sub>2</sub>O fraction (~2.9%) remaining in the produced gas which may affect the outlet composition  
7 obtained from the simulation.

#### 8 **4.2. Validation of SOEC degradation**

9 To validate the pseudo-dynamic model for SOEC structural degradation, data from experiments  
10 carried out at DTU Energy Conversion (former Risø DTU) [8,32] were used. The test rigs were 10-  
11 cell and 2-cell planar stacks produced at Risø DTU in Denmark. Two experiments were carried out  
12 to investigate SOEC durability under co-electrolysis at low and high current densities. During Test  
13 1, the 10-cell SOEC stack was first operated at a current density of 0.5 A/cm<sup>2</sup> for 800 hours then,  
14 at 0.75 A/cm<sup>2</sup> for another 350 hours. At the current density of 0.75 A/cm<sup>2</sup>, the cathode feed flowrate  
15 was increased from 360 to 540 L/hr to maintain a reactant conversion of 60%. Test 2 was carried

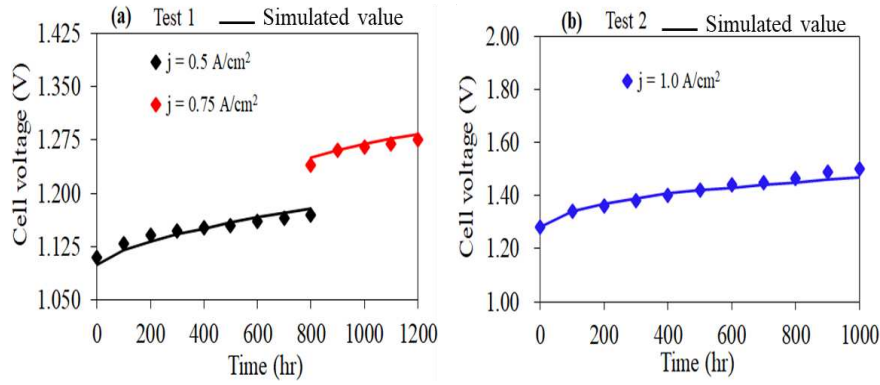
1 out using the 2-cell SOEC stack at a current density of 1.0 A/cm<sup>2</sup> for roughly 1000 hours. The  
 2 experimental conditions and SOEC characteristics are presented in Table 3.

3 **Table 3:** SOEC characteristics and test parameters from DTU Energy Conversion [8,32]

Parameter	Test 1	Test 2
Cathode feed composition: CO <sub>2</sub> /H <sub>2</sub> O/H <sub>2</sub> (%mol)	45/45/10	45/45/10
Cathode flowrate (L/hr)	360/540	25
Cathode thickness (μm)	10	10
Cathode porosity (%)	40	40
Volume Fraction (Ni/YSZ)	40/60	40/60
Initial Ni radius (μm)	4.5	4.5
Initial YSZ radius (μm)	4	4
YSZ surface area (m <sup>2</sup> /g)	0.41	0.41
Anode feed composition: O <sub>2</sub> (%mol)	100	100
Anode flowrate (L/hr)	60	50
Anode thickness (μm)	20	20
Anode porosity (%)	35	35
Volume Fraction (LSM/YSZ)	50/50	50/50
Electrolyte thickness (μm)	12	12
Operating temperature (°C)	850	800
Operating pressure (bar)	1.0	1.0
Cell size (cm <sup>2</sup> )	12 × 12	5 × 5
Active cell area (cm <sup>2</sup> )	92.16	16
Number of cells	10	2

**Table 4:** Properties used for SOEC pseudo-dynamic model validation.

Parameter	Value	Reference
H <sub>2</sub> O adsorption constant	9.25	[3]
CO <sub>2</sub> adsorption constant	2.27	
H <sub>2</sub> O enthalpy of adsorption (J/mol)	13200	
CO <sub>2</sub> enthalpy of adsorption (J/mol)	18,828	
Activation energy for sintering (J/mol)	332,000	[54]
YSZ coordination number	6	[43]
Electrolyte activation energy (J/mol)	80,000	[22]
Electrolyte pre-exponential factor (S/cm)	360,000	
Ionic radius (Å)	1.01	[45]
LSM surface diffusion (cm <sup>2</sup> /hr)	1.12×10 <sup>-5</sup>	[46]
LZO density (g/cm <sup>3</sup> )	6.05	[55]
Weight gain rate for LZO growth (g <sup>2</sup> /cm <sup>4</sup> .hr)	4.43×10 <sup>-2</sup>	
Activation energy for LZO growth (J/mol)	206,273	
LZO activation energy (J/mol)	55,000	
LZO pre-exponential factor (S/cm)	225.49	
COS density (g/cm <sup>3</sup> )	5.255	
Weight gain rate for COS growth: Test 1/Test 2 (g <sup>2</sup> /cm <sup>4</sup> .hr)	6.84×10 <sup>-10</sup> / 2.40×10 <sup>-09</sup>	[49,50]
Activation energy for COS growth (J/mol)	220,000	
COS activation energy (J/mol)	86,200	
COS pre-exponential factor (S/cm)	320,000	



**Figure 4:** Experimental values of SOEC degradation (symbols) compared to model predictions (solid lines) for different current densities

**Table 5:** Comparison of cell degradation between experimental data from Risø DTU and model predictions

Time (hr)	Data from DTU (V)	Aspen Plus® simulation (V)	Relative error (%)
0	1.11	1.10	0.90
200	1.14	1.13	0.79
400	1.15	1.15	0.17
600	1.16	1.17	0.52
800	1.24	1.25	0.81
1,000	1.26	1.27	0.40
1,200	1.27	1.28	0.63

The results presented in this section were obtained by simulating the pseudo-dynamic model for planar SOEC under the conditions given in Table 3. Due to the unavailability of all required data, some parameters (Table 4) were assumed within realistic ranges based on available literature. Figures 4a and 4b portray good agreement between the model predictions and experimental data with relative errors below 1.0% as observed in Table 5. These results show that the pseudo-dynamic model developed in Aspen Plus® using Fortran® routines is able to reasonably predict both SOEC structural degradation and syngas composition at different input conditions. Therefore, the model can be used for further parametric analysis.



## 1 5. Process analysis

2 In this section, the pseudo-dynamic model developed and validated is used to predict SOEC long-  
3 term electrochemical performance and syngas production. Process analysis is carried out for 20,000  
4 hours of operation which represents the minimum desired lifetime for SOEC stacks [37]. Equations  
5 47 and 48 are used to evaluate the average SOEC degradation rate and syngas production  
6 efficiency, respectively [56]. It is important to specify that extended analysis might also include  
7 further external factors such as heat duty of heaters and network of pumps and compressors for  
8 reactant pre-treatment before entering SOEC. In this work, pre-treatment of reactants is neglected  
9 and we assumed 10% losses to the SOEC system.

$$10 \quad \Delta U = \frac{U(t) - U(t_0)}{U(t_0) \times (t - t_0)} \times 100\% \quad (47)$$

$$11 \quad \eta_{syngas} = \frac{HHV_{out}}{HHV_{in} + P_{cell}} \quad (48)$$

$$12 \quad P_{cell} = U(t) \times j \times A_{cell} \quad (49)$$

### 13 5.1. Effect of current density on degradation and syngas efficiency

#### 14 5.1.1. Justification for case study

15 Current density is an important parameter to achieve high reactant conversion and syngas  
16 production. A good understanding of its effect on SOEC long-term performance is needed to  
17 evaluate the current density that gives the best production rate with minimum degradation.

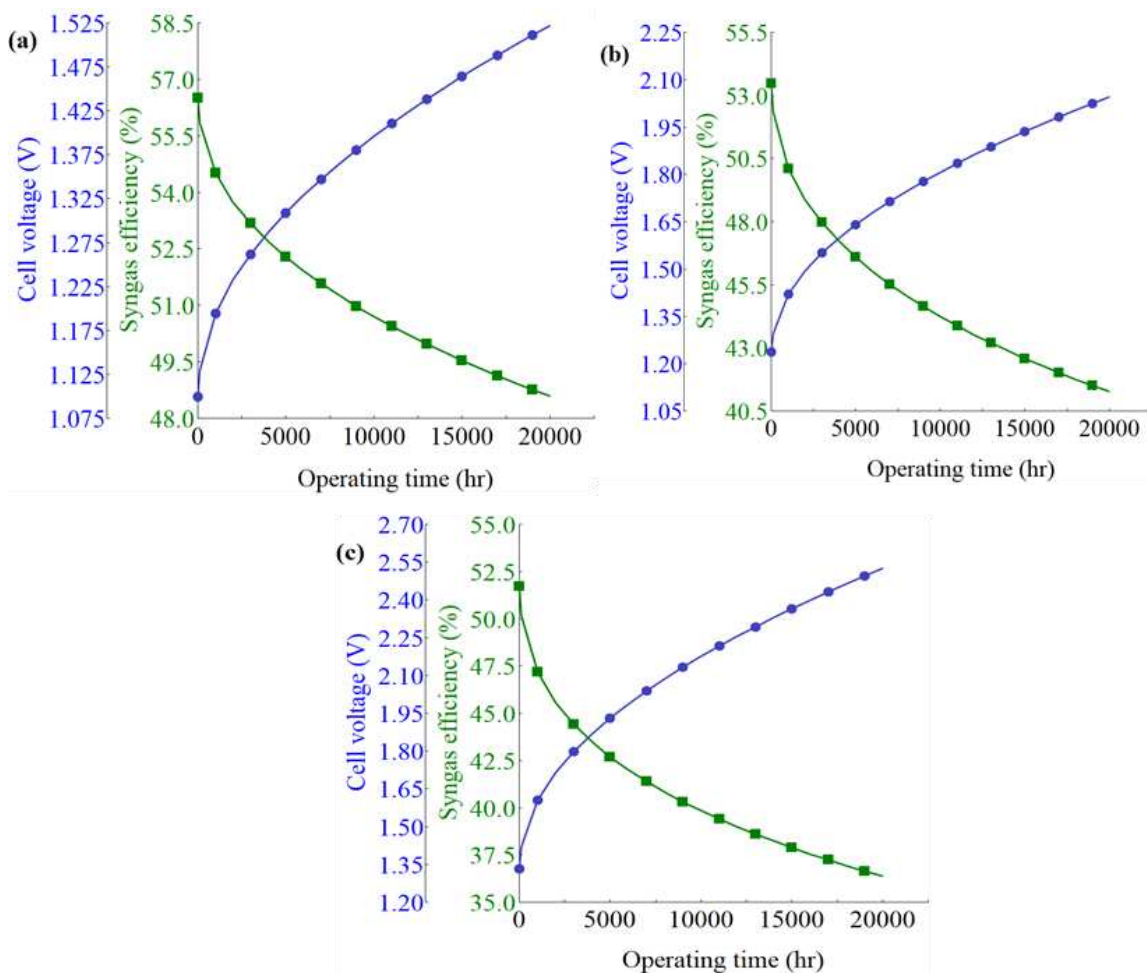
#### 18 5.1.2. Setup of the case study

19 To implement this case study, 800°C, 45%CO<sub>2</sub>/45%H<sub>2</sub>O/10%H<sub>2</sub> feed gas and 360 L/hr cathode  
20 flowrate were used. SOEC characteristics are as shown in Tables 3 and 4. The current density was  
21 varied from 0.5 to 1.5 A/cm<sup>2</sup>.

#### 22 5.1.3. Results and discussion

23 Figure 5 shows the effect of current density on SOEC operating voltage and syngas production  
24 efficiency. After 20,000 hours of operation, syngas production efficiency decreases from 56.5 to

1 48.6% at 0.5 A/cm<sup>2</sup>, 53.5 to 41.3% at 1.0 A/cm<sup>2</sup> and 51.7 to 36.4% at 1.5 A/cm<sup>2</sup> with an average  
 2 voltage degradation rate of 1.89, 3.27 and 4.47%/1000hrs, respectively. Increasing the current  
 3 density results in higher reactant conversion (Equation 45) which subsequently leads to more CO  
 4 and H<sub>2</sub> being generated (Figure 3). However, the operating voltage increases faster at higher current  
 5 densities leading to lower syngas production due to high power utilisation. This agrees with  
 6 experimental findings which showed that ohmic and polarisation voltages are more pronounced at  
 7 higher current densities [4,8]. Therefore, SOEC performance and durability needs to be improved  
 8 at high current densities.



9  
 10 **Figure 5:** Evolution of SOEC voltage and syngas production efficiency with operating time for (a)  $j = 0.5$   
 11  $\text{A/cm}^2$ , (b)  $j = 1.0 \text{ A/cm}^2$  and (c)  $j = 1.5 \text{ A/cm}^2$

## 1 **5.2. Effect of temperature on degradation and syngas efficiency**

### 2 **5.2.1. Justification for case study**

3 Temperature is a key parameter for adsorption, electrochemical/chemical reaction and mass  
4 transfer within SOEC [5]. As a result, it is important to understand the relationship between  
5 temperature and SOEC long-term performance.

### 6 **5.2.2. Setup of the case study**

7 To carry out this case study, SOEC temperature was varied from 750 to 850°C at two current  
8 densities, 1.0 and 1.5 A/cm<sup>2</sup>. This is needed to assess the impact of lower and higher temperatures  
9 at high current densities. The remaining operating conditions are the same as Section 5.2.1.

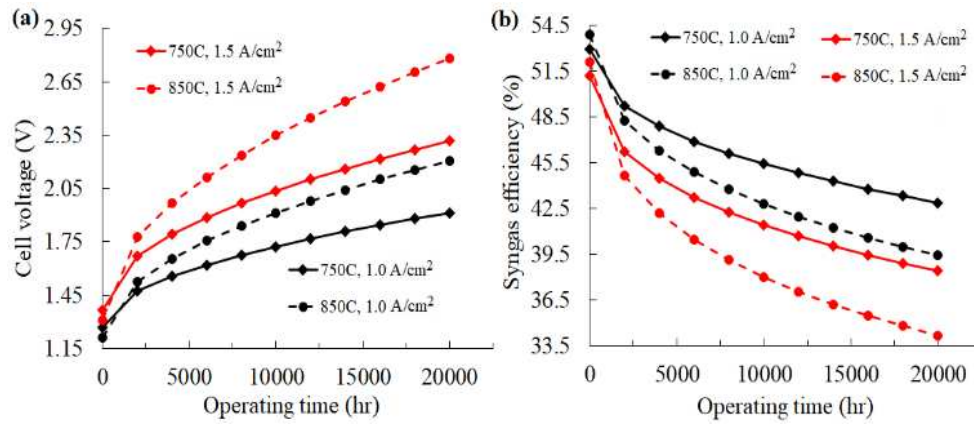
### 10 **5.2.3. Results and discussion**

11 The results show that the equilibrium voltage decreases with rising temperatures at both 1.0 and  
12 1.5 A/cm<sup>2</sup> current densities (Figure 6a). This is because the thermal energy increases with  
13 temperatures resulting in lower Gibbs free energy (Equation 50) [5] hence, lower equilibrium  
14 voltage. Higher temperatures also enhance electrochemical reactions leading to increased reactant  
15 conversion and syngas production [22]. This explains the increase in initial syngas production  
16 efficiency with temperature (Figure 6b).

$$17 \quad \Delta G = \Delta H - (T \times \Delta S) \quad (50)$$

18 Figures 6a and 6b also show that the required voltage and syngas production efficiency respectively  
19 increases and decreases faster at higher temperatures with operating time. For instance, at 1.0  
20 A/cm<sup>2</sup>, syngas efficiency lowers from 53.0 to 43.0% at 750°C and 54.0 to 39.4% at 850°C. The  
21 average SOEC degradation rates are 2.56 and 4.10%/1000hrs at 750°C and at 850°C, respectively.  
22 This is due to the direct dependence of structural degradation including Ni agglomeration (Equation  
23 8), LZO (Equation 31) and chromium oxide (Equation 36) layer formation on the operating  
24 temperature. These chemical reactions are enhanced with rising temperatures leading to higher

1 degradation rates and lower production efficiency. Therefore, lowering SOEC operating  
2 temperature seems more beneficial regarding long-term performance.



3  
4 **Figure 6:** Evolution of (a) SOEC voltage and (b) syngas production efficiency for different operating  
5 temperatures

### 6 5.3. Effect of feed gas composition and flowrate on degradation and syngas efficiency

#### 7 5.3.1. Justification for case study

8 Feed gas composition and flowrate are important parameters for the syngas quality ( $H_2/CO$ ).  
9 Achieving the desired  $H_2/CO$  ( $\sim 1.8$  to  $2.1$ ) ratio is essential for FTS process [9]. It is, therefore,  
10 necessary to investigate the syngas quality (hence, feed gas composition and flowrate) profile  
11 during SOEC long-term performance.

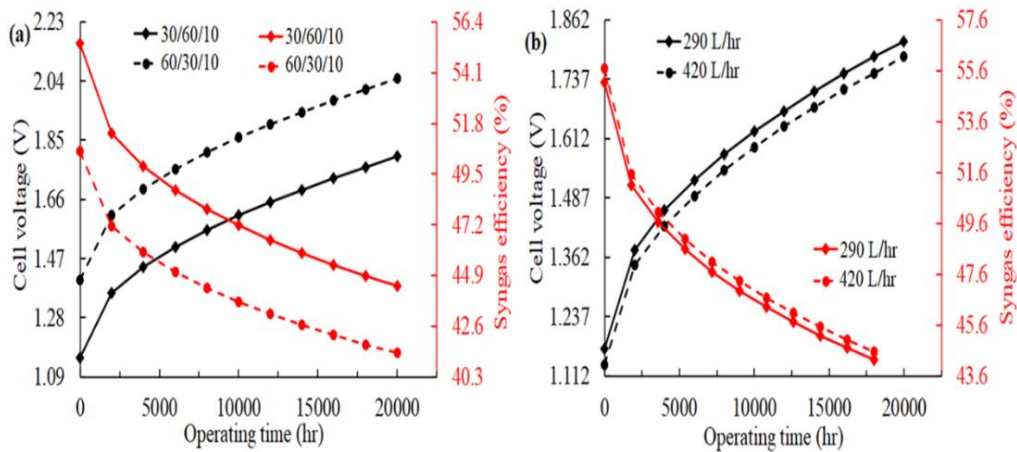
#### 12 5.3.2. Setup of the case study

13 To implement the case study,  $750^\circ C$  and  $1.0 A/cm^2$  were used. Two scenarios were considered for  
14 the feed composition, S1:  $30\%CO_2/60\%H_2O/10\%H_2$  and S2:  $60\%CO_2/30\%H_2O/10\%H_2$  (in mol%)  
15 using  $360 L/hr$  flowrate. To study the effect of flowrate, its value was varied from  $290$  to  $420 L/hr$  using  
16  $30\%CO_2/60\%H_2O/10\%H_2$  feed gas. The remaining process conditions are shown in Tables 3 and 4.

#### 17 5.3.3. Results and discussion

18 Figure 7a and Table 6 depicts the effect of cathode feed composition on SOEC long-term  
19 performance. Higher  $H_2O$  mole fraction lowers the required voltage and also improves the syngas  
20 quality ( $H_2/CO_{out}$ ) during operation. The voltage rises with  $CO_2$  feed fraction due to an increase of

1 concentration overpotentials arising from the slower CO<sub>2</sub> diffusion kinetics compared to H<sub>2</sub>O [4].  
 2 The syngas quality is improved due to the RWGS reaction (Reaction 44) taking place within SOEC.  
 3 Backward RWGS reaction is promoted with high H<sub>2</sub>O mole fraction leading to positive RWGS  
 4 reaction rate which consumes CO and increases H<sub>2</sub> mole fraction [17]. Therefore, at higher H<sub>2</sub>O  
 5 molar fraction, syngas production efficiency increases due to both higher H<sub>2</sub>/CO<sub>out</sub> and lower  
 6 voltage/power utilisation. It was also observed that the average degradation rate is slightly higher  
 7 for S1 than S2 (Table 6). Possible explanations might be that Ni sintering is enhanced with  
 8 increased H<sub>2</sub>O mole fraction (Equation 7) and/or Ni re-oxidation (Reaction 51) at the cathode [54].



9  
 10 **Figure 7:** Evolution of SOEC voltage and syngas production efficiency for different (a) cathode feed gas  
 11 composition given in CO<sub>2</sub>/H<sub>2</sub>O/H<sub>2</sub> (mol%) and (b) flowrate

12 **Table 6:** Simulation results for different feed compositions; S0: 45%CO<sub>2</sub>/45%H<sub>2</sub>O/10%H<sub>2</sub>

	S0	S1	S2
<b>H<sub>2</sub>O/CO<sub>2in</sub></b>	1.39	2.71	0.74
<b>H<sub>2</sub>/CO<sub>out</sub></b>	1.07	1.99	0.59
<b>U (V)</b>	1.23	1.12	1.37
<b>η<sub>syngas</sub> (%)</b>	53.1	55.4	50.5
<b>U<sub>20,000</sub> (V)</b>	1.91	1.80	2.05
<b>P<sub>cell</sub> (kW)</b>	1.76	1.66	1.89
<b>ΔU (%/1000hrs)</b>	2.56	2.82	2.31



2 A rise in feed flowrate leads to higher syngas production efficiency (Figure 7b). This behaviour  
3 simply reflects the increased amount of reactants available at the TBP. This agrees with the findings  
4 of Menon et al. [22]. However, reactant conversion is limited by a given current density (Equation  
5 45) leading to a decrease in required voltage decreases (Figure 7b) due to lower reactant conversion.  
6 This indicates that high feed flowrate may also result in reactant wastage thus, additional costs for  
7 raw materials and waste disposal.

## 8 **5.4. Effect of structural degradation on SOEC long-term performance**

### 9 **5.4.1. Justification for case study**

10 This section was added to understand the factors causing structural damages of SOEC materials  
11 and provide effective strategies to improve SOEC lifetime at high current density.

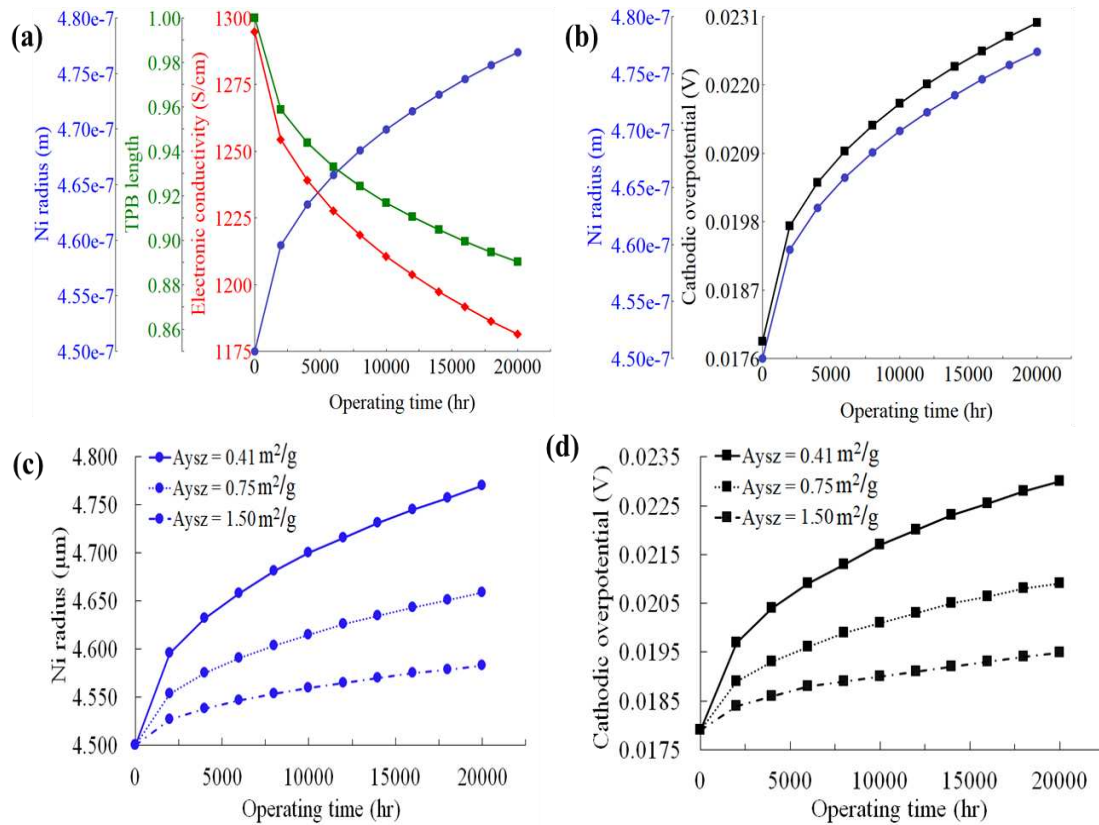
### 12 **5.4.2. Setup of the case study**

13 To carry out the case study, we assumed that when analysing one component material, the others  
14 remain unchanged. The following operating conditions were selected: 750 °C, 1.5 A/cm<sup>2</sup>,  
15 30%CO<sub>2</sub>/60%H<sub>2</sub>O/10%H<sub>2</sub> and 360 L/hr cathode feed flowrate. The base case corresponds to Ni-  
16 YSZ/YSZ/LSM-YSZ properties provided in Tables 3 and 4. Characteristics of improved materials  
17 are specified in their corresponding sections.

### 18 **5.4.3. Results and discussion**

#### 19 *5.4.3.1. Cathode structural degradation*

20 Figures 8a and 8b show the effects of Ni particle growth on the cathode TPB length, electronic  
21 conductivity and overpotential. Ni radius increases from 4.50 to 4.77 μm after 20,000 hours of  
22 operation. This results in a decrease in electronic conductivity and TPB length from 1,294 to 1,181  
23 S/cm and 1.00 to 0.89, respectively. It is important to specify that TPB length value is given for  
24  $L_{\text{TPB}}/L_{\text{TPB},0}$  ratio (Equation 13). The overall Ni agglomeration causes the cathodic overpotential to  
25 increase from 17.9 to 23.0 mV with an average cell degradation rate of 0.021%/1000hrs.



**Figure 8:** Effects of Ni particle growth on SOEC performance: (a) TPB length and conductivity; (b) cathodic overpotential; (c) and (d) Ni radius and cathodic overpotential for different YSZ surface area.

Various methods can be used to reduce Ni particle agglomeration including (according to Equation 8) reducing Ni/YSZ weight or volume ratio, increasing the initial Ni particle size and/or increasing YSZ surface area. However, decreasing Ni/YSZ volume ratio is undesirable as it may fasten the loss of electronic conductivity (Equations 20 to 24). Increasing the initial Ni particle size could increase the cathode porosity which may affect the mechanical strength and electronic conductivity due to lower connectivity between particles [38]. Hence, we focus on YSZ surface area to improve Ni-YSZ material.

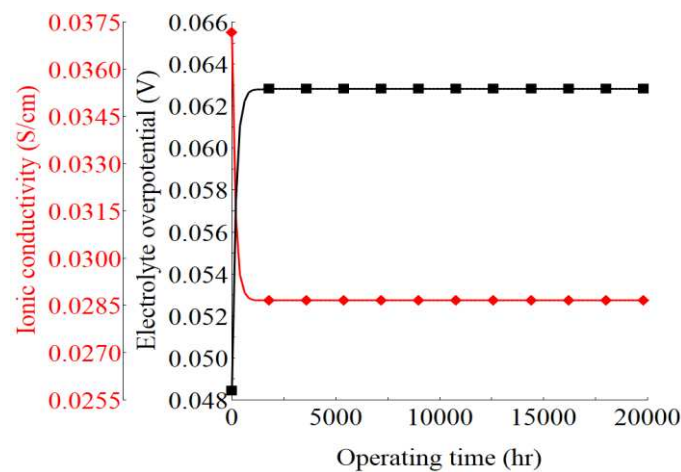
YSZ surface area can be modified through the pre-calcination technique. Jia et al.[57] reported YSZ surface area of Ni-YSZ cermet between 0.48 to 2.43  $\text{m}^2/\text{g}$  for raw and pre-calcined YSZ at 900–1200°C. Figure 8c depicts the evolution of Ni particle radius for different  $A_{\text{YSZ}}$  wherein 0.41  $\text{m}^2/\text{g}$  is the base case used in Figures 8a and 8b. The cathodic overpotential is only 19.5 mV for



1  $A_{YSZ} = 1.5 \text{ m}^2/\text{g}$  after 20,000 hours of operation (Figure 8d). This is because YSZ acts as an inhibitor  
2 for Ni dispersion and sintering [57].

### 3 5.4.3.2. Electrolyte structural degradation

4 The effect of YSZ phase transition from cubic to tetragonal on the ionic conductivity and electrolyte  
5 overpotential is illustrated in Figure 9. YSZ ionic conductivity rapidly decreases from 0.0372 to  
6 0.0291 S/cm the first 500 hours of operation and remain constant from 0.0289 S/cm. This agrees  
7 with experimental data reported for YSZ ionic conductivity using different  $\text{Y}_2\text{O}_3$  mol% (2–10%)  
8 [58]. The associated overpotential follows the same trend and varies from 48.4 to 62.8 mV with a  
9 degradation rate of 0.06%/1000hrs after 20,000 hours of operation. The decrease in YSZ  
10 conductivity the first 500 hours is due to the short-range order-disorder transformation taking place  
11 in the crystal lattice. Because the oxygen ions are still able to easily diffuse after the transition  
12 period, YSZ conductivity remains stable once equilibrium is reached [58]. It is sensitive to conclude  
13 that YSZ electrolyte degradation does not significantly contribute to SOEC degradation regarding  
14 long-term performance since its ionic conductivity remains unchanged once the transition is  
15 completed.



16

17

**Figure 9:** Impact of YSZ electrolyte phase transition on SOEC performance.

18

### 5.4.3.3. Anode structural degradation

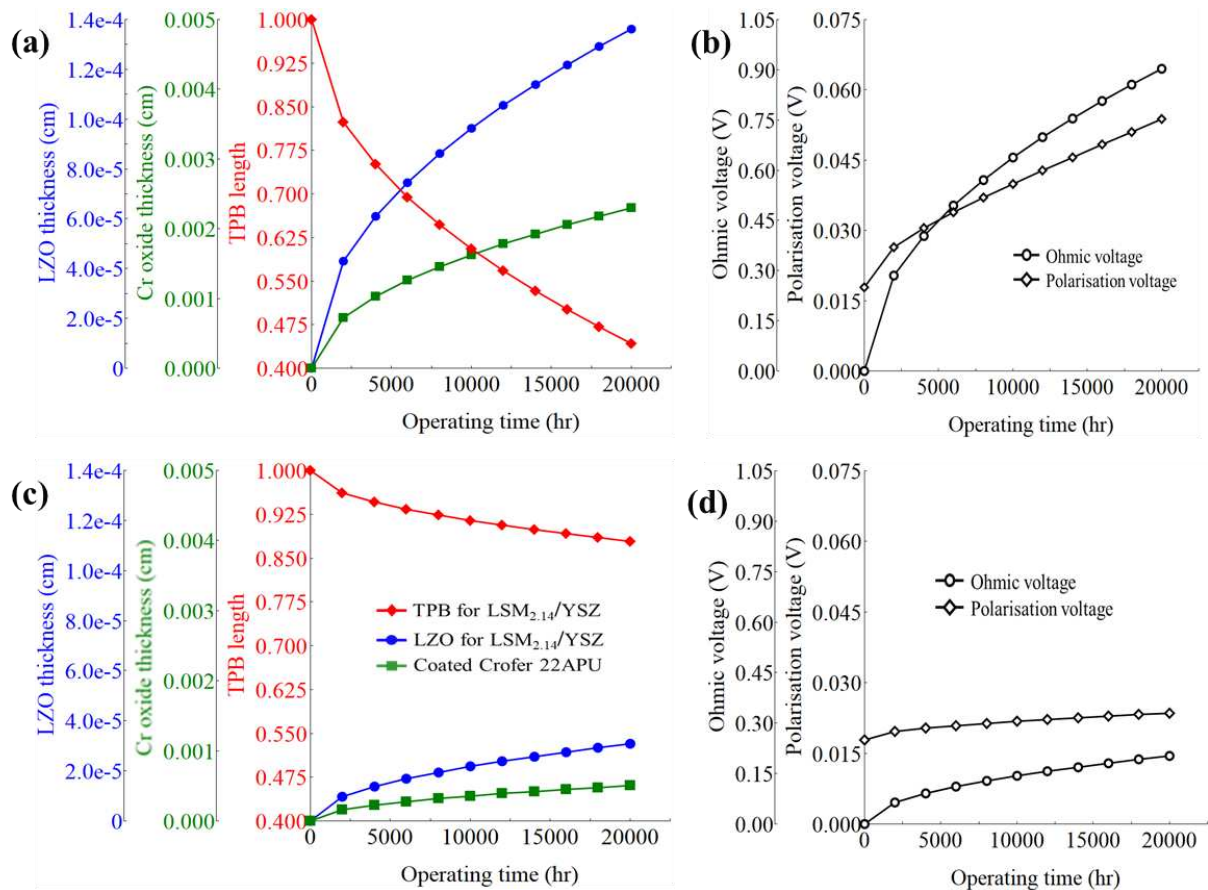
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20

Figures 10a and 10b illustrate the increase in ohmic and polarisation voltages associated with LSM-YSZ phase coarsening and the formation of LZO and COS layers for the base case. After 20,000



1 hours of operation, the ohmic voltage rises from 0 to 902.7 mV due to LZO and COS thickness  
 2 growth from 0 to  $1.4 \times 10^{-4}$  cm and 0 to  $2.3 \times 10^{-3}$  cm, respectively. As the TBP length drops from  
 3 1.0 to 0.44, the polarisation voltage increases from 17.9 to 53.8 mV. The overall degradation rate  
 4 is 3.96%/1000hrs. Therefore, long-term SOEC degradation is mostly due to LSM-YSZ anode  
 5 delamination. The rate of COS growth can be lowered by applying anti-oxidant coating on the  
 6 interconnect surface at the anode electrode side. When applying  $\text{La}_{0.8}\text{Sr}_{0.2}\text{CoO}_3$  coating on the  
 7 surface of Crofer 22 APU interconnect,  $K_{g,\text{COS}}$  of the coated interconnect decreases from  $2.40 \times 10^{-9}$   
 8 to  $1.15 \times 10^{-10} \text{ g}^2/\text{cm}^4 \cdot \text{hr}$  [49]. COS thickness is now  $5.0 \times 10^{-4}$  cm after 20,000 hours of operation  
 9 (Figure 10c) due to lower  $K_{g,\text{COS}}$  obtained after coating.



10

11 **Figure 10:** Effects of LSM-YSZ degradation on SOEC performance: (a) LZO thickness, Cr oxide  
 12 thickness and TPB length for base case; (b) Ohmic and polarisation voltages associated with base case; (c)

13 LZO thickness, Cr oxide thickness and TPB length for improved LSM-YSZ and (d) Ohmic and  
 14 polarisation voltages associated with improved LSM-YSZ material.

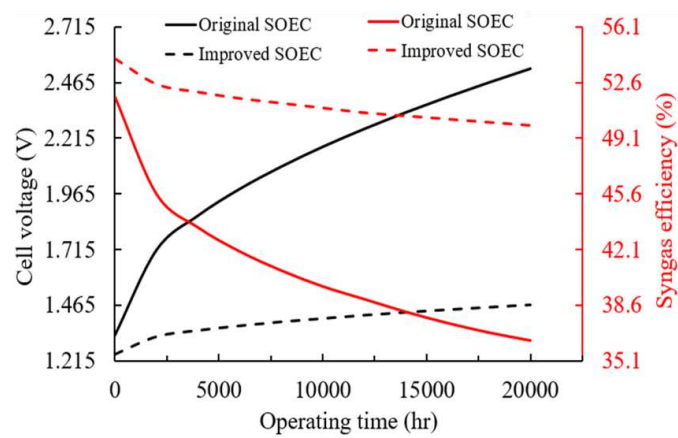
1 LSM/YSZ with excess Mn and/or lower sintering temperatures can help to suppress or at least  
2 delay LZO growth. Excess Mn can be obtained by doping LSM with Mn or lowering La to Sr ratio  
3 in the A-site of LSM [48,59]. A-site deficient  $\text{La}_{0.65}\text{Sr}_{0.3}\text{MnO}_3/\text{YSZ}$  (La/Sr ratio = 2.14) sintered at  
4 1000 °C achieved a  $K_{g,\text{LZO}}$  of  $2.26 \times 10^{-3} \text{ g}^2/\text{cm}^4.\text{hr}$  [59]. This is lower than that of  
5  $(\text{La}_{0.75}\text{Sr}_{0.25})_{0.95}\text{MnO}_3/\text{YSZ}$  (La/Sr ratio = 3.1) sintered at 1200°C used for the base case. Applying  
6  $\text{La}_{0.65}\text{Sr}_{0.3}\text{MnO}_3/\text{YSZ}$  material ( $\text{LSM}_{2.14}/\text{YSZ}$ ), LZO thickness is only  $3.1 \times 10^{-5} \text{ cm}$  after 20,000  
7 hours of operation (Figure 10c). This is because LZO growth is controlled by  $\text{Mn}^{2+}$  diffusion and  
8 the surface diffusion constant is relatively low for  $\text{LSM}_{2.14}/\text{YSZ}$  material ( $5.76 \times 10^{-11} \text{ cm}^2/\text{hr}$ ) [59].  
9 Since LSM-YSZ phase coarsening is also controlled by  $\text{Mn}^{2+}$  diffusion (Section 2.4.3), TPB length  
10 evolution with operating time is also lower for  $\text{LSM}_{2.14}/\text{YSZ}$  material (Figure 10c). The ohmic and  
11 polarisation voltages of the improved LSM-YSZ material are reduced by 77.6% and 56.1% with  
12 an average degradation rate of 0.88%/1000hrs after 20,000 hours of operation (Figure 10d).

### 13 **5.5. Overall SOEC performance and durability**

14 The overall SOEC syngas production efficiency and performance degradation due to structural  
15 changes of Ni-YSZ/YSZ/LSM-YSZ material is presented in Figure 11. For the original SOEC, the  
16 syngas production efficiency decreases from 51.7 to 36.4% at  $1.5 \text{ A}/\text{cm}^2$  after 20,000 hours of  
17 operation. The average degradation rate is 4.47%/1000 hours with 0.021%/1000hrs due to Ni  
18 agglomeration, 0.06%/1000hrs caused by YSZ electrolyte phase transition and 3.96%/1000hrs  
19 resulting from LSM-YSZ anode delamination. After optimisation of operating conditions and  
20 improvement of Ni-YSZ/YSZ/LSM-YSZ material set, the overall degradation rate is reduced to  
21 0.89%/1000hrs with a syngas production efficiency varying from 54.1 to 49.9% after 20,000 hours  
22 of operation.

23 The above findings indicate that operating SOEC at adequate temperatures and cathode feed  
24 composition are essential for obtaining better degradation rate and enhancing syngas quality and  
25 production. The proper choice of Ni-YSZ cathode and LSM-YSZ anode compositions, as well as  
26 anti-oxidant coating of interconnects, are crucial for achieving low degradation rates. From this,

1 we can see that SOEC using conventional and low-cost materials can be sufficiently stable for  
 2 large-scale application. Although the reported average degradation rate of 0.89%/1000hrs is below  
 3 the target rate for commercialisation (1.0%/1000hrs), efforts are still needed to assess other sources  
 4 of degradations such as mechanical failures and other component degradation (e.g. sealing).  
 5 Furthermore, improved materials reported in this work have been experimentally tested for less  
 6 than 1500 hours. Therefore, long-term degradation studies are required to fully assess the improved  
 7 Ni-YSZ/YSZ/LSM-YSZ material stability.



8  
 9 **Figure 11:** SOEC degradation and syngas production efficiency for the original and improved Ni-  
 10 YSZ/YSZ/LSM-YSZ material set.

## 11 6. Conclusion

12 This paper presents a 1D pseudo-dynamic model of planar SOEC operating under CO<sub>2</sub>/H<sub>2</sub>O co-  
 13 electrolysis. The model incorporates electrochemical reactions, mass transport and structural  
 14 degradation of SOEC component materials. The developed model was validated in Aspen Plus<sup>®</sup>  
 15 using Fortran<sup>®</sup> routines. The effects of operating conditions and structural degradation of Ni-YSZ  
 16 cathode, YSZ electrolyte, LSM-YSZ anode and Crofer 22 APU interconnect materials on SOEC  
 17 durability and syngas production efficiency were examined.

18 Lowering SOEC operating temperatures and cathode feed gas composition with higher H<sub>2</sub>O content  
 19 can help to reduce SOEC degradation rate and enhance the syngas production efficiency during  
 20 long-term performance. YSZ electrolyte phase transition affects SOEC electrochemical

1 performance only during the first 500 hours of operation. The long-term degradation rate caused  
2 by Ni agglomeration at the cathode is 0.021%/1000hrs whereas, the degradation rate of  
3 3.96%/1000hrs results from LSM-YSZ phase coarsening and growth of COS and LZO layers at  
4 the anode. The degradation rate at the anode can be reduced by 77.8% when coating  $\text{La}_{0.8}\text{Sr}_{0.2}\text{CoO}_3$   
5 anti-oxidant on the surface of Crofer 22 APU interconnect and adjusting La to Sr ratio in the A-site  
6 of LSM. The syngas efficiency of the original SOEC set drops from 51.7 to 36.4% at 1.5 A/cm<sup>2</sup>  
7 with an average degradation rate of 4.22%/1000hrs. The degradation rate of the improved SOEC  
8 material set is 0.89%/1000hrs after 20,000 hours of operation which is acceptable for commercial  
9 deployment.

## 10 **Conflicts of interest**

11 There are no conflicts to declare.

## 12 **Acknowledgements**

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