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32 1. INTRODUCTION

33 Recent advances in submarine slope surveys (e.g. ref), sub-surface investigation (e.g.
34 Barnes et al. 1991), and modelling (e.g. Urlaub et al. 2015) have allowed submarine
35 mass movement process to be studied with unprecedented accuracy. These studies have
36 revealed that many subaqueous mass movements initiate on shallow slopes ($<2^\circ$) with
37 gradients significantly lower than their constituent materials (e.g. Barnes et al. 1991; Urlaub
38 et al. 2015). Despite this many subaqueous slope failures run out over long distances
39 (Talling et al., 2007) and evacuate almost all the material from their source regions
40 (Krastel et al., 2018; Mountjoy and Micallef, 2018). To explain this, conventional
41 models frequently propose the presence of high pore fluid pressures that greatly exceed
42 hydrostatic pressure. These models have been supported by laboratory and modelling
43 studies that indicate high pore fluid pressures could be generated through undrained
44 cyclic loading during earthquakes (e.g. Sassa et al. 2012), the generation of excess pore
45 water pressures during sediment burial (e.g. Stigall and Dugan 2010), concentrated
46 fluid flow (e.g. Dugan and Flemings, 2000; Sassa et al. 2012) or gas liberation from
47 hydrate dissociation (e.g. Riboulot et al., 2013).

48

49 The landslide morphology of some subaqueous mass movements, however, indicate
50 they have displaced over much shorter distances before arresting, even when their
51 continued downslope movement is unconstrained (Locat and Lee, 2000; Micallef et al.,
52 2013). These arrested landslide complexes can often be observed in close proximity to
53 long run-out landslides in a wide range of seafloor environments including active
54 margins that regularly experience seismic activity (Micallef et al., 2016). To date,
55 however, the potential reactivation mechanisms and movement styles remain poorly
56 constrained.

57

58 Terrestrial landslides also display a wide array of movement styles during earthquakes.
59 Many recent terrestrial landslide inventories (e.g. Li et al., 2014; Valagussa et al., 2016;
60 Massey et al., 2018) demonstrate that most of large catastrophic landslides occur
61 adjacent to slopes with topographic characteristics show limited downslope movement
62 despite being subject to similar ground shaking intensities (e.g. Collins and Jibson,
63 2015; Petley et al., 2006). Recent studies that accurately replicate dynamic loading
64 scenarios in terrestrial landslide complexes during earthquakes have successfully
65 shown how the shear surface deformations mechanisms control their movement
66 patterns (e.g. Carey et al. 2019). Similar approach would be beneficial for submarine
67 landslide complexes such studies have been rarely attempted because very few are
68 accessible for sampling and detailed investigation.

69

70 The Tuaheni Landslide Complex (TLC), is located in an active subduction zone
71 experiencing regular seismic activity and has a similar morphology to some slow-
72 moving terrestrial landslides that often remobilise episodically without catastrophic
73 failure. The (TLC) has been investigated by both shallow coring and seafloor drilling
74 (Kuhlmann et al., 2018; Carey et al., 2019; Pecher et al. 2019) and is composed of
75 subaqueous sediments common across the continental slope. Although the TLC is
76 known to experience regular seismic activity (Wallace and Bevan, 2010; Wallace et al.,
77 2012) its potential movement response to earthquakes has received limited attention to
78 date as alternative drivers for slope destabilisation have been the focus of investigations
79 (e.g. Mountjoy et al. 2014; Micallef et al. 2016).

80

81 In this study we have sought to understand better the potential movement mechanisms
82 of a large submarine complex, using samples recovered from the site. We have
83 conducted laboratory experiments in a dynamic back-pressured shearbox on sediments
84 recovered from the base of Tuaheni Landslide Complex on the Hikurangi Subduction
85 Margin, off the coast of Gisborne, New Zealand, to explore styles of movement under
86 conditions that accurately replicate seismic loading in order to provide insight into the
87 response of these materials, under representative stress states, to dynamic changes in
88 stress state.

89

90 2. STUDY AREA

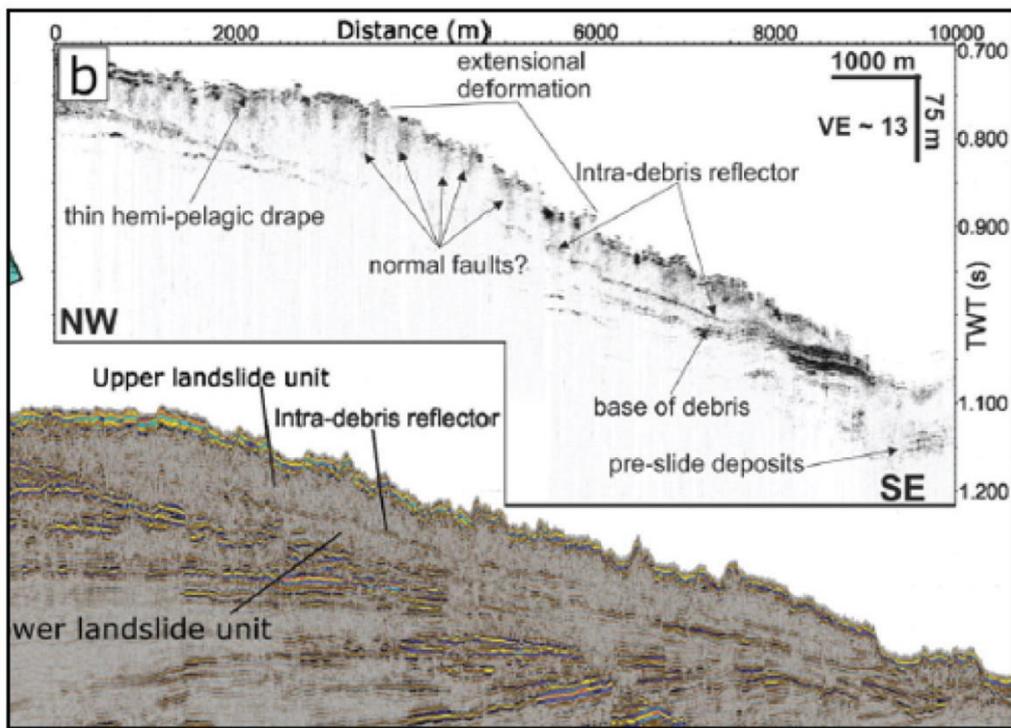
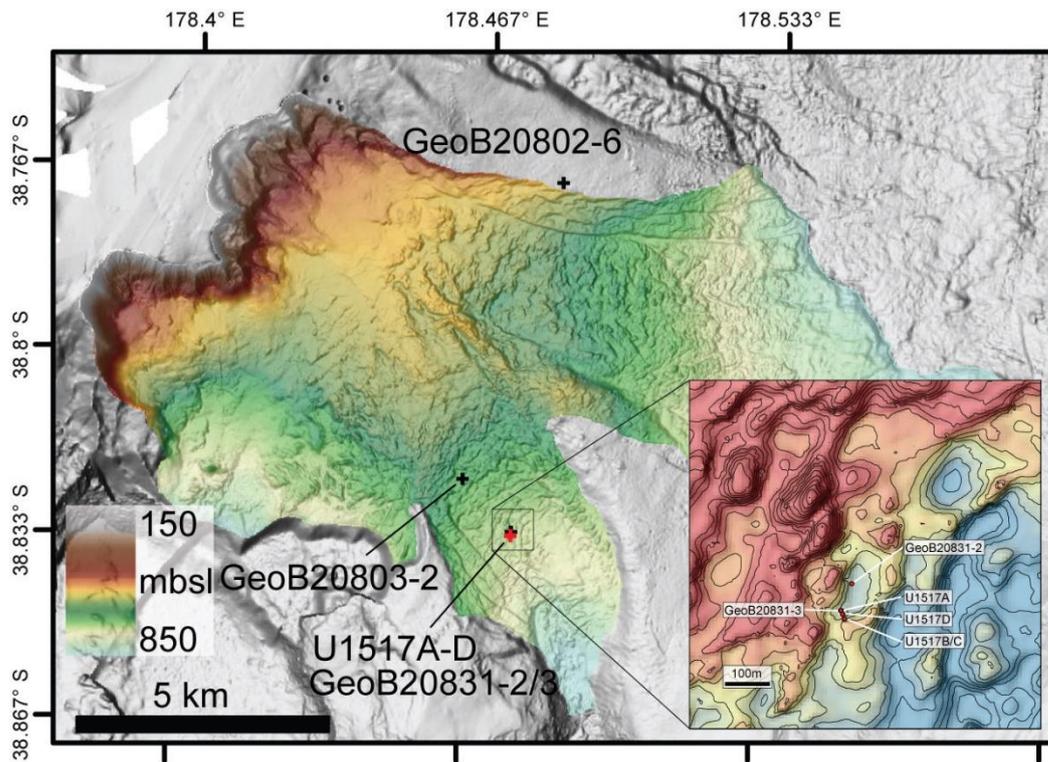
91 2.1. Landslide geomorphology

92 An area of the upper continental slope on the Hikurangi Subduction Margin hosts a
93 number of landslides (Mountjoy et al., 2009; Micallef et al., 2016). The Tuaheni
94 Landslide Complex (TLC) comprises an area of approximately 145 km², which is sub-
95 divided into two distinct elements (Tuaheni North and Tuaheni South) separated by a 2
96 km wide spur (Figure 1A). While Tuaheni North is formed of multiple evacuated
97 landslide scarps, Tuaheni South is characterised by a large debris apron that has a
98 distinct scarp and bench topography, and features indicative of lateral, extensional and
99 compressional deformation (Mountjoy et al., 2009; 2014). The morphology of Tuaheni
100 South is similar to slow-moving landslide complexes observed in terrestrial
101 environments, such as earthflows and mudslides (e.g. Hungr et al., 2014), which occur
102 in similar fine-grained sediments and are often subject to episodic remobilisation (e.g.
103 Allison and Brunnsden, 1990).

104

105 The upper continental slope is composed of Miocene to Recent slope basin sequences
106 (Mountjoy et al., 2009). A gravity core profile along the length of the Tuaheni Landslide
107 Complex has indicated that the upper few meters of sediment are dominated by mud to
108 sand sized particles from hemipelagic drape, reworked landslide debris and airfall
109 tephra (Kuhlmann et al., 2018). More recently sediments from the base of the TLC have
110 been recovered from boreholes drilled in 2016 during the Mebo expedition (Fig 1
111 GeoB20802, Geo20831) and during the 2IODP expedition 372 in 2017 (Fig 1, U1517).
112 These boreholes demonstrate that the base of the landslide (approx. 40 m bsf) is
113 dominated by fine-grained sandy sediments with grain size characteristics that suggest
114 they might be susceptible to liquefaction. The base of the landslide was found to be XX
115 m above the base of gas hydrate stability (Fig. 1b)ty indicating that the development of
116 overpressure from free gas is unlikely. As a consequence, the potential development of
117 overpressure during seismic loading from earthquakes was considered a credible
118 movement mechanism that warranted further exploration.

119



120

121

Figure 1. Site location Joshu modifying the above for paper

122

123 2.2 Regional seismicity and earthquake history

124 The landslide lies within an active subduction zone experiencing regular seismic
125 activity (Wallace and Bevan, 2010; Wallace et al., 2012). The regional seismic hazard
126 is characterized by earthquake activity on the Hikurangi subduction zone interface, on
127 shallow crustal faults (such as the 1931 Napier earthquake) and on intraslab faults such
128 as the 2007 M6.7 Gisborne event (GEq). In addition Tuaheni Basin is prone to moderate
129 but long shaking from distant events such as the M7.8 Kaikōura (KEq) and M7.4 Te
130 Araroa (TAEq) earthquakes (Kaneko et al DATE). Ground shaking at these regional
131 sites can be subjected to strong amplification in the 0.5-5Hz frequency range due to
132 shallow soft sediments (Perrin et al. ref) and strong amplification at periods of about 20
133 seconds from the deep offshore sediment basin (ref). The latter, however, are unlikely
134 to influence ground motion triggering of the Tuaheni Landslide Complex which has a
135 natural period between 0.5 and 5Hz.

136

137 Relatively small (cm-scale) displacements triggered in a large landslide complexes such
138 as Tuaheni are likely generate a local tsunami that would be recorded on the nearby
139 Gisborne tide gauge. This implies that ground shaking that does not trigger a local
140 tsunami provides a lower band ground shaking threshold for landslide triggering. As
141 neither of GEq, TAEq and KEq triggered local tsunamis, estimated peak ground
142 shaking values near the landslide site for these events will define a lower band shaking
143 threshold for landslide triggering. We employed two approaches to get peak shaking
144 values. For the distant earthquakes TAEq and KEq, peak shaking at the landslide site
145 will be similar to peak shaking 30 km away (reference) at GKBS (GeoNet network).
146 For the regional earthquake GEq, local source effects will influence ground shaking
147 even at close distances. Therefore, it is more appropriate to calculate synthetic ground
148 shaking at the site.

149

150 In this study we convert shaking parameters into “stressgrams”. Dynamic stress at
151 locations of interest, or “stressgrams” are better input parameters to model the various
152 landslide responses and were derived using the following equation:

153 EQUATION

154

155 Three-component velocity seismograms were obtained for earthquakes TAEq and KEq
156 at station GKBS. This station is located on site-class B type soil condition, equivalent
157 to strong rock condition with little site amplification. The velocity seismograms are
158 bandpass filtered between 0.5 and 5 Hz. The final stressgrams are shown in fig. xx.

159 Stressgrams for 2007 Gisborne earthquake:

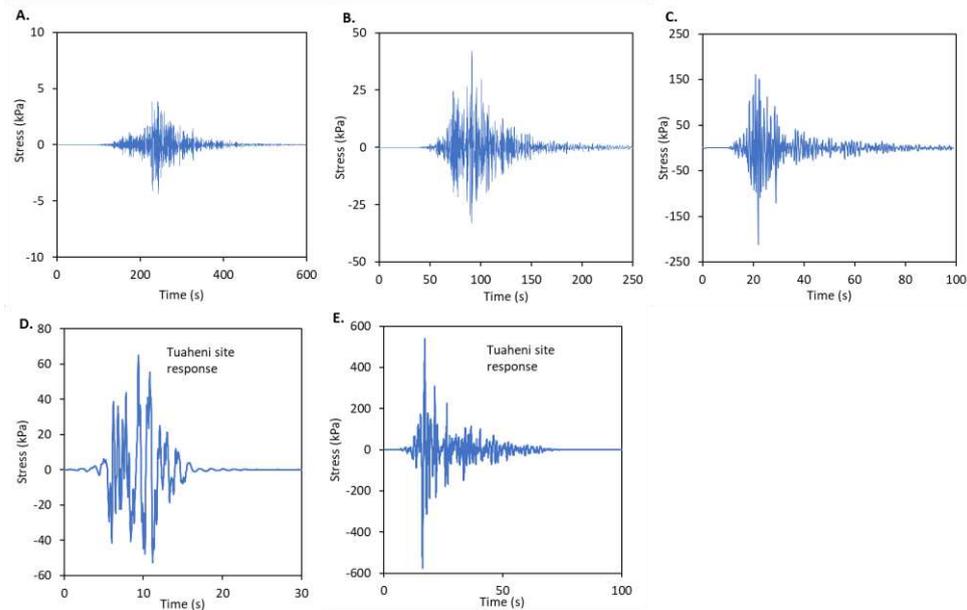
160

161 The EXSIM code is finite fault stochastic modelling code that takes into account source
162 as well as regional parameters (Motazedian and Atkinson, 2005) and was used to model
163 the synthetic seismograms. The stochastic approach requires a well-defined source
164 model, attenuation model, and quantification of site effects. These parameters are
165 detailed in Holden (2020 - in prep) as well as the validation method. Even though the
166 stochastic method does not model realistic phases of seismograms it was able to capture
167 periods as long as 2 sec very well. Synthetic horizontal accelerations were integrated
168 into velocity then bandpass filtered between 0.5 and 5Hz (Fig. 2).

169 Section on subduction zone earthquake

170

171



172

173 Figure 2. Stress changes during significant earthquakes, calculated from Seismogram
 174 data recorded in Gisborne (filtered between 0.5 and 5 Hz). (A) M7.8 Kaikoura
 175 Earthquake, measured at the Gisborne station (GKBS), 2016. (B) M7.1. Te Araroa
 176 earthquake, measured at Gisborne station (GISS), 2016. (C) M6.7 Gisborne earthquake,
 177 measured at Gisborne station (GISS), 2007. (D) Modelled local site response during the
 178 Gisborne earthquake. (E) Modelled site response during a Magnitude 8 subduction
 179 earthquake.

180 3. MATERIALS AND EXPERIMENT APPROACH

181 A suite of conventional laboratory experiments on TLC sediment samples collected
 182 from the boreholes Geo20831 during the Sonner Expedition SO247 in 2016 and U1517
 183 during the IODP expedition 372 in 2017 (Fig 1) to determine their physical and
 184 geomechanical characteristics (Table 1).

185

186 These soil classification test results indicate that whilst natural moisture contents were
 187 broadly consistent (23% to 27%) across all samples, the landslide is composed sediment
 188 types (Table 1). Samples EN1403 EN1404, and EN1408 had soil classifications at the

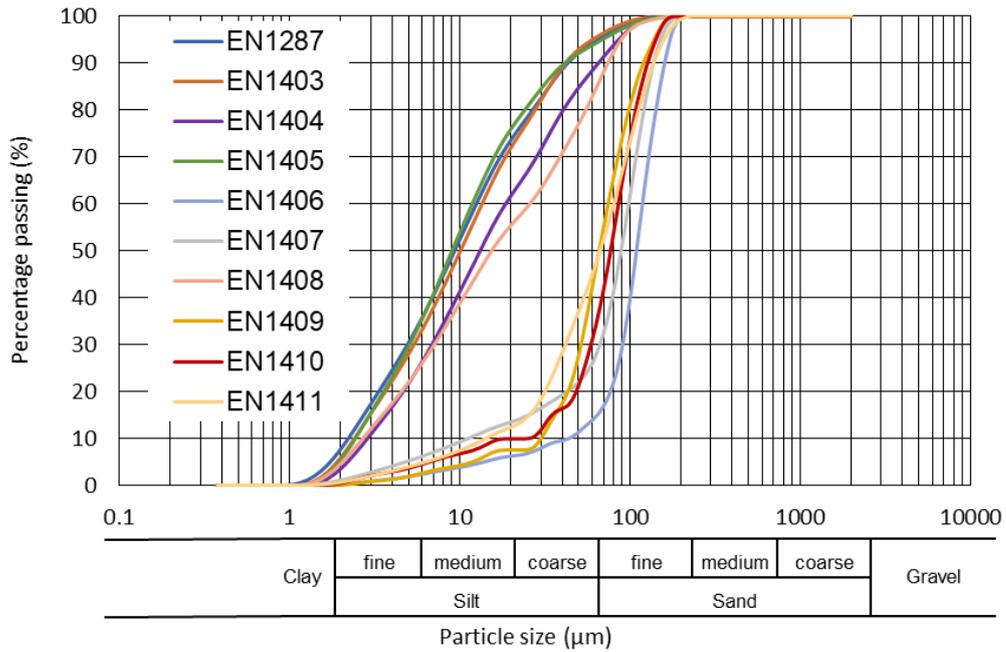
189 boundary of low plasticity silts and clays, characterized by lower bulk and dry densities,
 190 higher liquid limits and plasticity indexes (Table 1). In contrast samples EN1407,
 191 EN1409, EN1410 and EN1410 had soil classifications of fine sands, characterized by
 192 high bulk and dry densities, lower liquid limits and plasticity indexes (Table 1).
 193 Particle-size analyses confirmed two distinct grain size distributions (Figure 2). Coarse
 194 silt and fine sands accounted for over 80% of the material in the coarse-grained
 195 sediments whilst silts and clays accounted for over 80% of the material in the fine-
 196 grained sediments.

197

198 TABLE 1. PHYSICAL PROPERTIES OF TLC SEDIMENTS RECOVERED FROM
 199 BOREHOLES GEOBORE 30821 AND IODP U1518

Sample Reference	EN1403	EN1404	EN1405**	EN1406**	EN1407	EN1408	EN1409	EN1410	EN1411
Borehole	U1517	U1517	U1517	U1517	U1517	U1517	30821	30821	30821
Sample depth (*mbsb)	21.44 – 21.53	61.26 – 61.38	59.20 – 59.30	30.06 – 30.22	31.61 – 31.77	40.69 – 40.80	28.40 – 29.60	31.90 – 33.27	35.40 – 36.92
Moisture content (%)	27.2	23.0	-	-	24.6	26.9	23.3	23.3	24.3
Bulk density (kg/m ³)	1.82	1.83	-	-	1.89	1.89	1.99	2.03	1.85
Dry density (t/m ³)	1.43	1.49	-	-	1.54	1.49	-	-	1.48
Atterberg limits:									
Plastic limit (%)	28.0	20.0	-	-	25.2	22.1	23.1	26.4	23.1
Liquid limit (%)	48.1	38.5	-	-	33.2	43.4	34.2	31.8	34.3
Plasticity index (%)	20.3	18.5	-	-	8.0	21.3	11.2	5.4	11.2

*mbsb = meters below sea bed ** Samples not used for index testing

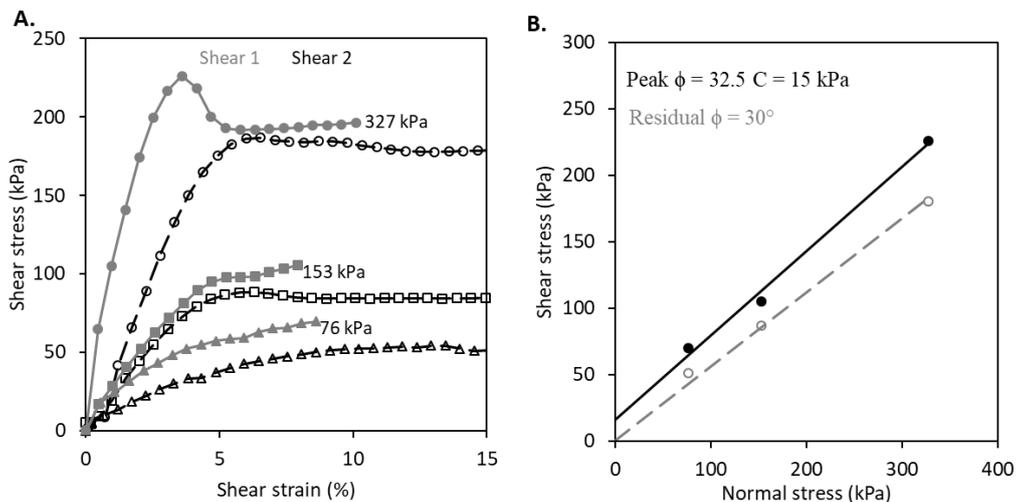


200

201 Figure 3. Particle size analysis of samples recovered from within the Tuaheni
 202 Landslide Complex (remove sample 1287 from figure).

203

204 Conventional monotonic drained direct shear tests were undertaken on 60 x 60 x 20
 205 mm samples using a Wykeham Farrance direct shearbox WF2500. Shearing was
 206 conducted at three normal stresses (Table 2) and shearing was initiated on completion
 207 of the consolidation phase. A low shear rate (0.0018 mm/min), was used to avoid
 208 developing excess pore fluid pressures within the specimens.



209

210 Figure 4. Conventional monotonic drained shear experiments. (A) Stress-strain
 211 behaviour. (B) Monotonic drained peak and residual strength envelopes.

212

213 The geomechanical properties derived from these conventional laboratory experiments
 214 were used to design a suite of dynamic shear experiments to simulate the effects of
 215 seismic loading at the base of the TLC. These experiments were undertaken in a
 216 Dynamic Back Pressured Shear Box (DBPSB), an advanced direct shear device that
 217 allows the measurement and control of pore pressures and dynamic application of
 218 normal stress and shear stress, which has been successfully used to explore a range of
 219 styles of deformation in landslide materials (e.g. Brain et al. 2015; Carey et al., 2016,
 220 2019).

221

222 TABLE 2. SUMMARY OF THE DYNAMIC SHEAR EXPERIMENTS

Sample Number	Initial normal effective stress (kPa)	Initial shear stress (kPa)	Applied dynamic shear amplitude (+/-)	Applied normal stress	Dynamic frequency (Hz)	Number of Cycles
EN1410A	150	42	0.5 mm	150 kPa	0.5	30
EN1410B	150	64	0.5 mm	Volume controlled	0.5	30
EN1410C	-	10	25 kPa	Volume controlled	0.5, 2, 5	10
EN1410D	-	10	10,25,50 60 kPa	Volume controlled	0.5	30

223

224 4. LIQUEFACTION POTENTIAL DURING DYNAMIC SHEAR

225 To evaluate whether seismic loading could induce liquefaction in the fine-grained
 226 sediments at the base of the TLC we conducted strain controlled dynamic shear
 227 experiments on two fine-grained sand samples reconstituted from sample EN1410
 228 (Table 2). Both samples were initially consolidated at a normal effective stress of 150

229 kPa to replicate burial depth of the basal materials in the landslide before an initial static
230 shear stress of 65 kPa (approximately 70% of the conventional drained failure envelope)
231 was applied to each sample to represent the stress state in a marginally stable landslide
232 shear zone.

233

234 During the first experiment (EN1410A) the methodology described by Carey et al.,
235 2016 was adopted in which the normal stress and back pressure were held constant
236 while a displacement controlled dynamic shear phase was carried out whilst the
237 development of excess pore water pressure and shear stress were measured. (Table 2).
238 Dynamic shear resulted in a decrease in sample pore water pressures, which increased
239 normal effective stress (Fig 6 A). Consequently, continued displacement required a
240 progressive increase in shear stress throughout the experiment (Fig 6 A). The
241 experiment did not generate undrained conditions in the sample, which instead followed
242 a drained stress path and resulted sample densification and subsequent strain hardening
243 (Fig 6 B).

244

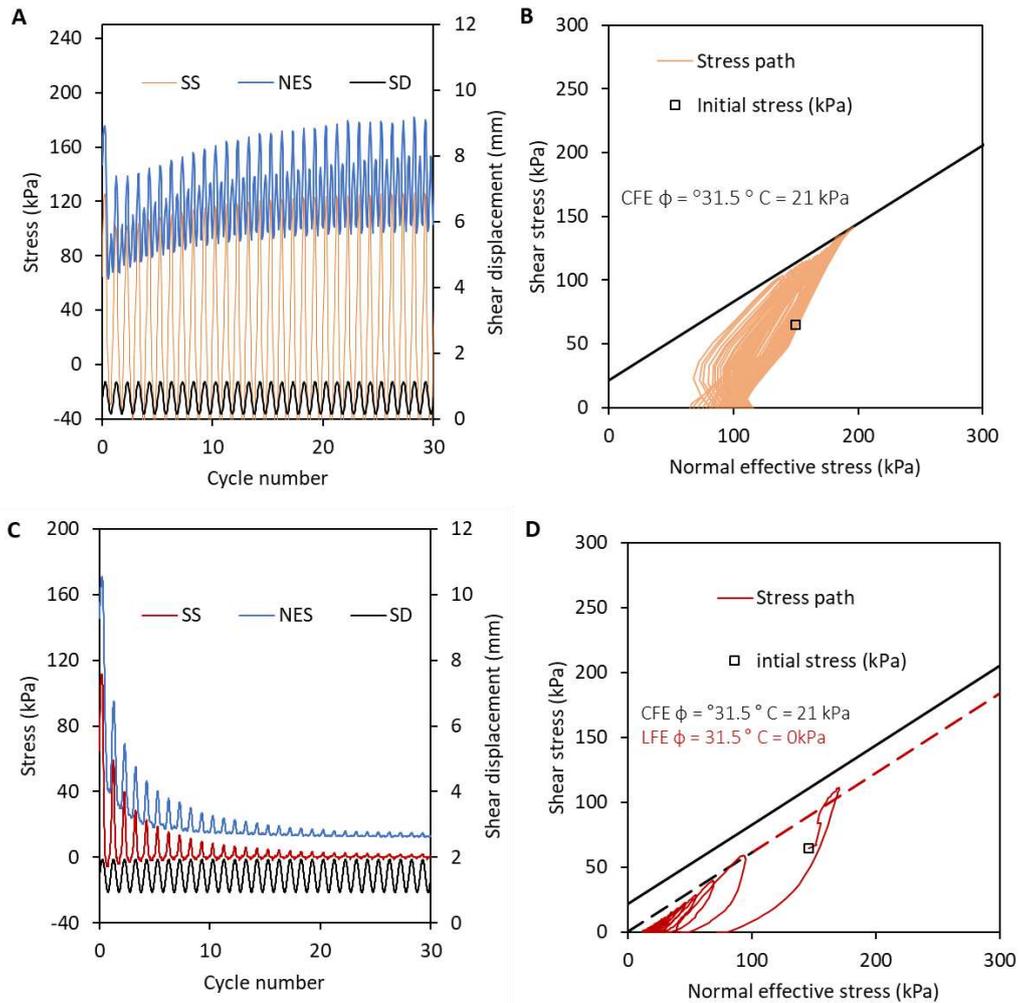
245 To simulate the materials undrained behaviour during seismic loading, a testing
246 procedure was adapted from a well-established dynamic simple shear methodology
247 (Dyvik et al. 1987). Using this approach, a constant volume is maintained during
248 dynamic shear and the measured change in applied normal stress as the specimen height
249 is maintained is equal to the porewater pressure that would be generated in a purely
250 undrained experiment (Dyvik et al. 1987). To replicate this a further experiment was
251 conducted on sample EN1410B. (Table 2). In this experiment a constant sample volume
252 was applied during dynamic shear by maintaining a constant sample height (axial
253 displacement) and pore fluid volume (back volume) such that the reduction in mean

254 effective stress resulting from the reduction in applied normal stress was equal to the
255 excess porewater pressure anticipated in undrained conditions.

256

257 During the volume-controlled experiment dynamic shear resulted in a rapid reduction
258 in mean effective stress over the first 10 cycles of loading, indicating the development
259 of significant excess pore water pressures (Fig 6 C). This reduction in normal effective
260 stress corresponded with a rapid loss in shear strength until no significant frictional
261 strength could be measured (Fig 6 C). The stress path indicates that the failure envelope
262 was reached during the first dynamic cycle and during further cycles the stress path
263 followed the failure envelope as the sample underwent liquefaction (Fig 6 D). Thus, the
264 fine sand forming the basal material of the TLC can undergo liquefaction as a result of
265 seismic loading when subject to certain stress conditions. The volume-controlled
266 experiments were able to replicate the undrained behaviour expected in landslide shear
267 zone during seismic loading. Consequently this testing approach was adopted to further
268 explore the potential movement behaviour of the TLC during earthquakes of varying
269 magnitude and duration.

270



271

272 Figure 6. Strain controlled dynamic shear experiments (± 0.5 mm) conducted at a
 273 frequency of 2 Hz (A) Shear stress (SS) Normal effective stress (NES) and Shear
 274 displacement (SD) against cycle number during normal stress controlled dynamic shear
 275 experiment, Sample EN1410A (B) Stress path in relation monotonic failure envelope,
 276 sample EN1410A (C) Shear stress (SS) Normal effective stress (NES) and Shear
 277 displacement (SD) against cycle number during normal stress controlled dynamic shear
 278 experiment, Sample EN1410B (D) Stress path in relation monotonic failure envelope,
 279 sample EN1410B.

280

281 5. SIMULATING UNDRAINED BEHAVIOUR DURING DYNAMIC SHEAR IN
 282 THE TLC

283 To simulate undrained conditions within the basal shear zone of the TLC an initial
284 normal effective stress was applied to samples EN1410C and EN1410D to generate
285 consolidation. Thereupon, an initial shear stress of 10 kPa was applied to each sample
286 (Table 2). This initial stress state, which is lower than in the previous experiments, was
287 adopted to simulate the shear stress associated with the angle shear surface (c.2°)
288 identified in geophysical interpretations of the landslide geometry (Crutchley et al.
289 DATE). The initial pore water pressure was set to simulate hydrostatic conditions.

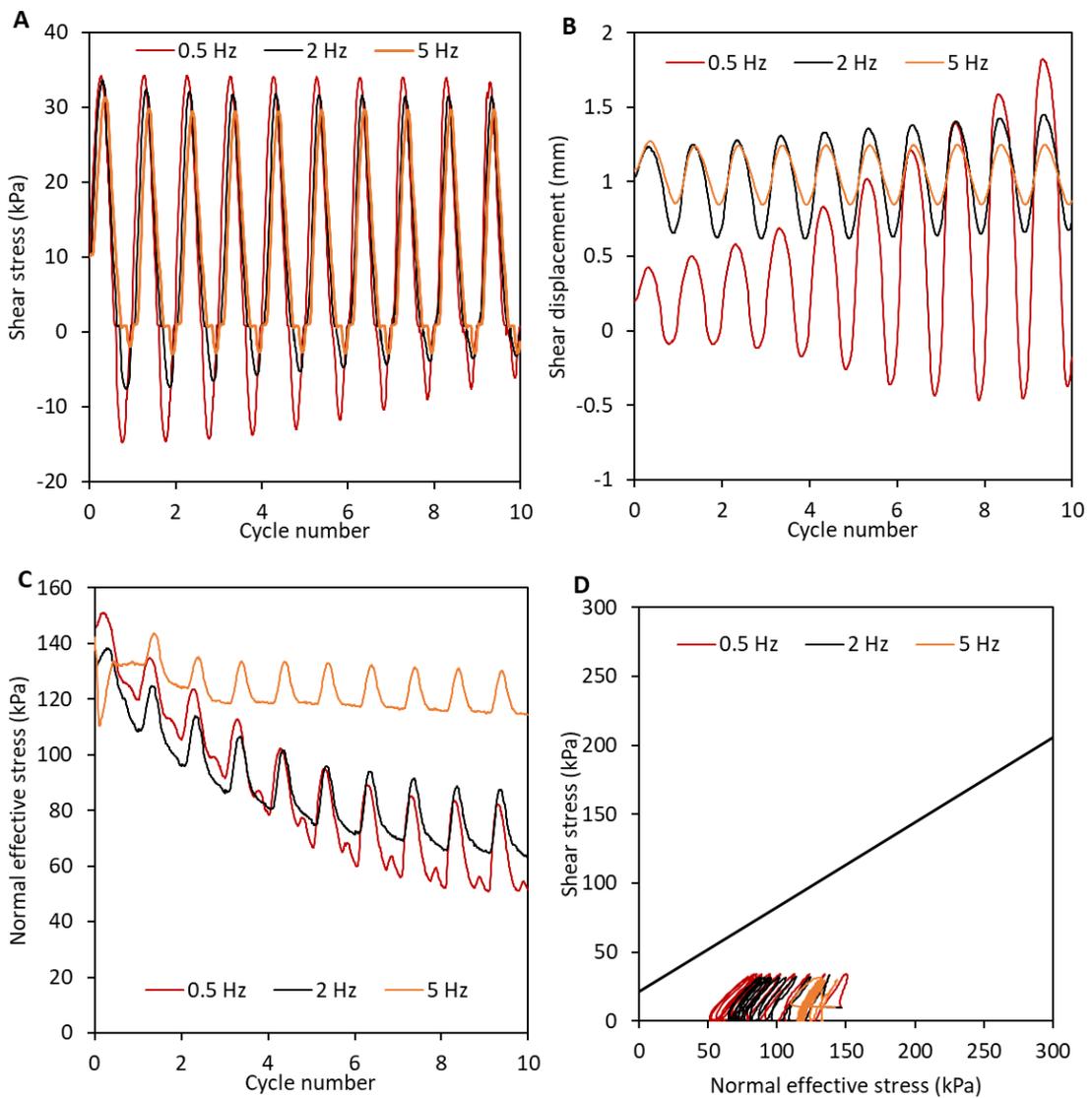
290
291 To explore the displacement behaviour of the TLC when subject to different frequencies
292 of short duration, dynamic loading, sample EN1410C was subject to three separate
293 dynamic stress-controlled shear stages (Table 2). In each case dynamic shear stress was
294 applied over 10 cycles at progressively higher frequencies (Table 2). The initial normal
295 effective stress and shear stress was reapplied to the sample between each dynamic
296 shear stage (Fig 7 A, Table 2). During the first low frequency dynamic shear stage (0.5.
297 Hz) dynamic loading resulted in progressively larger shear displacements, although the
298 total cumulative displacement was comparatively small (Fig 7 B). These progressively
299 increasing shear displacement cycles developed as the normal effective stress reduced
300 (Fig 7 C) and indicated that the sample was strain softening during dynamic loading
301 prior to reaching the conventional failure envelope (Fig 7 D). Less displacement was
302 then observed during the second dynamic shear stage (2 Hz) despite a similar reduction
303 in normal effective stress during dynamic loading. Similar behaviour was also observed
304 during the third dynamic shear stage (5 Hz) as was accompanied by a much lower
305 reduction in mean effective stress during dynamic loading, suggesting that the sample
306 progressively strengthened between each dynamic stage as the initial normal stress was
307 reapplied and pore fluids drained from the sample.

308

309 The results indicate that whilst short duration dynamic shear experiments could induce
310 strain and excess porewater development, the reduction in normal effective stress was
311 not sufficient to reach the conventional failure envelope and generate either significant
312 permanent displacement or liquefaction (Fig 7 D).

313

314



315

316

317 Figure 7. Short duration dynamic shear experiments (10 cycles) conducted at a shear
318 stress amplitude of 25 kPa and frequencies 0.5 Hz, 2 Hz and 5 Hz on sample EN1410C
319 (A) Applied shear stress (B) Measured shear displacement (C) Normal effective stress
320 (D) Stress path in relation to conventional failure envelope

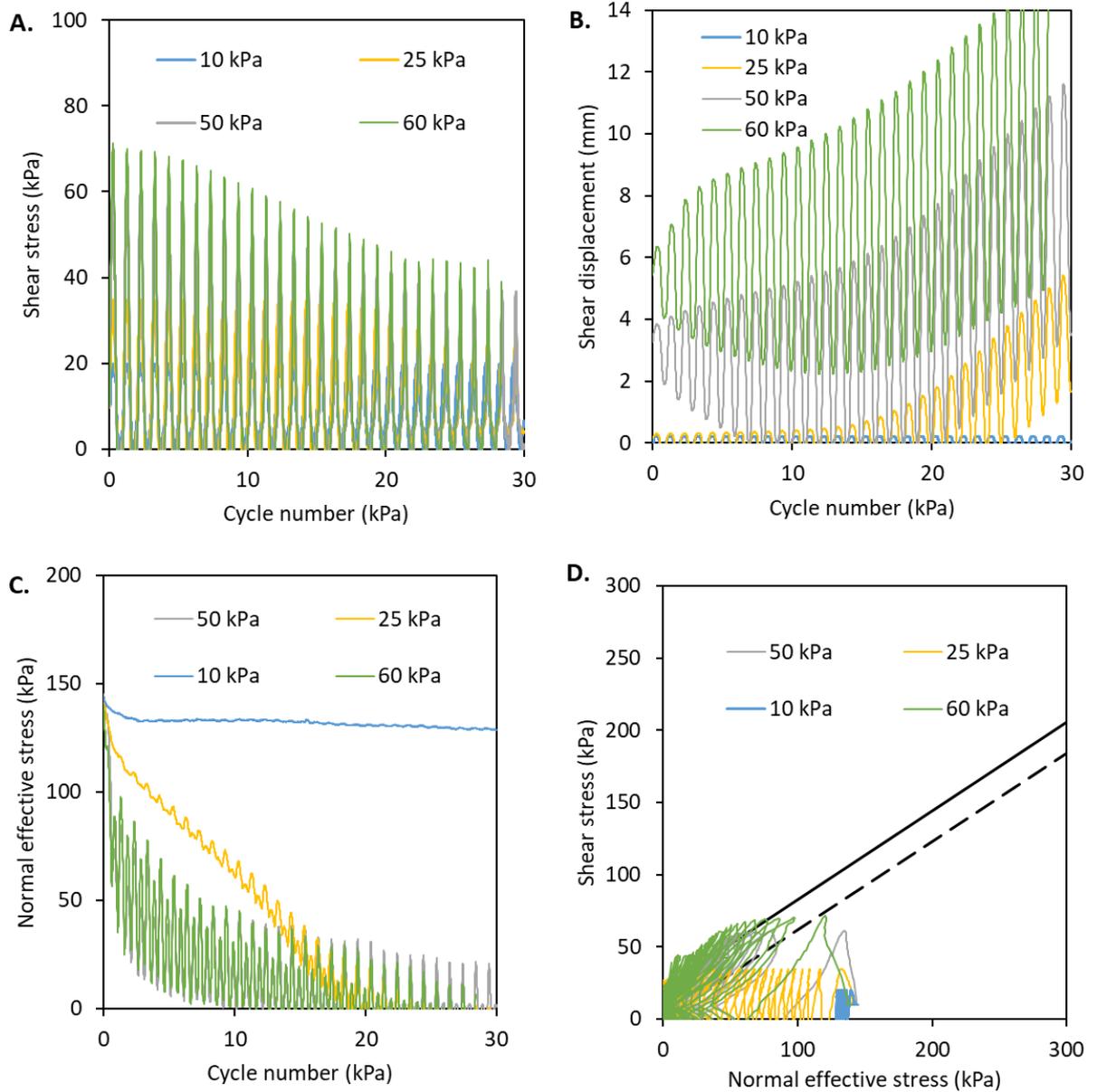
321
322 To evaluate the displacement behaviour of the TLC during periods of longer duration
323 dynamic loading, sample EN1410D was subjected to four dynamic shear stages at a
324 frequency of 0.5 Hz for a duration of 60 seconds (30 cycles). As in experiment
325 EN1410C, the initial normal effective stress and shear stress were applied to the sample
326 between each dynamic shear stage whilst the amplitude of applied shear stress was
327 increased at each stage (Table 2, Fig 8 A). Applying the lowest amplitude of dynamic
328 shear stress (± 10 kPa) resulted in no permanent displacement or measurable reduction
329 in mean effective stress during dynamic loading. Thus only minor changes in the stress
330 path were observed during this phase of dynamic loading as the sample remained in a
331 stable state (Fig 8D). During the next dynamic shear phase, however (± 25 kPa) (Fig
332 8A), significant permanent displacement was observed (Fig 8B). During this stage
333 dynamic loading resulted in a rapid reduction in mean effective stress (Fig 8 C) until
334 the failure envelope was reached ($\sigma' = 0$ kPa $\tau = 25$ kPa) after approximately 20 cycles
335 (Fig 8D). Once the failure envelope was reached the shear surface mobilised and a slight
336 reduction in shear stress was observed as applied shear stress could no longer be
337 sustained (Fig 8 A). This reduction in shear stress however, did not result in liquefaction
338 as the shear stress remained at the failure envelope (approx. 20 kPa, Fig 8A, 8D).
339 Further dynamic shear stages at higher amplitudes (± 50 kPa and 60 kPa) produced
340 the same undrained loading behaviour. In both stages dynamic shear resulted in
341 undrained loading, characterised by a rapid reduction in mean effective stress and rapid

342 displacement of the sample once the failure envelope was reached. Although the
343 increase in dynamic shear stresses generated larger shear displacement as the failure
344 envelope was reached, only a moderate reduction in shear stress was observed (Fig 8
345 B, D) which indicated that the sample could maintain some shear strength rather than
346 undergoing liquefaction (Fig 8 D).

347

348 The results demonstrate that, whilst the the excess pore water pressures generated
349 during the longer duration dynamic shear experiment were sufficient to reach the failure
350 envelope, they did not result in liquefaction or runaway failure at any of the loading
351 scenarios tested. Instead, the experiments showed that the shear surface mobilised once
352 the normal effective stress reduced to the conventional failure envelope. Shear
353 displacement terminated at the end of dynamic shearing and remobilised by the same
354 mechanism during subsequent dynamic shear stages once the failure envelope was
355 reached. This behaviour was consistent with a conventional frictional sliding model
356 (e.g. refs).

357



358

359 Figure 8. Long duration dynamic shear experiments (30 cycles) conducted at a s

360 frequency of 0.5 Hz at different applied dynamic stress amplitudes on sample EN1410D

361 (A) Applied shear stresses (B) Measured shear displacement (C) Normal effective stress

362 (D) stress path in relation to conventional failure envelope

363

364 6. DISCUSSION

365 A range mechanisms have been proposed to explain subaqueous mass-movement shear

366 surface nucleation (e.g. Viesca and Rice, 2012); shear zone liquefaction and ductile

367 extrusion (e.g. Bull et al., 2009; Sassa et al., 2012); local lateral fluid flow (Dugan and
368 Flemings, 2000; Fleming et al., 2002), and the development of high pore fluid pressures
369 (and thus low effective stress states) by free gas (Carey et al., 2019). Few mechanisms,
370 however, have been proposed to explain the presence of arrested deep-seated submarine
371 landslide complexes subject to large earthquakes. In this study we have undertaken a
372 suite of dynamic shear experiments to explore the potential movement mechanisms in
373 large submarine complexes subject to regular seismic loading events of vary magnitude
374 and duration.

375
376 Initial experiments demonstrated that whilst the fine-grained sandy sediments at the
377 base of the Tuehani landslide can liquefy under certain stress conditions at simulated
378 stress states that represent the deeper (40 mbsb), shallow angled (approx. 2°) basal shear
379 zone in the landslide, liquefaction did not occur. Instead permanent displacement is
380 observed when the conventional failure envelope is exceeded by either the increase
381 dynamic shear stress or the decrease in mean effective stress associated with the
382 development of excess porewater pressures.

383 During both long and short duration dynamic experiments where shear stresses
384 remained low the sediments were unable generate significant pore water pressures. As
385 As a consequence, effective stress remained high and no measurable displacement
386 occurred. This behaviour is consistent with the lack of evidence of local tsunamigenic
387 waves from landslide movement during the 2016 Kaioura earthquake (Table) and
388 suggested that low amplitude ground shaking from distant earthquakes is unlikely to
389 result in permanent down-slope displacement.

390

391 Whilst excess pore water pressurised were generated during short duration dynamic
392 shear experiments conducted at higher shear stress they were not sufficient to reduce
393 the effective stress below the monotonic failure envelope. As a consequence, significant
394 shear displacement did not occur. This suggested that earthquakes producing moderate
395 duration moderate amplitude ground shaking such as the Te Araroa earthquake and
396 earthquakes resulting in short duration high magnitude ground shaking such as Gisborn
397 earthquake are also unlikely to result in landslide movement. In both instances no
398 tsunamigenic waves were recorded consistent with this finding.

399

400 During long duration dynamic experiments where shear stress were high permanent
401 displacement did occur. During these experiments dynamic loading of the sediments
402 generated very high pore water pressures that do not result in shear zone liquefaction.
403 Instead critical state behaviour is observed in which shear sliding is initiated once the
404 monotonic failure envelope is reached and is subsequently sustained while normal
405 effective stress remains at or below the monotonic failure envelope. This behaviour
406 suggests landslide movement can initiate during earthquakes that can generate long
407 duration high amplitude ground shaking such as M8 subduction zone earthquakes.
408 During such events, once mobilised movement would be sustained while mean
409 effective stress remain low and would terminate when the ground shaking is no longer
410 sufficient to sustain a very low normal effective stress within the landslide shear zone,

411

412 This style of behaviour provides a mechanism through which the Tuaheni Landslide
413 Complex which could progressively move and arrest downslope without being subject
414 to catastrophic failure, consistent with its current morphology. Many of the previously
415 proposed subaqueous mass movement mechanisms assume that the landslide shear

416 zone requires overpressures which, to date have not been measured the landslide. The
 417 sandy nature of the materials allows the development of high excess pore water
 418 pressures during seismic loading. High excess porewater pressures within the landslide,
 419 however, could reduce the interseismic effective stress conditions in the landslide mass
 420 and increase its sensitivity to movement arrest during seismic events.

421

422 TABLE 3. SUMMARY OF EARTHQUAKE INDUCE LANDSLIDE TRIGGERING

423 RESULTS

Earthquake description	Catalogued and expected Earthquakes recorded in Gisborne	Local Tsunami recorded	Laboratory simulation
Long duration low amplitude ground shaking associated with distant high magnitude earthquakes	M7.8 Kaikoura earthquake, 2016	No	No significant displacement measured
Moderate duration moderate amplitude ground shaking from high magnitude regional earthquakes	M7.1 Te Araroa earthquake, 2016	No	No significant displacement triggered
Short duration high amplitude ground shaking in response to local shallow crustal earthquakes	M 6.7 Gisborne 2004	No	No significant displacement
Long duration high amplitude shaking in response to local subduction earthquakes	MW 8+ Subduction zone earthquakes (simulated)	No events recorded	Significant displacement triggered

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426 6. CONCLUSIONS

427 We conducted laboratory experiments in a dynamic back-pressured shearbox on
 428 sediments recovered from the base of Tuaheni Landslide Complex to explore styles of
 429 movement under conditions that accurately replicate seismic loading. At simulated
 430 stress states that represent the deeper (40 mbsb), shallow angled (approx. 2°) basal shear

431 zone in the landslide, liquefaction does not occur. Instead permanent displacement is
432 observed when the conventional failure envelope is exceeded by either the increase
433 dynamic shear stress or the decrease in mean effective stress associated with the
434 development of excess porewater pressures, which result in of balance forces within the
435 system. The amount and rate of displacement is therefore a function of the magnitude
436 and duration of the out of balance forces.

437

438 7. ACKNOWLEDGEMENTS

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519 FIGURE CAPTIONS

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522 TABLE CAPTIONS

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