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2 **ACQUIRED GENETIC CHANGES IN PLURIPOTENT STEM CELLS: ORIGINS AND**  
3 **CONSEQUENCES**  
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26 **ABSTRACT (200 words)**

27 In the twenty years since human embryonic stem cells, and subsequently induced pluripotent stem  
28 cells (collectively, pluripotent stem cells), were first described, it has become apparent that these  
29 cells may acquire genetic changes during long term culture, commonly manifest by gains or losses  
30 of particular chromosomal regions, or by mutations in certain cancer associated genes, especially  
31 *TP53*. Such changes raise concerns for the safety of products destined for clinical applications in  
32 regenerative medicine. Although acquired changes may not be present in a cell line at the start of a  
33 research program, the low sensitivity of current detection methods means that mutations may be  
34 difficult to detect if they arise but are only present in a small proportion of the cells. Nevertheless,  
35 recent work suggests that the underlying mutation rate in pluripotent stem cells is low, though they  
36 also seem to be particularly susceptible to genomic damage. This apparent contradiction can be  
37 reconciled by the observations that, in contrast to somatic cells, pluripotent stem cells are  
38 programmed to die in response to genomic damage, which may reflect the requirements of early  
39 embryogenesis. Thus, the common variants that do occur are likely rare events that offer the cells  
40 a selective growth advantage.

41

42 **INTRODUCTION**

43 Although little more than 20 years has passed since the first human embryonic stem cells (ESC)  
44 were reported (Thomson et al., 1998, Reubinoff et al., 2000), and less than 14 years since human  
45 induced pluripotent cells (iPSC) were described (Takahashi et al., 2007, Yu et al., 2007), clinical  
46 trials for regenerative medicine using derivatives of these cells are already underway or on the  
47 horizon (da Cruz et al., 2018, Schwartz et al., 2012, Song et al., 2015, Mandai et al., 2017, Barker  
48 et al., 2017). Yet over this period it has become evident that both human ESC and iPSC (collectively  
49 here denoted as PSC), although mostly diploid when first derived, may acquire genetic alterations,  
50 ranging from large scale structural modifications readily recognized as karyotypic variants through  
51 to single base pair changes on subsequent passage (**Figure 1**). Although not the focus of this  
52 review, it is worth noting that epigenetic changes encompassing aberrations in DNA methylation,  
53 imprinting and X-chromosome inactivation have also been reported in PSC (reviewed in Bar and  
54 Benvenisty, 2019). The observation of genetic and epigenetic changes in PSC has triggered worries  
55 about the significance of such variants for the safety of PSC-based regenerative medicine (Yasuda  
56 et al., 2018, Sato et al., 2019). In particular, some recurrent genetic changes, for example mutations  
57 in *TP53*, or gains of chromosome 12p, 17q, and 20q have been associated with various cancers,  
58 notably the association of gain of chromosome 12p with embryonal carcinoma (EC) cells, the  
59 malignant counterpart of PSC (Andrews, 2002). Indeed, a planned trial of iPSC-derived retinal  
60 pigment cells to treat age related macular degeneration was halted when a point mutation was  
61 detected, although whether this particular mutation may have caused a problem was unknown  
62 (Mandai et al., 2017, Garber, 2015).

63 Some of the genetic variants found in PSC may well have been present in the embryos or somatic  
64 cells from which they were derived, or may have been induced during derivation (Hussein et al.,  
65 2011, Rouhani et al., 2016). The outcomes of the currently pursued in-depth studies into the effect  
66 of the choice of a starting cell type and a method of reprogramming on the overall mutational burden  
67 in iPSC (reviewed in Steichen et al., 2019) will have an important bearing on the practical applications  
68 of PSC. However, regardless of their ultimate findings, a key feature of such ‘Mutations of Origin’ is  
69 that they will be present in all the cells of a given PSC line and so should be readily detectable and  
70 assessed for their significance before research with a particular line is initiated. Much more  
71 problematic, and the subject of this review, are mutations that were not present initially but arise,  
72 often recurrently, during culture of the cells – ‘Acquired Mutations’. Nevertheless, it is important to  
73 view these variants in perspective: many PSC lines do not acquire the commonly observed variants,  
74 or only in late passage. In a study by the International Stem Cell Initiative, 79 lines out of 122 retained  
75 a normal karyotype (Amps et al., 2011). In another study, recurrent mutants of *TP53* were detected  
76 in only five out of 140 human PSC lines (Merkle et al., 2017). On the other hand, since the sensitivity  
77 of detecting mutant cells in a mosaic culture is low (see **BOX 1**), such variant cells may lurk in  
78 cultures for a considerable time until they take over due to a selective growth advantage, or the line  
79 is subject to a population bottleneck. Further, some variants, for example gains of a small region of  
80 the long arm of chromosome 20, are particularly difficult to detect by G-banding karyotyping and may  
81 go unnoticed, even when present in a substantial proportion of the cells (Amps et al., 2011).

82 Over the years, many studies have sought to find ways to minimize the appearance of genetic  
83 variants in PSC. However, in such quests, it is important to recall that the appearance of the common  
84 recurrent variants depends upon two independent events, mutation followed by subsequent  
85 selection (**Figure 1**), and these can be experimentally difficult to disentangle. The mechanisms of  
86 selective growth advantage, due to the altered expression or activity of one or more genes (‘Driver’  
87 genes), or to the effects of culture conditions on selection, can be relatively easily analysed by spiking  
88 wild type cultures with variant cells and monitoring their subsequent growth patterns (Olariu et al.,  
89 2010). By contrast, mutation occurs at a very low frequency so that mutations are difficult to monitor  
90 directly without expansion of the variant cells, in which case the estimates of mutation rate may be  
91 compromised by selection unless this is avoided by often cumbersome clonogenic strategies  
92 (Thompson et al., 2020).

93 In this review we discuss the nature of the acquired genetic variants that commonly arise during  
94 culture of human PSC cultures and consider the potential consequences of acquired genetic variants  
95 in human PSC, both for research and for clinical applications. We then discuss the mechanisms of  
96 selective growth advantage that lead to the recurrent appearance of particular variants. Finally, we  
97 focus on the underlying mechanisms of mutation in PSC; it seems that PSC differ substantially from  
98 somatic cells in both their susceptibility and response to DNA damage, which may reflect the  
99 exigencies of cell proliferation in the early embryo.

100

## 101 **ACQUIRED GENETIC VARIATION**

### 102 **Karyotypic abnormalities**

103 Traditionally, routine screening of PSC lines for the identification of genetic changes has been  
104 performed mainly by cytogenetic and molecular methods that are capable of detecting numerical  
105 and structural aneuploidies rather than DNA sequence changes (Draper et al., 2004, Amps et al.,  
106 2011). Consequently, karyotypic abnormalities are the most comprehensively catalogued genetic  
107 changes in PSC to date. In taking stock of the reports of karyotypic abnormalities in PSC over the  
108 last two decades, it is clear that the aberrant PSC karyotypes can encompass virtually any type of  
109 an abnormality, including numerical aneuploidies, such as a whole chromosome gain (trisomy) or  
110 loss (monosomy), as well as structural aneuploidies, including interstitial duplications, deletions,  
111 inversions, amplifications and translocations (Draper et al., 2004, Amps et al., 2011, Taapken et al.,  
112 2011). That said, the distribution of chromosomal aberrations appears to be non-random and certain  
113 types of variants are more commonly seen (Taapken et al., 2011, Draper et al., 2004, Amps et al.,  
114 2011, Baker et al., 2016). The first apparent bias is towards gains rather than losses of chromosomal  
115 material. Indeed, it is estimated that over 70% of all karyotypic abnormalities reported in ESC  
116 represent whole or partial chromosome gains, whereas only around 20% of reported abnormalities  
117 are losses of chromosomes or chromosomal material (Baker et al., 2016). Losses of entire  
118 chromosomes are particularly rare, representing only around 2% of reported abnormalities in PSC  
119 cultures (Baker et al., 2016). The under representation of monosomies in PSC concurs with the  
120 observation that cells in general tolerate gains of genetic material more readily than losses (Torres  
121 et al., 2008). Both unbalanced and balanced translocations, i.e. with or without the overt net gain or  
122 loss of chromosomal material, respectively, have also been reported, but unlike in certain  
123 haematological malignancies, for example, no common recurrent translocations or fusion genes  
124 have so far been associated with variant PSC (Draper et al., 2004, Amps et al., 2011, Baker et al.,  
125 2016, Assou et al., 2020). On the other hand, some chromosomes are rarely, if ever, reported as  
126 gained or lost in PSC, including chromosomes 2, 4, 19 and 21 (Amps et al., 2011, Baker et al., 2016).  
127 Finally, most striking is the observation of a consistent pattern of chromosomes affected by  
128 aneuploidy in PSC, with the majority of detected aberrations in PSC karyotypes representing gains  
129 of the whole or fragments of chromosomes 1, 12, 17, 20 and X (Baker et al., 2007, Amps et al., 2011,  
130 Nguyen et al., 2013, Baker et al., 2016, Assou et al., 2020) (**Box 2**). Of these, a particularly insidious  
131 change is the frequent gain of a small, variable region of located near the centromere of the long  
132 arm of chromosome 20 (Lefort et al., 2008, Spits et al., 2008). Often this gain is below the resolution  
133 of G-band karyotyping but, for example, it was noted by SNP array analysis in over 20% of the cell  
134 lines in the ISCI study (Amps et al., 2011, Baker et al., 2016). That the same repertoire of  
135 aneuploidies is observed across different ESC and iPSC lines and across different laboratories  
136 world-wide (Amps et al., 2011) points to the enhanced fitness of such variant cells, likely due to an

137 increased expression of one or more of the genes located on amplified chromosomes (Enver et al.,  
138 2005, Ben-David et al., 2014).

139

#### 140 **Point mutations**

141 Point mutation screening has not yet become routine in PSC maintenance and, therefore, culture  
142 acquired nucleotide changes in these cells remain largely unexplored. A couple of, recent studies  
143 have investigated the presence and potential recurrence of cancer-related point mutations in PSC.  
144 Merkle *et al.* (Merkle et al., 2017) subjected the DNA from 140 ESC lines provided by different  
145 laboratories world-wide to whole exome sequencing. After filtering out inherited polymorphisms and  
146 focusing only on variants that were present in a subset of cells, as suspected culture-acquired  
147 mutations, 28 of the 263 mosaic variants detected across the 140 lines were predicted to alter gene  
148 function. Of these, the tumor suppressor gene *TP53* was the only gene in which mutations were  
149 detected in multiple cell lines, with six different missense mutations in five independent ESC lines.  
150 All of the identified *TP53* missense mutations affected cytosines of highly mutable CpG dinucleotides  
151 within four of the residues encoding the DNA-binding domain of the TP53 protein, therefore rendering  
152 the mutant TP53 protein inactive. Further *TP53* mutations in both ESC and iPSC were uncovered  
153 by leveraging RNA-sequencing datasets from public repositories (Merkle et al., 2017, Avior et al.,  
154 2019), thereby establishing *TP53* as a recurrently mutated gene in PSC. As the observed *TP53*  
155 mutations represent some of the most frequent mutations in cancer (Kandoth et al., 2013) and are  
156 also known to cause a familial cancer predisposition disorder, the Li-Fraumeni syndrome (Malkin et  
157 al., 1990, Srivastava et al., 1990), these findings have brought into focus the need for monitoring of  
158 PSC for culture-acquired *TP53* mutations.

159 Despite being the most prevalent, *TP53* mutations are not the only recurrent point mutations arising  
160 in cancer-related genes upon PSC expansion (Avior et al., 2019). Recently, recurrent point  
161 mutations were detected in at least 22 other genes that were previously classified within the COSMIC  
162 Census database as genes with a documented cancer-related activity, including *CCND2*, *PCM1*,  
163 *MYH9*, *HIF1A*, *BCL9* and *VHL* (Avior et al., 2019). Intriguingly, the mutational burden seems to differ  
164 between different pluripotent states; human PSC in the naïve state, representing the pluripotent state  
165 of the pre-implantation epiblast cells (Nichols and Smith, 2009), were estimated to carry four times  
166 more mutations than their primed counterparts, which correspond to the pluripotent state of the post-  
167 implantation epiblast (Avior et al., 2019). As the naïve samples analysed in this study were reset  
168 from primed PSC, rather than derived directly from embryos, the mutational load differences may  
169 not be intrinsic to different cell states, but may in fact reflect a substantial selection pressure imposed  
170 on the cells during resetting to naïve pluripotency. Supporting the latter view, the genes found to  
171 be mutated in the naïve cells were in pathways affected by chemical inhibitors used in resetting  
172 primed cells to the naïve state (Avior et al., 2019).

173 The studies by Merkle et al (2017) and Avior et al (2019) offered an important insight into the  
174 mutational landscape of PSC, albeit they focused on analysing a relatively small portion of the  
175 PSC genome (i.e. the exome, which represents only about 1% of the genome). Undoubtedly,  
176 uncovering the true extent and pattern of point mutations arising in PSC will require much larger,  
177 ideally longitudinal datasets, and scrutiny of PSC sequence changes at a genome-wide level.  
178 Important for this endeavour will be the implementation of next generation sequencing as a  
179 component of routine monitoring of PSC genomes. Currently, the turnaround time and cost of  
180 sequencing preclude its use as a routine screening method, but the ongoing technological  
181 developments, which are driving down the cost and the data processing time, make this a feasible  
182 prospect for the coming decade. Nonetheless, we must remain cognizant of the fact that the  
183 reliable detection of mutations is only the first step in handling culture-acquired genetic changes.  
184 A far more difficult hurdle is ascribing the functional meaning to the detected mutations, and  
185 predicting their potential impact for applications of human PSC.

186

## 187 **Consequences for applications of human PSC**

188 The close relationship between experimentally-derived PSC and EC cells, the malignant stem cells  
189 of teratocarcinomas, which occur predominantly as testicular germ cell tumors in young men  
190 (Andrews, 2002, Mostofi and Price, 1973, Damjanov and Solter, 1974), and the ability of PSC to  
191 produce teratomas when grown in immunodeficient mice, has always provoked concerns that cancer  
192 presents a significant safety hazard for PSC based regenerative medicine. However, it is important  
193 to recognize the distinction between teratomas and teratocarcinomas. Teratomas are tumors  
194 containing differentiated cells without any persisting PSC. By contrast, teratocarcinomas are tumors  
195 with the characteristics of teratomas that also contain undifferentiated PSC (**Figure 2**) (Damjanov  
196 and Andrews, 2007b, Damjanov and Andrews, 2016). Clinically, teratocarcinomas are highly  
197 malignant cancers, but they can also be effectively treated because PSC are exceptionally sensitive  
198 to the chemotherapeutic agent, Cis-Platinum (Einhorn and Donohue, 1977, Oosterhuis et al., 1984,  
199 Einhorn et al., 1981) as part of a standard treatment that also includes Bleomycin and Etoposide  
200 (Williams et al 1987). Although some PSC do produce teratocarcinomas in which undifferentiated  
201 PSC can be recognized histologically, or by outgrowths of PSC from explanted tumors (Andrews et  
202 al., 2005), many of the xenograft tumors derived from PSC are better classified as teratomas (Allison  
203 et al., 2018). It might be anticipated that variant PSC carrying mutations that enhance their  
204 proliferative potential and, perhaps, reduce their propensity to differentiate would be more likely to  
205 generate teratocarcinomas. Certainly, aneuploid PSC can produce teratocarcinomas (Andrews et  
206 al., 2005). Further, the transcriptomes of ESC carrying an extra copy of chromosome 12 clustered  
207 more closely with EC cells from germ cell tumors, which almost always exhibit a gain of the short  
208 arm of chromosome 12, while ESC with a gain of chromosome 12 were more likely to produce  
209 teratocarcinomas than the parent diploid cells from which they were derived (Ben-David et al., 2014).

210 On the other hand, in a recent ISCI study, albeit limited in scope, teratocarcinomas were produced  
211 by PSC without overt karyotypic abnormalities, whereas PSC with such variants, including gains of  
212 chromosome 12, produced teratomas, indicating no clear correlation between the formation of  
213 teratocarcinomas and the presence of overt karyotypic changes (Allison et al., 2018). These  
214 discrepancies point to the need for a more systematic study of the relationship between genotype  
215 and the ability of PSC to form teratocarcinomas rather than teratomas.

216 On the other hand, regenerative medicine applications depend upon transplantation of specific  
217 differentiated derivatives, not undifferentiated cells, so it is the possibility that genetic variants of PSC  
218 may cause a neoplastic transformation of their derivative differentiated cells that is the greater  
219 concern (**Figure 2**). Unfortunately, there is very little direct evidence upon which to draw any definite  
220 conclusions about the extent of the risks. The somatic elements in teratomas of the laboratory  
221 mouse are almost always benign and non-tumorigenic (Damjanov and Solter, 1974) and this may  
222 be generally true of human teratomas. However, pathologists with expertise in clinical gonadal  
223 teratocarcinomas do have concerns since in the human tumors, in contrast to those of the laboratory  
224 mouse, many of the differentiated elements such as neural tubes exhibit features of immaturity that  
225 may be regarded as potentially neoplastic (Damjanov and Andrews, 2016). Certainly, secondary  
226 somatic tumors derived from primary germ cell tumors have been found clinically, although they are  
227 very rare (Mostofi and Price, 1973). Experimental, PSC-derived teratomas often also contain  
228 primitive endodermal elements, which is a further concern since yolk sac carcinoma, representing  
229 malignant primitive endoderm, is a well-known clinical form of germ cell tumors of the newborn  
230 (Cunningham et al., 2012).

231 Although the relationship of malignant transformation of teratoma elements to particular genetic  
232 variants has not been established, some of the common karyotypic variants occurring in human PSC  
233 are also associated with other types of somatic cancer - for example, gains of the long arm of  
234 chromosome 17 with neuroblastoma (Plantaz et al., 1997) (**BOX 2**). Further, two of the genes  
235 associated with recurrent variants in human PSC, *TP53* (Merkle et al., 2017) and *BCL2L1*, the driver  
236 gene of the chromosome 20q amplicon (see below) (Avery et al., 2013), which derive their selective  
237 advantage for PSC from their anti-apoptotic functions, are associated with many cancers (Beroukhim  
238 et al., 2010, Hainaut and Hollstein, 1999). Although the driver genes of the other common recurrent  
239 variants of human PSC have yet to be identified, they provide a selective advantage because of their  
240 specific effects on the undifferentiated PSC and it is entirely possible that their effects on specific  
241 differentiated derivatives may be quite different. However, since many of the recurrent variants  
242 involve gains or losses of large chromosomal regions, it is also possible that other 'hitch-hiker' genes  
243 linked to the driver gene may also cause effects in the differentiated derivatives, separately from the  
244 effects of the driver genes on the undifferentiated PSC themselves.

245 Apart from cancer, the genetic variants of PSC have the potential to cause a wide range of effects  
246 on cellular physiology that could compromise the efficacy of derivative cells used in clinical



247 applications, or the production of such cells, or indeed the use of PSC in research, for example into  
248 disease mechanisms. Nevertheless, there has been very little systematic consideration of these  
249 issues. They were discussed by international key opinion leaders at a meeting of the International  
250 Stem Cell Initiative (ISCI), at the Jackson Laboratory in 2016 (Andrews et al., 2017), and again at a  
251 meeting hosted by Nature in London in 2018 (Technologies, 2018), while the Japanese regulatory  
252 authorities have issued some guidelines (Research and Development Division, Health Policy  
253 Bureau, The Ministry of Health, Labour and Welfare (MHLW),  
254 [http://www.nihs.go.jp/cbtp/sispsc/pdf/Eg.ver.Annex\\_0613-3\\_2016.pdf](http://www.nihs.go.jp/cbtp/sispsc/pdf/Eg.ver.Annex_0613-3_2016.pdf)). However, there is no  
255 international consensus about potential risk assessment and the ISCI meeting in 2016 suggested  
256 the establishment of an advisory group to collate information about the common genetic variants of  
257 PSC, including any evidence of their effects on cell behaviour, and linking that information to other  
258 cancer and disease related genomic databases. Meanwhile, the Nature meeting strongly  
259 recommended that researchers clearly document any genetic variants that may have been present  
260 in cells used for particular research, so providing the data for future retrospective analysis of their  
261 potential consequences. Certainly, as a minimum, the documentation should include appropriate  
262 characterisation of the karyotype of the cells, and also assessment of the chromosome 20 amplicon,  
263 given that these represent the most commonly observed genomic changes seen in these cells.

264

## 265 **SELECTION DRIVES THE APPEARANCE OF RECURRENT GENETIC VARIANTS**

### 266 **Growth advantage**

267 Although genetic variants may be occasionally fixed when PSC cultures are passed through a  
268 population bottleneck, such as cloning, the recurrence of specific mutations within PSC populations  
269 suggests that such genetic changes endow the variant cells with a selective growth advantage.  
270 Consistent with this, the proportions of variant cells in a culture typically increase over time from  
271 when they are first detected (Draper et al., 2004, Catalina et al., 2008, Imreh et al., 2006). Similarly,  
272 in experiments designed to recapitulate the takeover of cultures by variant clones, co-mixing a small  
273 proportion of commonly occurring variants with their wild-type counterparts led to a gradually  
274 increased representation of variant cells in subsequent passages until they eventually dominated  
275 the cultures (Olariu et al., 2010, Avery et al., 2013). Commonly, a variant may be first detected when  
276 it constitutes around 5 – 10% of the cells in a culture, rising rapidly to 100% in as few as 5 passages.  
277 Based on these longitudinal evaluations, the takeover of PSC cultures by variant cells has been  
278 likened to Darwin's principle of natural selection, whereby the variant PSC that are best adapted to  
279 particular selective conditions outcompete their neighbours and populate cultures with their own  
280 progeny. The specific phenotypic features associated with genetic variants hold clues as to the  
281 selective pressures operating in PSC cultures, the reduction of which is key to minimising the  
282 appearance of genetic variants in expanding PSC populations.

283 In principle, genetically variant PSC could gain a selective advantage by acquiring one or several of  
284 the following features: a proliferative advantage underpinned by faster cell cycle time, a decreased  
285 rate of differentiation, or altered pattern of differentiation, or an increased rate of survival (**Figure 3**).  
286 Indeed, a number of studies have reported that such traits typify variant cells harbouring the  
287 commonly acquired aneuploidies. For example, the growth advantage of trisomy 12 PSC was  
288 attributed mainly to their significantly reduced cell cycle time, although the variant cells also displayed  
289 an increased resistance to apoptosis and a reduced tendency for differentiation (Ben-David et al.,  
290 2014).

291 With regard to the reduced propensity for differentiation, no studies have so far reported a total block  
292 of variant ESC or iPSC to differentiation, although nullipotent EC cells are well known in the context  
293 of testicular germ cell tumors (Andrews et al., 1980, Andrews et al., 1982). Rather, either a reduced  
294 differentiation capacity (Fazeli et al., 2011) or a delayed differentiation dynamic (Werbowsky-  
295 Ogilvie et al., 2009) compared with wild-type cells has been observed. In some instances,  
296 genetically variant PSC appeared to yield alternative cell types to wild-type cells exposed to the  
297 same set of differentiation conditions. For example, the same differentiation protocol applied to wild-  
298 type PSC and variants with a gain of the long arm of chromosome 17 resulted in mesodiencephalic  
299 dopaminergic neurons or dorsal telencephalic neurons, respectively (Lee et al., 2015). Given that  
300 this gain entails amplification of a large chromosomal region and, hence, increased expression of  
301 most of the genes in that region (Enver et al., 2005), it is easy to envision that such extensively  
302 altered gene and protein expression profiles could include changes that skew the differentiation  
303 trajectory of cells. In this case, the skewed differentiation was attributed to an increased expression  
304 of *WNT3* and *WNT9B* genes localized in the amplified part of chromosome 17 (Lee et al., 2015). In  
305 another case, it has been reported that BCL-XL over expression perturbs SMAD and TGF $\beta$  signalling  
306 in PSC with the chromosome 20q11.21 gain, resulting in impaired neurectoderm differentiation  
307 (Markouli et al., 2019). Although the altered propensity for differentiation of variant cells may be a  
308 mere consequence of hitch-hiker genes rather than the driver of their growth advantage, the  
309 converse may also hold true if the differentiation process itself exerts selection on the differentiating  
310 cells. For example, in one study, cardiac differentiation favoured cells with a gain of the long arm of  
311 chromosome 20 (Laurent et al., 2011) whereas in another report Merkle et al (Merkle et al., 2017)  
312 noted an enrichment of mutant *TP53* cells upon PSC differentiation. In both cases, a variant PSC  
313 population was already present in the starting cultures prior to differentiation, but it is also possible  
314 that variant cells may arise and be selected during the differentiation process itself.

315 Although faster cell cycle and altered differentiation have been associated with some of the recurrent  
316 variants, resistance to apoptosis seems to be a frequent feature of variants commonly detected in  
317 PSC cultures. This is, perhaps, not surprising given that marked sensitivity to apoptosis represents  
318 one of the notable features of early-passage diploid PSC. Excessive cell death is particularly  
319 prominent when PSC are grown at a low cell density (Ohgushi et al., 2010), a condition under which

320 single PSC are confronted with a series of bottlenecks preventing their clonal growth (Barbaric et al.,  
321 2014). At the molecular level, propensity for apoptosis has been explained by a low apoptotic  
322 threshold of PSC, governed by low expression levels of anti-apoptotic proteins and high expression  
323 levels of pro-apoptotic proteins (Liu et al., 2013). In addition to preferential expression of pro-  
324 apoptotic factors, PSC store a constitutively active pro-apoptotic factor BAX in the Golgi (Dumitru et  
325 al., 2012). This effectively primes PSC for a rapid apoptotic response to appropriate cues. Apart  
326 from hampering the efficient scale up of PSC, the severe reduction in cell numbers during culture  
327 clearly creates conditions for selection of genetically variant cells capable of blunting the apoptotic  
328 pathways (Avery et al., 2013, Merkle et al., 2017).

329 The emergence of variant cells in PSC cultures inevitably entails interactions of variants with their  
330 wild-type counterparts, as the two populations share their environment and some of their cell-cell  
331 contacts. The nature of these interactions can determine the fate of wild-type cells in a non-cell  
332 autonomous manner, thereby impacting on the dynamics of the variant's overtake of cultures (**Figure**  
333 **3**). Some of the commonly occurring PSC variants were shown to suppress the growth of wild-type  
334 populations by inducing apoptosis in their neighbouring wild-type cells (Price et al., 2019), in a  
335 manner similar to the phenomenon of cell competition described in other model systems (Bowling et  
336 al., 2019). In PSC cultures, a differential sensitivity of wild-type and variant PSC to mechanical  
337 pressures imposed by cell crowding allowed variants to effectively eliminate wild-type cells from  
338 mixed cultures, therefore enhancing the ability of variants to rapidly achieve the clonal dominance  
339 (Price et al., 2019). Therefore, consideration of cell interactions, in addition to cell autonomous  
340 mechanisms, is needed in developing effective strategies for prevention of growth supremacy of  
341 variant cells.

342

### 343 **Driver genes**

344 The simplest working hypothesis to account for the recurrent selection of a particular chromosomal  
345 variant is that it is the altered expression of a single 'driver' gene located in the variant region that  
346 provides a growth advantage by altering a cell's behaviour in response to proliferation, differentiation  
347 or cell death cues (**Figure 3**). It is, of course, possible that interaction of multiple linked genes in a  
348 particular chromosomal rearrangement, or indeed alterations to the chromatin architecture itself,  
349 may be responsible. Nevertheless, most studies have focused on seeking a single driver gene.

350 Often the size of the genomic region affected is too large to home in on a likely candidate, but in the  
351 case of amplifications affecting chromosome 20, a common minimal amplicon of 0.55Mb was  
352 identified in the pericentromeric region of the long arm in all reported examples (Amps et al., 2011).  
353 Within this minimal amplicon, containing only thirteen annotated genes, *BCL2L1* was a likely  
354 candidate driver gene as its anti-apoptotic splice variant, BCL-XL, is expressed in human PSC (Amps  
355 et al., 2011). Experiments in which cells carrying a gain chromosome 20, or that had been  
356 transfected with a *BCL2L1*-over expressing vector, were mixed with diploid cells, confirmed that

357 *BCL2L1* and its BCL-XL product was indeed the driver providing a selective growth advantage by  
358 blocking apoptosis (Nguyen et al., 2013, Avery et al., 2013).

359 Like chromosome 20, a common minimal amplicon has also been identified on the long arm of  
360 chromosome 1 (Baker et al., 2016)(E. McIntire et al, International Society for Stem Cell Research  
361 Meeting abstract). A likely candidate driver gene located in this region is *MDM4*, which regulates  
362 p53 by suppressing its response to cellular stresses and increasing the threshold to apoptosis (Haupt  
363 et al., 2019). Since recurrent dominant negative mutations of *TP53* provide a growth advantage to  
364 human PSC (Merkle et al., 2017) it is likely that dysregulation of other genes, such as *MDM4*, that  
365 affect apoptosis through p53 would confer a similar growth advantage. On the long arm of  
366 chromosome 17, another anti-apoptotic gene, *SURVIVIN (BIRC5)*, encoded in the chromosome  
367 17q25.3 region, has been proposed since its inhibition leads to apoptosis of human PSC and cancer  
368 cells (Blum et al., 2009, Mesri et al., 2001, Ma et al., 2006, Yang et al., 2004). On the other hand,  
369 other candidate genes encoded on chromosome 17 include *WNT3* and *WNT9B*, suggested by their  
370 involvement in the altered patterns of differentiation of cells carrying a gain of the long arm of  
371 chromosome 17 (Lee et al., 2015).

372 Interest in gains of chromosome 12 has a long history since testicular germ cell tumors almost always  
373 have a gain of the short arm, mostly as an isochromosome (Atkin and Baker, 1982), or more rarely  
374 as an interstitial amplification (Rodriguez et al., 2003, Korkola et al., 2006). However, there is no  
375 definitive evidence to identify the specific driver gene, either for the progression of germ cell tumors,  
376 or for the appearance of variant human PSC with a gain of chromosome 12. An obvious candidate  
377 driver gene on the short arm of chromosome 12 is *NANOG*, given its central role in maintaining  
378 pluripotency, and that its over-expression inhibits differentiation (Chambers et al., 2007). Also, over  
379 expression of *NANOG* does allow human ESC to efficiently form colonies at low density, which is  
380 normally associated with extensive apoptosis, perhaps mediated by downregulating *LECTIN1*, which  
381 normally promotes apoptosis, and upregulating *HSPA1A*, which inhibits apoptosis (Darr et al., 2006).  
382 Indeed, *NANOG* is located in a minimal amplicon that has been identified in germ cell tumors,  
383 chromosome 12p13.31, but so are two other genes, *DPPA3* and *GDF3*, that also may affect the  
384 behaviour of human PSC (Korkola et al., 2006). However, a different minimal amplicon has also  
385 been reported in human germ cell tumors, at 12p11.2–p12.1, in which a number of other genes have  
386 been highlighted, such as the oncogene, *KRAS* (Rodriguez et al., 2003).

387 Although less frequent, deletions may promote enhanced survival through copy number loss of pro-  
388 apoptotic genes. The BCL-2 apoptotic pathway is controlled by interactions between pro- and anti-  
389 apoptotic protein family members. Human ESC show elevated expression of the pro-apoptotic  
390 genes *NOXA*, *BIK*, *BIM*, *BMF* and *PUMA*, which may contribute to their low apoptotic threshold  
391 (Madden et al., 2011, Liu et al., 2013, Dumitru et al., 2012). The two of these most highly expressed  
392 in human PSC, *NOXA* and *BIK*, are located in chromosomal regions, 18q21.32 and 22q13.2, that do  
393 undergo recurrent deletion. Deletion of *NOXA* by genetic manipulation decreases the sensitivity of

394 human PSC to mitotic errors, thereby increasing the survival of aneuploidy cells (Zhang et al., 2019),  
395 and improves survival during cell dissociation, similar to the overexpression of the anti-apoptotic  
396 proteins, BCL-2 and BCL-XL (Ohgushi et al., 2010, Ardehali et al., 2011).

397

## 398 **ACQUISITION OF MUTATIONS**

### 399 **Mutation Rate in PSC**

400 Whereas the mechanisms by which genetic variants offer cells a selective growth advantage are  
401 relatively easy to assess and have been extensively studied, addressing the mechanisms that drive  
402 the appearance of the variants in the first instance is more problematic: Mutations occur  
403 stochastically and at low frequency in single cells within much larger populations, so that by the time  
404 they become detectable the frequency of mutation may have been grossly distorted by the effects of  
405 selection. To overcome this problem, we recently adopted a clonogenic strategy in which a single  
406 cell was isolated and allowed to expand as a clonal colony for a fixed time, after which the clone was  
407 subcloned, with about 20 subclones being isolated and, after expansion, subjected to whole genome  
408 sequencing (Thompson et al., 2020). Using this approach, in which most of the mutants that arose  
409 were in genes and locations unlikely to result in growth advantage or disadvantage, we estimated  
410 the mutation rate of two human, clinical grade ESC lines, MShef4 and MShef11, as  $0.37 \times 10^{-9}$  and  
411  $0.28 \times 10^{-9}$  SNVs per base pair, per day, respectively, equating to approximately  $0.30 \times 10^{-9}$  and  
412  $0.23 \times 10^{-9}$  SNVs per cell division, respectively, given that the cell cycle time of human PSC, in our  
413 experience, approximates 20 hours (+/- 2 hours) (Barbaric et al., 2014). This rate was not affected  
414 by the use of the Rho associated coiled coil containing protein kinase (ROCK) inhibitor, Y-27632,  
415 commonly used in human PSC culture (Watanabe et al., 2007) The frequency of INDELS was 10-  
416 fold lower. These low rates are comparable with another study of human iPSC in which the mutation  
417 rate was estimated to be  $0.18 \times 10^{-9}$  SNV per base-pair, per cell division which was considerably  
418 lower than in the endothelial progenitor cells (Rouhani et al., 2016). These mutation rates in human  
419 PSC contrast with an estimated rate of  $2.66 \times 10^{-9}$  mutations per base pair, per mitosis in somatic  
420 cells (Milholland et al., 2017). In another more limited study of a human ESC line, a slightly higher  
421 mutation rate of  $1 \times 10^{-9}$  SNV per base-pair, per cell division, but again this was much lower than an  
422 estimate of a corresponding somatic cell in the same study (Kuijk et al., 2018), while in a study of a  
423 single locus, *Aprt*, in mouse ESC, the mutation rate was estimated to be 10 fold lower than in  
424 corresponding somatic cells (Cervantes et al., 2002). These low rates are consistent with the  
425 infrequency of recurrent point mutations observed in PSC lines: for example Merkle et al (2017)  
426 (Merkle et al., 2017) only observed mutations in TP53 in five out of 140 human PSC lines.

427 In our study of the MShef4 and MShef11 human ESC lines (Thompson et al., 2020), the mutation  
428 rate was similar across all chromosomes, with no obvious hotspots, with the exception of a slightly  
429 raised rate on the X chromosome, which might have been a consequence of both lines being male.  
430 Nevertheless, the mutation rate was significantly higher in intergenic regions than in exons and

431 introns, suggesting an influence of chromatin structure on mutation. Further, the predominant  
432 mutation signatures that we detected were consistent with oxidative damage being the predominant  
433 cause of mutation and, indeed, the mutation rate for both SNV and INDELS was reduced by about  
434 50% when the cells were maintained under low (5%) oxygen atmospheres.

435

#### 436 **DNA Replication Stress and Mitotic Errors**

437 While many SNV in PSC, as in other cultured cells (Petljak et al., 2019, Kucab et al., 2019, Viel et  
438 al., 2017), are caused by misincorporation of bases due to oxidative stress, the relatively rapid cell  
439 cycle of PSC might also expose them to high levels of DNA replication stress, characterised by  
440 reduced rates of DNA replication together with stalling and collapse of replication forks (Bartkova et  
441 al., 2005, Gorgoulis et al., 2005). Errors in the repair of resulting double stranded DNA breaks could  
442 then lead to chromosomal rearrangements (Cannan and Pederson, 2016). Self-renewal of human  
443 PSC is characterised by an abbreviated G1 phase that bypasses the RB1-E2F checkpoint due to  
444 the high expression of cyclin D2 and its CDK4 partner together with the constitutive expression of  
445 cyclin E, which together maintain RB1 in a hyperphosphorylated and inactive state (Becker et al.,  
446 2006, Becker et al., 2010, Filipczyk et al., 2007). Using DNA fibre assays we have recently found  
447 that, in comparison to isogenic somatic cells, human PSC do exhibit the features of DNA replication  
448 stress, including slower DNA replication speeds with evidence of stalled replication forks, and  
449 replication initiating from quiescent replication origins (**Figure 4**) (Halliwell et al., 2019). In parallel  
450 we also observed more extensive replication-associated DNA damage in the PSC compared to  
451 somatic cells, as has also been reported by others (Simara et al., 2017, Vallabhaneni et al., 2018).

452 A similar situation pertains in many cancers where cyclin E is frequently over-expressed and RB1-  
453 E2F is constitutively activated (Akli and Keyomarsi, 2003). One of the consequences of this is  
454 replication stress, double stranded breaks and genetic instability (Bester et al., 2011, Burrell et al.,  
455 2013, Frame et al., 2006, Pickering and Kowalik, 2006). In mouse ESC, also, molecular hallmarks  
456 of replication stress are almost identical to those observed when oncogenes, such as cyclin E, are  
457 dysregulated in somatic cells (Ahuja et al., 2016), suggesting that atypical cell cycle control with  
458 consequent susceptibility to DNA replication stress and genomic damage in PSC parallels the  
459 oncogene-induced DNA damage model for cancer development and progression (Halazonetis et al.,  
460 2008).

461 Replication stress induced from oncogene expression can lead to nucleotide deficiency and collision  
462 of replication forks with transcription complexes (Jones et al., 2013, Bester et al., 2011).  
463 Supplementing cancer cells or primary cell lines that overexpress oncogenes, such as cyclin E, with  
464 nucleosides has been found to alleviate replication stress and its associated DNA damage and  
465 genetic instability in these cases (Bester et al., 2011, Burrell et al., 2013). In a similar manner, we

466 have recently found that exogenous nucleosides increase the rate of replication fork progression and  
467 decrease DNA damage in human PSC cultures (**Figure 4**) (Halliwell et al., 2019).

468 While chromosomal non-dysjunction and numerical instabilities may be the product of merotelic  
469 kinetochore attachment, in which the microtubules from both poles bind to the same sister chromatid,  
470 leading to lagging and potential mis-segregation of chromosomes (Cimini et al., 2001), the  
471 persistence of DNA replication defects from S phase into mitosis can also result in the formation of  
472 mitotic errors that are a source of chromosomal instabilities (Burrell et al., 2013). Under-replicated  
473 regions can interlink sister chromatids during segregation forming anaphase bridges that are prone  
474 to breakage forming double stranded breaks (Chan et al., 2009). Often, to prevent anaphase  
475 bridges, nucleases cleave the DNA that again generates double stranded breaks (Naim et al., 2013).  
476 Further, the condensation of chromosomes that harbour replication intermediates are particularly  
477 prone to breakage (Lukas et al., 2011). These double stranded breaks that result from replication  
478 intermediates in mitosis are the substrates for genetic instability caused by error induced repair.

479 By fluorescently labelling human PSC with histone H2B-mCherry it was observed that 30% of  
480 mitoses were abnormal including a high proportion with lagging chromosomes and anaphase bridges  
481 (Zhang et al., 2019), a level substantially higher than that observed in somatic cell lines (Lamm et  
482 al., 2016). In comparison to somatic cell lines, diploid human PSC show condensation defects that  
483 result in partially condensed and entangled chromosomes (Lamm et al., 2016). Supplementing  
484 cultures with exogenous nucleosides alleviated replication stress and decreased the frequency of  
485 mitotic errors, providing further evidence that these are linked in human PSC (Halliwell et al., 2019),  
486 as well as providing an approach to reducing their appearance in PSC cultures. However, it should  
487 be noted that the continued occurrence of mitotic errors, even with the addition of nucleosides  
488 suggests that there are other factors driving their occurrence.

489

#### 490 **Response to genomic damage**

491 Human PSC deploy a number of mechanisms to minimise the effective mutation rate that otherwise  
492 might be anticipated from their high susceptibility to DNA damage. Genes involved in various repair  
493 pathways show increased expression compared to somatic cells (Maynard et al., 2008, Momcilović  
494 et al., 2009), and nucleotide excision repair, base excision repair, and the resolution of inter-strand  
495 crosslinks caused by ionising radiation all have all been reported to be faster in human PSC than  
496 somatic cell lines (Luo et al., 2012, Hyka-Nouspikel et al., 2012, Maynard et al., 2008). PSC also  
497 tend to repair double strand break using homologous recombination, which is prone to less errors  
498 than non-homologous end joining (Adams et al., 2010a), although they do also utilise a higher fidelity  
499 system of non-homologous end joining that is independent of DNA-PKc and ATM (Adams et al.,  
500 2010b). Further, in response to the formation of reactive oxygen species as a by-product of  
501 respiration, and consequent oxidative stress, PSC express higher levels of SOD2 and GPX2 anti-  
502 oxidant enzymes compared to differentiated lines (Saretzki et al., 2008).

503 Nevertheless, human PSC generally activate apoptosis when exposed to lower doses of genotoxic  
504 insults than do somatic cells, suggesting that a low apoptotic threshold is the key element in their  
505 response to genomic damage. After human PSC are exposed to ultraviolet C radiation to induce  
506 nucleotide base adducts or DNA breaks they respond with extensive apoptosis even at mild doses  
507 that have little effect on somatic cell lines (Hyka-Nouspikel et al., 2012, Luo et al., 2012, Simara et  
508 al., 2017). Similarly, the treatment of human PSC with cis-platinum or thymidine to initiate replication  
509 block (Desmarais et al., 2012, Desmarais et al., 2016), or with nocadazole to induce mitotic block  
510 (Zhang et al., 2019) also elicits an extensive apoptotic response in contrast to the response by  
511 somatic cells, while PSC also efficiently activate apoptosis in response to oxidative stress (Saretzki  
512 et al., 2008). The particular sensitivity of embryonal carcinoma cells, the malignant PSC of  
513 teratocarcinomas, to drugs such as cis-platinum (Oosterhuis et al., 1984, Einhorn and Donohue,  
514 1977), a DNA cross linking agent, makes germ cell tumors one of the most treatable forms of solid  
515 cancer, most likely reflecting this particular low apoptotic threshold.

516 An atypical cell cycle checkpoint control mechanism most likely underlies the low apoptotic threshold  
517 of human PSC. In response to DNA damage, human PSC fail to activate p21, which is normally  
518 required to execute the G1/S checkpoint, providing less time for repair before apoptosis is initiated  
519 in a p53 dependant manner (Hyka-Nouspikel et al., 2012, Hong and Stambrook, 2004, Momcilović  
520 et al., 2009). Further, in response to DNA replication stress caused by high levels of thymidine, or  
521 the presence of cis-platinum, human PSC, unlike somatic cells, do not activate ATR-CHK1, while  
522 foci of RPA, which binds to single stranded DNA at stalled replication forks, are not formed: instead  
523 the cells commit to apoptosis (Desmarais et al., 2012, Desmarais et al., 2016). Human PSC also  
524 undergo extensive apoptosis in response to mitotic stress which may safeguard the genome from  
525 abnormal mitosis by clearing the effected cell from the cell pool (Zhang et al., 2019). Collectively,  
526 these studies support a model in which genomic stability, and the particularly low observed mutation  
527 rate of PSC is primarily maintained by a low apoptotic threshold. Consequently, blocking apoptosis  
528 seems to be the most likely mechanism that provides selective growth advantage for the common  
529 genetic variants found in human PSC: the two driver genes so far identified, *TP53* and *BCL2L1*, both  
530 act to inhibit apoptosis, while other proposed candidates, MDM4 and SURVIVIN, are also anti-  
531 apoptotic.

532 This low apoptotic threshold of human PSC may reflect their relationship to the early embryo in which  
533 the need for rapid cell doublings is accomplished by the lack of cell cycle checkpoints, rendering the  
534 cells particularly susceptible to errors in DNA synthesis and mitosis, which could be catastrophic for  
535 subsequent embryonic development. Indeed, almost half of human embryos fail to survive due to  
536 chromosomal instability, which does not seem to be a mere artefact of *in vitro* fertilisation (van  
537 Echten-Arends et al., 2011, Munné et al., 2019, Starostik et al., 2020). It has been observed that  
538 the mosaic embryos that survive to the blastocyst stage undergo “genetic normalization” when  
539 cultured under routine IVF conditions (Brezina et al., 2011). The mechanism of genetic normalization



540 is still widely debated although, in the mouse, activation of apoptosis during the later pre-implantation  
541 stages may allow for the removal of aneuploid cells from the developing embryo (Kops et al., 2004,  
542 Bolton et al., 2016). This model is supported by observations that the proportion of aneuploidy in  
543 the inner cell mass is reduced, whereas in the trophectoderm it is enriched as development proceeds  
544 (Hardy, 1997). However, this is still widely debated as apoptosis is a feature of all embryos, and  
545 may be a mechanism for maintaining cellular homeostasis regardless of their genomic state (Haouzi  
546 and Hamamah, 2009).

547 Another context in which the apoptotic response of human PSC to DSB induction is of central  
548 importance, is the process of genome editing. The rising prominence of genome editing  
549 technologies, in particular CRISPR/Cas9-based methods, has fuelled efforts aimed at, for example,  
550 correcting germline mutations in PSC to allow autologous cell therapy, or removing HLA antigens to  
551 reduce the need for immunosuppressants in transplanted patients. Crucially, as gene editing relies  
552 on the induction of DSB by nucleases, the edited PSC undergo high levels of apoptosis in  
553 comparison to their unedited counterparts (Ihry et al., 2018). The rate of cell death was shown to be  
554 similar between different, edited PSC, regardless of whether the targeted gene was expressed in  
555 PSC or whether it was non-expressed and dispensable for PSC maintenance. This observation  
556 supports the view that the induction of DSB during the gene editing process commits PSC to  
557 apoptosis (Ihry et al., 2018). Mechanistically, DSB induction by Cas9 was shown to trigger  
558 differential gene expression in edited cells, most notably by promoting the TP53 transcriptional  
559 response (Ihry et al., 2018). In line with the importance of TP53 activation during the genome editing  
560 process, performing the PSC genome editing in cells with genetically inactivated *TP53* reduced the  
561 levels of cell death and improved the efficiency of PSC genome editing (Ihry et al., 2018). While  
562 genetic inactivation of TP53 is deemed too risky for editing of cells destined for clinical use, transient  
563 TP53 inactivation has been proposed as a possible alternative (Schiroli et al., 2019). Further work  
564 will need to carefully address this possibility, to ensure that the transient TP53 inactivation does not  
565 inadvertently select for *TP53* genetic mutants. Relevant to this notion is the recent data  
566 demonstrating the emergence of *TP53*-inactivating mutations in cancer cell lines during the  
567 CRISPR/Cas9 genome editing process (Enache et al., 2020).

568

## 569 **CONCLUSIONS**

570 Efforts to collect and catalogue genetic variation in PSC over the last two decades demonstrated  
571 that particular variants do arise in cultures and are sometimes difficult to detect because of the  
572 limitation of sensitivity of detection methods. Nonetheless, it is reassuring that the rate of mutation  
573 in PSC cultures is low compared with somatic cells. Indeed, based on the data from large-scale  
574 retrospective studies, such as the ISCI (Amps et al., 2011), and on the direct measurements of the  
575 mutation rates in PSC (Thompson et al., 2020), there is no evidence to suggest that PSC genomes  
576 are particularly unstable. Rather, the clonal expansion of genetic variants against the backdrop of

577 low mutation rates, can be explained by the effect of selective pressures operating in PSC cultures.  
578 Optimizing culture conditions and protocols to minimize the growth advantages of the common  
579 variants is, therefore, a key route to maintaining the genetic integrity of PSC lines. However, the  
580 predominant selective force dominating PSC cultures appears to be a high rate of apoptosis (Dumitru  
581 et al., 2012, Barbaric et al., 2014). Apoptosis seems to be a default fate choice of PSC in many  
582 different scenarios, including a response of cells to genome damage or mitotic stress (Desmarais et  
583 al., 2012, Desmarais et al., 2016, Dumitru et al., 2012, Zhang et al., 2019), most likely reflecting its  
584 function of maintaining the genetic integrity of the early embryo. Consequently, optimising culture  
585 conditions should entail removing the apoptotic stimuli, but not blocking apoptosis *per se* which  
586 would be counterproductive.

587 Armed with a knowledge of recurrent karyotypic and sequence changes, our attention now needs to  
588 turn to finding ways of minimizing their occurrence by lowering the genome damage and reducing  
589 the selective pressures. In that respect, the observations that mutation rates can be decreased by  
590 growing cells under low oxygen (Thompson et al., 2020) and that replication-stress induced genome  
591 damage can be alleviated by addition of exogenous nucleosides (Halliwell et al., 2019) provide  
592 foundations for optimised culture conditions of PSC. Further work should also address the  
593 contribution of epigenetic variants to aberrant PSC phenotypes, as the understanding of epigenetic  
594 variation in PSC cultures remains limited.

595 Finally, the field awaits deciphering of the functional consequences of the karyotype and sequence  
596 changes on PSC traits and on the behaviour of their differentiated derivatives. Interpreting the role  
597 of specific variants is complicated by the fact that their consequences are likely to be context-  
598 dependent. For example, a mutation in a gene expressed specifically in an endodermal lineage may  
599 have little impact on the clinical application of neuronal cells. To aid these analyses, ISCI is  
600 proposing an international study group to collate and monitor evidence of genetic variants in PSC  
601 and their potential consequences (Andrews et al., 2017). However, it should be noted that the  
602 success of this approach requires a concerted effort within the field to perform routine monitoring  
603 and report the presence of genetic variants, thereby allowing retrospective analyses of their effects.  
604 We envisage that these initiatives, in a synergy with cancer genome efforts, would provide a rational  
605 strategy to assess the potential risk of different mutations, a necessary requirement for routine, safe  
606 clinical implementation of cellular therapies.

607

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1056 **FIGURE LEGENDS**

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1058 **Figure 1: Appearance of mutations in human PSC involves both mutation and**  
1059 **selection.**

1060 Human PSC are subject to the full range of mutations seen in other systems, including single  
1061 base changes and small insertions and deletions (INDELS) (Thompson et al., 2020), as well  
1062 as larger scale genomic rearrangements with gains or losses of whole chromosomes or  
1063 chromosomal fragments that alter the number of copies of whole sets of genes, and  
1064 consequently their levels of expression (Enver et al., 2005). The most commonly seen  
1065 chromosomal rearrangements are illustrated. Generally, in the absence of a clonogenic  
1066 bottleneck, these mutations will never be detected unless they offer the mutant cell a  
1067 selective growth advantage (Olariu et al., 2010).

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1069 **Figure 2: Nature of tumors derived from pluripotent stem cells**

1070 PSC produce tumours that may contain both undifferentiated PSC together with their  
1071 differentiated derivatives, in which case the tumor is termed a 'Teratocarcinoma' and is  
1072 regarded as highly malignant. If the PSC fully differentiate, so that the tumor contains only  
1073 differentiated derivatives, it is termed a 'Teratoma' and is generally regarded as benign and  
1074 not malignant (Damjanov and Andrews, 2007a, Damjanov and Andrews, 2016). However,  
1075 a caveat is that some of the differentiated derivatives may develop into a secondary  
1076 malignancy corresponding to their particular cell type, and this may be driven by a mutation  
1077 present in the parent PSC. In addition to somatic derivatives, PSC may also generate  
1078 primitive endoderm elements which are precursors of highly malignant yolk sac carcinomas

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1080 **Figure 3: Mechanisms of Variant Growth Advantage**

1081 A variety of mechanisms can be envisaged by which variant cells could gain a growth  
1082 advantage over wild type cells. **Cell autonomous mechanisms:** mutation **(a)** drives faster  
1083 cell cycle, or **(b)** blocks differentiation, or **(c)** blocks apoptosis. **Cell interactive**  
1084 **mechanisms:** mutation **(d)** causes variant cell to inhibit the growth of its wild-type  
1085 counterpart (Price et al., 2019) or **(e)** alters the patterns of differentiation. The latter could  
1086 generate a selective advantage either if certain differentiated derivatives produced factors  
1087 that promote differentiation (advantage would derive from blocking such lineages) or  
1088 produced factors that blocked general differentiation (advantage would derive from  
1089 enhancing differentiation to such lineages).

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1091 **Figure 4: Overview of the origins of mutation in human PSC. a)** Somatic cellular  
1092 proliferation occurs predominantly without replication stress, allowing for faithful cell division.  
1093 However, should replication stress occur, somatic cells can respond with cellular  
1094 senescence, apoptosis or DNA repair. **b)** Human pluripotent stem cells proliferate rapidly  
1095 and are susceptible to replication stress, DNA damage and mitotic errors. However, they  
1096 display a low mutation rate, which is reconciled by responding to genetic stresses with  
1097 apoptosis and efficient DNA repair. **c)** Culture of human PSC with exogenous nucleoside  
1098 alleviates replication stress, DNA damage and mitotic errors. Alleviating genetic stress  
1099 minimises apoptosis, enhancing growth rate and removing the selective advantage of anti-  
1100 apoptotic mutations.

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## 1105 **BOX 1. Detection of Genetically Variant Cells**

1106 Routine monitoring of PSC cultures for the appearance of genetic variants is essential: the proportion  
1107 of some common variants in a culture can rise from undetectable to 100% within 5 -10 passages  
1108 (Olariu et al., 2010). Different screening methods have different advantages and limitations,  
1109 including the minimum proportions of variant cells that can be detected in mosaic populations, and  
1110 whether prior knowledge of the variant to be detected is required. Other factors to consider are the  
1111 types of variant detectable, cost, speed and whether specialist facilities and expertise are required.  
1112 At a minimum we would recommend regular screening of cultures for common variants using the  
1113 PCR method, which is rapid and can easily be carried out in most laboratories, backed up at key  
1114 decision points in specific experiments with more in-depth analysis using other methods as  
1115 appropriate.

- 1116 • **Karyotyping by G banding:** Indiscriminate view of structural and numerical chromosomal  
1117 abnormalities greater than 5Mb (Steinemann et al., 2013). Limited to analysis of proliferating  
1118 cells, but the only convenient method to detect balanced genomic rearrangements.  
1119 Sensitivity and precision can be enhanced by use of fluorescent hybridization probes.  
1120 Minimum mosaicism detected: 18% (routine analysis of 30 metaphase spreads), 6%  
1121 (analysis of 100 metaphase spreads) (Baker et al., 2016).
- 1122 • **Array Comparative Genome Hybridisation (aCGH) and SNP array:** Global screening  
1123 detects copy number variants down to 1Kb. Minimum mosaicism detected: 10-15% for small  
1124 copy number variants. (Valli et al., 2011).
- 1125 • **Interphase Fluorescent In Situ Hybridisation (FISH):** Hybridisation of fluorescent probes  
1126 to highly complementary nucleic acid sequences in interphase cells. Requires prior  
1127 knowledge of the copy number variant to be detected. The presence of false negatives  
1128 dependent upon the type of variant restricts the sensitivity of detection: Minimum mosaicism  
1129 detected depends upon the number of nuclei assessed and the size of the CNV: ~5% is  
1130 possible for gains of whole chromosome arms, with 100 nuclei assessed, but this falls  
1131 markedly for smaller CNV (Baker et al., 2016).
- 1132 • **qPCR based assays:** Rapid method suitable for any well-equipped molecular cell biology  
1133 laboratories. Requires prior knowledge of the CNV to be detected. Minimum mosaicism  
1134 detected: ~5% (Baker et al., 2016).
- 1135 • **Expression karyotyping:** Regions of CNV are detected based on their global gene  
1136 expression from RNA-seq data in comparison to a diploid calibrator sample. Requires no  
1137 prior knowledge of the CNV to be detected, although it is only capable of detecting  
1138 chromosomal aberrations greater than ~10Mb with a sensitivity of ~30% (Maysnar et al.,  
1139 2010).

- 1140       • **eSNP Karyotyping:** An adaptation of expression karyotyping with allele bias. This approach  
1141 does not require a diploid calibrator sample for CNV detection. Resolution of eSNP  
1142 karyotyping depends on the genomic region and sequencing depth, yet it comfortably  
1143 detected amplifications to entire chromosomes or chromosome arms. The eSNP  
1144 karyotyping approach shows comparable sensitivity to other DNA based array approaches  
1145 (~30%) (Weissbein et al., 2016).
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## BOX 2: Features of common genetic changes in PSC.

### Gains:

#### **Chromosome 1q**

- Typically acquired as a structural chromosome rearrangement (unbalanced translocation or interstitial duplication) (Amps et al., 2011, Baker et al., 2016)
- Minimal region: 1q25-q41 (Baker et al., 2016)
- Potential driver gene: *MDM4*
- Association with cancer: Wilms' tumor (Chagtai et al., 2016), multiple myeloma (Walker et al., 2010) and intracranial ependymomas (Kilday et al., 2012)

#### **Chromosome 12p**

- Typically acquired as a whole chromosome trisomy, although a number of studies have also reported a partial trisomy of the short arm of chromosome 12 (Amps et al., 2011, Baker et al., 2016)
- Minimal region: 12p11-pter (Baker et al., 2016)
- Potential driver gene: *NANOG*
- Functional consequences observed to date: increased proliferation rate due to increased replication, reduced propensity for spontaneous differentiation and apoptosis (Ben-David et al., 2014)
- Association with cancer: testicular germ cell tumors (Atkin and Baker, 1982)

#### **Chromosome 17q**

- Typically acquired as a structural chromosome rearrangement (unbalanced translocation or interstitial duplication) (Amps et al., 2011, Baker et al., 2016)
- Minimal region: 17q25-qter (Baker et al., 2016)
- Potential driver gene: *SURVIVIN (BIRC5)*
- Functional consequences observed to date: growth advantage in undifferentiated cultures (Olariu et al., 2010) due to enhanced proliferation (Lee et al., 2015); faster differentiation to mesodiencephalic dopaminergic neural cells compared with wild-type cells (Lee et al., 2015)
- Association with cancer: testicular germ cell tumors (Kraggerud et al., 2002) and neuroblastoma (Bown et al., 2001)

#### **Chromosome 20q**

- Typically acquired as an interstitial duplication (Amps et al., 2011)
- Minimal region: 20q11.21 (Amps et al., 2011)
- Driver gene: *BCL2L1* (Avery et al., 2013, Nguyen et al., 2013)
- Functional consequences observed to date: growth advantage due to reduced propensity for apoptosis (Avery et al., 2013, Nguyen et al., 2013), reduced dependence on bFGF (Werbowski-Ogilvie et al., 2009), delayed differentiation to neural lineages (Werbowski-Ogilvie et al., 2009) and reduced efficiency of neuroectodermal lineage commitment (Markouli et al., 2019)
- Association with cancer: colorectal cancer (Nguyen and Duong, 2018)

### Losses:

#### **Chromosome 10p**

- Typically acquired as an interstitial deletion (Amps et al., 2011)
- Minimal region: 10p13-pter (Amps et al., 2011, Baker et al., 2016)
- Association with cancer: melanoma (Robertson et al., 1999) and glioblastoma (Kimmelman et al., 1996)

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## Chromosome 18q

- Typically acquired as an interstitial deletion (Amps et al., 2011)
- Minimal region: 18q21-qter (Amps et al., 2011, Baker et al., 2016)
- Association with cancer: colorectal carcinoma (Popat and Houlston, 2005)

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