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1

2 DR LARA MARIA ALENCAR RAMOS INNOCENTINI (Orcid ID : 0000-0002-9899-5178)

3 PROFESSOR RICARDO D. COLETTA (Orcid ID : 0000-0001-5285-3046)

4 DR MÁRCIO AJUDARTE LOPES (Orcid ID : 0000-0001-6677-0065)

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10 **Salivary BPIFA proteins are altered in patients undergoing hematopoietic cell transplantation**

11

BPIFA expression in HCT patients

12 Lara Maria Alencar Ramos Innocentini, DDS, PhD<sup>1\*</sup>, Andreia Aparecida Silva, DDS, PhD<sup>2\*</sup>, Marco Antonio  
13 Carvalho, DDS, PhD<sup>2</sup>, Ricardo D. Coletta, DDS, PhD<sup>2</sup>, Maria Elvira Pizzigatti Corrêa, DDS, PhD<sup>3</sup>, Lynne Bingle,  
14 BSc, PhD<sup>4</sup>, Colin D. Bingle, BSc, PhD<sup>5</sup>, Pablo Agustin Vargas, DDS, PhD<sup>2</sup>, Márcio Ajudarte Lopes, DDS, PhD<sup>2</sup>

15

16 1: Dentistry and Stomatology Division, Ophthalmology, Otolaryngology and Head and Neck Surgery Department,  
17 Clinical Hospital of Ribeirão Preto School of Medicine, University of São Paulo, Ribeirão Preto, SP, Brazil

18 2: Department of Oral Diagnosis, School of Dentistry of Piracicaba, University of Campinas (FOP/UNICAMP),  
19 Piracicaba, São Paulo, Brazil.

20 3: Hematology and Blood Transfusion Center, University of Campinas, São Paulo, Brazil.

21 4: Department of Oral and Maxillofacial Pathology, School of Clinical Dentistry, The University of Sheffield,  
22 Sheffield, United Kingdom.

23 5: Academic Unit of Respiratory Medicine, Department of Infection and Immunity, University of Sheffield, Sheffield  
24 S10 2JF, United Kingdom.

25

26 **\*Corresponding author:**

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1 Márcio Ajudarte Lopes

2 Departamento de Diagnóstico Oral, Semiologia, Faculdade de Odontologia de Piracicaba, UNICAMP.

3 Av. Limeira, 901, CEP: 13414-903, Piracicaba, SP, Brazil.

4 Fax: +55 19 2106-5218

5 Phone Number: +55 19 2106-5320

6 Email: malopes@fop.unicamp.br

7 ORCID: <https://orcid.org/0000-0001-6677-0065>

8 \* These authors contributed equally to this work

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11 **ABSTRACT**

12

13 **Objective:** The aim of this study was to evaluate the expression of BPIFA proteins in the saliva and salivary glands of  
14 hematopoietic cell transplant (HCT) patients. **Material and Methods:** This longitudinal study included patients who  
15 had undergone autologous HCT (auto-HCT) and allogeneic HCT (allo-HCT) and unstimulated saliva were collected  
16 at three timepoints, with a fourth collection at oral chronic graft versus host disease (cGVHD) onset. BPIFA  
17 expression was analysed by Western blotting in saliva and immunostaining in the minor salivary glands of cGVHD  
18 patients. **Results:** Auto-HCT patients showed increased levels of BPIFA1 ( $P = 0.021$ ) and BPIFA2 at D+7 ( $P =$   
19  $0.040$ ), whereas allo-HCT group demonstrated decreased expression of BPIFA2 at D+8 ( $P = 0.002$ ) and at D+80 ( $P =$   
20  $0.001$ ) and a significant association between BPIFA2 low levels and hyposalivation was observed ( $P = 0.02$ ). BPIFA2  
21 was significantly lower in the cGVHD patients when compared to baseline ( $P = 0.04$ ). **Conclusions:** The results of  
22 this study show distinct pattern of expression of BPIF proteins in both auto-HCT and allo-HCT recipients with  
23 decreased levels of BPIFA2 during hyposalivation and cGVHD. Further studies are necessary to elucidate these  
24 proteins mechanisms and their clinical implications in these groups of patients.

25 **KEYWORDS:** BPIFA, conditioning regimen, hematopoietic cell transplantation, saliva, salivary glands

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## 5 **1 INTRODUCTION**

6

7

8 Hematopoietic cell transplantation (HCT) is the treatment of choice for a number of malignant and non-  
9 malignant diseases involving the hematopoietic system and for some solid tumours (Gratwohl et al., 2011; Passweg et  
10 al., 2012). The treatment is, however, often associated with several oral side-effects including oral mucositis, oral  
11 infections, alterations in taste and in saliva quantity and composition ( Sonis et al., 2001; Sonis et al., 2004; Passweg  
12 et al., 2012; Uutela et al., 2019). Graft-versus-host disease (GVHD) is a common complication of allogenic HCT  
13 (allo-HCT), affecting multiple organ systems and causing significant morbidity and mortality (Lee, Vogelsang, &  
14 Flowers, 2003; Kuten-Shorrer, Woo, & Treister, 2014; Presland, 2017). The oral cavity is frequently affected, and  
15 chief complaints tend to be related to pain associated with ulcers, xerostomia and occasionally taste alterations  
16 (Kuten-Shorrer et al., 2014). A review on acute and chronic GVHD proteomics suggested saliva as a potential source  
17 of prognostic and predictive biomarkers (Presland, 2017).

18 The BPI fold-containing superfamily (BPIF) represents a group of hydrophobic, lipid-binding proteins  
19 predominantly found in the upper respiratory tract, nasal cavity, ears and oral cavity (L. Bingle & Bingle, 2011a).  
20 They have been shown to have some actions against gram-negative bacteria (Liu, Zhang, Wu, French, & He, 2016;  
21 Lukinskiene et al., 2011). BPIFA1 protein is expressed in the major salivary glands and in the minor glands of the  
22 nose, sinus, posterior tongue and tonsil (L. Bingle et al., 2005), being produced in mucous cell type (Vargas, Speight,  
23 Bingle, Barrett, & Bingle, 2008). BPIFA2 is expressed by the serous cells of the major and minor salivary glands (  
24 Biron et al., 2000; Hopcraft & Tan, 2010; L. Bingle & Bingle, 2011b). Changes in BPIFA1 protein levels have  
25 previously been shown to be associated with disease severity in chronic obstructive pulmonary disease (COPD) and in  
26 patients with oral mucositis following radiotherapy for head and neck cancer, (González-Arriagada et al., 2015; De  
27 Smet, Seys & Verhamme, 2017) and BPIFA1 expression has been proposed as a potential tool for the detection of  
28 lung cancer (Sun et al., 2018). Increased levels of BPIFA2 have been found in acute kidney injury following analysis  
29 in urine and blood and thus proposed as a potential biomarker for early diagnosis (Kota et al., 2017).

30 The underlying mechanisms of oral side-effects during and after HCT are not well established, but may be  
31 partly mediated by alterations in the composition and quantity of saliva secreted into the oral cavity. The aim of this  
32 study, therefore, was to analyse the expression of BPIFA proteins in the saliva and in the salivary glands of patients  
33 who were undergoing HCT and to associate their expression levels with side-effects related to the treatment, which  
34 are mainly mucositis, hyposalivation and GVHD.

34

## 35 **2 MATERIALS AND METHODS**

### 36 **2.1 Patients**

37 This was a prospective non-randomized, observational study enrolling patients who undergoing HCT at Bone  
38 Marrow Transplantation Unit of the Clinical Hospital from University of Campinas (HC-UNICAMP) and at the

1 Oncology Centre of the Sugarcane Suppliers Hospital (CEON-HFCP) from 2010 to 2013. The clinical study was  
2 performed with consecutive patients undergoing allogeneic HCT (allo-HCT) and autologous HCT (auto-HCT). The  
3 Institutional Review Board of both centres approved this study and informed consent was obtained from all individual  
4 participants included in the study (#142/2010 and #06/2011), and that the study was performed in accordance with the  
5 Declaration of Helsinki. Inclusion criteria were patients undergoing first allogeneic or autologous HCT and older than  
6 18 years old. Exclusion criteria was positive history of radiotherapy for head and neck cancer.

7 Sixty-five patients were enrolled in this study, 52% were male and all patients were aged between 20 and 68  
8 years. Other demographic and clinicopathologic data of both the allo-HCT (n = 50) and auto-HCT (n = 15)  
9 participants are provided in (Table 1).  
10

## 11 2.2 Oral examination

12 All patients were submitted to oral examination performed by trained dentists prior to transplantation,  
13 consisting of physical examination and orthopantomographic image evaluation. Dental and periodontal infections  
14 were treated prior to HCT by the Dental Ambulatory Service. Clinical assessment was performed daily starting on the  
15 first day of conditioning regimen and ending at patients' discharge. The physical examination was performed by two  
16 calibrated dentists in order to establish the severity of oral mucositis according to the World Health Organization  
17 (WHO) oral toxicity scale (WHO, 1979) which was classified as absent (Grade = 0), mild (Grade 1 and Grade 2) and  
18 severe (Grade 3 and Grade 4).  
19

## 20 21 2.3 Unstimulated saliva collection

22 Unstimulated saliva was collected from patients undergoing auto-HCT at three different timepoints: pre-  
23 conditioning regimen (pre-CR), on day 7 (D+7) post transplantation and 21 days (D+21) post transplantation.  
24 Unstimulated saliva was also collected, at 3 different timepoints, pre-CR, at D+8 and at D+80 after transplantation,  
25 from patients undergoing allo-HCT, and from 8 patients who developed cGVHD. These time points were selected  
26 considering first time the basal saliva condition before the treatment, second time, the worst moment of oral side  
27 effects during HCT and third time after expected recovery of therapy and when applicable, a fourth collection for late  
28 side effects as cGVHD. All samples were collected before noon and always at least 1 hour after any meal, snack or  
29 consumption of beverages. To prevent degradation of the proteins, ethylenediamine tetraacetic acid (EDTA) and  
30 phenylmethylsulfonyl fluoride (PMSF), at final concentrations of 2 mM, were immediately added to the collected  
31 saliva and stored on ice. All samples were then centrifuged at 13,000 rpm for 5 minutes at 4°C to remove debris. The  
32 supernatant of each sample (upper 2/3) was stored in new vials at -70°C prior to analysis.

33 Unstimulated salivary secretion rates (USSR) of < 0.5 ml/min were considered as hyposalivation. The  
34 procedure to measure salivary flow consisted of a 5-min salivary expectoration into a sterile, previously weighed,  
35 glass cup. Patients were asked not to swallow during the collection period. USSR was calculated based on the saliva  
36 collected per min and converted to ml/min (Hopcraft & Tan, 2010).

37 For auto-HCT all 15 patients had saliva collected in three time points and statistical analysis of protein levels  
38 were performed for all samples. Nevertheless, fifty patients undergoing allo-HCT were enrolled at the pre-CR period,

1 three patients died before the second collection point (n=47) and further eleven died before the third collection point  
2 (n=36), saliva was collected from all patients and statistical analysis was performed for all samples.

#### 4 **2.4 Western blotting (WB)**

5 The total protein content in the clear supernatant was measured using a Bradford assay (Bradford reagent,  
6 Sigma Aldrich, St. Louis, MO) with BSA as a standard and quantification by spectrophotometry (Spectronic –  
7 Genesis™ 2, EUA). Samples were mixed with dithiothreitol (DTT) in loading buffer and heated, and 4 µg of protein  
8 per well was analysed by sodium dodecyl sulfate (SDS) polyacrylamide gel electrophoresis (SDS-PAGE, 12%). After  
9 the proteins were transferred onto a nitrocellulose membrane, to confirm the effectiveness of the transference, a  
10 Ponceau stain was used and pictures were taken to verify that the same quantity of sample had been applied in each  
11 well. All membranes were incubated overnight at 4°C with skim milk with 5% Tris-buffered saline and Tween 20  
12 (TBST). After four washes of 15 min each, the blots were incubated with primary antibodies against SPLUNC2A  
13 (1:500) and SPLUNC1 (1:200), for 2 hours with blocking solution. After four washes, they were incubated with  
14 secondary antibody for 1 hour and detection was performed using enhanced chemiluminescence (ECL) from  
15 Amersham Biosciences, Inc. The rabbit anti-human SPLUNC monoclonal antibody (isoforms SPLUNC2A and  
16 SPLUNC1) used in Western blotting was generously provided by Dr. Lynne Bingle, Sheffield, UK (González-  
17 Arriagada et al., 2015). Densitometric analysis of the proteins was performed with the aid of the *Molecular Analyst*  
18 software (Bio Rad, EUA). All samples were normalized using the total protein concentration of each sample and a  
19 positive control subject was used in each gel to perform all westerns blotting.

#### 21 **2.5 Immunohistochemistry (IHC)**

22 Biopsy samples of the minor salivary glands were taken from patients with oral cGVHD for haematoxylin  
23 and eosin (H.E.) stain and immunostaining. Immunohistochemistry was carried out as previously described (L. Bingle  
24 et al., 2005; Vargas et al., 2008), using custom made, affinity-purified, anti-peptide BPIFA1 and BPIFA2 antibodies  
25 from Eurogentec, with full characterization as previously described (L. Bingle et al., 2009). All slides were digitalized  
26 using an Aperio Scan Scope CS Scane (Aperio, Vista, CA, USA) and visualized with Image Scope software (Aperio,  
27 Vista, CA, USA) using pixels in a 22.148µm<sup>2</sup>.

#### 29 **2.6 Statistical analysis**

30 Patients were included by convenience when eligible for inclusion criteria. All data were analysed using SAS  
31 (SAS Institute Inc., *SAS/STAT® User's Guide, Version 9*, Cary, NC: SAS Institute Inc., 2003). Nominal variables  
32 were tested with a Fisher's Exact test. Normal and non-normal distributed groups were compared by analysis of  
33 variance (ANOVA) and with Wilcoxon rank sum test, respectively. A parametric t-Student test was performed to  
34 compare continuous variables in different periods. ANOVA based on a generalized linear mixed model with repeated  
35 measures was applied to test the effect of pre-CR for HCT on BPIFA expression. A significance level of 5% (0.05)  
36 was considered in all tests. All graphics were made using R Development Core Team (Vienna, Austria, 2016).

1

## 2 3 RESULTS

### 3 3.1 Salivary assessment - Autologous transplant patients

4 *BPIFA proteins associated with phase of sample collection.* A significant variation in the salivary BPIFA1 expression  
5 was seen among the three timepoints ( $P = 0.04$ ) in 15 patients evaluated. The expression was significantly greater at  
6 D+7 than D+21 ( $P = 0.02$ ), however, no significant difference was found between pre-CR and D+7 ( $P = 0.09$ ) (**Figure**  
7 **2a**). Expression of the glycosylated form of BPIFA2 was significantly increased at D+7 in comparison to D+21 ( $P =$   
8  $0.04$ ) (**Figure 2b**). There was no significant difference between pre-CR and D+7 ( $P = 0.39$ ) or pre-CR and D+21 ( $P =$   
9  $0.21$ ).

#### 10 3.1.1 BPIFA proteins associated with side effects

11 *Mucositis x BPIFA.* Mucositis was present in 11/15 (73%) of patients at D+7, 6/15 (40%) presented with mild and  
12 5/15 (33%) with severe mucositis. The incidence of side-effects was higher on day 7 post transplantation and by day  
13 21 post transplantation all patients reported a significant improvement in oral discomfort. BPIFA1 expression was  
14 significantly higher on D+7 than pre-CR (**Figure 2a**), however, no association was seen with the mucositis score ( $P =$   
15  $0.16$ ) (**Table 2**). There was also no significant difference between the expression of glycosylated (35 kDa) ( $P = 0.39$ ),  
16 non-glycosylated (25 kDa) ( $P = 0.66$ ) total BPIFA2 ( $P = 0.57$ ) and mucositis (**Table 2**).

17 *Hyposalivation x BPIFA.* Eight (53%) out of 15 pre-CR patients, 11/15 (73%) at D+7 and 10/15 (67%) at D+21  
18 showed signs of hyposalivation as measured by USSR. The median was 0.36 at D+7, with decreased USSR ( $p = 0.04$ )  
19 (**Figure 1**). BPIFA1 levels were not associated with hyposalivation ( $P = 0.65$ ). There was also no significant  
20 difference between the expression of glycosylated ( $P = 0.13$ ), non-glycosylated ( $P = 0.41$ ) or total BPIFA2 ( $P = 0.13$ )  
21 and hyposalivation (**Table 2**).

22

### 23 3.2 Salivary assessment - Allogeneic transplant patients

24 *BPIFA proteins associated with phase of sample collection.* Expression of the glycosylated form of BPIFA2 was  
25 decreased at D+80 ( $n = 36$ ) when compared with pre-CR ( $P = 0.01$ ) (**Figure 3a**). No significant difference was seen  
26 between pre-CR ( $n = 50$ ) x D+8 ( $n = 47$ ) and D+8 x D+80, ( $P = 0.35$ ) and ( $P = 0.09$ ), respectively.

#### 27 3.2.1 BPIFA proteins associated with side effects

28 *Mucositis x BPIFA.* Mucositis was present in 37 (79%) patients included for the second collection point, with 23  
29 (49%) affected by mild mucositis and 14 (30%) by severe mucositis. There was no significant association between  
30 mucositis score and total BPIFA2 expression ( $P = 0.30$ ) (**Table 3**).

31 *Hyposalivation x BPIFA.* Out of 50 patients, 26 (52%) showed hyposalivation at pre-CR as shown by USSR, but only  
32 15 (32%) at D+8 and 19 (53%) at D+80. The median USSR was increased at D+8. Analysis of the quality and  
33 quantity of saliva suggested a decrease in total protein even when the salivary flow rate remained near normal at D+8.  
34 A statistically significant association between hyposalivation and glycosylated BPIFA2 ( $P = 0.02$ ) and total BPIFA2  
35 ( $P = 0.02$ ) was found at D+8. There was no statistical significance between total BPIFA2 expression and  
36 hyposalivation at D+80 ( $P = 0.07$ ) (**Table 3**). A progressive but statistically significant decrease ( $P = 0.01$ ) in USSR  
37 was observed from D+8 to D+80 ( $0.69 \pm 0.40$ ,  $0.50 \pm 0.39$  mean and standard deviation respectively) (**Figure 1**).

1 *Acute GVHD onset x BPIFA*. Acute GVHD (aGVHD) developed in 24 (67%) patients at D+ 80, but there was no  
2 significant association between aGVHD and total BPIFA2 levels ( $P = 0.09$ ). Patients with mucositis who developed  
3 aGVHD showed glycosylated BPIFA2 levels under the median (1.375). However, at the onset of aGVHD, there was  
4 no statistically significant association between glycosylated BPIFA2 ( $P = 0.20$ ), non-glycosylated BPIFA2 ( $P = 0.62$ )  
5 or total BPIFA2 ( $P = 0.09$ ) (**Table 3**).

6

### 7 **3.3 Chronic GVHD x BPIFA**

8 Eight patients with a diagnosis of cGVHD (four men and four women) had saliva collected. Western blot  
9 analysis of BPIFA2 showed that the non-glycosylated form of BPIFA2 was significantly lower in the cGVHD patients  
10 when compared to baseline ( $P = 0.04$ ) (**Figure 3**). Minor salivary glands obtained from cGVHD patients demonstrated  
11 acinar atrophy and destruction as well as inflammatory chronic infiltrate in the periductal area (**Figure 4a**). BPIFA1  
12 was expressed in mucous cells (**Figure 4b**), while BPIFA2 in serous cells and serous demilunes (**Figure 4c**).  
13 Immunostaining in normal control tissue showed serous acini strongly stained with BPIFA2 in parotid gland  
14 parenchymal tissue (**Figure 4d**). Mucous acini in normal minor salivary glands stained less intensively with BPIFA1  
15 in comparison to glands from patients with cGVHD (**Figure 4e**). Demilunes in normal minor salivary glands also  
16 stained less intensively with BPIFA2 in comparison to minor salivary glands from cGVHD patients (**Figure 4**). The  
17 differences in staining intensity, however, were not statistically significant.

18

## 19 **4 DISCUSSION**

20 The proteomic analysis of saliva and salivary gland tissues might be useful for the identification of novel  
21 biomarkers and could provide significant information surrounding the oral manifestation of diseases (Presland, 2017;  
22 Saxena, Sankhla, Sundaragiri, & Bhargava, 2017). The aims of this clinical and laboratory study were to understand  
23 whether changes in innate immune salivary proteins such as BPIFA might be associated with oral mucositis,  
24 hyposalivation and GVHD.

25 Previous studies have shown that BPIFA levels are often decreased in diseased groups when compared with  
26 healthy control subjects, for instance in the upper respiratory tract of smokers and workers exposed to reactive epoxy  
27 chemicals, in the nasal fluid from persons with allergic rhinitis, in the nasal polyps from patients with chronic  
28 rhinosinusitis and in diabetic and rheumatoid arthritis patients with xerostomia (Border et al., 2012; Ghafouri, Irander,  
29 Lindbom, Tagesson, & Lindahl, 2006; Ghafouri, Kihlström, Ståhlbom, Tagesson, & Lindahl, 2003; Tsou et al., 2014;  
30 Zalewska et al., 2013). On the other hand, BPIFA1 expression was increased in the nasal respiratory epithelium of rats  
31 following olfactory bulbectomy, while BPIFA1 mRNA levels were elevated in the lungs of patients with chronic  
32 obstructive pulmonary disease (Di et al., 2013; De Smet et al., 2017; Sung et al., 2002). Our study showed increased  
33 BPIFA1 expression in patients undergoing auto-HCT at D+7 probably due in part to the increased proportion of  
34 mucous saliva present at this time, when saliva was noticeably stickier.

35 González-Arriagada et al. (2015) showed that higher levels of BPIFA1 were associated with the presence and  
36 severity of mucositis in patients submitted to radiotherapy for head and neck cancer. We did not find such association  
37 in our groups and differences in relation to BPIFA levels and these cancer therapy side effects could be explained by



1 the more aggressive and direct effect of head and neck radiotherapy to salivary glands than high dose therapy  
2 associated with Total Body Irradiation (TBI). Previous studies have suggested that mucositis-related symptoms were  
3 more prominent in the presence of impaired mucosal antioxidant and immunological defence systems (Avivi et al.,  
4 2009) justifying our hypothesis that there is an association between BPIFA expression and mucositis.

5 Allo-HCT and auto-HCT are complex and high-risk procedures requiring significant expertise to manage the  
6 potential treatment complications. cGVHD is a life-threatening side-effect of allo-HCT; with 60% of patients present  
7 with signs of xerostomia and 57% with lichenoid lesions (Soares, Correa, Cintra, Miranda, & Cintra, 2013). The  
8 preservation of salivary gland function is extremely important in maintaining oral health and macrobiotic balance  
9 (Dodds, Johnson, & Yeh, 2005). In this study whole saliva was collected as it is a major determinant of the  
10 environment of the entire oral mucosa surface. It is well known that saliva plays an important role in physicochemical  
11 as well as immune defense of the oral and mucosal surfaces, with both direct antimicrobial actions and agglutination  
12 or surface exclusion of microbes.

13 Interestingly, the most important change in BPIFA expression was found during the neutropenic period  
14 (D+7). During the pancytopenia period when the second saliva sample was collected, no patient had HSC  
15 engraftment, and host immunity changes were expected (Guinan et al. 2015; Lee, Kang, & Choi, 2017). The success  
16 of HCT depends upon infection control during the neutropenic phase, GVHD and relapse (Tanaka et al., 2011).  
17 Additionally, the upregulation of some components of the innate immune system, such as the BPI fold-containing  
18 family, which are increased in chronically inflamed diseases, may help to explain some of the changes seen during the  
19 pancytopenia period (L. Bingle et al., 2012; Yang, Liu, Liu, Cheng, & Li, 2006). It has been established that, during  
20 the period of neutropenia, severe mucositis is a cause of septicemia, and thus it is important to fully analyse the  
21 patient's saliva. It is possible that, following further analysis, increased BPIFA protein might help to predict the  
22 development of infection (systemic or local) in transplant patients.

23 N-glycosylation of BPIFA2 was demonstrated following PNGase F treatment which removes N-linked  
24 sugars. This resulted in the reduction of three bands on a western blot to only one of approximately 25 kDa, the  
25 predicted molecular weight based on amino acid sequence. To date no differences in function have been identified for  
26 the isoforms, but was hypothesise that the adhesion of the protein to bacteria, teeth or tissues might be facilitated by  
27 attached sugars (L. Bingle et al., 2009; Gorr, Abdolhosseini, Shelar, & Sotsky, 2011; Ramachandran et al., 2006). A  
28 significant variation for BPIFA expression was observed between three timepoint suggesting a variety of changes in  
29 the host immunity of these patients.

30 BPIFA2 has a very restricted pattern of expression being found only in serous cells of the major salivary  
31 glands and in the sero-mucus tubules of the minor salivary glands in the oral mucosa, posterior tongue, and tonsil (C.  
32 D. Bingle, Bingle, & Craven, 2011; L. Bingle et al., 2009; Vitorino et al., 2004). Very few studies have investigated  
33 BPIFA2 expression in disease subjects, however, reduced levels have been found in the saliva of patients with  
34 periodontitis (Wu, Shu, & Liu, 2011) and an increased expression of BPIFA2 was seen in the human  
35 immunodeficiency virus patients who were infected with cytomegalovirus or with mycobacteria (da Silva et al.,  
36 2011). Some limitations of the current study were that the association between the development of oral infectious  
37 during HCT and BPIFA2 expression levels was not considered. This occurred because of saliva sample availability

1 BPIFA1 analysis was not performed for allo-HCT group. In addition, the inclusion of more patients in auto-HCT  
2 group was not possible.

3 Reduced salivary flow rates due to cGVHD cannot be clinically differentiated in first year after HCT  
4 from those due to radiation damage (Dens et al., 1996). Chaushu et al (1994) demonstrated increased USSR at D+8 in  
5 patients undergoing allo-HCT as a result of mucositis. Our results also demonstrate increased USSR on day D+8 post-  
6 transplantation compared to our baseline assessments. The majority of our patients, however, did not suffer from  
7 hyposalivation, but it is important to acknowledge that in the allo-HCT only seven patients were submitted to TBI.

8 This paper is the first to show localization of either BPIFA1 or BPIFA2 in minor salivary glands of cGVHD  
9 patients. Previous studies have shown the expression in the major salivary glands of HIV-infected patients, (da Silva  
10 et al., 2011) in the lacrimal gland of patients with Sjogren's Syndrome, (Schicht et al., 2015) and in high-grade  
11 mucoepidermoid carcinoma, suggesting a potential diagnostic biomarker of salivary gland disease (González-  
12 Arriagada et al., 2012; Vargas et al., 2008). The decrease in BPIFA1 and BPIFA2 in the GVHD group of this study  
13 could be explained by the decrease in the number of glands in the pathological tissue due to inflammation. Oral health  
14 is directly influenced by adequate salivary gland function (van Leeuwen, Potting, Huysmans, & Blijlevens, 2019).  
15 Patients receiving a bone marrow transplant may present with alterations in salivary flow, altered buffering capacity,  
16 and changes in the microbiome all resulting in an increased risk of caries (Dens et al., 1996). These findings suggest  
17 that for transplant recipients there could be a higher caries risk with oral complications such as mucositis during the  
18 early post-transplant period (Coracin et al., 2013; Melkos, Massenkeil, Arnold, & Reichart, 2003). The low incidence  
19 of severe oral mucositis in our study might be related to the previous dental care given to all patients.

20 In summary, we have demonstrated that changes in BPIF levels in patients undergoing auto-HCT, allo-HCT  
21 and with oral cGVHD development may be related to changes in saliva composition, suggesting BPIF as a potential  
22 biomarker for the oral side effects HCT. This is the first study to analyse expression of these proteins in saliva HCT  
23 patients, but further studies aimed at understanding the potential of this protein to predict the development of oral side  
24 effects and oral cGVHD are needed.

25

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32

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34

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27 **FIGURE LEGENDS**

28  
29 **Figure 1.** Unstimulated salivary secretion rates in the allo-HCT and auto-HCT groups. **(a)** USSR for auto-HCT  
30 showed decreased at D+7 ( $P = 0.04$ ). **(b)** USSR for allo-HCT showed increased at D+8 when compared with the other  
31 periods, Pre-CR, D+80 and cGVHD ( $P = 0.01$ ). \* $P \leq 0.05$  indicates statistical significance.

1 **Figure 2.** BPIFA concentration in saliva from 15 patients in auto-HCT group (a) BPIFA 1 Pre-CR *versus* D+7 *versus*  
2 D+21 ( $P = 0.04$ ), Pre-CR *versus* D+7 ( $P = 0.09$ ) and D+7 *versus* D+21 ( $P = 0.02$ ). (b) BPIFA2 gly (35 kDa)  
3 presenting higher expression at D+7, D+7 *versus* D+21 ( $P = 0.04$ ). \* $P < 0.05$  indicates statistical significance. The  
4 positions of molecular mass markers (kDa) are shown.

5 **Figure 3.** Differential expression of BPIFA2, showing four time points comparison pre-CR (n=50), D+8-10 (n=48),  
6 D+80-100 (n=37) and cGVHD (n=8) in saliva from allo-HCT group. Comparison at pre-CR *versus* D+80 ( $P = 0.01$ )  
7 for BPIFA2 gly forms (35 kDa) was statistical significant, and at pre-CR *versus* D+8 ( $P = 0.003$ ), pre-CR *versus*  
8 D+80 ( $P < 0.0001$ ) and pre-CR *versus* cGVHD ( $P = 0.04$ ) for BPIFA2 non-gly forms (25 kDa). Third gel is  
9 correspondent to saliva collected from 8 patients with cGVHD. \* $P < 0.05$  indicates statistical significance. The  
10 positions of molecular mass markers (kDa) are shown for the stained gel (arrowheads).

11 **Figure 4-** BPIFA expression pattern in minor salivary glands of patients with oral cGVHD; (a) Minor salivary glands  
12 showing inflammatory infiltrate with atrophic and damaged acini, (H&E 100x); (b) BPIFA1 expression in mucous  
13 acini of minor salivary glands in patients with cGVHD showing stronger staining in mucous acini (IHC, 100x); (c)  
14 BPIFA2 expression in serous acini and serous part of semilunar acini of minor salivary glands in patients with  
15 cGVHD (IHC, 100x). (d) Serous acini strongly stained with BPIFA2 in parotid gland tissue; (e) **control** - normal  
16 minor salivary gland stained with BPIFA1 showing mucous acini stained with less intensity; (f) normal minor salivary  
17 gland stained with BPIFA2 showing semilunar acini stained with less intensity when compared to minor salivary  
18 glands compromised by cGVHD.

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## TABLES

**TABLE 1. Population characteristics of HCT recipients**

<b>Variable</b>	<b>Transplant type, N (%)</b>
<b>Male: Female</b>	34 (52): 31 (48)
<i>Age median (range years old)</i>	45.5 (20-68)
<i>Transplant type</i>	
<b>Autologous</b>	15 (23)
<b>Allogeneic</b>	50 (77)
<i>Underlying disease</i>	
<b>NHL</b>	11 (17)
<b>HL</b>	5 (8)
<b>MM</b>	9 (14)
<b>Myelofibrosis</b>	5 (8)
<b>CML</b>	12 (18)
<b>CLL</b>	3 (5)
<b>ALL</b>	3 (5)
<b>AML</b>	11 (17)
<b>Others</b>	6 (9)
<i>Conditioning regimen</i>	
<b>Myeloablative</b>	46 (71)
<b>Reduced intensity</b>	14 (21)
<b>Mini-transplant</b>	5 (8)

Abbreviations: n = number; NHL = non-Hodgkin lymphoma; HL = Hodgkin lymphoma; MM = multiple myeloma; BU = busulfan; FLU = fludarabine; ATG = antithymocyte globulin; BEAM = armustine, etoposide, cytarabine, and melphalan; BEAM-like = lomustine, etoposide, cytarabine and melphalan. Others disease – AA

= aplastic anemia (4), FA = Fanconi anemia (1), PNH = paroxysmal nocturnal hemoglobinuria (1). ✕ - allo-HCT.

**TABLE 2. Secondary effects associated to conditioning regimen for auto-HCT (n = 15)**

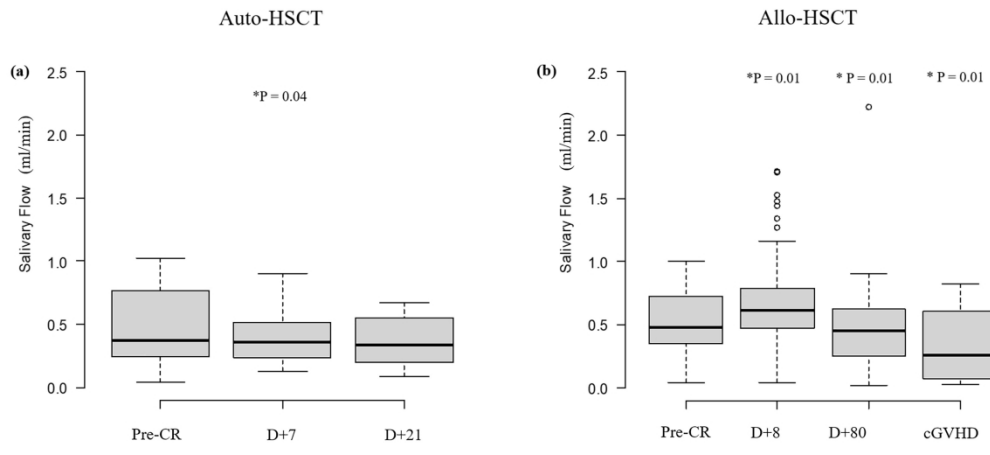
Protein	Hyposalivation			Mucositis		
	Yes (n = 11)	No (n = 4)	<i>P</i>	Yes (n = 11)	No (n = 4)	<i>P</i>
	Median/SD	Median/SD		Median/SD	Median/SD	
<b>BPIFA1</b>	0.02/1.37	1.12/0.56	0.65	0.96/1.19	0.56/1.19	0.16
<b>BPIFA2 gly</b>	1.48/2.04	3.71/1.14	0.13	2.68/1.93	2.47/2.00	0.39
<b>BPIFA2 non-gly</b>	2.59/4.47	4.91/7.45	0.41	3.47/5.28	2.37/2.60	0.66
<b>BPIFA2 total</b>	4.54/5.94	7.57/8.01	0.13	5.09/7.09	4.68/4.10	0.57

\*Western-blotting performed showed BPIFA2 gly-BPIFA2 glycosylated form; BPIFA2 non-gly-BPIFA2 non-glycosylated form. Saliva collected at D+7.

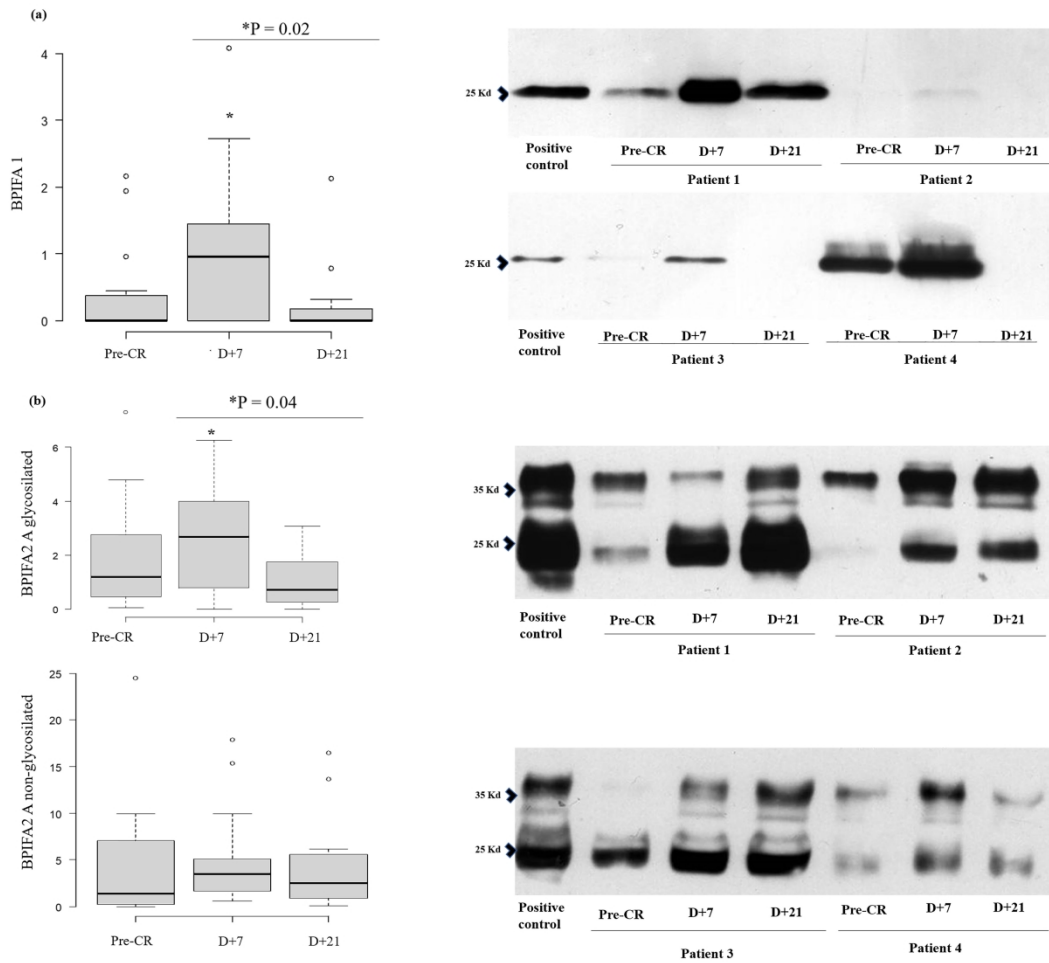
Protein	Hyposalivation*			Mucositis*			aGVHD#		
	Yes (n = 15)	No (n = 32)	<i>P</i>	Yes (n = 37)	No (n = 10)	<i>P</i>	Yes (n = 12)	No (n = 24)	<i>P</i>
	Median/SD	Median/SD		Median/SD	Median/SD		Median/SD	Median/SD	
<b>BPIFA2 gly</b>	1.34/0.48	1.53/0.75	0.02	1.47/0.70	1.22/0.80	0.27	0.83/1.38	0.78/1.35	0.20
<b>BPIFA2 non-gly</b>	0.49/0.56	0.543/0.59	0.13	0.52/0.61	0.55/0.61	0.90	0.36/0.47	0.36/0.45	0.62
<b>BPIFA2 total</b>	1.628/0.95	2.279/1.05	0.02	2.27/1.03	2.10/1.14	0.30	1.54/0.84	1.27/0.61	0.09

**TABLE 3. Secondary effects associated to conditioning regimen for allo-HCT (n = 47)**

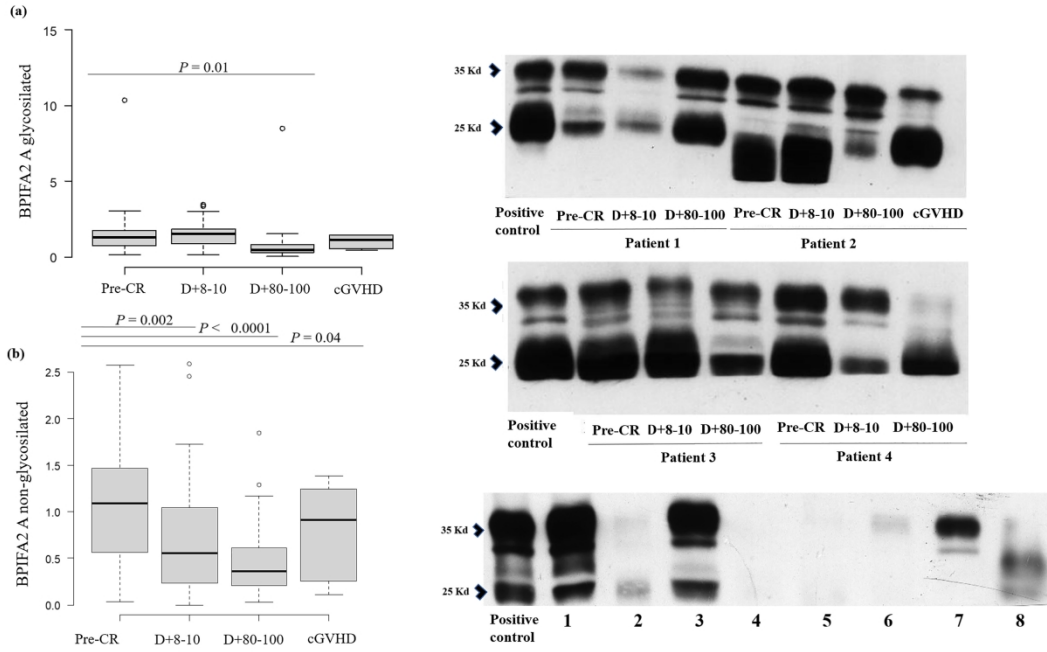
\*Western-blotting was performed in 47 patients in allo-HCT group; BPIFA2 gly - BPIFA2 glycosylated form; BPIFA2 non-gly - BPIFA2 non-glycosylated form. # At D+80 with n = 36. Bold numbers show significant values, *P* < 0.05.



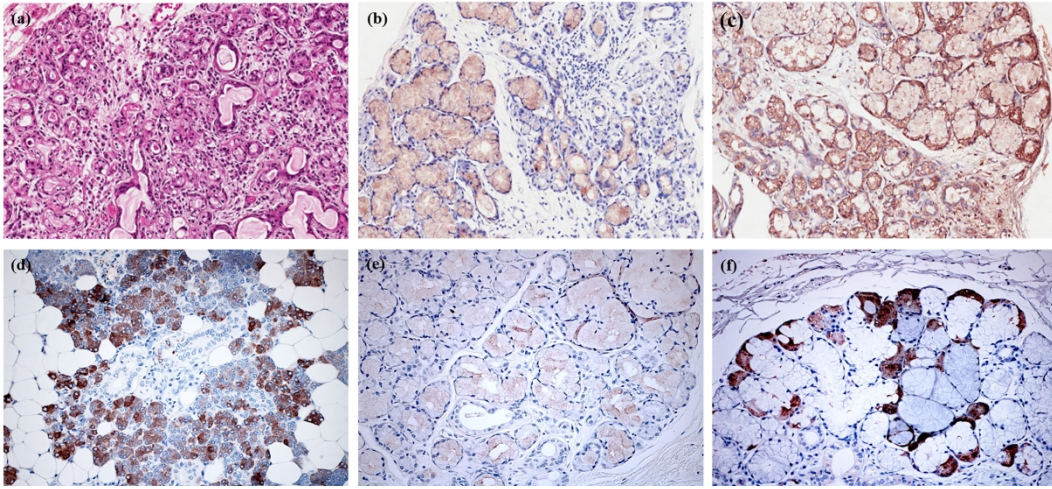
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