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Article:

Gibson, M.E. orcid.org/0000-0001-7351-078X and Wellman, C.H. orcid.org/0000-0001-7511-0464 (2021) The use of spore–pollen assemblages to reconstruct vegetation changes in the Permian (Lopingian) Zechstein deposits of northeast England. *Review of Palaeobotany and Palynology*. 104399. ISSN 0034-6667

<https://doi.org/10.1016/j.revpalbo.2021.104399>

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1 **The use of spore-pollen assemblages to reconstruct vegetation changes in the Permian**
2 **(Lopingian) Zechstein deposits of northeast England**

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7

8 **ABSTRACT**

9 New boreholes have enabled, for the first time, extensive palynological sampling through the
10 entire Lopingian Zechstein sequence of northeast England. Palynomorph assemblages have
11 been recovered from throughout the sequence from all five of the evaporation-replenishment
12 cycles (EZ1-EZ5). These assemblages are dominated by pollen grains, with rare trilete spores,
13 and even rarer marine forms such as acritarchs and foraminiferal test linings. The assemblage
14 of pollen grains is of low diversity (35 species) and dominated by taeniate and non-taeniate
15 bisaccate pollen. The assemblage varies to only a limited extent both within and between
16 cycles, although some minor variations and trends are documented. Based on the composition
17 of the dispersed spore-pollen assemblages, and previous work on the Zechstein megafloora, the
18 hinterland vegetation is interpreted as being dominated by conifers that inhabited a semi-arid
19 to arid landscape. This flora is shown to have persisted throughout the entire Zechstein
20 sequence, despite previous assertions that it disappeared as conditions became increasingly
21 drier over the course of the latest Permian.

22

23 *Key words:* palaeobotany, palynology, pollen, spores, vegetation change, Permian.

24

25 **1. Introduction**

26 The Lopingian Zechstein Sea was a large intercontinental sea located in Pangaea just
27 north of the equatorial Central Pangaeian Mountain Range. A remarkable stratigraphical
28 sequence deposited in the sea is dominated by carbonate/evaporite cycles. The sequence can
29 be divided into seven cycles at the centre of the basin, although this is reduced to five cycles
30 towards the margins (EZ1-5) (Figure 1). These cycles essentially represent a sequence of large-
31 scale evaporation-replenishment events. The biota of the Zechstein Sea and surrounding
32 hinterlands is also remarkable. Marine biotas are impoverished due to high salinities. Although
33 reefs developed during the early cycles, these diminished and then vanished as conditions
34 became more saline and harsher during the later cycles. Palaeobotanical and palynological
35 studies reveal that a typical Euramerican flora occupied the hinterlands, low lying areas and
36 riparian environments around the Zechstein Sea during the early cycles (EZ1-2). However,
37 hitherto there has been little floral evidence in later cycles (EZ3-5) and it is often assumed that
38 vegetation diminished as harsher desert conditions prevailed as the climate became warmer
39 and drier. It is of particular interest that the sequence accumulated during the build up to the
40 end-Permian mass extinction (Erwin, 1993; Benton and Twitchett, 2003; Erwin, 2006; Hallam
41 and Wignall, 1997) and there is some evidence that the event may itself be reflected in the
42 highest cycle toward the centre of the basin (e.g. García-Veigas et al., 2011).

43 Recently, new boreholes have become available that penetrate the younger cycles (EZ3-
 44 5) at the margins of the Zechstein Sea in northeast England. These have yielded, for the first
 45 time, rich palynomorph assemblages from these younger cycles. This paper reports on a
 46 quantitative analysis of these palynomorph assemblages, in addition to those from older cycles
 47 (EZ1-2) collected at outcrop. The new data provides evidence for the nature of the hinterland
 48 vegetation from throughout all five cycles developed at the margins of the basin. This has
 49 enabled reconstruction of the vegetation changes that accompanied the evaporation-
 50 replenishment cycles observed in the sedimentary sequences.

		Cycle	Durham Sub-basin	Yorkshire Sub-basin	Sequence		
~251 Ma	Permian Lopingian Zechstein Group	EZ5	Bröckelschiefer	Bröckelschiefer	ZS7		
			Roxby Formation	Littlebeck Anhydrite Formation			
		EZ4	Sherburn (Anhydrite) Formation	Sneaton (Halite) Formation		ZS6	
				Sherburn (Anhydrite) Formation			
				Upgang Formation			
		EZ3	Billingham Anhydrite Formation	Carnallitic Marl		ZS5	
				Boulby Halite			
				Boulby Halite			
		EZ2	Edlington Formation	Brotherton Formation	ZS4		
				Grauer Salztun Formation			
		~258 Ma		EZ1	Seaham Formation	Fordon Evaporite Formation	ZS3
					Edlington Formation	Edlington Formation	
				Roker Formation	Kirkham Abbey Formation	ZS2	
				Concretionary Limestone Member	Hayton Anhydrite		
				Hartlepool Anhydrite Formation	Cadeby Formation		
Ford Formation	Sprotbrough Member	ZS1					
Raisby Formation	Wetherby Member						
		Marl Slate Formation	Marl Slate Formation				

51

52 Figure 1. Stratigraphy and correlation of the UK Zechstein deposits between the Durham Sub-
 53 basin and Yorkshire Sub-basin. Approximate Zechstein Group dates taken from Menning et al.
 54 (2005, 2006).

55

56 2. Geological Setting

57 In western and central Europe, a Lopingian to early Triassic marine-continental
 58 transition accumulated in the intracontinental Southern Permian Basin (SPB). The SPB evolved
 59 on the former Variscan foreland in the latest Carboniferous-early Permian (Ziegler, 1990). The
 60 depositional area has a width of ca. 600 km and trends ca. 2,500 km WNW-ESE from northeast
 61 England, stretching across the southern North Sea, and into northern Germany and Poland. The
 62 SPB is delineated by several Variscan Highs including the London–Brabant, Rhenish and
 63 Bohemian massifs in the south, and the Mid North Sea, and Ringkøbing–Fyn highs in the north
 64 (Ziegler, 1990; Geluk, 2005; Peryt et al., 2010).

65 Within the SPB tectonism initially had a relatively minor influence on the deposition
 66 of the Lopingian-early Triassic deposits. Rather, evolution of the SPB is considered to have
 67 been influenced by thermal relaxation of the lithosphere (van Wees et al., 2000) causing
 68 differential subsidence across the basin. However, the role of tectonism increased in
 69 importance in the latest Permian-earliest Triassic (uppermost Zechstein-Buntsandstein) when
 70 tectonic pulses began to affect sedimentary successions.

71 The initial transgression of the Zechstein Sea was a consequence of rifting in the Arctic-
72 North Atlantic, accompanied by a contemporaneous rise in global sea levels. This resulted in
73 flooding of the SPB via the Viking Graben System (Ziegler, 1990). Although this flooding
74 pathway had existed previously and was responsible for minor and short-lived marine
75 incursions during the middle Permian Upper Rotliegend (Legler and Schneider, 2008), the
76 initial Zechstein transgression was a much more catastrophic flooding event. It is represented
77 by the deposition of the ‘Kupferschiefer’ (copper shale), or Marl Slate in the UK, which
78 indicates permanent flooding of the sub-sea-level SPB (Glennie and Buller, 1983; van Wees et
79 al., 2000).

80 The Kupferschiefer/Marl Slate was deposited under basin-wide euxinic conditions
81 (Pancost et al., 2002; Paul, 2006) and is a 0.5 m thick layer of black shale across the basin that
82 provides an excellent marker horizon utilised in regional correlation (Geluk, 2005; Doornenbal
83 and Stevenson, 2010). A Re-Os age of 257.3 ± 1.6 Ma for the Kupferschiefer in central
84 Germany (Brauns et al., 2003) indicates a Lopingian (early Wuchiapingian) age for the basal
85 Zechstein. However, this Re-Os age has been challenged (Słowakiewicz et al., 2009). Dating
86 of the Zechstein in central Germany places the Zechstein Transgression at 258 Ma (Menning
87 et al., 2005, 2006) (Figure 1).

88 During the Lopingian the SPB was located within the supercontinent Pangaea in the
89 northern hemisphere desert belt at 10-30°N (Glennie, 1983). Zechstein deposition took place
90 under arid and semi-arid climate conditions, the influence of which is documented by up to
91 seven cycles of sedimentation and stacked evaporation cycles (Figure 1). These provide a
92 framework for lithostratigraphical subdivision and facilitate basin-wide correlation (Richter-
93 Bernburg, 1955). While the Zechstein Sea existed, the SPB was filled with approximately
94 2000m of siliciclastics, carbonates and evaporites (Peryt et al., 2010) following the classic
95 model of cyclical chemical precipitation in a giant saline basin of Richter-Bernburg (1955). At
96 its maximum extent, towards the centre of the Zechstein Sea, the Zechstein Group consists of
97 seven evaporation cycles, the Werra (Z1), Staßfurt (Z2), Leine (Z3), Aller (Z4), Ohre (Z5),
98 Friesland (Z6) and Fulda (Z7) cycles.

99 The entire Zechstein sequence is considered to be of Lopingian age and accumulated
100 within a period of 2.8-3.5 Myr (Szurlics, 2013; Menning et al., 2005), 5 Myr (Menning et al.,
101 2006), or up to 9 Myr (Słowakiewicz et al., 2009) (Figure 1). There is no consensus yet for one
102 timescale for all of the Zechstein sequence and it is likely that different regions of the basin
103 were deposited at different times. The British Zechstein is yet to be dated and, so far, attempts
104 to match the Zechstein in the UK to the global chronostratigraphic timescale have been
105 unsuccessful (D. Grocke pers. comm.). Consequently, the dates presented here are based on
106 the latest magnetostratigraphical dating from the German/Dutch Zechstein successions (i.e.
107 Szurlics, 2013) and carbon isotope studies (e.g. Słowakiewicz et al., 2009).

108 The UK Zechstein crops out over northeast England from Country Durham south to
109 Nottinghamshire (Figure 2). These deposits accumulated in two sub-basins, separated by the
110 Cleveland High, which ran along the western margin of the sea: the Yorkshire Sub-basin to the
111 south and the Durham Sub-basin to the north (Smith, 1989). The sequence is divided into five
112 evaporation cycles represented by EZ1-5 (Figure 1). The Zechstein Group is separated from
113 the deposits of the Bakevellia Sea to the west by the low-lying, gently undulating topography
114 of the Protopennines (Stone et al., 2010).

115 The Zechstein displays an overall regressive and hypersaline trend, with the thickness
116 of strata thinning towards the margins of the SPB. As such, only the first three cycles display
117 a full cycle of lithologies including marine clastics, carbonates and evaporites. The upper cycles

118 are dominated by clay and siltstones and generally contain fewer evaporites. These evaporites
119 formed in more localised depressions and do not extend basin-wide (Smith, 1989).

120 The Kupferschiefer (Marl Slate) represents one of three regionally extensive marker
121 horizons used in correlation of the Zechstein (Geluk, 2005), the others being the ‘Grauer
122 Salzton’ (Grey Salt Clay/Illitic Shale) at the base of Z3, and the ‘Roter Salzton’ (Red Salt
123 Clay/Carnallitic Marl Formation) at the base of Z4. All three markers are assumed to represent
124 nearly synchronous flooding events (Doornenbal and Stevenson, 2010).

125 Zechstein lithostratigraphy is well-defined and correlated throughout the interior of the
126 SPB for cycles Z1 to Z3. However, correlation of the upper Zechstein is more difficult with
127 disagreement regarding correlation of the Z4 of Poland (PZ4a-e) with Z5-lower Z7 in Germany
128 (Wagner and Peryt, 1997; Käding, 2000; Peryt et al., 2010). In Germany, the Zechstein-
129 Buntsandstein boundary is placed at the base of the first prominent sandstone directly above
130 the Z7 Formation (Röhling, 1993 and references therein).

131 Zechstein deposition terminated when the connection with the Boreal Ocean was
132 interrupted by major clastic influx into the SPB (Ziegler, 1990). The SPB initially transitioned
133 into an extensive sabkha environment with isolated salinas, later becoming an extensive inland
134 playa lake in which the Lower Buntsandstein Subgroup was deposited (Hug, 2004; Hug and
135 Gaupp, 2006). Salt precipitation progressively retreated further into the basin centre during the
136 uppermost Zechstein. Tectonism resulted in downwarping of the central parts of the basin with
137 uplift creating minor unconformities at its margins (Geluk, 1999). Zechstein sedimentation
138 finally ended with the progradation of the uppermost part of EZ5/Z7, a sequence of fine-grained
139 clastic facies formerly named the ‘Bröckelschiefer’ (Peryt et al., 2010).

140 Figure 1 illustrates the general stratigraphical sequence of the Zechstein of northeast
141 England. Figure 2 illustrates the location of the Zechstein sequences analysed in this study and
142 Figure 3 illustrates the stratigraphy and correlation of the Zechstein sequences. Full
143 lithostratigraphical and facies descriptions are provided in Appendix A of the online
144 supplementary information. It is important to note that in northeast England only Cycles 1-3
145 are exposed. Later cycles (Cycles 4-5) and the Permian-Triassic boundary can only be accessed
146 by boreholes and are comparatively understudied.

147

148 **3. Previous palaeobotanical and palynological studies**

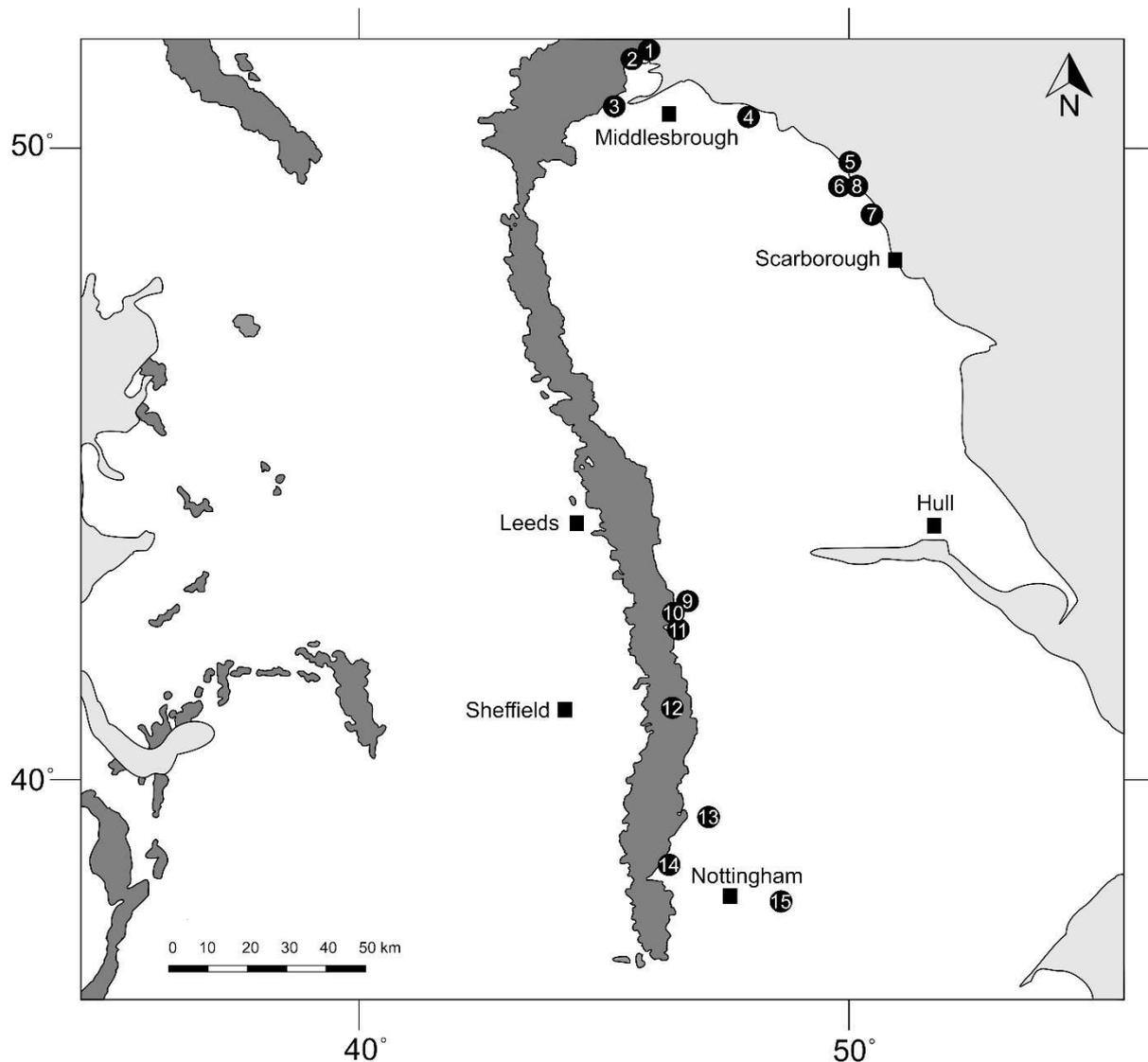
149 The palaeobotany of the British Zechstein sequence is well documented and comprises
150 a typical Euramerican flora dominated by gymnosperms (Stoneley, 1958; Schweitzer, 1986;
151 Cleal and Thomas, 1995). Rich palynomorph assemblages have previously been described
152 from the Zechstein of northeastern England (Wall and Downie, 1963; Clarke, 1965) as well as
153 equivalent deposits from the Bakevillia Sea of northwest England (Clarke, 1965; Warrington,
154 2008) and the Kings Court outlier in Ireland (Visscher, 1971, 1972). The palynology of other
155 Permian strata in the UK has been reviewed by Warrington and Scrivener (1988) and
156 Warrington (2005). All of these studies report mainly pollen assemblages (with subsidiary
157 spores) but include rare evidence for marine elements such as acritarchs and foraminiferal test
158 linings.

159 Wall and Downie (1963) reported the presence of marine acritarchs in Zechstein
160 deposits from Ashfield Brick Pit, Conisborough, Yorkshire. They were rare, with the
161 assemblages dominated by pollen, and included relatively simple forms such as *Veryhachium*
162 and *Micrystridium*.

163 The most comprehensive review of British Zechstein palynology is Clarke (1965).
164 Spore-pollen assemblages from the Hilton Plant Beds, Kimberley Railway Cutting and
165 Haughton Hall Boring in Nottinghamshire were reviewed. Thirty-three taxa were reported,
166 with assemblages containing an overwhelming dominance of *Lueckisporites virkkiae*, with
167 *Taeniaesporites noviaulensis* and *T. labdacus* also being very abundant. Clarke reported that
168 the most common non-taeniate taxa were *Klausipollenites schaubergeri*, *Falcisporites zapfei*,
169 *Labiisporites granulatus* and *Illinites delasaucei*. Monosaccate pollen were represented by
170 *Perisaccus granulatus*, *P. laciniatus*, *Potonieisporites novicus*, *Vestigisporites minutus* and
171 *Nuskosporites dulhuntyi*, although none of these species are common. Monosulcates are only
172 represented by the genus *Cycadopites*. Clarke suggested that the similarity seen between
173 Zechstein assemblages and other co-eval assemblages from the UK indicated that the
174 Lopingian vegetation was fairly uniform throughout this region. Due to the palynological
175 assemblages being derived from a very limited stratigraphical range it was not possible for
176 Clarke to comment on any temporal variation. Clarke also erected three variants of *L. virkkiae*
177 based on gross morphological differences. According to Clarke (1965) Variant A is the largest
178 and is described as having well-developed, distinctly separate proximal thickenings and well-
179 developed sacci, being most similar to the holotype and *L. microgranulatus* Klaus 1963.
180 Variant B has sacci that are less well-developed and a small saccus offlap, being most similar
181 to *L. parvus* Klaus 1963. Variant C has a weakly developed proximal cap that is not completely
182 separated into two halves and is generally smaller with a more elongate corpus, being most
183 similar to *L. microgranulatus* “kleinere variante” Klaus 1963.

184 Visscher (1971) reviewed the Permian and Triassic palynology of the Kingscourt
185 Outlier, Ireland, which is a correlative of the Bakevella and Zechstein seas. The oldest
186 assemblage described (Assemblage 1) was found to closely correlate with Lopingian Zechstein
187 assemblages from western Europe. This assemblage is dominated by *Lueckisporites virkkiae*,
188 *Jugasporites delasaucei*, *Klausipollenites schaubergeri* and *Limitisporites moerensis*.
189 *Perisaccus granulatus* and *Striatissaccus* sp. are minor components. However, typical Zechstein
190 forms *Falcisporites zapfei* (Potonié and Klaus, 1954), *Labiisporites granulatus* and
191 *Striatopodocarpites richteri* were notably absent, presumably due to the semi-isolated nature
192 of deposits of the Kingscourt Outlier to the west of the Zechstein Basin. Visscher proposed a
193 zonation, based on ‘palynodemes’ of *L. virkkiae*, which represents a rare attempt to apply a
194 palynology-based biostratigraphy to the Zechstein Group.

195 More recent work on the Permian palynology of the UK has tended to focus on
196 equivalents of the Zechstein deposits (Warrington and Scrivener, 1988; Legler et al., 2005;
197 Legler and Schneider, 2008; Warrington, 2005, 2008). For example, the Lopingian of Devon
198 yields poorly preserved bisaccate gymnosperm pollen (Warrington and Scrivener, 1988).
199 *Lueckisporites virkkiae*, *Perisaccus granulatus*, *Klausipollenites schaubergeri*, *Jugasporites*
200 *delasaucei*, *Protohaploxypinus microcorpus* and *Lunatisporites* spp. are found and are all
201 compatible with a Lopingian age. The Hilton Borehole, in the Valley of Eden in Cumbria,
202 yields the longest continuous section of Permian rocks in Britain and includes strata equivalent
203 to the Zechstein succession (EZ1) of eastern England (Jackson and Johnson, 1996). Warrington
204 (2008) described palynomorph assemblages, dominated by pollen, but also containing algal
205 remains. *Crucisaccates* cf. *variosulcatus* and possibly *Propriisporites pococki* were reported
206 for the first time from the Upper Permian of the UK.



207

208 Figure 2. Outcrop map of the UK Zechstein deposits showing the location of the boreholes and
 209 outcrop exposures considered in this paper. 1) Marsden Bay, 2) Claxheugh Rock, 3) Crime
 210 Rigg Quarry, 4) Little Scar, 5) SM7 Mortar Hall, 6) SM11 Dove's Nest, 7) SM4 Gough, 8)
 211 SM14b Woodsmith Mine, 9) Pot Riding, 10) Levitt Hagg Hole, 11) Sandal House, 12) Ashfield
 212 Brick Pit, 13) Salterford Farm, 14) Woolsthorpe Bridge, 15) Kimberley. Permian outcrops are
 213 shaded in grey.

214

215 4. Materials and Methods

216 The Zechstein sequence of northeastern England was extensively collected from both
 217 outcrop and boreholes to gather a set of samples for palynological analysis from throughout
 218 the sequence. A total of 192 samples were collected from six boreholes (SM4 Gough, SM7
 219 Mortar Hall, SM11 Dove's Nest, SM14b Woodsmith Mine North Shaft, Salterford Farm,
 220 Woolsthorpe Bridge) and outcrop samples from the Yorkshire Sub-basin (Kimberley Railway
 221 Cutting, Levitt Hagg Hole and Little Scar beach) and from the Durham Sub-basin (Claxheugh
 222 Rock, Crime Rigg Quarry and Marsden Bay). Figure 1 shows the location of the boreholes and
 223 outcrops discussed in this study. See Appendix B of the online supplementary information for

224 a list of the borehole samples and their lithology, and Appendix C for a list of the outcrop
225 samples. Appendix D provides more details of the stratigraphic range of the sampled
226 localities/boreholes. The sampling resolution was determined by the frequency of lithologies
227 suitable for palynomorph preservation and by the extent of exposed sections/borehole material
228 available for sampling.

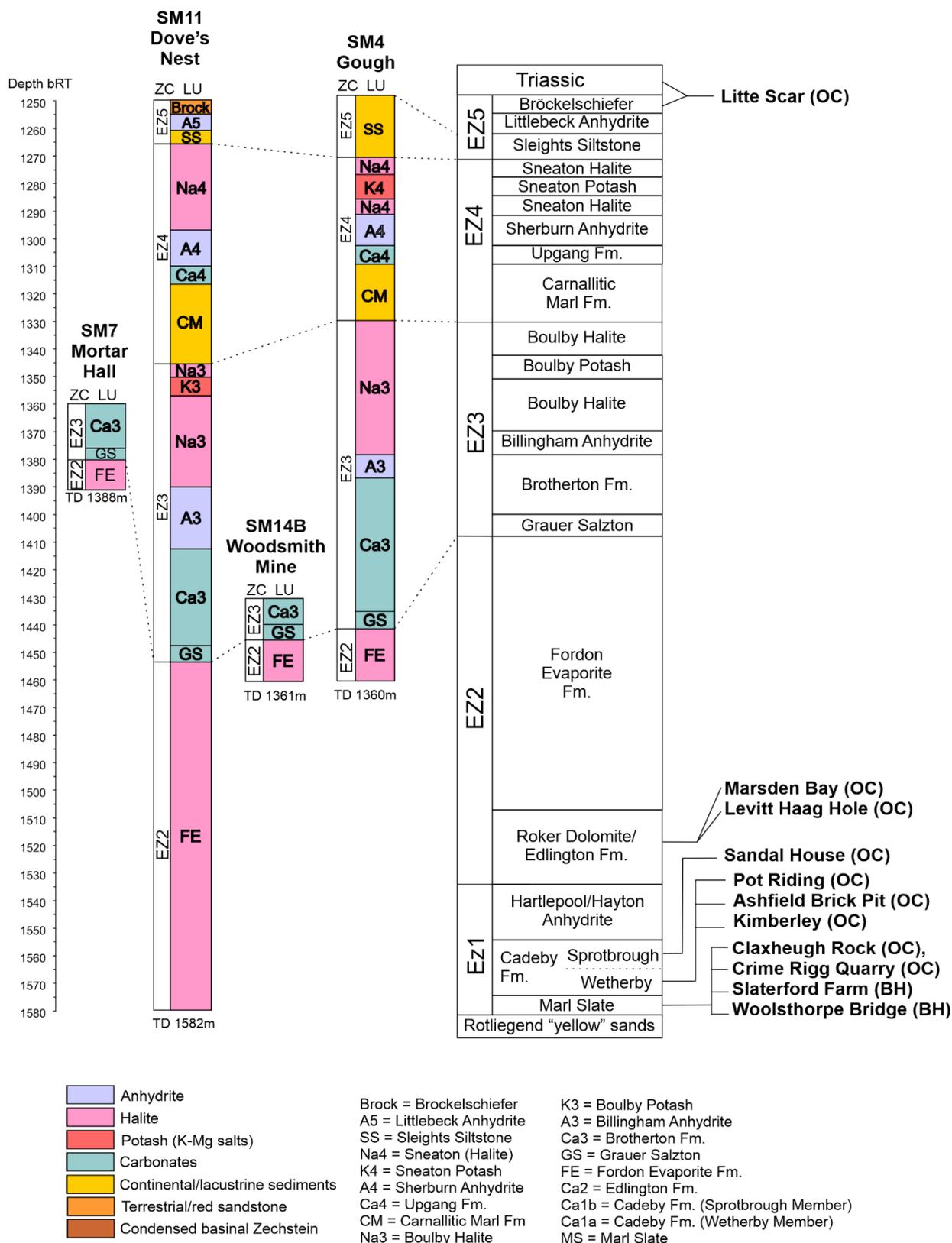
229 The samples were palynologically processed using different techniques depending on
230 whether the lithology was clastic-carbonate or evaporite. For clastic-carbonate lithologies 20g
231 of sample was prepared using standard palynological HCl-HF-HCl acid maceration techniques.
232 The residue was first top sieved at 10 μ m to detect the presence of acritarchs, then at 20 μ m, and
233 subjected to heavy liquid separation using zinc chloride to extract and concentrate the organic
234 residues. Evaporite lithologies were processed according to the method outlined in Gibson and
235 Bodman (2020), whereby samples are dissolved in hot water, boiled in concentrated 40% HF
236 for 15 minutes to remove any remaining clastics, then subjected to heavy liquid separation
237 using zinc chloride to extract and further concentrate any organic residue.

238 For productive samples, the residues varied considerably in palynomorph
239 colour/opacity. In most samples the palynomorphs were beautifully preserved and of very low
240 thermal maturity (translucent yellow-orange). In some samples the palynomorphs were of very
241 low thermal maturity and appeared hyaline (e.g. Marl Slate of the Durham Sub-basin). These
242 were stained using Bismark Brown to improve contrast and visibility of palynomorphs. On the
243 other hand, samples associated with evaporites were often opaque dark brown-black
244 (frequently 98-100% PDI) (Goodhue and Clayton, 2010; Clayton et al., 2017). This darkening
245 is unlikely to be due to high thermal maturity as the Zechstein deposits have not been subjected
246 to deep burial and high heat flow and palynomorph assemblages above and below are of very
247 low thermal maturity (see Gibson and Bodman (2020)). It appears to result from a diagenetic
248 effect of preservation within evaporites. Where necessary, samples were oxidized using
249 Schulze's reagent for up to 26 hours which cleared the palynomorphs to translucent orange.
250 Samples containing large quantities of amorphous organic matter (AOM) were treated with
251 pulsed ultrasound treatment to break up the AOM and prevent it from obscuring palynomorphs
252 during analysis. The organic residue was strew mounted onto glass slides for light-microscopic
253 (LM) analysis.

254 Specimens were analysed and photographed using a QImaging (Model No. 01-MP3.3-
255 RTV-R-CLR-10) camera mounted on an Olympus BH-2 transmitted light microscope in
256 conjunction with QCapture Pro software. All samples, residues, and LM slides are curated in
257 the Centre for Palynology at the University of Sheffield, UK.

258 Once a taxonomy had been established (at least one slide was logged for each sample
259 recording all taxa present) a minimum count was made for each sample. If sufficient
260 palynomorphs were present a minimum count of 200 was undertaken. When there were
261 insufficient palynomorphs the entire palynomorph population was counted. To accommodate
262 for differences in quantitative approach, samples containing a yield greater than 200 were
263 rarefied to 200. Damaged grains (>50%) identifiable as bisaccate or monosaccate pollen grains

264 were logged as Unidentifiable to avoid misclassification of samples as barren. The count data
 265 was recorded and manipulated using the packages StrataBugs 2.1 and R.

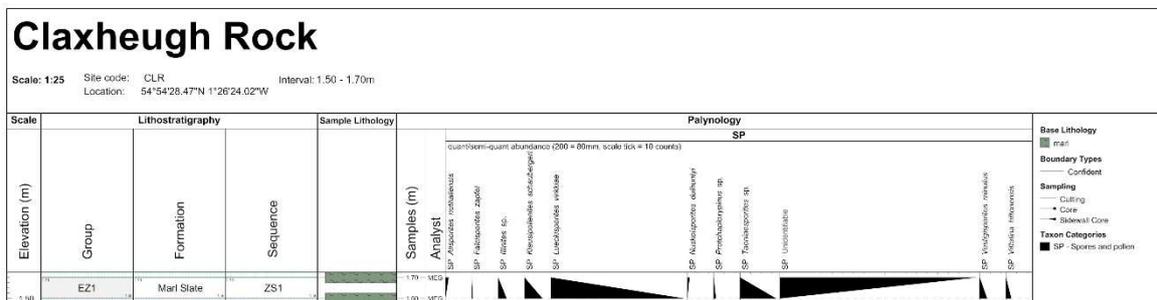


266 Figure 3. Stratigraphy and correlation of the sequences analysed in this study. OC = Outcrop,
 267 BH = borehole, ZC = Zechstein cycle, LU = Lithostratigraphic unit, and TD = Terminal Depth
 268 (m) of commercial boreholes. C = carbonates and E = evaporites.

269 **5. Palynological results**

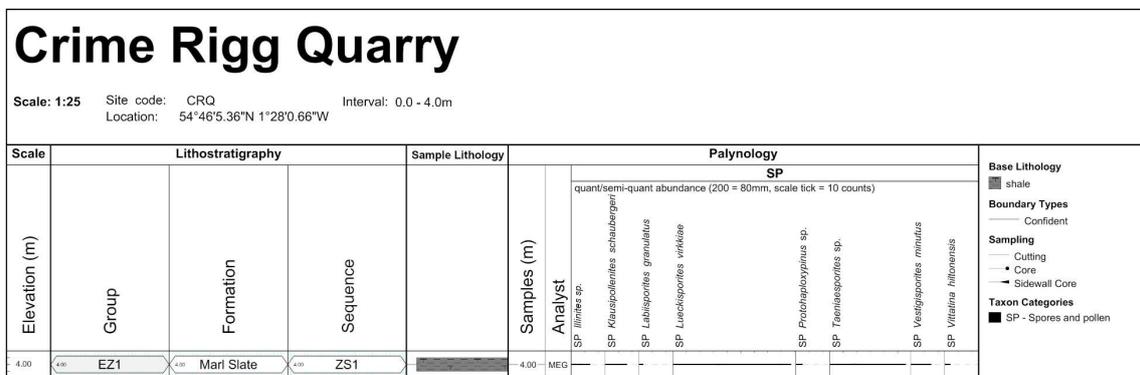
270 Recovery was highly variable from the different lithologies sampled. Many of the
 271 clastic deposits yield abundant organic residue containing rich and diverse assemblages of
 272 well-preserved palynomorphs of low thermal maturity. Most of the evaporite deposits produced
 273 very low yields of organic residue, although these often contained palynomorphs. However,
 274 palynomorphs from the evaporites were variable in preservation, varying both in quality of
 275 preservation (excellent to poor) and colour (translucent pale yellow to opaque black). All of
 276 the palynological samples were dominated by pollen, with very rare spores, and only occasional
 277 marine forms (acritarchs and foraminiferal test linings). A list of the taxa encountered is
 278 provided in Table 1 and illustrations of these taxa are in Plates I-III. Figures 4-14 illustrate the
 279 palynomorph occurrence and distribution for each locality. The raw data is provided in
 280 Appendix E. Table 2 summarises the palynomorph distribution.

281



282

283 Figure 4. Occurrence and distribution of palynomorphs from Claxheugh Rock.

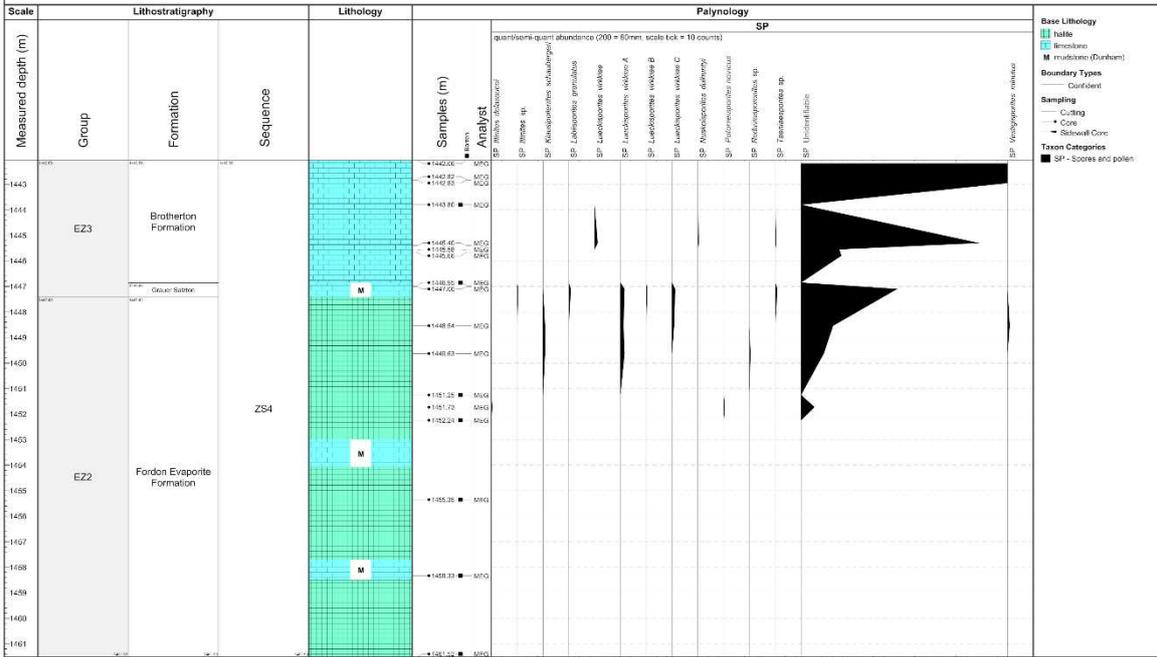


284

285 Figure 5. Occurrence and distribution of palynomorphs from Crime Rigg Quarry.

SM14b Woodsmith Mine

Scale: 1:100 Well Code: SM14BWOODSMITHMINE Location: 54°26'11"N 000°37'29"W
Operator: York Potash Ltd Interval: 142m - 1461m

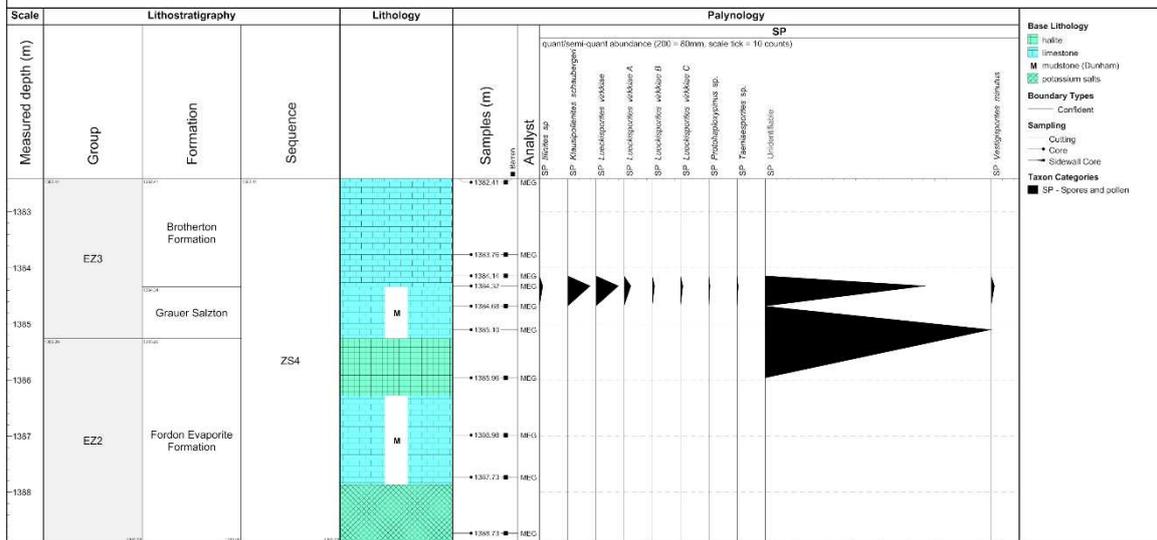


302

303 Figure 12. Occurrence and distribution of palynomorphs from SM14b Woodsmith Mine.

SM7 Mortar Hall

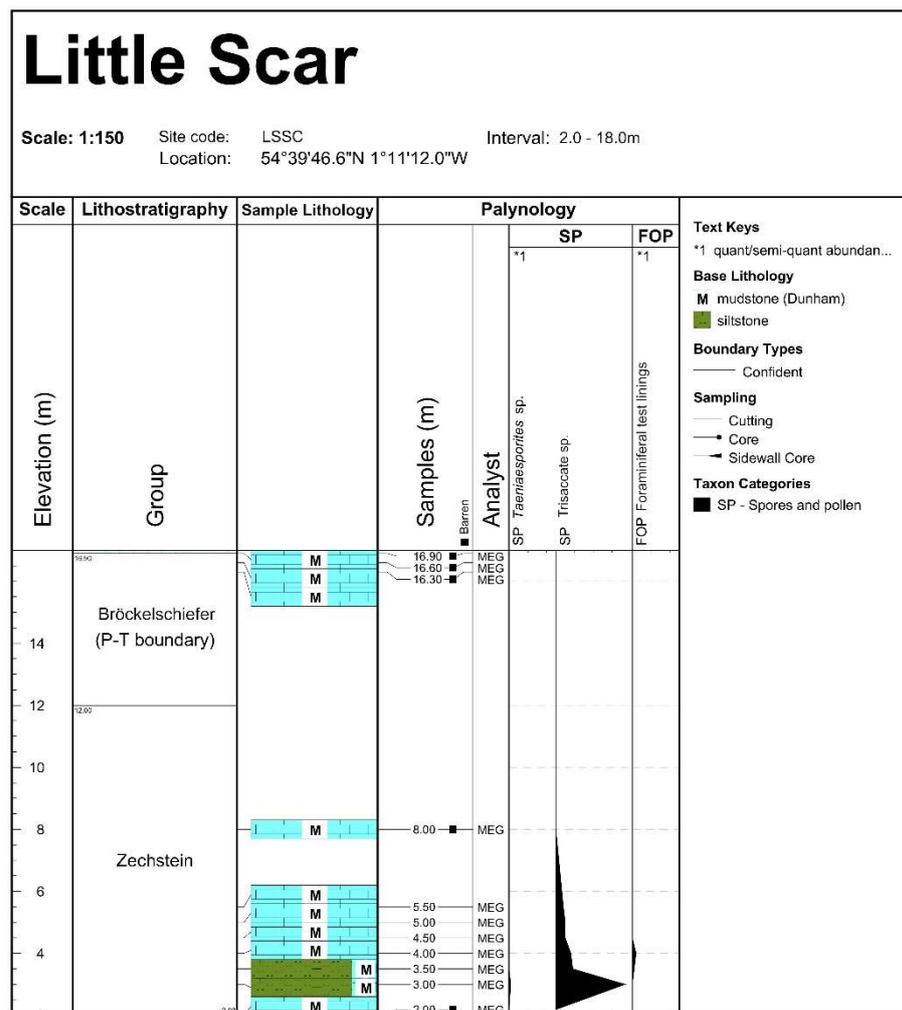
Scale: 1:50 Well Code: SM7MORTARHALL Location: 54°26'56.07"N 0°36'49.29"E
Operator: York Potash Ltd Interval: 1382m - 1388m



304

305 Figure 13. Occurrence and distribution of palynomorphs from SM7 Mortar Hall.

306



307

308 Figure 14. Occurrence and distribution of palynomorphs from Little Scar.

309

310 *5.1. General description of the spore/pollen assemblages*

311 The assemblages are often dominated by the taeniate bisaccate *Lueckisporites virkkiae*
 312 (Plate I, 1-5) occurring at sufficient frequencies to dominate assemblages by ~50.0%. Variant
 313 A (Plate I, 1, 2) is the most common variant, averaging 9.9-26.8% of assemblages, with Variant
 314 B (Plate I, 3) and Variant C (Plate I, 4, 5) being noticeably less abundance, 1.7-5.1% and 1.5-
 315 9.4% respectively. *L. virkkiae* maintains dominance throughout the Zechstein sequence.

316 *Taeniaesporites* spp. (Plate I, 6-12), the most common species of which is *T. labdacus*
 317 (up to 14.0%, average 0.7-5.9%) (Plate I, 6, 7), is present up until the Cycle 4 carbonates but
 318 no later. Average abundance ranges between 1.3-22.5%, with maximum abundance achieved
 319 in the Marl Slate. *Protohaploxylinus* spp. (Plate I, 13-16), the most common of which are *P.*
 320 *chaloneri* (Plate I, 13, 14) and *P. jacobii* (Plate I, 15, 16), has a slightly greater temporal range
 321 than *Taeniaesporites*, and is present into the Cycle 4 evaporites. *Protohaploxylinus* spp. ranges
 322 in abundance from 0.6-2.2% of assemblages, but individual species can reach abundances of
 323 3.4% (e.g. *P. jacobii* in the Cycle 3 evaporites). The presence and abundance of other taeniate
 324 species is also as expected from Lopingian assemblages. The distinct asaccate species *Vittatina*

325 *hiltonensis* (Plate II, 1, 2) maintains a rare abundance throughout of 0.5-3.17%. *Striatioabietites*
326 (Plate II, 3) and *Striatopodocarpites* (Plate II, 4, 5) occur at low abundances only, typically
327 <0.5% of the assemblages, but up to 2.0% in the Cycle 3 evaporites. *Platysaccus radialis* (Plate
328 II, 6) is a rare bisaccate only occurring in the Cycle 1 carbonates (1.0%) and very rare in the
329 Cycle 4 carbonates (<0.5%).

330 Regarding non-taeniate bisaccate pollen, *Klausipollenites schaubergeri* (Plate II, 7, 8)
331 is the most abundant and is present from the Marl Slate through to the Cycle 4 evaporites. It is
332 similar in morphology to *Vestigisporites minutus* (Plate II, 9-11), but distinctly bisaccate rather
333 than monosaccate and larger in size. *K. schaubergeri* exhibits a large range in abundance but
334 on average represents between 5.8-20.0% of assemblages. A maximum abundance of 27.0% is
335 achieved in the Cycle 2 carbonates, but abundances can be as low as 0.5%. *Illinites* spp. (Plate
336 II, 12-15) is the only other pollen grain taxon to occur in the Cycle 5 evaporites alongside
337 *Lueckisporites virkkiae*, however it is absent in the Cycle 4 evaporites and Cycle 5 carbonates.
338 It is easily identifiable with its distinct corpus and sacchi shape meaning its presence can be
339 recorded even in poorly preserved samples. *Illinites* spp. is represented by three species, with
340 *I. delasaucei* (Plate II, 12) being the most common (0.5-6.5%) and *I. klausii* (<0.5-3.5%) (Plate
341 II, 13) and *I. tectus* (0.5-5.2%) (Plate II, 14, 15) being present at lower abundances.
342 *Falcisporites zapfei* (Plate I, 12, 13) is the largest non-taeniate bisaccate recovered, occurring
343 at low abundances from the Marl Slate through to the Cycle 4 carbonates at <0.5-1.3%. The
344 distinctive bisaccate *Alisporites nuthallensis* (Plate II, 18) is present from the Marl Slate
345 through to the Cycle 4 carbonates at low abundances of 0.5-2.4%. The triangular-outlined
346 pollen species *Labiisporites granulatus* (Plate II, 19, 20) was observed at average abundances
347 of 0.5-5.1%, but with abundances as high as 18.0% in the Cycle 3 evaporites. This species
348 exhibits one of the largest variations in abundance in the studied material.

349 Large monosaccate pollen species are, as expected, rare e.g., *Nuskoisporites dulhuntyi*
350 (Plate III, 1), *N. cf. rotatus* (Plate III, 2), *Perisaccus granulatus* (Plate III, 3, 4) and
351 *Potonieisporites novicus* (Plate III, 5, 6). *Nuskoisporites* spp. and *P. granulatus* are present in
352 the Marl Slate through to the Cycle 4 carbonates, while *Potonieisporites novicus* has a similar
353 range yet is not present in the Marl Slate. These large monosaccate pollen typically only
354 represent 1.0-2.0% of assemblages or less. However, the small monosaccate *Vestigisporites*
355 *minutus* is one of the most common pollen species comprising on average 5.0% of assemblages.
356 *V. minutus* can comprise up to 17.5% of assemblages in Cycle 3 evaporites.

357 The possible cycad pollen, *Cycadopites rarus*, is very rare (0.5%). *C. rarus* is only
358 recorded in the Cycle 3 evaporites. Trilete spores (Plate II, 8, 9) maintain a low abundance
359 throughout Cycle 1-4 but reach their maximum presence of 13.6% in the assemblages of the
360 Cycle 4 evaporites. However, assemblages from Cycle 4 and Cycle 5 were of a lower yield
361 relative to earlier cycles. Trisaccate pollen grains are rare and may represent aberrant forms of
362 other pollen species (Foster and Afonin, 2005; Metcalfe et al., 2009). They occur at <0.5%
363 from Cycle 1 carbonates to Cycle 3 evaporites but are not found in assemblages beyond.
364 Tetrads are only found in Cycle 1 carbonates at maximum abundances of 0.5%. Cuticle
365 fragments of varying size (20-200µm) were common in assemblages, with larger fragments
366 recovered from the evaporite preparations than standard preparations.

367

368 *5.2. Description of palynomorph distribution by locality*

369

370 5.2.1. Cycle 1 transgression sediments and carbonates (EZ1 Ca) (Marl Slate and
371 carbonates): Claxheugh Rock and Crime Rigg Quarry exposures

372 Palynomorph assemblages from Cycle 1 of the Durham Sub-basin were recovered from
373 exposures of the Marl Slate at Claxheugh Rock (Figure 4) and Crime Rigg Quarry (Figure 5).
374 Palynological preparations contain vast amounts of amorphous organic matter (AOM).
375 Palynomorphs are present and are well-preserved and hyaline in appearance. The hyaline
376 nature hampered identification of palynomorphs, but genus-level identifications, and some
377 species-level identifications of distinct taxa, were possible after samples were stained with
378 Bismarck Brown.

379 The assemblages recovered from the exposure at Claxheugh Rock (Figure 4) were
380 composed of taeniate bisaccate species: *Lueckisporites virkkiae* (31.5%), *Protohaploxylinus*
381 sp. (1.0%), *Taeniaesporites* sp. (17.0%) and *Vittatina hiltonensis* (1.0%). Non-taeniate
382 bisaccate species include *Alisporites nuthallensis* (<0.5%), *Illinites* sp. (2.0%), *Klausipollenites*
383 *schaubergeri* (8.5%), rare *Labiisporites granulatus* (<1.0%) and *Falcisporites zapfei* (<0.5%).
384 Rare multisaccate *Crustaesporites globosus* (<0.5%) (Plate III, 7) was present. Monosaccate
385 pollen was represented by *Vestigisporites minutus* (3.5%) and rare *Perisaccus granulatus*
386 (<0.5%) and *Nuskoisporites dulhuntyi* (0.5%). One sample (CLR) did not contain
387 Unidentifiable palynomorphs but contains rare unidentifiable spores (<0.5%), while the other
388 sample (CLR2) contains 93.0% Unidentifiable palynomorphs.

389 The assemblage from Crime Rigg Quarry (Figure 5) was composed of the taeniate
390 bisaccate species *Lueckisporites virkkiae* (43.5%), *Protohaploxylinus* sp. (2.5%), rare
391 *Striatopodocarpites* sp. (<0.5%), *Taeniaesporites* sp. (28%), and *Vittatina hiltonensis*
392 (2.0%), and the non-taeniate bisaccate species *Illinites* sp. (7.0%), *Klausipollenites schaubergeri*
393 (8.0%), *Labiisporites granulatus* (1.5%), *Falcisporites zapfei* (<0.5%), as well as the
394 monosaccate pollen *Perisaccus granulatus* (<0.5%) and *Vestigisporites minutus* (7.5%).

395

396 5.2.2. Cycle 1 transgression sediments and carbonates (EZ1 Ca) (Cadeby Fm.:
397 Wetherby/Sprotbrough Mb.): Kimberley railway cutting exposure and the Salterford Farm and
398 Woolsthorpe Bridge boreholes

399 Palynomorph assemblages from Cycle 1 of the Yorkshire Sub-basin were recovered
400 from the Cadeby Fm. exposure in Kimberley railway cutting (Figure 6), Salterford Farm
401 borehole (Figure 7), and Woolsthorpe Bridge borehole (Figure 8). The palynomorphs were
402 well preserved and translucent. Both assemblages from Kimberley railway cutting, K5 and K6,
403 contain *Lueckisporites virkkiae* (K5 39.0%, K6 50.0%), *Taeniaesporites* sp. (K5 2.5%, K6
404 1.0%), *T. labdacus* (K5 10.5%, K6 6.5%), *T. novimundi* (K5 2.5%, K6 2%), *T. noviaulensis*
405 (K5 2.5%, K6 5.5%), *T. angulistriatus* (K5 2.0%, K6 2.0%), *T. albertae* (K6 7.5%),
406 *Protohaploxylinus jacobii* (K5 2.0%), *Striatopodocarpites antiquus* (K5 1.0%, K6 0.5%),
407 *Vittatina hiltonensis* (K5 2.5%), *Illinites* sp. (K5 5.5%), *I. delasaucei* (K5 9.5%, K6 8.5%), *I.*
408 *klausii* (K6 3.5%), *I. tectus* (K5 1.0%, K6 0.5%), rare *Nuskoisporites dulhuntyi* (K5 <0.5%) and
409 *Potonieisporites novicus* (K5 <0.5%), rare *Perisaccus granulatus* (K6 <0.5%), unidentifiable
410 spores (K5 2%, K6 0.5%), and rare tetrads (K6 0.5%).

411 Assemblages from the Salterford Farm borehole samples were composed of the taeniate
412 bisaccate species *Lueckisporites virkkiae* with Variant A (21.3%), Variant B (1.7%) and
413 Variant C (2.5%), *Protohaploxylinus* sp. (0.5%) *P. chaloneri* (<0.5%), *P. jacobii* (0.5%),
414 *Striatoabieites richteri* (0.5%), *Striatopodocarpites* sp. (0.5%), *Taeniaesporites* sp. (0.5%), *T.*
415 *albertae* (0.8%), *T. angulistriatus* (0.8%), *T. bilobus* (<0.5%), *T. labdacus* (2.8%), *T.*

416 *novimundi* (1.3%), *T. noviaulensis* (0.8%). The non-taeniate bisaccate pollen *Alisporites*
417 *nuthallensis* (2.5%), *Falcisporites zapfei* (0.9%), *Illinites delasaucei* (5.1%), *I. tectus* (0.5%),
418 *Klausipollenites schaubergeri* (14.3%), *Labiisporites granulatus* (3.5%), *Platysaccus radialis*
419 (1.0%) and *Potonieisporites novicus* (0.5%) were recovered. The multisaccate *Crustaesporites*
420 *globosus* was recorded (0.5%). Monosaccate pollen were represented by *Nuskoisporites*
421 *dulhuntyi* (0.5%), *Perisaccus granulatus* (0.6%) and *Vestigisporites minutus* (6.7%).
422 *Reduviasporonites* sp. was found throughout (2.7%), trisaccates were rare (0.4%) and spores
423 were found in all samples (1.6%). A solitary tetrad in YFP6368 was recovered and a single
424 foraminiferal test lining was recovered from SF465. The Unidentifiable palynomorph
425 component averages at 33.0%.

426 The sample of Marl Slate obtained from Woolsthorpe Bridge borehole (Figure 8) was
427 not species rich and was dominated by Unidentifiable palynomorphs (56.1%). Identifiable
428 taeniate bisaccate pollen include *Lueckisporites virkkiae* Variant A (15.8%), Variant B (1.8%)
429 and Variant C (3.5%), *Taeniaesporites labdacus* (1.8%), *T. albertae* (3.5%), and non-taeniate
430 bisaccate pollen include *Klausipollenites schaubergeri* (12.3%) and *Labiisporites granulatus*
431 (1.8%). The only monosaccate taxon present was *Vestigisporites minutus* (3.5%).

432 The remaining Cycle 1 carbonates, sampled at Ashfield Brick Pit, Sandal House, and
433 Pot Riding, were essentially barren of palynomorphs, containing only a few palynomorphs of
434 such poor preservation they lacked characters that enabled them to be identified even as
435 Unidentifiable pollen grains. Therefore, these sites were designated as barren.

436

437 5.2.3. Cycle 1 evaporites (EZ1 A) (Hartlepool Anhydrite/Hayton Anhydrite)

438 Cycle 1 evaporites were not covered in the sampling range of this study as they are not
439 represented by any of the borehole material and they are not exposed at outcrop as a result of
440 dissolution.

441

442 5.2.4. Cycle 2 carbonates (EZ2 Ca) (Roker Dolomite/Edlington Fm. and Kirkham Abbey Fm.): 443 Marsden Bay

444 A sample of red mudstone from the Edlington Fm. collected from outcrop at Levitt
445 Hagg Hole was barren of palynomorphs. Samples of Roker Dolomite Fm. from Marsden Bay
446 (MB1-6) (Figure 9) contained taeniate and non-taeniate bisaccate pollen, as well as rare
447 monosaccate pollen. The assemblage of MB2 was the best preserved. The taeniate bisaccate
448 taxa were represented by *Lueckisporites virkkiae* (53.5%), and *Taeniaesporites* sp. (1.8%) is
449 also abundant, with *T. angulistriatus* (<0.5%), *T. labdacus* (0.5%) and *T. novimundi* (<0.5%).
450 *Protohaploxypinus* sp. (3.1%) was present, with *P. chaloneri* (<0.5%) and *P. jacobii* (<0.5%)
451 present. *Striatopodocarpites* sp. (<0.5%) was rare and only found in one sample (MB2).
452 *Vittatina hiltonensis* (0.9%) was present at rare abundance in MB1-3. Smooth bisaccate pollen
453 was represented by abundant *Illinites* sp. (6.1%) with rare *I. delasaucei* (<0.5%) only recovered
454 from MB2. *Klausipollenites schaubergeri* was abundant (23.3%), while *Labiisporites*
455 *granulatus* (1.0%), *Alisporites nuthallensis* (0.9%) was uncommon, and *Falcisporites zapfei*
456 (<0.5%) (MB4-6) and *Potonieisporites novicus* were rare (<0.5%). The monosaccate pollen
457 *Nuskoisporites dulhuntyi*, and *Perisaccus granulatus* were rare (<0.5%), and *Vestigisporites*
458 *minutus* (MB1-2) was found in MB1-2. The Unidentifiable component was only present in
459 MB1 and only comprises 16% of the assemblage. Unidentifiable trisaccate pollen grains were
460 recovered from MB1 (<0.5%).

461 The remaining samples from Marsden Bay (MB4-6) contained similar assemblages, but
462 typically of lower yield. MB4 contains an exceptionally well-preserved assemblage but was of
463 low yield (n=102), with *Lueckisporites virkkiae* composing 20.0% of the assemblage. There
464 were no Unidentifiable palynomorphs, but *L. virkkiae* Variant A was abundant (14.0%) while
465 Variant B was rare (<0.5%). Other taeniate genera *Taeniaesporites* sp. were present (1.8%) as
466 well as *Protohaploxypinus* spp. which was only found in MB4 (0.5%), with rare *P. chaloneri*
467 (<0.5%) and *P. microcorpus* (<0.5%) recovered from MB5. The non-taeniate bisaccate pollen
468 *Illinites* sp. (10.7%), *Falcisporites zapfei* (0.5%), and *Klausipollenites schaubergeri* (16.7%)
469 were abundant in MB4-6. The only monosaccate taxon recovered from MB4-6 was the small
470 species *Vestigisporites minutus* that was only recovered from MB5 (2.5%). Unidentifiable
471 pollen grains comprised on average 54.5% of the assemblages.

472

473 5.2.5. Cycle 2 evaporites (EZ2 A, EZ2 K, EZ2 Na) (Fordon Evaporites Fm): SM4, SM11 and 474 SM14b boreholes

475 The borehole material captures both the lower (SM11) and upper (SM4, SM14b) parts
476 of the Fordon Evaporite Fm.. In borehole SM11 (Figure 10), the lower part of the Fordon
477 Evaporite Fm. contained assemblages with a low yield. Palynomorphs were mostly of the
478 Unidentifiable type, corroded, dark and fragmented. However, some very well-preserved
479 specimens of *Illinites* sp., *Klausipollenites schaubergeri*, *Reduviasporonites* sp.,
480 *Lueckisporites virkkiae* Variant A and Variant B, and *Vestigisporites minutus* were recovered.

481 The upper part of the Fordon Evaporite Fm. in SM11 (Figure 10) contained a more
482 varied assemblage with samples containing greater yields. Very high proportions of
483 Unidentifiable pollen grains persisted, as well as some very well-preserved palynomorphs
484 originating in the evaporites. Assemblages were dominated by *Lueckisporites virkkiae* Variant
485 A, *Klausipollenites schaubergeri*, *Vestigisporites minutus* and assorted *Illinites* sp.,
486 *Taeniaesporites* sp. and *Protohaploxypinus* sp.

487 On average assemblages from the Fordon Evaporite Fm. from SM11 (Figure 10)
488 contained taeniate bisaccate species *Lueckisporites virkkiae* Variant A (13.3%), as well as
489 Variant B (4.1%) and Variant C (3.8%), *Taeniaesporites* sp. (5.2%), rare *T. angulistriatus*
490 (0.5%), *T. albertae* (1.3%), *T. noviaulensis* (1.0%), and *T. labdacus* (1.31%), rare
491 *Protohaploxypinus* sp. (1.3%) including *P. chaloneri* (0.8%), *P. jacobii* (0.5%) and *P.*
492 *microcorpus* (0.5%). *Striatopodocarpites antiquus* (0.5%) and *Vittatina hiltonensis* (0.5%)
493 were very rare. The non-taeniate bisaccate pollen were represented by *Klausipollenites*
494 *schaubergeri* (6.4%), *Illinites* sp. (5.1%) including *I. delasaucei* (1.8%), and rare *Labiisporites*
495 *granulatus* (1.8%), *Falcisporites zapfei* (0.5%) and *Potonieisporites novicus* (0.5%).
496 Monosaccate pollen were rare and were represented by *Nuskoisporites dulhuntyi* (0.5%), yet
497 *Vestigisporites minutus* was abundant (6.7%). *Reduviasporonites* sp. was present (9.6%), yet
498 samples were dominated by Unidentifiable palynomorphs (74.52%). Some trisaccate pollen
499 grains were recovered (6.67%), as well as rare spores (0.5%) and very rare acritarchs (<0.5%).

500 The Unidentifiable component appears to increase in prominence throughout the upper
501 part of the Fordon Evaporite Fm. Species richness and abundance of palynomorphs also
502 appears to increase throughout the Fordon Evaporite Fm. as the proportion of Unidentifiable
503 palynomorphs progressively dominates samples.

504 Borehole SM4 (Figure 11) captures the top of the Fordon Evaporite Fm., where samples
505 either had a high yield or a very low yield (<100 pollen grains) yet were still dominated by
506 Unidentifiable palynomorphs (95.1%), which indicates pollen transport from a nearby source

507 vegetation. In addition to the Unidentifiable palynomorphs, rare *Lueckisporites virkkiae* (1.8
508 %) including Variant A (0.5%) and *L. virkkiae* Variant C (1.5%), *Taeniaesporites* sp. (4.3%),
509 *Protohaploxylinus chaloneri* (1.5%), *Klausipollenites schaubergeri* (0.5%) and *Vittatina*
510 *hiltonensis* (<0.5%), and unidentifiable spores (1.0%) were recovered. Only in the lower parts
511 of the Fordon Evaporite Fm. were the three “Variants” of *L. virkkiae* distinguishable.

512 In borehole SM14b (Figure 12), the Fordon Evaporite Fm. palynomorph assemblages
513 were generally well-preserved and of a high yield. Although containing a high proportion of
514 Unidentifiable palynomorphs (80.0%), *Lueckisporites virkkiae* Variant A (11.0%) and Variant
515 C (5%) are present, as well as *Klausipollenites schaubergeri* (5.9%), *Potonieisporites novicus*
516 (7.0%) and *Illinites delasaucei* (7.0%), and *Reduviasporonites* sp. (3.0%). *L. virkkiae* Variant
517 C was the latest variant to appear in the core relative to the other two.

518

519 *5.2.6. Cycle 2 – Cycle 3 transitional (EZ3 Ca) (grey salt clay/illitic shale/Grauer Salzton):*
520 *SM4 borehole*

521 In SM4 (Figure 11) the Grauer Salzton/Brotherton Fm. boundary assemblage was
522 dominated by Unidentifiable palynomorphs (87.7%), but unlike the other boreholes containing
523 palynomorphs identifiable to species level the assemblage was found to contain *Lueckisporites*
524 *virkkiae* Variant A (3.8%), Variant B (0.9%), and Variant C (2.8%), *Illinites* sp. (0.9%),
525 *Labiisporites granulatus* (1.9%), *Taeniaesporites* sp. and *T. labdacus* (0.9%). In borehole SM7
526 (Figure 13) a single sample captures the palynology of the Grey Salt Clay. It contains an
527 abundant assemblage composed only of Unidentifiable palynomorphs (100.0%). In borehole
528 SM11 (Figure 10) this transitional stratum was either barren, or was composed of a very
529 abundant sample of only 100.0% Unidentifiable palynomorphs. In SM14b (Figure 12)
530 assemblages of the Grauer Salzton are barren.

531

532 *5.2.7. Cycle 3 carbonates (EZ3 Ca) (Brotherton Fm.): SM7, SM11 boreholes*

533 In borehole SM7 (Figure 13) a single assemblage from the Brotherton Fm. was
534 recovered. Though of low yield (<200) the assemblage contains the taeniate bisaccate species
535 *Lueckisporites virkkiae* (10.0%), including “Variants A” (2.5%), Variant B (1.0%) and very
536 rare Variant C (0.5%). *Taeniaesporites* sp. (1.0%) was rare with *T. noviaulensis* (<0.5%) being
537 very rare. *Protohaploxylinus* sp. was rare (<0.5%), *Illinites* sp. (1.5%) is present.
538 *Klausipollenites schaubergeri* (8.0%) was present, *Vestigisporites minutus* was rare (0.5%) and
539 was the only monosaccate species recovered. Well over half of the assemblage was composed
540 of Unidentifiable palynomorphs (74.0%).

541 Many of the Brotherton Fm. samples from borehole SM11 (Figure 10) were barren
542 (n=15). Recovered assemblages contain abundant palynomorphs, but were dominated by
543 Unidentifiable palynomorphs (90.2%), presumably an effect of adverse preservational
544 conditions during deposition. There appears to be an increase in the Unidentifiable component
545 from the end of Cycle 2 evaporites through to the Brotherton Fm. However, not all samples
546 were dominated by Unidentifiable palynomorphs. Some assemblages were very well-
547 preserved.

548 Pollen taxa that occurred in low abundances include the taeniate bisaccate species
549 *Lueckisporites virkkiae* (4.8%) including abundant *L. virkkiae* Variant A (10.4%), with *L.*
550 *virkkiae* Variant B (3.5%) and Variant C (1.3%), *Protohaploxylinus* sp. (3.3%),
551 *Striatopodocarpites antiquus* (0.8%), *Taeniaesporites* spp. (2.0%) including *T. angulistriatus*

552 (0.5%), *T. noviaulensis* (0.5%), and *T. labdacus* (0.5%). Non-taeniate bisaccate pollen
553 recovered were *Alisporites nuthallensis* (<0.5%), *Falcisporites zapfei* (<0.5%), *Illinites* sp.
554 (3.3%) *I. klausii* (<0.5%) and *I. delasaucei* (0.5%), *Klausipollenites schaubergeri* (5.9%),
555 *Labiisporites granulatus* (1.0%) and *Potonieisporites novicus* (<0.5%). The monosaccate
556 pollen *Vestigisporites minutus* (7.8%), *Nuskoisporites* cf. *rotatus* (<0.5%), and *Nuskoisporites*
557 *dulhuntyi* (<0.5%) were present. Trisaccate pollen grains and spores, and *Reduviasporonites*
558 sp. were all rare (<0.5%).

559 The sample of Brotherton Fm. from SM4 (Figure 11) was barren. However, the sample
560 of Brotherton Fm. from SM14b (Figure 12) contained an abundant yield of pollen, dominated
561 by Unidentifiable palynomorphs (99.5%), but also contained very rare occurrences of
562 *Lueckisporites virkkiae* (1.7%), and *Taeniaesporites* sp. (0.6%)

563

564 5.2.8. Cycle 3 evaporites (Billingham Anhydrite (EZ3 A), Boulby Potash (EZ3 K) and Boulby
565 Halite (EZ3 Na)): SM11 borehole

566 Assemblages in borehole SM11 (Figure 10) became better-preserved throughout the
567 evaporites, especially in the Billingham Anhydrite and Boulby Halite.

568

569 5.2.9 Cycle 3 anhydrite (Billingham Anhydrite): SM11 borehole

570 There was no recovery from the Billingham Anhydrite or lower part of the Boulby
571 Halite in SM4 (Figure 11).

572 In SM11 (Figure 10) the Billingham Anhydrite assemblages yielded *Lueckisporites*
573 *virkkiae* (7.5%), including Variant A (16.9%), Variant B (2.8%) and Variant C (3.5%),
574 *Protohaploxylinus* sp. (1.3%), *P. chaloneri* (1.3%), *P. jacobii* (1.4%), *P. microcorpus* (0.9%)
575 and *P. cf. samoilovichii* (0.5%), *Taeniaesporites* sp. (2.8%), *T. angulistriatus* (1.2%), *T.*
576 *labdacus* (2.6%) and *T. novimundi* (1.5%) and *T. noviaulensis* (1.5%), *Striatopodocarpites* sp.
577 (0.6%), *Striatoabietes antiquus* (1.6%) and rare *Vittatina hiltonensis* (<0.5%). Non-taeniate
578 bisaccate pollen were represented by *Alisporites nuthallensis* (1.8%), *Falcisporites zapfei*
579 (0.9%), *Illinites* sp. (5.0%), *I. delasaucei* (4.2%), *Klausipollenites schaubergeri* (16.3%),
580 *Labiisporites granulatus* (1.5%), *Potonieisporites novicus* (0.8%). The multisaccate
581 *Crustaesporites globosus* was present but rare (0.5%). The monosaccate pollen were
582 represented by *Nuskoisporites dulhuntyi* (0.8%), *Perisaccus granulatus* (0.9%) and
583 *Vestigisporites minutus* (6.3%). *Reduviasporonites* sp. (1.7%), spores (1.8%), and acritarchs
584 (0.5%), were rare.

585

586 5.2.10. Cycle 3 potassium-magnesium salts (Boulby Potash): SM11 borehole

587 In SM11 (Figure 10) the Boulby Potash yielded an assemblage composed of the taeniate
588 bisaccate species *Lueckisporites virkkiae* (49.5%), *Protohaploxylinus* sp. (0.5%), *P. jacobii*
589 (2.5%), *P. microcorpus* (1.5%), *Taeniaesporites albertae* (2%), *T. labdacus* (2.5%) and *T.*
590 *noviaulensis* (2.0%), the non-taeniate bisaccate species *Falcisporites zapfei* (1.0%), *Illinites*
591 *tectus* (4.0%), *Klausipollenites schaubergeri* (4.5%), *Labiisporites granulatus* (18.0%),
592 *Potonieisporites novicus* (0.5%), and the monosaccate species *Vestigisporites minutus* (5.0%)
593 and *Perisaccus granulatus* (1.0%). *Reduviasporonites* sp. was present (5.0%), as well as very
594 rare spores (<0.5%).

596 5.2.11. Cycle 3 halite (Boulby Halite): SM4, SM11 boreholes

597 In borehole SM11 (Figure 10) the Boulby Halite contained the taeniate bisaccate
 598 species *Lueckisporites virkkiae* (13.0%) including Variant A (21.7%), Variant B (1.4%) and
 599 Variant C (9.3%), *Protohaploxylinus* sp. (0.5%), including the species *P. chaloneri* (2.3%) and
 600 *P. jacobii* (2.5%), *Taeniaesporites* sp. (3.76%), *T. angulistriatus* (0.5%), *T. labdacus* (2.5%),
 601 the non-taeniate bisaccate species *Falcisporites zapfei* (1.0%), *Illinites* sp. (<0.5%),
 602 *Klausipollenites schaubergeri* (8.9%), *Labiisporites granulatus* (9.3%), *Potonieisporites*
 603 *novicus* (0.5%). The monosaccate pollen were represented by *Vestigisporites minutus* (6.2%)
 604 and *Perisaccus granulatus* (1.0%). *Reduviasporonites* sp. was present (2.6%) as well as very
 605 rare trisaccate species (<0.5%). The Unidentifiable component averaged at 58.7% of the
 606 assemblage.

607 In borehole SM4 (Figure 11) assemblages of Boulby Halite were either barren or
 608 contained assemblages of low yield, dominated on average 90.8% by Unidentifiable
 609 palynomorphs. *Klausipollenites schaubergeri* (8.7%), *Lueckisporites virkkiae* (11.0%),
 610 *Vestigisporites minutus* (2.9%), *Taeniaesporites* sp. (1.45%) including *T. novimundi* (2.9%)
 611 were recovered. It is in the Boulby Halite that *Vestigisporites minutus* makes its first
 612 appearance in borehole SM4, although earlier occurrences cannot be excluded on the basis of
 613 recovery of *V. minutus* in the Fordon Evaporite Fm. of borehole SM11.

614

615 5.2.12. Cycle 3 – Cycle 4 transition Carnallitic Marl Fm. (Rotten Marl): SM4, SM11 boreholes

616 Exceptionally well-preserved assemblages were extracted from the Carnallitic Marl
 617 Fm. in borehole SM11 (Figure 10) using standard palynological acid maceration techniques,
 618 representing the first time palynomorphs have been recorded from the formation.

619 In SM4 (Figure 11) an assemblage from the base of the Carnallitic Marl Fm. was not
 620 diverse or of high yield, but it was sufficiently well-preserved, relative to the underlying
 621 Boulby Halite, to recover *Lueckisporites virkkiae* (11.6%), *Klausipollenites schaubergeri*
 622 (8.7%), *Vestigisporites minutus* (9.2%), *Taeniaesporites* sp. (1.5%) including *Taeniaesporites*
 623 *novimundi* (2.9%), and Unidentifiable palynomorphs (72.5%)

624 Assemblages from the Carnallitic Marl Fm. of borehole SM11 (Figure 10) were of
 625 varying quality. Assemblages contained moderate amounts of Unidentifiable palynomorphs
 626 (15.3%) as well as distinctly well-preserved pollen grains. Taeniate bisaccate pollen were
 627 represented by the species *Lueckisporites virkkiae* (52.0%) including *L. virkkiae* Variant A
 628 (20.0%), *L. virkkiae* Variant B (4.4%), *L. virkkiae* Variant C (3.6%), *Protohaploxylinus* sp.
 629 (1.5%), *P. chaloneri* (1.7%), *P. jacobii* (0.8%), *Striatopodocarpites fusus* (<0.5%),
 630 *Taeniaesporites* sp. (3.0%), *T. albertae* (1.3%), *T. angulistriatus* (2.7%), *T. bilobus* (0.5%), *T.*
 631 *labdacus* (5.9%), *T. noviaulensis* (2.2%), *T. novimundi* (0.6%), and *Vittatina hiltonensis*
 632 (0.6%). Non-taeniate bisaccate pollen were represented by *Alisporites nuthallensis* (0.8%),
 633 *Falcisporites zapfei* (1.2%), *Illinites* sp. (3.0%), *I. delasauei* (2.5%), *I. klausii* (0.5%), *I. tectus*
 634 (2.1%), *Klausipollenites schaubergeri* (11.4%), *Labiisporites granulatus* (0.8%),
 635 *Potonieisporites novicus* (0.5%). The multisaccate *Crustaesporites globosus* was present
 636 (1.0%). Monosaccate pollen were represented by *Nuskoisporites dulhuntyi* (<0.5%),
 637 *Perisaccus granulatus* (0.5%), and *Vestigisporites minutus* (5.1%). *Reduviasporonites* sp.
 638 (2.5%) and rare acritarchs (0.5%) were also present. The Carnallitic Marl Fm. in SM11 is the
 639 only recorded instance of *Taeniaesporites bilobus* in borehole SM11.

640

641 5.2.13. Cycle 4 carbonates (EZ4 Ca) Uppang Fm.: SM4, SM11 boreholes

642 In SM11 (Figure 10) the Uppang Fm. yielded assemblages composed of the taeniate
643 species *Lueckisporites virkkiae* (52.0%), *Protohaploxypinus chaloneri* (0.5%), *P. jacobii*
644 (<0.5%), *Taeniaesporites angulistriatus* (1.0%), *T. labdacus* (6.0%), *T. noviaulensis* (1.5%),
645 *T. novimundi* (1.0%), *Striatoabieites richteri* (<0.5%), *Striatopodocarpites antiquus* (<0.5%),
646 *Vittatina hiltonensis* (1.0%), and the non-taeniate bisaccate species *Falcisporites zapfei* (1%),
647 *Illinites delasaucei* (2.0%), *Klausipollenites schaubergeri* (16.5%), *Labiisporites granulatus*
648 (1.5%), *Potonieisporites novicus* (<0.5%). The monosaccate pollen were represented by
649 *Perisaccus granulatus* (0.5%) and *Vestigisporites minutus* (9.0%). *Reduviasporonites* sp.
650 (1.0%) was present but rare, and the Unidentifiable component was comparatively reduced
651 (4.0%). The Uppang Fm. was not sampled in SM4 (Figure 11).

652

653 5.2.14. Cycle 4 evaporites (Sherburn Anhydrite (EZ4 A), Sneaton Halite (EZ4 Na) and Sneaton
654 Potash (EZ4 K)): SM4, SM11 boreholes

655 Analysis of rock salt samples yielded palynological recovery from the Cycle 4
656 evaporites. Palynomorphs have not previously been recorded from either the Cycle 4 or Cycle
657 5 evaporites as they are not lithologies typically targeted for palynological analysis and are
658 often missing from borehole cores as the underlying Carboniferous and earlier Permian strata
659 are more commercially important. Therefore, the results presented here from Cycle 4 and above
660 provide a unique insight into the vegetation during latest Zechstein times.

661 In SM11 (Figure 10) two samples from the lower Sneaton Halite, were of low yield and
662 composed mostly of Unidentifiable pollen grains, however *Lueckisporites virkkiae* Variant C,
663 *Klausipollenites schaubergeri*, and *Reduviasporonites* sp. were recovered as well. In SM11
664 one sample from the upper Sneaton Halite contained only rare Unidentifiable pollen (n=3).

665 In SM11 the Sherburn Anhydrite assemblage was dominated by Unidentifiable pollen
666 grains (71.4%), and contained the taeniate bisaccate pollen *Lueckisporites virkkiae* (3.2%), *L.*
667 *virkkiae* Variant C (9.4%), the non-taeniate bisaccate pollen *Klausipollenites schaubergeri*
668 (1.6%), *Labiisporites granulatus* (9.1%), *Potonieisporites novicus* (9.1%), and considerable
669 abundance of *Reduviasporonites* sp. (22.8%).

670 In borehole SM4 (Figure 11), the Sneaton Halite and Sneaton Potash assemblages did
671 not have a high yield (<200 count) and are dominated by Unidentifiable palynomorphs (88.9-
672 100.0%), though they contained *Klausipollenites schaubergeri* (7.4%) and *Lueckisporites*
673 *virkkiae* (3.7%). The upper Sneaton Halite of borehole SM11 contained a single sample of very
674 low yield composed only of Unidentifiable palynomorphs.

675 The Sneaton Potash in SM4 was dominated by Unidentifiable pollen grains (91.8%),
676 with the assemblage also containing *Lueckisporites virkkiae* (8.2%) and *Klausipollenites*
677 *schaubergeri* (8.5%).

678

679 5.2.15. Cycle 5 carbonates (EZ5 Ca) Sleights Siltstone: SM4 borehole

680 The Sleights Siltstone from borehole SM4 (Figure 11) contained an assemblage
681 composed only of Unidentifiable palynomorphs at a very low yield (<200 count) (sample SM4
682 1258.63 m).

683

684 5.2.16. Cycle 5 evaporites (Littlebeck Anhydrite and Bröckelschiefer): SM11 borehole

685 There was no recovery from the Littlebeck Anhydrite in any of the borehole material.
686 However, the Bröckelschiefer in SM11 (Figure 10) yielded an assemblage composed of
687 *Lueckisporites virkkiae* Variant C (2.0%), *Illinites* sp. (5.0%) and dominated by Unidentifiable
688 pollen grains (93.0%)

689

690 5.2.17. Latest Zechstein: Little Scar Beach outcrop

691 Samples from Little Scar (Figure 14) were mostly barren yet those that did contain a
692 yield had a very low abundance of palynomorphs (<50). However, *Taeniaesporites* sp. was
693 found in LSSC 1 (2.5%), and LSSC 1-6 did contain very low abundances of Unidentifiable
694 palynomorphs (80.0-100.0%). LSSC 1 had the highest yield of palynomorphs at 38
695 palynomorphs per slide. What is most interesting about these samples is that LSSC 2 and LSSC
696 3 contained benthic foraminiferal test linings (Plate III, 10), which are indicative of relatively
697 normal marine conditions. Foraminiferal test linings were incredibly rare throughout the
698 material used in this study, only otherwise found in material from Salterford Farm borehole
699 belonging to the Marl Slate (EZ1) (Plate III, 11). Belonging to Cycle 4-5, the strata at Little
700 Scar beach form a useful comparison the strata of a younger age from Cycle 1.

701

702 5.3. Summary of the distribution of taxa within the stratigraphical sequence

703

704 5.3.1. Cycle 1 Marl Slate: the principal Zechstein transgression

705 The Marl Slate assemblages are generally very well preserved as they were recovered
706 from bituminous anoxic shale. In terms of average percentage abundance, they are dominated
707 by *Lueckisporites virkkiae* (53.5%) and *Taeniaesporites* spp. (22.5%), but also contain
708 *Klausipollenites schaubergeri* (8.3%), *Illinites* sp. (5.5%), *Vestigisporites minutus* (5.5%),
709 *Vittatina hiltonensis* (2.5%), and less than 2.0% each of *Alisporites nuthallensis*,
710 *Crustaesporites globosus*, *Nuskoisporites dulhuntyi*, *Perisaccus granulatus*,
711 *Protohaploxypinus* spp., *Striatopodocarpites* sp. and spores. In samples where Unidentifiable
712 pollen grains are present, they represent 93.0% of the assemblage indicating poor preservation.
713 Many pollen grains are of a hyaline nature making species identification difficult. Recovery of
714 benthic coiled foraminiferal test linings from Salterford Farm borehole sample SF465
715 corroborates marine conditions during deposition.

716

717 5.3.2. Cycle 1 Carbonates

718 The Cycle 1 carbonates assemblages are generally more speciose than those from the
719 Marl Slate and the palynomorphs are less hyaline in nature making species differentiation and
720 identification easier. Assemblages are still dominated by *Lueckisporites virkkiae* (44.5%), but
721 here the three variants of Clarke (1965) are distinguishable. *Klausipollenites schaubergeri* is
722 more abundant than in the Marl Slate (13.7%) as well as *Illinites* sp. (5.5%) but with the three
723 species *I. delasaucei*, *I. klausii* and *I. tectus* distinguishable, of which *I. delasaucei* reached
724 abundances of 9.5%. *Vestigisporites minutus* is of similar abundance (4.8%) while *Vittatina*
725 *hiltonensis* increased in abundance (3.2%). A variety of *Taeniaesporites* spp. are present

726 including *T. albertae* (5.5%), *T. angulistriatus* (1.7%), *T. labdacus* (4.5%), *T. noviaulensis*
727 (3.0%) and *T. novimundi* (1.8%). *Alisporites nuthallensis* (2.4%) and *Labiisporites granulatus*
728 (2.6%) increase in abundance. *Protohaploxylinus* sp. (0.6%), *P. jacobii* (1.3%), and
729 *Falcisporites zapfei* (1.0%) are less abundant than in the Marl Slate, becoming rare, while
730 *Nuskoisporites dulhuntyi* (0.5%), *Perisaccus granulatus* (0.5%), and *Striatopodocarpites* sp.
731 (0.5%) maintain a rare abundance. *Striatoabieites richteri* (0.5%) and *Reduviasporonites* sp.
732 (3.4%) appear, along with very rare tetrads (<0.5%). *Crustaesporites globosus*, *Cycadopites*
733 *rarus* and acritarchs are not present. In the Cycle 1 carbonates better preservation enables the
734 identification of more species, giving the impression of increased species richness. According
735 to previous literature Zechstein Cycles 1 and 2 yielded the richest palynomorph assemblages,
736 which this data supports, but a preservational bias should not be ignored.

737

738 5.3.3. Cycle 2 Carbonates

739 The Cycle 2 carbonate assemblages are also dominated by *Lueckisporites virkkiae*
740 (53.5%), but only Variant A is distinguishable. *Alisporites nuthallensis* becomes rare (1.0%),
741 *Illinites* sp. increases in abundance (8.4%), *I. delasaucei* decreases dramatically (<0.5%) and
742 *I. klausii* and *I. tectus* disappeared. *Klausipollenites schaubergeri* (20.0%) and *Taeniaesporites*
743 spp. (4.6%) increases in abundance yet fewer species are present with *T. noviaulensis* absent,
744 and overall the abundance of individual species decreases, suggesting *Taeniaesporites* is not
745 well enough preserved to distinguish species in these assemblages. *Protohaploxylinus* spp.
746 continues to be rare (2.2%) however more species are present including *P. chaloneri* (0.5%),
747 *P. jacobii* (<0.5%), and *P. microcorpus* (1.0%). *Nuskoisporites dulhuntyi* (1.0%) and
748 *Perisaccus granulatus* (<0.5%) maintains a rare abundance. *Vestigisporites minutus* decreases
749 in abundance by over a half (1.9%). *Falcisporites zapfei* (1.0%), *Vittatina hiltonensis* (0.5%),
750 spores (<0.5%), *Striatopodocarpites* sp. (<0.5%) and *Potonieisporites novicus* (<0.5%) are
751 rare. *Striatoabieites richteri*, *Platysaccus radialis*, and *Reduviasporonites* sp. disappears and
752 *Crustaesporites globosus*, *Cycadopites rarus*, acritarchs, and tetrads are still absent.
753 Unidentifiable pollen grains comprises on average 44.9% of assemblages, slightly less (~4.5%)
754 than in the Cycle 1 carbonates.

755

756 5.3.4. Cycle 2 Evaporites

757 The Cycle 2 evaporite assemblages contains *Lueckisporites virkkiae* (5.5%) at much
758 lower abundances but with all three variants distinguishable, due to a relative increase in the
759 abundance of Unidentifiable pollen grains (77.4%), a result of many poorly preserved
760 assemblages. Consequently, the abundance of many species appears to decline. *Illinites* sp.
761 experiences a slight decline in abundance (5.6%) with *I. delasaucei* increasing in abundance
762 (2.5%), and *I. klausii* reappearing (<0.5%) while *I. tectus* remains absent. *Klausipollenites*
763 *schaubergeri* dramatically declines in abundance (6.4%), *Nuskoisporites dulhuntyi* declines
764 (0.5%). *Protohaploxylinus* sp. is rare (1.3%), with little change in the species remaining from
765 the Cycle 2 carbonates; *P. chaloneri* (1.5%), *P. jacobii* (0.5%), and *P. microcorpus* (0.5%).
766 *Taeniaesporites* spp. overall experiences a slight increase in abundance (5.1%) and a
767 reorganization of species as *T. noviaulensis* appears (1.0%) while *T. novimundi* disappears. *T.*
768 *albertae* (1.3%) and *T. angulistriatus* (0.5%) maintain rare abundances. *Striatoabieites richteri*
769 remains absent and *Striatopodocarpites* sp. disappears. *Vittatina hiltonensis* continues to be
770 rare (<0.5%). *Alisporites nuthallensis* (1.0%) as well as *Perisaccus granulatus* (0.5%) maintain
771 low abundances. *Falcisporites zapfei* disappears. However, some species experience increases
772 in abundance such as *Labiisporites granulatus* (1.8%), *Nuskoisporites* cf. *rotatus* appears for

773 the first time (<0.5%), *Platysaccus radialis* reappears but is very rare (<0.5%), *Potonieisporites*
774 *novicus* increases slightly in abundance (2.6%). *Reduviasporonites* sp. reappears at
775 considerable abundance (8.4%). *Cycadopites rarus*, acritarchs and tetrads remain absent while
776 spores maintain a rare abundance (0.8%).

777

778 5.3.5. Cycle 3 Carbonates

779 The Cycle 3 carbonate assemblages display a continuing trend of increasingly abundant
780 Unidentifiable pollen grains (89.1%) at the expense of identifiable species. *Lueckisporites*
781 *virkkiae* is present at yet again slightly lower abundances (4.5%), however all variants are
782 distinguishable. *Alisporites nuthallensis* becomes very rare (<0.5%). *Illinites* sp. declines again
783 in abundance (3.1%), along with *I. delasaucei* (0.5%), while *I. tectus* maintains its very rare
784 abundance (<0.5%). *Klausipollenites schaubegeri* continues to decline (5.9%), as does
785 *Labiisporites granulatus* (1.5%). *Nuskoisporites* cf. *rotatus* (<0.5%), *N. dulhuntyi* (<0.5%), and
786 *Potonieisporites novicus* (0.5%) maintain rarity. No individual species of *Protohaploxylinus*
787 are distinguishable, and *Protohaploxylinus* sp. maintains rarity (1.0%). *Reduviasporonites* sp.
788 dramatically decreases from 8.8% to extremely rare (<0.5%). *Taeniaesporites* spp. experiences
789 a considerable decrease in abundance (1.6%) with *T. labdacus* (0.7%), *T. noviaulensis* (1.0%)
790 and *T. angulistriatus* remaining rare (1.0%). *Vestigisporites minutus* decreases further in
791 abundance (3.2%). *Striatoabieites richteri* remains absent, *Perisaccus granulatus*, *Platysaccus*
792 *radialis* and *Vittatina hiltonensis* are not present. However, *Striatopodocarpites antiquus*
793 reappears but is rare (0.5%) alongside *Falcisporites zapfei* (<0.5%). Trisaccate pollen grains
794 are very rare (<0.5%).

795

796 5.3.6. Cycle 3 Evaporites

797 In the Cycle 3 evaporites many species experience an increase in abundance
798 accompanied by a decline in the proportion of Unidentifiable pollen grains (60.9%). *Alisporites*
799 *nuthallensis* maintains rarity (1.8%), *Crustaesporites globosus* reappears but is rare (<0.5%),
800 *Cycadopites rarus* occurs for the only time in the Zechstein succession (0.5%), *Falcisporites*
801 *zapfei* maintains rarity (0.9%). *Illinites* sp. increases (5.0%) and *I. delasaucei* (4.2%) and *I.*
802 *tectus* (5.2%) experience large increases, while *I. klausii* is absent. The abundance of
803 *Klausipollenites schaubegeri* returns to Cycle 2 levels (14.1%), and *Labiisporites granulatus*
804 also recovers (5.1%). *Lueckisporites virkkiae* also starts to recover but does not reach early
805 Zechstein abundances (8.9%), however all three variants are distinguishable, with Variant A
806 notably accounting for 18.6% of assemblages. *Nuskoisporites dulhuntyi* is still rare (0.8%) as
807 well as *Potonieisporites novicus* (0.9%), and *Perisaccus granulatus* reappears (0.9%). All
808 species of *Protohaploxylinus* are present with undifferentiated individuals only comprising
809 1.1% of assemblages. *P.* cf. *samoilovichii* (0.5%), *P. chaloneri* (1.5%), *P. jacobii* (1.7%), and
810 *P. microcorpus* (1.1%) reappear. *Reduviasporonites* sp. increases slightly (1.8%),
811 *Striatopodocarpites antiquus* is still rare (1.2%) and *Striatopodocarpites* sp. reappears (1.1%).
812 *Taeniaesporites* sp. increases (2.9%) and *T. albertae* (2.0%) and *T. novimundi* (1.7%) reappear,
813 while *T. angulistriatus* maintains low abundance (1.1%). *T. labdacus* (2.6%) and *T.*
814 *noviaulensis* (1.8%) both increase marginally in abundance. *Vestigisporites minutus* increases
815 in abundance (5.9%), *Vittatina hiltonensis* reappears although is very rare (<0.5%). However,
816 some species do not recover. *Nuskoisporites* cf. *rotatus* is absent. *Platysaccus radialis* and
817 *Striatoabieites richteri* remain absent, as do tetrads. However, acritarchs (0.5%) and trisaccate
818 pollen grains (0.5%) were recovered although no spores were recovered.

819

820 5.3.7. Cycle 4 Carbonates

821 The Cycle 4 carbonate assemblages are once again dominated by *Lueckisporites*
822 *virkkiae* (52.0%), with all three variants distinguishable. The Unidentifiable component is
823 considerably reduced (13.9%). Other species also experience increases in abundance.
824 *Crustaesporites globosus* increases slightly (1.0%). *Nuskosporites* cf. *rotatus* reappears
825 (1.0%) however, *N. dulhuntyi* decreases slightly (0.5%). *Platysaccus radialis* reappears at very
826 rare abundances (<0.5%). *Striatoabieites richteri* reappears and *Striatopodocarpites fusus*
827 appears for the first time, both at very rare abundances (<0.5%). *Taeniaesporites* sp. increases
828 slightly (3.0%), with increases also seen in *T. angulistriatus* (2.3%), and *T. labdacus* (5.9%).
829 *T. bilobus* appears for the first and only time (0.5%). *T. albertae* (1.3%), *T. noviaulensis* (0.7%)
830 and *T. novimundi* (0.7%) maintain their rarity alongside *Vittatina hiltonensis* (0.7%).
831 *Vestigisporites minutus* more or less maintains abundance (5.6%). Other species experience
832 noticeable reductions. *Klausipollenites schaubergeri* declines slightly in abundance (12.1%),
833 *Alisporites nuthallensis* maintains low abundance (0.5%), while *Illinites* sp. reduces slightly
834 (3.0%), with slight decreases in *I. delasaucei* (2.4%) and *I. tectus* (2.0%), while *I. klausii*
835 reappears at low abundances (0.5%). *Perisaccus granulatus* (0.5%) and *Potonieisporites*
836 *novicus* (0.5%) maintain rare abundances. *Protohaploxypinus* sp. (1.5%) is present at low
837 abundance within individual species also occurring at low abundance or being rare; *P.*
838 *chaloneri* (1.4%), *P. jacobii* (0.9%) and *P. microcorpus* (0.5%). *Reduviasporonites* sp. declines
839 slightly (1.8%), *Striatopodocarpites antiquus* disappears. *Cycadopites rarus* and
840 *Striatopodocarpites* sp. are absent. Acritarchs maintain a rare abundance (0.5%), while tetrads
841 and spores remain absent. No trisaccate pollen grains are recovered after the Cycle 4
842 carbonates.

843

844 5.3.8. Cycle 4 evaporites and Cycle 5

845 The assemblages from Cycle 5 carbonates and evaporites are comparatively
846 impoverished with samples having low yields, a trend that appears to start in the Cycle 4
847 evaporites. The Cycle 4 evaporites contain an assemblage dominated by Unidentifiable pollen
848 grains (84.2%), accompanied by the fungus/algae *Reduviasporonites* sp. (22.8%),
849 *Lueckisporites virkkiae* (15.7%), *Protohaploxypinus* cf. *samoilovichii* (9.1%), and
850 *Klausipollenites schaubergeri* (5.8%). In the Cycle 5 carbonates only Unidentifiable pollen
851 grains (96.0%) were recovered from borehole material, and benthic coiled foraminiferal test
852 linings (15.0%) from outcrop at Little Scar Beach (samples LSSC 2 and LSSC3). The Cycle 5
853 evaporites from borehole material only contain sparse *Illinites* sp. (5.0%), *Lueckisporites*
854 *virkkiae* (2.0%) and an abundance of Unidentifiable pollen grains (93.0%).

855

856 5.4. Comparison of the Durham and Yorkshire Sub-basins

857 Comparisons between spore-pollen assemblages from the Yorkshire and Durham Sub-
858 basins are only possible for the lower cycles as the upper cycles from the Durham Sub-basin
859 have not yielded palynomorphs.

860 In terms of the lowest cycle Cycle 1 The Marl Slate/'Lower Magnesian Limestone'
861 assemblages in Salterford Farm borehole (Yorkshire Sub-basin) contain more taxa than
862 Claxheugh Rock and Crime Rigg Quarry (Durham Sub-basin). However, differences are likely
863 the result of differential preservation as the proportion of Unidentifiable pollen grains is

864 considerably lower in Salterford Farm compared to the samples from Claxheugh Rock and
865 Crime Rigg Quarry, and in general samples from the Marl Slate of the Durham Sub-basin are
866 more hyaline in appearance than those from the Yorkshire Sub-basin. *Illinites delasaucei*,
867 *Klausipollenites schaubegeri*, *Lueckisporites virkkiae* Variant A, *Vestigisporites minutus* are
868 the most common species in Salterford Farm. *Lueckisporites virkkiae*, *Taeniaesporites* spp.,
869 *Illinites* spp., *Vestigisporites minutus* and *Klausipollenites schaubegeri* are most abundant
870 taxa in Claxheugh Rock, and *Illinites* spp., *Klausipollenites schaubegeri*, *L. virkkiae*,
871 *Taeniaesporites* spp. and *Vestigisporites minutus* are the most common species in Crime Rigg
872 Quarry. In addition, *Taeniaesporites* spp. is more abundant in the Durham Sub-basin. It appears
873 that *Vestigisporites minutus* is not a common component of early Zechstein palynofloras. *V.*
874 *minutus* is present in low abundance at Kimberley and in Woolsthorpe Bridge borehole, but
875 maintains considerable presence through the Salterford Farm borehole. In the Durham Sub-
876 basin *V. minutus* is only present in one sample from Claxheugh Rock yet is reasonably
877 abundant in the single sample from Crime Rigg Quarry.

878 The Cadeby Formation exposed in the Kimberley railway cutting, that was
879 palynologically analysed by Clarke (1965), was resampled. The assemblages described here
880 correspond to samples K5 and K6 of the original study. Our findings are very similar to those
881 of Clarke (1965), but with some minor differences that concern observations on taxa that are
882 rare in the assemblages. Our study did not recover *Striatopodocarpites cancellatus* or
883 *Labiisporites granulatus*. The reprocessed K5 sample revealed the presence of *Taeniaesporites*
884 *angulistriatus*, *Striatopodocarpites antiquus*, *Vittatina hiltonensis*, *Illinites tectus*, *Perisaccus*
885 *granulosus*, *Nuskoisporites dulhuntyi*, *Potonieisporites novicus* and *Alisporites nuthallensis*.
886 Both the original study and this study note the absence of *Vestigisporites minutus*, a species
887 that is abundant throughout the borehole and outcrop material. *Alisporites nuthallensis* was
888 identified in this analysis of the Kimberley material and different *Protohaploxylinus* species
889 were identified in Clarke's study. These two genera are both rare meaning any disparity in
890 presence and abundance is likely due to the rarity of these two taxa and the low probability of
891 all species occurring in all of the slides made from the same sample.

892 Previous work on the Cycle 1 carbonates from Woolsthorpe Bridge (Warrington, 1980;
893 Berridge et al., 1999) recorded the presence of a characteristic Zechstein miospore assemblage
894 composed of *Alisporites* sp., *Crustaesporites* cf. *globosus*, *Falcisporites zapfei*,
895 *Klausipollenites schaubegeri*, *Lueckisporites virkkiae*, ?*Perisaccus granulosus*,
896 *Protohaploxylinus* spp., *P.* cf. *chaloneri*, *P.* cf. *jacobii*, *P. microcorpus*, ?*Striatopodocarpites*
897 sp., *Taeniaesporites* spp., *T. labdacus*, *T. noviaulensis*. *Labiisporites granulatus*,
898 *Taeniaesporites albertae*, and *Vestigisporites minutus* were not recorded (G. Warrington pers.
899 comm.) but have been recovered during this study.

900 Recovery of an assemblage from the Cadeby Formation, comparable to those from the
901 'Lower Marl' at Kimberley, Cinderhill, and Woolsthorpe Bridge, has previously been reported
902 from Salterford Farm (Warrington, 1980; Berridge et al., 1999). The age of these assemblages
903 has been interpreted as Lopingian based on the presence of *Lueckisporites virkkiae*.

904

905 6. Reconstructing the Zechstein flora

906 6.1. General comments: palaeoecology

907 The Zechstein Sea was located within the Permian Euramerican phytogeographical
908 province with a flora dominated by abundant conifers and pteridosperms with rare
909 ginkgophytes, sphenophytes, ferns, lycopsids and potentially cycads (Schweitzer 1986; Cleal

910 and Thomas, 1995). Detailed descriptions of Lopingian Euramerican flora have been provided
911 for England, Germany and Poland (e.g. Kurtze, 1839; Geinitz, 1869; Solms-Laubach, 1884;
912 Gothan and Nagelhard, 1923; Weigelt, 1928, 1930; Stoneley, 1958; Schweitzer, 1960, 1962,
913 1968, 1986; Ullrich, 1964; Poort and Kerp, 1990; Brandt, 1997; Uhl and Kerp, 2002), Spain
914 (Bercovici et al., 2009), and the southern Alps (e.g. Clement-Westerhof, 1984, 1987, 1988;
915 Visscher, 1986; Kutstatcher et al., 2012, 2014, 2017; Labandiera et al., 2016). A Zechstein-
916 type flora has also been reported from Belgium (Florin, 1954). The floras are generally found
917 in deposits of marginal marine or fluvial lowstand settings (e.g., Ullrich, 1964, Weigelt,
918 1928; Weigelt, 1930; Schweitzer, 1968; Schweitzer, 1986; Uhl and Kerp, 2002). It appears that
919 the vegetation was fairly uniform across the basin, low in diversity and dominated by conifers
920 (see Table 2), suggesting an arid to semi-arid environment and with plants adapted to periodic
921 water stress.

922 The British Zechstein flora has been described in detail by Stoneley (1958) and
923 Schweitzer (1986). Due to the suggested Lopingian climate trend towards increasing
924 aridification the Zechstein flora has been interpreted as most abundant during Cycle 1 and the
925 principal transgression and gradually disappearing from Cycle 2 onwards (Schweitzer, 1986).
926 A similar trend of gradual decline was also suggested based on previous interpretation of
927 palynomorph assemblages (Pattison et al., 1973; Smith et al., 1974).

928 Botanically based climatic inferences can be made from anatomical structures or by
929 comparisons with other similar Lopingian Euramerican floras. The conifers and peltasperms
930 from the Zechstein Basin and southern Europe exhibit some xerophorphic adaptations. They
931 have very thick papillate cuticles, deeply sunken stomata, stomatal pores covered by
932 overarching papillae, and some conifers leaves seem to have been thick and fleshy. However,
933 not all taxa in the plant assemblages are fully adapted to xerophytic conditions.

934 The British Zechstein flora was previously divided into two groups based on their
935 palaeoecology (Schweitzer, 1986). A xerophytic *Callipteris*-conifer association, which
936 corresponds to the *Callipteris-Walchia* association of Gothan and Gimm (1930), and a
937 hygrophilic *Neocalamites*-Sphenopterid association that corresponds to the *Calamites*-
938 *pecopterid* (fern) association of Gothan and Gimm (1930). However, given more recent
939 reconstructions of Lopingian Euramerican vegetation (e.g., Bercovici et al., 2009; Kutstatcher
940 et al., 2012, 2014; Labandiera et al., 2016; Kustatscher et al., 2017) a different structure is
941 proposed. It is likely that conifers (e.g., *Ullmannia*, *Pseudovoltzia*, *Ortesia*) with their more
942 xerophytic adaptations occupied the arid to semi-arid, well-drained, inland and hinterland areas
943 and low-lying slopes of the Protopennines. Pteridosperms (peltasperms) (e.g., *Peltaspermum*)
944 with their thicker cuticles likely inhabited coastal areas, living in drier lowland patches, and in
945 the hinterlands with the conifers. Horsetails (*Neocalamites*) likely occupied wet lowland
946 riparian environments, shallow water coastal bogs and lakes, and the mouth of rivers.
947 Pteridosperms (e.g. *Sphenopteris*) likely inhabited slightly less humid habitats while
948 ginkgophytes (e.g. *Sphenobaieria*) and potential cycads (e.g. *Pseudoctenis*, *Taeniopteris*)
949 inhabited more humid lowland areas near bodies of water.

950 The dominance of taeniate bisaccate pollen of conifer and pteridosperm affinity in this
951 study concurs with previous investigations. While their dominance in assemblages likely
952 reflects the general nature of the parent vegetation, it should be noted that multitaeniate pollen
953 grains were produced by multiple plant groups (Chaloner, 2013), and there may also be a
954 taphonomic bias towards bisaccate pollen due to their thick exines. Pollen grains of probable
955 ginkgo and possible cycad (*Cycadopites rarus*) affinity are rare. This is expected as
956 ginkgophytes are known to be rare components of the Zechstein flora, with some exceptions
957 (e.g. Bauer et al. 2014), and potential cycads even rarer. However, this may also be the result

958 of a taphonomic bias. Finally, interpreting the exact nature and habitat of the vegetation is also
959 complicated by the lack of autochthonous plant remains beyond Cycle 1.

960

961 6.2. *Vegetation change through time*

962 The changing nature of spore-pollen assemblages through the Zechstein sequences of
963 northeast England, as reported in this study, can be interpreted to document the changing nature
964 of the flora. The affinities of many of the spore-pollen taxa are well documented. However, in
965 some cases the lack of in situ occurrences means that some abundant bisaccate taxa, such as
966 *Labiisporites granulatus*, and some monosaccate pollen taxa, such as *Vestigisporites minutus*
967 and *Perisaccus granulatus*, have not yet been assigned to a parent plant group.

968 The palynological data spans almost the entire temporal extent of the Zechstein,
969 allowing a reinterpretation of the flora. Plants were assumed to gradually disappear after Cycle
970 2 due to aridification and high rates of evaporation, with aridity being enhanced by the
971 decreasing magnitude of successive transgressive episodes. Instead, the assemblages from the
972 upper Zechstein Cycle 4 and Cycle 5 show that the conifer-pteridosperm dominated flora
973 persisted through to the Permian-Triassic boundary. This was likely facilitated by increasing
974 fluvial activity, seen in the increase in terrigenous fluvial sediments, from the end of Cycle 3
975 onwards. Presumably, this provided sufficient humidity to support the flora. Thus, it appears
976 likely that the flora had an azonal distribution that was more severely influenced by edaphic
977 factors than larger scale climate change.

978 While the upper Zechstein (Cycle 4-5) assemblages suggest a decline in the flora
979 towards the Permian-Triassic boundary this may also be a taphonomic effect. The assemblages
980 recovered from the Carnallitic Marl Formation (Cycle 4) in SM1 1 (Figure 10) and SM4 (Figure
981 11) yield palynomorph assemblages of similar composition and abundance to those recovered
982 from the Marl Slate (Cycle 1) (Figure 4-6), suggesting that conditions were not as inhospitable
983 during the upper Zechstein as previously assumed.

984 In the British Isles the Zechstein can be divided either into the classic five carbonate-
985 evaporites cycles or seven evaporite-carbonate sequences based on Tucker's (1991) sequence
986 stratigraphic scheme for the basin margins. The pollen charts in Figures 4-14 have been
987 presented against both organisations. The sequence stratigraphic approach is particularly
988 appropriate as the locations studied here are marginal marine. Changing shorelines would have
989 had significant impacts on the Zechstein vegetation, with highstands and lowstands effecting
990 groundwater levels, precipitation, and therefore the distribution of suitable wetter habitats and
991 taphonomic windows. Interpreting the data at the scale of transgression-regression cycles or
992 sequences may reveal the responses of vegetation to the accompanying patterns of drastic
993 climatic and environmental changes.

994 Long term changes across the Zechstein sequence and slow rates of change are likely a
995 reflection of climate and environmental trends of increasing aridity and temperature that
996 characterise the Lopingian. The Zechstein Group covers the last ~6 million years of the
997 Permian meaning any slow changes may be indicative of floral turnover associated with the
998 end-Permian mass extinction. While the assemblages of Cycle 5 are comparatively
999 impoverished and composed of highly degraded Unidentifiable pollen grains, this may also be
1000 indicative of longer, water-borne transport from inland or hinterland areas via the fluvial
1001 depositional system of Cycle 5 instead of reflecting the true nature of the state of the flora.
1002 Furthermore, there is no coincident increase in spores with the reduction in Cycle 5

1003 assemblages that typifies the floral turnover at the Permian-Triassic boundary. Yet, this may
1004 also be a taphonomic effect since assemblages are generally poorly preserved.

1005 The cyclic transgressions into the Zechstein Basin would have forced the hydrological
1006 cycle by shifting coastlines and altering local topography. During highstands increased runoff
1007 likely caused high groundwater stages in lowland areas already characterised by more
1008 hygrophytic flora. During lowstands reduced runoff may have led to increased drainage and
1009 desiccation of lowlands with the low-lying Protopennines already characterised by more
1010 xerophytic flora. This can explain coeval occurrences of wet and dry lowlands and intrazonal
1011 vegetation throughout the Zechstein. Palynological assemblages may be expected to reflect
1012 these changing conditions, yet this is not necessarily reflected in the results presented here.

1013 Ginkgophytes, horsetails ferns, lycopsids and possible cycads are among the rarest
1014 elements in the Zechstein flora, living around lakes and abandoned river channels, or along
1015 streams in a distal flood plain setting. While this is supported by their relative absence in the
1016 microfossil record, and may reflect the true nature of the vegetation, it may also be a
1017 taphonomic effect. Their dependence on the vicinity of large bodies of water or elevated ground
1018 water levels would have made them more sensitive to changes in sea level accompanying the
1019 Zechstein cycles.

1020 The magnitude of sea level change diminished with each cycle as the sea progressively
1021 shrank in volume. The hygrophytic flora would have become increasingly stressed by the
1022 restriction of its habitat and the diminishing taphonomic window resulting in their rarity in the
1023 fossil record as both micro- and macrofossils. While their absence may be explained by
1024 sparsely distributed wet habitats suitable for reproduction, e.g., bryophytes are dependent on
1025 moist substrate upon which to reproduce (Whitaker and Edwards, 2010), it may reflect the poor
1026 preservation potential of certain palynomorph morphologies relative to the thicker walled
1027 conifer and pteridosperm bisaccate pollen. For example, horsetails are known to thrive in
1028 disturbed, anoxic, and saline environments (Husby, 2013) making them ideally suited to coastal
1029 Zechstein environments. Therefore, the rarity of horsetails in the microfossil record may be
1030 explained by the poor preservation potential of their palynomorphs. Modern horsetails
1031 (*Equisetum*) produce spores that are enveloped by four flexible ribbon-like elaters that are
1032 incredibly fragile (Marmottant et al., 2013). Furthermore, horsetails have a remarkable ability
1033 to reproduce vegetatively via rhizomes, compensating for the inefficiency of reproduction via
1034 spores, allowing horsetails to rapidly colonize coastal environments (Hauke, 1963), whilst
1035 remaining under-represented in the microfossil record.

1036 Sea level highstands may explain the preferential preservation of coastal, nearshore,
1037 and inland habitats in certain strata, for example the Marl Slate (Cycle 1 transgression) and
1038 Carnallitic Marl Fm. (Cycle 3-4 boundary). Both are known for an abundance of microfossil
1039 remains, with the Marl Slate being notable for its macrofossil remains due to favourable
1040 taphonomic conditions. Increasing energy levels during the initial Zechstein transgression
1041 caused by the middle Wuchiapingian sea level highstand (Legler et al., 2011; Legler and
1042 Schneider, 2013) led to the rapid burial of material and the movement of azonal, coastal, flora
1043 into the taphonomic window created by this marginal environment. The transgression also
1044 established a distal fluvial plain with meandering and abandoned channels (like those at Pot
1045 Riding, Cadeby Formation, Cycle 1), resulting in the impression of a richer and lush
1046 vegetation (e.g. Kustatscher et al., 2017). The Carnallitic Marl Fm. marks the initiation of a
1047 humid fluvial environment and therefore is also affected by a similar favourable taphonomic
1048 bias. With higher sedimentation rates the preservation potential of the Marl Slate and
1049 Carnallitic Marl Fm. was considerably higher than that of other sedimentary settings resulting
1050 in a higher local diversity relative to other palynomorphs assemblages.

1051 Unfortunately, the scarcity of plant macrofossils from beyond Cycle 1 means it is not
1052 possible to investigate the effects of sea level change on macrofossil preservation in the upper
1053 Zechstein, when sea level changes were of a lesser magnitude relative to the lower Zechstein,
1054 e.g., 1-2 meters rather than the sea level drop of 100-150m between Cycle 1 and Cycle 2 (Smith,
1055 1989). The absence of the Cycle 1 evaporites (Hayton Anhydrite/Hartlepool Anhydrite) means
1056 there is no palynological data for the first major regression and evaporative phase and the biotic
1057 responses of the flora cannot be reconstructed. By Cycle 2 the vegetation had already
1058 experienced a drastic environmental transition between arid and marine-buffered conditions
1059 during the evaporative phase of Cycle 1.

1060 There are several possible trends discernible from the Zechstein palynoflora. There
1061 appears to be an overall reduction in palynomorph diversity and abundance through the
1062 Zechstein Group, punctuated by taphonomic effects. *Lueckisporites virkkiae* consistently
1063 dominates assemblages by up to ~50.0%. It is a typical Zechstein taxon recovered in the Baltic
1064 (Podoba, 1975), throughout Europe (e.g. Klaus, 1963; Clarke, 1965; Visscher, 1971; Massari
1065 et al., 1988; Warrington and Scrivener, 1988; Massari et al., 1994, 1999; Pittau, 1999; Legler
1066 et al., 2005; Pittau, 2005; Legler and Schneider, 2008; Warrington, 2005, 2008; Gibson et al.,
1067 2020) and from age equivalent deposits in the U.S.A. (Wilson, 1962; Clapham, 1970). It is an
1068 important and distinct conifer signal throughout the Zechstein.

1069 The Marl Slate palynoflora is abundant, corroborating previous studies of taxonomic
1070 diversity and relative abundance of palynomorph taxa, mirroring previous reports of the
1071 macrofossil record (Stoneley, 1958; Schweitzer, 1986). At the top of the Cycle 2 Fordon
1072 Evaporite Fm. there appears to be an increase in pollen abundance seen in SM4 (Figure 11)
1073 and SM11 (Figure 10). In particular, Unidentifiable pollen increase in abundance approaching
1074 the Cycle 2-3 boundary. This may be in response to increasing sea level during the late
1075 regressive-early transgressive phase expanding the distribution of coastal habitats and the
1076 taphonomic window. This trend is also visible in SM14b (Figure 13) where Unidentifiable
1077 pollen grains increase over the Cycle 2-3 boundary and the number of taxa present decline
1078 across the Grauer Salzton into the Brotherton Fm. *Lueckisporites virkkiae* and *Taeniaesporites*
1079 spp. survive the boundary, and *Nuskoisporites* appears. Before the boundary, an assemblage of
1080 *Illinites delasauei*, *Klausipollenites schaubergeri*, *Labiisporites granulatus*, *Lueckisporites*
1081 *virkkiae*, *Potonieisporites novicus*, *Reduviasporonites*, *Taeniaesporites* sp. and *Vestigisporites*
1082 *minutus* is present. It would appear a strong taphonomic bias is in effect as Unidentifiable
1083 pollen grains come to utterly dominate assemblages throughout the Brotherton Fm.

1084 Preservation within the Cycle 3 evaporites (Billingham Anhydrite) of SM11 (Figure
1085 10) is exceptional and the intermittent recovery throughout the evaporites is related to a lower
1086 sampling resolution through the evaporites instead of barren samples. The Carnallitic Marl Fm.
1087 palynoflora provides unique insight into the Cycle 3-Cycle 4 boundary flora. There is a
1088 noticeable increase in identifiable pollen species over the boundary. The assemblages
1089 recovered from the Carnallitic Marl Fm. from SM4 and SM11 (Figures 10-11) illustrate how
1090 conifers represent the most dominant component of the vegetation in the upper Zechstein e.g.,
1091 *Lueckisporites virkkiae*, *Taeniaesporites* sp., and *Illinites* sp. Pollen grains of probably
1092 pteridosperm affinity occur less frequently e.g. *Protohaploxypinus* sp., *Striatoabieites* sp.,
1093 *Striatopodocarpites* sp. and *Vittatina hiltonensis*. Throughout Cycle 4 some species disappear
1094 including *Vittatina* sp. (*Vittatina hiltonensis*) (Plate II, 1, 2). It is known to be rare in Lopingian
1095 assemblages (Clarke, 1965; Variakhuna, 1971) yet it appears intermittently through boreholes
1096 SM4 (Figure 11) and SM11 (Figure 10), at abundances no greater than 1.0%, until the Cycle 4
1097 carbonates after which it disappears.

1098 SM11 (Figure 10) has the longest temporal range of all boreholes studied and provides
1099 the best insight into the uppermost Zechstein flora. Palynomorphs were recovered across the
1100 Carnallitic Marl Fm.-Sleights Siltstone-Littlebeck Anhydrite boundaries, but then tail off and
1101 only Unidentifiable pollen grains are recovered through the rest of the sequence. The upper
1102 Zechstein trends are heavily affected by sampling resolution and the effect of the depositional
1103 settings on the quality of preservation. The relative proportions of conifer and pteridosperm
1104 pollen does not shift with conifer pollen being consistently more abundant. This trend continues
1105 into the uppermost Zechstein, with the recovery of *Illinites* spp. from the Little Scar
1106 assemblages, confirming the dominance of conifers throughout the Zechstein.

1107 The palynflora does not suggest evidence of vegetation destabilisation which is
1108 associated with the end-Permian mass extinction (Looy et al., 2001; Lindström and
1109 McLoughlin, 2007; Hochuli et al., 2010; Xiong and Wang, 2011; Hochuli et al., 2016;
1110 Schneebeil-Hermann et al., 2017; Fielding et al., 2019; Novak et al., 2019). Instead it suggests
1111 an azonal vegetation dominated by conifers and pteridosperms inhabited a semi-arid landscape
1112 up to the Permian-Triassic boundary.

1113

1114 **7. Palynofacies and the Zechstein palaeoenvironments**

1115 The fossil record is a result of the complex interplay between biotic and abiotic factors,
1116 and the composition of each palynomorph association is controlled by ecological factors, the
1117 depositional environment and taphonomic processes. Therefore, disentangling preservational
1118 biases from biological signals is vital for palaeoecological reconstructions. Zechstein spore-
1119 pollen assemblages are a case in point.

1120 The Zechstein Group is interpreted as mostly marine in origin, albeit rather unusual due
1121 to varying and increased salinity related to the evaporation-replenishment cyclicality, with the
1122 upper Zechstein (Cycles 4-5) recognised as predominantly fluvial-terrestrial. However, the
1123 paucity of marine palynomorphs remains surprising for the lower Zechstein (Cycles 1-3). The
1124 palynomorph assemblages are entirely dominated by allochthonous forms derived from the
1125 adjacent land mass: pollen, spores, and land plant cuticles (Stoneley, 1958). Presumably, these
1126 were transported in from the land by a combination of wind and water. The latter was probably
1127 most significant during siliciclastic phases of sedimentation and surely must have been the
1128 principal transporter of large fragments of plant cuticle. The former may, however, have been
1129 important in transporting pollen into hypersaline pools from which the evaporites were
1130 deposited. Acritarchs, indicative of marine influence, are surprisingly rare. They are absent
1131 from most samples despite sieving at 10µm and, where present, constitute no more than 0.5%
1132 of assemblages. In this study we only report *Micrystridium*-type from Cycle 3 evaporites and
1133 Cycle 4 carbonates, although Wall and Downie (1963) previously reported them from Cycle 1
1134 only. The lack of acritarchs presumably reflects the harsh hypersaline marginal conditions that
1135 were not conducive for marine phytoplankton to thrive.

1136 The rare foraminiferal test linings from Salterford Farm and Little Scar beach are of
1137 interest both for their rarity and location in the stratigraphic column, specifically for the
1138 specimens recovered from Little Scar. These may represent the latest occurrence of Permian
1139 foraminifera recovered in the UK (B. Spencer pers. comm.). Encrusting foraminifera have been
1140 well-documented from the Polish Zechstein reef systems (Peryt et al., 2012) where they form
1141 important constituents of the limestone formations during the early Zechstein. These test
1142 linings are benthic coiled forms, but their low quality of preservation makes taxonomic
1143 identifications difficult. The foraminifera from Salterford Farm are not unexpected as the sea
1144 during Marl Slate times was representative of relatively normal shallow marine conditions.

1145 However, from Little Scar, close to the Permian-Triassic boundary, benthic foraminifera
1146 appear in sediments interpreted as accumulating in the arid and hypersaline environments of
1147 the later Zechstein cycles.

1148 *Reduviasporonites* in the British Permian has been correlated with brackish
1149 environments (Warrington, 2008). This is compatible with the pattern of recovery of these
1150 palynomorphs in this study. This reconstruction suggests that pockets of wetter environment
1151 persisted throughout the Zechstein. A fungal affinity has been invoked for *Reduviasporonites*
1152 with it interpreted as a saprophytic agent responsible for the degradation of widespread
1153 abundant dead organic matter preceding the end-Permian mass extinction (Visscher et al.,
1154 1996, 2011; Hochuli, 2016). Mass occurrences of *Reduviasporonites* have been interpreted as
1155 an end-Permian fungal spike, coincident with vegetation die-off and environmental disturbance
1156 prior to and during the extinction. However, high abundances of *Reduviasporonites* have also
1157 been attributed to ‘algal blooms’ (Elsik, 1999; Afonin et al., 2001; Foster et al., 2002; Spina et
1158 al., 2015). Regardless of affinity, mass occurrences of *Reduviasporonites* are an important
1159 stratigraphical marker of the Permian-Triassic boundary in terrestrial and shallow marine
1160 environments. Interestingly, *Reduviasporonites* maintains a rare to low abundance throughout
1161 the Zechstein sequence, but occurs at higher abundances at the top of the Fordon Evaporite Fm.
1162 (8.4%) (Cycle 2 (EZ3 A, K-Mg, Na) and in the Cycle 4 evaporites (Sherburn Anhydrite,
1163 Sneaton Halite) (22.8%). However, recovery at this high abundance was only recorded in one
1164 sample of <100 yield (see Table 1 Appendix B and Appendix E SM11 1478.30 m) which is
1165 not conclusive evidence of a mass occurrence. The lack of a definitive “spike” in abundance at
1166 the top of the Zechstein sequence may be viewed as further evidence that there was no
1167 significant deforestation or vegetation turnover during Zechstein times.

1168 A variety in quality of preservation is observed in the Zechstein material: (i)
1169 assemblages dominated by beautifully preserved palynomorphs of low thermal maturity (e.g.
1170 from the Marl Slate); (ii) assemblages dominated by very well-preserved palynomorphs that
1171 are dark in colour. (e.g. from the Carnallitic Marl Fm in SM11 Dove’s Nest); (iii) assemblages
1172 dominated by AOM but containing well-preserved yet hyaline palynomorphs which need to be
1173 stained with Bismarck Brown to facilitate identification (e.g. from the Marl Slate at Claxheugh
1174 Rock); (iv) assemblages of abundant Unidentifiable pollen grains of very poor quality
1175 preservation; (v) Assemblages containing a mixture of types ii-iv above (e.g. argillaceous halite
1176 samples containing well-preserved intact palynomorphs as well as highly degraded
1177 Unidentifiable grains).

1178 The evaporite palynomorph assemblages are of particular interest because they contain
1179 a mixture of pollen preservation types, which can be explained by two preservational processes.
1180 Well-preserved, intact palynomorphs were likely blown to the site of deposition on the wind,
1181 settled on the surface of the super-saturated sea water where they were encapsulated in the
1182 rapidly growing halite crystals. Poorly preserved pollen grains originate from clayey or
1183 argillaceous layers/pockets within the salt and arrived at the site of deposition via different
1184 means, having been subjected to higher degrees of biological degradation. Some may have
1185 washed in from the land via rivers or streams. Others may have settled out from the marine
1186 water column. While reworking within individual halite units is a possibility due to the high
1187 mobility of halite, the possibility of reworking between evaporite units is discounted based on
1188 the relative immobility of anhydrite and potassium-magnesium salts which constrain the more
1189 mobile layers of halite. The preservation potential of the Zechstein evaporites is discussed in
1190 more detail in Gibson and Bodman (2020).

1191 The dark colour of palynomorphs in some assemblages (particularly Type (ii) above)
1192 is a curious matter. Across EZ1-5 an apparent reverse thermal maturity profile indicated by

1193 palynomorph colour is observed with older assemblages being paler and more translucent than
1194 younger ones. Instead of being a true reverse thermal maturity profile darkening may be a result
1195 of the thermal conductivity of evaporites in combination with the exposure of palynomorphs
1196 to a hypersaline environment. It is also be a taphonomic effect of palynomorphs from the Marl
1197 Slate of the Durham Sub-basin which are exceptionally hyaline in appearance, possibly due to
1198 the more basinward location of the Durham Sub-basin.

1199

1200 **8. Conclusions**

1201 Euramerica during the Permian was characterised by a progressive trend towards more
1202 arid climates (Roscher and Schneider, 2006; Montañez et al., 2007). However, the semi-arid
1203 climates of the Zechstein Basin were punctuated by several periods of more humid conditions
1204 associated with marine transgressions.

1205 Spore-pollen preservation potential is highest during transgressive phases and just
1206 before regressive phases (e.g. Kustatscher et al., 2017). Other points of cycles fall outside of
1207 this taphonomic window of preservation, resulting in a reduced recovery of palynomorph. The
1208 use of evaporite palynology helps compensate for this, providing insight into the regressive
1209 phase flora for the first time.

1210 Pollen-dominated assemblages have been recovered from throughout the Zechstein
1211 Group. Assemblages are dominated by conifer pollen, reflecting the characteristics of the
1212 gymnospermous Euramerican parent flora. The composition of assemblages remains relatively
1213 unchanged, both within and between Zechstein cycles. This confirms the reported uniform
1214 nature of the Zechstein vegetation.

1215 Despite the additional taphonomic window afforded by the evaporites there remains
1216 difficulties disentangling taphonomic effects from biological signals. Regardless, the
1217 gymnospermous inland and hinterland flora appears to have persisted throughout the course of
1218 Zechstein deposition at least until the sea finally disappeared at or close to the Permian-Triassic
1219 boundary.

1220

1221 **Acknowledgements.**

1222 MEG was funded by a NERC studentship through the ACCE (Adapting to the
1223 Challenges of a Changing Environment) Doctoral Training Partnership [grant number
1224 1807541]. We thank Geoffrey Warrington for taxonomic advice; David Bodman for assistance
1225 in the preparation of evaporite palynology samples; Asher Haynes of Sirius Minerals Plc and
1226 the York Potash Ltd for coordinating access to boreholes SM4 Gough, SM7 Mortar Hall, SM11
1227 Dove's Nest and SM14b Woodsmith Mine North Shaft; Tracey Gallagher of the British
1228 Geological Survey for coordinating access to boreholes Salterford Farm and Woolthorpe
1229 Bridge; the Yorkshire Wildlife Trust for approving access to the Pot Riding exposure at the
1230 Sprotbrough Gorge site; Doncaster Council for approving access to the Levitt Hagg Hole site;
1231 the owners of Transgear Units for providing access to the Sandal House exposure; Geoffrey
1232 Clayton for discussions on reverse thermal maturity profiles; Brian Spencer for the donation of
1233 the Little Scar Seaton Carew samples; Rick Ramsdale of the Sheffield Area Geology Trust for
1234 fieldwork assistance at the Pot Riding, Sandal House and Levitt Hagg Hole Sites. Finally, we
1235 thank the two reviews whose comments greatly improved the manuscript.

1236

1237 **SUPPORTING INFORMATION**

1238 Additional Supporting Information can be found in the online version of this article:

1239 Appendix A. Lithostratigraphical and facies descriptions for all localities

1240 Appendix B. List of borehole samples, their lithology, and yield

1241 Appendix C. List of outcrop samples, their lithology, and yield

1242 Appendix D. Location and stratigraphic range of all localities

1243 Appendix E. Raw count data

1244

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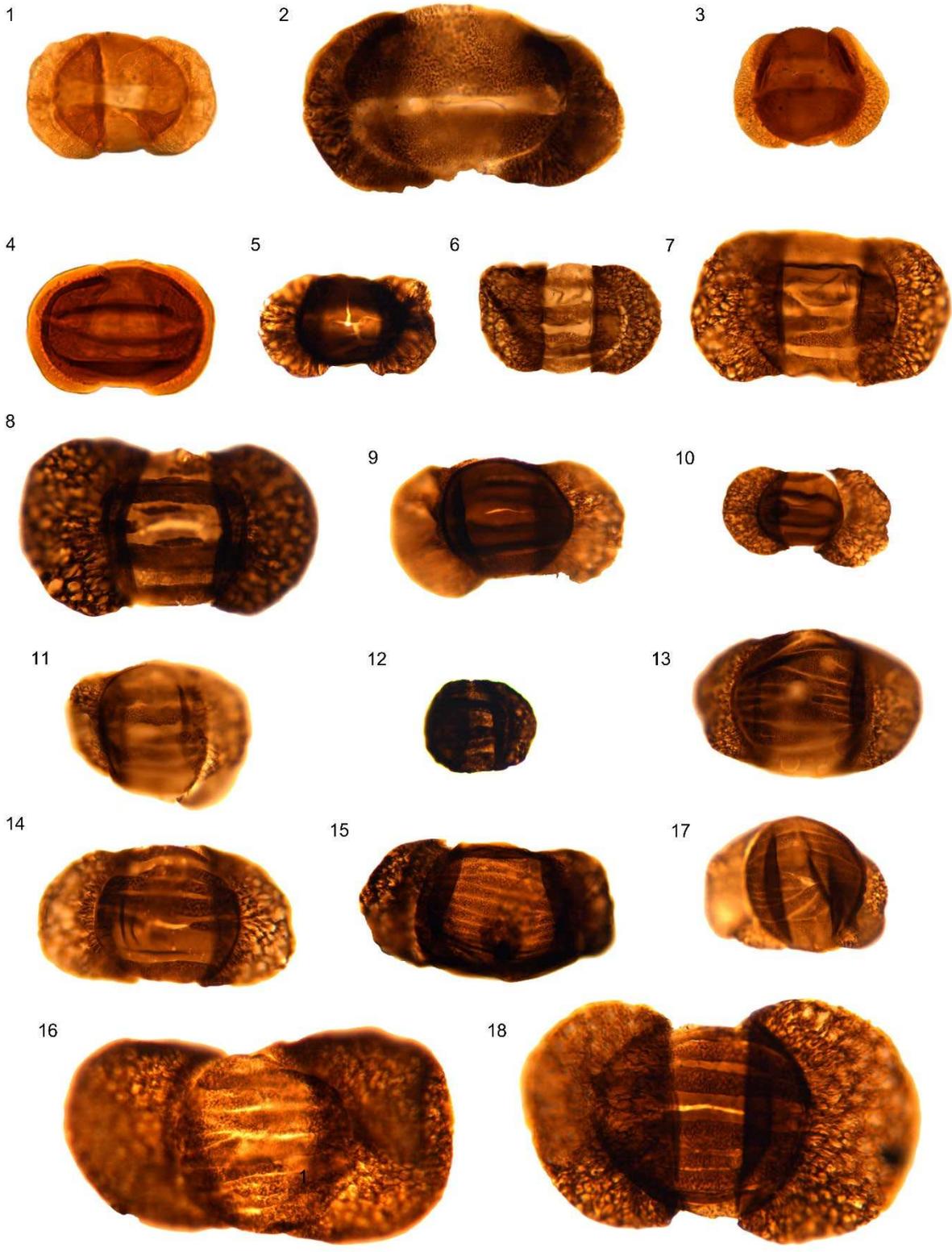
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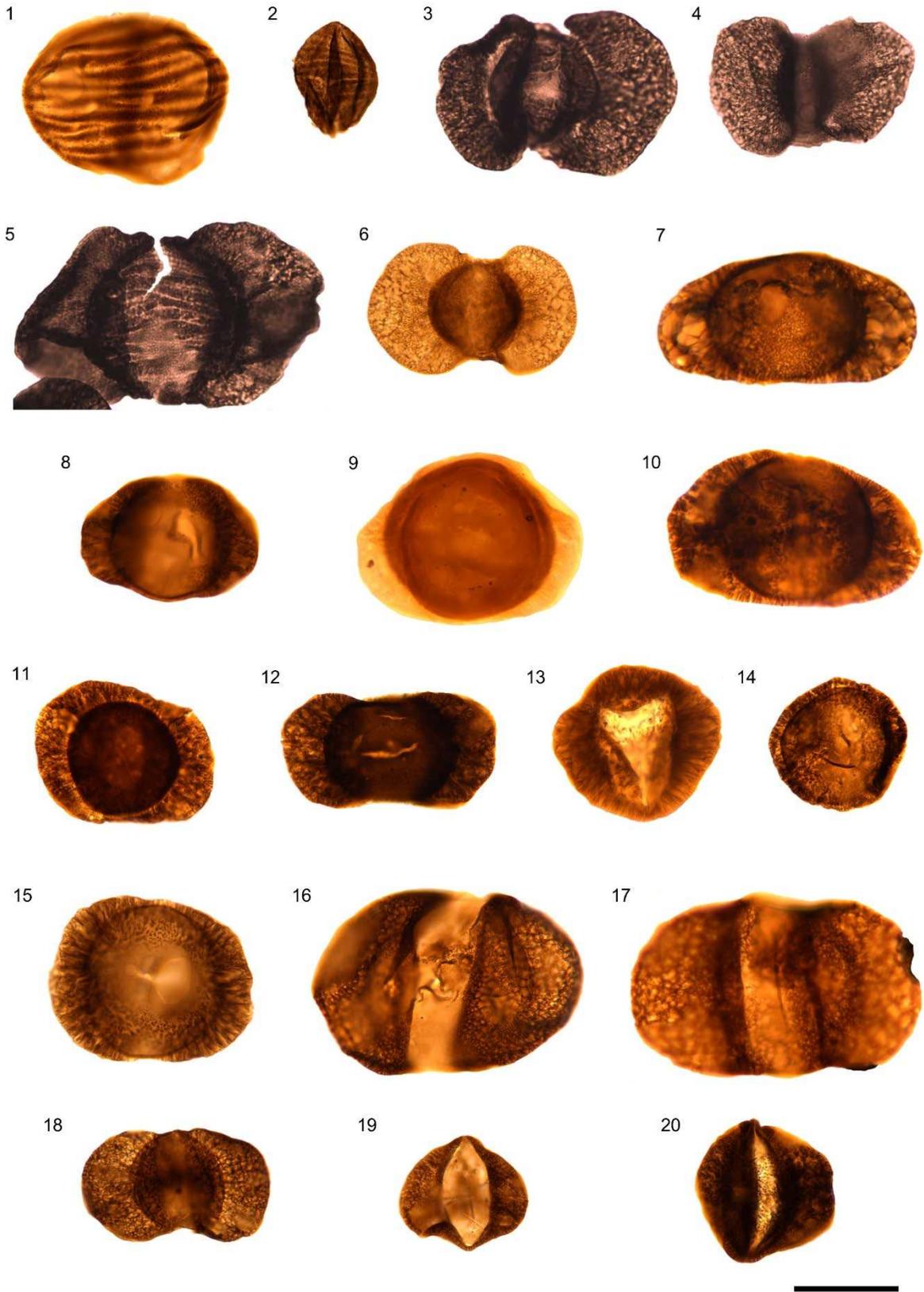
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Plate I





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Plate III

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1566 **Plate Descriptions**

1567 Plate I. Taeniate bisaccate pollen grains. England Finder co-ordinates included. Scale bar
1568 represents 50 µm in all images. Images taken using a QImaging (Model No. 01-MP3.3-RTV-
1569 R-CLR-10) camera mounted on an Olympus BH-2 transmitted light microscope in conjunction
1570 with QCapture Pro software.

- 1571 1. *Lueckisporites virkkiae* Variant A (KIM 5, P43)
- 1572 2. *L. virkkiae* Variant A (SM11 1312.24 m, U42/1)
- 1573 3. *L. virkkiae* Variant B (KIM 5, B33/1)
- 1574 4. *L. virkkiae* Variant C (KIM 6, E36/1)
- 1575 5. *L. virkkiae* Variant C (SM11 1312.24 m, K30/1)
- 1576 6. *Taeniaesporites labdacus* (SM11 1312.24 m, W45/2)
- 1577 7. *T. labdacus* (SM11 1312.24 m, J32/2)
- 1578 8. *T. noviaulensis* (SM11 1312.24 m, R42)
- 1579 9. *T. noviaulensis* (SM11 1312.24 m, M48/2)
- 1580 10. *T. novimundi* (SM11 1312.24 m, S31/2)
- 1581 11. *T. angulistriatus* (SM1 1312.24 m, V35/3)
- 1582 12. *T. albertae* (SM11 1458.37 m, J46/3)
- 1583 13. *Protohaploxylinus chaloneri* (SM11 1312.24 m, G30)
- 1584 14. *P. chaloneri* (SM11 1312.24 m, R36/3)
- 1585 15. *P. jacobii* (SM11 1312.24 m, S46)
- 1586 16. *P. jacobii* (SM11 1312.24 m, L33/1)
- 1587 17. *P. microcorpus* (SM11 1312.24 m, K33)
- 1588 18. *P. cf. samoilovichii*. (SM11 1334.10 m, O39)
- 1589

1590 Plate II. Taeniate and non-taeniate bisaccate pollen and monosaccate pollen. . England Finder
1591 co-ordinates included. Scale bar represents 50 µm for all images. Images taken using a
1592 QImaging (Model No. 01-MP3.3-RTV-R-CLR-10) camera mounted on an Olympus BH-2
1593 transmitted light microscope in conjunction with QCapture Pro software.

- 1594 1. *Vittatina hiltonensis* (SM11 1312.24 m, F31)
- 1595 2. *V. hiltonensis* (M4 1438.07 m, H32/2)
- 1596 3. *Striatoabieites richteri* (SM11 1312.24 m, K27/3)
- 1597 4. *Striatopodocarpites antiquus* (SM11 1312.24 m, P27)
- 1598 5. *S. fusus* (SM11 1312.24 m, M29)
- 1599 6. *Platysaccus radialis* (SM11 1312.24 m, J33/4)
- 1600 7. *Klausipollenites schaubergeri* (SM11 1312.24 m, T39/3)
- 1601 8. *K. schaubergeri* (SM11 1312.24 m, D32/3)
- 1602 9. *Vestigisporites minutus* (KIM 5, P41)
- 1603 10. *V. minutus* (SM11 1312.24 m, L30)
- 1604 11. *V. minutus* (SM11 1312.24 m, J44/2)
- 1605 12. *Illinites delasaucei* (SM11 1312.24 m, V41)
- 1606 13. *I. klausii* (SM11 1328.76 m, P31/4)
- 1607 14. *I. tectus* (SM11 1312.24 m, K30)
- 1608 15. *I. tectus* (SM11 1312.24 m, Q41)
- 1609 16. *Falcisporites zapfei* (SM11 1312.24 m, H42)
- 1610 17. *F. zapfei* (SM11 1312.24 m, M33/2)
- 1611 18. *Alisporites nuthallensis* (SM11 1312.24 m, M45)
- 1612 19. *Labiisporites granulatus* (SM11 1312.24 m, L41)
- 1613 20. *L. granulatus* (SM11 1312.24 m, G48/3)

1614 21. *Alisporites nuthallensis* (SM11 1312.24 m, M45)

1615

1616 Plate III. Monosaccate pollen grains, trilete spores and foraminiferal test linings. England
1617 Finder co-ordinates included. Scale bar represents 50 μ m for all images. Images taken using a
1618 QImaging (Model No. 01-MP3.3-RTV-R-CLR-10) camera mounted on an Olympus BH-2
1619 transmitted light microscope in conjunction with QCapture Pro software.

- 1620 1. *Nuskoisporites cf. rotatus* (SM11 1328.76 m, E43)
- 1621 2. *N. dulhuntyi* (SM11 1312.24 m, T35/3)
- 1622 3. *Perisaccus granulosis* (SM11 1312.24 m, W35/3)
- 1623 4. *P. granulosis* (SM11 1312.24 m, O43/1)
- 1624 5. *Potonieisporites novicus* (SM11 1304.14 m, E31)
- 1625 6. ?*Potonieisporites novicus* (1312.24 m, D32/2)
- 1626 7. *Crustaesporites globosus* (SM11 1328.76 m, R40/1)
- 1627 8. Trilete spore (SM11 1465.92 m, P39)
- 1628 9. Trilete spore (SM11 1465.92 m, C31/4)
- 1629 10. Foraminiferal test lining (LSSC3, C37/4)
- 1630 11. Foraminiferal test lining (SFYFP6373, S48)

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1633 Table 1. List of the taxa encountered during this study and their probable botanical affinities.

1634 Table 2. Summary of the distribution of the taxa encountered during this study.

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