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The stringent response and physiological roles of (pp)pGpp in bacteria

Sophie E. Irving, Naznin R. Choudhury and Rebecca M. Corrigan*

The Florey Institute, Department of Molecular Biology and Biotechnology,
University of Sheffield, Sheffield, United Kingdom

* Correspondence: r.corrigan@sheffield.ac.uk

14 **The stringent response is a stress signalling system mediated by the alarmones**
15 **(pp)pGpp in response to nutrient deprivation. Research into the stringent**
16 **response has exploded in recent years, with new insights highlighting the**
17 **complexity and broad range of functions that these alarmones control. This review**
18 **will provide an update on our current understanding of the enzymes involved in**
19 **(pp)pGpp nucleotide turnover, including those shown to produce pGpp and its**
20 **analogue (pp)pApp. Many studies to date have examined the impact of (p)ppGpp**
21 **interacting with the RNAP to alter cellular processes. Here, we will describe a**
22 **broader range of target pathways controlled by (pp)pGpp in the bacterial cell and**
23 **the impact of this on multiple cellular processes, including DNA replication,**
24 **transcription, nucleotide synthesis, ribosome biogenesis and function, as well as**
25 **lipid metabolism. Finally, we will review the role of (p)ppGpp in bacterial**
26 **pathogenesis, providing examples of how these nucleotides are involved in**
27 **regulating many aspects of virulence and chronic infection.**

28

29 Bacteria encounter numerous environmental stresses during their lifecycle and need to
30 respond quickly and efficiently in order to survive. There are several stress signalling
31 pathways that enable bacterial adaptation, including some that rely on small nucleotide
32 messengers. The stringent response is a ubiquitous stress signalling pathway that allows
33 bacteria to respond to nutrient starvation^{1,2}. During the stringent response, there is an
34 accumulation of the alarmones guanosine tetra- (ppGpp) and pentaphosphate
35 (pppGpp). These guanosine-based nucleotides are produced by members of the RSH
36 (RelA/SpoT homologue) protein superfamily³ and are formed from GDP or GTP,
37 respectively. More recently, the presence of a third member of this family, pGpp, has
38 been confirmed in numerous species⁴⁻⁸. When discussing alarmone synthesis and

39 functions known to involve pGpp, we will refer to all three nucleotides as (pp)pGpp,
40 otherwise (p)ppGpp will be used for ppGpp and pppGpp. (pp)pGpp have many
41 intracellular targets including both protein and RNA molecules, allowing several
42 aspects of bacterial metabolism and physiology to be activated or inhibited during the
43 stringent response. Some (pp)pGpp-binding targets are common across the Bacteria,
44 whereas others are specific to the lifestyle and niche of a species.

45 As (pp)pGpp is such a widespread signalling nucleotide, it has been the focus of
46 intense study in recent years. In this review, we discuss the latest advances in (pp)pGpp
47 signalling. An update on our current understanding of the enzymes that synthesise and
48 degrade all three alarmones, as well as the similar nucleotide (pp)pApp, is provided.
49 We then highlight the broad range of metabolic pathways that (pp)pGpp regulates. This
50 includes, but extends beyond, well-known interactions with the RNA polymerase
51 (RNAP), to emphasise the importance of these alarmones in regulating diverse
52 metabolic processes. (pp)pGpp is also an important player in bacterial pathogenicity.
53 Here, we examine the contribution of these alarmones to virulence and chronic
54 infection, using selected examples from different pathogens. Although a number of
55 signals that trigger alarmone synthesis are referred to throughout, the mechanisms of
56 how most of the stress signals are sensed by RSH enzymes have been comprehensively
57 reviewed elsewhere^{9,10} and so will not be discussed in detail.

58

59 **Overview of (pp)pGpp synthesising enzymes**

60 The discovery of phosphorylated nucleotides is by no means recent, with both
61 (p)ppGpp and (p)ppApp discovered over 50 years ago¹¹⁻¹³. In the case of (p)ppGpp,
62 work by Cashel and Gallant identified two unusual phosphorylated compounds after
63 depriving *Escherichia coli* of amino acids¹¹. These nucleotides controlled a decrease in

64 synthesis of stable RNAs such as tRNA and rRNA during starvation, commencing
65 research into the stringent response. It is now well known that enzymes from the RSH
66 superfamily are responsible for the synthesis and hydrolysis of (pp)pGpp^{3,4,14}. Synthesis
67 requires the transfer of a pyrophosphate (PP_i) group from ATP to the 3'-OH group of
68 the ribose moiety of GTP, GDP or GMP, achieved by nucleophilic attack of the β-
69 phosphate of ATP by the 3'-OH of GTP/GDP/GMP¹⁵ (FIG. 1a). RSH enzymes with
70 catalytically active hydrolase (HD) domains are also able to hydrolyse (pp)pGpp by
71 removing a PP_i group to produce GTP/GDP/GMP¹⁶. The RSH superfamily is divided
72 into three groups: long RSHs; small alarmone synthetases (SASs); and small alarmone
73 hydrolases (SAHs)³.

74

75 *Long RSH enzymes*

76 The first RSH enzyme characterised was a long RSH termed RelA from *E. coli*, so
77 named as *relA* mutant strains display a 'relaxed' phenotype, with stable RNA synthesis
78 continuing in the absence of amino acids required for growth¹⁷. Long RSH proteins
79 have now been identified from across the Bacteria, green algae and in plant
80 chloroplasts^{3,14}. These enzymes contain multiple domains divided between an
81 enzymatic N-terminal region and a regulatory C-terminal region (FIG. 1b). The HD
82 (Pfam accession PF13328) and synthetase domains (SYNTH: PF04607) comprise the
83 enzymatic region. The SYNTH and HD domains work in concert to maintain an
84 optimum level of (pp)pGpp depending on the environmental conditions¹⁸⁻²⁰, with a
85 functional HD domain required to avoid toxic accumulation of (pp)pGpp²¹. The switch
86 between enzymatic activities is controlled by binding of the C-terminal region with
87 interaction partners such as the starved ribosome²²⁻²⁶, as well as by substrate
88 interactions²⁷. Here, the binding of GDP and ATP to the SYNTH domain opens the

89 structure of the enzyme, activating synthetase activity and inhibiting hydrolase
90 activity²⁷. The binding of ppGpp to the HD domain, on the other hand, induces
91 conformational changes that occlude the SYNTH domain and permit hydrolysis²⁷. The
92 identity of the domains present in the C-terminal region of long RSH proteins is
93 described differently throughout the literature but generally are considered to comprise
94 a TGS region (ThrRS, GTPase and SpoT: PF02824), a ZFD or CC domain (zinc finger
95 domain/conserved cysteine), an alpha-helical domain and an ACT or RRM domain
96 (aspartate kinase, chorismate and TyrA/RNA recognition motif: PF13291) (FIG. 1b).

97 Using a Hidden Markov Model-based approach, 24,072 genomes were searched
98 for RSH enzymes based on the presence of a SYNTH or a HD domain and classified
99 into subfamilies based on phylogeny¹⁴. Long RSH enzymes were classified into 13
100 different subfamilies, with most species possessing one long bifunctional enzyme, in
101 addition to one other enzyme (monofunctional long RSH or SAS)^{3,14}. *E. coli*, like most
102 organisms in the Beta- and Gammaproteobacteria, contains two long RSH enzymes:
103 the monofunctional RelA, which has a catalytically inactive pseudo-HD domain due to
104 active site mutations; and the bifunctional enzyme SpoT²⁸. Interestingly, the pseudo-
105 HD domain is structurally and evolutionarily conserved in the Beta- and
106 Gammaproteobacteria, suggesting an additional role in stability or regulation of
107 enzymatic activity³. Aside from the pseudo-HD domain in RelA, there are also
108 differences in the catalytic sites of the SYNTH domains between RelA and SpoT from
109 *E. coli*. RelA contains the acidic residues EFDD, whereas SpoT has basic residues
110 RFKD²⁹. This difference may be responsible for the preference of RelA for GDP and
111 of SpoT for GTP as a substrate, as the motif is located near the GDP/GTP binding
112 pocket³⁰. It could also explain why SpoT has weaker synthetase activity than RelA^{31,32}.
113 *E. coli* also encodes a third enzyme involved in (p)ppGpp metabolism called GppA³³

114 (FIG. 1a). Although it does not have a HD or SYNTH domain (and is therefore not a
115 member of the RSH superfamily), it does convert pppGpp to ppGpp through its
116 guanosine pentaphosphate phosphohydrolase activity so that ppGpp is the dominant
117 nucleotide produced in *E. coli*³³. Most bacteria outside of the Beta- and
118 Gammaproteobacteria contain one bifunctional long RSH protein termed Rel, which
119 can be accompanied by one or two SAS proteins^{3,14}.

120 Several interaction partners have been shown to regulate the enzymatic activity
121 of long RSH proteins. For example, SpoT from *E. coli* interacts with the uncharged acyl
122 carrier protein (ACP)³⁴ and YtfK³⁵ to respond to fatty acid starvation, as well as the *E.*
123 *coli* σ^{70} -binding protein Rsd to sense carbon starvation³⁶, while Rel/RelA proteins are
124 regulated by stalled ribosomes³⁷⁻³⁹ (for recent reviews on regulation of synthetase
125 activity see references^{9,10}). The way in which Rel and RelA sense starved ribosomes
126 has long been disputed, with theories suggesting that RelA from *E. coli* could ‘hop’
127 between different ribosomes to sense the charged status of tRNAs³⁸ or that (p)ppGpp
128 was produced following dissociation of active RelA from the ribosome⁴⁰, as opposed
129 to only when bound⁴¹. In recent years, much of how long RSH enzymes sense amino
130 acid starvation was clarified, with publications of biochemical studies and a number of
131 cryo-EM structures of RelA from *E. coli* in complex with the stalled ribosome²²⁻²⁵ (FIG.
132 1c). When not bound to the ribosome, RelA/Rel enzymes adopt a closed conformation
133 that favours (p)ppGpp hydrolysis^{25,42}. Cryo-EM structures reveal that upon ribosome
134 binding, RelA adopts an open conformation where (p)ppGpp synthesis is favoured²³⁻²⁵.
135 Uncharged tRNA is not required for this initial RelA/Rel binding event, but it does
136 stabilise the interaction and promotes synthesis^{22,25,26}. When bound, the TGS,
137 ACT/RRM and ZFD/CC domains of the C-terminal region of RelA interact with the A-
138 site finger element and the uncharged tRNA, while the enzymatic region extends away

139 from the ribosome producing (p)ppGpp²³⁻²⁶ (FIG. 1c). pppGpp can also allosterically
140 bind to the N-terminal domain and positively influence its own synthesis, ensuring that
141 production is fully induced in response to amino acid starvation^{22,43}.

142

143 *Small alarmone synthetases*

144 SAS proteins only contain SYNTH domains and divide into 30 subfamilies spread
145 across a diverse range of bacteria¹⁴. The best characterised of these are the RelP and
146 RelQ families, which are found in the Firmicutes and share approximately 30%
147 sequence identity¹⁰. Both RelP and RelQ lack regulatory sensory domains and
148 expression is controlled at the transcriptional level, where alkaline shock^{44,45}, ethanol
149 stress⁴⁶ and exposure to cell wall-targeting antibiotics^{44,47} can increase transcription (for
150 recent reviews on regulation of synthetase activity see references^{9,10}). Aside from RelP
151 and RelQ, a number of additional SAS enzymes have now been characterised, such as
152 RelV from the Proteobacterium *Vibrio cholerae*⁴⁸, and RelS and RelZ from the actRel
153 subgroup present in the Actinobacteria⁷. RelZ, is a unique SAS, in that it is the only
154 RSH superfamily member characterised thus far that contains an additional enzymatic
155 domain not involved in the metabolism of (pp)pGpp⁴⁹. The enzyme, found in
156 *Mycobacterium smegmatis*, has a SYNTH domain fused to an RNase HIII domain that
157 is involved in separating RNA-DNA hybrid structures termed R-loops, suggesting that
158 (pp)pGpp has a role in resolving DNA damage^{49,50}.

159

160 *Small alarmone hydrolases*

161 The presence of active HD-domain-containing SAH enzymes in bacteria was
162 confirmed as recently as 2018⁵¹ and 11 subfamilies have now been predicted based on
163 phylogenetic analysis¹⁴. RelH from the Actinobacterium *Corynebacterium glutamicum*

164 is a member of the Mesh1-L (metazoan SpoT homologue-1 L) subgroup and is capable
165 of hydrolysing (pp)pGpp *in vitro*, in an Mn²⁺ and pH-dependent manner⁵¹. An SAH
166 protein called MESH1 is present in eukaryotes such as humans and *Drosophila*
167 *melanogaster*, with both homologues able to hydrolyse (p)ppGpp and ppApp^{14,52}.
168 Mesh1-deficient *Drosophila* displayed slowed body growth and impaired revival upon
169 amino acid depletion, suggesting a role in starvation responses⁵². However, these
170 organisms do not appear to have homologues of (pp)pGpp synthetases⁵². Biochemical
171 analysis has demonstrated that human MESH1 is instead, a cytosolic NADPH
172 phosphatase that is able to cleave NADPH to NADH and inorganic phosphate to control
173 cellular ferroptosis⁵³. Structurally, NADPH has similarity to ppGpp, which together
174 with the ability of MESH1 to degrade ppApp¹⁴, suggests that this enzyme has broad
175 substrate specificity and is not specifically involved in alarmone signalling⁵³.

176

177 **Expanding the nucleotide pool: pGpp and (pp)pApp**

178 *pGpp*

179 Although first identified in Actinobacteria and *Bacillus subtilis* cells in response to
180 amino acid starvation in the 1970s^{12,54}, the characterisation of pGpp and its role in the
181 stringent response is a topic of recent investigation. In 2015, the SAS RelQ from *E.*
182 *faecalis* was shown to produce pGpp from GMP and ATP⁴, which could then be
183 degraded by the HD domain of long RSH enzymes⁶. Like both pppGpp and ppGpp,
184 pGpp from *E. faecalis* is capable of inhibiting enzymes involved in the GTP synthesis
185 pathway (outlined below), as well as the transcription of *rrnB* by the *E. coli* RNAP,
186 suggesting that it is a third nucleotide that functions in modulating the stringent
187 response⁴. pGpp synthesis has now been detected from multiple long and short RSH

188 enzymes, including *M. smegmatis*⁶, *Staphylococcus aureus*⁵ and *C. glutamicum*⁷, as
189 well as from RelA in *E. coli*³⁰.

190 Interestingly, in *B. subtilis* and *Bacillus anthracis* cells, pGpp is also
191 synthesised by the enzymatic degradation of pppGpp and ppGpp by a NuDiX
192 (nucleoside diphosphate linked moiety X) hydrolase termed NahA⁸ (FIG. 1a). Here,
193 NahA can hydrolyse between the 5'- α and 5'- β phosphate groups to produce pGpp both
194 *in vitro* and *in vivo*. Similarly to (p)ppGpp, pGpp is capable of binding to a number of
195 enzymes in the purine synthesis pathway, but unlike (p)ppGpp, does not interact with
196 ribosome-associated GTPases⁸. NahA is not the only NuDiX hydrolase capable of
197 cleaving (p)ppGpp, with MutT, NudG and RppH from *E. coli*^{55,56}, and Ndx8 from
198 *Thermus thermophilis*⁵⁷, able to degrade (p)ppGpp to pGp. This highlights that the
199 regulation of the alarmone pool composition is much more complex than previously
200 appreciated.

201

202 *(pp)pApp*

203 Phosphorylated purine molecules are not limited to guanosine variants. Enzymes
204 capable of synthesising (p)ppApp have been identified in the actinomycetes¹² and high
205 levels of (p)ppApp accumulate in sporulating *B. subtilis* cells in a ribosome-dependent
206 fashion¹³. A putative function for this nucleotide was identified in the 1970s, where
207 ppApp was shown to positively affect transcription of rRNA *in vitro*, in contrast to the
208 actions of (pp)pGpp⁵⁸. In the last few years there has been a resurgence in interest in
209 (p)ppApp. A crystal structure of ppApp in complex with the RNAP from *E. coli* has
210 been solved, revealing that the nucleotide binds at a site distinct from the two (p)ppGpp
211 binding sites that is near the catalytic centre⁵⁹. This work also observed that *in vitro*

212 (p)ppApp functions in an opposite way to (pp)pGpp and activates transcription of *rrn*
213 promoters by enhancing promoter binding and stabilising open complex formation⁵⁹.

214 Importantly, a number of RSH enzymes have now been shown capable of
215 producing (pp)pApp *in vivo*. Of the 30 identified SAS subtypes, 5 are encoded in
216 bicistronic toxin-antitoxin-like operons (toxSAS) that are widespread throughout the
217 Bacteria¹⁴. While expression of members of the well-known RelP and RelQ groups in
218 *E. coli* were nontoxic, expression of SAS enzymes from these 5 subfamilies inhibited
219 cell growth unless co-expressed with their cognate antitoxin¹⁴. Inhibition of bacterial
220 growth was mediated by the production of ppApp and ppGpp, and resulted in a
221 downregulation of transcription, translation and DNA replication¹⁴. In each case, toxin
222 activity could be controlled by the production of a proteinaceous antitoxin that binds to
223 and inactivates the toxin, as for type II toxin-antitoxin systems. The activity of one
224 tested toxin was also inhibited by a second antitoxin. This antitoxin had hydrolase
225 activity (SAH) and cleaved the produced alarmones, similar to type IV toxin-antitoxin
226 systems¹⁴.

227 *Pseudomonas aeruginosa* encodes an enzyme that is structurally similar to RSH
228 enzymes, however it cannot synthesise (p)ppGpp, instead producing (pp)pApp⁶⁰. This
229 enzyme, Tas1, acts as an interbacterial toxin, as it is injected by a type VI secretion
230 system into neighbouring cells where it produces (pp)pApp⁶⁰. The net result of this is a
231 rapid depletion of cellular ATP, leading to wide-reaching rearrangements in metabolic
232 processes. In addition, (pp)pApp is able to bind to (pp)pGpp target proteins such as
233 PurF and directly inhibit purine biosynthesis⁶⁰. Tas1 and toxSAS enzymes are by no
234 means alone in their synthesis of alarmones as toxins. Genes encoding (p)ppGpp
235 synthetases have been identified in a number of prophages, with suggested roles in viral

236 defence⁶¹⁻⁶³. Here, (p)ppGpp is produced to shut down protein synthesis and arrest
237 growth, thereby impeding lytic phage attack.

238 It is interesting to note that unchecked production of (pp)pApp is toxic, just like
239 unchecked production of (pp)pGpp in mutants without any hydrolase activity⁴⁷. It may
240 be that in addition to acting as a toxin, (pp)pApp is also a regulatory molecule and can
241 positively influence transcription in certain species, as the *in vitro* data suggests^{58,59}.
242 This function would require the presence of a dedicated hydrolase and presumably a
243 mechanism for controlling the rate of synthesis. As discussed above, there is a non-
244 toxic accumulation of (p)ppApp in sporulating *B. subtilis* cells¹³ and the role this plays
245 in successful sporulation is unclear. Further research into regulation by (pp)pApp and
246 its connection with the (pp)pGpp regulon will be essential for a complete view of
247 nucleotide signalling in bacteria.

248

249 **Diverse metabolic pathways are controlled by (pp)pGpp**

250 The accumulation of (pp)pGpp via RSH enzymes has a diverse range of consequences
251 in the cell by binding to a plethora of targets. Advances in binding-target identification
252 techniques, including the use of DRaCALA-based interaction screens^{8,55,64} and
253 biotinylated capture-compounds⁶⁵, have revealed the widespread range of (pp)pGpp-
254 interacting targets. This diversity of function allows bacteria to respond to stress in
255 different ways that are relevant to their life cycles or niches. Interestingly, there does
256 not seem to be a consensus (pp)pGpp binding site shared by targets, with this nucleotide
257 capable of interacting with members from varied protein families to both activate or
258 inhibit enzyme function. This promiscuity may arise from a degree of conformational
259 flexibility that (pp)pGpp possesses, where the 5' and 3' phosphate moieties can adopt
260 either a ring-like or elongated conformation⁶⁶.

261

262 *DNA replication*

263 DnaG is a DNA primase that synthesises the RNA primers needed for DNA
264 replication⁶⁷. In *E. coli* and *B. subtilis*, the priming activity of DnaG is inhibited by
265 direct binding of (p)ppGpp to the enzyme active site, reducing DNA replication⁶⁸⁻⁷⁰.
266 Interestingly, ppGpp is a more potent inhibitor of DnaG in *E. coli*, whilst in *B. subtilis*
267 pppGpp is the more potent inhibitor^{68,69}. This may reflect the higher ratio of ppGpp to
268 pppGpp in *E. coli*, while the opposite occurs in *B. subtilis*⁷¹⁻⁷³.

269 The stringent response is also responsible for decreasing the initiation of DNA
270 replication in *E. coli*, with replication rates inversely correlated with ppGpp levels^{74,75}.
271 This is due, in part, to a reduction in the transcription of the replication initiation
272 ATPase DnaA during the stringent response⁷⁶, with expression of DnaA from a ppGpp-
273 insensitive promoter sufficient to overcome inhibition⁷⁷. Interestingly, over-producing
274 DnaA prior to (p)ppGpp accumulation does not rescue initiation⁷⁸, whereas concurrent
275 production does⁷⁷, suggesting it is vital that DnaA is in the ATP-bound active state in
276 order to compensate for the effects of (p)ppGpp. (p)ppGpp further impacts DNA
277 replication by indirectly controlling the binding of DnaA to *oriC* via the lowering of
278 negative supercoiling⁷⁸. During normal growth, transcription induces negative
279 supercoils in the *oriC* origin, thus promoting replication initiation⁷⁹. When produced,
280 (p)ppGpp binds to the RNAP and decreases cellular transcription, resulting in fewer
281 negative supercoils near *oriC*, thereby decreasing the occupancy of DnaA⁷⁸. It is
282 currently unclear whether it is a general reduction in transcription or of specific key
283 genes, for instance the DNA gyrase gene *gyrA* or topoisomerase IV, which acts to lower
284 negative supercoiling^{78,80}. However, the combined effect on negative supercoiling

285 together with the decrease in *dnaA* transcription, allows for more nuanced control of
286 DNA replication.

287 Control of DNA replication by (p)ppGpp is by no means limited to *E. coli*. In
288 the Alphaproteobacterium *Caulobacter crescentus*, the differentiation of motile,
289 scavenger swarmer cells into stalk cells requires the activation of DNA replication,
290 which is inhibited in the swarmer cells⁸¹. This is reciprocally regulated by DnaA and
291 the cell cycle transcriptional regulator CtrA, which controls promoter reprogramming
292 during growth transitions^{82,83}. The production of (p)ppGpp by this organism impacts
293 cell cycle progression by activating the degradation of DnaA, stopping the synthesis of
294 DnaA and inhibiting the proteolysis of CtrA⁸⁴⁻⁸⁶, all of which ensures that DNA
295 replication does not occur. This prevents a premature switch from swarmer to stalk cell
296 before the swarmer cell has found a nutrient rich environment.

297

298 *Nucleotide synthesis*

299 As (pp)pGpp accumulates in the cell, the GTP/GDP/GMP pools are lowered following
300 substrate depletion⁸⁷. However, it is now well established that (pp)pGpp also actively
301 inhibits the synthesis of purine nucleotides⁸⁸ (FIG. 2a), and that this regulation is
302 essential for the survival of *B. subtilis* cells during starvation^{88,89}. Here, synthesis begins
303 with 5-phosphoribosyl-1-pyrophosphate (PRPP) leading to the production of inosine
304 5'-monophosphate (IMP), which serves as the branch point between adenosine and
305 guanosine nucleotide synthesis. In *E. coli*, both ppGpp and (p)ppApp can inhibit PurF,
306 responsible for the first step in the conversion of PRPP to IMP, thus halting *de novo*
307 biosynthesis of all purine nucleotides^{60,65}. Two molecules of ppGpp bind to the PurF
308 tetramer at an allosteric site, disrupting the formation of the catalytic centre and
309 competitively inhibiting enzyme activity⁶⁵ (FIG. 2b). (p)ppGpp can also inhibit the

310 ATP biosynthesis enzyme PurA, which converts IMP to adenylosuccinate^{8,65,90} (FIG.
311 2a). Crystallisation of PurA soaked with ppGpp revealed guanosine 5'-diphosphate
312 2':3'-cyclic monophosphate (ppG2':3'p) in the binding pocket (FIG. 2b), suggesting that
313 the enzyme either has cyclase activity, or was able to extract the cyclic derivative from
314 the soaking buffer⁹¹. The inhibition of these enzymes leads to a 65% decrease in ATP
315 synthesis in the cell, a decrease which is balanced by less ATP being consumed in cells
316 upon induction of the stringent response⁶⁵.

317 In addition to PurF, a number of enzymes specifically involved in the GTP
318 biosynthetic pathway are targeted by (pp)pGpp (FIG. 2a), including the IMP
319 dehydrogenase GuaB and the guanylate kinase Gmk^{8,88,92}. GuaB is common to both the
320 *de novo* and salvage nucleotide synthesis pathways and catalyses the formation of
321 xanthosine monophosphate (XMP) from IMP, a reaction that is weakly inhibited by
322 (p)ppGpp in *B. subtilis*⁸⁸. In the same pathway, Gmk converts GMP to GDP, which is
323 strongly inhibited by all three alarmones^{4,64,88}. This inhibition is not conserved across
324 bacteria however, as it does not occur in most Proteobacteria^{93,94}. This is due to the lid
325 domain of Gmk either being in a closed conformation (ppGpp insensitive – *E. coli*) or
326 an open conformation (ppGpp sensitive – *S. aureus*) upon dimerisation of Gmk (FIG.
327 2b)^{94,95}.

328 Components of the salvage nucleotide synthesis pathway are also inhibited by
329 (pp)pGpp. Both HprT and XprT use PRPP as a phosphoribose donor to convert the
330 purine bases hypoxanthine and guanine to IMP and GMP (HprT) or xanthine to XMP
331 (XprT) (FIG. 2a)^{4,64,88,96}. XprT is most potently inhibited by pGpp and ppGpp, which
332 bind at the PRPP binding site (FIG. 2b) and protect cells from excess environmental
333 xanthine⁹⁶. The (pp)pGpp-bound form of HprT exists as an apo-tetramer (FIG. 2b),
334 where it prevents dissociation of HprT into two active PRPP-bound dimers⁹⁵. (pp)pGpp

335 binding not only competitively blocks the PRPP binding site but also the interaction at
336 the dimer-dimer interface, which further potentiates (pp)pGpp inhibition⁹⁵. Inhibition
337 of HprT appears to be widespread across bacteria⁹⁵, suggesting that the regulation of
338 this enzyme by (pp)pGpp is important for GTP homeostasis as well as a stress response
339 mechanism.

340 Interestingly, PpnN, the enzyme which catalyses the reverse reactions to HprT
341 and XprT is activated by (p)ppGpp (FIG. 2a)⁹⁷. PpnN exists as a tetramer and ppGpp
342 binds to allosteric sites at the monomer interfaces (FIG. 2b)^{55,97}. This binding results in
343 a conformational change that opens up the active site, thus increasing PpnN activity⁹⁷
344 and further directs metabolism away from GTP biosynthesis upon induction of the
345 stringent response.

346

347 *Transcription*

348 One of the key consequences of (pp)pGpp accumulation during the stringent response
349 is a change in transcriptional profile. There is general repression of rRNA synthesis and
350 genes involved in metabolism of macromolecules such as DNA and phospholipids,
351 with a concurrent increase in transcription of amino acid biosynthesis-encoding
352 enzymes and nutrient transporters to overcome nutrient limitations. The transcriptional
353 changes that occur during the stringent response are key to producing the slow growing
354 phenotype characteristic of this response.

355 In Alpha-, Beta-, Gamma-, Delta- and Epsilonproteobacteria, (p)ppGpp binds
356 directly to RNAP to alter the transcription of genes both positively and negatively, with
357 ppGpp being a more potent effector nucleotide than pppGpp^{94,98}. There are two ppGpp
358 binding sites on RNAP (FIG. 3a), and these are generally conserved across
359 Proteobacteria⁹⁹. Site 1 is found at the interface between the ω and β' subunits¹⁰⁰ (FIG.

360 3b, 3c). Site 2 is located at the interface between the β' subunit and the transcription
361 factor DksA¹⁰¹ (FIG. 3b, 3d), where the binding of ppGpp is thought to allosterically
362 potentiate the inhibition of transcription by DksA¹⁰². The ability of RNAP from a given
363 species to bind to (p)ppGpp at site 1 can be predicted by the presence of a MAR motif
364 at the N-terminal end of the ω subunit¹⁰³. The MAR motif is conserved in Alpha-, Beta-
365 , Gamma-, Deltaproteobacteria but is absent in other classes¹⁰³. In *E. coli*, when
366 (p)ppGpp binds to site 1, transcription is inhibited approximately 2-fold, whereas when
367 both sites are bound, along with DksA, there is 20-fold inhibition^{101,104,105}.

368 A recent study has revealed the extent to which transcription is modulated upon
369 activation of the stringent response in *E. coli*, with altered expression of 757 genes 5
370 minutes, and 1224 genes 10 minutes after inducing expression of RelA from a
371 plasmid¹⁰⁶. Intriguingly, in an *E. coli* strain expressing a mutant RNAP that cannot bind
372 to ppGpp, there were almost no changes in transcription following ppGpp
373 accumulation¹⁰⁶. This suggests that in *E. coli*, there are few, if any, genes that are
374 regulated by ppGpp in an RNAP-independent manner. Comparing the transcriptional
375 profiles through accumulation of ppGpp by recombinant expression of RelA¹⁰⁶, rather
376 than by nutritional limitation¹⁰⁷, reveals large differences. 75% of the genes that are
377 differentially expressed through recombinant expression of RelA are different to those
378 seen upon stringent induction by serine hydroxamate¹⁰⁶. This is likely due to metabolic
379 changes or other stress responses being triggered during starvation conditions with
380 confounding effects.

381 In Firmicutes, Actinobacteria and Deinococcus-Thermus, (p)ppGpp does not
382 interact with the RNAP^{94,108}, and has no effect on the stability of the DNA-RNAP open
383 complex. Therefore, any transcriptional changes observed during the stringent response
384 are indirect¹⁰⁸ or by (p)ppGpp interacting with riboswitches¹⁰⁹ (BOX 1). During the

385 stringent response the level of GTP in the cell decreases due to its consumption in the
386 production of pppGpp and through the direct inhibition of GTP biosynthesis enzymes
387 by (pp)pGpp as described above^{8,21,88,95,96,108}. This has an impact on transcription
388 through two mechanisms. Firstly, in *B. subtilis*, σ^A -dependent promoters that are
389 sensitive to iNTP levels and have a GTP as the initiating nucleotide at the +1 position,
390 e.g. rRNA promoters, are transcribed at a lower frequency due to a slower initiation
391 rate, while those that begin with an ATP tend to be upregulated^{108,110}. Indeed, GTP
392 nucleotides at positions +1 to +4 play a role in gene expression in *S. aureus* during the
393 stringent response, suggesting that initial mRNA elongation is also sensitive to
394 nucleotide levels in some species¹¹¹. Secondly, GTP along with branched chain amino
395 acids (BCAAs), are cofactors of the transcription repressor CodY, which is present in
396 low G+C Gram-positive bacteria¹¹². When GTP levels are low, CodY repression is
397 relieved, allowing transcription of a variety of genes including those involved in amino
398 acid biosynthesis and transport¹¹³. In *S. aureus*, 150 genes are upregulated when the
399 stringent response is activated by leucine and valine starvation, with 143 of these
400 increases due to CodY derepression¹¹⁴. On the other hand, 161 genes are downregulated
401 independently of CodY, highlighting that CodY is an important factor for gene
402 upregulation but not downregulation during the stringent response in *S. aureus*.

403

404 [Box 1: Riboswitches](#)

405 The repertoire of (p)ppGpp binding targets has now been expanded with the discovery
406 that (p)ppGpp can target RNA molecules through interacting with riboswitches.
407 Riboswitches are non-coding sections of mRNAs that bind to various ligands to allow
408 regulation of gene expression. (p)ppGpp selectively binds to subtype 2a variants of the
409 *ykkC* riboswitch, where it increases transcription of downstream genes¹⁰⁹. Although not

410 widespread, this riboswitch is associated with BCAA biosynthesis and transporter
411 genes, as well as ABC transporters and glutamate synthases and is mostly present in a
412 subset of organisms within the Firmicutes¹⁰⁹. Interestingly, ~40% of (p)ppGpp
413 riboswitches in the 5' untranslated region of BCAA biosynthesis genes are
414 accompanied by a leucine T box RNA¹⁰⁹. This element regulates gene expression by
415 binding to uncharged leucine tRNA¹¹⁵. This suggests that when (p)ppGpp riboswitches
416 and leucine T box RNA are found together, the presence of both (p)ppGpp and
417 uncharged leucine tRNA is required for full gene expression¹⁰⁹. This adds further
418 complexity to the regulation of BCAA biosynthesis genes during the stringent response
419 beyond CodY. Furthermore, there are no examples of a canonical CodY binding site
420 consensus sequence¹¹⁶ upstream of a (p)ppGpp riboswitch, despite the fact that most
421 species with (p)ppGpp riboswitches do have a *codY* gene, so the interplay between these
422 regulatory mechanisms is unknown¹⁰⁹.

423

424 *Ribosome maturation and function*

425 In addition to the effect on rRNA transcription, the stringent response also plays a role
426 in inhibiting ribosome maturation and protein translation. Upon stringent response
427 induction, it is generally accepted that mature ribosomes have a reduced rate of
428 translation due to the inhibitory action of (p)ppGpp on several key enzymes (FIG. 4a).
429 The initiation factor IF2 for instance, is inhibited by ppGpp, preventing the formation
430 of the 30S initiation complex (30S IC), thus reducing translation¹¹⁷. However,
431 translation of a subset of proteins is still required during the stringent response. Recent
432 work indicates that 30S-bound IF2 has different tolerances for ppGpp depending on the
433 mRNA present in the 30S pre-IC¹¹⁸ (FIG. 4b). Two consecutive hairpins known as a
434 structured enhancer of translation initiation (SETI) next to the translation initiation

435 region mediate ppGpp tolerance for *tufA* and *rnr* mRNA (encoding elongation factor
436 EF-Tu and RNase R, respectively) in *E. coli*¹¹⁸. Binding of SETI-containing mRNA to
437 the pre-IC complexed with ppGpp allows for the exchange of ppGpp for GTP and the
438 continuation of translation. pppGpp regulates translation slightly differently when
439 bound to IF2, where it permits 30S IC formation in *E. coli*, but requires higher
440 concentrations of IF2 to do so¹¹⁸. Whilst the utilisation of this mechanism throughout
441 the Bacteria has yet to be demonstrated, in *E. coli* this allows permissive synthesis of a
442 subset of proteins¹¹⁸. In addition to IF2, (p)ppGpp also acts to halt translation through
443 inhibition of the elongation factors EF-Tu and EF-G (FIG. 4a), which are required for
444 charged tRNA delivery and translocation of the peptide chain during synthesis¹¹⁹.
445 During termination, RF3, which is required for recycling RF1 and RF2 from the
446 ribosome, is inhibited by ppGpp¹²⁰, whilst the inhibition of EF-G could also impact the
447 recycling of the post-termination complex (FIG. 4a).

448 In addition to halting translation, (p)ppGpp also inhibits active 70S formation
449 in a number of ways. (p)ppGpp reduces mature 50S and 30S formation by inhibiting
450 small GTPases involved in ribosome maturation^{64,121}. Here, (p)ppGpp binds to the
451 GTPases RsgA, RbgA, Era and Obg in a number of species^{8,55,64,122} and inhibits their
452 GTPase activities^{64,122} as a way of reducing the 70S ribosome pool. Once formed,
453 (p)ppGpp can also promote the sequestration of ribosomes. Overexpression of the
454 synthetase RelP in a strain of *B. subtilis* that does not produce (p)ppGpp results in the
455 formation of inactive 70S ribosome dimers termed 100S ribosomes, in a hibernation
456 promoting factor (Hpf)-dependent manner¹²³. (p)ppGpp also induces the transcription
457 of *hpf*, *rmf* (ribosome modulation factor) and *raiA* (ribosome-associated inhibitor), all
458 factors important for ribosome inactivation and dimerisation in *E. coli*^{124,125}. As such,
459 the stringent response controls 100S ribosome formation through transcriptional

460 regulation in multiple species¹²³⁻¹²⁵. Furthermore, the GTPase HflX in *S. aureus* can
461 dissociate 100S ribosomes in a GTPase-dependent manner¹²⁶. This activity is inhibited
462 by (p)ppGpp, thus maintaining the inactive 100S ribosomes¹²⁶. In *E. coli*, the
463 transcription of *hflX* is under the control of a heat sensitive promoter¹²⁷, implying that
464 HflX functions during stress as a ribosome splitting factor and not in subunit
465 maturation. However, it is unlikely that HflX is the main splitting factor in bacteria¹²⁸
466 and so it will be interesting to see if novel splitting factors are also regulated by
467 (p)ppGpp. From all of the above, it is clear that (p)ppGpp can act to halt protein
468 production at several key stages, ensuring slowed growth under stress.

469

470 *Lipid metabolism*

471 Fatty acid starvation is a well-known trigger of the stringent response¹²⁹. (p)ppGpp
472 production during fatty acid starvation inhibits many metabolic activities, ensuring that
473 the cell volume does not outstrip the cell envelope capacity, thus maintaining the
474 integrity of the envelope¹³⁰. In *E. coli*, ACP is charged with a fatty acid chain during
475 fatty acid biosynthesis. When fatty acid levels are low, an uncharged ACP binds to the
476 TGS/RRM domain of SpoT, inducing (p)ppGpp production and thus triggering the
477 stringent response^{34,131,132}. (p)ppGpp synthesis by RelA is also triggered during fatty
478 acid starvation, and to a greater extent than SpoT¹³³. When fatty acid levels are low
479 there is a reduction in cellular lysine, resulting in an accumulation of uncharged lysine
480 tRNA that directly activates RelA¹³³. This reduction in lysine is likely the result of a
481 depletion of its precursor pyruvate, brought about during fatty acid starvation¹³³. The
482 interaction between ACP and the long RSH does not occur with the Rel enzymes from
483 *B. subtilis* or *Streptococcus pneumoniae* due to differences in the isoelectric points¹³⁴.
484 However, Rel from *B. subtilis* is still required for surviving fatty acid starvation, which

485 may be more related to cellular GTP/ATP levels, rather than to production of
486 (p)ppGpp^{130,135}. It is possible that fatty acid starvation triggers the stringent response in
487 the Firmicutes through depletion of lysine or other amino acids which use pyruvate as
488 a precursor (such as valine, isoleucine and leucine) but this has not yet been
489 investigated.

490 A second protein that can trigger the stringent response during fatty acid or
491 phosphate depletion is YtfK³⁵. YtfK is a protein of unknown function present in
492 Gammaproteobacteria, where it interacts with the N-terminal region of SpoT to trigger
493 (p)ppGpp production and cell survival³⁵. Internal imbalances in central metabolism can
494 also trigger the stringent response. Repression of *plsC*, *lptA* and *lpxA* through CRISPRi
495 results in (p)ppGpp accumulation in *E. coli*¹³⁶. PlsC is involved in phospholipid
496 biosynthesis, whilst *lptA* and *lpxA* encode key components of the outer membrane
497 biogenesis pathway. Disrupting these aspects of lipid and cell envelope metabolism in
498 a (p)ppGpp-null mutant causes unregulated growth and cell lysis¹³⁶. Phospholipid
499 metabolism is also regulated by (p)ppGpp binding to and inhibiting the enzymes
500 responsible for the first and second steps of lipid and phospholipid biosynthesis (PlsB
501 and PgsA, respectively)^{137,138}.

502 Additionally, (p)ppGpp inhibits the activity of several enzymes involved in the
503 bacterial type-II fatty acid biosynthesis (FAS-II) pathway¹³⁹. AccA and AccD are
504 protein subunits of the heterotetrameric acetyl-CoA carboxytransferase which transfers
505 a carbonyl group to acetyl-CoA, forming malonyl-CoA. The activity of the
506 carboxytransferase complex (AccA₂AccD₂) is inhibited by (p)ppGpp¹³⁹. Furthermore,
507 FabA and FabZ, homologous β -hydroxyacyl-ACP dehydratases involved in fatty acid
508 elongation, are inhibited by ppGpp at biologically significant levels¹⁴⁰, highlighting that
509 (p)ppGpp impacts lipid metabolism in multiple ways.

510

511 **Impact of the stringent response on bacterial pathogenicity**

512 The impact of the stringent response on virulence and pathogenesis is most commonly
513 mediated through changes in transcriptional profile rather than through direct binding
514 of (p)ppGpp to an effector protein. Even horizontally acquired virulence genes can be
515 incorporated into the native stringent regulon, demonstrating the elasticity of the
516 response¹⁴¹. While also impacting steady-state growth (BOX 2), the stringent response
517 has been implicated in each stage of an infection, including adhesion, invasion, immune
518 evasion, dissemination, biofilm formation and chronic infection, by many pathogens.
519 While reviewed extensively by Dalebroux *et al.*,^{142,143}, here we use examples from
520 various pathogens, including *E. coli*, *Salmonella* species and *S. aureus*, to illustrate the
521 role of the stringent response throughout an infection.

522

523 **Box 2: (p)ppGpp – indirect controller of steady-state growth rates in *E. coli***

524 Altered cell growth is perhaps one of the most important aspects of bacterial survival
525 and adaption to new environments. During the stringent response, (p)ppGpp is central
526 to reorganising cellular processes, the effect of which is a reduction in growth². Indeed,
527 *E. coli* (p)ppGpp mutant strains lack growth rate control¹⁴⁴, highlighting the important
528 contribution (p)ppGpp makes to growth rate through its inhibition of numerous cellular
529 processes, including DNA replication, ribosome synthesis and translation.

530 During exponential growth of *E. coli*, the initiation of DNA replication
531 increases, resulting in a high *ori/ter* ratio. In the absence of (p)ppGpp however, cells
532 contain a constantly high *ori/ter* ratio despite changes in growth rate⁸⁰, illustrating how
533 (p)ppGpp-mediated inhibition of DNA replication can impact growth. The effects of
534 (p)ppGpp on steady-state growth of *E. coli* were quantitatively explored by altering the

535 expression of either the RelA synthetase from *E. coli* or the Mesh1 hydrolase from *D.*
536 *melanogaster* in amino acid-free minimal media¹⁴⁵. Increasing (p)ppGpp levels during
537 steady-state growth decreased the number of ribosomes in the cell, while lowering
538 (p)ppGpp levels had the opposite affect¹⁴⁵. A global resource allocation model¹⁴⁶ was
539 utilised, wherein the proteome was divided into three fractions: metabolic proteins and
540 those constitutively expressed; ribosome-associated proteins; and growth rate-
541 independent proteins. This model revealed that increased levels of (p)ppGpp decreased
542 growth by reducing ribosome-associated protein expression¹⁴⁵. Interestingly, decreased
543 amounts of (p)ppGpp also reduced growth as a result of lower expression of metabolic
544 proteins. From this, it was apparent that (p)ppGpp indirectly impacts growth through
545 finely balancing resource allocation to match the cellular growth rate.

546

547 *Adhesion*

548 As enterohemorrhagic *E. coli* (EHEC) moves from the nutrient-rich upper intestine to
549 the nutrient-poor lower intestine, the expression of the horizontally-acquired locus of
550 enterocyte effacement (LEE) pathogenicity island is triggered. This locus encodes
551 factors involved in attachment and colonisation of the gut, including the type III
552 secretion system (T3SS) and effector proteins needed for intimate adhesion¹⁴⁷. In
553 addition to a number of other transcriptional regulators, the expression of this locus is
554 triggered by an accumulation of (p)ppGpp via RelA, resulting in an increase in
555 adherence to epithelial cells during the stringent response¹⁴¹ (FIG. 5). Together with
556 DksA, (p)ppGpp is essential for the activation of *ler* and *pch* transcription, two
557 regulators required for the expression of the LEE regulon¹⁴¹. (p)ppGpp is also required
558 for the downregulation of the flagella regulon in *E. coli*. Here, (p)ppGpp can inhibit the
559 transcription of *flhDC*, a master regulator of flagella synthesis genes^{106,148}.

560 Fimbriae are crucial factors for bacterial attachment to host cells. The expression
561 of type 1 fimbrial genes in uropathogenic *E. coli* (UPEC) is activated by (p)ppGpp
562 through transcriptional activation of the *fimB* gene¹⁴⁹. FimB is a recombinase that flips
563 the promoter of the *fimAICDFGH* operon into the 'on' orientation, allowing
564 transcription of these fimbriae-encoding genes. (p)ppGpp-null UPEC mutants display
565 no fimbriae on their surface¹⁴⁹. In contrast to this, mutants of the transcription factor
566 *dksA* are hyperfimbriated, revealing that DksA and (p)ppGpp can function
567 independently, as well as codependently¹⁵⁰. Control of fimbriae expression by
568 (p)ppGpp is also observed in *Bordetella pertussis*, where the expression of *fim3*, which
569 encodes a subunit of the long filamentous structure, was decreased in a $\Delta relA \Delta spoT$
570 double mutant¹⁵¹.

571

572 *Invasion*

573 During an infection, many bacterial species use the stringent response to aid in invading
574 host tissues. A (p)ppGpp-null mutant of *Salmonella enterica* serovar Typhimurium
575 shows reduced invasion of intestinal epithelial cells and is attenuated in the BALB/c
576 mouse model (FIG. 5)¹⁵². The (p)ppGpp mutant strain had reduced expression of *hilA*
577 and *invF*, two transcriptional activators of the *Salmonella* pathogenicity island 1
578 (SPI1)¹⁵². SPI1 encodes a T3SS which secretes factors enabling bacterial uptake and
579 invasion upon contact with specialised M cells in the intestinal epithelium¹⁵³.
580 Furthermore, the SlyA transcriptional activator in *S. Typhimurium* directly binds to
581 (p)ppGpp, allowing the formation of SlyA dimers¹⁵⁴. These dimers then bind to
582 promoter DNA resulting in the transcription of many virulence genes¹⁵⁴, such as *pagC*
583 which encodes a membrane surface protein that aids survival in serum¹⁵⁵.

584 The use of an iron overload murine model for *S. Typhi* infection revealed that
585 mice infected with (p)ppGpp-null mutants were able to outlive their wild-type-infected
586 counterparts, with decreased numbers of the mutant recovered from visceral organs,
587 highlighting a role for (p)ppGpp in systemic infection¹⁵⁶. (p)ppGpp was crucial for the
588 production of flagella, with the null strain displaying decreased adhesion to, and uptake
589 by, THP-1 phagocytes *in vitro*. (p)ppGpp mutants were reduced in their ability to
590 invade epithelial cells, to survive in human serum and to survive within
591 macrophages¹⁵⁶. All of this ties in with observations from *S. Typhimurium*, where
592 strains with C-terminal domain mutants of SpoT had defects in producing (p)ppGpp in
593 response to acid stress, resulting in reduced metal cation uptake and reduced activation
594 of SPI2 genes, producing an attenuated phenotype in a mouse model¹⁵⁷. The
595 requirement of (p)ppGpp for invasion of host cells is by no means limited to *Salmonella*
596 species, with the stringent response important for the invasion and virulence of a
597 number of intracellular pathogens, including *Campylobacter jejuni*¹⁵⁸, *Streptococcus*
598 *suis*¹⁵⁹, *Legionella pneumophila*¹⁶⁰ and *E. faecalis*¹⁶¹.

599

600 *Immune evasion and dissemination*

601 Many pathogens enter host cells during an infection in order to evade the immune
602 system, requiring adaptation to a different environment. In *S. aureus*, the stringent
603 response is induced following uptake by human polymorphonuclear neutrophils
604 (PMNs), resulting in Rel-dependent induction of the cytotoxic phenol soluble modulins
605 *psm* α 1-4 and *psm* β 1-2¹¹⁴. These cytotoxins lyse neutrophils and promote escape^{162,163},
606 making the stringent response crucial for *S. aureus* survival after phagocytosis¹¹⁴ (FIG.
607 5).

608 Biofilms are complex communities of microorganisms held together by an
609 extracellular matrix that can form on many different surfaces. This matrix enables
610 resistance to opsonisation, complement deposition, phagocytosis by macrophages and
611 ultimately immune evasion and subsequent bacterial dissemination¹⁶⁴. A number of
612 reports suggest a role for (p)ppGpp in regulating biofilm formation, however whether
613 it is required for the formation or for the dispersal of biofilms appears to differ between
614 species, with the precise mechanisms not yet fully understood. *E. faecalis*, for instance,
615 can cause biofilm-mediated catheter-associated urinary tract infections (CAUTI),
616 which are impacted by both (p)ppGpp and CodY¹⁶⁵ (FIG. 5). (p)ppGpp-null and $\Delta codY$
617 single mutants of *E. faecalis* show reduced biofilm formation and reduced colonisation
618 of bladders and catheters *in vivo*¹⁶⁵. However, the biofilm formation and colonisation
619 of a (p)ppGpp-null $\Delta codY$ double mutant was similar to wild-type, although this strain
620 was unable to cause kidney infection, suggesting decreased virulence¹⁶⁵. These findings
621 agree with research in *Listeria monocytogenes* demonstrating that *codY* inactivation re-
622 establishes virulence to a certain extent in a (p)ppGpp mutant, as there is no repression
623 of the CodY regulon (mimicking the stringent response)^{165,166}. Biofilm formation is also
624 regulated by the stringent response in species which do not encode *codY*, for example
625 *B. pertussis*¹⁵¹, *E. coli*¹⁶⁷ and *Helicobacter pylori*¹⁶⁸.

626 In contrast to the above examples, increased biofilm formation in the absence of
627 a functional stringent response has been demonstrated in a number of species, including
628 *Porphorymonas gingivalis*¹⁶⁹ and *Actinobacillus pleuropneumoniae*¹⁷⁰. In
629 *Pseudomonas putida*, (p)ppGpp is required for biofilm dispersal by inhibiting the
630 synthesis of the adhesin LapA, while simultaneously promoting its proteolysis^{171,172}
631 (FIG. 5). In *S. epidermidis*, the β -PSMs are responsible for cell detachment and
632 dissemination of cells from biofilms¹⁷³, and as mentioned above, these toxins are

633 upregulated by (p)ppGpp in staphylococcal species¹¹⁴. These contradictions between
634 species highlights the complexity and non-uniformity of the stringent response across
635 bacteria, with much about how (p)ppGpp mechanistically controls biofilm formation
636 and immune evasion remaining to be clarified.

637

638 *The role of (p)ppGpp in chronic infections*

639 Tolerance to cyclic antibiotics by a bacterial population has been found to enable the
640 emergence of resistance¹⁷⁴. These populations often contain small colony variants
641 (SCVs), which are characterised as slow growing cells, with small colony sizes and
642 mutations resulting in decreased virulence and metabolism¹⁷⁵. In the laboratory,
643 (p)ppGpp mutants are artificially generated in order to examine their effect on growth
644 and virulence. However, an MRSA strain from a chronically infected patient harboured
645 a mutation affecting (p)ppGpp production¹⁷⁶. (p)ppGpp overexpression occurred due to
646 a F128Y substitution in the hydrolase domain of Rel, constitutively activating the
647 stringent response¹⁷⁶. The transcription profile of the clinical isolate was similar to that
648 of a strain in which the stringent response had been induced by the antibiotic mupirocin.
649 This strain also had an upregulation of the global regulator-encoding *agr* locus, as well
650 as substitutions in RpoB, in the DNA topoisomerase IV ParC and in the ribosomal
651 methyltransferase RlmN, resulting in rifampicin, ciprofloxacin and linezolid
652 resistance¹⁷⁶. Notably, linezolid is a last resort antibiotic in the treatment of resistant
653 infections. Altogether, these four mutations sequentially allowed the emergence of a
654 resistant SCV within a chronic infection¹⁷⁶. This is similar to findings by Mwangi and
655 colleagues, who showed high levels of (p)ppGpp in a strain highly resistant to
656 methicillin¹⁷⁷ and demonstrates in a clinical environment how bacteria evolve to adapt
657 to stressful conditions.

658

659 **Conclusions and outlook**

660 In this review, we have examined some of the more recent discoveries relating to the
661 synthesis and function of (pp)pGpp in bacteria. Although first identified many years
662 ago, the recent characterisation of pGpp production and the use of (p)ppApp as a toxin,
663 has stressed that there is still much to learn about these signalling systems. Excitingly,
664 this also extends beyond the identification of new regulatory nucleotides, as an
665 increasing number of pathways that are controlled by these nucleotides are being
666 identified. Here, we have touched upon a number of metabolic processes that are
667 regulated by (pp)pGpp, including priming for DNA replication, synchronising the
668 production of both GTP and ATP to match cellular needs, controlling protein
669 production at multiple points and adjusting fatty acid production. As discussed, all of
670 the above processes are vital for bacterial survival in a host, explaining why these
671 alarmones are intertwined with virulence and bacterial survival.

672 The reallocation of cellular processes during times of stress is an incredibly
673 complex process. Despite (p)ppGpp having been identified in the 1960s¹¹, many
674 unknowns about this signalling system remain. Until recently the vast majority of
675 research had been conducted in *E. coli*. However, we now understand that while this
676 system is ubiquitous throughout the Bacteria, the mechanisms of stress sensing,
677 alarmone production and alarmone function are far more diverse than previously
678 anticipated. Of the subfamilies of RSH enzymes identified^{3,14}, only a small number
679 have been characterised. Work to characterise the toxSAS subfamilies¹⁴ demonstrates
680 that there may be functions for (pp)pGpp beyond the classical stringent response.
681 Furthermore, other unidentified enzymes without SYNTH or HD domains involved in
682 (pp)pGpp turnover may exist. Prime examples of this are the phosphohydrolase GppA³³

683 or the NuDiX hydrolase NahA⁸. In addition, many other HD/SYNTH enzymes with
684 functional domain fusions, like RelZ, could exist in order to adapt the stringent response
685 to respond to stimuli specific for the survival of a given species. Extending from this,
686 (pp)pGpp synthesis enzymes are present in the chloroplasts of plants and in green
687 algae¹⁷⁸⁻¹⁸¹, suggesting a fairly understudied role for these alarmones beyond the
688 prokaryotes.

689 New tools are continually being developed to aid our understanding of this
690 signalling pathway. For example, the use of a DRaCALA (pp)pGpp binding screen in
691 *S. aureus*⁶⁴, *E. coli*⁵⁵ and *B. anthracis*⁸, as well as the development of a (p)ppGpp
692 capture-compound⁶⁵ have significantly broadened the repertoire of (pp)pGpp targets.
693 Combining that, with numerous “omic” techniques such as RNA-seq that provide
694 interesting insights into processes controlled by (pp)pGpp¹⁰⁶, has led to a much greater
695 understanding of this system. These tools now need to be combined with *in vivo* work
696 to fully explore how (pp)pGpp impacts bacterial pathogenicity. Much is still to be
697 clarified on the role of (pp)pGpp in chronic infection, and the development of methods
698 to detect the production of (pp)pGpp *in vivo* and monitor the triggers of the stringent
699 response in host cells, will greatly improve our understanding of this essential
700 signalling system in the future.

701

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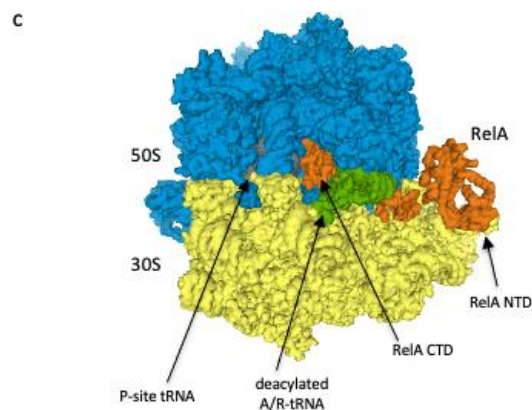
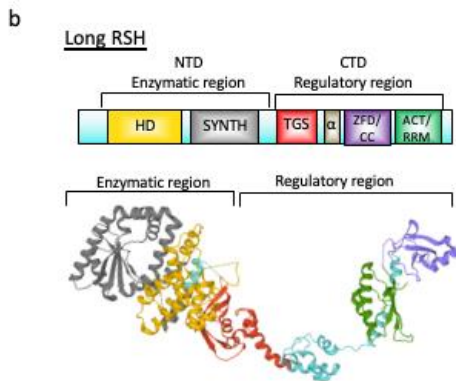
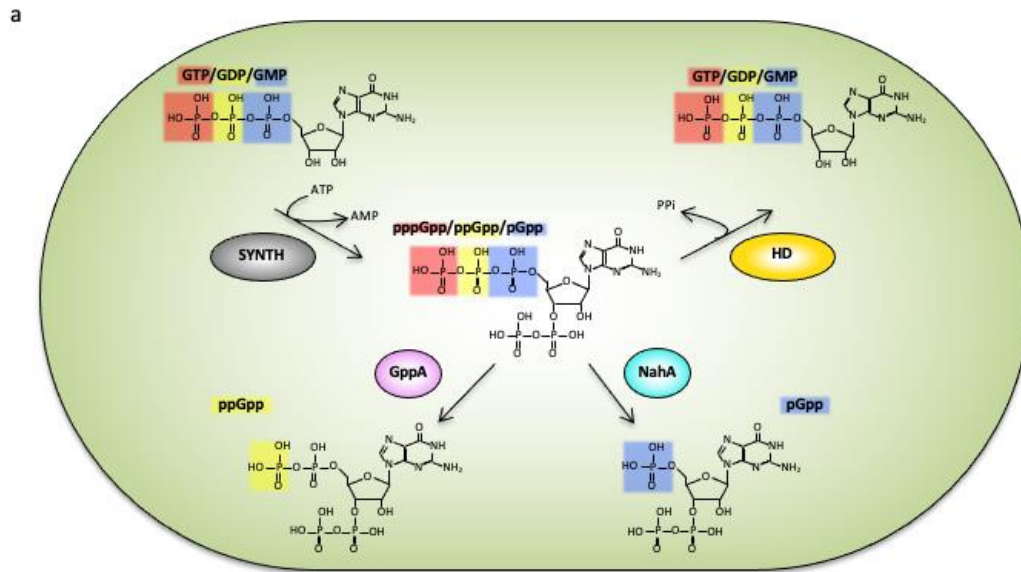
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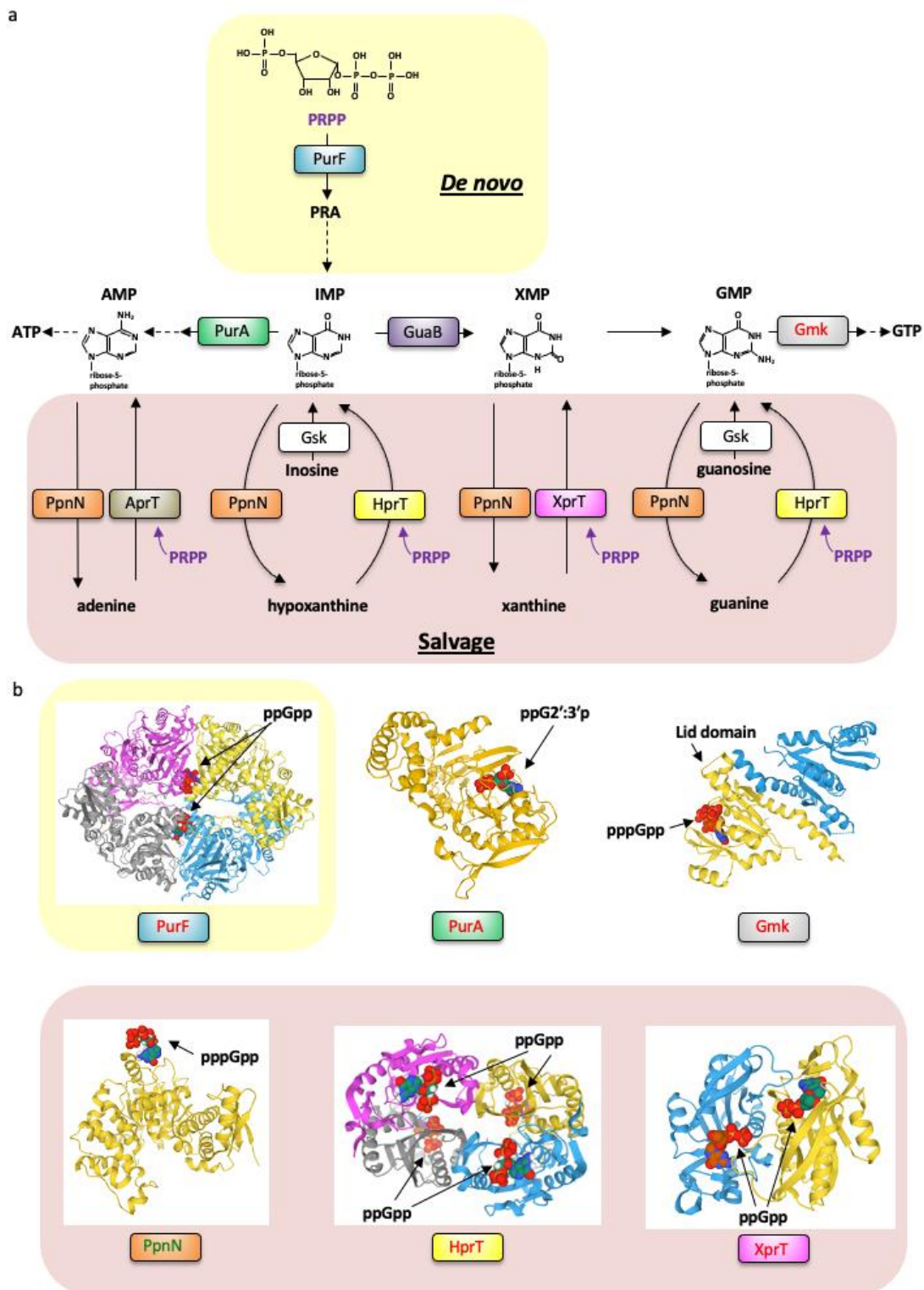
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1197

1198 Figure 1. **Synthesis and hydrolysis of (pp)pGpp by RSH enzymes.** a) The synthetase
 1199 domain (SYNTH: grey) of RSH enzymes catalyses the transfer of a pyrophosphate
 1200 group from ATP to the ribose moiety of GTP/GDP/GMP to produce pppGpp, ppGpp
 1201 or pGpp, respectively. This reaction also generates a molecule of AMP. Conversely,
 1202 the hydrolase domain (HD: orange) is responsible for removing the pyrophosphate
 1203 group to recover GTP/GDP/GMP. Enzymes outside of the RSH superfamily are also
 1204 involved in (pp)pGpp metabolism, with GppA (pink) hydrolysing pppGpp to ppGpp³³,
 1205 and NahA (blue) hydrolysing (p)ppGpp to pGpp⁸. b) Domain architecture and structure
 1206 of the long RSH enzyme RelA from *E. coli* (PDB: 5KPX), consisting of an N-terminal
 1207 domain (NTD) enzymatic region and a C-terminal domain (CTD) regulatory region.

1208 The enzymatic region consists of the HD (orange – inactive in RelA) and SYNTH
1209 (grey) domains. The regulatory region contains four domains: the TGS region (ThrRS,
1210 GTPase and SpoT: red), an alpha-helical domain (tan), the ZFD/CC domain (zinc finger
1211 domain/conserved cysteine: purple) and the ACT/RRM domain (aspartate kinase,
1212 chorismate and TyrA/RNA recognition motif: green). c) Structure of RelA from *E. coli*
1213 (orange) bound to the ribosome (PDB: 5L3P). An uncharged tRNA is located in the A-
1214 site (green) and P-tRNA in the P-site (grey). The 50S (blue) and 30S (yellow) subunits
1215 of the ribosome are shown.
1216

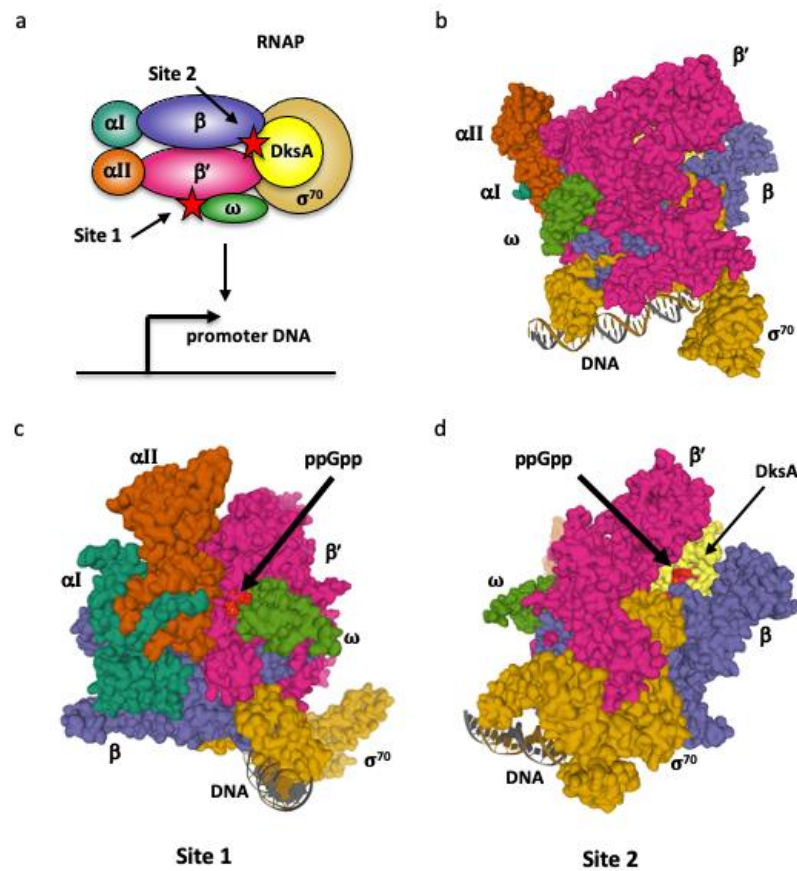


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1218 Figure 2. (p)ppGpp-mediated inhibition of purine nucleotide synthesis. a) The first
 1219 step of *de novo* purine synthesis (yellow box) begins with 5-phosphoribosyl-1-
 1220 pyrophosphate (PRPP) which is converted to 5-phosphoribosylamine (PRA) by PurF,

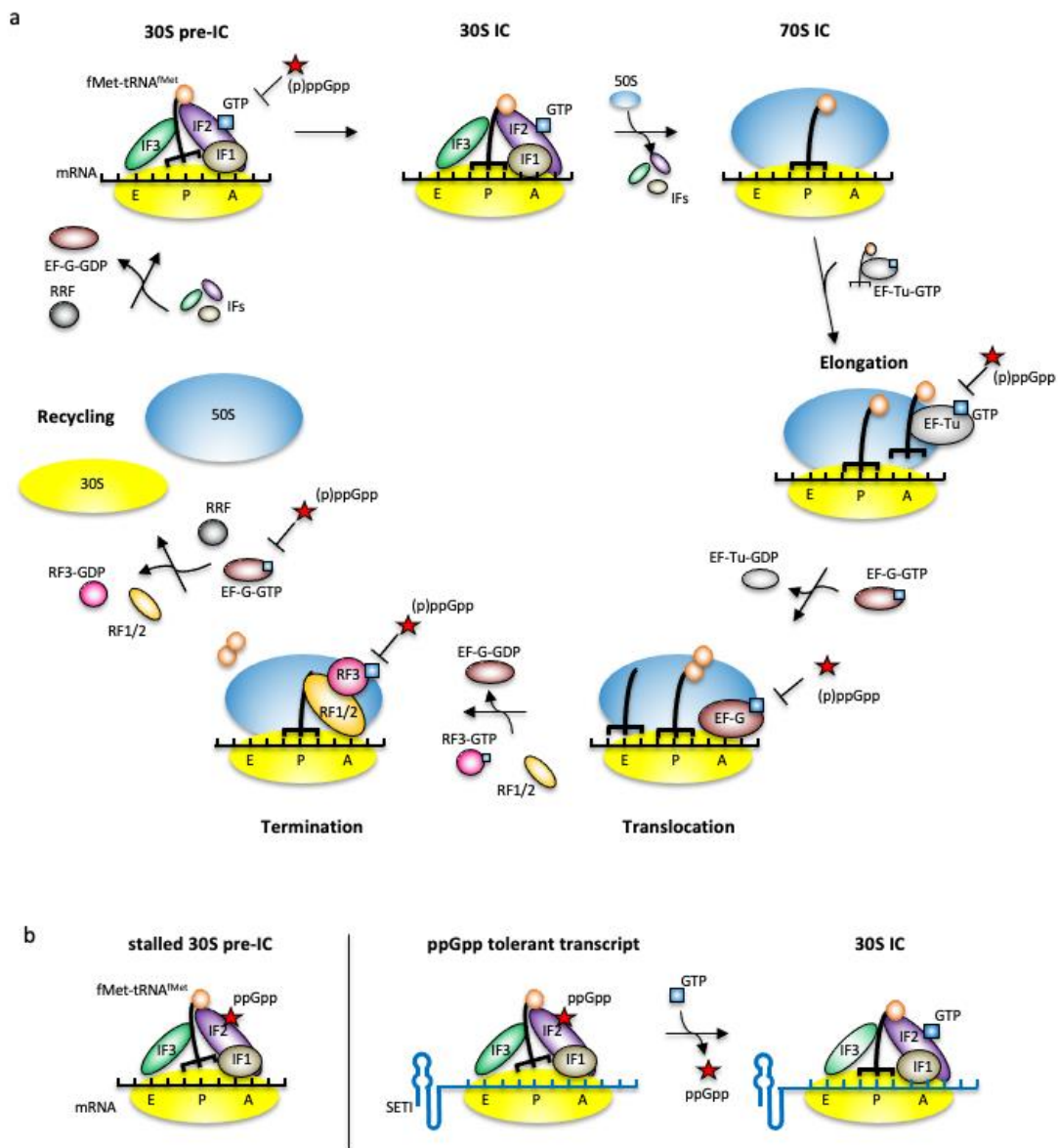
1221 an amidophosphoribosyltransferase (blue). Through a complex series of reactions, PRA
1222 is ultimately modified to inosine 5'-monophosphate (IMP), which is the precursor for
1223 both ATP and GTP. The salvage pathway (pale red box) on the other hand, begins with
1224 the conversion of purine bases such as hypoxanthine, xanthine and guanine to IMP,
1225 xanthosine monophosphate (XMP) and guanosine monophosphate (GMP) respectively.
1226 Conversions to IMP and GMP are catalysed by hypoxanthine
1227 phosphoribosyltransferase (HprT: yellow), while XMP is formed by xanthine
1228 phosphoribosyltransferase (XprT: pink), which all utilise PRPP as a phosphoribose
1229 donor. Adenine is modified to AMP by adenine phosphoribosyltransferase (AprT)
1230 (brown). PpnN, pyrimidine/purine nucleotide 5'-monophosphate nucleosidase (orange)
1231 catalyses the reverse reaction using AMP, IMP, XMP and GMP as substrates. For GTP
1232 synthesis, the IMP dehydrogenase GuaB (purple) converts IMP to XMP, which is
1233 utilised by GuaA to form GMP. Guanylate kinase (Gmk: grey) converts GMP to GDP.
1234 For ATP synthesis, the adenylosuccinate synthetase PurA (green) produces
1235 adenylosuccinate (AMP-S) from IMP, which is a precursor for ATP. All enzyme names
1236 written in red are inhibited by (pp)pGpp^{8,65,88,90,92,96}, while those in green (PpnN) are
1237 activated⁹⁷. AprT, displayed as a fainter red, is weakly inhibited by (p)ppGpp in *E.*
1238 *coli*⁵⁵. **b)** Crystal structures of purine nucleotide synthesis enzymes shown in cartoon
1239 representation in complex with ppGpp, pppGpp or ppG2':3'p (spacefilled). Monomers
1240 (PurA from *E. coli* – PDB: 1CH8 & PpnN from *E. coli* – PDB: 6GFM) are coloured
1241 yellow. Dimers (Gmk from *S. aureus* – PDB: 4QRH & XprT from *B. subtilis* – PDB:
1242 1Y0B) in yellow and blue, while tetramers (PurF from *E. coli* – PDB: 6CZF & HprT
1243 from *B. anthracis* – PDB 6D9S) are coloured yellow, blue, pink and grey. Structures
1244 from the *de novo* pathway was boxed in yellow and salvage in pale red.

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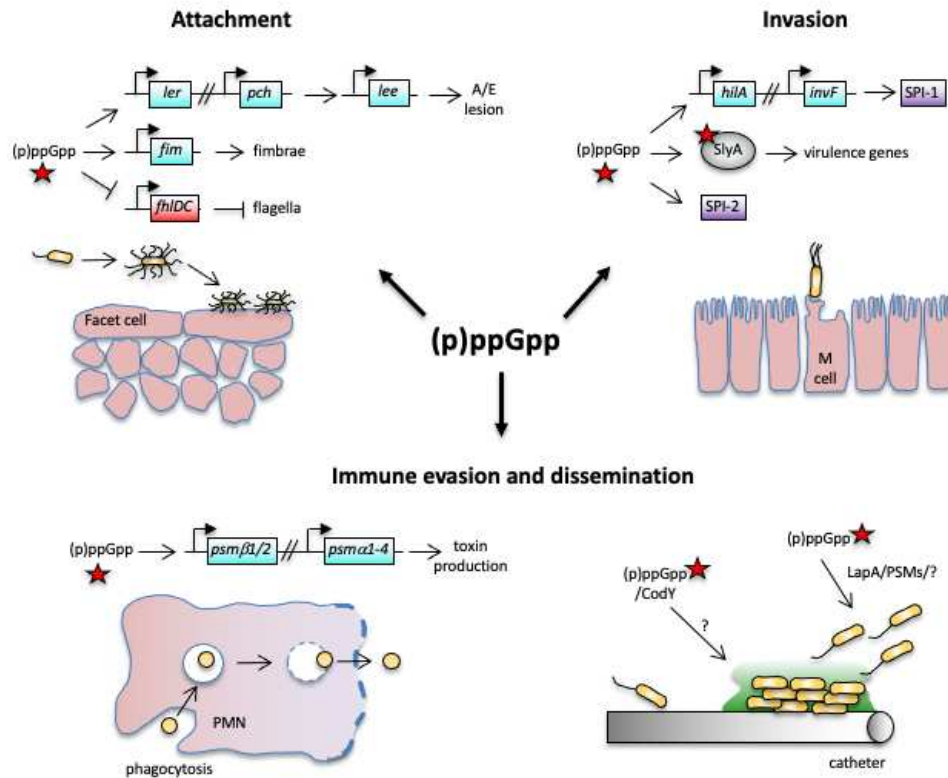
1247 **Figure 3. ppGpp binding to the RNAP.** a) Schematic of the holoenzyme of RNAP
 1248 bound to two molecules of ppGpp (red) and DksA (yellow). Individual RNAP subunits
 1249 are coloured: β – purple, β' – pink, αI – teal, αII - orange, ω - green and σ^{70} – brown.
 1250 **b-d)** Crystal structure of the RNAP holoenzyme from *E. coli* in complex with ppGpp,
 1251 DksA and the *rrnB P1* promoter (PDB: 6WRD). Coloured as in (a). **b)** Face-on view of
 1252 the RNAP bound to the *rrnB P1* DNA. **c)** Rotation of the RNAP 90° to visualise ppGpp
 1253 (red) bound to site 1 between the β' (pink) and ω (green) subunits. **d)** Rotation to
 1254 visualise ppGpp (red) bound to site 2 between the β' subunit (pink) and DksA (yellow).
 1255



1256

1257 **Figure 4. Control of protein translation by (p)ppGpp.** **a)** (p)ppGpp directly binds to
 1258 and inhibits a number of proteins responsible for the different stages of translation.
 1259 Through association with GTP, the prokaryotic initiation factor IF2 acts to position the
 1260 initiating fMet-tRNA^{fMet} in the 30S pre-IC and promote the association of the 50S
 1261 subunit, a process which is inhibited by (p)ppGpp^{117,118}. Elongation and translocation
 1262 processes are also targets of (p)ppGpp. (p)ppGpp-mediated inhibition of the elongation
 1263 factor EF-Tu prevents the binding of an aminoacyl-tRNA to the A site of the 70S

1264 ribosome¹¹⁹. Inhibiting EF-G, a translocase, stops the translocation of aminoacyl-tRNA
1265 through the ribosome¹¹⁹. (p)ppGpp also inhibits the release factor RF3, therefore
1266 preventing the recycling of RF1/2 from the ribosome¹²⁰. The post-termination complex
1267 is recycled by RRF and EF-G, allowing the cycle to start again, a process that may also
1268 be inhibited by (p)ppGpp interacting with EF-G. **b)** ppGpp binding to IF2 inhibits start
1269 codon recognition. The pre-IC can then exchange a non-compatible transcript for one
1270 that contains a structured enhancer of translation initiation (SETI) element that is
1271 tolerated by ppGpp e.g. *tufA*, allowing the exchange of ppGpp for GTP and the
1272 continuation of translation¹¹⁸.
1273
1274



1275

1276 Figure 5. **(p)ppGpp affects bacterial pathogenicity in multiple ways.** (p)ppGpp (red

1277 star) can regulate genes to promote pathogenicity, including the downregulation of

1278 flagella and the upregulation of surface proteins and factors that promote increased

1279 attachment to host cell surfaces^{141,147-150}. (p)ppGpp can promote invasion into host cells

1280 by upregulating toxins and pathogenicity islands, and avoidance of cellular defences by

1281 controlling the production of cytolytic toxins^{152,153,155}. (p)ppGpp also has a role to play

1282 in immune evasion and bacterial dissemination via toxin production^{114,162} and the

1283 regulation of biofilm formation. Both (p)ppGpp and the transcription factor CodY have

1284 been implicated in the formation of biofilms^{165,166,168}, while the promotion of biofilm

1285 dispersion through various (p)ppGpp-mediated mechanisms has also been reported¹⁷⁰⁻

1286 ¹⁷².

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