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Food-energy-water security in sub-Saharan Africa: Quantitative and spatial assessments using an indicator-based approach

Abstract

The challenge of achieving food, energy and water (FEW) security is greatest in sub-Saharan Africa (SSA) where millions of people lack access to electricity, reliable drinking water and one in four people are undernourished. To develop targeted policies, it is necessary to identify at-risk countries and the spatial patterns of FEW insecurity in the region. However, country and sub-regional level assessments of FEW security have received scant attention. In this study, we carried out quantitative and spatial assessments of FEW security in SSA using the Pardee Rand FEW Index. Results show that 41 countries in SSA are FEW insecure, with Burundi being the most affected country while the West African sub-region seems to have many FEW insecure countries. Spatial analysis of FEW security reveals the presence of spatial patterns in the distribution of FEW insecurity in SSA suggesting that a sub-regional approach may be used to tackle this challenge. However, literature review shows that this has to be approached with caution given that different contextual factors such as socio-economic and governance conditions may influence FEW security within countries. Our analyses imply that any policy response designed to enhance FEW security needs to address both socio-economic, governance and other contextual factors within countries.

Keywords: food security, energy security; water security; FEW security nexus; quantitative and spatial analysis; sustainable development goals

1. Introduction

Achieving global food, energy and water (FEW) security in a changing climate is one of the greatest challenges facing humanity in the 21st century (Gain et al., 2016). Around the world, over 821 million people are undernourished and 151 million children are stunted (WHO, 2018). Between 2015 and 2018, approximately 1.3 billion people lacked access to electricity while 3 billion were unable to secure clean fuel for cooking (Alstone et al., 2015; Ritchie and Roser, 2018). Hundreds of millions of people continue to face severe water insecurity around the world (Gain et al., 2016). In

28 sub-Saharan Africa (SSA) over 319 million people lack access to reliable drinking water , with about
29 695 million people lacking access to improved sanitation facilities (Kanyerere et al., 2018). Although
30 SSA is endowed with sufficient energy resources capable of meeting domestic demand, access to
31 modern energy services including electricity has remained limited, with over 620 million people
32 lacking access to electricity while about 730 million rely on traditional biomass for cooking
33 (Ouedraogo, 2017).

34 Amidst existing challenges, new initiatives such as the Food-Energy-Water security nexus are
35 being developed and/or operationalized globally to contribute efforts towards achieving nexus
36 resource security which is crucial for sustainable development (Howells et al., 2013). The Sustainable
37 Development Goals (SDGs) are used as a road map or guiding framework to attain this objective (le
38 Blanc 2015). The SDGs, launched in 2015, target important issues that are central to FEW security
39 nexus. These are: “zero hunger” (SDG 2); “clean water and sanitation” (SDG 6); and “affordable and
40 clean energy” (SDG 7). Addressing food, energy and water security using the nexus approach is
41 necessary due to increased stress on these resources as a result of rapid population growth, changing
42 consumption patterns, economic growth, competition for land resources and climate change
43 (Abulibdeh and Zaidan, 2020). However, efforts to achieve SDGs 2, 6 and 7 are increasingly
44 undermined by limited understanding of the current state of FEW security particularly access and
45 availability, as well as limited knowledge of how countries threatened by FEW shortages can develop
46 contextually-appropriate and nationally-owned FEW-related policies and interventions. Despite the
47 importance of FEW assessments in advancing knowledge on FEW security issues, no study (to our
48 knowledge) has sought to investigate the regional and spatial dimensions of FEW security in SSA.

49 This paper fills an important gap in the FEW security literature by quantitatively and spatially
50 analysing the state of FEW security across SSA in ways that clearly reveal how access and availability
51 of FEW resources vary across countries using an indicator-based approach. The study addresses three
52 objectives, which are to: 1) identify countries and sub-regions in SSA that are at low and high risk of
53 FEW insecurity; 2) assess the spatial patterns in FEW insecurity; and (3) provide an overview of the

54 local drivers of FEW insecurity as well as important needs-based approaches for spurring
55 achievement of FEW security. In achieving these objectives, this paper provides new insights on the
56 extent of FEW insecurity across countries and sub-regions in SSA and reveals sub-regions where
57 commonalities and differences exist in terms of FEW shortages. Quantitative and spatial assessments
58 of FEW security is important because FEW are increasingly interlinked on spatial scales by resource
59 constraints, environmental constraints, technology, markets and speculation, trade, demand and
60 supply, trends in agricultural commodity and energy prices (Ringler et al., 2013). Quantitative and
61 spatial assessments are equally important for taking decisions relating to the transfer of nexus
62 resources from areas of abundance to areas facing scarcity (Cansino-Loeza et al., 2020). Findings
63 from the study have implications for FEW-related cross-sectoral policy development and
64 implementation in SSA. The study is in line with recent calls for quantitative and spatial assessments
65 of FEW security at national and regional scales (Hameed et al., 2019; Mohammadpour et al., 2019)
66 and provides evidence on the ways in which researchers can enhance FEW security analysis beyond
67 SSA.

68 **2. Understanding food – energy – water (FEW) security**

69 The concept of FEW security encapsulates concerns for food security, energy security and
70 water security. Food security is when all people, always have physical, social and economic access
71 to sufficient, safe and nutritious food which meets their dietary needs and food preferences for an
72 active and healthy life. Energy security is access to reliable and affordable energy for cooking,
73 heating, lighting, communications and productive uses. Water security relates to the availability of,
74 and access to sufficient and good quality water for human and ecosystem use. Although the FEW
75 security concept has gained considerable attention in recent times, a universally acceptable framing
76 of the concept is lacking (Zhang and Vesselinov, 2017). The interdisciplinary dimension of the FEW
77 concept itself, as well as the challenges and opportunities that a linked-FEW security presents, may
78 be the reason for this. In this study, we conceptualise FEW security as the availability of and access

79 to: sufficient, safe and nutritious food to meet the dietary needs and food preferences for an active
80 and healthy life; clean, reliable and affordable energy; and safe drinking water and sanitation.

81 Conceptualising FEW security this way offers a lens with which to understand the
82 interdependencies between food, energy and water (Markantonis et al., 2019). To explain the
83 interdependencies in a simple form: food can be used to produce energy, energy is needed to produce
84 food, water is needed to grow food, while food transports (virtual) water, often using energy; water
85 is often needed to generate energy, energy is needed to supply water, particularly to areas far away
86 from the water source. Changes to any one of food, energy or water can affect the remaining two
87 across a range of scales (Hoffmann et al., 2017). Previous empirical studies have used this interlinked
88 FEW idea to reveal how interventions, such as river basin management and climate adaptation in the
89 water sector, cross-cut energy, water and food policy issues, including advancing the utility of FEW
90 as a tool for addressing wider socio-ecological and economic concerns (Keskinen et al., 2015;
91 Momblanch et al., 2019). Using the FEW concept to inform analysis of trade-offs in decision-making
92 on programs involving different sectors and stakeholders can spur resource use efficiency while at
93 the same time helping to adapt policies and institutional arrangements to sustainable development
94 ideals (Markantonis et al., 2019).

95 FEW security is context-specific, and as such previous researchers (e.g. Mohammadpour et al.,
96 2019; Abulibdeh and Zaidan, 2020) have viewed it in terms of its spatial scale (local, national,
97 regional or global); and other researchers (e.g. Momblanch et al., 2019;) in terms of its temporal
98 scale (past and present conditions vs likely future conditions). Similarly, methodological differences
99 (qualitative and quantitative) and data availability define how FEW security is approached/studied
100 (Wichelns, 2017; Mohammadpour et al., 2019), including how specific in-country FEW-related
101 problems and policy issues are assessed (Bieber et al., 2018).

102 Knowledge of FEW interlinkages is growing timidly amongst policy makers and development
103 actors in SSA, and an increasing number of case studies demonstrate how this knowledge is used to
104 address a wide range of regional FEW-related concerns (Bieber et al., 2018; Yang et al., 2018; Allam

105 and Eltahir, 2019; Sahle et al., 2019). For example, Yang et al. (2018) used ‘knowledge of FEW
106 interlinkages’ to assess the impact of climate and anthropogenic changes on the water, energy, food
107 and ecosystems services in the Niger River Basin, revealing that accounting for FEW security goals
108 in dam development can help to mitigate the negative impacts of climate change on water, energy
109 and food resources across the basin. Sahle et al. (2019) applied the FEW security concept in the Omo-
110 Gibe River Basin in Ethiopia and revealed that enhanced water management was key to achieving
111 FEW security. The FEW idea has equally been used to demonstrate the vulnerability of energy
112 infrastructure in Ghana, highlighting a need to diversify the energy sector, optimize investment in
113 energy and water infrastructure, and strengthen agricultural intensification to achieve FEW security
114 (Bieber et al., 2018). It has also been applied to show how win-win FEW outcomes may reinforce
115 cooperation between riparian countries in transboundary basin management in the Blue Nile (Allam
116 and Eltahir, 2019). Other studies have identified food, energy and water security as crucial to
117 achieving sustainable development goals in the region (e.g. Gill et al., 2019). Although case study
118 research focusing on FEW security is growing, little has been done to date to identify and spatially
119 map countries and sub-regions in SSA that are at risk of FEW insecurity, including to understand
120 why FEW insecurity persists and how to address the issue.

121 **3. Methods**

122 **3.1. Brief information on sub-Saharan Africa**

123 This study covers the entire sub-Saharan Africa and countries are grouped into different sub-
124 regions following the approach used by the African Development Bank (AfDB). These sub-regions
125 include: i) Economic and Monetary Community of Central Africa (CEMAC), ii) Economic
126 Community of West African States (ECOWAS), iii) East Africa Community (EAC) and iv) Southern
127 African Development Community (SADC). In total, the different sub-regions consist of 48 countries
128 (Somalia is not included in this study due to data limitations). A full list of countries that make up
129 each sub-region is available in Appendix A.

130 **Figure 1:** Map of SSA showing countries and different sub-regions. Notes on country codes are
131 available in Appendix A.

158 population has access to diverse diets that meet its nutritional needs. Energy availability measures if
159 a country's electricity infrastructure meets the energy needs of individuals and accessibility is used
160 to measure if individuals have access to modern forms of energy for residential uses such as
161 electricity, heating and cooking. Water availability is the amount of water that is used relative to
162 amount needed to support basic domestic activities (cooking, eating and sanitation) and accessibility
163 is described as access to improved drinking water and sanitation (Willis et al., 2016). These sub-
164 indices (across each FEW resource) are integrated using an unweighted, geometric mean as shown in
165 equation 1.

$$166 \quad \text{FEW Index} = \sqrt[3]{(\text{Food sub index}) \times (\text{Energy sub index}) \times (\text{Water sub index})} \quad (1)$$

167

168 To ensure that the PR-FEW Index is not influenced by any sub-index scale, all indicators are
169 normalised and scaled from 0 to 1, where 1 is the most favourable score (suggesting conditions of
170 FEW security) and 0 is the least favourable. Details on the development and calculation of the PR-
171 FEW Index and sub-indices are available in Willis et al. (2016) and Abbott et al. (2017). The PR-
172 FEW Index and sub-indices scores for all countries in SSA were obtained from the Pardee Rand
173 online database¹. The PR-FEW Index has been used in many studies (Abbott et al., 2017; Hameed et
174 al., 2019; Abulibdeh and Zaidan, 2020). We chose the Pardee Rand FEW Index database and scores
175 because: (1) they were recently published (i.e. within the last 5 years), (2) they capture data on food,
176 energy and water availability and accessibility (3) they cover all countries in our study region (except
177 Somalia) and (4) the index tool is easily accessible and has remained active (accessed last in
178 September 2020).

179 The data extracted from the Pardee Rand online database was imported into a data processing
180 tool to plot graphs that provide a visualisation of FEW variations across countries and sub-regions.
181 To demonstrate how FEW varies spatially, FEW index scores were exported into ArcGIS 10.4.

¹See <https://www.prgs.edu/pardee-initiative/food-energy-water.html>. A detailed overview of the methodology and indicators is available in the PR technical documentation: <https://www.rand.org/pubs/tools/TL165.html>. The FEW Index interactive tool is available here: <https://www.prgs.edu/pardee-initiative/food-energy-water/interactive-index.html>

182 Previous studies have adopted a similar approach to depict the spatial distribution of FEW resources
183 (e.g. Mohammadpour et al., 2019). We also conducted a desk review of existing FEW studies in SSA
184 to identify factors/local drivers reinforcing FEW insecurity and approaches to address them. We
185 consider countries with a PR-FEW index and sub-index score of 0.50 or above as those on a path to
186 achieving FEW security while those with a score below 0.50 are considered as FEW insecure (i.e.
187 lagging behind).

188 **3.3. Study limitations**

189 The PR-FEW Index offers many advantages (e.g. provision of data on FEW availability), yet
190 it has been criticised for not capturing impacts of socio-ecological shocks/stresses, and for ignoring
191 potential future changes in availability and accessibility of FEW resources (Venghaus and Dieken,
192 2019). Since the publication of the PR-FEW Index in 2016, many countries in SSA have made
193 progress on FEW security for their citizens. For example, more than 1000 MW of electricity from
194 hydropower have been commissioned in SSA since 2016 (IHA, 2019) and other renewable energy
195 projects (wind and solar) have equally been operationalised. Since 2017, food insecurity has been
196 reversed (to some extent) in some countries, such as South Africa (Mabhaudhi et al., 2019), due to
197 changes in FEW governance, better policies and improved access to land and water resources. These
198 recent developments are not captured in the PR-FEW Index.

199 The PR-FEW Index provides information only at the national scale, masking FEW security
200 situation at sub-national level (Mohammadpour et al., 2019). Based on this limitation, our results
201 reflect only FEW conditions at the national and sub-regional levels. In spite of these limitations, the
202 PR-FEW Index remains a useful tool for carrying out comparative analyses of FEW (in)security
203 across countries and sub-regions (Willis et al., 2016; Abbott et al., 2017). We equally acknowledge
204 that the number of factors reinforcing FEW insecurity and approaches to address this insecurity are
205 in SSA are not exhaustive as some important factors may have been inadvertently left out.

206

207 **4. FEW resource conditions and spatial variations**

235 status (scores here range from 0.19 - 0.45). Although considerable FEW variations exist across
236 CEMAC and SADC countries, no substantial variations were recorded across countries in the EAC
237 and ECOWAS sub-regions (Fig. 3A).

238 The food security sub-index scores reveal similarities in food security status across the
239 CEMAC and ECOWAS sub-regions (scores for both regions range from 0.36 - 0.50); although the
240 FEW variations among countries in these sub-regions is weak. Similarly, we observed that the EAC
241 and SADC sub-regions display similar food security sub-index scores (ranging from 0.26 - 0.60).
242 Despite this, substantial variations exist among countries within these sub-regions (Fig. 3B).

243 Further, EAC and SADC sub-regions show similar energy sub-index with scores ranging from
244 0.13 - 0.85; however, there are substantial variations among countries in the sub-regions (Fig. 3C).
245 The energy security sub-index scores show similarities in energy security status across the CEMAC
246 and ECOWAS sub-regions (scores range from 0.15 – 0.72).

247 CEMAC and SADC display similar water security status (the water sub-index scores range
248 from 0.24 – 0.75) with strong variations existing among countries in the sub-regions. There are
249 equally strong variations in the water sub-index among ECOWAS countries while EAC countries
250 show weak variations. EAC countries also have low water sub-index scores compared to the rest of
251 the sub-regions (Fig. 3D). PR-FEW Index scores indicate that countries within the same sub-region
252 display the widest variations in energy sub-index scores in comparison to countries across sub-
253 regions; the food security sub-index scores reveal that among countries in the same sub-region there
254 are wide spatial variations in availability and access.

255 **Figure 3: Regional variations in FEW resources based on Pardee Rand Index and sub-indices:**
256 **FEWI (panel A), FI (panel B), EI (panel C) and WI (panel D) (FEWI: Food, Energy and Water**
257 **Index; FI: Food Index; EI: Energy Index; WI: Water Index)**

258 In trying to pin down the spatial distribution of FEW resources across SSA, our analysis of
259 the PR-Index scores reveal that countries in the SADC sub-region have relatively similar spatial FEW
260 distribution patterns (Fig. 4A). Two countries in the CEMAC sub-region, three in EAC, four in
261 ECOWAS and five in the SADC share similar spatial FEW distribution patterns (Fig. 4B). Countries

262 in the SADC sub-region display the highest energy security sub-index scores compared to other SSA
263 countries except for Malawi, Madagascar and Mozambique. Countries in the CEMAC, EAC and
264 ECOWAS sub-regions have similar spatial energy distribution patterns as shown in the energy
265 security sub-index score (Fig. 4C), except for Burundi with the lowest energy security. Higher energy
266 security sub-index scores were observed for Djibouti and Senegal in the EAC and ECOWAS sub-
267 regions respectively and Gabon and DR Congo in the CEMAC sub-region. Most countries in the EAC
268 sub-region have similar spatial water distribution patterns (their water security sub-index scores are
269 relatively low). Four CEMAC, Six ECOWAS and three SADC countries have similar spatial water
270 distribution patterns (see Fig. 4D). Malawi recorded the lowest water sub-index score in the SADC
271 sub-region.

272 **Figure 4: Spatial distribution of FEW insecurity based on Pardee Rand FEW Index (A), Food**
273 **Index (B), Energy Index (C) and Water Index (D)**

274 **4.3. Countries and Sub-regions at low and high risk of FEW insecurity**

275 Quantitative assessment of FEW resources suggest that countries and sub-regions seem to
276 perform differently in terms of FEW availability and accessibility and apparently operate at different
277 levels of FEW insecurity risks. Focusing on food insecurity, we observed that the CEMAC sub-region
278 is relatively at high risk: countries here have the lowest food sub-index scores which portray them as
279 having the worst case of food insecurity. Across all countries, Chad (CEMAC), Burundi (EAC),
280 Guinea (ECOWAS) and Zambia (SADC) seem to be worst-off in terms of food insecurity risks. This
281 finding corroborates the results from past studies (e.g. Ware and Kramer, 2019), which identified
282 Burundi, Chad and Zambia among the top ten most food insecure countries in the world, with Burundi
283 topping the list.

284 Further analysis reveal that the ECOWAS sub-region faces the highest level of energy
285 insecurity (the sub-region has the lowest energy sub-index scores); whereas the EAC and SADC sub-
286 regions are relatively the most energy secured. Across SSA countries, Chad and Central Africa
287 Republic (CEMAC), Burundi (EAC), Liberia, Niger and Sierra Leon (ECOWAS) and Malawi and
288 Madagascar are the least energy secured countries. In terms of water security, the EAC sub-region

289 faces the highest risk of water insecurity. Comparison across countries reveal that Chad (CEMAC),
290 Burundi and Eritrea (EAC), Cabo Verde and Niger (ECOWAS) and Lesotho and Malawi (SADC)
291 face the highest risk of water insecurity. Taking together, Burundi recorded the lowest scores across
292 all the PR-FEW Index and sub-indices, suggesting that the country is the most at-risk country in SSA.

293 **5. Factors reinforcing FEW insecurity in SSA and approaches to address them**

294 **5.1. FEW insecurity factors**

295 Our review of a wide range of scientific literature and policy documents suggests that several
296 factors and practices reinforce FEW insecurity in SSA. First, most projects aimed at addressing food,
297 energy and water insecurity as a nexus often focus on regional (Conway et al., 2017) or national scales
298 (Imasiku and Ntagwirumugara, 2020). Such top-down approaches often relegate some critical needs
299 at the local level where communities, households, institutions and small businesses face the real
300 challenges of simultaneously meeting their food, energy and water needs (Terrapon-Pfaff et al., 2018;
301 Gebreyes et al., 2020). Second, most countries in SSA are classified as Least Developing Countries
302 (LDC) reflecting low economic growth, weak institutions, poor planning and management capacities
303 which may limit the ability of a country to achieve resource security (van Ginkel et al., 2019). It has
304 been argued that developed infrastructure such as transport, irrigation, water, energy and information
305 and communication technology are critical for achieving SDGs including WEF security (Le Blanc,
306 2015). Other studies have also highlighted the importance of enhanced socio-economic conditions
307 for achieving FEW security nexus in SSA.

308 Other reasons are related to climate change, increasing trends in land degradation,
309 desertification, water scarcity, rapid population growth and the unsustainable exploitation of FEW
310 resources (UNCCD, 2019; Ware and Kramer, 2019). In addition, a recent study has reported that the
311 construction of large-scale dams for hydropower and irrigation schemes may instead lead to negative
312 social transformation and disintegration of communities due to the loss of farming and grazing land,
313 without alternative livelihood options - thereby reinforcing FEW insecurity (Gebreyes et al., 2020).
314 These findings suggest that implementaion of large-scale dams may be the decision of top-level

315 (external) authorities who lack an understanding of local and community needs, circumstances and
316 insituational arrangements. Therefore, to maximise the value of limited resources towards addressing
317 FEW insecurity, it is improtant that a bottom-up approach is adopted to co-develop context-specific
318 alternative FEW security scenarios and solutions with different community and national stakeholders.
319 In the food sub-sector, food insecurity in SSA may be attributed to many factors such as post-harvest
320 losses due to the absence of infrastructure including storage facilities, farm-to-market roads to
321 evacuate agricultural products to market centres, irrigation facilities, fertiliser (Calzadilla et al., 2013;
322 Sheahan and Barrett, 2017; Nkiaka and Lovett, 2019). Food insecurity in SSA may also be attributed
323 to climate related events such as flood and droughts (Twongyirwe et al., 2019; Ware and Kramer,
324 2019), climate change and large-scale land acquisition from small-holder farmers and lack of
325 incentives to stimulate increased agricultural production in SSA (Yengoh and Armah, 2015; Giller,
326 2020). Analysing survey data from 5,299 households, Niles and Salerno (2018) found that 71% of
327 households in Africa, South Asia and Latin America reported that they experienced climatic shocks,
328 and this was correlated with food insecurity as such households were 1.73 times more likely to have
329 reported that they were food insecure (at least one month in a year). The study further discovered that
330 while climate shocks influence food insecurity, access to and use of fertilisers, pesticides, veterinary
331 medicines, and reliable household assets moderate the impact of climate variability on food
332 insecurity. This suggests that amid poverty, access to and use of these resources could help farmers
333 adapt to climate shocks and help them to reduce the impact of climate variability on food production
334 and insecurity. Food security could further be enhanced if smallholder farmers exploit crop genetic
335 diversity as this strategy could increase the production of food in SSA (Njeru, 2013).

336 Other contextual factors also account for FEW insecurity in the different sub-regions. For
337 example, food insecurity in the CEMAC sub-region is attributed to recurrent conflicts, lack of
338 economic and political reforms in the agriculture and food security sector and lack of sub-regional
339 integration. (FAO, 2015; UNDP, 2017). In the ECOWAS sub-region, food insecurity has been partly

340 attributed to poor market reforms (Moseley et al., 2010). These factors suggest that governance has a
341 key role to play in achieving food security in Africa.

342 Energy insecurity is mostly due to widespread under developed energy systems (Ouedraogo,
343 2017) and high electricity cost (the cost of electricity in most SSA countries is more than double that
344 of other developing countries) (Eberhard and Shkaratan, 2012). Energy insecurity in SSA can also be
345 due to recurrent droughts in some regions as a result of rainfall deficits triggering water scarcity which
346 reduces the output capacity of hydropower dams (Conway et al., 2017). According to International
347 Energy Agency (IEA) urbanisation and population growth with a lack of proportional investment in
348 the energy sector is also another factor undermining energy security in SSA (IEA, 2019). Although
349 renewable energy diversification is an essential precursor for energy security and sustainability
350 (Akrofi, 2021), a lack of efficient and economically sustainable power systems, coupled with costly
351 infrastructure investments currently constrain the penetration of those resources in the electricity mix
352 in SSA (Pistelli, 2020). Energy insecurity pushes many households in SSA to rely on unsafe sources
353 of energy including open fires for cooking, and lighting, with severe health implications such as acute
354 respiratory infections and lung cancer (Boateng et al., 2020).

355 Water insecurity may be attributed to different factors such as limited technical and
356 institutional capacity, lack of adequate investment in the water sector, weak governance, absence of
357 legislative and environmental regulations and lack of hydro-meteorological data for monitoring water
358 resources across different countries (Gain et al., 2016; Nkiaka et al., 2017b; Yomo et al., 2019). In
359 urban areas, most of the water supply is derived from rural landscapes, hence an increase in unplanned
360 development and poor land management practices in rural catchments have substantially increased
361 water scarcity and pollution. This has in turn contributed to reducing water availability and increasing
362 delivery costs in urban areas (TNC, 2016). Groundwater exploitation to reduce water insecurity is
363 constraint by services needed to support its development including energy, drilling and pumping
364 equipment, physical access, limited financial resources and institutional support (Cobbing 2020).
365 Contextual factors reinforcing water insecurity in EAC sub-region are mostly due to unfavourable

366 climatic conditions characterized by rainfall variability and prolonged droughts (McNally et al., 2019;
367 Thomas et al., 2019).

368 Overall, we find that there are generic and contextual factors that account for FEW insecurity
369 in SSA with sub-regions having different peculiarities, highlighting the fact that a one-size-fits-all
370 approach to addressing FEW insecurity may not be successful.

371 **5.2. Approaches to address FEW insecurity in SSA**

372 To date sectoral approaches to resources management have often resulted in an imbalance and
373 uneven resource allocation, utilisation and distribution in SSA. As such, it is necessary to identify
374 priority areas across FEW interrelated resources systems where intervention may benefit all the three
375 sectors at the same time (Mabhaudhi et al., 2019). At the same time, efforts should be made by
376 stakeholders to integrate environmental concerns and climate change adaptation into such policy
377 decision making processes (Babu et al., 2018; Onyutha, 2018). It has equally been suggested that
378 harmonising institutions and policies, enhancing governance as well as setting targets and indicators
379 to direct, monitor and evaluate FEW security in both rural and urban areas may enhance FEW security
380 (Nhamo et al., 2018). Other studies have suggested that for projects such as dam construction for
381 hydropower and irrigation to be beneficial to the communities, bottom-up approaches must be
382 adopted to address the needs of local communities by ensuring democratic decision making and
383 accountability (Terrapon-Pfaff et al., 2018). Below, we outline approaches for addressing insecurity
384 concerns related to each component of the FEW nexus.

385 **5.2.1. Food security**

386 To address food insecurity in SSA, the African Union Commission (AUC) has put in place a
387 Comprehensive Africa Agriculture Development Programme (CAADP) (Mabhaudhi et al., 2019).
388 Given the importance of food distribution in achieving food security, the CAADP suggests that
389 improving rural infrastructure and market access will be critical for reducing food insecurity
390 (Mabhaudhi et al., 2019). Other studies have suggested that reducing post-harvest losses will also
391 contributed to reduce food insecurity in SSA (Sheahan and Barrett, 2017). Considering that 95% of

392 agriculture in SSA is rain-fed, it is equally suggested that increasing small-holder irrigation can
393 potentially reduce food insecurity in SSA (Xie et al., 2014; Mabhaudhi et al., 2019). However, due
394 to the sensitivity of surface water to rainfall variability, using groundwater for irrigation may resolve
395 the problem of water scarcity in food production (Cobbing and Hiller, 2019). This could be achieved
396 by using solar photovoltaic pumps for groundwater abstraction (Schmitter et al., 2018). Other studies
397 have proposed the adoption of climate information services (Akwango et al., 2017), conservation
398 agricultural practices, introducing new crop varieties, choice of cropping system and sowing date,
399 introducing agrobiodiversity, genetic improvement, sustainable intensification, and market
400 monitoring (Waha et al., 2013; Thierfelder et al., 2014). It is hoped that implementing some of these
401 proposals may potentially reduce food insecurity in SSA.

402 **5.2.2. Energy security**

403 According to IEA (2019), plans towards achieving energy security in SSA may begin by
404 liberalizing access to electricity and clean cooking fuel. In the face of climate change impact on
405 hydropower in SSA, countries with low scores for energy security such as Chad and Burundi may
406 intensify the adoption of renewables (e.g. wind and solar energies) to meet local energy demands
407 (Sweerts et al., 2019). Promoting/implementing energy and climate policies (with special attention
408 on decarbonising power supply) can spur the adoption of hybrid mixes of variable renewable power
409 sources - solar, wind and hydropower (Sterl et al., 2018). For instance, a recent study has shown that
410 60% of electricity demand in West Africa can be met with complementary renewable of which
411 roughly half would be solar and wind power and the other half hydropower (Sterl et al., 2020).
412 Arguably, to achieve energy security through renewables, countries may have to demonstrate policy
413 commitment by putting in place clear targets and concrete plans to catalyse private sector investment
414 in renewable energy projects and increase investor confidence through financial de-risking measures
415 (Kazimierczuk, 2019; Sweerts et al., 2019). Similarly, developing climate services for the energy
416 sector will be crucial for developing the renewable energy sector in SSA (Sterl et al., 2018). In
417 addition, creating regional power pools will equally be play an important role in achieving energy

418 security (Conway et al., 2017; Sterl et al., 2020). For example, energy security in the Southern Africa
419 sub-region has largely been attributed to the creation of Southern African power pool which led to
420 the putting in place of a sub-regional energy protocol and a regional energy access strategic action
421 plan (Stiles et al., 2015).

422 **5.2.3. Water security**

423 Achieving water security in SSA may be by addressing water governance which is still at
424 its infancy stage. Considering much of the water supply to urban areas in SSA is captured in rural
425 areas, protecting rural catchments has the potential to improve urban water security and enhance
426 rural livelihoods (TNC, 2016; Asibey et al., 2019). To achieve this, efforts are required to understand
427 and to influence the behaviour of water users and other stakeholders (Okumah et al., 2019) as well as
428 their willingness to support policies aimed at sustainable water resource management (Okumah et al.,
429 2020). Similarly, exploitation of groundwater resources may reduce water insecurity in both urban
430 and rural areas (Cobbing and Hiller, 2019). Although the success of this option is not guaranteed (due
431 to the complex factors influencing resource exploitation, access and use), it could improve availability
432 and access (Okumah et al., 2019). Ultimately, a combination of strategies – including regulations,
433 increasing investment in the water sector, technical capacity building in the water sector, enhancing
434 data acquisition, adopting climate information services in the water management sector, raising
435 awareness and putting in place educative programs aimed at reducing water pollution – will be needed
436 to reduce water insecurity in SSA.

437 **6. Conclusions**

438 This paper focuses on quantitative and spatial assessments of FEW security in sub-Saharan
439 Africa using data from the Rand corporation. Previous studies investigating FEW security have
440 mostly relied on small datasets focusing on small spatial (regional) scales in SSA. Our analysis:
441 identifies countries and sub-regions in SSA that are at low and high risk of FEW insecurity; describes
442 how FEW varies spatially in SSA; and unpacks the local drivers reinforcing FEW insecurity as well
443 as important needs-based approaches for tackling FEW insecurity in SSA. Our analyses show that (as

444 at 2016) 41 countries (87%) obtained a PR-Index score below 0.50; indicating that many SSA
445 countries are on a ‘relatively high risk’ position in terms of food, energy and water insecurity. Taking
446 together, Burundi had the highest level of food, energy and water insecurity. Our findings provide
447 insights on regional variation of FEW in SSA

448 A review of existing literature indicates that FEW insecurity across SSA can be attributed to
449 factors such as: top-down FEW development approaches that relegate local FEW needs; increasing
450 population and low economic growth; natural disasters and large-scale land acquisition; and
451 overexploitation of FEW resources. Other factors such as conflicts, poorly coordinated market
452 reforms, unfavourable climatic conditions, poor governance and poor land management have
453 continued to constrain the achievement of FEW in majority of SSA countries.

454 We envisage that findings from this study will (1) provide policy makers, NGOs and
455 development partners with clear evidence on the state of food, energy and water security in SSA, (2)
456 enable development actors to better identify countries and sub-regions with high risk of food, energy
457 and water insecurity, (3) provide countries with a clearer picture of critical development targets in the
458 FEW sectors to pursue and (4) redirect the focus of future research, for example, by helping scientists
459 to take informed decisions on critical research areas to prioritise.

460 Future research, e.g., in the form of case studies at local levels, can build on the findings from
461 this study to systematically unpack the complex socio-economic, political, and ecological factors
462 driving availability and access to food, energy and water in SSA. To do this will require a combination
463 of quantitative and qualitative techniques to provide rich data on dynamic socio-economic and
464 ecological forces across multiple scales.

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474 **Authors' contributions**

475 **Elias Nkiaka:** Conceptualization; Data curation; Formal analysis, Writing - original draft. **Uche**
476 **Okpara:** Conceptualization, Writing and editing - original and revised drafts. **Murat Okumah:**
477 Conceptualization; Data curation; Formal analysis, Writing - original draft.

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Table 1: Basic demographic and economic outlook of the different economic regions in SSA

Region	Number of countries	Total surface area (km ² thousand)	Population (thousand)	GDP per capita (\$)	Ave. Annual GDP growth 2010-20 (%)
CEMAC	7	5,365	137,555	2,185	3.50
ECOWAS	15	5,115	377,437	4,483	4.00
EAC	13	6,214	362,265	2,603	3.50
SADC	13	6,571	208,704	6,340	2.60

Note: Available from African Development Bank (AfDB, 2019). GDP per capita is based on purchasing power parity valuation.

Table 2: Countries rankings for PR-FEW Index and sub-Indices from the highest to lowest

Country	FEW Index	Country	Food sub-index	Country	Energy sub-index	Country	Water sub-index
Mauritius	0.68	Sudan	0.6	Seychelles	0.85	Gabon	0.75
Gabon	0.64	Djibouti	0.57	Mauritius	0.82	Botswana	0.75
S. Africa	0.63	S. Africa	0.57	S. Africa	0.81	Mauritius	0.75
Botswana	0.62	Mauritius	0.52	Gabon	0.72	Namibia	0.61
Namibia	0.54	Botswana	0.51	Cabo Verde	0.64	Eswatini	0.59
Eswatini	0.54	G. Bissau	0.5	Botswana	0.62	Gambia	0.56
Zimbabwe	0.46	Eswatini	0.5	Djibouti	0.61	Eq. Guinea	0.53
Cote d'Ivoire	0.45	Zimbabwe	0.5	Namibia	0.56	S. Africa	0.53
Angola	0.44	CAR	0.49	Eswatini	0.53	Cote d'Ivoire	0.51
Cameroon	0.43	Gabon	0.49	Eq. Guinea	0.5	Zambia	0.51
Djibouti	0.42	Ghana	0.49	Senegal	0.5	G. Bissau	0.47
Gambia	0.41	Cabo Verde	0.48	Sao T & P	0.47	Guinea	0.46
Nigeria	0.40	Liberia	0.48	Zimbabwe	0.46	Cameroon	0.44
Congo-Brazza	0.39	Uganda	0.47	Angola	0.45	Liberia	0.44
Sudan	0.39	Comoros	0.46	Cameroon	0.43	Angola	0.44
Senegal	0.39	Namibia	0.45	Cote d'Ivoire	0.42	Zimbabwe	0.42
Ghana	0.38	Cote d'Ivoire	0.43	Nigeria	0.42	Sierra Leon	0.41
G. Bissau	0.38	Gambia	0.43	Comoros	0.41	CAR	0.40
Zambia	0.38	Cameroon	0.42	Ghana	0.41	Congo-Brazza	0.40
Cabo Verde	0.37	Kenya	0.42	Lesotho	0.39	Nigeria	0.35
Comoros	0.36	Mali	0.42	Congo-Brazza	0.38	Madagascar	0.35
Lesotho	0.34	Niger	0.42	Eritrea	0.38	Mozambique	0.34
Kenya	0.33	Nigeria	0.42	Sudan	0.36	S Sudan	0.33
Guinea	0.33	Angola	0.42	Zambia	0.34	Mali	0.33

Liberia	0.33	Sao T & P	0.42	Kenya	0.32	DR Congo	0.32
CAR	0.31	Rwanda	0.4	Benin	0.28	Senegal	0.31
Togo	0.31	Burkina Faso	0.4	Gambia	0.28	Togo	0.31
Mali	0.3	Sierra Leon	0.4	Togo	0.25	Sudan	0.28
Mozambique	0.3	Lesotho	0.4	Mozambique	0.24	Kenya	0.27
Sierra Leon	0.29	Congo-Brazza	0.39	G. Bissau	0.23	Chad	0.26
Uganda	0.28	Benin	0.39	DR Congo	0.21	Burkina Faso	0.26
Benin	0.28	Senegal	0.39	Ethiopia	0.21	Ghana	0.26
Madagascar	0.28	Togo	0.39	Guinea	0.21	Lesotho	0.26
Eritrea	0.27	Guinea	0.38	Tazania	0.2	Comoros	0.25
Burkina Faso	0.27	Malawi	0.37	Uganda	0.2	Tazania	0.25
Tazania	0.26	Chad	0.36	Burkina Faso	0.2	Uganda	0.24
Ethiopia	0.25	Ethiopia	0.35	Mali	0.2	Malawi	0.24
Rwanda	0.25	Tazania	0.35	Madagascar	0.19	Djibouti	0.22
Malawi	0.25	Mozambique	0.35	Rwanda	0.18	Ethiopia	0.22
Chad	0.24	Seychelles	0.33	Malawi	0.18	Rwanda	0.22
Niger	0.23	Madagascar	0.32	Liberia	0.17	Benin	0.22
Burundi	0.19	Zambia	0.31	Niger	0.17	Burundi	0.20
DR Congo	-	Eritrea	0.30	CAR	0.16	Eritrea	0.18
Eq. Guinea	-	Burundi	0.26	Chad	0.15	Cabo Verde	0.16
Seychelles	-	DR Congo	-	Sierra Leon	0.15	Niger	0.16
S Sudan	-	Eq. Guinea	-	Burundi	0.13	Seychelles	-
Sao T & P	-	S Sudan	-	S Sudan	-	Sao T & P	-

(-) indicates no data

Appendix A: Pardee Rand FEW Index scores for different countries in each sub-region

Code	Country	FEW Index	Food sub-index	Energy sub-index	Water sub-index
Economic Community of Central African States (CEMAC)					
CAM	Cameroon	0.43	0.42	0.43	0.44
CAR	Central Africa Republic	0.31	0.49	0.16	0.4
CHA	Chad	0.24	0.36	0.15	0.26
CNG	Congo-Brazzaville	0.39	0.39	0.38	0.4
DRC	DR Congo	-	-	0.21	0.32
EQG	Equatorial. Guinea	-	-	0.5	0.53
GAB	Gabon	0.64	0.49	0.72	0.75
Economic Community of East African States (EAC)					
BUR	Burundi	0.19	0.26	0.13	0.20
COM	Comoros	0.36	0.46	0.41	0.25
DJI	Djibouti	0.42	0.57	0.61	0.22
ERI	Eritrea	0.27	0.30	0.38	0.18
ETH	Ethiopia	0.25	0.35	0.21	0.22
KEN	Kenya	0.33	0.42	0.32	0.27
RWA	Rwanda	0.25	0.4	0.18	0.22
SEY	Seychelles	-	0.33	0.85	-
SUD	Sudan	0.39	0.60	0.36	0.28
SS	S Sudan	-	-	-	0.33
TAN	Tanzania	0.26	0.35	0.20	0.25
UGA	Uganda	0.28	0.47	0.20	0.24
Economic Community of West African States (ECOWAS)					
BEN	Benin	0.28	0.39	0.28	0.22
BUR	Burkina Faso	0.27	0.4	0.2	0.26

CAP	Cabo Verde	0.37	0.48	0.64	0.16
CDI	Cote d'Ivoire	0.45	0.43	0.42	0.51
GAM	Gambia	0.41	0.43	0.28	0.56
GHA	Ghana	0.38	0.49	0.41	0.26
GIN	Guinea	0.33	0.38	0.21	0.46
GIB	G. Bissau	0.38	0.5	0.23	0.47
LIB	Liberia	0.33	0.48	0.17	0.44
MAL	Mali	0.3	0.42	0.2	0.33
NIG	Niger	0.23	0.42	0.17	0.16
NIR	Nigeria	0.4	0.42	0.42	0.35
SEN	Senegal	0.39	0.39	0.5	0.31
SIL	Sierra Leon	0.29	0.4	0.15	0.41
TOG	Togo	0.31	0.39	0.25	0.31
South African Development Community (SADC)					
ANG	Angola	0.44	0.42	0.45	0.44
BOT	Botswana	0.62	0.51	0.62	0.75
LES	Lesotho	0.34	0.4	0.39	0.26
MAD	Madagascar	0.28	0.32	0.19	0.35
MAA	Malawi	0.25	0.37	0.18	0.24
MU	Mauritius	0.68	0.52	0.82	0.75
MOZ	Mozambique	0.3	0.35	0.24	0.34
NAM	Namibia	0.54	0.45	0.56	0.61
STP	Sao Tome & Principe	-	0.42	0.47	-
SOU	South Africa	0.63	0.57	0.81	0.53
SWA	Eswatini	0.54	0.5	0.53	0.59
ZAM	Zambia	0.38	0.31	0.34	0.51
ZIM	Zimbabwe	0.46	0.5	0.46	0.42