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1 **Is hyperpolarised gas magnetic resonance imaging a valid and**
2 **reliable tool to detect lung health in cystic fibrosis patients? A**
3 **COSMIN systematic review.**

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16 **Abstract**

17

18 This paper systematically reviewed the literature reporting the validity and reliability of
19 hyperpolarised gas MRI as a marker of lung health in cystic fibrosis (CF). MEDLINE,
20 EMBASE and grey literature were searched for studies assessing the measurement
21 properties of hyperpolarised helium-3 or xenon-129 MRI. The COSMIN risk of bias
22 tool was used to critically appraise eligible studies. Findings show hyperpolarised gas
23 MRI was able to detect structural and functional abnormalities in the lungs, detect
24 response to treatments, and is more sensitive than FEV₁ in detecting ventilation
25 defects in CF patients. There was moderately robust evidence for construct validity of
26 hyperpolarised gas MRI, although evidence for other types of validity is currently low.
27 Nonetheless, high quality studies concluded that hyperpolarised gas MRI is a reliable
28 tool and test results are reproducible in CF patients. Hyperpolarised gas MRI is a
29 promising tool for detecting early CF pulmonary disease and for longitudinal
30 monitoring of CF.

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35 Introduction

36

37 Cystic Fibrosis⁴ (CF) involves deteriorations in lung health due to the inability of the
38 airways to clear accumulating mucus, making lungs more prone to respiratory tract
39 infection and sputum production[1]. Spirometry, plethysmography, and multiple breath
40 nitrogen washout (MBNW) (which measures lung clearance index (LCI)), are used in
41 routine care to assess the severity of the disease and measure changes in lung
42 volume. Computerized Tomography (CT), radiography and Magnetic Resonance
43 Imaging (MRI) of the thorax can examine changes in lung structure, but cannot be
44 used routinely to monitor the progression of the disease for safety and cost
45 reasons[2,3].

46 Hyperpolarised (HP) gas magnetic resonance imaging (MRI) provides detailed
47 resolution images by visualizing the distribution of the HP gas after inhalation [3–6].
48 Small areas of hypoventilation in the lungs give rise to a lower signal, quantified as
49 ventilation defect percent (VDP). VDP can be quantified using different measurements

CF: Cystic Fibrosis, MBNW : Multiple Breath Nitrogen Washout , LCI: Lung Clearance Index, CT: Computerized Tomography, MRI: Magnetic Resonance Imaging, HP: Hyperpolarised, VDP: Ventilation Defect Percent, FEV₁: Forced Expiratory Volume in 1 second, ³He: Helium-3 gas, ¹²⁹Xe: Xenon-129, COSMIN: COnsensus-based Standards for the selection of health Measurement Instruments, PROMs: Patient-Reported Outcome Measures, ICC: Intra-Class coefficient, SDC: Smallest Detectable Change, LoA: Limits of Agreement, MIC: Minimal Important Change, GRADE: Grading of Recommendations Assessment, Development, and Evaluation, ANOVA: Analysis of Variance, SEM: Standard Error of Measurement, 95% CI: 95% Confidence Intervals, AUC: Area Under the Curve, PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-Analyses, RV/TLC: Residual Volume/Total Lung Capacity, RoB: Risk of Bias, CPT: Chest physiotherapy, ROC: Receiver operating characteristic.

50 such as k-means clustering, whole lung signal fraction, fuzzy c-means and linear
51 binning[7]. VDP can be compared with other pulmonary function tests such as FEV₁
52 to get a better understanding of lung health[6]. Historically, the technique used helium-
53 3 gas (³He), but its relatively high cost and low availability has led to the increased use
54 of xenon-129 (¹²⁹Xe). ¹²⁹Xe also dissolves more efficiently in the blood, providing better
55 gas exchange information [6].

56

57 HP gas MRI has the potential to complement existing tests [4,5], but its current use is
58 largely restricted to research purposes [8]. A systematic review of research on its
59 measurement properties is needed to inform decisions about wider adoption. This
60 paper aimed to systematically review primary research studies assessing the validity
61 and reliability of HP ¹²⁹Xe or ³He MRI as a marker of lung health.

62

63 **Methods (1350)**

64 The review was registered on PROSPERO database (CRD42019129588) before
65 starting data extraction.

66

67

68 [Eligibility criteria](#)

69 Studies were eligible if they recruited people with CF aged 5 and over, irrespective of
70 disease progression. Studies including patients with other conditions were included if

71 the data for the CF group could be disaggregated. Eligible studies assessed the
72 reliability and validity of HP ^3He or ^{129}Xe MRI. To be eligible a study had to report a
73 summary statistic pertaining to at least one of the following: internal consistency; test-
74 retest reliability; measurement error; content validity; construct validity; criterion
75 validity [9,10]. FEV₁ was used as a criterion measure of validity – this being the gold
76 standard measure of pulmonary function in clinical practice [11]. All studies aiming to
77 develop or assess the measurement properties of HP gas MRI were included. There
78 was no restriction on publication type; conference abstracts and theses were included.
79 Studies using animal models and studies only assessing the feasibility or tolerability
80 of HP gas MRI were excluded. Studies using HP gas MRI to validate another measure,
81 or as an outcome were also excluded. Only papers published in the English language
82 were included, due to resource constraints.

83

84 [Systematic Literature Search](#)

85 We searched MEDLINE and EMBASE via Ovid from inception to 21 August 2020, with
86 no date restrictions, as well as EThOS for theses and Google Scholar for grey
87 literature. We screened reference lists of eligible studies to identify further studies.
88 Where necessary, we contacted authors to access unpublished data and identify
89 further eligible studies. The combined thesaurus and free text terms related to the
90 population and tests. The full electronic search strategy is on PROSPERO database
91 (CRD42019129588). Two authors (FM, AP) independently screened titles and
92 abstracts, then potentially relevant full-text articles for eligibility. Disagreements were
93 resolved by a third reviewer (DH).

94

95 FM and AP extracted study characteristics (study objectives, design, sample size, age
96 [however reported], and comparators) and summary statistics related to reliability,
97 validity and responsiveness. For responsiveness, we assessed only ability of
98 hyperpolarised gas MRI to detect changes in the lungs after treatment. We used the
99 primary research studies' own hypotheses to assess construct validity, as no
100 hypothesis had been set by the research team prior to data collection.

101

102 [Risk of Bias Assessment](#)

103 FM and AP assessed risk of bias using the COSMIN risk of bias checklist [12] (Table
104 1), with disagreements resolved by consensus. Although the COSMIN system was
105 developed to assess the measurement properties of survey instruments
106 (questionnaires), the underlying statistics used are the same as those used to evaluate
107 the measurement properties of imaging techniques, and has sometimes been used
108 for this purpose[13,14].

109

110 [Rating the Evidence using COSMIN Criteria of Good Measurement Properties](#)

111 The COSMIN criteria of good measurement were used to rate study results as
112 sufficient, indeterminate and insufficient evidence of reliability or validity [15,16]. For
113 test-retest reliability, an intra-class correlation (ICC) of 0.7 was rated sufficient; studies
114 presenting no ICC were rated indeterminate. Sufficient evidence of an adequate
115 measurement error required the smallest detectable change (SDC) or limits of
116 agreement (LoA) to be less than the minimal important change (MIC). In the absence
117 of the MIC, the findings were deemed insufficient evidence of measurement error. For

118 criterion validity, correlation with the gold standard (FEV1) should be 0.7 or above to
119 be rated sufficient. If this was not calculated, the study results would be rated
120 indeterminate. For hypothesis testing (convergent validity) of construct validity, the
121 results of the study should be in accordance with the study hypothesis to be rated
122 sufficient. If no hypothesis was reported, the results would be rated indeterminate
123 (Table 1).

124 [Grading the Evidence using GRADE Approach](#)

125 The overall quality of evidence was graded as very low (very little confidence in
126 measurement property estimate), low (limited confidence in measurement property
127 estimate), moderate (moderately confidence in measurement property estimate) or
128 high (very confident that the measurement property estimate is close to the true
129 measurement property estimate) using the Grading of Recommendations
130 Assessment, Development, and Evaluation (GRADE) system [15,16]. Risk of bias,
131 inconsistency, imprecision, and indirectness were used to determine the grade of the
132 quality of evidence. Each measurement property begins on the High level, and may
133 then be downgraded levels to moderate, low or very low as appropriate.

134 Risk of bias was assessed as: 1) no risk of bias (multiple studies with adequate risk of
135 bias/at least one of very good quality); 2) serious (multiple studies of doubtful quality/at
136 least one study with adequate quality); 3) very serious (multiple studies with
137 inadequate risk of bias/at least one study with of doubtful quality); or, 4) extremely
138 serious (one study with inadequate quality) (Table 1) [15,16].

139 Inconsistency was assessed as 1) acceptable (>75% study results in accordance), 2)
140 serious (<75% study results in accordance), or 3) very serious (if all studies' results
141 were insufficient). Imprecision refers to the total sample size and was assessed as 1)
142 acceptable (n>100), 2) serious (n= 50 to 100), or 3) very serious (n<50). Indirectness
143 refers to the study population including participants from other populations than the
144 one of interest, and was assessed as 1) acceptable (only CF participants) 2) serious
145 (healthy controls included in sample) and 3) very serious (This is not applicable to this
146 study as only studies which included CF patients in the sample were included) (Table
147 1).

148 [Summary Statistics Extracted](#)

149 We extracted and summarised summary statistics. Reliability was measured by
150 intraclass correlation (ICC), Bland–Altman, analysis of variance (ANOVA) and
151 measurement error [9]. Measurement error was measured by standard error of
152 measurement (SEM), Smallest Detectable Change (SDC) or Limits of Agreement
153 (LoA) [9,10]. For the different types of validity: construct validity was measured by
154 spearman rank correlation [10]; criterion validity was measured by standard correlation
155 such as Pearson correlation, and area under the curve by calculating the sensitivity
156 and specificity of the instruments used [10]; Responsiveness was assessed using 95%
157 confidence intervals (95% CI), P-values and mean difference before and after the
158 given treatment. This was assessing whether the HP gas MRI detected any changes
159 in the lungs in response to the treatment.

160

Table 1: COSMIN Definitions and Methodology

Reliability/ validity measure	Definition	RoB Checklist	Good Measurement Properties	Grading Quality of Evidence (GRADE Approach)
Reliability				
Measurement error	The random and systematic error of a patient's result that is not associated to the true change in the construct to be measured. Measured by SEM, SDC or Limits of Agreement LoA [8,9]	<ul style="list-style-type: none"> · Patients stable in interim period · Time interval between scans appropriate · Test conditions similar for measurements · Measurement error: SEM, SDC of LoA calculated · Test retest: ICC calculated 	<ul style="list-style-type: none"> + SDC or LoA < MIC⁵ ? MIC not defined - SDC or LoA > MIC⁵ 	Number of levels to downgrade according to seriousness of each assessment:
Test- retest	The reproducibility of results if the test is repeated over time. Measured by intraclass correlation (ICC), Bland-Altman, analysis of variance (ANOVA) and measurement error [9].	<ul style="list-style-type: none"> · Patients stable in interim period · Time interval between scans appropriate · Test conditions similar for measurements · Measurement error: SEM, SDC of LoA calculated · Test retest: ICC calculated 	<ul style="list-style-type: none"> + ICC or weighted Kappa ≥ 0.70 ? ICC or weighted Kappa not reported - ICC or weighted Kappa < 0.70 	Risk of bias -0 Acceptable -1 Serious -2 Very serious -3 Extremely serious
Validity				
Construct (convergent)	The degree to which the instrument relates to a measure it is hypothesised to have a strong relationship with. Measured by spearman rank correlation.	<ul style="list-style-type: none"> · Is it clear what the comparator (FEV₁) measures · Were measurement properties of comparator (FEV₁) sufficient · Design and statistical methods adequate for hypotheses to be tested 	<ul style="list-style-type: none"> + ≥ 75% study results in accordance with the study hypothesis ? No hypothesis defined by study authors - < 75% study results in accordance with the study hypothesis 	Inconsistency -0 Acceptable -1 Serious -2 Very serious
Criterion	The extent to which the results of an instrument reflect the gold standard measurement (FEV ₁). Measured by standard correlation such as Pearson correlation, and AUC by calculating sensitivity and specificity of instruments used [9]	<ul style="list-style-type: none"> · Correlations or AUC calculated 	<ul style="list-style-type: none"> + Correlation with FEV₁ ≥ 0.70 OR AUC ≥ 0.70 ? Not all information for '+' reported - Correlation with FEV₁ < 0.70 OR AUC < 0.70 	Imprecision -0 Acceptable -1 total n=50-100 -2 total n<50
Responsiveness	The extent to which an instrument is able to detect a clinically important change in the concept being measured.	<ul style="list-style-type: none"> · Correlations between change scores or AUC calculated 	<ul style="list-style-type: none"> + ≥ 75% study results in accordance with the hypothesis ? No hypothesis defined (by the review team) - The result is not in accordance with the hypothesis 	Indirectness -0 Acceptable -1 Serious -2 Very serious

162 Table Note: Information in table taken from COSMIN Manual for Systematic Review of PROMs [12][15][16].

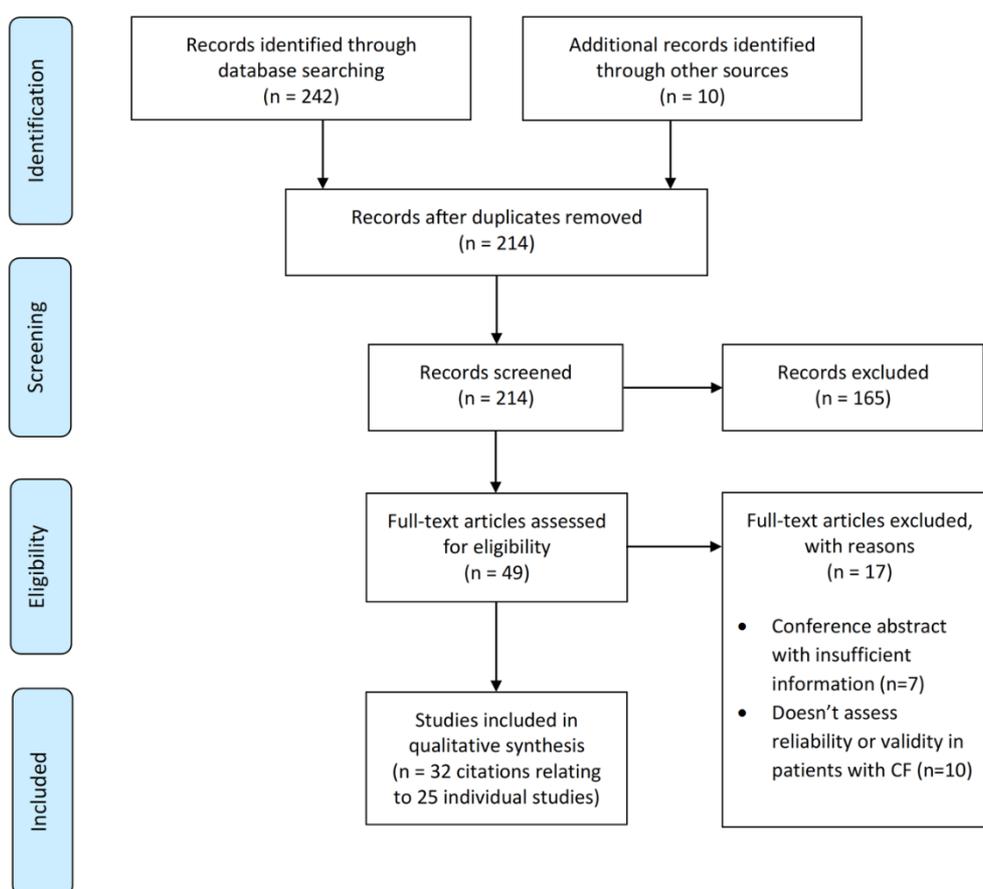
163 SEM = standard area of measurement, SDC = smallest detectable change, LoA = limits of agreement, AUC = area under receiver operator curve, ICC = intraclass correlation coefficient, MIC =

164 minimal important change. + = sufficient, ? = indeterminate, - = insufficient.

165

166 **Results**

167 Following the PRISMA reporting guidelines[17], after the elimination of duplicates, the
 168 searches retrieved 204 articles through the electronic bibliographic databases and 10
 169 citations through grey literature searching (total N=214) (Figure 1). After eligibility
 170 screening, 49 full-text articles were retrieved for eligibility assessment. Of these, 32
 171 articles, representing 25 unique studies met the eligibility criteria and were included in
 172 the review (Table 2). Reasons for exclusion of studies at the full-text stage are given
 173 in Appendix 1.



174

175

Figure 1: PRISMA 2009 Flow Diagram for Study Selection

Table 2: Study Characteristics by Validity/ Reliability Measure

Author (Country)	³ He or ¹²⁹ Xe	Study Design	Study Duration	CF Sample Size	CF Age Mean/Median (SD/Range)	Comparator Device
Measurement error						
Kirby et al, 2011, Canada [18]	³ He	Case series	2 scans in 1 week	12	Mean = 26 (range 18 to 41)	Spirometry; plethysmography
Test-retest reliability						
Woodhouse et al, 2009, UK [19]	³ He	Cross-sectional	2 scans in 1 session	5	Mean = 11 (range 6 to 15)	Spirometry
Choy et al, 2010, Canada [20][21]	³ He	Pilot study	2 scans in 1 week	8	Mean = 25 (SD=8)	Spirometry
Bannier et al, 2010, France [22]	³ He	Cross-sectional	2 scans in 1 session	10	Mean = 10.2 (range 8 to 16)	Spirometry (CPT was done for all patients to check changes in HP MRI)
O'Sullivan et al, 2014, Canada [23]	³ He	Case series	4 scans in 4 weeks	5	NA	Spirometry
Zha et al, 2019, USA [8]	³ He	Cross-sectional and Case series study	2 scans in 2 weeks	7	Mean = 23.8 (SD=10.5)	Spirometry
Couch et al, 2019, Canada and USA [24]	¹²⁹ Xe	Retrospective analysis	2 scans	CF = 18 HC = 8	CF Mean = 13.1 (SD=2.3) Healthy Mean = 12.7 (SD=2.3)	Spirometry, plethysmography; MBNW
Smith et al, 2020, UK [25]	¹²⁹ Xe	Case series	1 scan at baseline and at 16 month follow up (n=18) 2 scans at baseline and at 16 month follow up (n=11)	29	Mean = 23.0 (SD=11.1)	spirometry, plethysmography; MBNW
Smith et al, 2019, UK [26,27]	³ He and ¹²⁹ Xe	Cross-sectional and Longitudinal study	2 scans in 20 months	14	Median 17.4 (range 6.4 – 47.5)	Spirometry; MBNW
Criterion validity						
Koumellis et al, 2005, USA [28]	³ He	Cross-sectional	1 scan	8	Mean = 11.4 (range 6 to 15)	Spirometry

Mentore et al, 2005, USA [29]	³ He	Case series	3 scans for 3 different treatments	CF= 15 HC = 16	CF Mean = 21 (range 15 to 33) Healthy Mean = 25 (range 21 to 33)	Spirometry
Van Beek et al, 2006, UK [30]	³ He	Cross-sectional	1 scan	18	Median 12.1 (range 5 to 17)	Spirometry; chest X-ray
Woodhouse et al, 2009, UK [19]	³ He	Cross-sectional	2 scans in 1 session	14	Reproducibility cohort: Mean = 11 (range 6 to 15) Intervention cohort: Mean = 9 (range 5 to 15)	Spirometry
Choy et al, 2010, Canada [20][21]	³ He	Pilot study	2 scans in 1 week	8	Mean 25 (SD=8)	Spirometry
Kirby et al, 2013, Canada [31]	³ He	Case series	2 scans in 1 week	11	Mean 27 (SD=8)	Spirometry; plethysmography
Paulin et al, 2015, Canada [32]	³ He	Case series	3 scans in 4 years	5	28 (range 20 to 36)	Spirometry; plethysmography
Hardy et al, 2016, UK [33]	³ He	Cross-sectional	1 scan	CF= 18 HC = 30	CF Mean = 14.7 (SD=2.3) Healthy Mean = 14.6 (SD=1.4)	Spirometry; plethysmography; MBNW
Marshall et al, 2017, UK [34]	³ He	Cross-sectional	1 scan	CF = 19 HC = 10	CF Mean = 10.9 (SD=2.5) Healthy Mean= 11.3 (SD=2.8)	Spirometry; plethysmography; MBNW
Smith et al, 2019, UK [35]	³ He	Case series	Scan at baseline and at 16 month follow up	28	NA	Spirometry; MBNW
Thomen et al, 2017, USA[36]	¹²⁹ Xe	Cross-sectional	1 scan	CF = 11 HC = 11	CF Mean = 12.5 (SD=2.3) Healthy Mean = 11.5 (SD=3.2)	Spirometry
Kanhere et al, 2017, Canada [37]	¹²⁹ Xe	Cross-sectional	1 scan	CF = 10 HC = 5	CF Mean = 13 (SD=2.5) Healthy Mean = 12.4 (SD=2.4)	Spirometry; plethysmography; MBNW

Couch et al, 2019, Canada and USA [24]	¹²⁹ Xe	Retrospective analysis	1 scan	CF = 18 HC = 8	CF Mean = 13.1(SD=2.3) Healthy Mean = 12.7 (SD=2.3)	spirometry, plethysmography; MBNW
Rayment et al, 2019, Canada [38,39]	¹²⁹ Xe	Cohort study	2 scans pre and post treatment over 3 weeks	15	Median of 14 (range 13.0 to 16.5)	Spirometry, plethysmography;MBNW
Construct validity						
McMahon et al, 2006, Ireland [4]	³ He	Case series	1 scan	8	Mean = 31.9 (range 20 to 46)	HRCT; spirometry
Bannier et al, 2010, France [22]	³ He	Cross-sectional	2 scans in 1 session	10	Mean = 10.2 (range 8 to 16)	Spirometry (CPT was done for all patients to check changes in HP MRI)
Kirby et al, 2011, Canada [18]	³ He	Case series	2 scans in 1 week	12	Mean = 26 (range 18 to 41)	Spirometry; plethysmography
Altes et al, 2012, USA [40–42]	³ He	Study A: Crossover clinical trial Study B: open label trial	5 scans in 48 weeks	Study A = 8 Study B = 9	A Mean = 18.9 B Mean = 24.4	Spirometry; MBNW
Smith et al, 2018, UK [43][44]	³ He	Cross-sectional	1 scan	32	Median 16.7 (range 6.4–43.1)	Spirometry; plethysmography; MBNW
Smith et al, 2018, UK [45]	³ He	Case series	2 scans in 1.3-2 years	14	Mean = 10.30 (SD=2.26)	Spirometry; plethysmography; MBNW
Zha et al, 2019, USA [8]	³ He	Cross-sectional and Case series study	1 scan	17	Mean = 23.8 (SD=10.5)	Spirometry
Smith et al, 2019, UK [26,27]	³ He and ¹²⁹ Xe	Cross-sectional and Longitudinal study	One (n=17) to two (n=14) scans in 20 months	31	Median 17.4 (range 6.4 – 47.5)	Spirometry; MBNW
Responsiveness						
Bannier et al, 2010, France [22]	³ He	Cross-sectional	2 scans (pre- and post-CPT)	10	Mean = 10.2 (range 8 to 16)	Spirometry (CPT was done for all patients to check changes in HP MRI)
Altes et al, 2012, USA [40–42]	³ He	Study A: Crossover clinical trial Study B: open label trial	5 scans in 48 weeks	Study A = 8 Study B = 9	A Mean = 18.9 B Mean = 24.4	Spirometry; MBNW
Rayment et al, 2019, Canada [38,39]	¹²⁹ Xe	Cohort study	2 scans (pre- and post-treatment) over 3 weeks	15	Median of 14 (range 13.0 to 16.5)	Spirometry, plethysmography; MBNW

Smith et al,2020, UK [46]	¹²⁹ Xe	Cross-sectional	2 scans (pre- and post-exercise)	13	Mean = 25 (SD=10)	Spirometry, plethysmography; MBNW
Woodhouse et al, 2009, UK [19]	³ He	Cross-sectional	2 scans (pre- and post-physiotherapy)	9	Mean = 9 (range 5 to 15)	Spirometry
Mentore et al, 2005, USA [29]	³ He	Case series	3 scans for 3 different treatments	CF= 15 HC = 16	CF Mean = 21 (range 15 to 33) Healthy Mean = 25 (range 21 to 33)	Spirometry

177 Study Characteristics

178 The 25 included studies were published between 2005 and 2020. Six were conducted
179 in the USA [8,24,28,29,36,40], seven in Canada [18,20,23,31,32,37,38], 10 in the UK
180 [19,25,26,30,33–35,43,45,46], one in France [22], and one in Ireland [4]. Eighteen of
181 the studies investigated HP ³He MRI [4,8,18–20,22,23,28–35,40,43,45] six
182 investigated HP ¹²⁹Xe MRI [24,25,36–38,46] and one investigated both HP ³He and
183 ¹²⁹Xe MRI [26] (Table 2).

184 There were 11 cross-sectional studies [8,19,22,25,28,30,33,34,36,37,43], six case
185 series with a follow-up of less than twelve months [4,18,23,29,31] and four
186 [25,32,35,45] with follow-up of between 14 months [25] and 4 years [32]. Two case
187 series exposed participants to interventions such as nebulisers and chest
188 physiotherapy prior to the MRI scan to understand treatment response [18,29]. One
189 study presented a nested case series within a larger cross-sectional study [26]. There
190 was one crossover clinical trial [40], There was one pilot study [20], one retrospective
191 analysis study [24], and one cohort study [38].

192 Sample sizes ranged from five [23,32] to 32 [43] people with CF and from 5 [37] to 30
193 [33] healthy individuals, in studies which used controls. The reported mean age of
194 study populations ranged from 9 to 32. Reported median ages ranged from 12.1 to
195 17.4.

196

197 [Test-retest Reliability](#)

198 Eight studies assessed test-retest reliability [8,19,20,22–26]; five using the ICC
199 [8,20,22,24,25], four using Bland-Altman tests [8,19,25,26], and one using ANOVA
200 [23] (Table 4). There was good evidence for the test-retest reliability of MRI in
201 assessing VDP across three studies of very good [25] and adequate quality [20,22] in
202 which the intraclass correlations were more than 0.9 (Table 4). The GRADE
203 assessment was very low for studies using HP ³He as there was inconsistency
204 between studies, with <75% of the studies showing a strong correlation, and
205 considerable imprecision (total n=49 study participants with cystic fibrosis) (Table 6).
206 For studies using HP ¹²⁹Xe the GRADE assessment was low due to Risk of Bias (only
207 two studies of doubtful and inadequate quality), imprecision (total n=61 study
208 participants with cystic fibrosis) and inconsistency with <75% of the studies showing
209 a strong correlation.

210

211 [Measurement Error](#)

212 There was poor evidence for measurement error from a single study of adequate
213 quality [18] (Table 5). This study found the SDC for VDP (3%) to be higher than the
214 MIC (2%), suggesting a considerable chance that the change detected by MRI for
215 VDP was caused by measurement error [10,47]. The GRADE assessment was very
216 low due to risk of bias (only one study of adequate quality), imprecision (total n=12
217 study participants with cystic fibrosis) and difficulty in assessing consistency.

218

219 [Criterion Validity](#)

220 From the HP ^3He and ^{129}Xe MRI studies, fourteen assessed criterion validity
221 [19,20,24,28–38] (Table 6). One study [28] presented p-values, which were not
222 interpretable, rather than correlations. Evidence for criterion validity was mixed when
223 using FEV₁ as a criterion of VDP. Four studies of very good quality showed a strong
224 correlation (>0.7) between FEV₁ and VDP [19,29,32,34], however six very good quality
225 studies [20,24,31,33,36,38] found a weaker correlation (<0.7, range: 0.3-0.69). The
226 GRADE assessment for criterion validity was low for studies using HP ^3He , and very
227 low for studies using HP ^{129}Xe . These grades are due to inconsistency (<75% showed
228 strong correlation) and indirectness (total healthy controls: ^{129}Xe n=24; ^3He n=56),
229 with HP ^{129}Xe MRI being downgraded further for imprecision (total n=54 study
230 participants with cystic fibrosis).

231 Of the three studies of very good quality which assessed LCI as a criterion for VDP,
232 one study [24] showed a strong correlation (>0.7) and two [33,38] a weaker correlation
233 (<0.7, range: 0.13-0.61). Low correlations were found between HP gas MRI and body
234 plethysmography; RV/TLC [34,38]; and CT scan score [34] (Table 6).

235

236 [Construct Validity](#)

237 Seven studies using HP ^3He MRI assessed construct validity [4,8,18,22,40,43,45], in
238 addition to one study which used both HP ^3He and ^{129}Xe [26] (Table 7). Four of the
239 eight studies did not report a study hypothesis and were rated indeterminate
240 [22,26,43,45] (Appendix 2). From the four studies which included a hypothesis, two
241 studies of very good quality [4,40] found a strong correlation between FEV₁ and VDP
242 (>0.7) in accordance with their hypothesis, and one study of very good quality found

243 a weaker correlation (= -0.68) [18]. The GRADE assessment for construct validity was
244 moderate for the studies using HP ³He, after being downgraded for inconsistency, with
245 less than 75% studies having sufficient results. The GRADE assessment for construct
246 validity of studies using HP ¹²⁹Xe was very low, due to difficulty assessing
247 inconsistency of evidence from only one study, and imprecision (total n=31 patients
248 with cystic fibrosis).

249

250 From the studies of very good quality which assessed construct validity against other
251 techniques, strong correlations (>0.7) were found between hyperpolarised gas MRI
252 and LCI in two studies [26,43], RV/TLC in one study [43], and CT scan in one study
253 [4].

254

255 [Responsiveness](#)

256 Six studies assessed responsiveness to treatment [19,22,29,38,40,46]. There were
257 two studies [29,40] of very good quality which found HP ³He MRI was able to detect
258 changes in ventilation volume and defects after treatment, however the evidence was
259 rated as intermediate due to no hypothesis being set by the review team (Table 8).

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Table 4: Test-Retest Reliability: Risk of Bias Within Studies, Good measurement properties according to COSMIN Checklist, Study Findings, and GRADE result

Authors (Date)	³ He or ¹²⁹ Xe	RoB	Good Measurement Properties	Study Findings	Number of patients in all of the studies	GRADE
Woodhouse et al (2009) [19]	³ He	Doubtful	Indeterminate	Bland–Altman analysis for both examinations, The mean difference between the two examinations = -0.037 (95% CI -7.7 to 0.15)		
Choy et al (2010) [20]	³ He	Adequate	Sufficient	ICC of Ventilation Gradients (VG3x3) of VDP = 0.92 ICC of Coefficients of Variation (CoV3x3) of VDP = 0.91		
Bannier et al (2010) [22]	³ He	Adequate	Sufficient	ICC of VDP = 0.924	(n=49)	Very Low
O’Sullivan et al (2014) [23]	³ He	Inadequate	Indeterminate	ANOVA of VDP P= 0.2871		
Zha et al (2019) [8]	³ He	Doubtful	Sufficient	Bland-Altman analysis of VDP = 0.023 (95% CI -0.06 to 0.105) ICC of VDP = 0.95		
Smith et al, (2019) [26]	³ He	Doubtful	Indeterminate	Bland–Altman analysis of ³ He- ¹²⁹ Xe HP MRI LoA = 8.9, -7.4%		
Couch et al (2019) [24]	¹²⁹ Xe	Inadequate	Sufficient	ICC of VDP = 0.99		
Smith et al, (2020) [25]	¹²⁹ Xe	Very good	Sufficient	Bland-Altman analysis (LoA) of VDP = 0.8 [-7.0, 8.5] ICC of VDP = 0.97 [0.94, 0.99]	(n=61)	Low

Smith et al, (2019) [26] ¹²⁹Xe Doubtful Indeterminate Bland–Altman analysis of ³He-¹²⁹Xe HP MRI LoA = 8.9, -7.4%

277 CI= Confidence intervals, ICC= Intraclass correlation coefficient, VDP= Ventilation defect percentage

Table 5: Measurement Error: Risk of Bias Within Studies, Good measurement properties according to COSMIN Checklist, Study Findings, and GRADE result

Authors (Date)	³ He or ¹²⁹ Xe	RoB	Good Measurement Properties	Study Findings	Number of patients in all of the studies	GRADE
Kirby et al (2011) [18]	³ He	Adequate	Insufficient	SDC in VDP = 0.03	(n=12)	Very Low

278 SDC= Smallest detectable change, VDP= Ventilation defect percentage

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Table 6: Criterion Validity: Risk of Bias Within Studies, Good measurement properties according to COSMIN Checklist, Study Findings, and GRADE result

Authors (Date)	³ He or ¹²⁹ Xe	RoB	Good Measurement Properties	Study Findings	Number of patients in all of the studies	GRADE
Koumellis et al (2005) [28]	³ He	Inadequate	Indeterminate	Correlation between VDP and FEV1 not reported. The six peripheral ROI measurements were averaged to obtain an index of flow in the peripheral lung, a good correlation with the FEV ₁ analysed by means of a two-tailed Student's t-test was found P= 3.74 x 10 ⁻⁵	(n=144)	Low
Mentore et al (2005) [29]	³ He	Very Good	Sufficient	Correlation coefficients (r) for: · VDP with FEV ₁ = - 0.71		
Beek et al (2006) [30]	³ He	Doubtful	Insufficient	Correlation coefficients (r) for: · ³ He MRI with FEV ₁ = - 0.41		

Woodhouse et al (2009) [19]	³ He	Very Good	Sufficient	<p>Correlation coefficients (r) for:</p> <ul style="list-style-type: none"> · ³He MRI with FEV₁ = 0.98 · ³He MRI with FEV₁ = 0.82
Choy et al (2010) [20]	³ He	Very Good	Insufficient	<p>Correlation coefficients (r) for:</p> <ul style="list-style-type: none"> · Ventilation Gradients (VG_{3x3}) of VDP with FEV₁ = 0.69 · Coefficients of Variation (CoV_{3x3}) of VDP with FEV₁ = 0.66
Kirby et al (2013) [31]	³ He	Very Good	Sufficient	<p>Correlation coefficients (r) for:</p> <ul style="list-style-type: none"> · FEV₁ with difference in whole lung apparent diffusion coefficient (ADC) (³He MRI) = 0.67 · FEV₁ with previously ventilated ADC interior posterior difference = -0.75
Paulin et al (2015) [32]	³ He	Very Good	Sufficient	<p>Linear regression (r²) for:</p> <ul style="list-style-type: none"> · Baseline VDP with FEV₁ after 4 years = 0.98 · 4-year VDP with FEV₁ after 4 years = 0.85
Hardy et al (2016) [33]	³ He	Very Good	Insufficient	<p>Correlation between VDP and FEV₁ not reported.</p> <p>Correlation coefficients (r) for:</p> <ul style="list-style-type: none"> · 13 ms ADC (VC W) with FEV₁ = - 0.39

				<ul style="list-style-type: none"> · 13 ms ADC (VC W) with LCI = -0.13 		
Marshall et al (2017) [34]	³ He	Inadequate	Sufficient	<p>AUC for:</p> <ul style="list-style-type: none"> · ³He MRI VDP = 0.94 <p>Correlation coefficients (r) for:</p> <ul style="list-style-type: none"> · VDP with RV/TLC = 0.61 · VDP with CT gas trapping score = 0.58 · VDP with LCI siting = 0.55 		
Smith et al, (2019) [35]	³ He	Inadequate	Indeterminate	<p>Correlation between VDP and FEV1 not reported</p> <p>Correlation coefficients (r) for:</p> <ul style="list-style-type: none"> · The difference in VDP from baseline to the follow-up with the difference in LCI from baseline to the follow-up = 0.61 		
Kanhere et al (2017) [37]	¹²⁹ Xe	Doubtful	Insufficient	<p>Coefficient of multiple correlation (r^2):</p> <ul style="list-style-type: none"> · VDP with FEV₁ in all patients (CF and HC) = 0.31 · VDP with LCI in all patients (CF and HC) = 0.88 	(n=54)	Very Low
Thomen et al (2017) [36]	¹²⁹ Xe	Very Good	Insufficient	<p>Correlation coefficients (r) for:</p> <ul style="list-style-type: none"> · VDP with FEV₁ = - 0.54 		
Couch et al (2019) [24]	¹²⁹ Xe	Very Good	Insufficient	<p>Linear regression (r²) for:</p>		

				<ul style="list-style-type: none"> · VDP with FEV₁ done by analyst 1 = 0.33 · VDP with FEV₁ done by analyst 2 = 0.26 · VDP with LCI done by analyst 1 = 0.76 · VDP with LCI done by analyst 2 = 0.77
Rayment et al (2019) [38]	¹²⁹ Xe	Very Good	Insufficient	Linear regression (r ²) for: <ul style="list-style-type: none"> · VDP with FEV₁ = 0.30 · VDP with LCI supine = 0.21 · VDP with LCI seated = 0.38 · VDP with RV/TLC = 0.34

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VDP= Ventilation defect percentage, FEV₁ = Forced expiratory volume in 1 second, ROI= Regions of interest, ADC = apparent diffusion coefficient, VCW= Weighted and volume corrected, RV= Residual volume, TLC= total lung capacity, AUC= Area under curve, CT= Chest tomography, LCI= lung clearance index, HC = healthy controls

Table 7: Construct Validity: Risk of Bias Within Studies, Good measurement properties according to COSMIN Checklist, Study Findings, and GRADE result

Authors (Date)	³ He or ¹²⁹ Xe	RoB	Good Measurement Properties	Study Findings	Number of patients in all of the studies	GRADE
McMahon et al (2006) [4]	³ He	Very Good	Sufficient	Spearman rank correlation (ρ) for: <ul style="list-style-type: none"> · FEV₁ with VDP = 0.86 · HRCT with VDP = ± 0.89 	(n=148)	Moderate
Bannier et al (2010) [22]	³ He	Very Good	Insufficient	Spearman rank correlation (ρ) for: <ul style="list-style-type: none"> · FEV₁ with VDP = - 0.041 		
Kirby et al (2011) [18]	³ He	Very Good	Insufficient	Spearman rank correlation (ρ) for:		

Altes et al (2012) [40]	³ He	Very Good	Sufficient	<ul style="list-style-type: none"> · FEV₁ with VDP = - 0.68 <p>Spearman rank correlation (ρ) for:</p> <ul style="list-style-type: none"> · FEV₁ with VDP = - 0.85 · Part A of the study, Spearman rank correlation (ρ) for: <ul style="list-style-type: none"> ▪ FEV₁ with VDP = - 0.52 · Part B of the study, Spearman rank correlation (ρ) for: <ul style="list-style-type: none"> • FEV₁ with VDP = - 0.67 		
Smith et al (2018) [43]	³ He	Very Good	Indeterminate	<p>Spearman rank correlation (ρ) for:</p> <ul style="list-style-type: none"> · VDP with FEV₁ = - 0.79 · VDP with LCI = 0.89 · VDP with RV/TLC = 0.80 		
Smith et al (2018) [45]	³ He	Doubtful	Indeterminate	<p>Correlation between VDP and FEV₁ not reported</p> <p>Spearman rank correlation (ρ) for:</p> <ul style="list-style-type: none"> · VDP and LCI at baseline = 0.66 · VDP and LCI at visit 2 = 0.82 · The percentage change in VDP from baseline to visit 2 = 0.60 		
Zha et al (2019) [8]	³ He	Doubtful	Sufficient	<p>Spearman rank correlation (ρ) for:</p> <ul style="list-style-type: none"> · VDP with FEV₁ = - 0.75 		
Smith et al, (2019) [26]	³ He	Very Good	Indeterminate	<p>Spearman rank correlation (ρ) for:</p> <ul style="list-style-type: none"> · VDP with FEV₁ = - 0.78 · VDP with LCI = 0.88 		
Smith et al, (2019) [26]	¹²⁹ Xe	Very Good	Indeterminate	<p>Spearman rank correlation (ρ) for:</p>	(n=31)	Very Low

- VDP with FEV₁ = - 0.79
- VDP with LCI = 0.88

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FEV₁ = Forced expiratory volume in 1 second, VDP= Ventilation defect percentage, LCI= Lung clearance index, RV= Residual volume, TLC= total lung capacity

Table 8: Responsiveness: Risk of Bias Within Studies, Good measurement properties according to COSMIN Checklist, Study Findings, and GRADE result

Authors (Date)	³ He or ¹²⁹ Xe	RoB	Good Measurement Properties	GRADE	
Mentore et al (2005) [29]	³ He	Very Good	Indeterminate	Correlation between change in VDP and FEV ₁ (% predicted) from baseline to after albuterol, DNase, and chest physical therapy was weak (r = -0.13).	
Woodhouse et al (2009) [19]	³ He	Inadequate	Indeterminate	The was no significant changes in total ventilation volume before and after CPT that was detected using hyperpolarised helium MRI (P value = 0.36)	N/A
Bannier et al (2010) [22]	³ He	Inadequate	Indeterminate	VDP before and after CPT did not change (P value > 0.10); <ul style="list-style-type: none"> · VDP mean before CPT = 5.1 (1.9) · VDP mean after CPT = 5.1 (1.1) 	
Altes et al (2012) [40]	³ He	Very Good	Indeterminate	Part A: VDP was reduced by 8.2% from baseline (day 15) to after ivacaftor treatment (day 43), P value = 0.055 (r= -0.5238)	
Rayment et al (2019) [38]	¹²⁹ Xe	Inadequate	Indeterminate	The absolute mean change in VDP pre- post treatment was -3.0 (-5.0, -1.0) The relative change (%) in VDP pre- post treatment was -44.2 (-60.2, -28.3)	
Smith et al, (2020) [46]	¹²⁹ Xe	Inadequate	Indeterminate	There was a small but significant reduction in the VDP (p = 0.04) after CPET when compared to baseline. <ul style="list-style-type: none"> · VDP % before CPET = 7.3 [2.3, 25.8] · VDP % after CPET = 7.1 [2.4, 24.8] 	N/A

284 VDP= Ventilation defect percentage, FEV₁ = Forced expiratory volume in 1 second, CPT= Chest physiotherapy, CPET= Cardiopulmonary exercise testing

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295 [Summary of Risk of Bias Issues](#)

296 The greatest risk of bias for tests of reliability resulted from inadequate reporting of
297 patient stability on the day of scans [8,18,19,21,22,26] and consistency in test
298 conditions for all participants [8,18,19,26]. Reporting of the summary statistics
299 necessary to understand measurement properties was often inadequate. For instance,
300 ICCs, mean differences and 95% CIs were often not reported for correlations
301 [23,24,30,37]. Risk of bias arose in studies of construct and criterion validity which did
302 not correlate HP gas MRI against FEV₁ (the current gold standard) [8,28,34,35,45].
303 Further to this, studies of responsiveness frequently failed to correlate changes in HP
304 gas MRI with those observed in FEV₁ [19,22,38,46].

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306 [Summary of Issues arising during Grading](#)

307 Evidence for reliability was frequently downgraded for inconsistency in study results
308 and imprecision due to the studies' small sample size. Evidence for validity was
309 downgraded for inconsistency in findings, indirectness due to heterogeneity in
310 samples including healthy participants, and imprecision arising from low statistical
311 power.

312 **Discussion**

313 This review found moderately robust evidence for construct validity of HP gas MRI as
314 a marker of lung health in people with cystic fibrosis. Evidence for other types of
315 validity and reliability is currently low. Nonetheless, high quality studies [4,20,22,27,43]
316 concluded that HP gas MRI was a useful tool to detect lung ventilation defects, was

317 useful in tracing the functional and structural progression of cystic fibrosis, and test
318 results were reproducible in cystic fibrosis patients.

319

320 HP gas MRI was able to detect ventilation defects in patients with normal FEV₁ results
321 [22,24,31,34,36] and is better able to discriminate CF patients from healthy controls
322 than FEV₁ [36], especially in children where the disease is still developing. While FEV₁
323 was sensitive in detecting obstruction in large airways, FEV₁ cannot detect ventilation
324 defects in small airways [48,49]. That HP gas MRI can detect changes in VDP over
325 short periods of time, indicates its potential in the management of CF [18,31].

326

327 It is important to note that there is currently a lack of standardisation in the acquisition
328 and analysis of HP gas MRI. This is a limitation of this review, as the differences in the
329 generation of VDP could mean the measures of validity and reliability are not be
330 directly comparable[50][51].

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332 Future research needs to serve both a policy-making and clinical audience, including
333 those who still see FEV₁ as the gold standard and those who see it as an insensitive
334 measure of lung health. Advocates of HP gas MRI have made the case, successfully,
335 that it detects functional defects in CF patients better than other methods. To bring
336 about a shift in clinical and policy norms requires an argument about why that matters
337 in clinical terms and is cost-effective for health systems. In particular, overuse of
338 imaging has adverse economic consequences and is burdensome for patients in
339 terms of repeat tests and exposure to x-rays [52]. Qualitative research is needed to
340 assess the degree to which cystic fibrosis specialists (respiratory physicians and

341 physiotherapists) consider HP gas MRI an adequate reflection of lung health (content
342 validity). Given the long natural history of cystic fibrosis, decision-makers may require
343 that changes in gas MRI-assessed lung health are validated against FEV₁ and other
344 instruments, such as LCI, over a period of at least five years to demonstrate MRI's
345 prognostic validity and clinical potential.

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347 To reduce risk of bias, future studies must document patient stability on the day of
348 testing, and report consistency in test conditions, for all participants. Authors of studies
349 which reported patient stability defined this as no changes in respiratory symptoms or
350 medications in the period leading up to participation in the study, which ranged from 1
351 week to 4 weeks [20,23–25]. To improve the statistical quality of studies, when
352 analysing correlations, the ICC, mean difference and 95% CI should be reported.

353 Conclusion

354 HP gas MRI is a promising tool for detecting early CF pulmonary disease and for
355 longitudinal monitoring of the progression of the disease. It is more sensitive than
356 FEV₁, in detecting functional and structural ventilation defects in CF patients and is
357 responsive to CF pulmonary treatments. Further validation is required against a range
358 of measures in long-term studies to assess its prognostic value and cost-
359 effectiveness.

360

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364 designed the study. FM and AP ran searches, screened studies for eligibility, extracted
365 data and critically appraised primary research studies. EL was the study statistician
366 and contributed to the first and subsequent drafts of the manuscript. DH, AP, FM and
367 EL commented on and approved the final manuscript.

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369 **Conflicts of Interests.**

370 All authors declare that they have no competing interests.

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Appendix

Appendix 1

Table 3: Excluded Studies after Full-text Assessment and Exclusion Reasons

Authors (Date)	No. of multiple publications	Did not have sufficient information about the comparison between CF and healthy individuals.	Did not meet inclusion criteria	Did not assess hyperpolarised gas MRI reliability and validity or no information how it was assessed	Only included one CF patient and there was no sufficient information about the comparison between CF and healthy individuals
Altes et al (2015)	1	*		*	
Carlson et al (2018)	None	*		*	
Donnelly et al (1999)	None		*	*	
Horn et al (2014)	1		*	*	*
Kirby et al (2012)	None		*	*	
Marshall et al (2014)	None	*		*	

Qing et al (2015)	None		*	*
Smith et al (2017)	None		*	*
Sun et al (2011)	None	*		*
Thomen et al (2017)	1	*		*
Tustison et al (2011)	None		*	*
Youn et al (2012)	None	*		*
Niedbalski et al (2019)	None		*	*
Munidasa et al (2019)	None	*		*

Appendix 2

Table 9: Hypotheses Testing Findings for construct (convergent) validity

Authors (Date)	Study hypothesis	Results	Results in support of hypothesis?
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McMahon et al (2006) [4]	" ³ He MRI would correlate with the major structural abnormalities seen on HRCT and also with functional information provided by spirometry, thus indicating a potential role as a marker of disease status in CF"	³ He MRI had strong functional correlation with spirometry and structural CT abnormalities	Yes
Bannier et al (2010) [22]	NA	CF patients had ventilation defects, even though spirometry results showed normal lung function.	NA
Kirby et al (2011) [18]	"He MRI would provide the necessary and sufficient spatial and temporal sensitivity to detect day-to-day changes in lung function"	The results showed changes in ventilation defects when the ³ He-MRI repeated after 7 days, but day to day changes in the lung was not assessed.	No
Altes et al (2012) [40]	" ³ He-MRI would be appropriate for evaluating response to ivacaftor"	³ He MRI was able to detect the lungs response to invacaftor which was effective in improving lung ventilation in CF patients	Yes
Smith et al (2018) [43]	NA	VDP strongly correlated with lung clearance index and forced expiratory volume in 1 s (FEV1)	NA
Zha et al (2019) [8]	"Oxygen enhanced MRI may yield comparable whole-lung VDP relative to hyperpolarized ³ He MRI as the reference method"	OE MRI showed similar performance compared with ³ He MRI for measuring VDP	Yes

Smith et al (2018) [45]	NA	Ventilation MRI is capable of detecting significant lung function changes in the follow-up of children with CF and normal spirometry	NA
Smith et al, (2019) [26]	NA	There was no inherent bias for VDP between the two gases although at an individual level differences were evident. Despite this, when followed up both gases similarly reflected changes in ventilation, suggesting both are capable of reflecting CF lung disease severity.	NA
