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# Energy efficient double-pass photovoltaic/thermal air systems using a computational fluid dynamics multi-objective optimisation framework

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1 **Abstract:** Photovoltaic systems have undergone substantial growth for the past twenty  
2 years and more than 75% of the solar irradiance is absorbed, but only a small amount of the  
3 captured solar energy is transformed into electricity (e.g.  $\sim 7-24\%$ ). The remaining energy  
4 can cause overheating and damage to adhesive seals, delamination and non-homogeneous  
5 temperatures. In this paper a three-step strategy is presented for the development of an  
6 energy efficient hybrid photovoltaic/thermal air system by the combination of  
7 experimentally validated computation fluid dynamics and optimal Latin hypercubes design  
8 of experiments. The combined thermo-hydraulic and electrical performances of five air flow  
9 configurations are examined after the selection of several design parameters. The  
10 parametric study reveals that the most promising configuration is co-current air flow  
11 through two channels above and below the photovoltaic cell. A multi-objective design  
12 optimisation process is undertaken for this configuration, where the system is represented  
13 by three design variables: the collector, the depths of the lower air flow and the upper air  
14 flow channels. A 50-point design of experiments is constructed within the design variables  
15 space using a permutation genetic algorithm. The multi-objective design optimisation  
16 methodology entails an accurate surrogate modelling to create Pareto curves which  
17 demonstrate clearly the compromises that may be taken between fan fluid and electric  
18 powers, and between the electric and thermal efficiencies. The design optimisation  
19 demonstrates how the design variables affect each of the four system performance  
20 parameters. The thermal and electric efficiencies are improved from 44.5% to 50.1% and  
21 from 10.0% to 10.5%, respectively.

22 **Keywords:** Computational Fluid Dynamics, Design optimisation, Double-pass  
23 Double-duct, Heat Transfer, Photovoltaic, Thermal management.

## 24 Nomenclature

Symbol	Quantity	SI Unit
$A$	area	$m^2$
$c_p$	specific heat capacity	$J\ kg^{-1}K^{-1}$
$G$	solar irradiance	$W\ m^{-2}$
$L$	collector length	$m$
$L_{ent}$	Entry length	$m$
$P$	power	$W$
$T$	temperature	$K$ or $^{\circ}C$

$\vec{V}$	total velocity vector	$\text{m s}^{-1}$
$k$	thermal conductivity	$\text{W m}^{-1} \text{K}^{-1}$
$q$	heat transfer rate	$\text{W}$
$\bar{V}$	mean (uniform) velocity	$\text{m s}^{-1}$
$\dot{V}$	volumetric flow rate	$\text{m}^3 \text{s}^{-1}$
$\dot{M}$	mass flow rate	$\text{kg s}^{-1}$
$u$	velocity component in x-direction	$\text{m s}^{-1}$
$v$	velocity component in y-direction	$\text{m s}^{-1}$
$w$	velocity component in z-direction	$\text{m s}^{-1}$
$W$	Collector width	$\text{m}$
$P_{er}$	perimeter (wetted perimeter)	$\text{m}$

### **Greek symbols**

$\delta$	depth of flow	$\text{m}$
$\phi$	independent fluid property	
$\rho$	density	$\text{kg m}^{-3}$
$\varepsilon$	emissivity	
$\eta$	efficiency	
$\tau$	transmissivity	
$\nu$	kinematic viscosity	$\text{m}^2 \text{s}^{-1}$
$\mu$	dynamic viscosity	$\text{kg m}^{-1} \text{s}^{-1}$
$\alpha$	thermal diffusivity	$\text{m}^2 \text{s}^{-1}$

### **Non-dimensional Numbers**

$C_f$	conversion correction factor (used in Eq. 1)
$F$	Fanning friction factor
$gf$	geometry factor
Re	Reynolds number, $4\dot{M}_f/\mu P_{er}$
Pr	Prandtl number, $c_p\mu/k$

### **Subscripts and superscripts**

$c$	cross-sectional, or characteristic value
cu	copper
$f$	fluid
$f_i$	inlet fluid
$f_m$	mean fluid
$f_o$	outlet fluid
$g$	glass
$h$	hydraulic
ref	reference
$s$	solar or surface
$ted$	Tedlar
$th$	thermal
$u$	useful heat gain
$D$	depth
$amb$	ambient
$comb$	combined

### **Abbreviations**

Al	aluminium
MEQ	minimum element quality
PV/T	photovoltaic/thermal
CFD	computational fluid dynamics
STC	standard conditions
EVA	ethylene-vinyl acetate
NOE	number of elements
DOF	degrees of freedom

## 25 **1. Introduction**

26 It is well known that PV panel efficiency declines when the photovoltaic module (PV) is  
 27 subject to ambient conditions without active cooling. Teo et al. [1] report a 1.8°C increase  
 28 in temperature for every 100 W m<sup>-2</sup> can incur a penalty of PV electrical efficiency between  
 29 8 to 9%. Combined (or hybrid) photovoltaic and thermal collection (PV/T) systems are solar  
 30 radiation collectors designed to produce electricity and heat simultaneously and offer the  
 31 potential to solve the problem of reduced electrical efficiency by removing heat from the PV  
 32 module and maintaining a more optimum temperature. The waste heat can be used for  
 33 several applications, including space heating and solar drying.

34 The importance of cooling the PV panel increases when they are installed in areas where  
 35 the ambient temperature causes the PV panel to exceed 25°C. If temperatures significantly  
 36 exceed this, it becomes more very important to provide cooling. Different design concepts  
 37 have been demonstrated by several studies, which make for an interesting range of  
 38 solutions, including different air flow patterns, glazed/unglazed panels, passive/active  
 39 cooling, which all aim to achieve high PV module efficiencies [2-7]. One study found that  
 40 even in an ambient temperature of 8 to 9°C and a moderate solar irradiance value 750 W m<sup>-2</sup>,  
 41 the average cell temperature was reduced from 52°C to 18°C, by cooling with cold water  
 42 at 10°C to 12°C [2]. Once energy payback periods are considered, there are substantial  
 43 improvements in annual energy output [1] proving that the efforts to cool the PV panel are  
 44 very well worthwhile. An important trade-off to consider is whether to use air or water as  
 45 the cooling fluid. PV/T air systems are usually used, because they have less weight and  
 46 design requirements, and are more affordable. However, the use of water is more effective  
 47 owing to its greater thermal physical properties - heat capacity, thermal conductivity and  
 48 density compared to air [8-10].

49 Experimental methods, either in a laboratory or in-situ, are cumbersome to undertake,  
 50 which makes numerical studies a very effective way to achieve a PV/T system optimisation  
 51 in order to improve their performance even when taking into account the various  
 52 assumptions made to simplify their solutions. In recent years, various attempts have been  
 53 made to optimise PV systems numerically. For example, in [11] a single channel PV/T is  
 54 optimised mathematically using genetic algorithms (GAs). In [12] a non-linear

55 programming optimisation is implemented to analyse a PV/T system. Also, a multi-objective  
56 design optimisation is developed in [13] by combining the semi-analytical Taguchi method  
57 with an analysis of variance (ANOVA) and a GA. In [14], the Taguchi method is used for a  
58 stand-alone PV system with a semi-analytical solution. Lately, Özakın and Kaya [15] have  
59 combined experimental analysis with the Taguchi method and ANNOVA to optimise an air-  
60 based PV/T one pass system. However, there is limited or no research literature on the  
61 optimisation of double pass PV/T air systems.

62 There is limited, or no, research on double-pass design optimisations of PV/T air  
63 systems, to the best of our knowledge. In this study, we aim to investigate the optimisation  
64 of such PV/T air systems in a comparative study, with emphasis on combined electrical and  
65 thermal efficiencies. A formal CFD-based multi-objective design optimisation framework is  
66 laid out, which combines surrogate modelling with a radial-based function approach.  
67 Following [16], a multi-objective GA (MOGA) technique is performed to generate a Pareto  
68 front and determine the influence of parameters affecting both the thermal and electrical  
69 efficiencies. The key design parameters are presented in Section 2. In Section 3, a  
70 performance evaluation is made for the thermal and electrical power domains of the PV/T  
71 system. Details of the CFD model, including input parameters and mesh independence  
72 check, are presented in Section 4. The parametric study and key findings are presented in  
73 Section 5. The results are summarised in Section 6.

## 74 **2. Key design parameters**

75 The impact of design parameters on the performance of PV/T air collectors is presented  
76 in this section. The examination of these parameters provides an understanding of how they  
77 influence the design and in turn, the performance of a PV/T system. Several parameters  
78 have been adopted and studied over the last two decades in order to enhance the electrical  
79 and thermal performance for PV/T systems such as the geometry and operational  
80 parameters. This section is focused on the relevant parameters of interest to this study and  
81 can be divided into four main groups, as follows:

- 82 • Geometry parameters, for example, duct length and depth of flow.
- 83 • Electrical parameters, such as short-circuit current and open-circuit voltage.
- 84 • Climate parameters such as ambient temperature ( $T_{amb}$ ) and solar irradiance ( $G$ ).
- 85 • Operational parameters such as mass flow rate.

86 In this study, the optimisation procedure is implemented to choose the most compatible  
87 dimensions within certain requirements. This design optimisation is based on multi-  
88 objectives to maximise both thermal and electrical efficiencies of PV/T air collector and  
89 minimise fan power required. Before proceeding to the formal optimisation, three steps are  
90 considered, as follows:

- 91 1. Defining the constant and variable parameters, considered in this examination.

92 2. Preliminary parametric studies are conducted for five proposed PV/T air flow  
 93 arrangements (Configurations 1, 2, 3, 4 and 5) to identify the best performance for these  
 94 conditions.

95 3. The best of these flow arrangements and configurations is used in the design optimisation  
 96 process.

97 The selection of the ranges of geometrical parameters is based on literature values.  
 98 However, when the parameters are unavailable in the literature, the selection is determined  
 99 using a large range of design parameters but keeping it applicable to the real-world.

100 Table 1 lists the specifications of the range of geometrical parameters included the CFD  
 101 design optimisation.

102 **Table 1. Geometry design parameters used in the CFD design optimisation.**

Symbol	Description	Values
$W$	Collector width	0.8 m [17–24]
$w_{slice}$	3D slice width	0.015 m
$t_{cu-U}$	Thickness of upper plate on the back surface	0.001 m [24]
$t_{cu-L}$	Thickness of the lower plate in lower channel flow	0.001 m [24]
$\delta_{D2}$	Upper depth flow	0.004–0.015 m [17,18,25–27]
$\delta_{D1}$	Lower depth flow	0.004–0.010 m [18,26,27]
$t_g$	Thickness of glass	4 mm [17,28,29]
$t_{UE}$	Equivalent thickness of glass and EVA	4.5 mm
$t_{LE}$	Equivalent thickness of Si, Tedlar and EVA	1.3 mm
$\epsilon_{cu}$	Emissivity of Copper (oxidized)	0.65 [28,29]
$\epsilon_g$	Average emissivity of glass	0.92 [28,29]
$L$	Collector length	0.6–1.3 m [19,20,22–24,26,27,30,31]

103 For the sake of accuracy, the weather data was taken from [32] where the estimated  
 104 weather parameters is carefully by validating the data with commonly cited set of data [33].  
 105 The cite is Photovoltaic Geographical Information System (PVGIS) which is accurate and  
 106 widely used [34–36]. The proposed PV/T air systems are evaluated under two operating  
 107 weather conditions in Iraq, Fallujah (33.34° N, 43.78 ° E). The first condition examines the  
 108 PV/T air systems under hot weather, mainly at 45 °C, 1000 W m<sup>-2</sup>. This temperature is  
 109 considered as the average of the hottest temperature, based on the local observation data  
 110 in July 2019, Iraq, Fallujah, as shown in Fig. 1. The second condition evaluates the PV/T  
 111 systems utilising precooled air (typically 25 °C [37–39]), where the exhaust air from the  
 112 building is used as a coolant instead of using ambient air (45 °C) [40] (see Fig. 2).

113 In accordance with the ASHRAE Handbook [39], the exhaust air temperature is assumed  
 114 to be in the range 22 °C–24 °C. This temperature range is estimated for the indoor design.  
 115 However, for a building integrated PV/T system, the temperature can be higher, depending  
 116 on different factors such as duct fitting and duct insulation type.

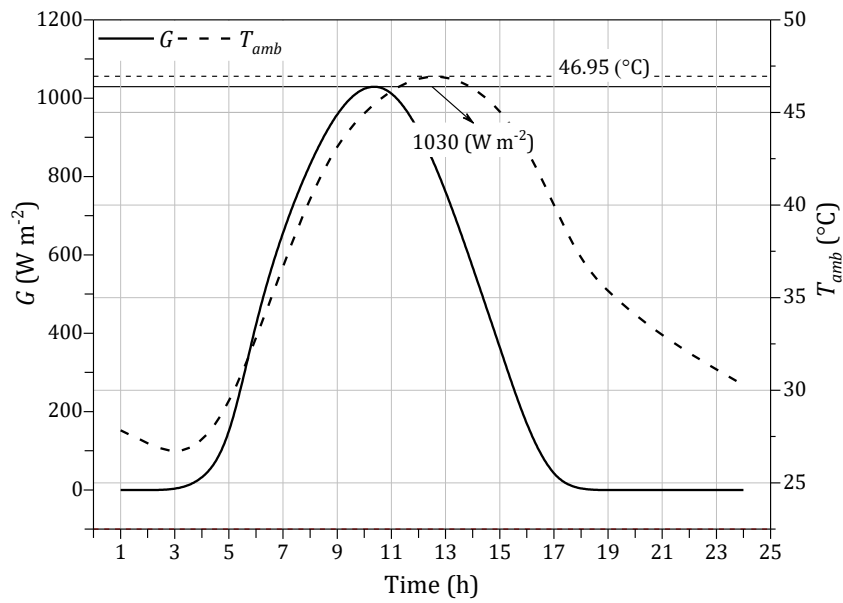


Fig. 1. Solar irradiance and ambient temperature versus time in a typical day on 1 July 2019.

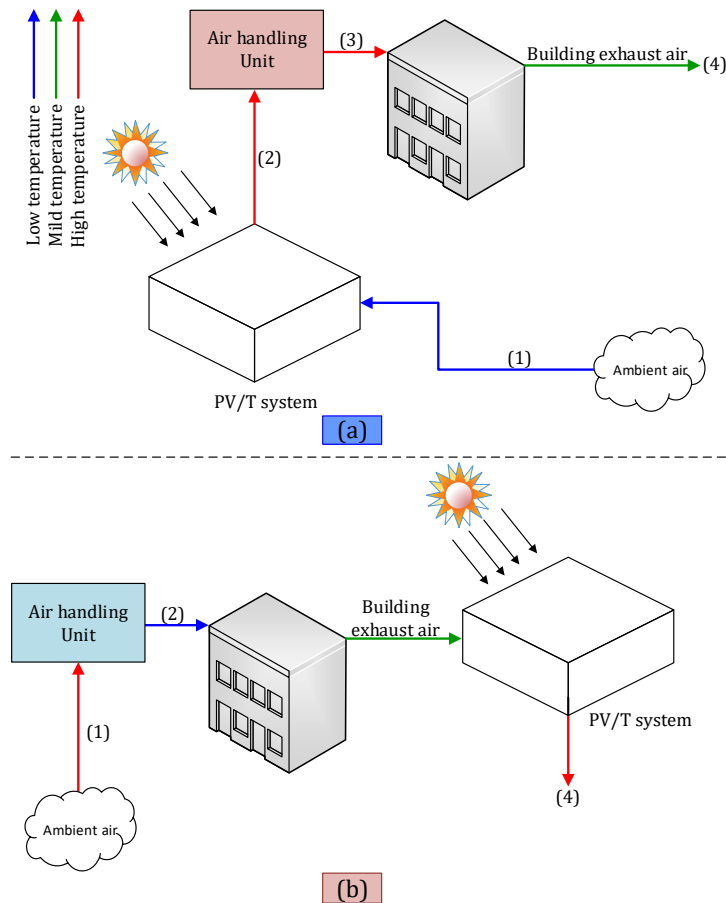


Fig. 2. Working principle of the studied BIPV/T system: in (a) winter mode and (b) summer mode [40].

117 The material parameters are predetermined by the manufacturer and remain constant  
 118 throughout this study. These parameters can be divided into collector body and PV module  
 119 parts. In the collector body parts (air channel frame, glass cover and absorber plates), the  
 120 selection of glass cover material type is based on durability, clarity and size of collector. In  
 121 this study, 4 mm thickness glass cover is used. The design characteristics of the PV cells are

122 determined by the photovoltaic reference efficiencies ( $\eta_{ref}$ ) which are dependent on the  
 123 material type (mono-crystal silicon (mono c-Si), polycrystalline silicon (poly-Si) or non-  
 124 silicon based film) [41–45]. In this study, poly-Si is used with a 0.83 packing factor value,  
 125 12.35 reference efficiency and 0.0041/°C temperature coefficient of power ( $\beta_{ref}$ ) [8,46,47].  
 126 The type of material also affects the optical properties of the PV module, such as thermal  
 127 emissivity ( $\epsilon$ ). For example, the use of mono c-Si instead of poly-Si solar cells enhances the  
 128 absorption coefficient and subsequently improves the thermal efficiency of the PV/T system  
 129 [8,47]. However, the packing factor of poly-Si is greater than mono c-Si (i.e. more aperture  
 130 area subjected to incident solar radiation). The poly-Si PV cells are also cheaper than mono  
 131 c-Si and have a lower  $\beta_{ref}$  [48].

### 132 **3. Thermal and electrical performance evaluation**

133 Several parameters, such as pressure drop, effective thermal efficiency, fan power  
 134 consumption and electrical power generation, are included in the thermo-hydraulic and  
 135 electrical evaluation of the PV/T air collectors. The effective thermal efficiency ( $\eta_{th}$ ) is  
 136 defined as the ratio of the heat benefit minus the equivalent fan power to the total incident  
 137 solar radiation and given by the following expression:

$$\eta_{th} = [\dot{Q}_u - (P_{fan}/C_f)]/\dot{Q}_s. \quad (1)$$

138 The PV/T heat benefit ( $\dot{Q}_u$ ) is equivalent to the increase in the enthalpy of the ( $\dot{M}_f \Delta h$ )  
 139 between the inlet and outlet air temperatures and is given by [49]:

$$\dot{Q}_u = \dot{M}_f \Delta h = \dot{M}_f C_p (T_{fo} - T_{fi}), \quad (2)$$

140 where  $\dot{M}_f$  is mass flowrate kg s<sup>-1</sup>, determined by:

$$\dot{M}_f = \rho \bar{V} A_c, \quad (3)$$

141 with  $\rho$  the density of air (kg m<sup>-3</sup>),  $\bar{V}$  the mean inlet velocity (m s<sup>-1</sup>) and  $A_c$  the channel  
 142 ducting cross-section area (m<sup>2</sup>). The instantaneous fan power ( $P_{fan}$ ) is calculated as follows:

$$P_{fan} = \Delta p \dot{V}, \quad (4)$$

143 where the total pressure drop  $\Delta p$  (N m<sup>-2</sup>) in the flow arrangement at a volumetric flow of  
 144 air  $\dot{V}$  (m<sup>3</sup> s<sup>-1</sup>). Two methods are used to evaluate the pressure drop: by a COMSOL software®  
 145 built-in feature, and by the following empirical correlations:

$$\Delta p = \Delta p_f + \Delta p_{dynamic}, \quad (5)$$

146  $\Delta p_f$  is the pressure drop due to friction, expressed as:

$$\Delta p_f = \frac{\rho F \bar{V}^2 L}{2 D_h}, \quad (6)$$

147  $F$  is the Fanning friction factor for turbulent flow [17] and is calculated by Equation (7) and  
 148  $D_h$  is equivalent hydraulic diameter for inlet duct,:

$$F = 0.079 \text{ Re}^{-0.25} \quad 6000 < \text{Re} < 100000. \quad (7)$$

149 For laminar flow the Fanning friction factor is given by [65]:



$$F = \frac{g_f}{\text{Re}_{Dh}} \{ \text{Re} < 2550 \}, \quad (8)$$

150 where  $g_f$  is the geometry factor and is taken to be 96.00 for parallel plates, because the  
151 ratios of the collector width  $w$  to depths of flow  $\delta_D$  are very large [50].

152 The dynamic losses ( $\Delta p_{\text{dynamic}}$ ) are caused by the flow effects at the channel entrance  
153 and exit. These are referred to as minor losses [51] and determined by:

$$\Delta p_{\text{dynamic}} = \left( \frac{1}{2} \right) k_L \rho \bar{V}^2, \quad (9)$$

$$k_L = k_{\text{entrance}} + k_{\text{exit}} + k_{\text{bend}}. \quad (10)$$

154 The coefficients  $k_{\text{entrance}}$  and  $k_{\text{exit}}$  are set equal to 0.5 and 1.0 for the entrance and exit  
155 losses for single pass flow arrangements with  $k_{\text{bend}}$  equal to zero. For a two pass  
156 arrangement  $k_{\text{bend}}$  is taken equal to 2.2, [52,53]. For the sake of completeness, the entrance  
157 and exit coefficients (minor losses) are added to the CFD model estimate of the pressure  
158 drop.

159 It is necessary to refer that the energy losses associated with the generation of the power  
160 consumed by the fan. Following [21,54–57], these losses are assumed as follows: the fan  
161 efficiency  $\eta_f = 0.65$ , the efficiency of the electric motor  $\eta_m = 0.88$ , the efficiency of electrical  
162 transmission from the power plant  $\eta_{tr} = 0.92$  and the thermal conversion efficiency of the  
163 power plant  $\eta_{thc} = 0.35$ . These coefficients can be shortened in a one named conversion  
164 correction factor ( $C_f$ ), which has a value of 0.18.

165 The total incident solar radiation ( $\dot{Q}_s$ ) projected on the absorber plate (W) is:

$$\dot{Q}_s = G A_s, \quad (11)$$

166 where  $G$  is the incident solar radiation (solar irradiance) and  $A_s$  is the surface area of the  
167 PV panel.

168 The electrical power generation in the PV module  $P_{PV}$  is estimated by [58–60]:

$$P_{PV} = I_m V_m = FF I_{sc} V_{oc} = - \frac{\tau_n \eta_{PV} A_s G PF}{V_{PV}}, \quad (12)$$

169 where  $I_m$  and  $V_m$  are the voltage and current at the maximum power point, respectively,  $FF$   
170 is the Fill factor,  $I_{sc}$  is the short circuit current,  $V_{oc}$  is the open-circuit voltage [60],  $A_s$  is the  
171 total (aperture) surface area,  $V_{PV}$  is the total volume of PV cells and the packing factor is  
172  $PF = 0.83$  (Poly-crystalline) [8,46,47].  $\tau_n$  is the transmissivity of the glass which changes  
173 based on the type and number of glass covers. The electrical efficiency of the PV module  $\eta_{PV}$   
174 is calculated as follows [6,7,61,62]:

$$\eta_{PV} = \eta_{\text{ref}} \left( 1 - \beta_{\text{ref}} (T_{mpv} - T_{\text{ref}}) \right), \quad (13)$$

175 where  $\eta_{\text{ref}}$  is the reference electrical efficiency at standard conditions ( $G = 1000 \text{ W m}^{-2}$  and  
176  $T_{\text{ref}} = 25 \text{ }^\circ\text{C}$ ) [63]. The temperature coefficient is assumed as  $\beta_{\text{ref}} = 0.0041 \text{ K}^{-1}$  for

177 crystalline silicon modules [64]. The equivalent electrical efficiency of PV panel ( $\eta_{EPV}$ ) is  
178 estimated as:

$$\eta_{EPV} = \frac{\eta_{PV}}{C_{ff}}, \quad (14)$$

179  $C_{ff}$  is the conversion factor of the thermal power plant (in the range 0.29–0.4  
180 [6,7,30,62,65,66]), and assumed equal to 0.36. The total combined PV/T collector (hybrid)  
181 efficiency ( $\eta_{comb}$ ) is obtained as follows [62,65]:

$$\eta_{comb} = \eta_{th} + \eta_{EPV}. \quad (15)$$

#### 182 **4. CFD model**

183 The CFD mathematical representations of the configurations have been developed using  
184 COMSOL Multiphysics® v5.3a software (see Fig. 3). The thermal and electrical  
185 performances of the PV/T air systems are examined. Five different flow arrangements and  
186 configurations are investigated in this study: a standard PV module with no air flow  
187 (Configuration 1, see Fig. 3a), a standard PV module with air flow through a single duct  
188 below it (configuration 2, see Fig. 3b), a glazed single duct above a standard PV module and  
189 with air flow through a single duct below it (Configuration 3, see Fig. 3c), a standard PV  
190 module with parallel air flows through ducts above and below it (configuration 4, see Fig.  
191 3d), a standard PV module with an airflow through the double-pass duct (Configuration 5,  
192 see Fig. 3e). The same depth of flow is used for the upper and lower channels (0.025 m)  
193 [17,18,25,29]. The collector original width ( $W$ ) is 0.8 m, but the symmetry boundary  
194 condition is applied on two sides of the collector with a 3D slice width ( $W_{slice}$ ) of 0.015 m  
195 on the assumption that the collector is very wide, and any edge effects are negligible.

196 The full detail of the numerical simulation of all these configurations including the  
197 assumptions, boundary conditions can be found them in [32]. It can be found also the detail  
198 the governing equations for air velocity  $\vec{V}(x, y, z) = u, v, w$  and temperature  $T$  are based on  
199 the conservation of mass, momentum and energy. The software solves the Navier-Stokes  
200 equations for solving the kinetic and energy equations. A three-dimensional conjugate heat  
201 transfer module is used to model the coupling between conduction heat transfer in a solid  
202 domain and convective heat transfer to the fluid at the solid/fluid interface [21]. However,  
203 the only empirical correlation equations are used to model the external convective heat  
204 transfer coefficient between the upper surface and the surrounding air (see Fig. 3c).  
205 Moreover, radiation model is mimic a realistic incident solar radiation. The surface-to-  
206 surface radiation model is used to simulate the thermal radiation exchange between the  
207 surfaces. The fluid is single-phase, laminar and weakly compressible. For weakly  
208 compressible flow  $\partial\rho/\partial p=0$  and  $\partial\rho/\partial\phi\neq 0$ , where  $\phi$  are other independent variables, such  
209 as time. The range of Re number is between (510-2550) [67,68]. The ambient temperatures  
210 are assumed in the range 25°C–45 °C. The inlet fluid temperature is taken equal to the

211 ambient temperature ( $T_{fi} = T_{amb}$ ). The incident solar radiation is assumed as  $1000 \text{ W m}^{-2}$ .  
 212 The other assumptions and boundary conditions can be also seen in [32]. The entry length  
 213 ( $L_{ent}$ ) is estimated as [69]:

$$L_{ent} = D_h [(0.631)^{1.6} + (0.0442\text{Re})^{1.6}]^{1/1.6}. \quad (1)$$

214 For the grid independence test, five parameters are considered in this investigation:  
 215 solution time ( $t$  in sec), number of elements (NOE), degrees of freedom (DOF), physical  
 216 random-access memory (RAM) in giga-bytes (GB), and minimum element quality (MEQ).  
 217 The mesh is made of square elements applied to the upper glass cover in XY-plane. The  
 218 element size is varied from very coarse, less coarse and normal to highly refined, as shown  
 219 in Table 2 (see Appendix B, Table B1 and Fig B1 for further details). The same sizes and type  
 220 of the element are used for the remaining parts of the system in the Z-direction. Increasing  
 221 the number of elements has a small impact on the results. The same criteria are used to  
 222 mesh the standard PV module, without the fluid domain.

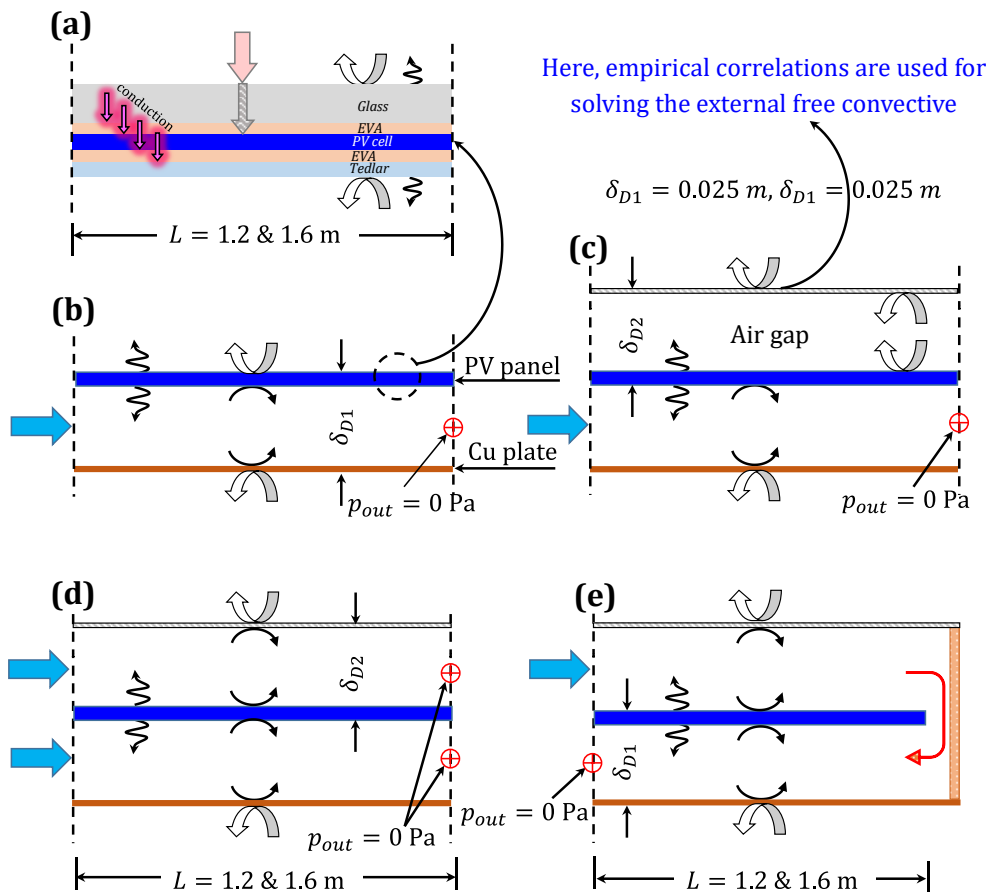


Fig. 1. Schematics of the various PV/T configurations, (a) Configuration 1, (b) Configuration 2, (c) Configuration 3, (d) Configuration 4 and (e) Configuration 5, along with indications of the flow of inlet air and flows of heat. These sketches are not made to scale.

223  
 224  
 225  
 226

Table 2. Key features of the mesh structure for the grid independence test.

Trial No	Refinement step in X-Y direction	Bias	$Z_1$ (mm)	$Z_2$	$Z_3$
1	Very coarse	0	5	5	1
2	Less coarse	0	3.6	7	1
3	Coarse	0	2.27	11	2
3a	Coarse	8	0.83	30	2
3ab	Coarse	0	0.83	30	2
3abc	Coarse	0	0.71	35	2
3abcd	Coarse	8	0.71	35	2
4	Normal	0	1.56	16	2
5	Normal	0	1.25	20	2
6	Normal	0	1	25	2
7	Normal	5	1	25	2
7a	Normal	8	0.83	30	2
7ab	Normal	0	0.83	30	2
7abc	Normal	0	0.71	35	2
7abcd	Normal	8	0.71	35	2
8	Normal	8	1	25	2
9	Normal	12	1	25	2
10	Normal	17	1	25	2
11	Fine	0	0.84	30	2
12	Fine bias	8	0.84	30	2

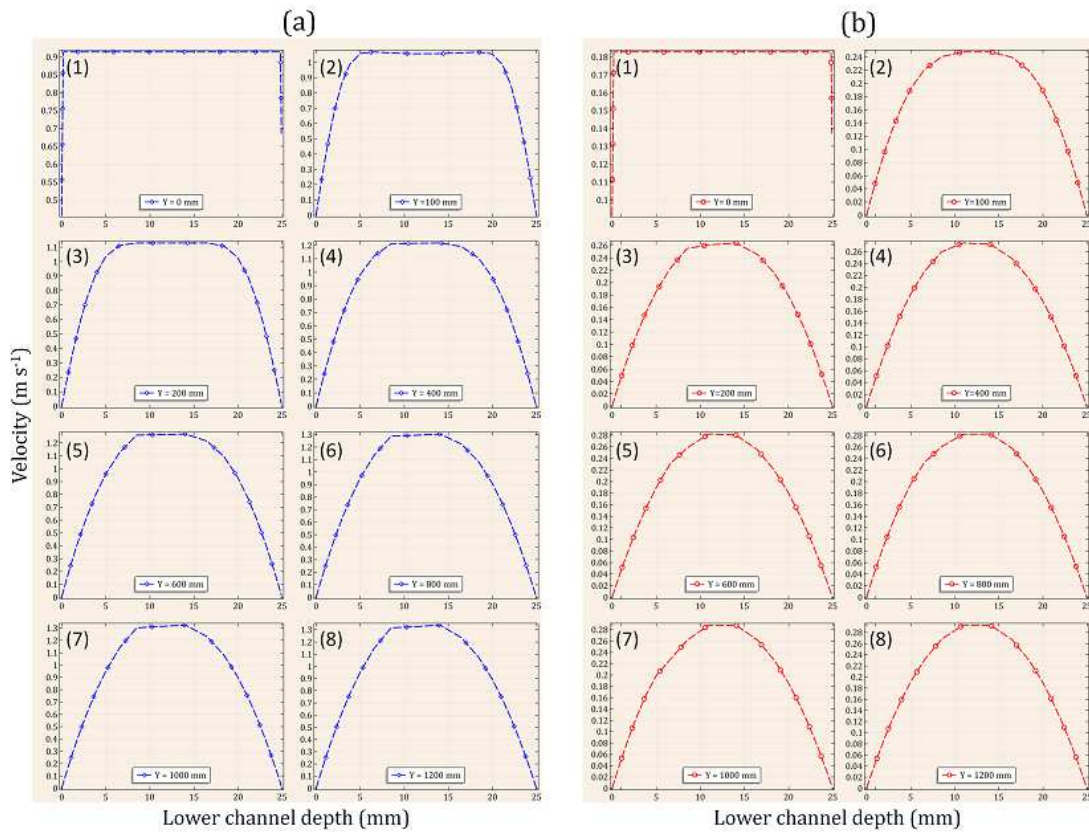


Fig. 4. Velocity profile for different locations along the lower air channel for flow Configuration 4 under laminar flow regime (a)  $Re = 510$ ,  $\bar{V} = 0.1829$  (m s<sup>-1</sup>),  $\dot{M} = 0.0041$  (kg s<sup>-1</sup>),  $L_{ent} = 0.549$  (b)  $Re = 2550$ ,  $\bar{V} = 0.9145$  (m s<sup>-1</sup>),  $\dot{M} = 0.0204$  (kg s<sup>-1</sup>),  $L_{ent} = 2.733$ .

228

In Table 2,  $Z_1$  is the edge size in the Z-direction in the upper and lower flow channels (in

229

mm),  $Z_2$  is the number of divisions in the upper and lower flow channels in Z-direction,

230

which is equal to  $(\delta_{D1}/Z_1)$  and  $Z_3$  is the number of the divisions in PV and glass covers in Z-

231

direction. A further examination is carried out to refine the mesh at the interfaces between

232 the solid surface and the fluid flow to accurately estimate the field flow and temperature  
 233 distribution.

234 The results reveal that this refinement has minor impacts on the mesh improvement,  
 235 owing to the fact the laminar flow and the velocity gradient close to the wall is relatively  
 236 small. The importance of latter mesh refinement, however, becomes more noticeable  
 237 at  $Re \geq 2550$ , specially for  $\Delta p_f$ . This is because the entry length  $L_{ent}$  (m) is a function of the  
 238 hydraulic diameter and Re number (see Equation (16)), which means that the velocity  
 239 profile is not fully developed at the entrance, unlike the remaining duct length where the  
 240 velocity profile is parabolic across the collector (see Fig. 4 ). This is also dependent on the  
 241 flow arrangement. In order to compromise between the computational time and accuracy,  
 242 case 3abcd in Table 2 is adopted in this study.

## 243 5. Preliminary parametric studies

244 A parametric study is made to establish the best performance of the PV/T air collector  
 245 configurations and the best is subsequently analysed in the design optimisation process.  
 246 The parametric study is carried out by understanding different operational, geometrical and  
 247 weather parameters. A detailed comparison is made by the evaluation of their thermal,  
 248 hydrodynamic and electrical parameters. Four of these designs (Configurations 2–5) are  
 249 hybrid (PV/T) systems; while Configuration 1 is a standard PV system without active  
 250 cooling. Configuration 1 is used as the benchmark in this comparison to highlight the  
 251 impacts of the hybridisation. Accordingly, all configurations are named as ‘PV/T air systems’  
 252 for the sake of simplicity. Table 3 lists the parameters used in this study for the systems  
 253 (Configurations 2–5). Configuration 1 is not a hybrid system (i.e., no duct flow); hence, is  
 254 not included in this table.

255 Table 3. Design parameters for Configurations 2, 3, and 5. Configuration 4 parameters (mass  
 256 flowrate, velocity and Re) are taken equal to half of those for Configurations 2, 3 and 5.

Design parameters for Configurations 2, 3 and 5								
$T_{amb}$	25 °C		45 °C		25 °C		45 °C	
$G$	1000 W m <sup>-2</sup>		1000 W m <sup>-2</sup>		1000 W m <sup>-2</sup>		1000 W m <sup>-2</sup>	
$\delta_{D1}$	0.025 m		0.025 m		0.025 m		0.025 m	
$\delta_{D2}$	0.025 m		0.025 m		0.025 m		0.025 m	
$D_h$	0.0485 m		0.0485 m		0.0485 m		0.0485 m	
$L$	1.2 m		1.2 m		1.6 m		1.6 m	
Re	$\bar{V}$	$\dot{M}_f$	$\bar{V}$	$\dot{M}_f$	$\bar{V}$	$\dot{M}_f$	$\bar{V}$	$\dot{M}_f$
510	0.1633	0.0039	0.1829	0.0041	0.1633	0.0039	0.1829	0.0041
1020	0.3265	0.0077	0.3658	0.0081	0.3265	0.0077	0.3658	0.0081
1530	0.4898	0.0116	0.5487	0.0122	0.4898	0.0116	0.5487	0.0122
2040	0.6530	0.0155	0.7316	0.0163	0.6530	0.0155	0.7316	0.0163
2550	0.8163	0.0193	0.9145	0.0204	0.8163	0.0193	0.9145	0.0204

257 This analysis is conducted using MATLAB® to account for the changes in operational  
 258 parameters (mass flowrate and Reynolds number) and ambient temperatures, as presented

259 in Table 3. Configurations 2, 3, 5 have one inlet, but Configuration 4 has two passes with the  
 260 mass flowrates in the inlets of the upper and lower channels taken to be half of those of  
 261 Configurations 2, 3 and 5. The pressure drop along the flow channel is plotted in Fig. 5 for  
 262 different lengths, operational and weather conditions.

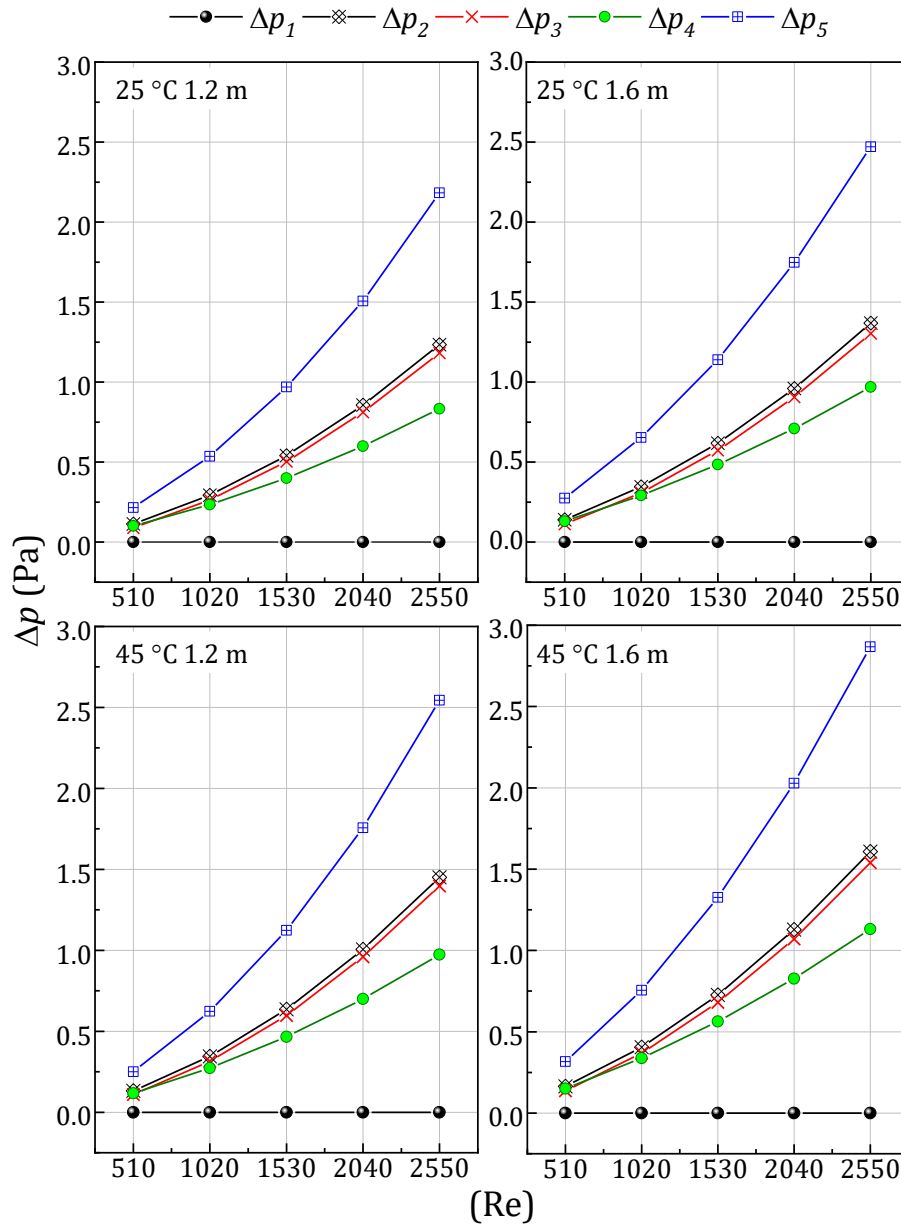


Fig. 5. Pressure drop across the five PV/T arrangements versus Re (510–2550) using different lengths: (Left) 1.2 m and (Right) 1.6 m and inlet air temperatures (25 °C and 45 °C).

263 In Fig. 5, the pressure drop increases with increasing Re, length of collector and the  
 264 ambient temperature, because there is a direct proportionality between the pressure drop,  
 265 the length of collector and the mass flow rate. Also, increasing ambient/inlet temperature  
 266 leads to an increase in the kinematic viscosity of inlet air velocity. In the same figure,  
 267 Configurations 2 and 3 have similar pressure drops because they have a single flow of air  
 268 passing underneath the PV module. The pressure drop is the lowest for Configuration 4  
 269 because of the two flow channels where the velocity is half of that in other designs

270 (Configurations 2, 3 and 5); while the U-turn shape in Configuration 5 leads to extra  
 271 pressure head losses in the U-flow region causing the maximum pressure loss, owing to the  
 272 induced separation and swirling flows, because of the imbalance of centripetal forces [21].  
 273 The combined efficiencies (electrical plus thermal) evaluated by Equation (14) for the five  
 274 arrangements are plotted against the range of Re numbers in Fig. 6.

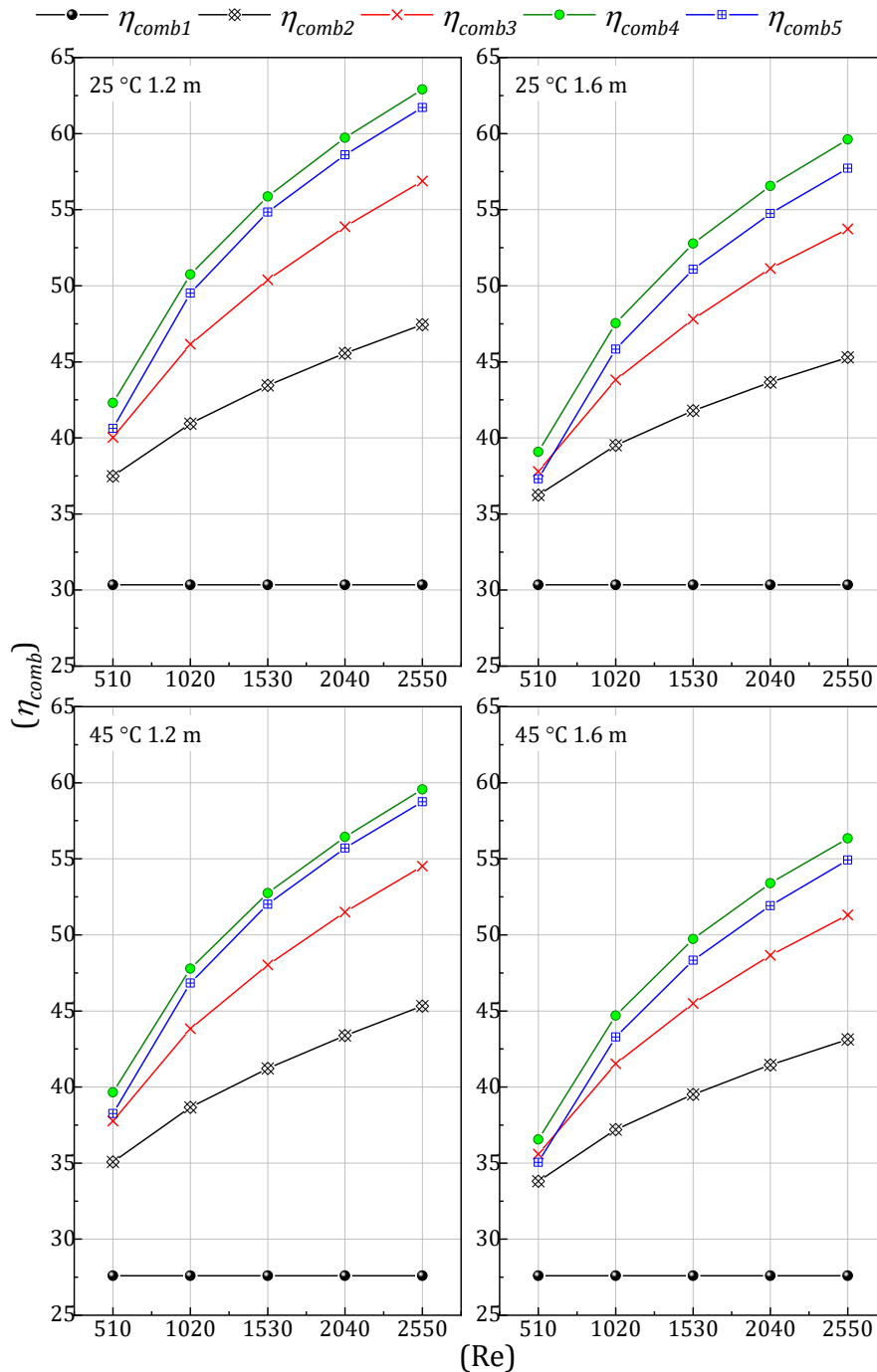


Fig. 6. Combined efficiencies versus Re (510-2550) for the five PV/T systems using different lengths (1.2 m and 1.6 m) and inlet air temperatures (25°C and 45°C).

275 The combined efficiencies (see Equation (15)) are evaluated for different Re numbers,  
 276 weather conditions and lengths. The maximum combined efficiency occurs for arrangement  
 277 4 (curve in green in Fig. 6) at 25°C because the lower ambient temperature gives a larger

278 temperature difference between the inlet and outlet ducts, and also between the PV panel  
 279 temperature and the local fluid one. To conclude, Configuration 4 has a maximum total  
 280 efficiency with minimum fan power consumption (minimum pressure drop, see Fig. 5).

### 281 **5.1. Optimisation strategy**

282 In this section, we consider the optimisation of PV/T air system, subject to the conflicting  
 283 objectives of minimising the fan power ( $P_{fan}$ ) and maximising the electrical power ( $P_{PV}$ ),  
 284 whilst maximising the electric efficiency ( $\eta_{PV}$ ) and the thermal efficiency ( $\eta_{th}$ ). Three  
 285 design variables are used, namely: the collector ( $L$ ), the depths of the lower air flow channel  
 286 ( $\delta_{D1}$ ) and the upper air flow channel ( $\delta_{D2}$ ) in the ranges of  $0.6 \text{ m} \leq L \leq 1.3 \text{ m}$ ,  $0.004 \text{ m} \leq$   
 287  $\delta_{D1} \leq 0.010 \text{ m}$  and  $0.004 \text{ m} \leq \delta_{D2} \leq 0.0015 \text{ m}$  (e.g. Table 1) with a constant Reynolds number  
 288 of  $Re = 2550$ .

289 The goal is to generate a Pareto front of non-dominated solutions, from which an  
 290 appropriate compromise design can be reached. The Pareto front is obtained by building  
 291 accurate metamodels of both  $P_{fan}$  and  $P_{PV}$  in one hand, and  $\eta_{PV}$  and  $\eta_{th}$  on the other hand,  
 292 as a function of the three design variables. The metamodels are constructed using values of  
 293 the  $P_{fan}$ ,  $P_{PV}$ ,  $\eta_{PV}$  and  $\eta_{th}$  from numerical simulations carried out at fifty Design of  
 294 Experiments (DOE) points. These points are obtained using Optimal Latin Hypercubes  
 295 (OLH), by means of a permutation genetic algorithm using the Audze-Eglais potential  
 296 energy criterion to ensure an efficient distribution of DOE points. The points are laid out as  
 297 uniformly as possible using criteria of minimising potential energy of repulsive forces which  
 298 are inverse square functions of the separation of DOE points [70]:

$$\min E^{AE} = \min \sum_{i=1}^N \sum_{j=i+1}^N \frac{1}{L_{ij}^2}, \quad (2)$$

299 where  $L_{ij}$  is the Euclidian distance between points  $i$  and  $j$  ( $i \neq j$ ) and,  $N=50$  is the number of  
 300 DOE points. Fig. 7 (a), (b) and (c) reveal the uniform distribution of the DOE points within  
 301 the design space as a combination of the design variables  $\delta_{D1}$ ,  $\delta_{D2}$  and  $L$ . Data summarising  
 302 the 50 CFD simulations are available in Appendix C.

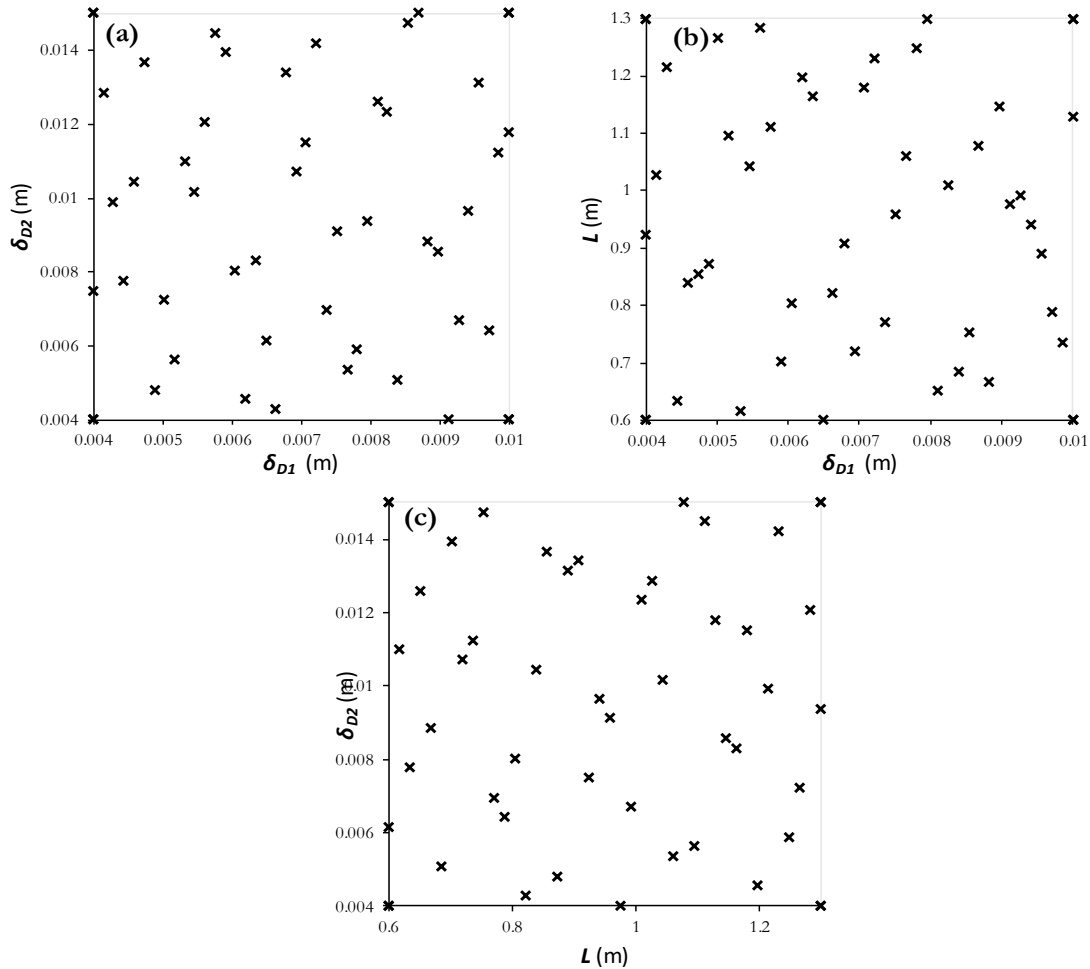
303 A Radial Basis Function (RBF) method is proven to be an effective design tool for a range  
 304 of engineering applications, such as thermal air flow and wall-bounded flow systems [71–  
 305 73]. RBF is used to build the metamodels for  $P_{fan}$  and  $P_{PV}$ , and  $\eta_{PV}$  and  $\eta_{th}$  throughout the  
 306 design space where a cubic radial power function is used to determine the weighting ( $w$ ) of  
 307 points in the regression analysis at each point [74,75]:

$$w_i = r_i^3. \quad (3)$$

308 The parameter  $r_i$  is the normalised distance between the surrogate model prediction  
 309 location from the  $i^{th}$  sampling point. The Pareto front is calculated using a multi-objective  
 310 genetic algorithm (MOGA) approach based on [72,76,77]. Points on the Pareto front are  
 311 non-dominated in the sense that it is not possible to decrease any of the objective functions



312 (i.e.  $P_{fan}$  or  $P_{PV}$  and  $\eta_{PV}$  or  $\eta_{th}$ ) without increasing the other objective function. Hence, this  
 313 provides designers the opportunity to select the most convenient compromise point among  
 314 the optimum designs. In the next section, results of the optimisation analysis are discussed.



315  
 316 Fig. 7. Illustration of the DOE points: (a) Lower depth of flow ( $\delta_{D1}$ ) versus upper depth of  
 317 flow  $\delta_{D2}$ , (b) Lower depth of flow ( $\delta_{D1}$ ) versus length of collector ( $L$ ), (c) Length of  
 318 collector ( $L$ ) versus upper depth of flow ( $\delta_{D2}$ ).

## 319 5.2. Optimisation analysis

320 As in previous studies (e.g. [13,78]), we first seek to maximise both the electric and the  
 321 thermal efficiencies. This will then be followed by reformulating the optimisation problem  
 322 to minimise the fan power consumption and maximise electrical power. The studies are also  
 323 performed to investigate the significance of the temperature operating conditions, low  
 324 temperature (25°C) and high temperature (45°C, see Tables C3 and C4). These two  
 325 temperatures are found to be an appropriate representation for low and high temperatures  
 326 in the geographical regions under investigations. Illustrative examples of functions  $\eta_{PV}$   
 327 and  $\eta_{th}$  in terms of  $\delta_{D1}$ ,  $\delta_{D2}$  and  $L$  are presented in Figs. 8 and 9 respectively (e.g. See also  
 328 Figs. C1 and C2, Appendix C).

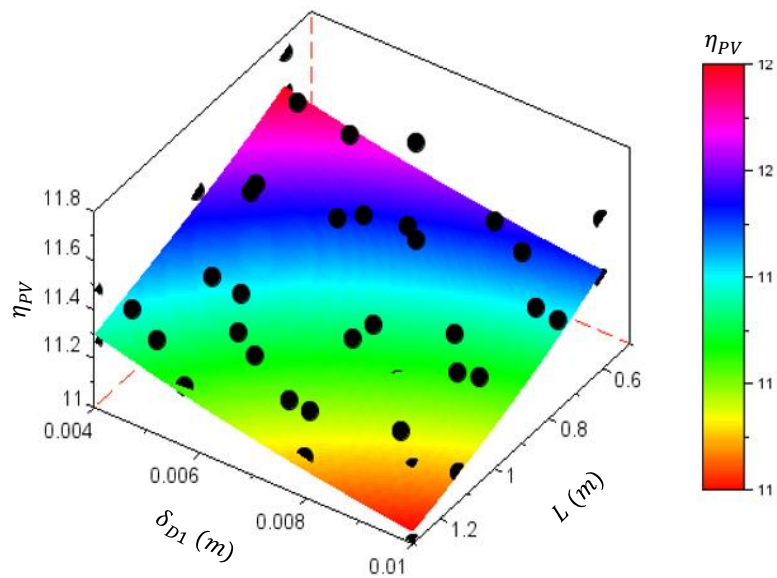


Fig. 8. Response surface function  $\eta_{PV}$  from the surrogate model at 25°C together with the DOE points.

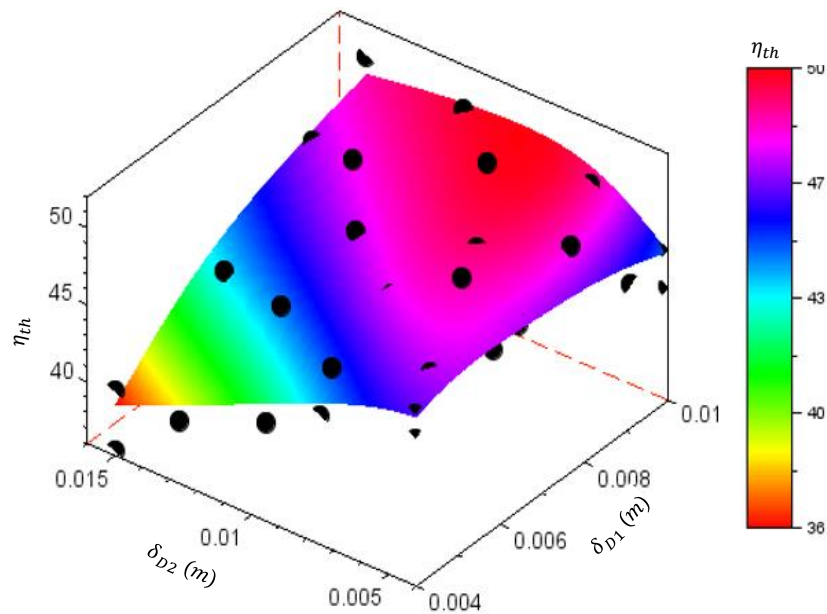


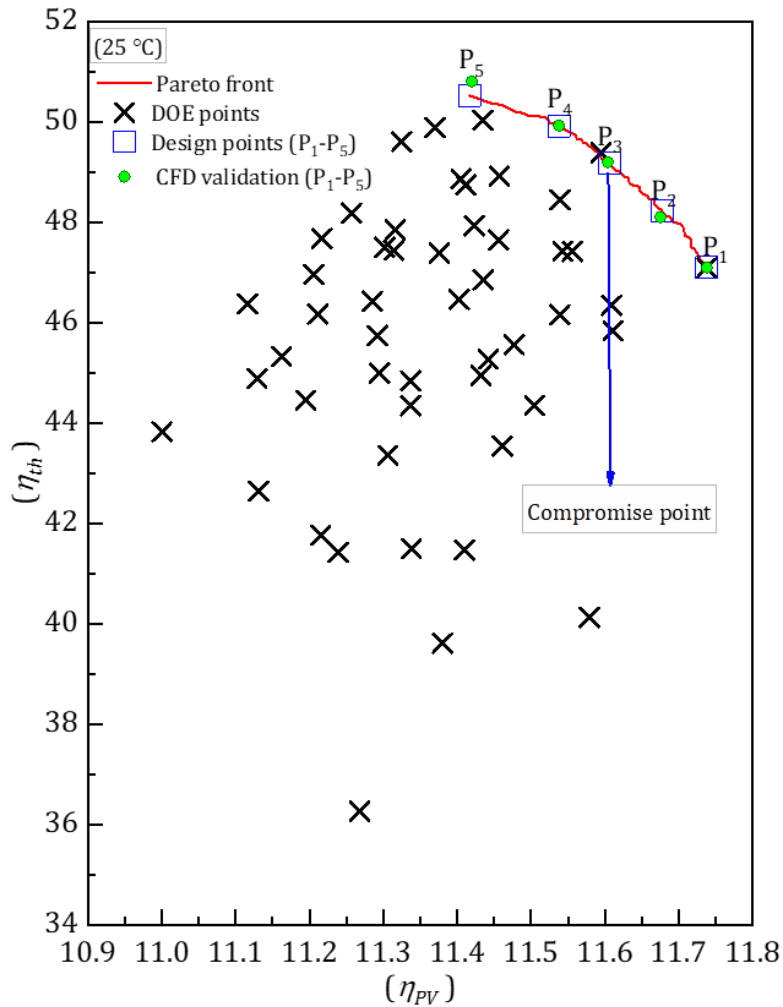
Fig. 9. Response surface function  $\eta_{th}$  from the surrogate model at 25°C together with the DOE points.

329 Pareto front curve in Fig. 10 represents the results in terms of thermal and electrical  
 330 efficiencies at 25°C. The data reveal that any decrease of  $\eta_{PV}$  or  $\eta_{th}$  is followed by an  
 331 increase of the other objective function. Table 4 lists five sample points on the Pareto front  
 332 (P<sub>1</sub>-P<sub>5</sub>) and a comparison between the calculated values of  $\eta_{PV}$  and  $\eta_{th}$  from the  
 333 metamodels at these points and from the full CFD numerical simulations. A very good  
 334 agreement between the metamodel and full numerical predictions occurs in all cases,  
 335 demonstrating the accuracy of the metamodeling approach implemented. This is confirmed  
 336 by a maximum relative error obtained for  $\eta_{PV}$  and  $\eta_{th}$  are 0.5420% and 0.0272%,  
 337 respectively.

338 Table 4. PV/T design performance of Configuration 4 at five operating condition points located  
 339 on the Pareto together with CFD validation, as plotted in Fig. 10 when operating at 25°C.  
 340 Relative error =  $|\eta_{\text{metamodels}} - \eta_{\text{CFD}}| \times 100 / \eta_{\text{metamodels}}$ .

Point	Design points for Pareto front			Metamodels		CFD validation		Relative error	
	$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$\eta_{th}$	$\eta_{PV}$	$\eta_{th}$	$\eta_{PV}$	$\eta_{th}$ (%)	$\eta_{PV}$ (%)
P <sub>1</sub>	0.6000	0.0100	0.0110	50.5326	11.4169	50.8080	11.4200	0.5450	0.0272
P <sub>2</sub>	0.6089	0.0076	0.0071	49.9194	11.5383	49.9310	11.5380	0.0232	0.0026
P <sub>3</sub>	0.6080	0.0064	0.0057	49.1889	11.6064	49.2010	11.6040	0.0246	0.0207
P <sub>4</sub>	0.6074	0.0053	0.0044	48.2299	11.6777	48.1070	11.6750	0.2548	0.0231
P <sub>5</sub>	0.6000	0.0040	0.0040	47.0980	11.7380	47.0970	11.7380	0.0022	0.0000

341 Table 4 also contains the compromise that must be struck between high  $\eta_{PV}$  and  
 342 high  $\eta_{th}$ . For example, point P<sub>3</sub> is a good compromise with a thermal and electrical efficiency of  
 343 49.2 and 11.6 respectively with corresponding  $L = 0.6080\text{m}$ ,  $\delta_{D1} = 0.0064\text{m}$  and  $\delta_{D1} =$   
 344  $0.0057\text{m}$ .



345 Fig. 10. Pareto front emphasising the compromise that can be struck in maximising both  
 346  $\eta_{th}$  and  $\eta_{PV}$  together with five representative design points (i.e. P<sub>1</sub>-P<sub>5</sub>) used for the PV/T  
 347 performance analysis illustrated in Table 4 at 25°C.  
 348

349 In Fig. 10, the Pareto front emphasising the compromise that can be struck in maximising  
 350 both  $\eta_{th}$  and  $\eta_{PV}$  together with five representative design points (P<sub>1</sub>-P<sub>5</sub>) used for the PV/T  
 351 performance analysis illustrated in Table 4 at 25°C. At 45°C, the findings (see Appendix C,

352 Fig. C3 and Table C3) are similar to the low temperature scenario. Results between the  
 353 metamodels and full CFD calculations agree well. Point P<sub>3</sub> in Table C3, which corresponds  
 354 to a thermal efficiency of 49.0 and an electrical efficiency of 10.6, and is found to be good  
 355 design (i.e.  $L = 0.6131$  m,  $\delta_{D1} = 0.0065$  m and  $\delta_{D2} = 0.0058$  m). The design optimisation is  
 356 undertaken in terms of flow and electrical powers, with aim to simultaneously minimise  
 357  $P_{fan}$  and maximise  $P_{PV}$ . The resulting Pareto for the 25°C temperature condition is  
 358 presented in Table 5 and illustrated in Fig. 11.

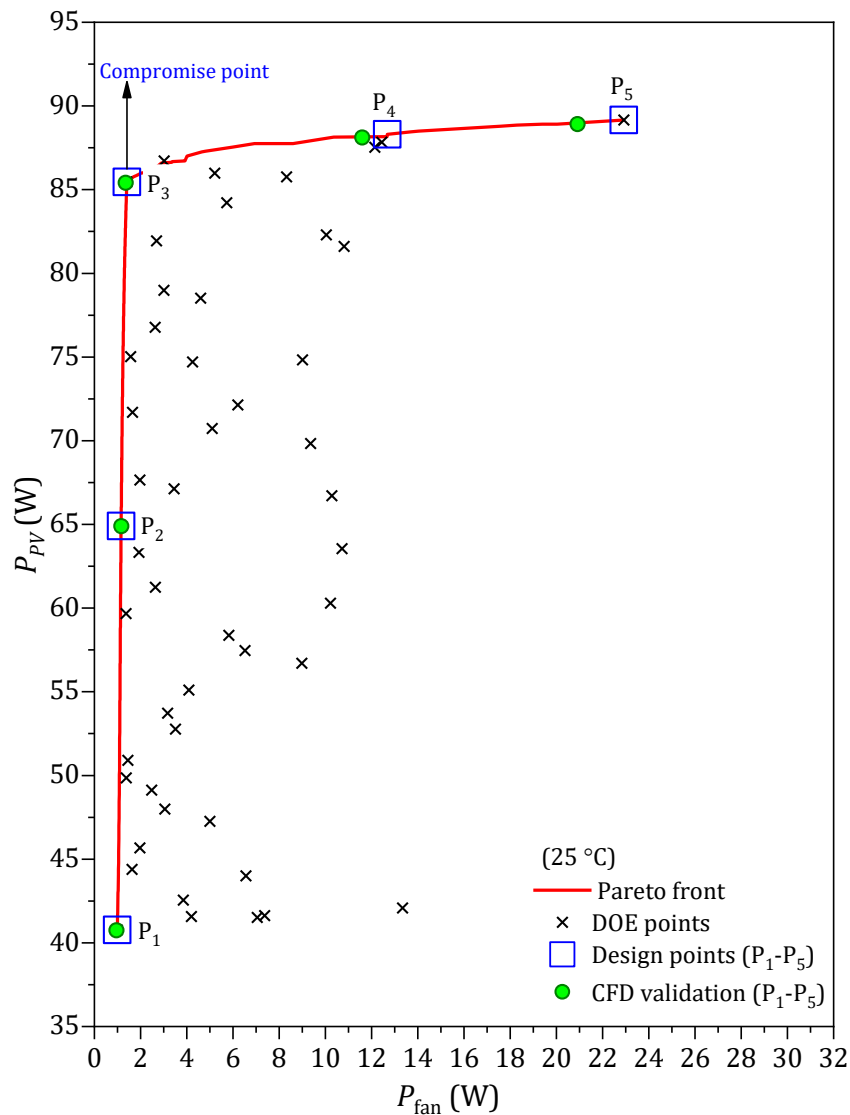
359 Fig. 11 and Table 5 show a sample of five points on the Pareto front (P<sub>1</sub>-P<sub>5</sub>) at 25°C. A  
 360 comparison between the values of  $P_{PV}$  and  $P_{fan}$  is determined from the metamodels at these  
 361 points and the full CFD numerical simulations. There is a good agreement between the  
 362 metamodel and full numerical predictions for all cases, demonstrating the accuracy of the  
 363 metamodeling approach is implemented. This has been justified by the maximum relative  
 364 errors obtained for  $P_{PV}$  and  $P_{fan}$  of 8.7514% and 0.2871%, respectively.

365 Table 5 also reveals that point P<sub>3</sub> to be a good compromise design. Lastly, a significant  
 366 result can be drawn from the Pareto curve which is the impact of the fan power  $P_{fan}$  on the  
 367 power generation  $P_{PV}$ . An increase of fan power  $P_{fan}$  just after the compromised point P<sub>3</sub>  
 368 causes the PV/T power generation to be negligible as  $P_{PV}$  tends to plateau. Similar findings  
 369 are obtained for 45°C (e.g. See Fig. C4 and Table C4, Appendix C).

370 Table 5. PV/T design performance of Configuration 4 at five operating condition points  
 371 located on the Pareto together with CFD validation, as shown in Fig.11 when operating at  
 372 25°C. Relative error=  $|P_{metamodels} - P_{CFD}| \times 100/P_{metamodels}$ .

Design points for Pareto front				Metamodels		CFD validation		Relative Error	
Point	$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$P_{fan}$ (W)	$P_{PV}$ (W)	$P_{fan}$ (W)	$P_{PV}$ (W)	$P_{fan}$ (%)	$P_{PV}$ (%)
P <sub>1</sub>	0.6000	0.0100	0.0150	0.9904	40.7680	0.9566	40.7480	3.4128	0.0491
P <sub>2</sub>	0.9756	0.0100	0.0150	1.1578	64.9089	1.1697	64.8840	1.0278	0.0384
P <sub>3</sub>	1.3000	0.0100	0.0149	1.4056	85.4630	1.3588	85.4010	3.3295	0.0725
P <sub>4</sub>	1.2987	0.0059	0.0046	12.6832	88.3088	11.6000	88.1170	8.5404	0.2172
P <sub>5</sub>	1.3000	0.0040	0.0040	22.9220	89.1630	20.9160	88.9070	8.7514	0.2871

373 From Table 5, there is a clear trend of a slight increase in electrical power generation  
 374 compared to huge increase in fan power consumption after P<sub>3</sub>. It should be mentioned that  
 375 the main variables affecting the electrical power generation are the collector dimensions  
 376 (length, depth of flows).



377  
 378 Fig. 11. Pareto front showing the compromise that can be achieved in minimising  $P_{fan}$  and  
 379 maximising  $P_{PV}$  together with five representative design points (e.g.  $P_1$ - $P_5$ ) used for the  
 380 PV/T performance analysis illustrated in when Table 5 operating at 25°C.

## 381 6. Conclusion

382 A computational fluid dynamics multi-objective optimisation framework analysis is  
 383 made to evaluate photovoltaic/thermal air systems. Three main objectives are conducted  
 384 to obtain the optimal design: A) selection of design parameters; and B) performing  
 385 preliminary parametric studies of five common configurations (1: a standard photovoltaic  
 386 system without active cooling, 2: single pass duct, 3: a single pass duct (glazed), 4: 2 co-  
 387 current pass ducts and 5: a double-pass single duct). Configuration 4 has the relatively best  
 388 thermal performance: total efficiency and lowest fan power consumption (lowest pressure  
 389 drop). Therefore, this configuration is identified as the best conventional photovoltaic and  
 390 thermal collection to test for any further design improvements in the optimisation  
 391 investigation.

392 In the optimisation of Configuration 4, the following five main steps are considered: 1)  
 393 formulation of the objective functions to maximise both electric and thermal efficiencies; 2)

394 parameterised objective functions in terms of three variables, the length of collector and  
395 the depths of the lower and upper air flow channels; 3) design of experiments using optimal  
396 Latin hypercube method as inputs for the computational fluid dynamic simulations; 4)  
397 generating the metamodels from design of experiment points (step 3); and 5) using a  
398 genetic algorithm method to obtain Pareto front curves. In step 5, four Pareto front curves  
399 are presented for the design optimisations, two curves for the analysis of the thermal and  
400 electric efficiencies at 25°C and 45°C and two curves for analysis of the fan and electrical  
401 power at 25°C and 45°C. The thermal and electric efficiencies are improved from 44.5% to  
402 50.1% and from 10.0% to 10.5%, respectively.

## 403 APPENDIX A. AIR PROPERTIES

404 The set of empirical Correlations (A1) – (A7) used to estimate the air properties, which  
405 are functions of bulk fluid temperature and proportionally non-linear [38]. These  
406 correlations are applicable in the temperature range -73 °C to 127 °C.

$$\mu = -8.39 e^{-7} + 8.36 e^{-8} T_f - 7.695 e^{-11} T_f^2 + 4.65 e^{-14} T_f^3 - 1.07 e^{-17} T_f^4, \quad (\text{A1})$$

$$\rho = 3.9147 - 0.01608 T_f + (2.9013 e^{-5} T_f^2) - (1.9407 e^{-5} T_f^3), \quad (\text{A2})$$

$$v = \mu/\rho, \quad (\text{A3})$$

$$k = -0.0023 + 1.155 e^{-4} T_f - 7.91 e^{-8} T_f^2 + 4.118 e^{-11} T_f^3 - 7.44 e^{-15} T_f^4, \quad (\text{A4})$$

$$c_p = 1047.7 - 0.373 T_f + 9.46 e^{-4} T_f^2 - 6.03 e^{-7} T_f^3 + 1.29 e^{-10} T_f^4, \quad (\text{A5})$$

$$\alpha = k/\rho C_p, \quad (\text{A6})$$

$$\text{Pr} = \nu/\alpha. \quad (\text{A7})$$

## 407 APPENDIX B. GRID INDEPENDENCE CHECK

408 Table B1. Mesh independent test analysis for two conditions ( $\text{Re} = 510, \bar{V} = 0.1829 \text{ (m s}^{-1}\text{)}$ ,  
409  $\dot{M}_f = 0.0041 \text{ (kg s}^{-1}\text{)}$ ) and ( $\text{Re} = 2550, \bar{V} = 0.9145 \text{ (m s}^{-1}\text{)}$ ,  $\dot{M}_f = 0.0204 \text{ (kg s}^{-1}\text{)}$ ).

Trial No	NOE	RAM	t	DOF	MEQ	$T_{mpv}$	$\eta_{th}$	$\Delta p_f$	$T_{fo}$
<b>Re= 510, <math>\bar{V} = 0.1829 \text{ (m s}^{-1}\text{)}</math>, <math>\dot{M}_f = 0.0041 \text{ (kg s}^{-1}\text{)}</math></b>									
1	3360	1.81	41	22713	1	86.48	24.08	0.207	73.85
2	9804	3.30	277	60204	1	86.31	23.54	0.207	73.28
3	19401	5.45	265	115584	1	86.11	23.08	0.207	72.74
4	64935	21.56	1759	358716	1	86.02	22.90	0.211	72.52
5	78225	23.67	1706	438876	1	86.00	22.91	0.213	72.53
6	94905	24.30	1792	539076	1	85.97	22.84	0.214	72.45
7	94905	24.48	1752	539076	1	85.88	22.70	0.220	72.28
8	94905	25.97	1787	539076	1	85.86	22.67	0.222	72.25
9	94905	26.81	1755	539076	1	85.84	22.66	0.223	72.23
10	94905	27.03	1759	539076	1	85.82	22.65	0.224	72.22
11	169242	60.80	6397	942326	1	85.95	22.80	0.216	72.40
12	169242	64.61	8866	942326	1	85.85	22.66	0.222	72.23
<b>Re= 2550, <math>\bar{V} = 0.9145 \text{ (m s}^{-1}\text{)}</math>, <math>\dot{M}_f = 0.0204 \text{ (kg s}^{-1}\text{)}</math></b>									
1	3360	1.85	44	22713	1	75.90	46.36	1.408	56.20
2	9804	3.4	295	60204	1	75.96	45.35	1.403	55.96
3	19401	5.59	264	115584	1	75.97	44.37	1.429	55.72
3a	44823	8.09	352	285824	1	75.74	43.11	1.56	55.42

3ab	44823	8.84	346	285824	1	75.83	43.43	1.50	55.50
3abc	51513	9.49	382	330624	1	75.81	43.36	1.51	55.48
3abcd	51513	9.49	385	330624	1	75.75	43.10	1.57	55.42
4	64935	20.97	1586	358716	1	75.90	43.87	1.46	55.60
5	78225	22.23	1642	438876	1	75.87	43.65	1.48	55.55
6	94905	25.98	1827	539076	1	75.84	43.52	1.49	55.51
7	94905	27.18	1780	539076	1	75.75	43.20	1.54	55.44
7a	111555	27.21	2014	639276	1	75.74	43.12	1.56	55.42
7ab	111555	27.32	1969	639276	1	75.83	43.43	1.50	55.50
7abc	128205	28.87	2128	739476	1	75.81	43.37	1.51	55.48
7abcd	128205	27.97	2290	739476	1	75.74	43.11	1.56	55.42
8	94905	26.36	1757	539076	1	75.73	43.15	1.55	55.43
9	94905	23.85	1746	539076	1	75.71	43.10	1.557	55.42
10	94905	25.66	1807	539076	1	75.69	43.07	1.563	55.41
11	169242	61.51	7368	942326	1	75.83	43.44	1.504	55.50
12	169242	63.74	8378	942326	1	75.73	43.12	1.558	55.42

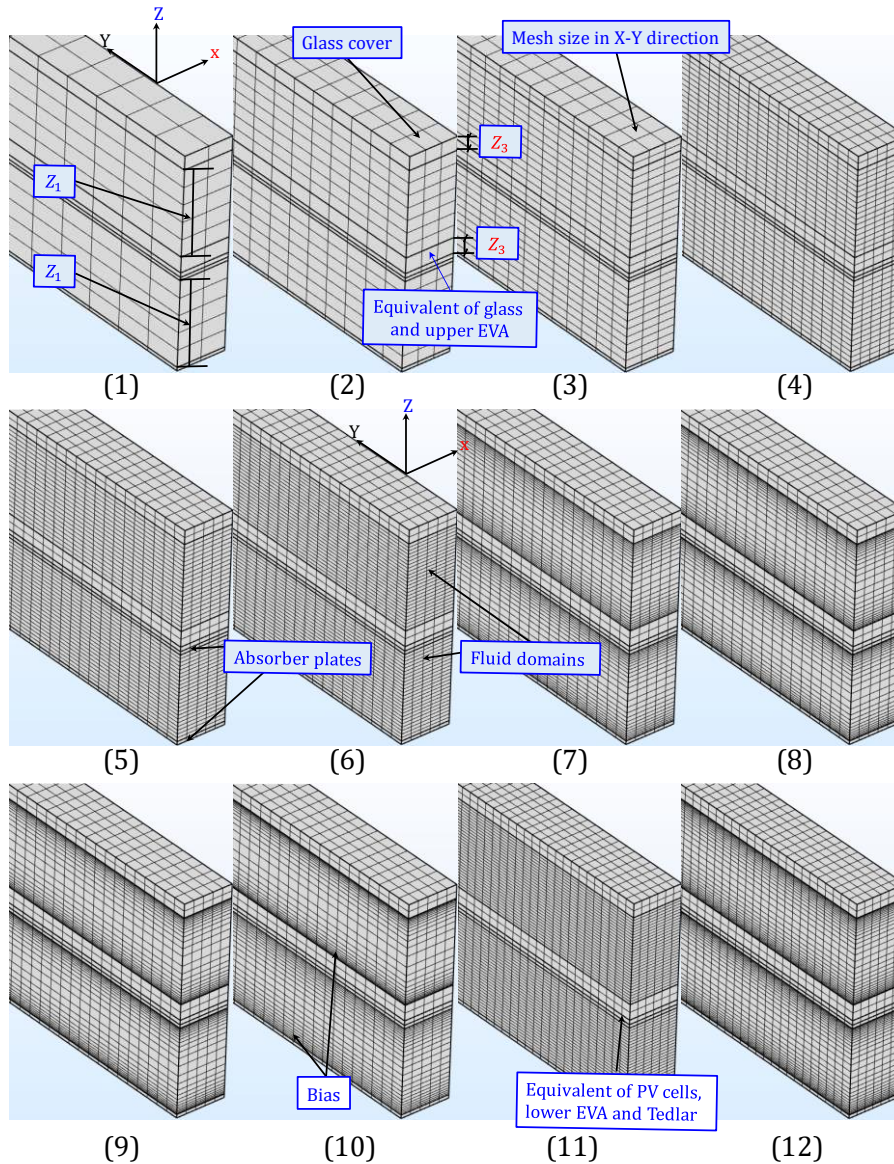


Fig. B1. Grid independence test for Configuration 4 using hexahedral mesh element type.

410 **APPENDIX C. Optimisation strategy**

411 Table C1. Fifty DOE points and their CFD results for four objective functions of  
 412 Configuration 4 for low temperature weather (25 °C).

$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$\bar{V}_L$ (m s <sup>-1</sup> )	$\bar{V}_U$ (m s <sup>-1</sup> )	$\dot{M}_f$ (kg s <sup>-1</sup> )	$\eta_{th}$	$\eta_{PV}$	$P_{fan}$ (W)	$P_{PV}$ (W)
0.6	0.004	0.004	4.97	4.97	0.0377	47.10	11.74	13.34	42.09
0.6	0.01	0.004	2.00	4.97	0.0378	45.84	11.61	7.37	41.63
0.6	0.004	0.015	4.97	1.34	0.0380	40.12	11.58	7.05	41.52
0.6	0.01	0.015	2.00	1.34	0.0381	49.89	11.37	0.99	40.77
1.3	0.004	0.004	4.97	4.97	0.0377	45.56	11.48	22.92	89.16
1.3	0.01	0.004	2.00	4.97	0.0378	43.36	11.31	12.44	87.84
1.3	0.004	0.015	4.97	1.34	0.0380	36.26	11.27	12.14	87.54
1.3	0.01	0.015	2.00	1.34	0.0381	43.83	11.00	1.40	85.45
0.92439	0.004	0.00749	4.97	2.67	0.0378	44.35	11.51	10.72	63.55
1.0268	0.00415	0.01285	4.79	1.57	0.0379	39.61	11.38	9.36	69.83
1.2146	0.00429	0.0099	4.64	2.02	0.0378	41.50	11.34	10.04	82.30
0.63415	0.00444	0.00776	4.48	2.58	0.0378	46.34	11.61	6.56	44.00
0.83902	0.00459	0.01044	4.34	1.92	0.0379	43.54	11.46	6.52	57.47
0.8561	0.00473	0.01366	4.21	1.47	0.0379	41.47	11.41	5.82	58.37
0.87317	0.00488	0.0048	4.08	4.15	0.0377	47.42	11.56	10.22	60.30
1.2659	0.00502	0.00722	3.97	2.77	0.0378	44.84	11.34	8.32	85.76
1.0951	0.00517	0.00561	3.85	3.55	0.0378	46.86	11.44	9.01	74.83
0.61707	0.00532	0.01098	3.74	1.83	0.0379	46.17	11.54	3.85	42.55
1.0439	0.00546	0.01017	3.65	1.97	0.0379	44.35	11.34	5.10	70.73
1.2829	0.00561	0.01205	3.55	1.67	0.0379	41.77	11.22	5.21	85.98
1.1122	0.00576	0.01446	3.46	1.39	0.0380	41.42	11.24	4.25	74.70
0.70244	0.0059	0.01393	3.38	1.45	0.0380	44.95	11.43	3.05	47.99
0.80488	0.00605	0.00802	3.30	2.49	0.0378	47.66	11.46	4.09	55.10
1.1976	0.0062	0.00454	3.22	4.38	0.0378	46.47	11.40	10.81	81.61
1.1634	0.00634	0.00829	3.15	2.41	0.0378	45.75	11.29	4.60	78.51
0.6	0.00649	0.00615	3.07	3.24	0.0378	49.39	11.60	4.20	41.58
0.82195	0.00663	0.00427	3.01	4.66	0.0378	47.43	11.54	8.98	56.70
0.90732	0.00678	0.01339	2.94	1.50	0.0380	45.00	11.30	2.64	61.24
0.71951	0.00693	0.01071	2.88	1.87	0.0379	47.93	11.42	2.48	49.12
1.1805	0.00707	0.01151	2.82	1.74	0.0379	44.45	11.20	3.01	78.98
1.2317	0.00722	0.0142	2.77	1.42	0.0380	42.64	11.13	2.69	81.93
0.77073	0.00737	0.00695	2.71	2.87	0.0378	48.93	11.46	3.51	52.77
0.95854	0.00751	0.0091	2.66	2.20	0.0379	47.45	11.31	2.84	64.81
1.061	0.00766	0.00534	2.61	3.73	0.0378	47.39	11.38	6.21	72.13
1.2488	0.0078	0.00588	2.56	3.39	0.0378	46.43	11.29	5.73	84.21
1.3	0.00795	0.00937	2.51	2.14	0.0379	45.33	11.16	3.02	86.72
0.65122	0.0081	0.01259	2.47	1.60	0.0380	48.87	11.41	1.63	44.39
1.0098	0.00824	0.01232	2.43	1.63	0.0380	46.17	11.21	1.97	67.65
0.68537	0.00839	0.00507	2.38	3.93	0.0378	48.46	11.54	5.00	47.26
0.75366	0.00854	0.01473	2.34	1.37	0.0381	47.51	11.30	1.45	50.90
1.0781	0.00868	0.015	2.31	1.34	0.0381	44.89	11.13	1.65	71.70



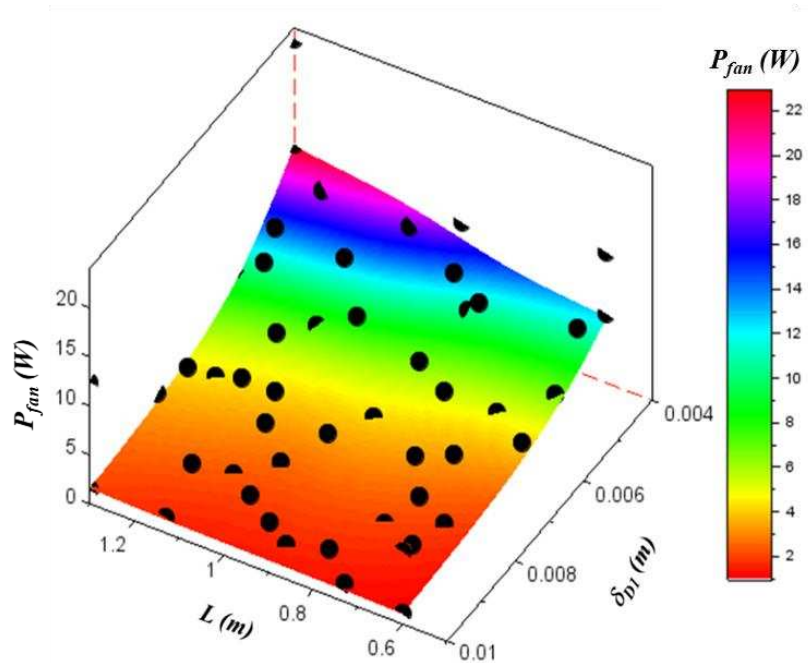
$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$\bar{V}_L$ (m s <sup>-1</sup> )	$\bar{V}_U$ (m s <sup>-1</sup> )	$\dot{M}_f$ (kg s <sup>-1</sup> )	$\eta_{th}$	$\eta_{PV}$	$P_{fan}$ (W)	$P_{PV}$ (W)
0.66829	0.00883	0.00883	2.27	2.27	0.0379	50.04	11.44	1.97	45.67
1.1463	0.00898	0.00856	2.23	2.34	0.0379	46.97	11.21	2.63	76.77
0.97561	0.00912	0.004	2.20	4.97	0.0378	45.27	11.44	10.28	66.71
0.99268	0.00927	0.00668	2.16	2.99	0.0379	47.85	11.32	3.45	67.13
0.94146	0.00941	0.00963	2.13	2.08	0.0380	48.19	11.26	1.93	63.33
0.89024	0.00956	0.01312	2.10	1.53	0.0380	47.68	11.22	1.37	59.67
0.7878	0.00971	0.00641	2.06	3.11	0.0379	48.75	11.41	3.17	53.72
0.73659	0.00985	0.01124	2.03	1.79	0.0380	49.61	11.33	1.38	49.85
1.1293	0.01	0.01178	2.00	1.71	0.0380	46.38	11.12	1.57	75.02

413 Table C2. Fifty DOE points and their CFD results for four objective functions of  
414 Configuration 4 for high temperature weather (45 °C).

$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$\bar{V}_L$ (m s <sup>-1</sup> )	$\bar{V}_U$ (m s <sup>-1</sup> )	$\dot{M}_f$ (kg s <sup>-1</sup> )	$\eta_{th}$	$\eta_{PV}$	$P_{fan}$ (W)	$P_{PV}$ (W)
0.6	0.004	0.004	5.57	5.57	0.0397	46.45	10.76	17.55	38.57
0.6	0.01	0.004	2.24	5.57	0.0398	45.59	10.64	9.69	38.14
0.6	0.004	0.015	5.57	1.51	0.0399	39.49	10.61	9.26	38.04
0.6	0.01	0.015	2.24	1.51	0.0401	49.44	10.42	1.30	37.35
1.3	0.004	0.004	5.57	5.57	0.0397	45.10	10.51	30.05	81.67
1.3	0.01	0.004	2.24	5.57	0.0398	43.27	10.36	16.32	80.45
1.3	0.004	0.015	5.57	1.51	0.0399	35.50	10.32	15.86	80.20
1.3	0.01	0.015	2.24	1.51	0.0401	43.12	10.08	1.83	78.33
0.92439	0.004	0.00749	5.57	2.99	0.0398	43.73	10.54	14.06	58.23
1.0268	0.00415	0.01285	5.37	1.75	0.0399	38.90	10.43	12.26	63.98
1.2146	0.00429	0.0099	5.20	2.27	0.0398	40.90	10.39	13.35	75.41
0.63415	0.00444	0.00776	5.02	2.88	0.0398	45.77	10.64	8.65	40.33
0.83902	0.00459	0.01044	4.86	2.15	0.0398	42.95	10.51	8.61	52.68
0.8561	0.00473	0.01366	4.71	1.65	0.0399	40.82	10.46	7.70	53.51
0.87317	0.00488	0.0048	4.57	4.65	0.0397	47.11	10.59	13.44	55.28
1.2659	0.00502	0.00722	4.44	3.10	0.0398	44.37	10.39	10.97	78.57
1.0951	0.00517	0.00561	4.32	3.98	0.0397	46.40	10.48	11.85	68.56
0.61707	0.00532	0.01098	4.19	2.05	0.0399	45.79	10.57	5.08	38.99
1.0439	0.00546	0.01017	4.09	2.21	0.0399	43.77	10.39	6.70	64.81
1.2829	0.00561	0.01205	3.98	1.87	0.0399	41.19	10.28	6.83	78.78
1.1122	0.00576	0.01446	3.88	1.56	0.0400	40.89	10.30	5.58	68.46
0.70244	0.0059	0.01393	3.79	1.62	0.0400	44.36	10.48	4.03	43.98
0.80488	0.00605	0.00802	3.69	2.79	0.0398	47.28	10.50	5.37	50.49
1.1976	0.0062	0.00454	3.60	4.91	0.0397	46.32	10.45	14.15	74.77
1.1634	0.00634	0.00829	3.52	2.70	0.0398	45.40	10.35	6.02	71.93
0.6	0.00649	0.00615	3.44	3.63	0.0398	49.15	10.63	5.54	38.10
0.82195	0.00663	0.00427	3.37	5.22	0.0397	47.10	10.58	11.90	51.96
0.90732	0.00678	0.01339	3.30	1.68	0.0400	44.39	10.35	3.46	56.12
0.71951	0.00693	0.01071	3.23	2.10	0.0399	47.47	10.47	3.26	45.01
1.1805	0.00707	0.01151	3.16	1.95	0.0399	43.97	10.26	3.96	72.37
1.2317	0.00722	0.0142	3.10	1.59	0.0400	42.03	10.20	3.54	75.09

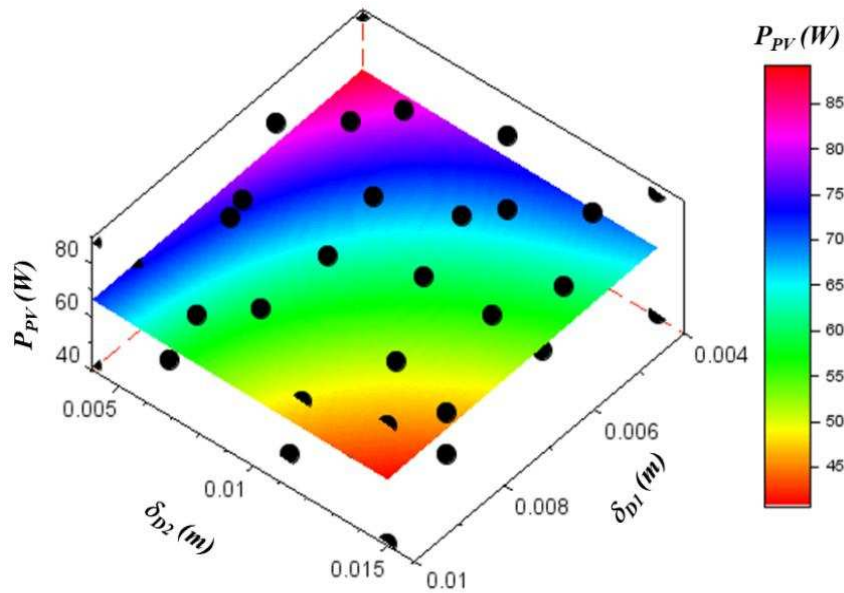
$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$\bar{V}_L$ (m s <sup>-1</sup> )	$\bar{V}_U$ (m s <sup>-1</sup> )	$\dot{M}_f$ (kg s <sup>-1</sup> )	$\eta_{th}$	$\eta_{PV}$	$P_{fan}$ (W)	$P_{PV}$ (W)
0.77073	0.00737	0.00695	3.04	3.22	0.0398	48.63	10.50	4.61	48.35
0.95854	0.00751	0.0091	2.98	2.46	0.0399	46.91	10.37	3.72	59.39
1.061	0.00766	0.00534	2.92	4.18	0.0398	46.98	10.42	8.20	66.09
1.2488	0.0078	0.00588	2.87	3.80	0.0398	46.29	10.34	7.51	77.16
1.3	0.00795	0.00937	2.82	2.39	0.0399	44.88	10.23	3.96	79.46
0.65122	0.0081	0.01259	2.76	1.79	0.0400	48.41	10.45	2.14	40.67
1.0098	0.00824	0.01232	2.72	1.83	0.0400	45.56	10.27	2.59	61.99
0.68537	0.00839	0.00507	2.67	4.40	0.0398	48.18	10.57	6.61	43.30
0.75366	0.00854	0.01473	2.62	1.53	0.0400	46.96	10.36	1.90	46.64
1.0781	0.00868	0.015	2.58	1.51	0.0401	44.12	10.20	2.17	65.71
0.66829	0.00883	0.00883	2.54	2.54	0.0399	49.70	10.48	2.59	41.84
1.1463	0.00898	0.00856	2.50	2.62	0.0399	46.48	10.27	3.46	70.35
0.97561	0.00912	0.004	2.46	5.57	0.0398	44.93	10.48	13.51	61.11
0.99268	0.00927	0.00668	2.42	3.35	0.0399	47.44	10.37	4.53	61.51
0.94146	0.00941	0.00963	2.38	2.33	0.0399	47.62	10.32	2.54	58.04
0.89024	0.00956	0.01312	2.35	1.72	0.0400	47.04	10.28	1.80	54.68
0.7878	0.00971	0.00641	2.31	3.49	0.0399	48.47	10.46	4.18	49.23
0.73659	0.00985	0.01124	2.28	2.00	0.0400	49.13	10.38	1.81	45.68
1.1293	0.01	0.01178	2.24	1.91	0.0400	45.83	10.19	2.07	68.75

415 In Tables C1 and C2,  $L$  is the length of the channel/collector,  $\delta_{D1}$  and  $\delta_{D2}$  are the lower and  
416 upper depth of flows (m) and,  $\bar{V}_L$  and  $\bar{V}_U$  are the lower and upper mean inlet velocities  
417 (m s<sup>-1</sup>) respectively.



418

419 Fig. C1. Response surface function  $\eta_{th}$  from the surrogate model at 25 °C together with the  
420 DOE points.



421

422 Fig. C2. Response surface function  $P_{PV}$  from the surrogate model at 25 °C together with the  
 423 DOE points;

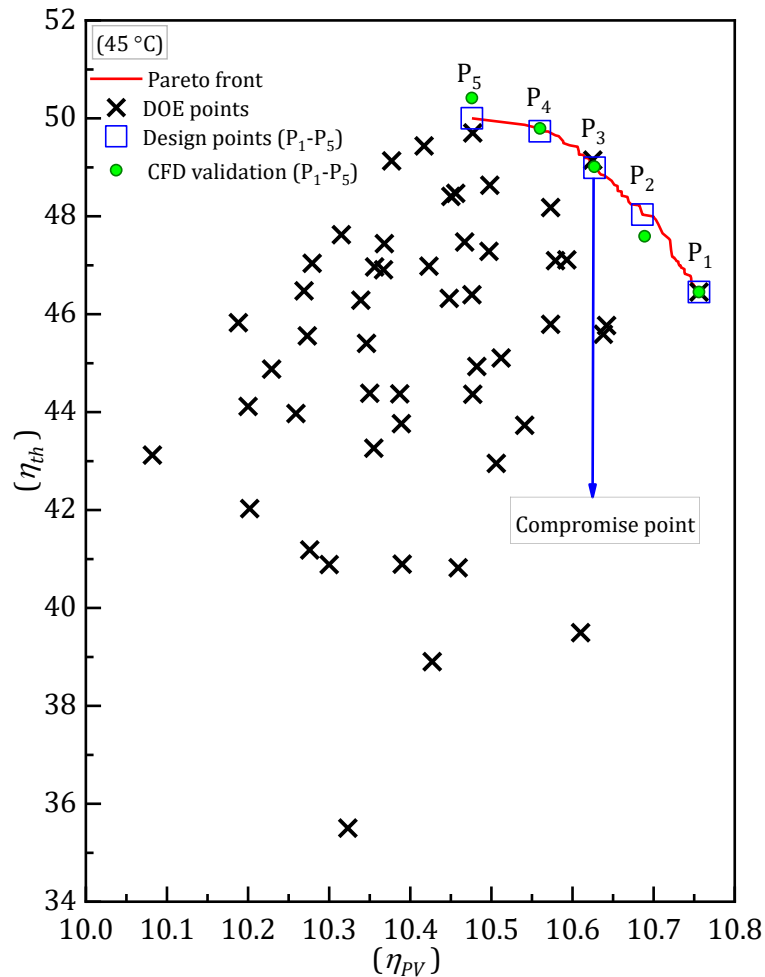


Fig. C3. Pareto front emphasising the compromise that can be struck in maximising both  $\eta_{th}$  and  $\eta_{PV}$  together with five representative design points ( $P_1$ - $P_5$ ) used for the PV/T performance analysis illustrated in Table C3 at 45 °C.

424 Table C3. PV/T efficiencies of Configuration 4 at five operating conditions points located on  
 425 the Pareto front together with their CFD verification at 45 °C, as shown in Fig. C3. Relative  
 426 error =  $|\eta_{\text{metamodels}} - \eta_{\text{CFD}}| \times 100 / \eta_{\text{metamodels}}$ .

Design points for Pareto front				Metamodels		CFD		Relative Error	
Point	$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$\eta_{th}$	$\eta_{PV}$	$\eta_{th}$	$\eta_{PV}$	$\eta_{th}$ (%)	$\eta_{PV}$ (%)
P <sub>1</sub>	0.6171	0.0100	0.0094	50.000	10.4761	50.41	10.4760	0.8250	0.0010
P <sub>2</sub>	0.6134	0.0081	0.0071	49.736	10.5598	49.79	10.5600	0.1130	0.0019
P <sub>3</sub>	0.6131	0.0065	0.0058	48.989	10.6273	49.01	10.6270	0.0437	0.0028
P <sub>4</sub>	0.6181	0.0059	0.0042	48.032	10.6863	47.59	10.6890	0.9196	0.0253
P <sub>5</sub>	0.6000	0.0040	0.0040	46.451	10.7560	46.45	10.7560	0.0000	0.0000

427

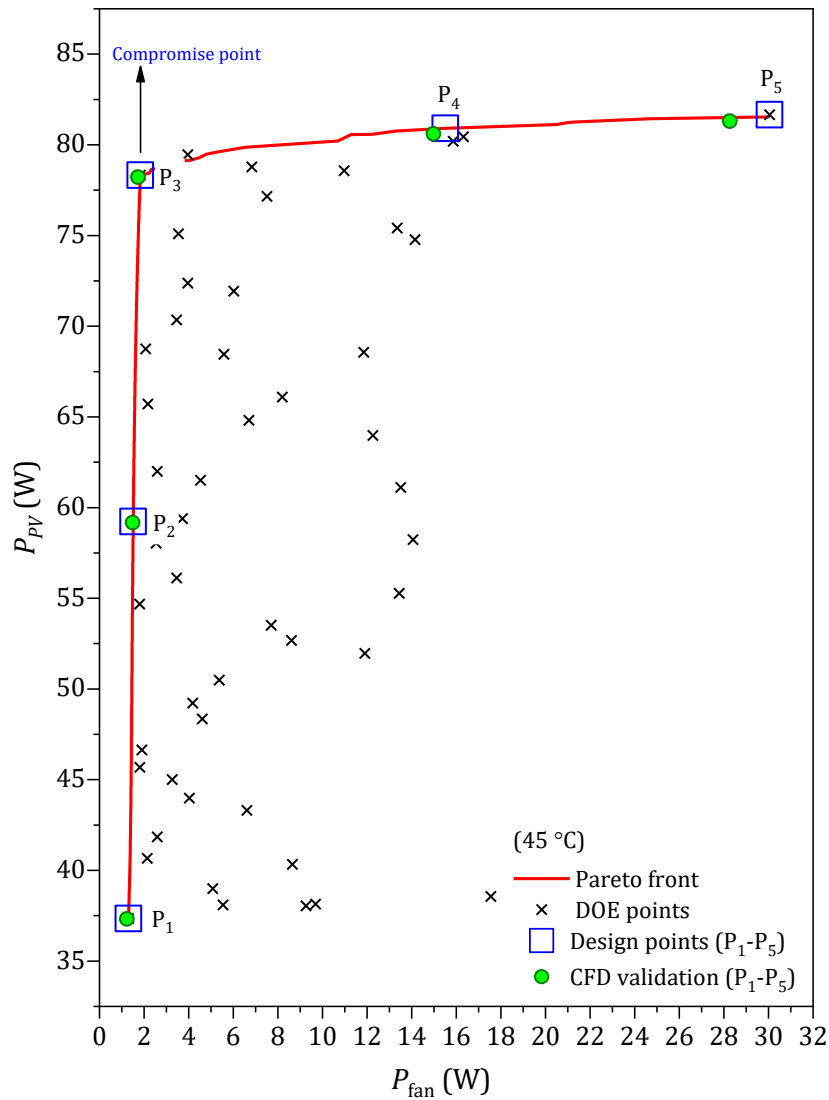


Fig. C4. Pareto front showing the compromises that can be struck in minimising  $P_{fan}$  and maximising  $P_{PV}$  together with five representative design points (e.g. P<sub>1</sub>-P<sub>5</sub>) used for the PV/T performance analysis illustrated in Table C4 when operating at 45 °C.

428 Table C4. PV/T design performance of Configuration 4 at five operating conditions points  
 429 located on the Pareto front together with CFD verification at 45 °C. Relative error =  
 430  $|P_{\text{metamodels}} - P_{\text{CFD}}| \times 100 / P_{\text{metamodels}}$ .

Design points for Pareto front				Metamodels		CFD		Relative Error	
Point	$L$ (m)	$\delta_{D1}$ (m)	$\delta_{D2}$ (m)	$P_{fan}$ (W)	$P_{PV}$ (W)	$P_{fan}$ (W)	$P_{PV}$ (W)	$P_{fan}$ (%)	$P_{PV}$ (%)

P <sub>1</sub>	0.6000	0.0100	0.0150	1.3023	37.3500	1.2268	37.3160	5.7974	0.0910
P <sub>2</sub>	0.9712	0.0100	0.0150	1.5125	59.2303	1.4887	59.1710	1.5736	0.1001
P <sub>3</sub>	1.3000	0.0100	0.0150	1.8333	78.3270	1.7297	78.2150	5.6510	0.1430
P <sub>4</sub>	1.2996	0.0052	0.0055	15.5108	80.9034	14.9800	80.5970	3.4221	0.3787
P <sub>5</sub>	1.3000	0.0040	0.0040	30.0530	81.6650	28.2670	81.2990	5.9428	0.4482

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