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1    **The complex, static displacement of a very long**  
2    **period seismic signal observed at Soufrière Hills**  
3    **volcano, Montserrat, WI**

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8    **Abstract**

In this study we demonstrate how very-long period (VLP) volcanic seismic signals can be processed in order to obtain essential and detailed information about the seismo-volcanic source process. As an example we use the VLP signal observed on 23 March 2012 during an outgassing event at Soufrière Hills volcano, Montserrat, acquired by instruments with different natural periods. The aim of this study is to highlight the importance of retrieving the correct source time function by a complete restitution process. When ground displacement cannot be retrieved through the restitution process due to very narrow band-pass limited instrument response, we compare synthetic and observed waveforms in the velocity domain and determine the best model by generating a synthetic velocity seismogram using the band-limited seismometer characteristics. Furthermore, we show how this approach of forward modelling can reveal much more detail of the source process, since small changes in displacement are enhanced in the velocity seismogram. Using these restituted and modelled displacements we perform a moment tensor inversion combined with a grid search locating the source at 600 m depth below sea level and estimating the source volume change to be in the range of

$0.6 - 1.1 \times 10^3 \text{ m}^3$ .

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## 9 **1. Introduction**

10 Volcanogenic seismic signals cover a broad frequency range and fall into three  
11 main categories, and their interpretation and modelling are at the core of any  
12 attempt to forecast volcanic eruptions. Volcano-tectonic (VT) earthquakes, gen-  
13 erated by the brittle failure of the rocks around the fault plane due to the stress  
14 changes of magmatic emplacement or due to pressure changes as a result of water-  
15 magma interaction in hydrothermal systems (Neuberg, 2020) have the same char-  
16 acteristics as tectonic earthquakes: clear P- and S-wave onsets and frequency con-  
17 tent of 1-20 Hz. Low-frequency (LF) earthquakes have successfully been used in  
18 forecasting volcanic eruptions (e.g. Chouet, 1996). They have a spectral range  
19 between 0.2 and 10 Hz, with end members of the continuum being Long-Period  
20 (LP) events and hybrid events, which are similar to LP events but have additional  
21 high frequency onset (Neuberg, 2020). Their source processes differ significantly  
22 from the ones for generation of VTs. LF earthquakes originate at the boundary  
23 between magmatic fluid and solid surrounding rock (e.g. Chouet, 1988; Neuberg  
24 et al., 2000) or can be caused by slow, quasi-brittle low stress-drop failure driven  
25 by short-lived upper-edifice deformation (Bean et al., 2014). The deployment and  
26 widespread use of broadband seismic networks in the 1990s made studies of very-  
27 long period (VLP) signals possible (Kawakatsu et al., 1992; Neuberg et al., 1994)  
28 and we focus in our study on this category. VLP signals, whose periods range from  
29 several seconds to several minutes, have been observed on almost every type of vol-  
30 cano around the world (Chouet and Matoza, 2013). When the periods of these

31 signals fall into the far end of the range they are often referred to as an ultra-long  
32 period (ULP) seismic signals. The event we are describing in this study falls into  
33 that range of ULPs, however we choose to call it a VLP as the source process  
34 between these two types of signals does not differ. Their source processes are usu-  
35 ally attributed to fluid-rock interactions such as mass movement of volcanic fluids  
36 (e.g. Chouet and Dawson, 2011) generating abrupt pressure changes inside the  
37 volcanic edifice. As VLPs have been observed prior to caldera collapse (Kumagai  
38 et al., 2001; Michon et al., 2009) and prior to phreatic eruptions (Kawakatsu et al.,  
39 2000; Jolly et al., 2017) the need to study them is of great importance for under-  
40 standing the underlying physical processes. Therefore, it is essential to retrieve the  
41 exact source time history in addition to amplitude and moment tensor compon-  
42 ents. The major advantage VLP signals offer is direct insight in the deformation  
43 of the source process. This fact was recognised and studied by Legrand et al.  
44 (2000, 2005). In this study we emphasise the importance of taking into account  
45 how different seismometers influence the observed signals and what the necessary  
46 processing steps are in order to retrieve the maximum amount of information from  
47 the observed waveforms. These processing steps go beyond the usual “instrument  
48 removal” applied as a routine by seismic processing packages, which considers the  
49 frequency range in the pass-band of the instrument only. In contrast, we try to  
50 retrieve information cut-out by the instrument and subsequently, use this inform-  
51 ation in our moment tensor inversion to estimate the location and mechanism of  
52 the source. As an example we use a VLP signal observed on 23 March 2012 during  
53 a outgassing event at Soufrière Hills volcano (SHV), Montserrat.

54 **2. Data acquisition**

55 At the time of the event the seismic network on the island of Montserrat con-  
56 sisted of nine stations equipped with three-component broadband seismometers.  
57 Due to recording problems, the number of stations available for this study was  
58 reduced to six: Waterworks (MBWW) station, deployed by the University of  
59 Leeds, equipped with a 120s Güralp-3T broadband instrument, Broderick’s Yard  
60 (MBBY) and Windy Hill (MBWH) stations with 60s Güralp-3ESPC broadband  
61 instruments, and stations Fergus Ridge (MBFR), St. George’s Hill (MBGH),  
62 and Roche’s Yard (MBRY) equipped with 30s Güralp-40T broadband instruments  
63 (Figure 1). All data were recorded with a sampling rate of 100 Hz and were  
processed using the software package Obspy (Krischer et al., 2015).

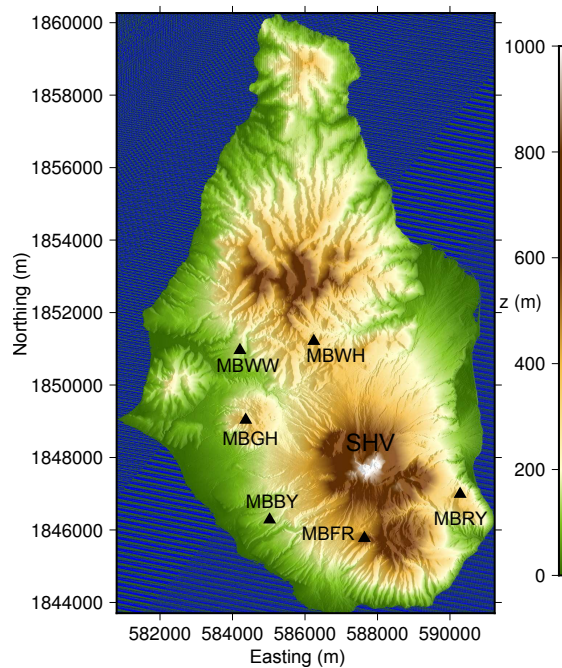


Figure 1: Topographic map of Montserrat with 6 operational stations on March 23, 2012

64

### 65 **3. Seismicity on 23 March 2012**

66 The eruption of SHV began in 1995 and has consisted of five phases of magma  
67 extrusion, the last of which ended on 11 February 2010. After more than two years  
68 of quiescence with no lava extrusion and low seismicity, two swarms of around 50  
69 volcano-tectonic (VT) earthquakes occurred at SHV on 22 and 23 March 2012  
70 (Smith, 2015). The most intense VT swarm lasted for around 15 minutes, starting  
71 at 07:10 UTC on 23 March 2012. During this swarm, a local magnitude ( $M_L$ ) 3.9  
72 VT earthquake was observed at 07:20 UTC making it the largest VT earthquake  
73 ever observed on Montserrat till that date (Cole et al., 2012). This was followed by  
74 three hybrid events that terminated the swarm at 07:22 UTC (Cole et al., 2012).  
75 A VLP signal was observed across the MVO (Montserrat Volcano Observatory)  
76 seismic network during this swarm coinciding with a large amplitude strain signal  
77 ( $\sim 280$  nano strain) recorded on borehole strainmeters on the island (Hautmann  
78 et al., 2014). Several hours after this swarm, a short episode of ash venting began  
79 and an elevated  $\text{SO}_2$  flux was recorded between 23 and 27 March - peaking at  
80 4600 t/day on 26 March 2012.

### 81 **4. Data Processing**

#### 82 *4.1. VLP signal identification*

83 Although some VLP seismicity can be seen clearly on broadband velocity seis-  
84 mograms (e.g. Jolly et al., 2017), VLP signals often cannot easily be identified  
85 in the velocity domain. This is due to the instrument acting as a differentiator  
86 converting ground displacement to velocity, i.e. the instrument amplifies the high  
87 frequencies. Furthermore, a band-pass filter is applied defined by the instrument  
88 response. Often, the first step in searching for a VLP signal is analysing the amp-

89 litude spectrum of the velocity trace. In our example we see a broad peak relating  
 90 to the VLP signal around 0.01 Hz (Figure 2a). However, this VLP signal has been  
 91 distorted by the instrument response as the low frequencies in the original signal  
 92 have been cut-off. Hence, when analysing VLP signals that have a frequency con-  
 93 tent outside the flat-band of the instrument response, one needs to keep in mind  
 94 the original signal could contain seismic energy at much longer periods than dis-  
 95 played in the amplitude spectrum of the recorded signal. Figure 2b shows such an  
 96 example where a signal with dominant period of 500s is observed at the station  
 97 with the 120 second instrument.

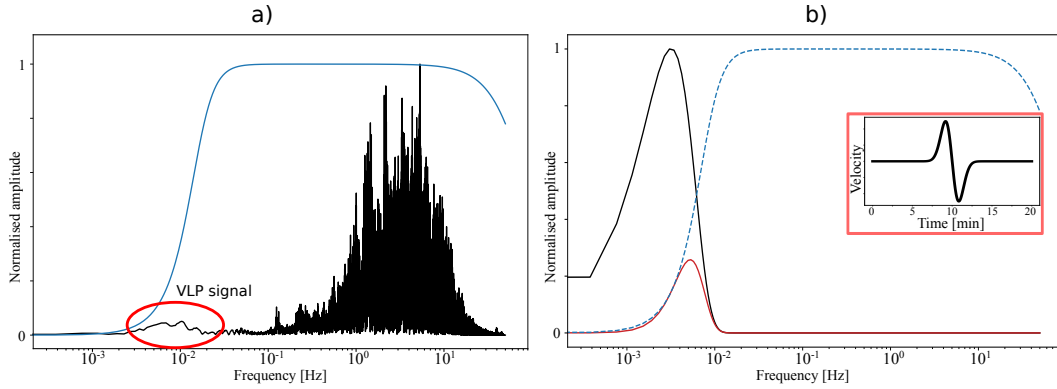


Figure 2: a) Amplitude spectrum of the vertical component velocity seismogram recorded at MBWW station on March 23, 2012 showing a broad VLP signal with dominant frequency of 0.01 Hz with superimposed transfer function of 120s-instrument at that station. b) Example of how the instrument response impacts the observed amplitude spectrum. We produced a synthetic velocity seismogram with period of 500 seconds (red box), calculated the amplitude spectrum (black) and then simulated the effect of the 120s-instrument response on the input signal (red).

98 Another simple way to identify a VLP signal when it is not directly observable  
 99 on the velocity seismogram is to integrate the velocity seismogram or apply a low-  
 100 pass filter. Figure 3a shows a 16 minute long record of the VT swarm observed on  
 101 23 March 2012. The velocity seismogram is dominated by the high frequency VT  
 102 earthquakes. However, if we integrate the seismogram (Figure 3b) the VLP signal

103 becomes obvious. In this case we see two clear VLP signals, one starting at 07:16  
104 UTC and the other one, with much larger amplitude, at 07:19 UTC. In this study  
105 we focus on the second, larger amplitude signal.

#### 106 *4.2. Restitution of the ground displacement*

107 To account for the shape of the instrument response and to make sure that  
108 the restitution of ground displacement considers the whole energy content of the  
109 ground motion we have to carry out certain processing steps. The process of resti-  
110 tution of the ground displacement is depicted in Figure 3. We apply different  
111 high-pass filters with cut-off frequencies of 0.004 Hz, 0.002 Hz, and 0.001 Hz to  
112 the velocity trace after which we remove the instrument response (including the  
113 digitiser gain) and integrate the trace to obtain the displacement seismogram (Fig-  
114 ure 3 c-e). The application of a high-pass filter with a cut-off frequency lower than  
115 the flat-band of the instrument response (Neuberg and Lockett, 1996; Caudron  
116 et al., 2018) helps us recover the low frequency information while suppressing the  
117 amplification of the long period, environmental and electronic noise during the  
118 integration. Choosing the appropriate high pass filter is crucial, as the interpret-  
119 ation of the obtained displacement seismograms changes. Trace (c) shows with  
120 an apparent inflation (motion up) followed by a deflation (motion down) below  
121 the original level. This interpretation dramatically changes by including longer  
122 periods in traces (d) and (e). The ground displacement shown now in trace (e)  
123 could be described as a step-like inflation. The fact that a further extension to  
124 a lower frequency range does not change the waveform indicates that the trace  
125 now represents the “true” ground displacement of the process. In this case it gives  
126 us the “true” amplitude of the displacement as well, which can be directly read  
127 from the displacement seismogram (Figure 3f). Unfortunately there is no general



128 recipe or criteria how to define the lowest cut-off frequency as this process highly  
 129 depends on the data quality. In general, the vertical components are less affected  
 130 by low frequency noise than the horizontal components. We also have to assume  
 131 that there is no seismic energy or a static offset at even lower frequencies.

132 The restitution process comprises the application of the inverse instrument  
 133 transfer function using complex Poles and Zeros (PAZ) response files provided by  
 134 the manufacturer. The integration from velocity to displacement can be carried  
 135 separately or by including an extra term  $(s - 0)$  in the inverse instrument transfer  
 136 function (Scherbaum, 2001)

$$137 \quad \mathcal{U}_g = c' \frac{(s - p_1)(s - p_2)(s - p_3) \cdots (s - p_{np})}{(s - z_1)(s - z_2)(s - z_3) \cdots (s - z_{nz})(s - 0)} \mathcal{X}_{\text{vel}}, \quad (1)$$

138 where  $\mathcal{U}_g$  is the displacement seismogram,  $\mathcal{X}_{\text{vel}}$  the recorded velocity seismogram,  
 139  $nz$  is number of zeros  $z$  and  $np$  is number of poles  $p$ , while  $c'$  is the overall norm-  
 140 alisation constant containing also the digitiser gain.

#### 141 *4.3. Forward modelling of ground displacement*

142 Due to the very low frequency content in our example, this restitution method  
 143 was not applicable for the stations equipped with instruments with natural periods  
 144 shorter than 120 s. These instruments have a much lower signal to noise ratio at  
 145 long periods. A way around this problem is using the following forward modelling  
 146 technique: we assume a ground displacement model, or adopt the one determined  
 147 by the 120s instrument as a starting model. Next we apply the instrument response  
 148 of 60s or 30s seismometers to this trace and compare the resulting synthetic velo-  
 149 city seismogram with the velocity data. This is done similarly to the restitution  
 150 process, however when we apply the instrument response (i.e. multiplying the  
 151 displacement model with the transfer function, hence simulating the recording

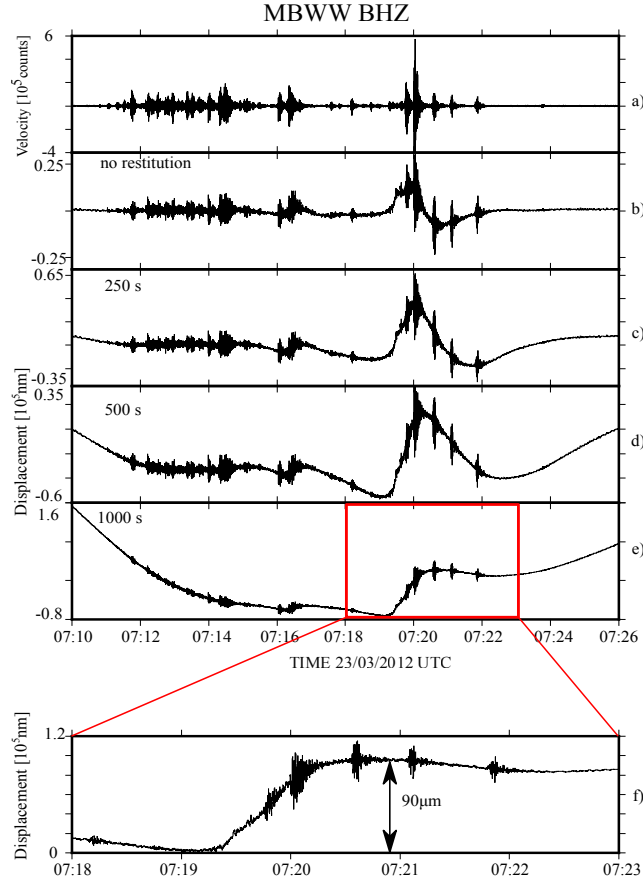


Figure 3: Restitution of the vertical component ground displacement at MBWW station. (a) Uncorrected velocity seismogram. (b) Integrated (without restitution) velocity seismogram which identifies the VLP signal (c) to (e) Displacement seismograms after correcting for the instrument response and considering spectral components to a period of 250, 500 and 1000 s respectively. (f) Five minute long time window showing true ground displacement.

152 process), an extra Zero in our PAZ response files equals differentiation when we  
 153 move from the displacement to the velocity domain. As a starting model for ground  
 154 displacement we use an approximation of the waveform that we obtained from the  
 155 vertical component of the 120 s instrument at the MBWW station. Vertical com-  
 156 ponents are generally less affected by the noise than the horizontal components.  
 157 One has to be aware that such an approach makes all following results highly de-

158 pendent on the single station MBWW. If the instrument response is even slightly  
159 incorrect the effect will be carried across the network into all synthetic displace-  
160 ment seismograms and, therefore, into the model. Similarly, any other noise at  
161 MBWW would be carried through to the rest of the stations.

162 In the limited 5 min time window shown in Figure 3f, the restituted signal  
163 appears to be a step function, however, outside this time window the long term  
164 behaviour cannot be uniquely determined. As the signal was recorded with a velo-  
165 city sensor (seismometer) a static offset represented by the step function will always  
166 decay to zero. Nevertheless, focusing on the source process in our volcanological  
167 study, we are interested in the initial slope of the signal. Therefore, in contrast to  
168 VLP signals on other volcanoes that are observed and interpreted as oscillatory  
169 behaviour (e.g. Dawson and Chouet, 2014; Caudron et al., 2018), we assume the  
170 model of a step-like displacement. We model it by using the Richards Growth  
171 Equation (RGE), a generalised logistic function defined by upper (K) and lower  
172 (A) asymptotes, the curve growth rate (B), the time of the maximum growth (M),  
173 and the asymmetry parameter ( $\nu$ ) (Richards, 1959; Green and Neuberg, 2005):

$$174 \quad Y(t) = A + \frac{K - A}{[1 + e^{B(t-M)}]^{1/\nu}}. \quad (2)$$

175 We adjust the parameters of the step function to match the restituted ground  
176 displacement of the vertical component of the 120s instrument (Figure 4). This  
177 trace is now used as input to create the synthetic velocity seismograms for the  
178 120s, 60s, and 30s instruments, respectively. First we apply the 120s instrument  
179 response (including differentiation), apply a low-pass filter and compare it with  
180 the low-passed data of MBWW (Figure 4). The comparison shows that even  
181 though the amplitude of the step function is well constrained by the restituted

182 data from the MBWW station, the modelled step function does not match the  
183 detailed time history in the velocity domain. The same discrepancy is also seen  
184 when comparing the resulting velocity seismograms of the band-limited stations  
185 with the original data on other components and stations. Upon more detailed  
186 analysis of the restituted ground displacement at MBWW we noticed a change of  
187 slope in the step function approximately 1.5 minutes ( $t_0$ ) into the trace. To model  
188 this discontinuity, we designed a two-phase step function using the RGE as a basis  
189 to see if a change in the slope can explain the discrepancy in the velocity domain.  
190 The modified step function is therefore divided into two phases,  $Y_{\text{phase1}}$  and  $Y_{\text{phase2}}$   
191 (Figure 4) described respectively as:

$$192 \quad Y_{\text{phase1}}(t) = A_1 + \frac{K_1 - A_1}{[1 + e^{B_1(t-M_1)}]^{1/\nu_1}}, \quad t \leq t_0 \quad (3)$$

$$193 \quad Y_{\text{phase2}}(t) = A_2 + \frac{K_2 - A_2}{[1 + e^{B_2(t-M_2)}]^{1/\nu_2}}, \quad t > t_0. \quad (4)$$

195 A crucial assumption we make is that the change in the slope happens at the  
196 same time for all components at all stations. Due to the wavelength of the signal,  
197 the arrival time difference at different station is negligible, therefore we can take  
198 this assumption into an account. The function is made continuous by selecting  $A_2$   
199 which minimises  $|\max(Y_{\text{phase1}}) - \min(Y_{\text{phase2}})|$ . Applying the instrument response  
200 to this model for ground displacement produces a synthetic velocity seismogram  
201 which better matches the data in the velocity domain. Now that we have shown  
202 this model works for a station equipped with 120s instrument, we examine how  
203 well our ground displacement model fits at stations equipped with 60 s and 30 s  
204 instruments. While for station MBWW the displacement model is fitted to the  
205 restituted ground displacement, for other stations we use the simulated annealing

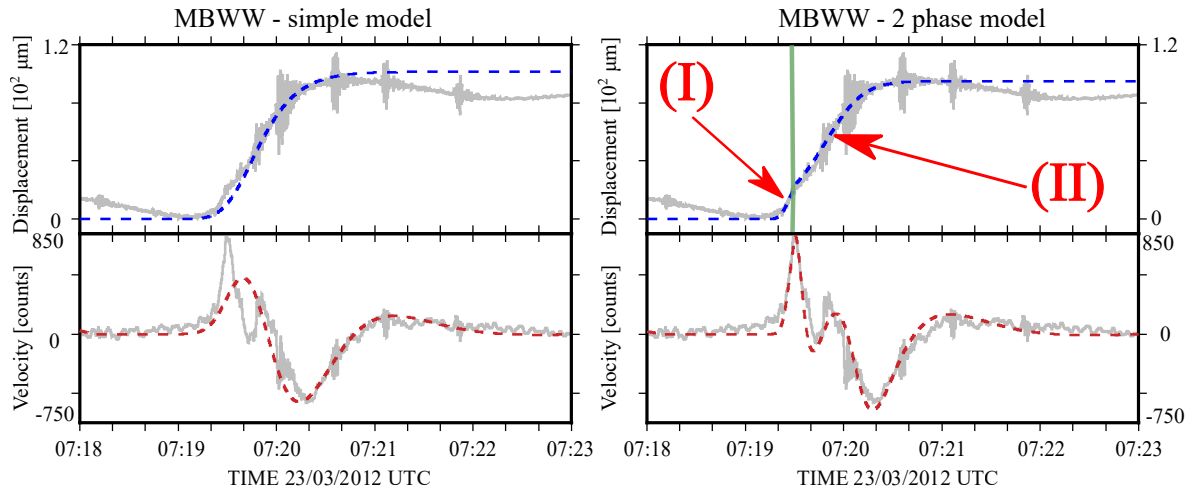


Figure 4: Ground displacement models (blue dashed lines) based on the restituted ground displacement (grey) at MBWW on March 23, 2012; using a simple step function (left) and using a 2 phase step function (right). We apply the band-pass limited instrument response and differentiate both ground displacement models and then compare the resulting synthetic velocity data (red dashed line) with the low passed filtered (below 20s) observed data (grey). The green vertical line represents the onset time of the change of slope and the roman numerals represent the two phases of our modeled step function.

206 (SA) method (Du and Swamy, 2016) to determine the best fit. We vary the  
 207 ground displacement model parameters and the goodness of a fit is measured in  
 208 the velocity domain. A 95 % confidence interval has been included in the overall  
 209 step amplitude estimate. Therefore, a 10% uncertainty in the estimate of the step  
 210 amplitude linearly translates into 10 % uncertainty in the volume change estimate  
 211 in Section 5.2. Using the method of Wielandt and Forbriger (1999) we also removed  
 212 the effect of the tilt from the horizontal components. Our results show that the two-

213 step model can explain the observed velocity waveforms on all available stations in  
214 the network for both the vertical and horizontal components (Figure 5, Appendix  
215 A). Furthermore, the results from 60 and 30 s stations reinforce our selection of  
216 the 1000 s high-pass filter as an appropriate one for the restitution process because  
217 if our ground displacement were not a step-like function it would not provide the  
218 very good match in the velocity domain. While the combined fit in velocity and  
219 displacement domain was necessary to circumvent the band-width limitations of 30  
220 and 60s instruments, this approach also revealed the advantages capturing details  
221 in the time history of the signal in the velocity domain.

## 222 **5. Source mechanism and location**

### 223 *5.1. Method*

224 Only after we perform the appropriate restitution process, obtaining the amp-  
225 litude and time history of the observed displacements, can we evaluate the volume  
226 change at the source by performing a moment tensor inversion (MTI) using the  
227 software package VOLPIS (Cesca and Dahm, 2008). By using this method we can  
228 resolve both the moment tensor (MT) and single force (SF) components as well as  
229 the source time history. As we are mostly interested in the combined amplitude  
230 of the two-phase source displacement, we model the static displacement again as  
231 a simple step function (Equation 2). These are modelled individually for each  
232 component at each station based on the two-phase step function so the start, end,  
233 and the maximum amplitude of the static displacement is equal. Additionally, as  
234 VOLPIS is a frequency domain inversion code, the large static step at the end of  
235 our displacement models makes the inversion unstable. Therefore we differentiate

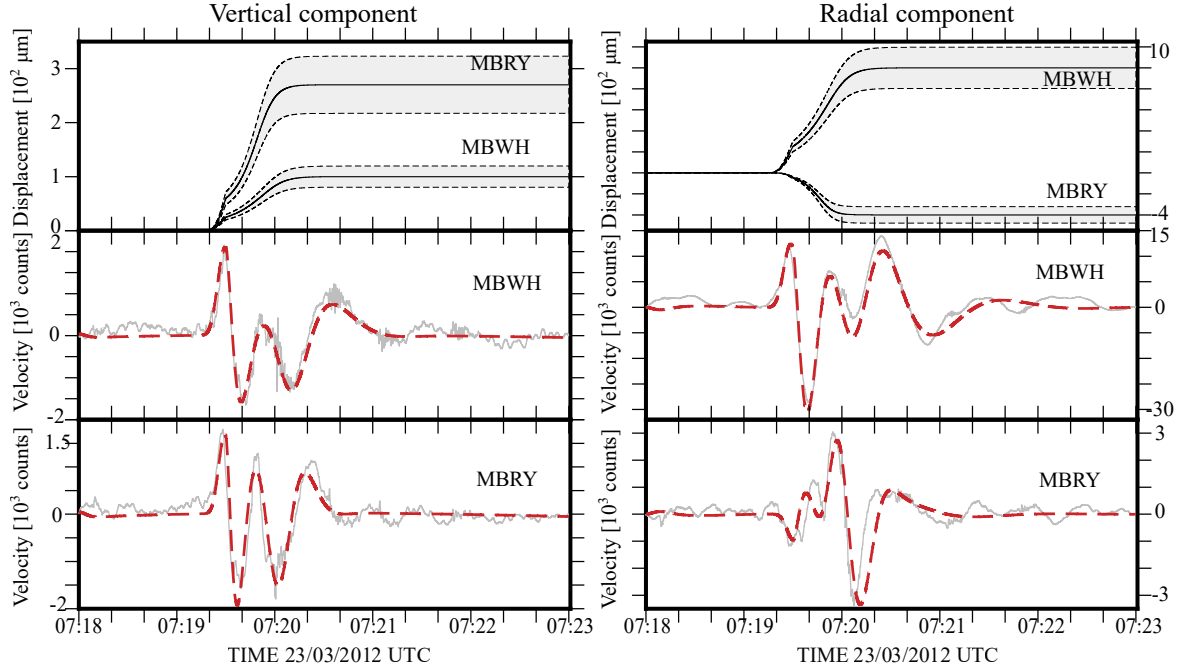


Figure 5: Vertical (left) and radial (right) component of the modelled ground displacements for stations MBWH and MBRY using the 2 phase step functions. The 95 % confidence intervals are shown as grey shaded areas. After simulating the instrument response we compare the synthetic velocity data (red dashed line) with the observed data (grey). Low-pass filter with cut-off frequency of 20s is applied to both synthetic and observed data.

236 the resulting displacement step models:

$$237 \quad v(t) = \frac{d}{dt}Y(t) = \mathcal{F}^{-1} [j\omega\mathcal{Y}(\omega)], \quad \mathcal{Y}(\omega) = \mathcal{F} [Y(t)] \quad (5)$$

238 where  $v(t)$  represents the velocity trace,  $Y(t)$  is a simple step function, and the  $\mathcal{F}$   
 239 indicates the Fourier transform. The MTI is therefore performed in the velocity  
 240 domain resulting in the moment rate components. The resolved moment rate  
 241 components are then integrated and can be directly compared with the source  
 242 time history used for modelled displacements. The Green's functions are computed

243 using a spectral element method SPECFEM3D (Komatitsch et al., 2012), for a  
 244 volumetric grid ( $2.0\text{km} \times 1.2\text{km} \times 0.8\text{km}$ ) (Figure 6) of possible source locations  
 245 with grid spacing of 200 m centred below the summit of SHV. The topography  
 246 is included in the calculation of the Green’s functions assuming a homogeneous  
 247 halfspace with  $v_p = 3500$  m/s,  $v_s = 2000$  m/s, and  $\rho = 2600$  kg/m<sup>3</sup>. As the  
 248 VLP signals have wavelengths much larger than the source-receiver distances, we  
 249 do not expect any influence from subsurface heterogeneities, and the assumption  
 250 of a homogeneous halfspace is justified. We perform the MTI for each point in  
 251 the grid and estimate the location of the source by finding the minimum misfit  
 252 between observed displacement seismograms and obtained synthetic displacement  
 253 seismograms through our inversion using:

$$\text{misfit} = \left[ \frac{\sum_{i=1}^{N_t} \sum_{j=1}^{M_i} (d_i(t_j) - s_i(t_j))^2}{\sum_{i=1}^{N_t} \sum_{j=1}^{M_i} (d_i(t_j))^2} \right], \quad (6)$$

255 where  $N_t$  is the number of time traces,  $M_i$  is the number of time samples for  
 256  $j$ -th trace, and  $d_i(t_j)$  and  $s_i(t_j)$  are the  $j$ -th samples of  $i$ -th time trace for input  
 257 data and synthetic time trace respectively (Cesca and Dahm, 2008). The misfit  
 258 results are dimensionless and normalised. The data were downsampled to 3 Hz  
 259 and bandpassed between 0.001 and 1 Hz. The inversions are done whilst keeping  
 260 the constraint for the source parameters to have same time histories for the MT  
 261 and SF components.

## 262 5.2. Results

263 The best-fitting model was located to be at depth of 600 m, 1000 m east and  
 264 400 m south of the volcano summit (Figure 6). The resulting waveform fit (Figure



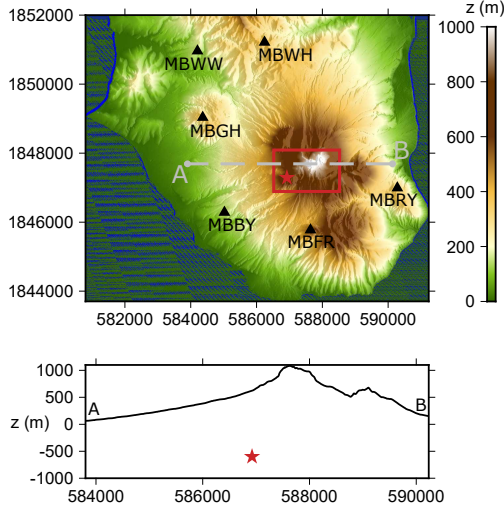


Figure 6: (top) Zoomed in map of Montserrat showing the horizontal boundaries of the volumetric grid of possible source locations (red triangle). Location of the best-fitting model is shown with the red star. (bottom) Cross section profile (extracted from point A to point B) showing the depth of the source and its relative location from the summit of the SHV.

265 7) shows a fairly good fit for all three components at all stations. The resulting  
 266 moment and single force rate time histories are shown in Figures 8. By normalising  
 267 the resolved moment tensor (Figure 8):

$$268 \quad \mathbf{M} = M_0 \begin{bmatrix} M_{nn} & M_{ne} & M_{nz} \\ M_{en} & M_{ee} & M_{ez} \\ M_{zn} & M_{ze} & M_{zz} \end{bmatrix} = 3.8 \times 10^{13} \begin{bmatrix} 0.53 & -0.29 & -0.55 \\ -0.29 & 0.13 & -0.44 \\ -0.55 & -0.44 & 0.73 \end{bmatrix} \text{ Nm} \quad (7)$$

269 we estimate the scalar seismic moment to be  $3.8 \times 10^{13}$  Nm. The resolved vector  
 270 of single forces is:

$$271 \quad \mathbf{F} = F_0 \begin{bmatrix} F_n \\ F_e \\ F_z \end{bmatrix} = 3.3 \times 10^{10} \begin{bmatrix} 0.17 \\ -0.75 \\ -0.64 \end{bmatrix} \text{ N} \quad (8)$$

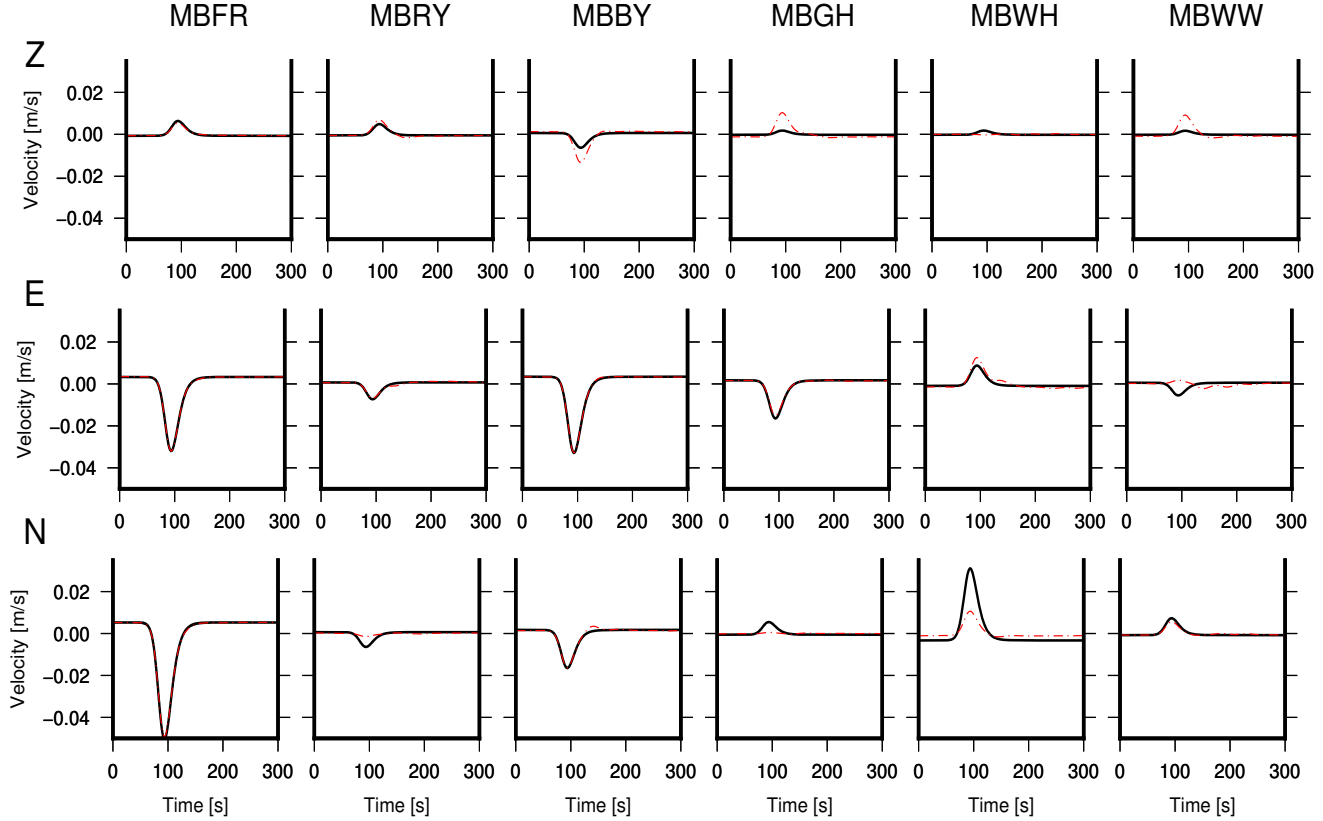


Figure 7: The seismogram fit in the velocity for three components at the available stations for the March 2012 event. Black solid line represents our input data for the inversion, while the dashed red line represents the best-fit solution synthetic data.

272 Comparing the maximum amplitudes of single force components (8) and their mo-  
273 ment counterparts we see that  $|\frac{SF_{\text{north}}}{M_{xx}}| = 0.0003 \text{ m}^{-1}$ ,  $|\frac{SF_{\text{east}}}{M_{yy}}| = 0.005 \text{ m}^{-1}$ , and  
274  $|\frac{SF_{\text{vert}}}{M_{zz}}| = 0.0007 \text{ m}^{-1}$  demonstrating that the single force components are negli-  
275 gible. We follow the decomposition of the resolved moment tensor by Vavryčuk  
276 (2001) and calculate the percentage of isotropic component to be 64%, CLVD  
277 component 12%, and double couple component to be 24%. The shear component  
278 has a strike of  $187^\circ$ , dip  $21^\circ$ , and rake  $146^\circ$ . The volume change ( $\Delta V$ ) at the  
279 source is then estimated using  $\Delta V = \frac{M_{\text{iso}}}{(\lambda + \frac{2}{3}\mu)}$ , where  $M_{\text{iso}}$  represent isotropic

280 moment and  $\lambda$  and  $\mu$  are Lamé parameters. Assuming a Poisson's ratio  $\nu = \frac{1}{4}$   
 281 ( $\lambda = \mu$ ) and our model space velocities, we estimate the source volume change to  
 282 be  $\Delta V = (1015 \pm 100) \text{ m}^3$ . However, for volcanic rocks at or near liquidus temper-  
 283 ature it may be more appropriate to use a Poisson's ratio  $\nu = \frac{1}{3}$  ( $\lambda = 2\mu$ ) (Murase  
 284 and McBirney, 1973) which results in a source volume change  $\Delta V = (635 \pm 60) \text{ m}^3$ .

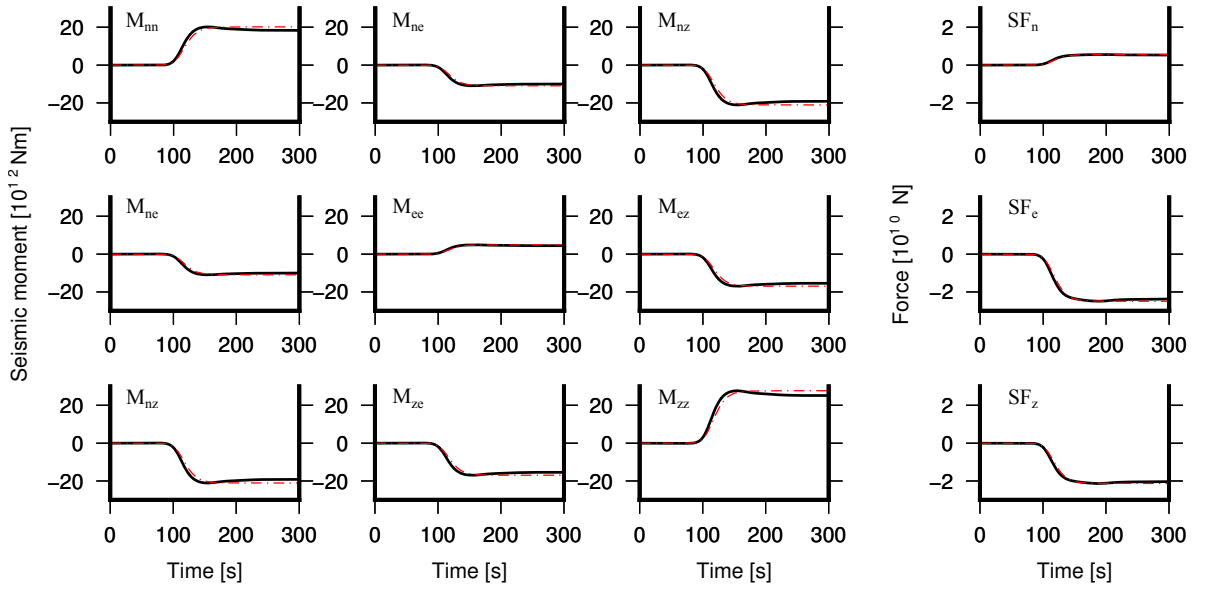


Figure 8: The resolved source time histories for the moment tensor and single force components (black). We multiply the average source time function used for the modelled displacements with the moment tensor/ single force component (dashed red line) so we can compare how well the shape of the displacement step function is resolved.

## 285 6. Discussion

286 This event demonstrates the need to include in the restitution of ground dis-  
 287 placement the spectral components of the VLP signal that go beyond the nat-  
 288 ural period of the seismometer. When ground displacements cannot be retrieved  
 289 through a restitution process, we show how by modelling ground displacements and  
 290 accounting for the seismometer response, we can compare synthetic and observed

291 waveforms in the velocity domain and determine the best model. Additionally, we  
292 show in our example how forward modelling can reveal more details of the source  
293 process, since small changes in displacement are enhanced in the velocity domain.  
294 As VLP signals have wavelengths of 10s to 100s of km, it places all of our seismic  
295 stations in the so-called near-field, i.e. within one wavelength from the source. In  
296 the near-field, the seismic displacement at the surface is directly proportional to  
297 the deformation at the source. In our example, where we observe a signal with a  
298 dominant period of approximately 100 s, equivalent to a wavelength of 350 km,  
299 all stations are in the near field and we can relate our restituted displacement and  
300 models to a source volume change. The two-phase step function describing the dis-  
301 placement at the source, has not been seen in such detail before. The result implies  
302 that the source volume change happened in two-phases, a rapid onset and then a  
303 slower continuation of the motion. We cannot say whether this type of motion is  
304 due to the source itself acting in two phases or whether the slower continuation  
305 of the motion is due to a rebound effect of the surrounding medium, however this  
306 question lies outside the scope of this study. This process differs from a previous  
307 VLP observed on SHV Green and Neuberg (2005) which had larger observed dis-  
308 placements but a simpler source time history. Unlike the signal analysed by Green  
309 and Neuberg (2005) where only vertical component seismograms at two stations  
310 were used, this event was observed on all three components at six stations in the  
311 seismic network. Although the azimuthal coverage of the network was not perfect,  
312 the observations still show that the same source time history can be seen on all  
313 components. It also allows us to perform moment tensor inversion to improve our  
314 interpretation of the source mechanics, although it is necessary to consider the ef-  
315 fect of the network coverage in the estimation of the source mechanism and source

316 volume change. If the inversions do not converge to a unique solution, we still  
317 could have a good fit in the displacement/velocity domain, however the resolved  
318 MT/SF components could not be resolved. We can directly relate the observations  
319 at the surface to the source mechanism, by comparing the inverted time histories  
320 of moment tensor and single force components with the modelled source time func-  
321 tion and, hence, obtain another verification of our moment tensor inversion result.  
322 Those show the source mechanism of the best-fitting model is an explosion with a  
323 strong shear component (Figures 8). The source volume change for the best fitting  
324 model is estimated to be in the range of  $0.6 - 1.1 \times 10^3 \text{ m}^3$ . Using strain data from  
325 3 borehole dilatometers, Hautmann et al. (2014) described this ash venting event  
326 as being initiated by the ascent of magmatic fluid from deeper magmatic system  
327 into shallow dyke. However, based on our estimate of volume change and depth  
328 we can speculate that it is not the sudden movement of magma that initiated this  
329 event, but rather it was due to  $\text{CO}_2$  flushing. If an amount of free gas phase of  
330  $\text{CO}_2$ , degassing at larger depths, hits a supersaturated magma batch it can get  
331 the water out of the solution and cause a sudden volume change (Caricchi et al.,  
332 2018). Looking at a broader aspect of the previous eruptive behaviour of SHV,  
333 such modulations could have been a trigger for the onset of a new eruptive phase  
334 which would explain why it is not the overpressure or a certain volume recharge  
335 that needs to be reached to start an eruptive phase (Figure 9).

336

## 337 7. Conclusions

338 The analysis of the VLP signal observed on 23 March 2012 during an outgassing  
339 and ash-venting event on Soufrière Hills Volcano, Montserrat provides a great ex-

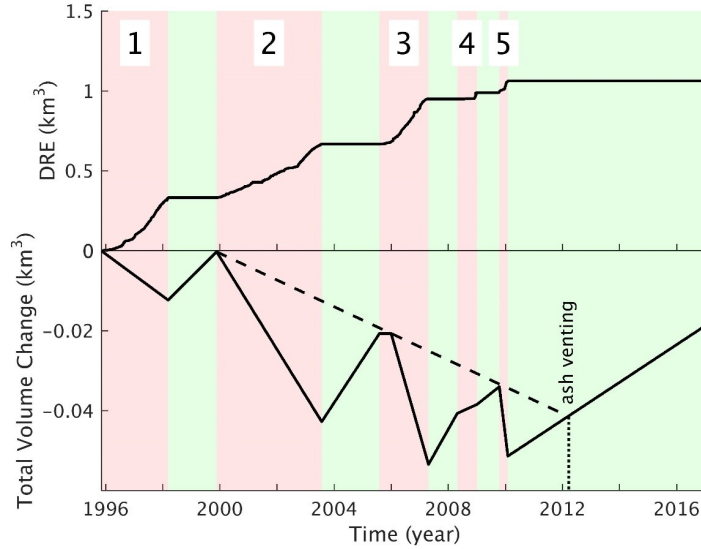


Figure 9: Approximation of the cumulative source volume change since the start of the eruption in 1995. The volume extruded during eruptive phase always exceeds the volume replenished during quiet periods. The dashed line marks the onset of renewed extrusions and links it to the timing of the ash-venting episode studied here. Adapted from Neuberg et al. (2018)

340 ample how the VLP signals can and should be processed. It is of great importance  
 341 to carry out the proper processing steps in order to retrieve the maximum amount  
 342 of information from the observed seismograms if we want to interpret source mech-  
 343 anism of VLP signals correctly. We show how forward modelling of the ground  
 344 displacement can reveal much more details of the source process, since the small  
 345 changes in displacement are enhanced in the velocity seismogram. Additionally  
 346 we perform moment tensor inversions and estimate the source mechanism to be  
 347 an isotropic mechanism with a strong shear component. The resulting volume  
 348 change, potentially caused by CO<sub>2</sub> flushing is estimated to be in the range of  
 349  $0.6 - 1.1 \times 10^3 \text{ m}^3$ . By combining the results from our restitution process, forward  
 350 modelling, and the moment tensor inversion we interpret the source mechanism  
 351 of the event to be an volume opening with a complex, static source displacement

352 with a strong shear component acting in a two-phase motion with a rapid onset  
353 and a slower continuation of the motion.

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362 original manuscript.

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# 464 Appendices

## 465 Appendix A

466 Here we show the residual seismogram from the Wielandt and Forbriger (1999)  
467 method for tilt removal. Figure 10 shows the removal of the tilt component at  
468 station MBWW. After the tilt is removed from the horizontal components we  
469 rotate the seismograms back to north and east seismograms. The effect of the tilt  
470 signal is shown in Figure 11 for the north component at MBWW.

## 471 Appendix B

472 Figures 12 and 13 show the displacement models for all three components and at  
473 all stations. We apply the seismometer response to these models and then compare  
474 it with the band-passed velocity seismograms. Both the synthetic and original  
475 velocity seismograms are filtered between 20 and 1000 seconds. The horizontal  
476 components are rotated into radial and transverse components, then we remove  
477 the influence of tilt signals, after which the resulting traces are rotated back into  
478 east and north components. The backazimuth is derived from the particle motion  
479 using the horizontal components.

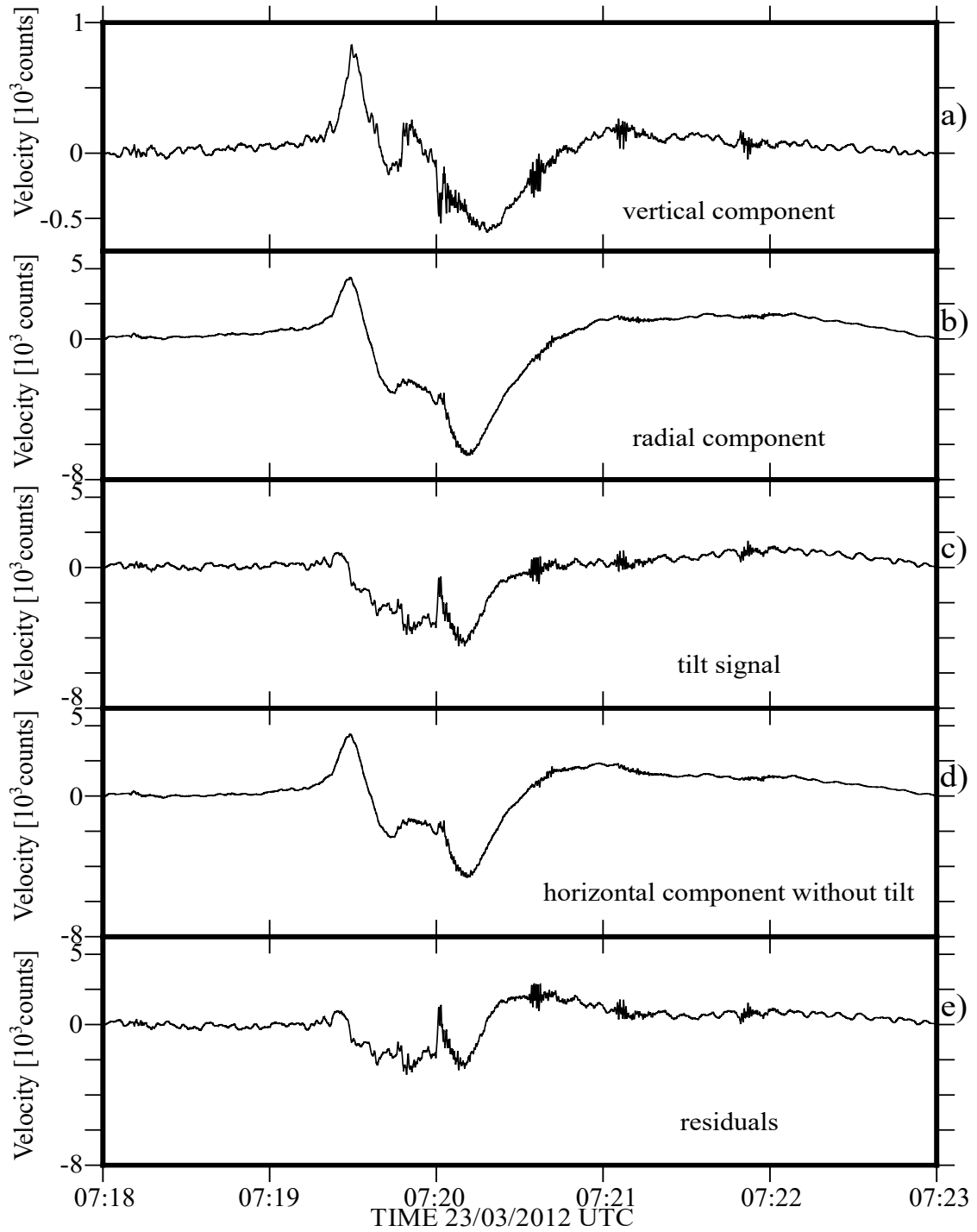


Figure 10: Trace a) is the vertical component velocity trace band-passed between 1000 and 20s. Traces c) and d) show the radial component after removal of the displacement and tilt component respectively, and e) shows the residual after removing both components from the horizontal trace.

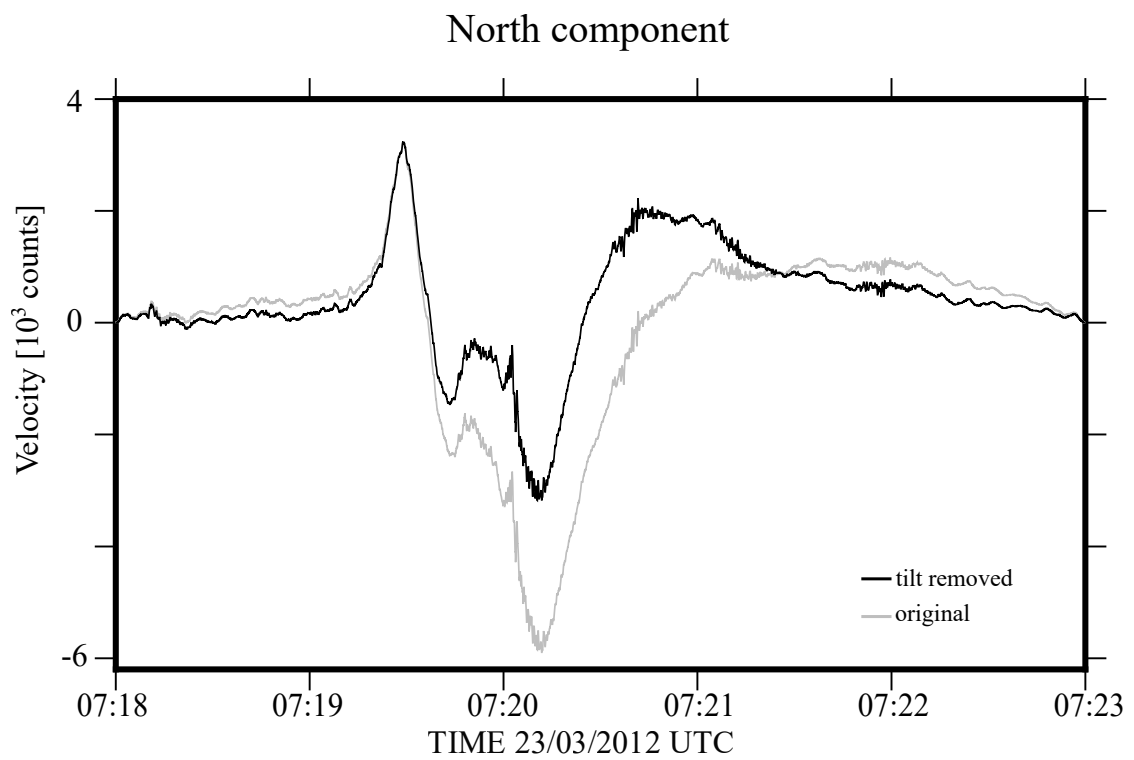


Figure 11: North component velocity seismogram at MBWW station before (grey) and after (black) removal of the tilt.

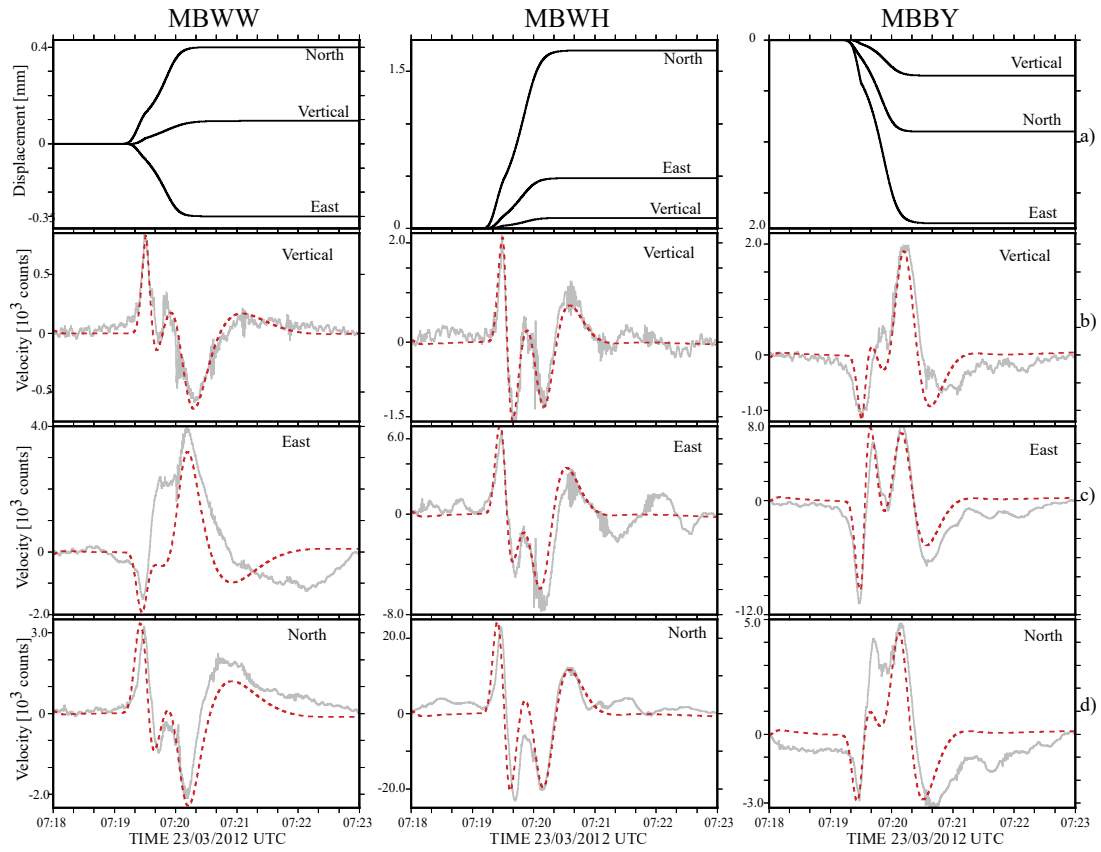


Figure 12: (a) Displacement models for all three components at stations MBWW, MBWH, and MBBY. (b-d) After applying the instrument response and differentiating the resulting synthetic velocity seismogram is band-passed (red dotted) and compared with the band-passed velocity seismogram (grey). The velocity seismograms are filtered between 0.001 and 0.05 Hz.

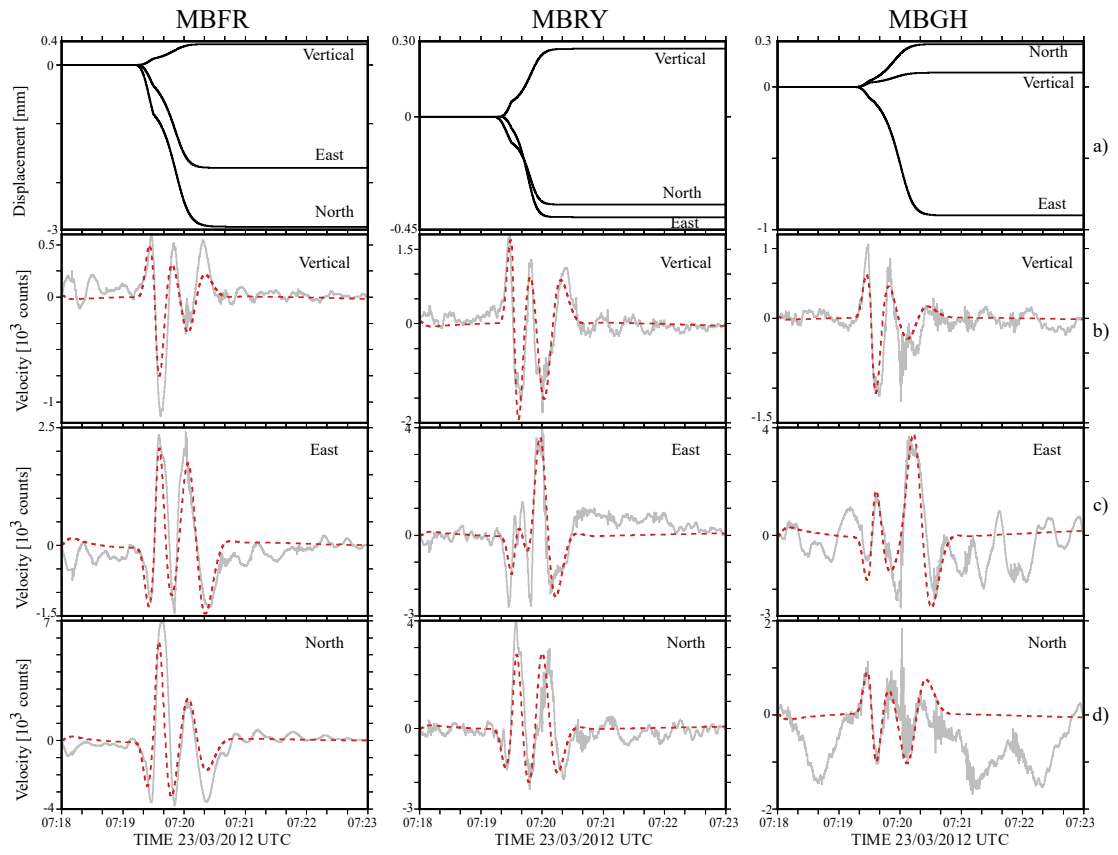


Figure 13: (a) Displacement models for all three components at stations MBFR, MBRY, and MBGH. (b-d) After applying the instrument response and differentiating the resulting synthetic velocity seismogram is band-passed (red dotted) and compared with the band-passed velocity seismogram (grey). The velocity seismograms are filtered between 0.001 and 0.05 Hz.