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# High above-ground carbon stock of African tropical montane forests

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#### **Abstract**

Tropical forests store 40-50% of terrestrial vegetation carbon<sup>1</sup>. Spatial variations in aboveground live tree biomass carbon (AGC) stocks remain poorly understood, in particular in tropical montane forests<sup>2</sup>. Because of climatic and soil changes with increasing elevation<sup>3</sup>, AGC stocks are lower in tropical montane compared to lowland forests<sup>2</sup>. Here we assemble and analyse a dataset of structurally intact old-growth forests (AfriMont) spanning 44 montane sites in 12 African countries. We find that montane sites in the AfriMont plot network have a mean AGC-stock of 149.4 Mg C ha<sup>-1</sup> (95% CI 137.1-164.2), comparable to lowland forests in the African Tropical Rainforest Observation Network<sup>4</sup> and about 70% and 32% higher than averages from plot networks in montane<sup>2,5,6</sup> and lowland<sup>7</sup> forests in the Neotropics, respectively. Notably, our results are two-thirds higher than the IPCC default values for these forests in Africa<sup>8</sup>. We find that the low stem density and high abundance of large trees of African lowland forests<sup>4</sup> is mirrored in the montane forests sampled. This carbon store is endangered: we estimate that 0.8 million ha of old-growth African montane forest have been lost since 2000. We provide country-specific montane forest AGC stock estimates modelled from our plot network to help guide forest conservation and reforestation interventions. Our findings highlight the need for conserving these biodiverse<sup>9,10</sup> and carbon-rich ecosystems.

#### Main text

Tropical forests cover less than 10% of the global land area yet store 40–50% of terrestrial vegetation carbon¹ and contribute more than one third of primary productivity¹¹ so are a key component of the global carbon cycle¹².¹³. There is substantial variation in carbon stocks across the biome, with lowland forests in Africa and Borneo storing more carbon per unit area than lowland forests in the Neotropics⁴.¹ This variation arises partly from structural differences: the signature feature of African forests is their low stem density but relatively high abundance of large trees (>70 cm diameter) which store large quantities of carbon, while Bornean forests are characterised by high stem density and basal area⁴,¹⁴.⁴¹.¹⁵.

Despite increased understanding of biogeographic differences in tropical lowland forests, patterns of spatial variation in carbon stocks remain poorly understood in the 880,000 km<sup>2</sup> of tropical montane forests located  $\geq$  1,000 m asl<sup>2</sup>. Montane forests are expected a priori to have lower aboveground live tree biomass carbon (AGC) stocks than lowland forests because (1) temperature decreases with increasing elevation, reducing net primary productivity and slowing nutrient recycling, (2) long periods of cloud immersion in montane forests suppresses productivity, (3) soil waterlogging slows nutrient recycling and (4) high epiphyte load, local wind exposure in crests and nutrient-limited soils limit tree size and increase investment in roots over shoots<sup>3</sup>. While forest inventory plots provide some support for these assumptions<sup>2</sup> data from African mountain regions are exceptionally sparse. Indeed, in the most recent IPCC guidelines, there is no specific AGC default value for old-growth montane forests in Africa: the value given of 89.3 Mg C ha<sup>-1</sup> is simply a mean of secondary and oldgrowth forests found ≥ 1,000 m asi<sup>8</sup>. Mountain areas also pose special challenges for remote-sensing approaches for estimating carbon stocks, as radar data are affected by geometric distortions<sup>16</sup> while steep slopes bias spaceborne LiDAR estimates towards overestimating canopy height<sup>17</sup>. These issues are reflected in the limited correlation between estimates of AGC-stocks at mountain locations from different recent remote-sensing derived carbon maps (Supplementary Information Table S1).

Better understanding of montane carbon stocks is important for many African countries, particularly in eastern Africa where montane forests represent most of the extant evergreen old-growth forest cover. Quantifying carbon stocks in these ecosystems is critical for estimating national carbon losses from deforestation and forest degradation<sup>18</sup>. Quantifying carbon stocks in old-growth montane forests also serves to constrain potential carbon uptake by restored natural forests, given the high commitment of most African nations to the Bonn Challenge effort to restore 150 million ha of degraded and deforested lands by 2020 (see Table 1), and 350 million by 2030.

Here we measured, compiled and analysed an unprecedented dataset of 226 plot inventories spanning 44 sites in 12 African countries, covering most major mountain regions on the continent (the "AfriMont" dataset). Plots range from 800 to 3,900 m asl to include submontane forests (800-1,000 m asl) in smaller mountains closer to the ocean<sup>19,20</sup>. For all plots, stem diameter and species were recorded for each tree ≥10 cm diameter at breast height (or above buttress) following standard methods<sup>21</sup>. Tree height was sampled in 23 montane sites, allowing variation in height-diameter allometry to be incorporated into the calculation of aboveground biomass. A total of 72,336 stems with diameter ≥10 cm were measured. For each tree, we computed AGC (in Mg C ha<sup>-1</sup>) according to standard procedures (see methods).

We find that the mean plot-level AGC-stock across sampled African tropical montane forests is 149.4 Mg C ha<sup>-1</sup> (95% CI 137.1-164.2), two-thirds more than the IPCC default value of 89.3 Mg C ha<sup>-1</sup>. Our estimates are robust to subsampling our dataset (Extended Data Fig. 1) and excluding small plots (Extended Data Fig. 2) and are not affected by the sampling strategy used to establish plots in each study site (Extended Data Fig. 2). Comparing our dataset to previous syntheses of montane<sup>2,5,6</sup> and lowland<sup>7</sup> forest plot networks reveals that tropical montane forests in Africa have significantly higher

AGC-stocks per unit area than both montane (95% CI = 50.4 - 71.9 Mg C ha<sup>-1</sup>) and lowland (95% CI = 124.0 - 147.9 Mg C ha<sup>-1</sup>) forests in the Neotropics, and that they do not differ significantly from lowland forests in Africa (95% CI = -27.6 - 9.6 Mg C ha<sup>-1</sup>, Fig. 1, Table S2). The similar AGC-stocks in montane and lowland forests in Africa contrasts with the Neotropics and Southeast Asia, where carbon stocks are lower in montane forests than lowland forests (albeit not significantly different in Southeast Asia due to the small sample size, Fig. 1). These differences are robust to accounting for differences in elevation among montane datasets: removing African plots 800-1,000 m asl slightly reduces estimated montane forest AGC-stock to 145.0 Mg C ha<sup>-1</sup> (95% CI 129.6 – 163.2), but observed differences in AGC-stock among continents remain when plots are restricted to elevations well represented in all continents (Extended Data Fig. 3).

The characteristic structural properties of lowland African forests (relatively low stem density and greater importance of large trees compared to elsewhere in the tropics<sup>4</sup>) are also evident in the African montane forests we sampled. In these montane forests mean stem density is 483.3 stems ha<sup>1</sup> ( $\pm$  177.7 s.d.) and mean basal area is 39 m<sup>2</sup>ha<sup>-1</sup> ( $\pm$  14.8 s.d.). We find a high density of large stems (>70 cm diameter, 19.1 stems ha<sup>-1</sup>  $\pm$  15.4 s.d.) which contribute 35.3% (95% CI = 29.6 – 41.8 %) to plot-level AGC-stock (Fig. 2). The contribution of large trees to plot-level AGC-stock is also similar in montane and lowland Africa (95% CI of difference in square-root transformed proportional contribution of large trees between lowland and montane forests = -0.100 - 0.075, P = 0.80). There was no significant difference in the proportional contribution of any other size class to AGC-stocks between our montane dataset and 132 lowland plots from the AfriTRON network (P≥0.24, Table S3), although greater variation among plots is observed in montane forests (Fig. 2).

To investigate if elevation affected AGC or forest structure, we modelled these variables as functions of elevation using random slopes mixed-effects models. This approach allows intercepts and relationships to vary among sites, which would be expected as mountains can have very different climate at the same elevation due to proximity to the ocean (generally the further, the drier) and because of the mass-elevation or telescopic effect<sup>22</sup> (larger mountains are better at warming the atmosphere above them). We found that AGC, stem density or density of large stems (>70 cm diameter) were not significantly related to elevation (Fig. 3, Table S4). Across sites these non-significant relationships were all negative, although there was some variation in strength and direction amongst sites (Fig. 3). Similarly, in the Neotropics and Southeast Asia montane forest plot datasets, AGC was not significantly correlated with elevation (Extended Data Fig. 4).

To assess potential environmental drivers of AGC-stock variation across the AfriMont plot network, we related AGC to climate, soil and topography. We found that AGC-stocks increased with annual precipitation (albeit not statistically significantly), decreased with soil fertility and were higher in plots which were locally at higher elevation than their surroundings (Extended Data Fig. 5). Relationships with other environmental variables were non-significant (Extended Data Fig. 5). Although global datasets might not capture fine-scale variation in climate or soils in mountain regions<sup>23</sup>, leading to regression dilution<sup>24</sup>, the general absence of strong climate effects combined with the lack of significant effect of elevation on AGC-stocks suggest that the high AGC-stock of African montane forests is a pervasive phenomenon across a wide environmental gradient.

Although the AfriMont dataset covers most major mountain areas in tropical Africa (Fig. 4), some areas remain under-sampled relative to forest extents (Extended Data Fig. 6), resulting in some differences between the environmental conditions sampled by our plot network and the wider montane forest biome in Africa (Extended Data Fig. 7). Notably, the absence of plots from montane forests of eastern Democratic Republic of the Congo (Fig. 4, Extended Data Fig. 6) means that the AfriMont dataset samples forests that are, on average, at higher elevations, and that are cooler and cloudier than the wider montane forest biome in Africa (Extended Data Fig. 7). Using relationships

with environmental variables (Extended Data Fig. 5) to predict AGC-stocks in each 1-km grid cell containing montane forest gives a mean (weighted by remaining forest cover) AGC-stock of 176.9 Mg C ha<sup>-1</sup> (± 32.0 s.d.) for the tropical montane forest biome in Africa. This indicates that the estimate we report based on our AfriMont plot network data (149.4 Mg C ha<sup>-1</sup>) is conservative.

Several mechanisms could explain the high AGC-stock of montane forests in the AfriMont plot network. Firstly, large herbivores such as elephants (*Loxodonta* spp.) can have profound effects on forest structure by consuming biomass, destroying small stems, dispersing seeds and transporting nutrients<sup>25</sup>. Studies for lowland forests suggest that elephants can increase carbon stocks<sup>26,27</sup>. We tested if AfriMont plots with known elephant presence as of 2019 had significantly higher AGC-stocks, but found that they had significantly lower AGC-stocks, although significant differences were not observed in some countries (Extended Data Fig. 8). While the initial ecosystem response to elephant removal might be greater AGC-stocks due to reduced biomass consumption and small-stem destruction, the longer-term effects might differ. We were unable to fully disentangle such effects, as we lacked details on both i) time since elephant extirpation, and ii) elephant abundance and its determinants (see Table S5).

A second potential explanation is a relatively low frequency of large-scale abiotic disturbances, allowing trees time to grow large and stands to self-thin, as is seen in lowland African forests<sup>4</sup>. For example, tropical cyclones are largely absent in mainland Africa (except in Mozambique<sup>28</sup>) and lava flows are limited even in the active volcano of Mt Cameroon<sup>29</sup>. Although fine-scale variability in landslide risk limits comparisons across large spatial scales, there are fewer areas with high landslide susceptibility in mountains in tropical Africa than in the Andes and most mountain ranges in Southeast Asia<sup>30</sup>. If forests have been ecologically stable over evolutionary timescales, tree species may be adapted to grow slowly but potentially reaching great sizes<sup>31</sup>. On Mt Kilimanjaro *Entandrophragma* individuals reach enormous heights and ages<sup>32</sup>. This low frequency of large-scale abiotic disturbances contrasts with the Andes and several mountains in Southeast Asia (e.g. Barisian mountains in western Sumatra), which are tectonically active, so the trees there are adapted to sudden disturbance followed by intense competition to get established and grow. Future monitoring of the AfriMont plot network will help determine the extent to which the high biomass of African tropical montane forests results from them being dynamic and productive, or adapted to stability.

A third potential explanation could be the presence of conifers<sup>33</sup>. Mixed conifer/broad-leaved forests tend to have greater basal area than purely broad-leaved forests due to a more effective use of light and other resources<sup>34</sup>. Podocarpaceae can be found in montane forests across the tropics<sup>35</sup>. Despite having fewer species in Africa than in other continents<sup>36</sup>, these could be more abundant at the site-level. However, there is no pantropical comparative study on Podocarpaceae abundance in tropical montane forests. In our dataset there was no significant correlation between plot-level AGC-stock and conifer (Podocarpaceae) abundance (Extended Data Fig. 9). Other explanations could be continental differences in mountain terrain (more gentle slopes or plateau regions in Africa) or types of montane forests investigated (less cloud forest existing/sampled in Africa). Within our dataset, slope did not have a significant effect on AGC-stocks (Extended Data Fig. 5). Contrary to the Neotropics<sup>37</sup>, there is no high-resolution map of cloud forests available for Africa, so while we found no relationship between AGC-stock and cloud frequency (Extended Data Fig. 5), we were unable to investigate differences in AGC-stock between cloud forest vs non-cloud forest plots.

To understand the policy implications of our findings for African countries, we calculated montane (≥800 m asl) forest cover change between 2000 and 2018, using forest cover from ref.<sup>38</sup> clipped to 'primary humid forest' from ref.<sup>39</sup>. We show that tropical montane forests represent most -or all-evergreen old-growth forests found in ten African countries (Fig. 4), and that the Democratic Republic of the Congo has two thirds of the remaining 16 million ha of montane forests in Africa.

Over 0.8 million ha (5%) have been lost in Africa since 2001, with the highest losses in the Democratic Republic of the Congo (536,000 ha), Uganda (65,000 ha) and Ethiopia (62,000 ha) (Fig. 4, Table 1). In terms of percentage, Mozambique and Côte d'Ivoire lost over 20% of their montane forests over this period (Fig. 4, Table 1). In some sites, however, a larger proportion of montane forests was lost before 2000, e.g. in Taita Hills in Kenya<sup>40</sup>. If absolute country-level deforestation rates continue, a further 0.5 million ha of tropical montane forests will be lost by 2030.

and in the Usambara Mts, Tanzania<sup>45</sup>).

African tropical montane forests are not only carbon-rich, but they also harbour some of the highest concentrations of biodiversity and endemism in the world<sup>9,10</sup>. They are important 'water towers' as, located at the headwaters of numerous river systems, including the Congo and the Nile, they regulate timing and magnitude of runoff<sup>9</sup>. They also regulate local temperatures<sup>41</sup> and provide numerous other services to people in the surrounding landscapes<sup>9</sup>. Clearly, more should be done to avoid the destruction of these important ecosystems. Logging, mining and clearing land for farming, but also political unrest and militia presence have affected -and continue to affect- these forests, e.g. in Itombwe Mts in the Democratic Republic of the Congo<sup>42</sup>. Protected areas are known to help reduce deforestation in the tropics<sup>43</sup>. Beyond protected areas, other forest conservation mechanisms could be implemented, including effective carbon finance. Previous IPCC AGC-stock estimates for montane forests in Africa (89.3 Mg C ha<sup>-1</sup>) may have contributed to low incentives for carbon finance mechanisms in these ecosystems. Our study shows the far greater carbon storage potential in these tropical montane forests, which will be even higher if soil carbon stocks are considered (e.g. > 200 Mg C ha<sup>-1</sup> of organic carbon occurs in the top 0-30 cm soil on Mt Cameroon<sup>44</sup>

As well as conserving the remaining montane forests, efforts to restore them are critical. Forest restoration at one of our sites, Kibale National Park in Uganda, indicates the potential for rapid AGC accumulation<sup>46</sup>. Our study shows the high potential AGC-stock these montane forests can attain. The possible co-benefits of forest restoration, notably water regulation, control of soil erosion and landslides and biodiversity conservation should also be considered. Most African nations are committed to the Bonn Challenge; Ethiopia leading with 15 million ha committed (Table 1). We provide country-specific estimates of potential AGC-stocks based on forests sampled in the AfriMont dataset to help guide such interventions (Table 1, Extended Data Fig. 10). Caution is needed when scaling-up our estimates to the landscape scale, as not all forests are closed-canopy old-growth and structurally intact. Remote sensing or ancillary data (landcover maps, spatial environmental data) could be used to identify e.g. exotic plantations, degraded or bamboo forests, and thus help create detailed AGC maps at different spatial scales<sup>18,47</sup>. A closer collaboration between air-borne, spaceborne and ground approaches (such as the AfriMont and AfriTRON plot networks) is key for accurate quantification and monitoring of landscape-scale tropical forest AGC-stocks, particularly in mountain regions.

Our newly compiled dataset and analysis has provided the first large-scale quantification of AGC-stock in African tropical montane forests, indicating it to be on average substantially higher than previously thought. While there is variation around this mean AGC-stock within and across sites, it is not systematically related to elevation. Apart from helping refine country-level estimates, IPCC guidelines and ground-calibration of remote-sensing estimates, continued on-the-ground monitoring of the AfriMont plot network will help determine ecosystem dynamics and carbon residence time in these extraordinarily carbon-rich forests, as well as their responses to climatic changes.

# Figures main document

Fig. 1 | Pantropical variation in aboveground carbon stocks sampled by plot networks in montane (≥ 800 m asl) and lowland (< 800 m asl) tropical forests. Data from this study for African montane forests (n = 226 plots), montane forests in the Neotropics (n = 131) and Southeast Asia (n = 32) from ref.<sup>2,5,6</sup>, lowland forests in Africa (n = 290), the Neotropics (n = 416) and Southeast Asia (n = 60) from ref.<sup>7</sup>. Coloured points show the AGC-stock in each plot, with point size proportional to square-root plot area. Black points show means for each continent-elevation category estimated using linear mixed-effects models with site as a random effect, and lines show 95% confidence intervals around means. Letters indicate significant differences between continent elevation category combinations (linear mixed-effects models with site as a random effect, P < 0.05).

Fig. 2 | Proportion of plot-level aboveground carbon stock and stems accounted for by each size class in montane and in lowland forests in Africa. Statistically significant differences in contribution of each size class between montane and lowland forest plot networks are shown by asterisks (linear mixed-effects model, P < 0.05). NS = non-significant difference. Montane (n = 226), lowland (n = 132).

Fig. 3 | Relationship between elevation and (a) plot-level aboveground carbon stock, (b) stem density and (c) stem density of large stems (>70 cm diameter) for the AfriMont dataset. Note log-scale of y-axis. Each response variable was log-transformed and modelled as a function of elevation with a linear mixed-effect models with random slopes. The dashed line shows the relationship across sites (non-significant in all cases,  $P \ge 0.3$ , Table S4), while the black lines show the relationship within each site. Point sizes are proportional to square-root plot area. A polynomial model allowing a non-linear relationship with elevation was also tested but not supported over the linear model in any case ( $P \ge 0.7$ , Table S4). The absence of a significant relationship with elevation is robust to removing the two highest elevation sites, RWE and VRG (Table S4).

**Fig. 4.** | **Old-growth evergreen humid forests in lowland and montane tropical Africa.** Forest extends circa 2018. Note that montane includes submontane forests (800-1,000 m asl, light purple). Montane forests represent most (or all) evergreen humid old-growth forest in ten African nations: Burundi, Ethiopia, Kenya, Rwanda, Tanzania, Uganda and Zimbabwe (included in AfriMont); and Zambia, Malawi and South Sudan (no plot data available). Forest cover extracted from ref.<sup>38</sup> and clipped to 'primary humid forest' using ref.<sup>39</sup>. See Table 1 for country-level absolute estimates.

Table 1 | Remaining forest area and aboveground carbon estimates for montane and lowland
 tropical forests in Africa

Country	Montane (ha)	Montane lost (ha)	Montane AGC (Mg ha <sup>-1</sup> , 95% CI)	Montane sites (plots)	Lowland (ha)	Lowland AGC (Mg ha <sup>-1</sup> , 95% CI)	Lowland plots	Bonn Challenge by 2020 (ha)
Burundi	25,000	300	<b>94</b> (47-176)	1 (7)	0		0	2 million
Cameroon	840,000	30,200	<b>153</b> (121-195)	7 (37)	17.7 million	<b>166</b> (151-185)	72	12 million
DRC	10.2 million	536,500	<b>129</b> (84-202)	2 (37)	90 million	<b>158</b> (135-183)	48	8 million
Ethiopia	1.7 million	62,100	<b>165</b> (124-215)	8 (25)	145,000	a	0	15 million
Guinea	29,000	1,700	<b>314</b> (147-616)*	1 (2)	193,000	<b>157</b> (122 – 206) <sup>c</sup>	24	2 million
Kenya	568,000	44,100	<b>104</b> (79-136)	8 (38)	37,000		0	5.1 million
Mozambique	18,000	6,600**	<b>226</b> (146-384)*	3 (4)	93,000	b	0	1 million
Nigeria	42,000	1,400	<b>120</b> (47-309)*	1 (1)	1.8 million	<b>161</b> (105-262)	2	4 million
Rwanda	53,000	300	<b>106</b> (65-168)	2 (11)	0		0	2 million
Tanzania	587,000	13,900	<b>175</b> (129-234)	6 (29)	130,000	<b>128</b> (101-163)	16	5.2 million
Uganda	427,000	64,600**	<b>158</b> (111-209)	6 (23)	18,000		0	2.5 million
Zimbabwe	7,000	800**	<b>203</b> (108-363)	1 (12)	<1,000		0	2 million

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283 Forest cover circa 2018 was extracted from ref.<sup>38</sup> and clipped to 'primary humid forest' using ref.<sup>39</sup>.

284 Montane forest lost covers the period 2000-2018. Mean aboveground carbon (AGC, in Mg C ha<sup>-1</sup>)

estimates for montane (or lowland) forests were estimated from AfriMont and AfriTRON plot

network data. Mean AGC values are in boldface, 95% confidence intervals in parentheses. For details

on sites and plots used see Table S5. Bonn Challenge pledges for 2030 not yet available.

<sup>a</sup> ref. <sup>48</sup> report 192 Mg C ha<sup>-1</sup> for lowland; <sup>b</sup> ref. <sup>49</sup> report 132.2 Mg C ha<sup>-1</sup> for lowland. <sup>c</sup> Data from neighbouring Liberia.

\* few plots sampled, or very small plots sampled, AGC estimates may not be robust, see Extended data Fig. 10.

\*\*Montane forest loss in Mozambique, Uganda and Zimbabwe represents 27%, 13% and 10% of the existing montane forest in 2001, respectively. Montane forest loss in Côte d'Ivoire (no plot data available) was estimated to be 21% for the same period.

#### References

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#### Methods

#### AfriMont – montane Africa dataset

We compiled forest inventory plot data from the African Tropical Rainforest Observatory Network (AfriTRON; www.afritron.org), with data curated at www.ForestPlots.net<sup>50,51</sup> and the TEAM network<sup>52</sup>, as well as from numerous site-specific publications detailed in Table S5 and mapped in Fig. 4. Plots were selected for the analysis when conforming to the following criteria: ≥800 m asl, closed-canopy evergreen wet or moist tropical forest, geo-referenced, old-growth and structurally intact (not impacted by recent selective logging, fire or coffee cultivation), with no exotic species present (e.g. Eucalyptus or Pinus spp.), all trees ≥10 cm diameter measured and majority of stems identified to species. We included plots from Virunga Massif in Rwanda/Uganda even when not 100% closed-canopy due to high abundance of naturally-occurring bamboo. In all plots, tree diameter was measured at 1.3 m along the stem from the ground, or above buttresses if present. In 23 sites tree height was sampled in the field for some stems, using a clinometer or a laser. Families and species names follow the African Plant Database (ville-ge.ch/cjb/bd/africa/). The AfriMont dataset consists of 72,336 stems, of which 92.9% were identified to species, 98.4% to genus and 98.5% to family. This dataset represents a standardised safe long-term repository of valuable historical data (four sites initially considered could not be included because tree-level data had already been lost by data owners).

# AfriTRON - lowland Africa dataset

The 132 lowland-forest plots are all from AfriTRON<sup>4,13,53</sup>. They were selected using the same criteria as above (but with elevation <800 m asl), restricted to countries for which we also had montane plots plus neighbouring countries where the mountains span international borders (e.g. Mt Nimba spans Guinea and Liberia). The dataset includes 51,305 stems, of which 89.6% were identified to species, 97.3% to genus and 97.7 % to family. The plot data were retrieved from forestplot.net on 06/01/2019. The plot locations and details are in Table S6.

#### Literature dataset

We compiled data on AGC-stocks in tropical lowland and montane forests to compare to the AfriMont data. Data for lowland forests came from ref. and consisted of all multi- and single-census plots that were <800 m asl. Data for montane forests were obtained from ref., with additional data from Venezuela (ref.) and Colombia (ref.). Montane plots were defined as  $\geq$ 800 m asl; elevation was not provided for the Colombian dataset so plots were selected based on the forest type, and these plots were excluded from analyses requiring elevation. To avoid double counting plots, Venezuelan and Colombian plots were removed from the ref. dataset.

# Aboveground carbon

For each tree in the montane dataset we used the published allometric equation by ref. 54 to estimate aboveground biomass. This allometric equation was created using data from directly harvested trees at 58 sites across the tropics, including eight sites with elevation ≥800m asl (range 900-3,000m asl including sites in Africa). We then converted this biomass to carbon, assuming that aboveground carbon (AGC, in Mg C ha<sup>-1</sup>) is 45.6% of aboveground biomass<sup>55</sup>. AGC for each plot was estimated as the sum of the AGC of each living stem, divided by planimetric plot area (in hectares). If field measurements of slope were unavailable, we converted surface to planimetric area extracting slope from the SRTM product. We excluded tree ferns, bamboo and palms, as these were not measured in all plots. Ref. 54 includes tree diameter, wood mass density and tree height. The best taxonomic match wood density of each stem was extracted from a global database 56,57 following ref. 53. For some sites, all trees in a plot had been sampled for height. If this was not the case, but some field measurements of height were available (typically ten stems per diameter class), we constructed a site-specific height-diameter model, using a Weibull equation following ref. 58. If no field measurements of height were available, we constructed a cluster-specific height-diameter model, using a Weibull equation, as explained in Table S7 in Supplementary Information. The same approach was used to calculate aboveground biomass for lowland forests. For these, height was estimated using a Weibull equation following ref.<sup>58</sup>.

# Small plots and data subsampling

For 22 sites where plots were small (<0.2 ha), we aggregated plots to groups of about 0.2 ha based on their geographic proximity, elevation, environmental affinity and the co-authors' knowledge of the site, to help reduce the variation among plots at site level. This is because the presence of an extremely large tree in a small plot can result in overestimates of AGC<sup>59</sup>. We investigated if using the aggregated-plot approach affected AGC-stock estimates at the site level, and this was not the case (Extended Data Fig. 2). We also investigated if including small plots affected the continental mean AGC-stock estimates, as small plots have greater edge surface, and there is a tendency of some field teams to include large trees inside plots when laying out the boundaries<sup>60</sup>. Including small plots did not significantly affect our continental mean AGC-stock estimates (Extended Data Fig. 2). We also explored the sensitivity of our continental mean AGC-stock estimates to data subsampling. Data were resampled at different sample sizes either at plot level (sampling with replacement) or at site level (sampling without replacement). The number of plots (n=226) and the number of sites (n=44) we sampled indicate that our estimates of AGC-stock at the continental level are robust (Extended Data Fig. 1). They are also not affected by the fact that we included plots 800-1,000 m asl (Extended Data Fig. 3).

### Size classes

For all plots, we computed the proportion of AGC which was distributed in each size-diameter class, using the classes of ref.<sup>15</sup>. We also computed stem density, basal area, density of large trees (>70 cm diameter, named SD<sub>70</sub> in stems ha<sup>-1</sup>) and Podocarpaceae abundance (in percentage of plot-level basal area).

### **Environmental variables and their effects**

Climate variables (temperature annual mean and seasonality, and precipitation mean and seasonality, i.e. Bio1, 4, 12 and 15) were extracted from WorldClimV2<sup>61</sup> at 30 arc-sec (~1-km) resolution. Mean temperature values were adjusted for the difference in elevation between the plot and the wider 1-km grid cell using the lapse rate of -0.005°C m<sup>-1</sup>. We obtained data on cloud cover from ref.<sup>62</sup> and lightning frequency (0.1 degree, ~11 km) from the LIS very high resolution climatology<sup>63</sup>. Values for soil variables (cation exchange capacity, CEC, representing soil fertility, and percentage clay representing soil texture) were extracted from SoilGrids<sup>64</sup> (~1-km resolution) and a depth-weighted mean taken for values from 0 to 30 cm depth to give a single value of each soil variable per plot. Elevation was obtained from SRTM (at 3 arc-second resolution, ~90 m).

Topographic metrics were calculated from elevation data using the terrain function in the raster R package. These were slope and topographic position index (TPI). TPI is the difference between the elevation of the plot and the mean value of the eight surrounding grid cells – positive values indicate locally high locations and negative values indicate locally low locations. Where small plots were aggregated for analysis, environmental variables were extracted for the ungrouped plot locations, and then an area-weighted mean taken to obtain a plot-level value.

#### **Elephant and conifer effects on AGC-stocks**

For the current elephant presence in the AfriMont plots, we created a binary variable (presence/absence) based on co-authors knowledge of elephant ranges and elevation distribution at each site as of 2019. Co-authors estimated that elephants were present in 2019 in 54 plots in 12 sites in five countries (see Table S5). For all plots which had at least one individual in the Podocarpaceae family (47 plots, 16 sites, 7 countries), we computed the contribution of Podocarpaceae to plot basal area and AGC-stock in terms of percentages.

# **Estimating forest cover and loss**

We obtained estimates of forest cover and loss in the years 2000 through to 2018, using the 'loss year' dataset of the Global Forest Change database, version 1.6 (ref. 38). To exclude plantation forests, 'dry' forests (e.g. miombo woodland) and degraded forests, we applied the 'primary humid forest' mask developed by ref. 39. We distinguished montane from lowland forests using an elevational cut-off of 800-m elevation, using the SRTM v3 product at 1 arc-sec resolution (snapping to the ref. 38 grid of the same resolution). Where there were gaps in the 1 arc-sec SRTM product, we filled these using a 1 arc-sec bilinear interpolation of the (gapless) 3 arc-sec SRTM product. Areal estimates of forest cover and loss were calculated at 30-m resolution using the Africa Sinusoidal projection. To estimate future forest loss by year 2030, we extrapolated absolute country-level deforestation rates for the period 2000-2018 (in ha per year).

#### **Investigating AfriMont representativeness**

To quantify AfriMont sampling effort within the montane forest biome in Africa, we used the map of tropical montane forest extent (see above) and calculated the amount of remaining forest in each 1-degree grid-cell. By dividing the area sampled in the AfriMont dataset by the proportion of this biome in a grid-cell, we calculated the expected sampling intensity if sampling was proportional to remaining forest extent. To assess how representative our plot network was of the environmental conditions of the wider tropical montane forest biome in Africa, we extracted the environmental data (climate and soil variables presented above) at ~1-km resolution from grid-cells that contained montane forest. We then visually compared the distribution of each variable in our dataset to its distribution across the biome (Extended Data Fig. 7).

# AfriMont vs global AGC maps

We extracted alternative AGC estimates for the AfriMont plots (unaggregated, n=666) from four different sources: Harris et al. (ref.<sup>65</sup>) (30-m resolution, dated 2000), the ESA CCI Biomass map<sup>66</sup> (100-m resolution, 2017), Saatchi, et al. (ref.<sup>67</sup>) (1-km resolution, 2007/8) and Avitabile et al. (ref.<sup>68</sup>) (1-km resolution, circa 2000-2010). Most of the AfriMont plots were sampled between 2000 and 2019 (Table S5). Where the plots were found within a single map pixel, we extracted that value. Where plots were larger than the pixel size, we averaged the values from the surrounding pixels weighted according to the proportion of the pixel that was in the plot.

### Statistical analysis

Data were analysed using linear mixed-effects models, with site as a random effect. Site was included as a random intercept in all models, and as a random slope where relationships were assessed against elevation. Allowing the slope of the elevation effect to vary amongst sites in this

way captures the a priori expectation for slopes to differ among sites, for example due to mass 549 550 elevation effects. The effect of plot size on variation was accounted for by weighting observations by 551 a power transformation of plot size; this was estimated during model fitting using the varPower function in the nlme R package (ref. <sup>69</sup>), and then models refitted using the Ime4 R package (ref. <sup>70</sup>) 552 using these estimated weights. Confidence intervals and P-values for mixed effects models 553 554 parameters were estimated by bootstrapping models (1,000 iterations) using the bootstrap parameters function in the parameters R package (ref. 71). AGC-stocks, stem density and 555 SD<sub>70</sub> were natural-log transformed (a small constant was added to SD<sub>70</sub> before log transforming to 556 557 avoid log-transforming zeros) to meet assumptions of normality and avoid heteroscedacity. Likewise, 558 the proportional contribution of each size class was square-root transformed. Differences in AGC-559 stocks between all combinations of lowland and montane forests amongst continents were assessed 560 using Tukey post-hoc tests implemented in the multcomp R package (ref. 72). Relationships between AGC-stocks and environmental variables were investigated by fitting all subsets of the full model 561 562 with all environmental covariates and averaging the best supported (ΔAIC<4) models (using dredge 563 and movel.avg functions in the MuMIn R package (ref. 73). We used these relationships with climate and soil to predict AGC-stocks in each 1-km grid cell containing montane forests (holding 564 565 topographic variables at their dataset wide mean), and then took the forest-area weighted mean of 566 these to obtain a single mean for the tropical montane forest biome in Africa. Differences in AGC-567 stocks between plots with and without elephants were tested using t-test with AGC-stocks natural-568 log transformed. We investigated if Podocarpaceae abundance (in terms of basal area) and plot 569 AGC-stocks were significantly correlated using Spearman's rank correlation coefficient. To 570 investigate if sampling design affected AfriMont AGC-stock estimates we used ANOVA to test 571 whether site-level mean AGC-stocks differed according to the sampling strategy used to establish 572 plots at that site. To explore the relationship between AfriMont AGC-stock estimates and global 573 maps, and among these global maps, we used Spearman's rank correlation test.

#### **References Methods**

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A.C-S. conceived the study and assembled the AfriMont dataset. A.C-S. and M.J.P.S. analysed the plot data (with contributions from S.L.L.) and wrote the manuscript. P.J.P. analysed forest extents and contributed to writing. S.L.L. conceived and managed the AfriTRON forest plot recensus programme. E.T.A.M. and V.A. helped compare plot data with remote sensing carbon maps. All coauthors read and approved the manuscript.

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**Competing interests** The authors declare no competing interests.

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# **Additional information**

Supplementary information is available for this paper at XX (to be added) Correspondence and requests for materials should be addressed to A. C-S. Reprints and permissions information are available at XX (to be added)

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# **Data availability**

Source data to generate figures and tables are available from:

765 https://doi.org/10.5521/forestplots.net/2021 5

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# **Code availability**

R code to generate figures and tables is available from:

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#### **Extended Data**

Extended Data Fig. 1 | Sensitivity of mean aboveground carbon stock estimates to data subsampling. AfriMont plot data were resampled at different sample sizes either at plot level (sampling with replacement) or at site level (sampling without replacement). N = 1,000 resamples for each sample size.

Extended Data Fig. 2 | Effect of plot area, aggregation procedure and plot design on estimates of aboveground carbon stocks across the AfriMont plot network. (a) Relationship between aboveground carbon stocks and plot area of plots prior to aggregation. The red line shows the fit of a locally weighted regression model (span = 0.75) relating these variables, with dashed lines showing the standard errors. (b) Variation in aboveground carbon stocks using either all plots prior to aggregation (unaggregated), plots prior to aggregation but excluding those < 0.2 ha (unaggregated, > 0.2 ha) or the aggregated plots used in the main analyses (aggregated). (c) Effects of plot design on aboveground carbon stocks (each site represents one dot). Sampling strategies include random or stratified random, plots positioned along transects, plots established within elevation bands, subjective measures such as choosing an area of forest considered representative of the wider area, and other strategies (one plot sampled per site or unclear strategy). Carbon stocks (log-transformed) did not differ significantly between sites with different sampling strategies (ANOVA: F4,39 = 0.432, P = 0.785). For specific site information see Table S5.

Extended Data Fig. 3 | Robustness of differences in tropical montane forest aboveground carbon (AGC) stocks among continents based on plot networks to differences in elevation. (a) Elevations of montane forests plots sampled in each continent. Violin plots show the distribution of data, with boxplots showing the median and interquartile range of elevation in each continent. (b) Effect of removing submontane plots (800-1,000 m asl) and high elevation plots (> 2,200 m asl, approximately the upper quartile of elevations for the African montane plot dataset) on AGC-stocks in montane forests sampled by plot networks in each continent. Mean AGC-stocks and 95% confidence intervals are shown as estimated by models using i) all data, ii) excluding plots 800-1,000 m, and iii) restricting plots to 1,000-2,200 m. Means for all plots differ from the analysis in Fig. 1 as literature plots without elevation data (plots in Colombia) were excluded from this analysis. Point symbols are proportional to square-root plot area. *N* = 324 plots.

Extended Data Fig. 4 | Relationship between aboveground carbon (AGC) stocks and elevation for tropical montane forests in each continent based on plot networks. Dashed lines show relationships from a linear mixed-effects model of log-transformed AGC-stocks as a function of elevation, continent and their interaction. Site was included as a random effect, and AGC-stock – elevation relationships allowed to vary among sites. Lines show fitted slopes across sites. Neither the overall relationship between elevation and AGC-stocks (slope = -0.039 [95% CI = -0.127 - 0.057], P = 0.420) nor interactions between elevation and continent (Southeast Asia, change in slope = -0.074 [-0.294 - 0.149], P = 0.503; Neotropics, change in slope = 0.006 [-0.132 - 0.149], P = 0.913) are statistically significant. N = 324 plots.

Extended Data Fig. 5 | Environmental drivers of aboveground carbon stocks across the AfriMont plot network. Coefficients are from a linear mixed-effects model with site as a random intercept.

Results are following all-subsets regression and model averaging, in which variables that do not appear in well supported models are given coefficients of zero, leading to shrinkage in model coefficients. Statistically significant relationships (P < 0.05) are indicated with asterisks. TPI refers to topographic position index (positive values indicate higher than surroundings, negative values indicate lower than surroundings).

 **Extended Data Fig. 6** | Expected sampling effort if effort was distributed in proportion to the area of tropical montane forest biome in Africa. Data are summarised at 1-degree resolution. Upward triangles show grid-cells where AfriMont sampling effort is more than double expected effort, downward triangles show grid-cells where AfriMont sampling effort is less than half expected effort. Circles denote AfriMont sampling effort being between half and double expected effort. The extent of the tropical montane forest biome was defined as closed-canopy forests ≥ 800 m asl in December 2018, extracted from ref. <sup>38</sup> and clipped to 'primary humid forest' using ref. <sup>39</sup>. This grided map differs from Fig. 4 as numerous grids have very little tropical montane forest.

Extended Data Fig. 7 | Differences in the environmental conditions sampled by the AfriMont plot network and the tropical montane forest biome in Africa. The extent of the biome was defined as closed-canopy forests ≥ 800m asl in December 2018, extracted from ref.<sup>38</sup> and clipped to 'primary humid forest' using ref.<sup>39</sup>. Environmental variables for the biome were extracted at ~1-km resolution.

Extended Data Fig. 8 | Differences in aboveground carbon (AGC) stocks in AfriMont plots located in montane forests with and without elephants. (a) Differences across all plots. AGC-stocks are statistically significantly lower in forests with elephants (t-test, t = 3.5, df=83.5, P = 0.001). (b) Differences in countries where elephants are present in at least one of the montane sites studied. Black squares show means in each country in forests with or without elephants – solid lines denote statistically significant differences (t-tests, P < 0.05). Elephant presence in 2019 was estimated by coauthors (see Table S5).

**Extended Data Fig. 9** | **Relationship between aboveground carbon (AGC) stocks and Podocarpaceae.** (a) Relationship between AGC-stocks and Podocarpaceae basal area across plots in the AfriMont network, expressed as a percentage of total plot basal area. These variables are not significantly correlated ( $r_s = 0.083$ , n = 226, P = 0.212). (b) Distribution of plots with at least 20% basal area of Podocarpaceae (black points) in relation to elevation and AGC-stocks. AGC-stocks are not significantly related to elevation or Podocarpaceae basal area (Linear mixed effects model, P = 0.152 and 0.132 respectively).

**Extended Data Fig. 10** | Within country variation in aboveground carbon stocks based on the **AfriMont plot network.** Error bars show means and 95% confidence intervals estimated by linear mixed-effects models. Modelled means not shown for countries with fewer than five plots. Point size is proportional to plot area.







