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1           **Oral tribology, adsorption and rheology of**  
2                           **alternative food proteins**

3  
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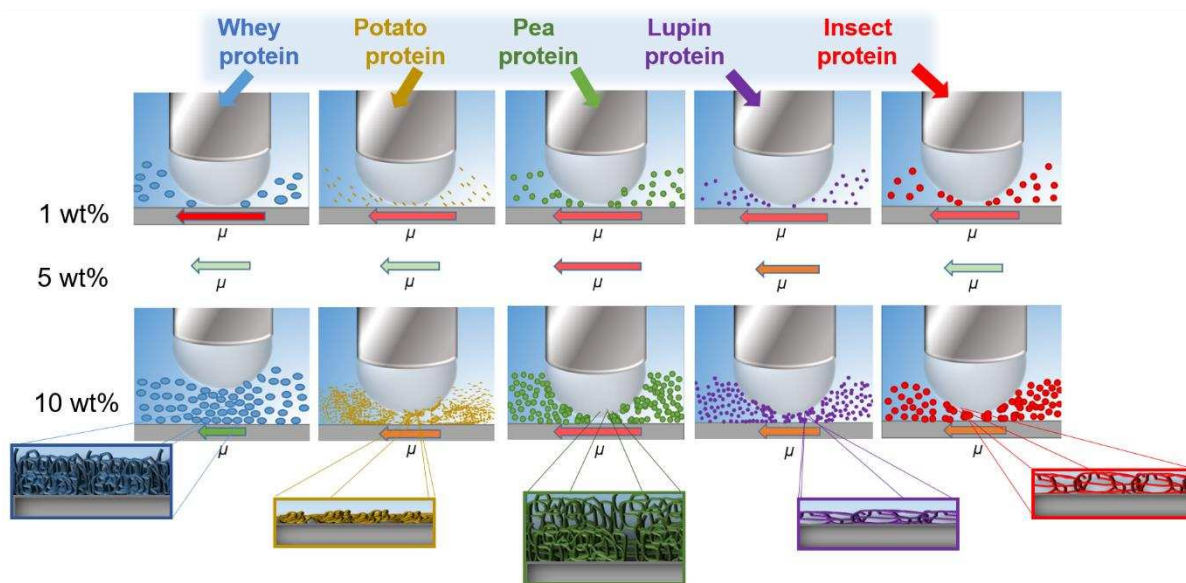
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24 **Graphical Abstract**

25



26

27 **Abstract**

28 Mechanistic knowledge using tribology and adsorption may help to screen various proteins with  
29 better lubrication; aiding the fast tracking of new ingredient formulations for use in low-fat/ high  
30 protein food development. The aim of this study was to compare the lubrication, adsorption and  
31 physicochemical properties of alternative proteins (pea, potato, lupin and insect proteins) with  
32 whey protein isolate (WPI) as the control. Soluble fractions (1-10 wt%) of pea protein concentrate  
33 (PPC<sub>sol</sub>), insect protein concentrate (IPC<sub>sol</sub>), potato protein isolate (PoPI<sub>sol</sub>) and lupin protein  
34 isolate (LPI<sub>sol</sub>) were chosen as the alternative proteins. All proteins were negatively-charged at  
35 neutral pH and showed various degrees of aggregation (hydrodynamic diameters ranging from 25  
36 nm for PoPI<sub>sol</sub> to 244 nm for PPC<sub>sol</sub>). The boundary friction coefficient ( $\mu$ ) at 5 wt% protein  
37 followed the trend as PPC<sub>sol</sub> > LPI<sub>sol</sub> > IPC<sub>sol</sub> > PoPI<sub>sol</sub> > WPI<sub>sol</sub>, highlighting excellent lubrication  
38 performances of PoPI<sub>sol</sub>, IPC<sub>sol</sub> and WPI<sub>sol</sub>. At higher protein concentrations (10 wt%),  $\mu$   
39 significantly increased for LPI<sub>sol</sub>, PoPI<sub>sol</sub> and IPC<sub>sol</sub>, while decreasing for WPI<sub>sol</sub>. Quartz crystal  
40 microbalance with dissipation monitoring (QCM-D) results revealed formation of rigid elastic  
41 films on hydrophobic surfaces by PoPI<sub>sol</sub> and WPI<sub>sol</sub> giving rise to low  $\mu$  while more viscous films  
42 by PPC<sub>sol</sub> led to high  $\mu$ . PPC<sub>sol</sub> had the highest hydrated mass (11.0 mg m<sup>-2</sup>) as compared to WPI<sub>sol</sub>  
43 (8.0 mg m<sup>-2</sup>) with lower values reported for other proteins (5.0-5.4 mg m<sup>-2</sup>). Strong correlations  
44 existed between  $\mu$  scaled to viscosity, size and hydrated mass and viscoelasticity of films in  
45 alternative proteins, validating the surface-linked phenomena in frictional response.

46

47 **Keywords**

48 Friction; plant protein; QCM-D; viscosity; sustainability; insect protein

49

## 50 **1. Introduction**

51 There exists a great possibility for proteins to act as a fat replacer in food formulation; providing  
52 less than half the calories of fat (4 kcal per gram), having the highest satiety-providing ability of  
53 the macronutrients (Veldhorst, et al., 2008) with some of them having the ability to act functionally  
54 as fat mimetic after suitable modifications (Kew, Holmes, Stieger, & Sarkar, 2020). Although there  
55 have been several recent studies and industrial developments in this direction using proteins either  
56 alone (Nastaj, Terpiłowski, & Sołowiej, 2020), in combination with thickeners (Graf, Protte,  
57 Weiss, & Hinrichs, 2020), or in advanced microstructural forms (*e.g.* microparticulated proteins)  
58 (Sánchez-Obando, Cabrera-Trujillo, Olivares-Tenorio, & Klotz, 2020), often such fat replacement  
59 strategies fail in mimicking the lubricating mouthfeel of fat leading to the rejection of the product  
60 by consumers.

61 Rheological analyses such as viscosity, water holding capacity, compression tests and  
62 particle size have typically aided macro-formulation with proteins (Laiho, Williams, Poelman,  
63 Appelqvist, & Logan, 2017). However, rheology and particle size do not paint a complete picture  
64 on predicting mouthfeel attributes that are lubrication-dominant such as creaminess, smoothness  
65 *etc.* (Chen & Stokes, 2012; Kokini, Kadane, & Cussler, 1977; Pradal & Stokes, 2016; Prakash,  
66 Tan, & Chen, 2013; Sarkar & Krop, 2019)

67 Tribology, the study of lubrication and friction has therefore gained much interest recently  
68 for its ability to characterise and enhance our understanding of fat-related and more broadly  
69 surface-induced mouthfeel perception. A tribometer is utilised to measure such lubrication in  
70 which friction coefficients at range of entrainment speeds are measured. Consequently, a distinct  
71 Stribeck curve is generated where friction coefficient is plotted against film thickness with  
72 identification of three defined lubrication regimes; boundary, mixed and hydrodynamic regime

73 (Sarkar, Andablo-Reyes, Bryant, Dowson, & Neville, 2019; Stokes, Boehm, & Baier, 2013). It is  
74 generally in the boundary and mixed regimes, where friction tends to correlate with a range of  
75 friction-related sensory properties *i.e.* smoothness, slipperiness, pastiness, melting *etc.* (Chen &  
76 Stokes, 2012; Kokini, Kadane, & Cussler, 1977; Pradal & Stokes, 2016; Prakash, Tan, & Chen,  
77 2013; Sarkar & Krop, 2019). Although biopolymers in general have attracted significant research  
78 attention for tribological analysis, systematic tribological characterization of proteins is fairly  
79 limited in literature to date (Chojnicka, de Jong, de Kruif, & Visschers, 2008; Kew, Holmes,  
80 Stieger, & Sarkar, 2020; Zembyla, et al., 2021).

81 To advance our fundamental understanding of lubrication in tribological analysis,  
82 adsorption techniques such as quartz crystal-microbalance with dissipation (QCM-D) has been  
83 utilised recently. Such techniques have been elegantly employed by Stokes, Macakova, Chojnicka-  
84 Paszun, de Kruif, and de Jongh (2011) using hydrophobically modified sensors to mimic the  
85 surfaces used in tribotesting. Such modification of surfaces in QCM-D offers advanced insights  
86 into real-time adsorption of proteins onto hydrophobic surfaces providing information about the  
87 viscoelasticity and thickness of the lubricating film indirectly providing information about the  
88 frictional phenomena (Glumac, Ritzoulis, & Chen, 2019; Xu, et al., 2020a). Therefore, tribology  
89 coupled with QCM-D may provide in-depth fundamental understanding of the adsorbed layers of  
90 proteins. Such mechanistic knowledge will not only help to understand lubrication properties of  
91 proteins, but may provide an opportunity to screen various proteins with better lubrication  
92 properties and help in accelerating the design of new ingredient formulations for use in low-  
93 fat/high protein food development.

94 Whey protein isolate (WPI) has been extensively used in literature for fat replacement  
95 owing to its neutral taste and the ability to enhance fatty mouthfeel (Guzmán-González, Morais,

96 Ramos, & Amigo, 1999; Lesme, et al., 2019) with the ability to reduce friction in tribology by  
97 hydration lubrication (Zembyla, et al., 2021) or in the case of microparticulated whey proteins/  
98 microgels, a proposed ball-bearing phenomena (Liu, Tian, Stieger, van der Linden, & van de  
99 Velde, 2016b; Sarkar, Kanti, Gulotta, Murray, & Zhang, 2017) . Protein powders, especially WPI  
100 are also popular in high protein snacks and drinks consumed after exercise or in body building to  
101 promote muscle recovery and hypertrophy (Hulmi, Lockwood, & Stout, 2010). With sustainability  
102 now at the forefront of product development requiring lower usage of environmental resources and  
103 lower emission, alternative plant- or insect-based proteins are gaining increasing momentum in  
104 product development. Alternative proteins although interesting from a sustainability viewpoint  
105 often suffer from limited solubility, aggregation, sensorial off taste and unpleasant textural  
106 perception, such as sandiness, astringency, dryness *etc.*, which needs attention in literature.

107 Soy protein has been historically the alternate plant protein of choice (Dabija, Codina,  
108 Anca, Sanduleac, & Lacramioara, 2018) because of its ability to increase viscosity and mimic  
109 melting properties of fat in various dairy applications (Liu, Wang, Liu, Wu, & Zhang, 2018) and  
110 meat replacement (Belloque, García, Torre, & Marina, 2002). Nevertheless, it has often been  
111 negatively perceived being an allergen and associated with off-flavours (Damodaran & Arora,  
112 2013). Soy proteins have also shown interesting lubrication ability, with friction coefficients  
113 reduced to 0.1 when micro-particulated with further reduction by an order of magnitude when  
114 combined with egg white protein (Zhang, et al., 2020b). Besides soy protein, pea protein has  
115 recently gained considerable interests in product formulation since it is hypoallergenic. Recent  
116 work by Zembyla, et al. (2021) has shown that pea protein has lubrication ability at low  
117 concentrations (1-10 mg/mL). However, pea protein tends to aggregate at higher concentrations  
118 (>100 mg/ mL) and such transition from dissolved polymer- to aggregated particle-like behaviour

119 results in lubrication failure. In contrast, whey protein shows increased lubrication at higher  
120 concentrations. With the ever expanding research of food proteins, their diversity, application and  
121 sourcing, new commercially available proteins are appearing every year. Promising proteins  
122 include alternative and tolerably grown legumes like lupin protein and vegetable waste protein like  
123 potato protein. With the growing importance of high yield, efficient protein production, but limited  
124 by food-neophobia, even insects are being made into protein powders, in all of which, lubrication  
125 and adsorption properties have never been investigated, which can help in designing products with  
126 optimized mouthfeel.

127         To summarize, tribological, adsorption and rheological analyses of alternative plant and  
128 insect proteins are essential to give a useful reference on how these proteins could be used to  
129 replace whey proteins. Hence, the objective of this study was to systematically characterize the  
130 lubrication and physiochemical properties of alternative proteins using whey protein as a control,  
131 at various protein concentrations. Soluble fractions of vegetable protein (potato protein isolate),  
132 legumin-rich proteins (pea protein concentrate, lupin protein isolate) and insect protein concentrate  
133 (*Alphitobius diaperinus*) were selected to cover a broad range of sustainable alternative proteins.  
134 We characterized their material properties for the first time using tribology, rheology,  
135 electrophoresis, dynamic light scattering and adsorption techniques using quartz crystal  
136 microbalance with dissipation (QCM-D). Pearson's correlation was employed to correlate  
137 frictional data and other measured instrumental parameters. The fundamental insights generated  
138 in this work aims to influence future product development with alternative proteins focussing on  
139 bulk and surface properties.

140



## 141 **2. Materials and Methods**

### 142 **2.1 Materials**

143 Whey protein isolate (WPI) was obtained from Fonterra (Auckland, New Zealand) containing  $\geq$   
144 96% protein. Pea protein concentrate (PPC, Nutralys S85 XF) containing 85% protein was kindly  
145 gifted by Roquette (Lestrem, France). Potato protein isolate (PoPI) was purchased from Guzmán  
146 Gastronomía (Barcelona, Spain) containing 91% protein. Insect protein concentrate (IPC,  
147 *Alphitobius diaperinus*) with a protein content of 68% was kindly donated by Protifarm (Ermelo,  
148 The Netherlands). Lupin protein isolate (LPI) containing 91% protein was purchased from  
149 Prolupin GmbH (Grimmen, Germany). Sodium dodecyl sulphate polyacrylamide gel  
150 electrophoresis (SDS-PAGE) reagents including Bolt™ 4–12% Bis-Tris Plus gels, 20× Bolt™  
151 sodium dodecyl sulphate (SDS) running buffer, 4 × Bolt™ lithium dodecyl sulfate (LDS) sample  
152 buffer and PageRuler™ Plus Pre-stained protein ladder were purchased from Thermo Fisher  
153 Scientific (Loughborough, UK). All solutions were prepared from analytical grade chemicals  
154 unless otherwise mentioned. The solvent used was Milli-Q water (purified using Milli-Q  
155 apparatus, Millipore Corp., Bedford, MA, USA).

156

### 157 **2.2 Preparation of protein samples**

158 Aqueous solutions of the proteins *i.e.* WPI, LPI, PoPI, PPC and IPC (1-10 wt% protein) were  
159 prepared by dispersing and mixing the protein powders in 20 mM HEPES (4-(2-hydroxyethyl)-1-  
160 piperazineethanesulfonic acid) buffer at pH 7.0 for two hours. These aqueous dispersions were  
161 then centrifuged at 20, 000 *g* for 30 minutes and the supernatant was used as the soluble fraction  
162 with subscript 'sol' for further characterization (see **Table 1** for nomenclature). Due to the low  
163 solubility for PPC and inability to mix at high concentrations, the centrifuged supernatant was

164 extracted, freeze dried and then the soluble PPC powder was used to make protein solution of 10  
165 wt% using 20 mM HEPES buffer at pH 7.0, which was further diluted to 1 or 5 wt%.

166

### 167 **2.3 Protein solubility**

168 The solubility of proteins was estimated following Bio-Rad *DC* Protein assay using Coomassie  
169 blue at an absorbance of 750 nm. A calibration curve was created using bovine serum albumin  
170 (BSA) at 0.2-1.2 mg/mL concentrations and the solubility of each protein was determined as a  
171 percentage of protein remaining in supernatant compared to the non-centrifuged initial sample.

172

### 173 **2.4 Particle size**

174 Protein solutions were diluted to 0.1 wt% and filtered using a 0.22 µm syringe filter (PTFE Syringe  
175 filters, Perkin Elmer, USA) for particle size measurement using dynamic light scattering (DLS)  
176 experiments. The mean hydrodynamic diameters ( $d_H$ ) of the proteins were measured using a  
177 Zetasizer Ultra, Malvern Instruments Ltd, Worcestershire, UK. The samples in DTS0012  
178 disposable cuvettes (PMMA, Brand Gmbh, Wertheim, Germany) were introduced in the Zetasizer.  
179 The refractive index (RI) of the protein solution was set at 1.45 with an absorption of 0.001.  
180 Samples were equilibrated for 120 seconds at 25 °C and analysed using backscattering technology  
181 at a detection angle of 173° in triplicate. The diffusion coefficient ( $D$ ) was used to obtain  $d_H$   
182 considering the dissolved proteins to be spherical using the Stokes-Einstein equation (1):

183

$$184 \quad d_H = \frac{k_B T}{3\pi\eta D} \quad (1)$$

185

186 where  $k_B$  is the Boltzmann constant,  $T$  is the temperature,  $\eta$  is the viscosity of the aqueous solution.

187

### 188 ***2.5 Sodium dodecyl sulphate polyacrylamide gel electrophoresis (SDS-PAGE)***

189 Each protein solutions (32.5  $\mu\text{L}$ ) at a concentration of 1 mg/mL were added to 5.0  $\mu\text{L}$  of pre-  
190 prepared solution containing 0.078 g of Dithiothreitol (DTT) in 1 mL of Milli-Q and 12.5  $\mu\text{L}$  of  
191 Bolt™ LDS sample buffer. The solution was heated at 95 °C for 5 min and 10  $\mu\text{L}$  of the sample +  
192 buffer mixture was loaded onto the precast gels placed on an Invitrogen™ Mini Gel Tank system  
193 (Thermo Fisher Scientific, Loughborough, UK) submerged in a solution of running buffer: Milli-  
194 Q (1:20 v/v). Protein molecular weight marker (5  $\mu\text{L}$ ) was added in the first lane of the gels. After  
195 running the gel at 200 V for 22 min, the gel was fixed using Milli-Q: Methanol: Acetic acid (5:4:1  
196 v/v) solution for 1 hour and stained for 2 hours with Coomassie Brilliant Blue R-250 solution in  
197 20 vol% isopropanol. The gels were destained overnight in Milli-Q water and scanned using a  
198 ChemiDoc™ XRS + System with image Lab™ Software (Bio-Rad Laboratories, Richmond, CA,  
199 USA). The intensities of the protein bands were quantified using Image Lab Software Version 6.0.

200

### 201 ***2.6 $\zeta$ -potential***

202 The electrophoretic mobilities of the protein samples were measured in the Malvern Zetasizer  
203 Ultra, Malvern instruments Ltd, Worcestershire, UK at 25 °C. Diluted samples (0.01 wt% protein)  
204 were prepared and measured in a DTS1070 folded capillary electrophoresis cells. Protein particles  
205 moved towards one of the charged electrodes at a certain velocity, and the electrophoretic mobility  
206 was then converted into  $\zeta$ -potential using Henry's equation as shown below:

207

$$U_E = \frac{2\varepsilon\zeta F(ka)}{3\eta} \quad (2)$$

209 where,  $U_E$  is the electrophoretic mobility,  $\zeta$  is the zeta-potential,  $\varepsilon$  is the dielectric constant of the  
210 medium,  $\eta$  is the viscosity of HEPES buffer which is equivalent to water and  $F(ka)$  Henry's function  
211 using the Smoluchowski approximation is taken as 1.5.

212

### 213 **2.7 Apparent viscosity**

214 Flow curves of protein solutions (10 wt% soluble protein) were recorded at 37 °C using a stress-  
215 controlled rheometer (Paar Physica MCR 302, Anton Paar, Austria) equipped with a concentric  
216 cylinder geometry (inner diameter of the cup is 24.5 mm and diameter of the bob is 23 mm). The  
217 samples were sealed off with a thin layer of silicone oil to prevent evaporation. Shear rates from 1  
218  $s^{-1}$  to 1000  $s^{-1}$  were measured. A minimum of three replicates were measured for each protein  
219 sample.

220

### 221 **2.8 Tribology**

222 The friction coefficient ( $\mu$ ) was obtained using a tribology-cell attachment to the rheometer *i.e.* a  
223 glass ball ( $R = 7.35$  mm) on three polydimethylsiloxane (PDMS) pins (6 mm pin height), latter  
224 inclined at 45° to the base. Samples were added in an enclosed chamber with an applied glass ball  
225 on top of PDMS plates with samples covering all of the PDMS pins with an evenly distributed 2  
226 N load. The sliding speeds were measured upwards and varied from 0.001 to 1  $m s^{-1}$  whilst the

227 plates remained stationary generating three-sliding point contact. All measurements were  
228 performed at 37 °C with the  $\mu$  of the HEPES buffer measured as a control

229 Normal force is related to the total normal load acting on the plates as described in equation  
230 (3). Furthermore, the torque sensed by the glass ball is related to total frictional force ( $F_F$ ) denoted  
231 by equation (4).

232

$$233 \quad F_L = \sqrt{2F_N} \quad (3)$$

234

$$235 \quad F_F = \frac{\sqrt{2T}}{R} \quad (4)$$

236

237 Therefore,  $\mu$  can be expressed as:

238

$$239 \quad \mu = \frac{F_F}{F_N} = \frac{T}{F_N R} \quad (5)$$

240

241 The PDMS pins were cleaned using ethanol with subsequent ultra-sonication in detergent solution  
242 for 10 minutes. Careful attention was given to signs of surface wear before each experiment, which  
243 subsequently followed replacement. A minimum of three replicates were measured for each  
244 protein sample.

245

## 246 **2.9 Quartz crystal microbalance with dissipation (QCM-D)**

247 For QCM-D experiments, PDMS-coated quartz sensors were prepared by spin-coating silica  
248 sensors (QSX-303, Q-Sense, Biolin Scientific, Sweden) with a solution of 0.5 wt% PDMS in  
249 toluene at 5000 rpm for 30 s with an acceleration of 2500 rpm/s, before leaving overnight in a  
250 vacuum oven at 80°C (for details, see Zembyla et al., 2021). Prior to use, the PDMS-coated crystals  
251 were further cleaned by immersing in toluene for 1 minute, then 1 minute in isopropanol and a  
252 final immersion in Milli-Q for 5 minutes before being dried using nitrogen gas.

253 Adsorption of protein on PDMS-coated sensors was measured using quartz crystal  
254 microbalance with dissipation monitoring (QCM-D, E4 system, Q-Sense, Biolin Scientific,  
255 Sweden) (Xu, et al., 2020a). Protein solutions were made at a concentration of 10 wt% and were  
256 equilibrated in buffer at (25°C) before measurement. The flow rate was controlled using peristaltic  
257 pump at a rate of 100  $\mu\text{L}/\text{min}$  at 25 °C. For initial measurements, buffer solution was initially  
258 injected to obtain a stable baseline reading and then the prepared protein solutions were injected  
259 until equilibrium adsorption *i.e.* no change in frequency ( $f$ ) or dissipation ( $D$ ) was recorded.  
260 Finally, the buffer was used once more to remove the non-adsorbed protein. Hydrated mass was  
261 calculated from the frequency data using viscoelastic Voigt's model (Voigt, 1889), using "Smartfit  
262 Model" by Dfind (Q-Sense, Biolin Scientific, Sweden) software. The 3<sup>rd</sup>, 5<sup>th</sup>, 7<sup>th</sup> and 11<sup>th</sup> overtones  
263 was taken into account for data analysis and only 5<sup>th</sup> overtone is shown in the results. A minimum  
264 of three replicates were measured for each protein sample.

265

## 266 **2.10 Statistical analysis**

267 All results are reported as means and standard deviations on at least three repeats. Statistical  
268 analysis on the significance between data sets was calculated using analysis of variance (ANOVA)

269 with Tukey post hoc test. Pearson's correlation ( $r$ ) were used to assess relationships between  
270 hydrodynamic diameter, hydrated mass, ratio of dissipation to frequency ( $-\Delta D/\Delta f$ ) and coefficient  
271 of friction scaled at high shear rate viscosity ( $U\eta_\infty$ ) in the boundary (at 0.01 Pa m), mixed (at 0.1  
272 Pa m and 0.3 Pa m) and hydrodynamic regimes (at 1.0 Pa m) at 10 wt% protein. Only alternative  
273 proteins were included in the Pearson's correlation analyses. Statistical significance of Pearson's  
274 correlation was also conducted using Spearman's rank to obtain the  $p$ -values. All statistical  
275 analyses were done using R version 3.5 (R Core Team, 2018, p. 2012).

276

### 277 **3. Results and discussion**

#### 278 ***3.1 Protein solubility and composition***

279 Plant proteins are well known to encounter solubility problems even though pH can typically be  
280 far from pI (Zhang, Holmes, Ettelaie, & Sarkar, 2020a). This insolubility can arise due to complex  
281 quaternary structures, processing, a strong tendency to self-aggregate and association with other  
282 metabolites such as polyphenols in the natural state (Sarkar & Dickinson, 2020). Hence in this  
283 study, we first investigated the solubility of the alternative proteins at pH 7.0 and used the  
284 supernatant after centrifugation *i.e.* the soluble-fraction of the protein solutions for further  
285 characterization.

286 As shown in **Table 1**, previous studies have reported similar pI for all protein (pH 4-5) and  
287 in our results found protein solubility spanned from 32% to 100%, the lowest soluble fractions  
288 being PPC and the highest being WPI and PoPI. Simply by observing the changes in the turbidity  
289 of the protein solutions, one can their solubility. Visual images in **Figure 1** reveal that WPI is  
290 highly translucent, in other words soluble, this was also true for PoPI, latter has a slight amber hue  
291 owing to the presence of brown aromatic compounds (Akyol, Riciputi, Capanoglu, Caboni, &

292 Verardo, 2016). This excellent solubility of PoPI has been reported previously with protein  
293 subunits, such as patatins and protease inhibitors showing solubility of > 95% and ~ 85%,  
294 respectively (Ralet & Guéguen, 2000; Schmidt, et al., 2019). On the other hand, IPC shows  
295 somewhat unusual behaviour in that even after centrifugation, the supernatant remained somewhat  
296 cloudy but with relatively high solubility of 88%. This turbidity in IPC might have been caused by  
297 the scattering of light from some protein aggregates or other particulate contaminants that might  
298 be present in the initial protein concentrate. LPI initially demonstrated a cloudy appearance with  
299 75% solubility, where the slight yellow colouration was expected due to the carotenoid-induced  
300 pigmentation (Wang, Errington, & Yap, 2008).

301         Spray drying is one of the most efficient and cost effective ways to produce protein  
302 powders, however, the shear and temperatures employed during the drying process may induce  
303 some degree of conformational changes of the protein resulting in reduced solubility. For instance,  
304 high homogenization pressures (150 MPa) employed before the drying process have been linked  
305 to increased hydrophobicity of LPI resulting in a 75% solubility (Jayasena, Chih, & Abbas, 2011;  
306 Sousa, Morgan, Mitchell, Harding, & Hill, 1996). PPC shows the most notable change, from highly  
307 cloudy to nearly colourless appearance as a large fraction of insoluble protein was precipitated out  
308 upon centrifugation, which confirms the lowest solubility of 32% of the original concentrate  
309 (**Figure 1**). Solubility of around 30% has also been observed in a range of pea protein types in  
310 previous studies (Chao, Jung, & Aluko, 2018; Lam, Can Karaca, Tyler, & Nickerson, 2018)

311         To understand whether centrifugation removes any particular subunits of proteins, protein  
312 composition of the raw samples and the soluble protein fraction *i.e.* supernatant collected after  
313 centrifugation was characterized using SDS-PAGE (**Figure 1**, see **Supplementary Figure S1** for  
314 the original electrophoresis gels). As expected the centrifugation step did not influence the protein



315 composition of WPI. In both the un-centrifuged and centrifuged fractions, three main bands were  
316 visible in the SDS-PAGE of WPI (**Figure 1**) reflecting  $\beta$ -lactoglobulin ( $\beta$ -lg) (18 kDa), which is  
317 the most abundant protein typically present at 50-60%,  $\alpha$ -lactalbumin ( $\alpha$ -la) (14 kDa) at ~15% and  
318 bovine serum albumin (BSA) (67 kDa) at ~5-10%. These constituent protein fractions of WPI are  
319 extensively evidenced in literature (Adal, et al., 2017; Chihi, Mession, Sok, & Saurel, 2016;  
320 Edwards & Jameson, 2014; Kilara & Vaghela, 2004).

321 In case of PoPI, the proteins segmented into three main groups (**Figure 1**). Patatins (~40  
322 kDa), which are glycoproteins and act as protein storage, made up just under half the proteins of  
323 the PoPI existing naturally as 88 kDa dimers (Ralet, et al., 2000), followed by the lowest molecular  
324 weight (MW) bands making up the protease inhibitors (4-25 kDa) and finally enzymes such as  
325 lipoxigenases that are present as faint bands. SDS-PAGE reveals the distinctions very well similar  
326 to other studies (Schmidt, et al., 2019; Waglay, Achouri, Karboune, Zareifard, & L'Hocine, 2019)  
327 with little to no changes in protein after centrifugation, which is concurrent with the solubility data  
328 of PoPI (**Figure 1**).

329 In case of PPC, SDS-PAGE reveals the three globulin proteins, vicilin (7S, 32-50 kDa),  
330 legumin (11S, 23, 41 kDa) and convicilin (72, 77 kDa) (**Figure 1**), which is in agreement with  
331 previous reports (Adal, et al., 2017; Lam, et al., 2018; Oliete Bonastre, 2018). In the PPC  
332 supernatant, there was an increase in vicilin and low MW fractions (10-25 kDa) with subsequent  
333 loss of ~100 kDa proteins as compared to the un-centrifuged sample. It should be noted the ratio  
334 of 11S:7S composition in pea can vary from 0.2-2.0 depending upon the environmental conditions  
335 (Lam, et al., 2018) and may present physicochemical differences than need further investigation.

336 LPI displayed multiple bands with centrifugation having a negligible effect on the intensity  
337 levels of the bands (**Figure 1**). Lupin proteins are made up of two main groups, the albumins (11-

338 70 kDa) and the conglutins, latter being the main storage protein for lupin. As seen in the  
339 electrogram, conglutins comprised of  $\alpha$ -conglutins (11S, 55 kDa) and  $\beta$ -conglutins (7S, 15-80  
340 kDa), which accounts for approximately 33% and 45% of the total protein (Nadal, Canela, Katakis,  
341 & O'Sullivan, 2011).

342 The IPC displayed a rather complex mixture of faint bands in comparison to the other  
343 proteins, which might suggest that the protein bands were not effectively separated in the resolving  
344 gel (**Figure 1**). There was a small loss in ~10 kDa and ~130 kDa fractions in the supernatant  
345 corroborating the solubility data of IPC. The band observed most likely corresponds to the skeletal  
346 muscle proteins, which include four main protein fractions, haemolymph (12 kDa), proteinases  
347 (24 kDa, 59 kDa), melanization-engaging proteins (85 kDa) and  $\beta$ -glycosidase (59 kDa) (Lacroix,  
348 Dávalos Terán, Fogliano, & Wichers, 2019; Yi, et al., 2013). In summary, PPC showed the largest  
349 influence of centrifugation on solubility and composition of the proteins followed by IPC with  
350 WPI, PoPI and LPI showing negligible influence. Hereafter, only soluble fractions of proteins have  
351 been used for characterization of physicochemical properties, tribology and adsorption properties  
352 with these soluble fractions of protein being named as WPI<sub>sol</sub>, PPC<sub>sol</sub>, PoPI<sub>sol</sub>, IPC<sub>sol</sub> and LPI<sub>sol</sub>.

353

### 354 ***3.2 Physicochemical properties of the soluble protein fractions***

355 Hydrodynamic diameter ( $d_H$ ) of the protein solutions was measured using DLS. All the proteins  
356 showed certain degree of aggregation with  $d_H$  ranging from 25 nm to 244 nm, with PoPI<sub>sol</sub> and  
357 PPC<sub>sol</sub> representing the lowest and highest  $d_H$ , respectively (**Table 1**). Often smaller  $d_H$  can be  
358 associated with higher degree of solubility and lower levels of turbidity. However, this is not that  
359 clear with one of the largest (WPI<sub>sol</sub>) and smallest-sized proteins (PoPI<sub>sol</sub>) both having 100%  
360 solubility (**Figure 1**). For WPI<sub>sol</sub>, the mean  $d_H$  was averaged out of three size distribution peaks at

361 5 nm, 300 nm and a peak in the order of few thousands of nanometres (**Supplementary Figure**  
362 **S2**), which is reflected in its polydispersity index (PDI) (**Table 1**). A high proportion of WPI is  $\beta$ -  
363 lg, which might correspond to the 5 nm sized peak (Chihi, et al., 2016). Multiple peaks in DLS of  
364 WPI have been also observed previously in studies where these distributions have ranged in sizes  
365 from 144 to 3000 nm (Nishanthi, Chandrapala, & Vasiljevic, 2017; Sats, et al., 2014) showing  
366 high degree of aggregation (Bouaouina, Desrumaux, Loisel, & Legrand, 2006). On the other hand,  
367 PoPI<sub>sol</sub> has the lowest reported  $d_H$  (**Table 1**), which may be due to high levels of phenol that  
368 promote protein-polyphenol interactions rather than protein-protein aggregation (Ralet, et al.,  
369 2000). Also similar to WPI<sub>sol</sub>, many size distribution peaks ranging from 5 nm to a few hundreds  
370 of nanometres are seen in the DLS graph (**Supplementary Figure S2**) for PoPI<sub>sol</sub>, reporting the  
371 highest PDI (0.7) among all the proteins studied (**Table 1**).

372 The  $d_H$  of 244 nm was recorded for PPC<sub>sol</sub> (**Table 1**) with a single peak on the DLS graph  
373 shown in **Supplementary Figure S2**, this is in agreement with previous study by Adal, et al.  
374 (2017). Small angle X-ray scattering (SAXS) studies have demonstrated that the radius of  
375 individual legumin and vicilin are 4.45 and 4.40 nm, respectively. These individual nanometric-  
376 sized proteins form the larger secondary aggregate structures as shown in our study (**Table 1**) and  
377 is in agreement with previous reports on size obtained using transmission electron micrographs  
378 (TEM) (Oliete, Yassine, Cases, & Saurel, 2019) with secondary aggregation ranging from 100-  
379 1000 nm. Nevertheless, it is worth noting that in the previous study (Oliete, et al., 2019), PPC was  
380 prepared in the lab from flour different to our commercially available PPC, it is likely the  
381 processing involving conversion of pea to pea protein powder might have induced different  
382 mechanical and thermal processing as compared to our study, increasing protein interaction thus  
383 aggregation in the former. Interestingly, PPC<sub>sol</sub>, LPI<sub>sol</sub> and IPC<sub>sol</sub> had single peaks in DLS

384 (**Supplementary Figure S2**). However, the mean  $d_H$  of  $>100$  nm (**Table 1**) in both suggests that  
385 there were protein aggregates rather than monomeric proteins, but the protein-protein aggregates  
386 in  $LPI_{sol}$  and  $IPC_{sol}$  were relatively smaller and evenly sized as compared to  $WPI_{sol}$ .

387 All proteins studied had isoelectric points ranging from pH 4.0-5.0 as shown in **Table 1**  
388 (Adal, et al., 2017; Bußler, Rumpold, Jander, Rawel, & Schlüter, 2016; Guimarães & Gasparetto,  
389 2005; Jayasena, et al., 2011; Lacroix, et al., 2019; Schmidt, et al., 2019). Therefore, at pH 7.0, it  
390 is not surprising that all the proteins studied here displayed negative  $\zeta$ -potential values ranging  
391 from -18.5 to -23.0 mV, and thus the electrostatic repulsion enabled stable protein dispersion in  
392 each case as shown in **Figure 1** in the soluble protein fractions.

393

### 394 **3.3 Tribology**

395 To understand the tribological behaviour, we measured the friction coefficients of glass-PDMS  
396 tribopairs in the presence of proteins at various concentrations (1-10 wt%) to understand the  
397 difference between the types of proteins as well as to identify the role of protein concentration  
398 dependence on frictional parameters (**Figure 2**).

399 **Figure 2a-d** displays that the buffer showed only boundary ( $U = 0.001$  to  $0.01$  m/s) and  
400 mixed regimes ( $U = 0.01$  to  $0.3$  m/s), which is in line with previous report (Sarkar, Kanti, Gulotta,  
401 Murray, & Zhang, 2017). However, the onset of mixed regime was at one order of magnitude  
402 lower speed as compared to that of the previous study ( $U = 0.1$  m/s) (Sarkar, et al., 2017). One  
403 might expect this discrepancy owing to the hydrophilic-hydrophobic contact (glass-PDMS) used  
404 in this study allowing easy entrainment of the buffer to enable the onset of mixed lubrication  
405 regime as opposed to being squeezed out of the contact in the case of the hydrophobic-  
406 hydrophobic contact (PDMS-PDMS) used in the previous study (Sarkar, et al., 2017).

407 All the proteins showed a decrease in friction coefficient with an increase in entrainment  
408 speed, with protein solutions gradually transferring from the boundary to the mixed lubrication  
409 regimes separating the tribopair surfaces. Firstly focusing on low concentration levels (1 wt%)  
410 (**Figure 2a**, see **Supplementary Table S1a** for statistical significance), PoPI<sub>sol</sub>, PPC<sub>sol</sub>, IPC<sub>sol</sub>  
411 show significant decrease in friction compared to the buffer (~ 35% decrease,  $p < 0.05$ ), WPI<sub>sol</sub>  
412 and LPI<sub>sol</sub>, with the latter proteins showing some decrease but of non-significance compared to the  
413 buffer. Upon entering the mixed regime –  $U_{max}$  all solutions showed similar friction with no  
414 significant differences observed with buffer. Although significant compared to buffer PoPI<sub>sol</sub>,  
415 PPC<sub>sol</sub>, IPC<sub>sol</sub> friction is high at 0.35-0.40 reflecting little surface separation between glass ball and  
416 PDMS contact and insufficient protein for good lubricating properties. It is interesting to note  
417 IPC<sub>sol</sub> at very low entrainment speeds (0.001-0.01 m/s) recorded much lower friction. Although,  
418 at this point, it is just a speculation, this behaviour of IPC<sub>sol</sub> might be associated with some  
419 stabilization issue and not necessarily boundary lubrication.

420 At 5 wt% protein concentration (**Figure 2b**), all proteins exhibited lubrication ability with  
421 distinct reduction in friction coefficients irrespective of the lubrication regimes in comparison to  
422 the buffer. This is due to a substantial level of protein that is able to form a hydration layer  
423 separating the contact surfaces and allowing for increased mobility for the sliding contacts.  
424 Interestingly, the most soluble proteins (WPI<sub>sol</sub>, PoPI<sub>sol</sub> and IPC<sub>sol</sub>) showed similar friction  
425 coefficient decreases by an order of magnitude in both the boundary ( $\mu_{0.01}$ ) and mixed regimes  
426 ( $\mu_{0.3}$ ) as compared to the buffer in equivalent regimes. Both the legumin-rich proteins *i.e.* PPC<sub>sol</sub>  
427 and LPI<sub>sol</sub> which displayed lower solubility showed similar trend being more lubricating than the  
428 buffer but less as compared to WPI<sub>sol</sub>, PoPI<sub>sol</sub> and IPC<sub>sol</sub> ( $p < 0.05$ ) (see **Supplementary Table**

429 **S1b** for statistical significance). Solubility may be important for tribology in terms of the ability  
430 to bind water and form a lubricating layer upon entrainment.

431 At the highest concentration used in this study (10 wt%) (**Figure 2c**), protein entrained  
432 between contact can be expected to be the maximum, leading to enhancement in lubricity  
433 effectiveness, which has been seen in a number of protein-based tribology studies (Liu, Stieger,  
434 van der Linden, & van de Velde, 2016a; Zhang, et al., 2020b). In general from most to least  
435 lubricating protein followed pattern of  $WPI_{sol} > PoPI_{sol} > IPC_{sol} > LPI_{sol} > PPC_{sol}$  ( $p < 0.01$ ). An  
436 interesting feature was that  $WPI_{sol}$  showed an onset of hydrodynamic regime unlike the alternative  
437 proteins (**Figure 2c**). Noteworthy, that  $WPI_{sol}$  retained extensive lubrication property at higher  
438 concentrations of 10 wt% (**Figure 2c**) with a further decrease in friction coefficients as compared  
439 to 5 wt% concentration levels (**Figure 2b**) in complete contrast to the other proteins (see  
440 **Supplementary Table S1c** for statistical significance). For instance,  $PoPI_{sol}$  had one of the lowest  
441 friction coefficients in the boundary regime and showed decrease in boundary friction coefficients  
442 by 75% when concentration was raised from 1 to 5 wt% concentration (**Figure 2a-b**), however  
443  $PoPI_{sol}$  suffered from a substantial increase of friction coefficient (~50%) when concentration was  
444 raised from 5 to 10 wt% (**Figure 2b-c**). Similar percentage decreases and increases in friction  
445 coefficients were also observed for  $PoPI_{sol}$  in the mixed regime from 1 to 5 wt % (**Figure 2a-b**)  
446 and evidently higher when concentration was raised from 5 wt% to 10 wt% (**Figure 2b-c**). The  
447 latter behaviour was also found for  $IPC_{sol}$ , where the boundary friction coefficient shows a decrease  
448 when concentration was raised from 1 to 5 wt% ( $\mu_{0.01} = 0.34$  and 0.10) in **Figures 2a** and **2b**,  
449 respectively following an increase of friction at 10 wt% ( $\mu_{0.01} = 0.15$ , see **Figure 2c**).  $LPI_{sol}$  was  
450 also similar in pattern but with only a marginal increase in boundary friction at 10 wt%.

451 PPC<sub>sol</sub> at 5 wt% and 10 wt% was the least lubricating protein when compared to all proteins  
452 at boundary (0.01 m/s) and mixed regimes (0.1 m/s) and in some speeds even showed overlapping  
453 friction to buffer (see **Supplementary Tables S1b** and **S1c** for statistical significance). Even still,  
454 at boundary regime a 30% reduction in friction was produced by increasing the concentration from  
455 1 wt% ( $\mu_{0.01} = 0.33$ ) (**Figure 2a**) to 5 wt% ( $\mu_{0.01} = 0.23$ ) (**Figure 2b**), with little further reduction  
456 in boundary friction when the concentration was raised to 10 wt% ( $\mu_{0.01} = 0.21$ ) (**Figure 2c**), in  
457 line with previous report using different tribopairs (Zembyla, et al., 2021). Similar levels of  
458 reduction in PPC<sub>sol</sub> was also seen for the mixed regime between 1 - 5 wt% ( $\mu_{0.1} = 0.16$  and 0.1)  
459 (**Figures 2a** and **2b**) with a slight decrease in 10 wt% concentration ( $\mu_{0.1} = 0.08$ ) (**Figure 2c**).

460 In summary (see schematic in **Figure 3**), whey protein shows a concentration dependent  
461 lubrication performance with lower concentration of WPI acting as a poor lubricant (1 wt%) and  
462 higher concentration (10 wt%) resulting in lower  $\mu$ . Unlike WPI, until 5 wt% protein concentration,  
463 PoPI<sub>sol</sub> and IPC<sub>sol</sub> show excellent lubricating properties. However, at higher concentrations (10  
464 wt%), PoPI<sub>sol</sub> and IPC<sub>sol</sub> might have undergone protein-protein aggregation in the contact zone.  
465 This most likely creates a particle-like behaviour rather than a continuous polymer-like behaviour,  
466 which potentially jams the contact region, resulting in limited sliding and thus leads to increased  
467  $\mu$  unlike WPI<sub>sol</sub>. This jamming effect is a relatively new phenomenon, which was firstly observed  
468 with higher volume fractions of whey protein microgels (Sarkar, et al., 2017) and more recently  
469 with pea protein at higher concentration (Zembyla, et al., 2021).

470 It should also be noted the better lubricating proteins (PoPI<sub>sol</sub>, WPI<sub>sol</sub>) also displayed DLS  
471 particle size peaks in the range of 1 to 10 nm (**Supplementary Figure S2**) as opposed to the  
472 aggregated proteins of around a few hundred nanometres for PPC<sub>sol</sub>, LPI<sub>sol</sub> and IPC<sub>sol</sub>, latter again  
473 indicating an aggregated *particle-like* rather than *polymer-like* film behaviour saturating the

474 contact zone particularly at higher concentrations. In other words smaller sized proteins may be  
475 better entrained, contributing to improved lubrication as seen previously (Liu, et al., 2016a). It  
476 should be noted that larger whey protein aggregates have also been reported to enhance lubrication  
477 (Chojnicka, et al., 2008) therefore discrepancy in literature as well as a difficulty in measuring  
478 hydrodynamic size with accuracy in case of alternative proteins due to aggregation suggest that  
479 direct correlation of particle size of protein with tribology might not be straightforward, which is  
480 discussed later.

481 Finally, a key aspect of frictional behaviour is the rheological property of lubricant.  
482 Particularly, the high shear rate viscosity ( $\eta_{\infty}$ ) of the lubricant becomes a highly relevant parameter  
483 to understand the frictional behaviour in the mixed and hydrodynamic lubrication regimes  
484 (Andablo-Reyes, et al., 2019). It is worth noting that elastohydrodynamic EHL theory (de Vicente,  
485 Stokes, & Spikes, 2005) confirms that a very high shear rate is applied in the tribometer and even  
486 at very low entrainment speeds, shear rates can be well above  $1000 \text{ s}^{-1}$ . **Supplementary Figure**  
487 **S3** shows the flow curves of all the proteins at 10 wt% concentration level. At shear rates of 1-  
488  $1000 \text{ s}^{-1}$ , the buffer, IPC<sub>sol</sub>, and LPI<sub>sol</sub> displayed Newtonian behaviour where viscosity was  
489 independent of the shear rate. WPI<sub>sol</sub>, PPC<sub>sol</sub> and PoPI<sub>sol</sub> on the other hand showed shear thinning  
490 behaviour, however, they showed plateau region above  $10 \text{ s}^{-1}$ . The  $\eta_{\infty}$  at  $1000 \text{ s}^{-1}$  was used to scale  
491 the tribology data (**Figure 2d**) to understand the influence of viscosity on frictional behaviour. It  
492 can be seen that alternative proteins, PoPI<sub>sol</sub>, LPI<sub>sol</sub> and IPC<sub>sol</sub> overlapped with the buffer in the  
493 mixed lubrication regime (0.3 m/s) with PPC<sub>sol</sub> being significantly higher in friction ( $p < 0.05$ ) and  
494 WPI<sub>sol</sub> significantly lower ( $p < 0.05$ ) (**Supplementary Table S1d**). The boundary lubrication  
495 behaviour could not be explained by the viscosity data and indeed adsorption measurement is



496 crucial to explain those differences in the tribological behaviour, which is discussed in the  
497 following section.

498

### 499 **3.4 Surface adsorption characteristics**

500 To understand protein-surface interaction and to explain the tribological features particularly in  
501 the boundary regime where adsorption can be an important contributing factor, QCM-D was used  
502 to measure the adsorbed hydrated mass and the mechanical properties of the protein films. Since  
503 PDMS pins were used in tribological experiments, PDMS-coated crystals in QCM-D replicated  
504 the hydrophobic character for the adsorption experiments. Buffer was used to obtain a stable  
505 baseline reading, and then protein solutions were applied, all of which resulted in substantial  
506 decrease in frequency ( $f$ ) suggesting that proteins were being adsorbed and formed a viscoelastic  
507 layer as supported with an increase in dissipation ( $D$ ) (**Figure 4**). After the proteins had formed a  
508 stable layer (*i.e.* no further change in  $f$ ), the buffer was again used to wash the residual protein that  
509 was not effectively adsorbed to the surface. In all the cases, the  $f$  increased upon the addition of  
510 the subsequent buffer, which suggests that a significant proportion of the proteins were loosely  
511 attached to the surface, which were then removed by the washing phase (**Figure 4**).

512 To understand better the viscoelastic property of the protein films, the ratio of dissipation  
513 and frequency *i.e.*  $-\Delta D/\Delta f$  is shown in **Figure 5a** and a schematic illustration is shown in **Figure**  
514 **5b**. This schematic takes into account  $-\Delta D/\Delta f$  as well as hydrated mass which is discussed later. A  
515 higher  $-\Delta D/\Delta f$  indicates a more viscous and less elastic film, one where time to dissipate energy is  
516 increased, whilst a lower  $-\Delta D/\Delta f$  implies a more rigid quicker dissipating film (Xu, et al., 2020b).  
517 For all proteins, the initial layer formed was a viscoelastic one, *i.e.* a loosely packed hydrated film  
518 with  $-\Delta D/\Delta f$  values ranging from 0.11-0.18 (**Figure 5a**). However, when washed with buffer, the

519 unabsorbed proteins appeared to be removed with rigidity increasing. Results show that final film  
520 viscoelasticity followed the following pattern *i.e.*  $IPC_{sol} > PPC_{sol} > LPI_{sol} > WPI_{sol} > PoPI_{sol}$ . In other  
521 words,  $PoPI_{sol}$  and  $WPI_{sol}$  formed the most rigid layers as schematically shown in **Figure 5b**, which  
522 may be due to the size and mobility of the proteins to pack efficiently. The relative rigidity of  
523  $PoPI_{sol}$  as compared to other alternative proteins might be attributed to its small-size (**Table 1**) and  
524 large proportion of a single subunit protein that allowed to form a more ordered, compact and rigid  
525 hydrated film on the surface (**Figure 5b**). It is also interesting to note that both  $WPI_{sol}$  and  $PoPI_{sol}$   
526 were the most soluble (**Table 1**) thus hydrated more uniformly as a continuous film, which  
527 promoted higher lubrication (**Figure 2b**). Hence, it can be inferred that a rigid continuous layer of  
528 hydrated film (**Figure 5**) might be beneficial in reducing boundary friction.

529 On the other hand, with more complex numbers of subunit proteins (**Figure 1**) and higher  
530 aggregation observed in the case of  $IPC_{sol}$  and  $PPC_{sol}$  (**Table 1**) a rather unordered viscous layer of  
531 protein-protein particles adsorbed with trapped water increasing the  $-ΔD/Δf$  value (**Figure 5a**). In  
532 other words,  $PPC_{sol}$  resulted in a film with more viscosity and less rigidity (**Figure 5b**) and thus  
533 might have been easily depleted from the boundary region under tribological stress resulting in  
534 higher boundary  $μ$  (**Figure 2b**).

535 **Figure 6** shows the final hydrated adsorbed mass of the protein. Not surprisingly,  $PPC_{sol}$   
536 ( $11 \text{ mg m}^{-2}$ ) resulted in significantly higher adsorbed mass as compared to all the remaining  
537 proteins, followed by  $WPI_{sol}$  ( $8 \text{ mg m}^{-2}$ ) with no significant differences being found between  
538  $PoPI_{sol}$ ,  $LPI_{sol}$  and  $IPC_{sol}$  ( $p > 0.05$ ) representing similar masses of around  $5 \text{ mg m}^{-2}$ . Reasons as to  
539 why  $PPC_{sol}$  adsorbs so heavily may be associated with its high degree of aggregation, as discussed  
540 previously (Zembyla, et al., 2021).

541

#### 542 4. Correlations between various instrumental characteristics

543 Correlating frictional properties with physical attributes will help to provide mechanistic insights  
544 and thus potentially help fast-tracking desirable proteins/ ingredients to act as fat mimetics or  
545 replicate the low friction coefficients as found in fats. Therefore, we evaluated the various  
546 instrumental data used in this study for alternative proteins with an aim to identify relationships  
547 between hydrodynamic diameter, hydrated mass, viscoelasticity ( $-\Delta D/\Delta f$ ) and  $\mu$  in different  
548 regimes scaled to viscosity and the transition point ( $U\eta_{\min}$ ) *i.e.* the transition from mixed to  
549 hydrodynamic regime where lowest friction coefficient (at  $\mu_{\min}$ ) is obtained. Since  $WPI_{\text{sol}}$  was  
550 used as a control in this study and distinctly different in lubrication properties as compared to the  
551 alternative proteins, only alternative protein data was used for evaluating the relationships.

552 **Figure 7** shows that  $\mu$  in boundary ( $U\eta_{0.01}$ ), mixed ( $U\eta_{0.3}$ ) and hydrodynamic lubrication  
553 ( $U\eta_{1.0}$ ) regimes are strongly positively correlated ( $r = 0.96 - 0.99, p < 0.001$ ) which is unsurprising  
554 as onset friction coefficient in the hydrodynamic regime is related to the mixed regime and in turn  
555 likely to be influenced from the reduction in friction in the boundary regime. When observing the  
556 relationship between hydrodynamic diameter and  $\mu$  in all regimes, there is a positive correlation  
557 of  $r = 0.76 - 0.81$  (**Figure 7**), with correlation with  $\mu$  particularly in the boundary regime being  
558 highly significant ( $p < 0.01$ ), to our knowledge this is not reported in literature to date. Increased  
559 hydrodynamic size of alternative proteins might result in exclusion of the proteins from the contact  
560 zone resulting in increased  $\mu$  in the boundary regime. So, particle sizing can be an interesting  
561 starting point to predict boundary lubrication performance.

562 Hydrated mass determined by QCM-D also positively correlates with  $\mu$  in all regimes ( $r =$   
563  $0.79 - 0.88$ ). In this respect hydrodynamic diameter and hydrated mass also correlate ( $r = 0.67$ )  
564 and so a larger sized particle with higher hydrated mass may point towards a particle-like

565 behaviour that induces a physical jamming and friction increasing effect. It should be pointed out  
566 that the correlation with  $\mu$  only in the mixed regime ( $U\eta_{\infty 0.3}$ ) with hydrated mass was significant  
567 ( $p < 0.05$ ) and also  $\text{PoPI}_{\text{sol}}$ ,  $\text{LPI}_{\text{sol}}$  and  $\text{IPC}_{\text{sol}}$  had non-significant differences in hydrated mass yet  
568 different tribological responses. Static measurement of QCM-D adsorption may not accurately  
569 portray the film behaviour that is under dynamic conditions during the high shear experienced  
570 during tribology, so it might not be straightforward to find a relationship between hydrated mass  
571 and  $\mu$ . Hydrodynamic diameter and  $-\Delta D/\Delta f$  are also positively correlated with high significance ( $p$   
572  $< 0.001$ ) suggesting smaller proteins are more likely to form a rigid and packed layer as opposed  
573 to larger ones forming a more viscoelastic layer.

574 A weak positive correlation was found between  $-\Delta D/\Delta f$  and lubrication although this is  
575 likely due to the large deviations of measurements from  $\text{IPC}_{\text{sol}}$ . We conducted a correlation  
576 removing  $\text{IPC}_{\text{sol}}$  focussing just on plant proteins as shown in **Supplementary Figure S4**. With the  
577 removal of  $\text{IPC}_{\text{sol}}$ , we observed highly positive correlation ( $r = 0.9-0.99$ ) with very significance ( $p$   
578  $< 0.001$ ) between  $-\Delta D/\Delta f$  values suggesting a rigid layer improves lubrication irrespective of the  
579 regimes. This can be explained as the highly viscoelastic layer might be easily removed from the  
580 contact region, as opposed to a rigid layer which might remain creating a gap between the contact  
581 surfaces reducing  $\mu$ .

582 Next when observing  $U\eta_{\text{min}}$  (at  $\mu_{\text{min}}$ ), we can see this is the only parameter producing a  
583 negative correlation with all other parameters and further increases in negative correlation in  
584 **Supplementary Figure S4** when excluding  $\text{IPC}_{\text{sol}}$ . This negative correlation found between the  
585 entrainment speed where the friction coefficient is a minimum, corresponding to the transition  
586 between the hydrodynamic and mixed regime  $U\eta_{\text{min}}$  (at  $\mu_{\text{min}}$ ), and the wet mass as well as  $-\Delta D/\Delta f$   
587 values is in line with the findings previously reported by Stokes, et al. (2011). In other words, we

588 can say that although a rigid layer with lower hydrated mass might be efficient in providing optimal  
589 boundary lubrication, presence of a viscoelastic layer might help in accelerating the transition from  
590 mixed to boundary lubrication regimes.

591 To sum everything up with respect to correlations for alternative proteins, it can be inferred  
592 that proteins with highest lubrication performance investigated within this study have the lowest  
593 hydrodynamic diameter, are more rigid and of lower hydrated mass (*i.e.* PoPI<sub>sol</sub>) and the opposite  
594 holds true for proteins showing lowest lubrication performance (*i.e.* PPC<sub>sol</sub>). While statistically  
595 speaking, these correlation coefficients appear reasonable, with only four alternative protein types,  
596 it is difficult to prove whether such relationships are just empirical or truly causal at this stage.

597 Bartlett tests show that there is significantly correlated data however upon conducting a Kaiser-  
598 Meyer-Olkin test the value is less than 0.5 due to the limited number of sample data and ultimately  
599 a trend can be predicted but not causative and so these parameters should undergo further  
600 investigation. It should be emphasised that more data points would establish stronger evidence and  
601 greater confidence in the observed correlations, nonetheless there are clearly strong associations  
602 of high statistical significance, which for the first time have been established in such a range of  
603 alternative proteins that may serve as a reference to fast track the development of food with  
604 optimally lubricating alternative proteins.

605

## 606 **5. Conclusions**

607 Lubrication and adsorption properties of alternative proteins sourced from potato, pea, lupin and  
608 insects were compared with whey protein. Soluble fractions of these concentrates/isolates were  
609 characterised by means of hydrodynamic size, charge, rheology, tribology and adsorption

610 (hydrated mass, film viscoelasticity). The lowest solubility was reported with PPC<sub>sol</sub>, all other  
611 proteins displayed good solubility with complete dissolution being observed for PoPI<sub>sol</sub> and WPI<sub>sol</sub>.  
612 Proteins were all negatively-charged and showed various degrees of aggregation with  
613 hydrodynamic sizes ranging from PoPI<sub>sol</sub> at tens of nanometres to WPI<sub>sol</sub> and PPC<sub>sol</sub> at a couple  
614 hundred of nanometres.

615 Strikingly, all alternative proteins showed effective lubrication at 5 wt% concentration  
616 following a distinct reduction in friction. PPC<sub>sol</sub> showed the lowest effective lubrication in  
617 comparison to all other proteins with highest friction coefficient amongst the tested proteins at  
618 both 5 wt% and 10 wt% concentration. Interestingly, for LPI<sub>sol</sub> and particularly PoPI<sub>sol</sub>, and IPC<sub>sol</sub>,  
619 friction coefficients were increased when concentration was raised from 5 wt% to 10 wt%, most  
620 possibly due to aggregation and jamming of the proteins in the contact region behaving like particle  
621 aggregates than a lubricating polymeric film. WPI<sub>sol</sub> was superior in lubrication with lowest  
622 boundary friction coefficient even when the concentration was increased to 10 wt%. Results from  
623 QCM-D reveal rigid film formation may result in a reduction in boundary lubrication and visa  
624 versa for rigid films. Pearson's correlation between alternative protein data plots revealed  
625 relationship of lubrication as well as transition between lubrication regimes to hydrated mass,  
626 hydrodynamic size and viscoelasticity.

627 It is worth noting that whey proteins showed substantial lubrication performance as  
628 compared to alternative proteins particularly at higher concentrations. Nevertheless, alternative  
629 proteins may still be utilised to lubricate at lower concentrations. Among the tested proteins, potato  
630 protein seems to stand out in its lubrication performance because of its smaller size and ability to  
631 form an elastic layer at the surface. Finally, sensory tests are key to understand whether such

632 increased lubrication, hydrated mass and viscoelasticity are associated with positive mouthfeel  
633 perception such as smoothness in alternative proteins.

634

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638

## 639 **Conflict of Interests**

640 Declarations of interest: none

641

## 642 **CRedit author statement**

643 **Ben Kew:** Methodology, Validation, Formal analysis, Investigation, Data curation,  
644 Visualization; Project administration; Writing- Original draft preparation, **Melvin Holmes:**  
645 Methodology, Validation, Data curation, Writing- Reviewing & Editing; Visualization,  
646 Supervision; **Markus Stieger:** Data curation, Visualization; Writing- Reviewing & Editing;;  
647 **Anwasha Sarkar:** Conceptualization, Methodology, Writing- Reviewing & Editing,  
648 Visualization, Supervision, Funding acquisition

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652 **Table 1.**

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<b>Soluble fractions of protein samples</b>	<b>Nomenclature</b>	<b>Isoelectric point (pI)</b>	<b>Solubility</b>	<b>Hydrodynamic diameter (<math>d_H</math>) (nm)</b>	<b>Polydispersity index (PDI)</b>	<b><math>\zeta</math>-potential (mV)</b>
Whey protein isolate	WPI <sub>sol</sub>	4.5 (Guimarães & Gasparetto, 2005)	100% ± 4.6% <sup>d</sup>	220.0 ± 30.9 <sup>d</sup>	0.35 ± 0.12 <sup>bc</sup>	-18.5 ± 0.9 <sup>a</sup>
Potato protein isolate	PoPI <sub>sol</sub>	4.5 – 5.0 (Schmidt, et al., 2019)	100% ± 1.0% <sup>d</sup>	24.7 ± 1.0 <sup>a</sup>	0.7 ± 0.35 <sup>c</sup>	-22.4 ± 1.1 <sup>bc</sup>
Pea protein concentrate	PPC <sub>sol</sub>	4.0 (Adal, et al., 2017)	32% ± 4.5% <sup>a</sup>	244 ± 23.6 <sup>d</sup>	0.4 ± 0.005 <sup>bc</sup>	-20.6 ± 1.3 <sup>ab</sup>
Lupin protein isolate	LPI <sub>sol</sub>	4.5 (Jayasena, et al., 2011)	75% ± 4.0% <sup>b</sup>	116.0 ± 4.8 <sup>b</sup>	0.3 ± 0.003 <sup>b</sup>	-23.0 ± 0.5 <sup>c</sup>
Insect protein concentrate	IPC <sub>sol</sub>	4.0 – 4.5 (Bußler, Rumpold, Jander, Rawel, & Schlüter, 2016; Lacroix, et al., 2019)	88% ± 7.8% <sup>c</sup>	160 ± 3.7 <sup>c</sup>	0.2 ± 0.04 <sup>a</sup>	-20.7 ± 0.9 <sup>b</sup>

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656 **Caption for the Table**

657 **Table 1.** Physicochemical characteristics of centrifuged and filtered protein solutions at pH 7.0.

658 Error bars indicate standard deviation for triplicate samples ( $n = 3 \times 3$  for solubility,  $d_H$ , PDI and

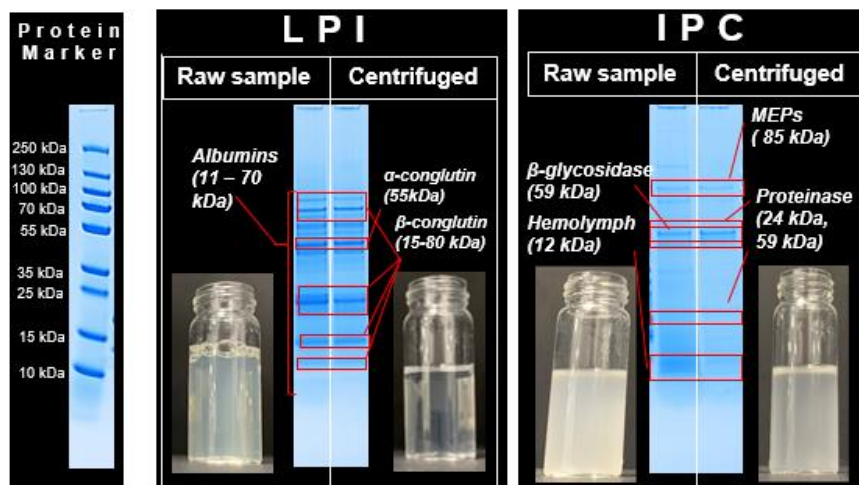
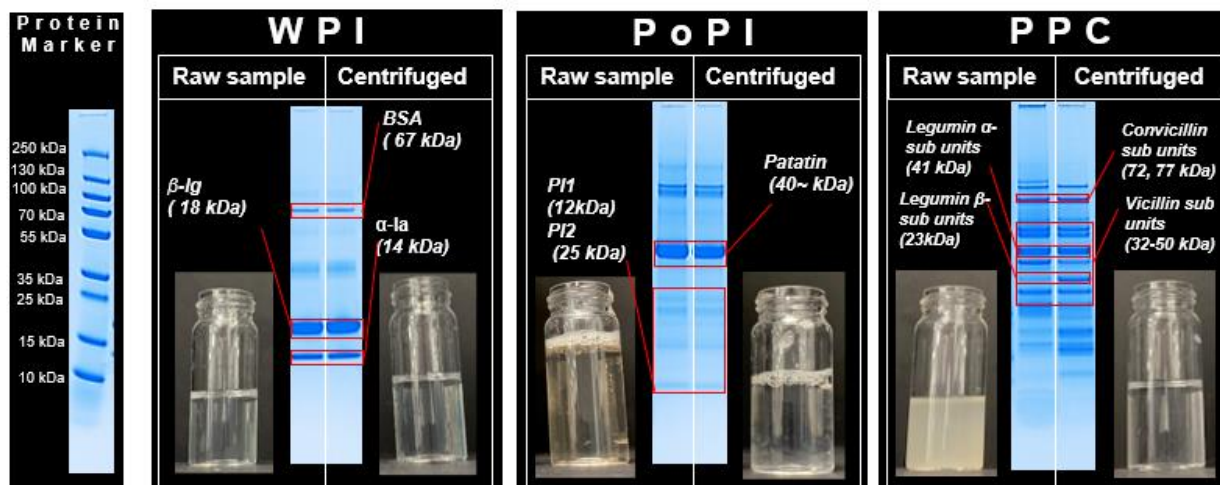
659  $5 \times 3$  for  $\zeta$ -potential). Parameters denoted with the same lower case subscripts do not differ

660 statistically at the confidence of  $p \geq 0.05$ .

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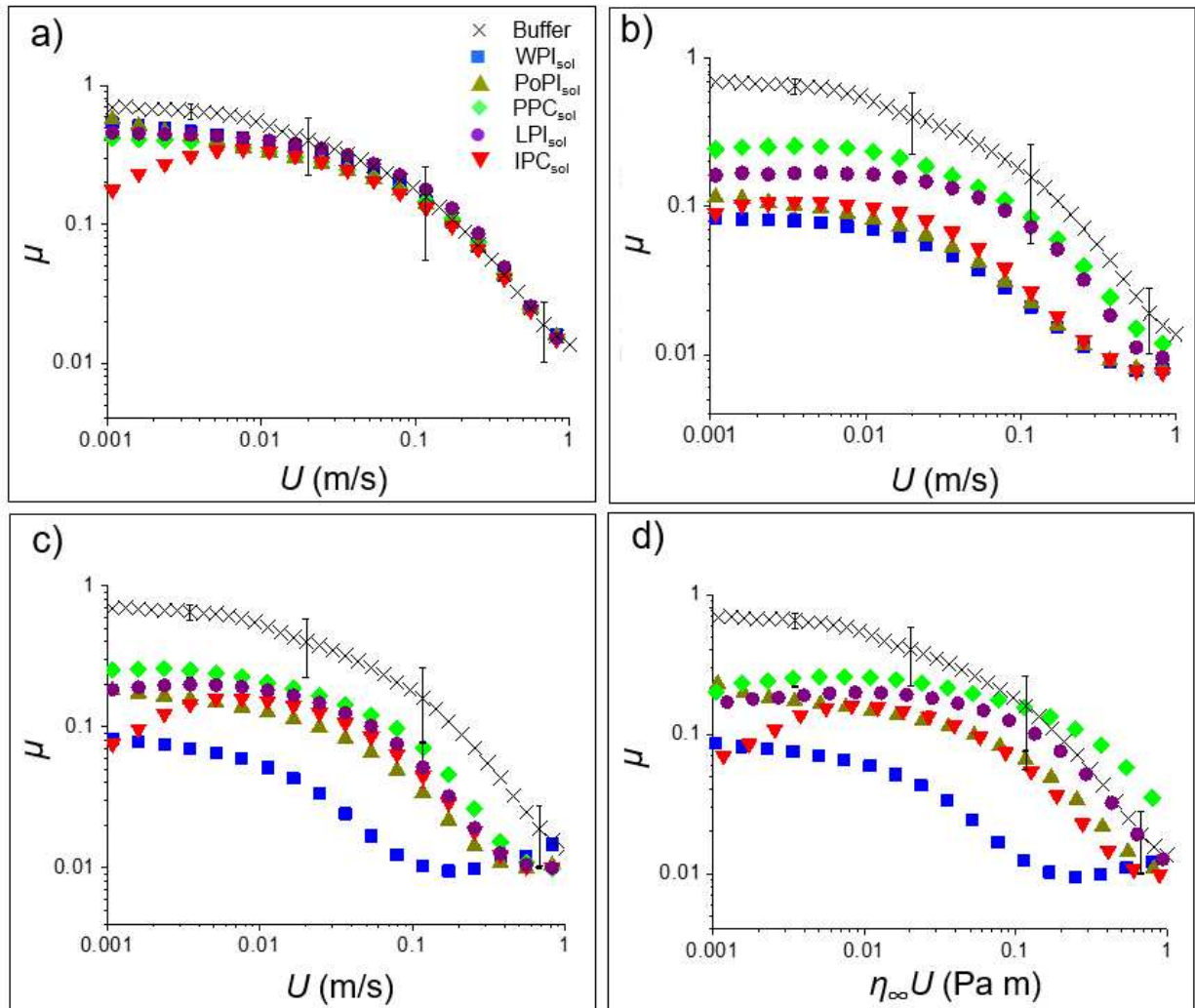
663 **Figure 1.**



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674 **Figure 2.**

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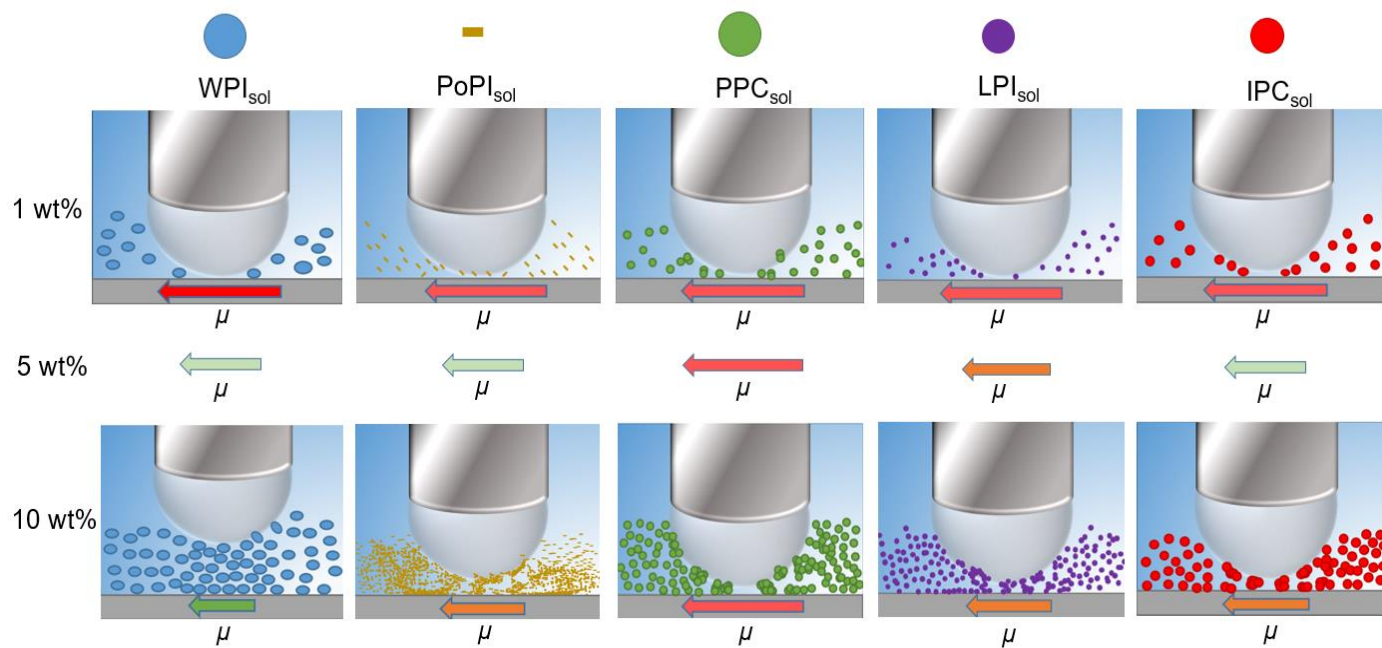
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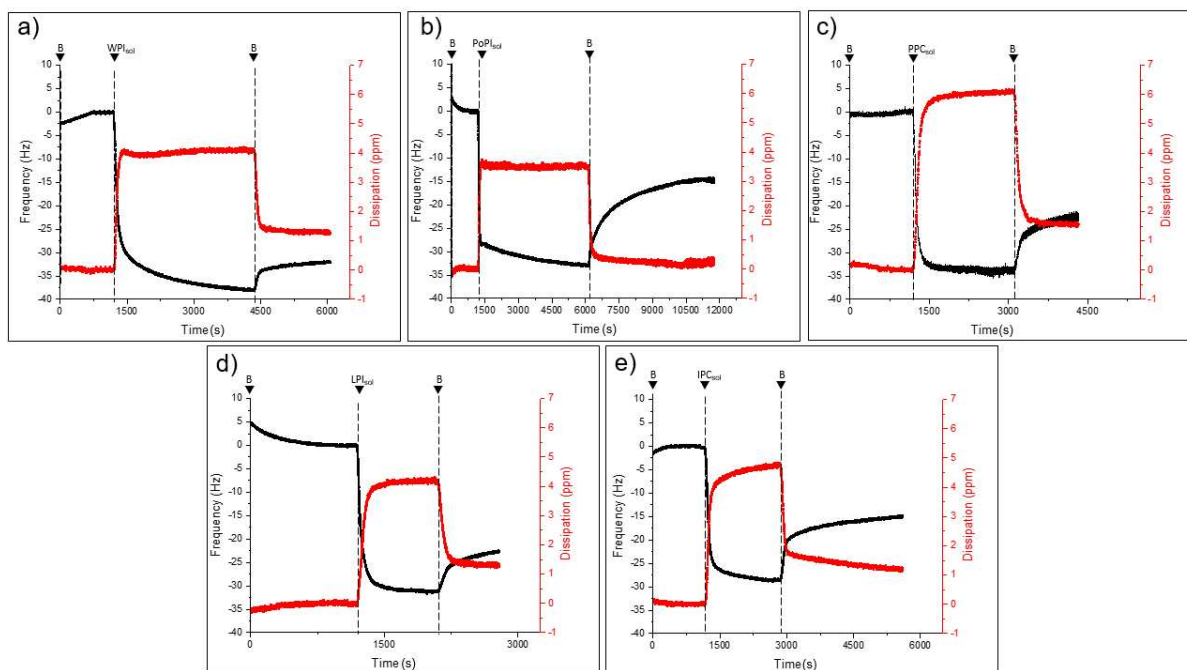
684 **Figure 3.**

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687 **Figure 4.**



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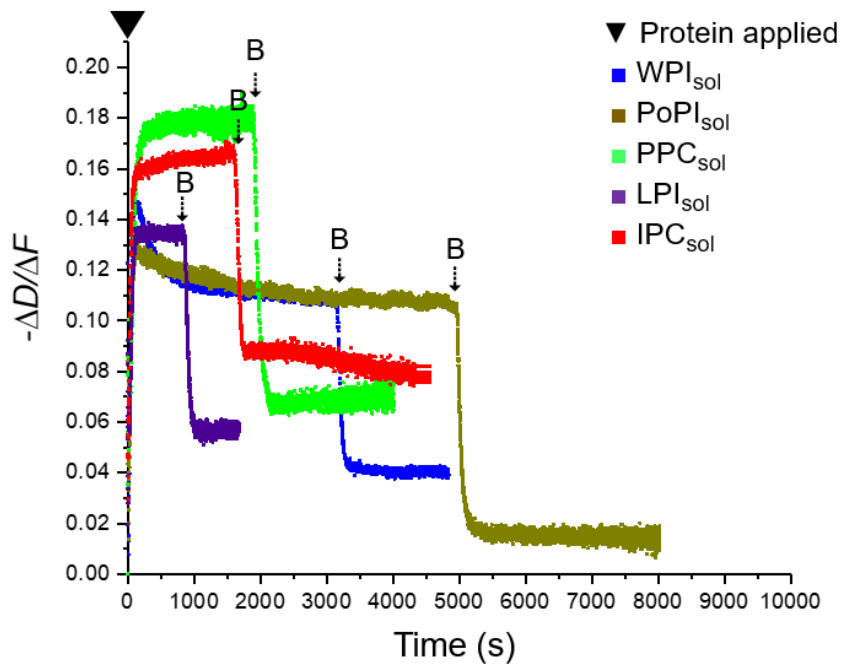
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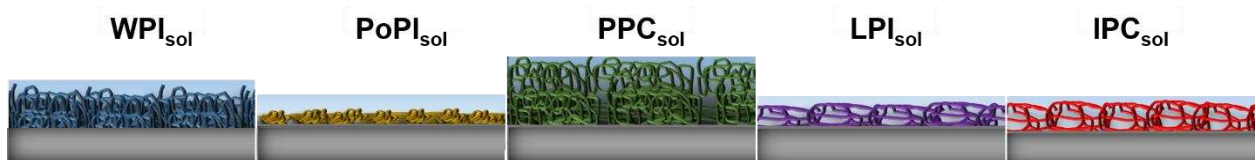
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692 **Figure 5.**

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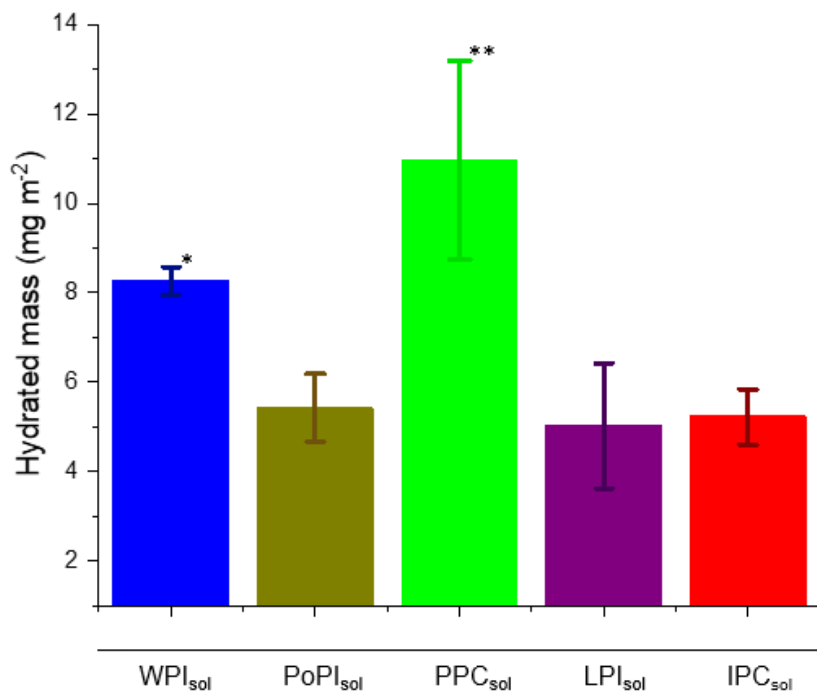


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696 **Figure 6.**

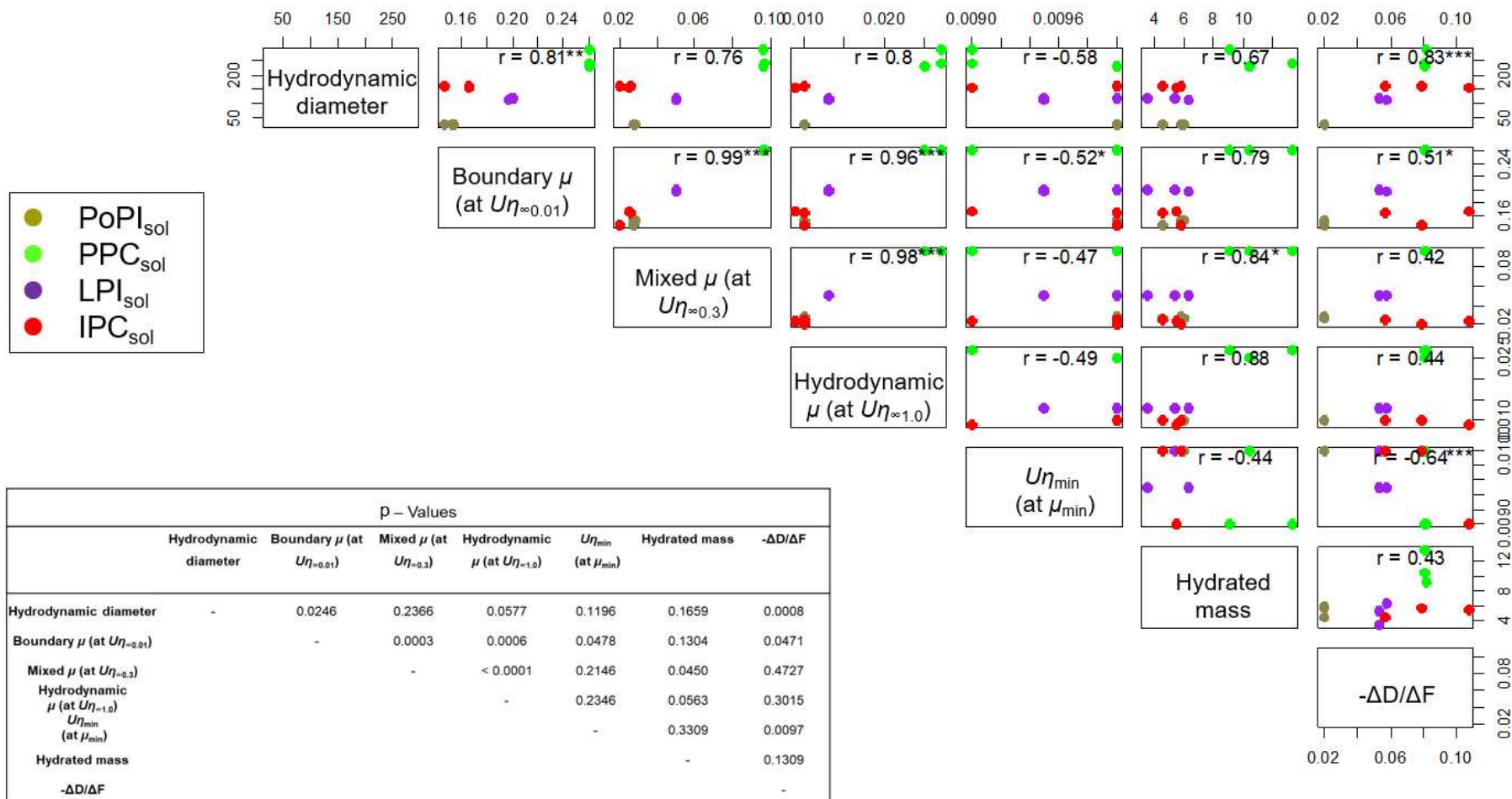


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700 **Figure 7.**



\* = p < 0.05    \*\* = p < 0.01    \*\*\* = p < 0.001

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704 **Captions for Figures**

705 **Figure 1.** Sodium dodecyl sulphate polyacrylamide gel electrophoresis (SDS-PAGE) of  
706 protein solutions and their % solubility at pH 7.0. Protein fractions are as follows, beta-  
707 lactoglobulin ( $\beta$ -lg), bovine serum albumin (BSA), alpha-lactalbumin ( $\alpha$ -la), protease inhibitor  
708 1 and 2 (PI1, PI2), melanisation engaging proteins (MEPs).

709

710 **Figure 2.** Mean friction coefficients ( $\mu$ ) as a function of entrainment speed ( $U$ ) determined  
711 between glass ball and PDMS surface at 2 N load in presence of protein solutions at 1.0 wt%  
712 (a), 5.0 wt% (b), and 10 wt% (c) protein, respectively and scaling of friction curves (d) of 10  
713 wt% proteins to high shear rate viscosity ( $\eta_\infty$ ). Friction curves of HEPES buffer are shown in  
714 all the graphs. Error bars indicate standard deviation for triplicate experiments ( $n = 3 \times 3$ ).

715

716 **Figure 3.** Schematic representation (not to scale) of the lubrication behaviour of  $WPI_{sol}$ ,  $PoPI_{sol}$ ,  
717  $PPC_{sol}$ ,  $LPI_{sol}$  and  $IPC_{sol}$  on glass ball on PDMS surface illustrating the effect of concentration  
718 and protein type on friction coefficient ( $\mu$ ) (depicted as green, orange and red friction arrows  
719 showing lowest friction to highest friction induced by proteins, respectively).

720

721 **Figure 4.** Mean frequency and dissipation (5<sup>th</sup> overtone shown) of 1.0 wt%  $WPI_{sol}$  (a),  $PoPI_{sol}$   
722 (b),  $PPC_{sol}$  (c),  $LPI_{sol}$  (d) and  $IPC_{sol}$  (e) on PDMS-coated sensors, respectively and B implies  
723 the HEPES buffer.

724

725 **Figure 5.** Dissipation shift ( $\Delta D$ ) / frequency shift ( $\Delta f$ ) ratio *i.e.*  $-\Delta D/\Delta f$  (a) of 1.0 wt%  $WPI_{sol}$ ,  
726  $PoPI_{sol}$ ,  $PPI_{sol}$ ,  $LPI_{sol}$  and  $IPI_{sol}$  on PDMS-coated hydrophobic sensors. Step B represents the

727 final buffer rinsing stage to understand the final characteristics of the film. Schematic  
728 representation (b) of the hydrated layer of protein films on PDMS surface.

729

730 **Figure 6.** Hydrated mass of protein solutions at pH 7.0 (1.0% w/v) on PDMS-coated  
731 hydrophobic sensors using QCM-D. Error bars indicate standard deviation for triplicate  
732 experiments ( $n = 3 \times 3$ ). The asterisk represents significant difference ( $p < 0.05$ )

733

734 **Figure 7.** Pearson correlation ( $r$ ) of instrumental data for 10 wt% protein solutions where  $n =$   
735 3 for each protein data sets.  $-\Delta D/\Delta F$  is the dissipation shift ( $\Delta D$ ) / frequency shift ( $\Delta f$ ) ratio.  
736 Spearman's rank was used to obtain the  $p$ -values as an inset table and translated into \*, \*\*, \*\*\*  
737 indicating 0.05-0.001 in order of significance.

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740 **Supplementary Document**

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742 **Oral tribology, adsorption and rheology of**  
743 **alternative food proteins**

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761

762 **Supplementary Table S1.** Mean and standard deviation (SD) of the friction coefficients of  
763 buffer and soluble protein solutions at 1 wt% (a), 5 wt% (b), 10 wt% (c) and 10 wt% scaled  
764 with viscosity at 1000 s<sup>-1</sup> ( $\eta_{\infty}$  U (Pa m)) (d). Parameters denoted with the same lower case  
765 subscripts do not differ statistically at the confidence of  $p \geq 0.05$ .

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767

(a) Coefficient of friction of 1 wt% protein <sub>sol</sub>								
	Boundary lubrication regime (0.01 m s <sup>-1</sup> )		Mixed lubrication regime (0.1 m s <sup>-1</sup> )		Mixed lubrication regime (0.3 m s <sup>-1</sup> )		Hydrodynamic lubrication regime (1.0 m s <sup>-1</sup> )	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Buffer	0.509 <sup>c</sup>	0.128	0.182 <sup>d</sup>	0.116	0.056 <sup>abc</sup>	0.035	0.014 <sup>a</sup>	0.005
WPI <sub>sol</sub>	0.387 <sup>bc</sup>	0.018	0.164 <sup>abd</sup>	0.018	0.051 <sup>ab</sup>	0.007	0.015 <sup>a</sup>	0.001
PoPI <sub>sol</sub>	0.327 <sup>a</sup>	0.005	0.157 <sup>ad</sup>	0.005	0.056 <sup>ab</sup>	0.003	0.013 <sup>a</sup>	0.001
PPC <sub>sol</sub>	0.332 <sup>a</sup>	0.010	0.164 <sup>bd</sup>	0.001	0.058 <sup>b</sup>	0.001	0.013 <sup>a</sup>	0.001
LPI <sub>sol</sub>	0.401 <sup>bc</sup>	0.011	0.202 <sup>cd</sup>	0.003	0.066 <sup>c</sup>	0.002	0.013 <sup>a</sup>	0.001
IPC <sub>sol</sub>	0.335 <sup>a</sup>	0.008	0.149 <sup>ad</sup>	0.005	0.053 <sup>a</sup>	0.002	0.013 <sup>a</sup>	0.001

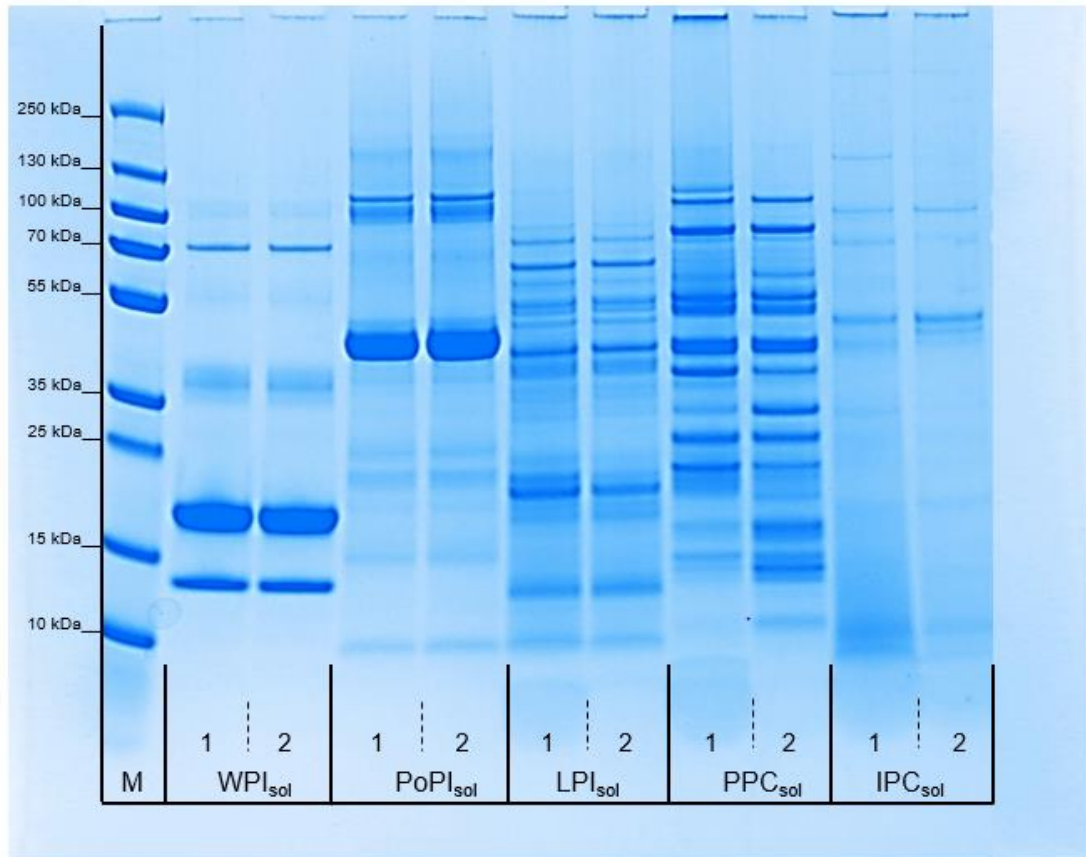
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(b) Coefficient of friction of 5 wt% protein <sub>sol</sub>								
	Boundary lubrication regime (0.01 m s <sup>-1</sup> )		Mixed lubrication regime (0.1 m s <sup>-1</sup> )		Mixed lubrication regime (0.3 m s <sup>-1</sup> )		Hydrodynamic lubrication regime (1.0 m s <sup>-1</sup> )	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Buffer	0.509 <sup>f</sup>	0.128	0.182 <sup>c</sup>	0.116	0.056 <sup>d</sup>	0.035	0.014 <sup>c</sup>	0.005
WPI <sub>sol</sub>	0.069 <sup>a</sup>	0.005	0.024 <sup>a</sup>	0.002	0.010 <sup>a</sup>	0.001	0.008 <sup>ac</sup>	0.001
PoPI <sub>sol</sub>	0.082 <sup>b</sup>	0.006	0.027 <sup>a</sup>	0.001	0.010 <sup>a</sup>	0.001	0.009 <sup>abc</sup>	0.001
PPC <sub>sol</sub>	0.234 <sup>e</sup>	0.006	0.097 <sup>de</sup>	0.003	0.031 <sup>cd</sup>	0.002	0.011 <sup>bc</sup>	0.001
LPI <sub>sol</sub>	0.164 <sup>d</sup>	0.006	0.073 <sup>ce</sup>	0.001	0.024 <sup>bd</sup>	0.001	0.009 <sup>abc</sup>	0.001
IPC <sub>sol</sub>	0.098 <sup>c</sup>	0.003	0.032 <sup>b</sup>	0.001	0.010 <sup>a</sup>	0.001	0.008 <sup>ac</sup>	0.001

769

(c) Coefficient of friction of 10 wt% protein <sub>sol</sub>								
	Boundary lubrication regime (0.01 m s <sup>-1</sup> )		Mixed lubrication regime (0.1 m s <sup>-1</sup> )		Mixed lubrication regime (0.3 m s <sup>-1</sup> )		Hydrodynamic lubrication regime (1.0 m s <sup>-1</sup> )	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Buffer	0.509 <sup>f</sup>	0.128	0.182 <sup>f</sup>	0.116	0.056 <sup>d</sup>	0.035	0.014 <sup>b</sup>	0.005
WPI <sub>sol</sub>	0.051 <sup>a</sup>	0.001	0.011 <sup>a</sup>	0.001	0.010 <sup>a</sup>	0.001	0.016 <sup>bc</sup>	0.002
PoPI <sub>sol</sub>	0.126 <sup>b</sup>	0.002	0.041 <sup>b</sup>	0.001	0.012 <sup>a</sup>	0.001	0.011 <sup>ab</sup>	0.001
PPC <sub>sol</sub>	0.205 <sup>e</sup>	0.001	0.083 <sup>ef</sup>	0.001	0.020 <sup>cd</sup>	0.001	0.009 <sup>ab</sup>	0.001
LPI <sub>sol</sub>	0.182 <sup>d</sup>	0.004	0.060 <sup>d</sup>	0.002	0.015 <sup>b</sup>	0.001	0.011 <sup>ab</sup>	0.002
IPC <sub>sol</sub>	0.152 <sup>c</sup>	0.012	0.054 <sup>c</sup>	0.001	0.015 <sup>b</sup>	0.002	0.010 <sup>ab</sup>	0.001

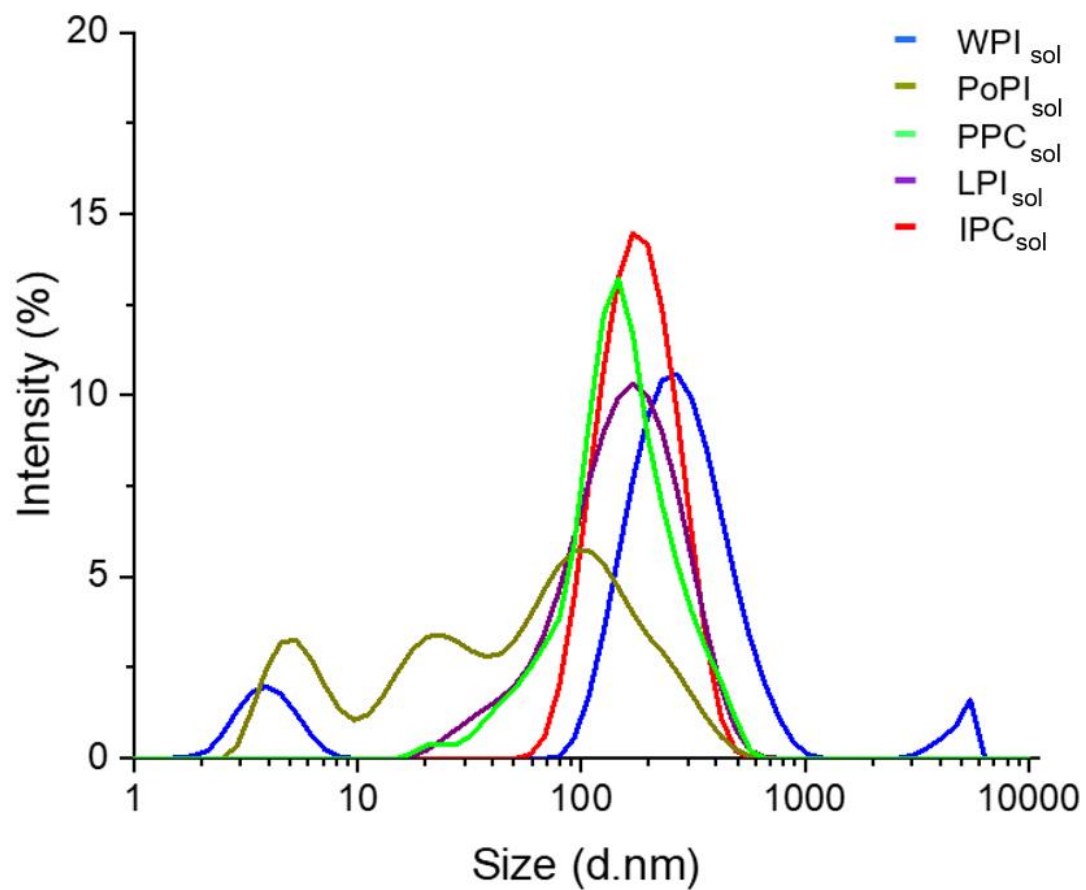
(d) Coefficient of friction of 10 wt% protein <sub>sol</sub> scaled $\eta_{\infty}$ U (Pa m)								
	Boundary lubrication regime (0.01 m s <sup>-1</sup> )		Mixed lubrication regime (0.1 m s <sup>-1</sup> )		Mixed lubrication regime (0.3 m s <sup>-1</sup> )		Hydrodynamic lubrication regime (1.0 m s <sup>-1</sup> )	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Buffer	0.509 <sup>f</sup>	0.128	0.182 <sup>c</sup>	0.116	0.056 <sup>d</sup>	0.035	0.014 <sup>d</sup>	0.005
WPI <sub>sol</sub>	0.059 <sup>a</sup>	0.006	0.014 <sup>a</sup>	0.002	0.009 <sup>a</sup>	0.001	0.013 <sup>bcd</sup>	0.002
PoPI <sub>sol</sub>	0.150 <sup>b</sup>	0.004	0.066 <sup>b</sup>	0.001	0.027 <sup>bd</sup>	0.001	0.010 <sup>abd</sup>	0.001
PPC <sub>sol</sub>	0.260 <sup>d</sup>	0.001	0.163 <sup>de</sup>	0.006	0.096 <sup>e</sup>	0.001	0.026 <sup>e</sup>	0.001
LPI <sub>sol</sub>	0.199 <sup>c</sup>	0.002	0.125 <sup>ce</sup>	0.003	0.050 <sup>cd</sup>	0.001	0.013 <sup>cd</sup>	0.001
IPC <sub>sol</sub>	0.159 <sup>b</sup>	0.011	0.064 <sup>b</sup>	0.001	0.023 <sup>bd</sup>	0.003	0.009 <sup>ad</sup>	0.001



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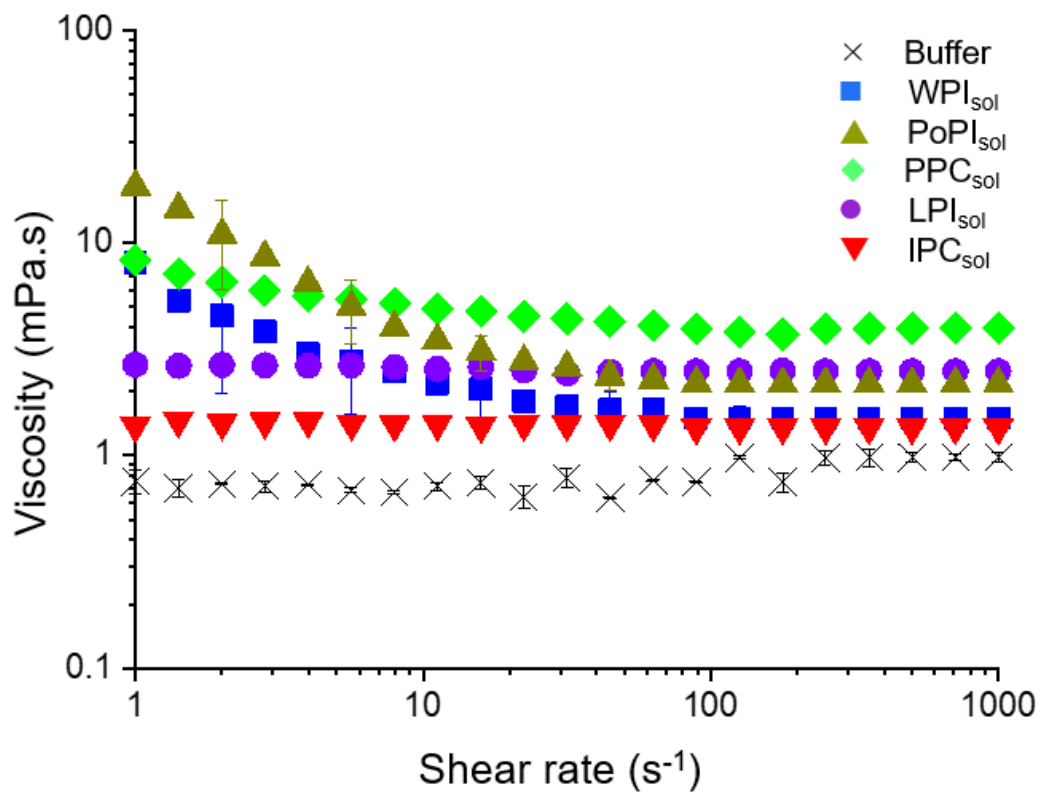
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772 **Supplementary Figure S1.** Raw data of the sodium dodecyl sulphate polyacrylamide gel  
 773 electrophoresis (SDS-PAGE) of protein solutions at pH 7.0. 1 and 2 refers to the uncentrifuged  
 774 and centrifuged samples, respectively. M represents the protein marker.



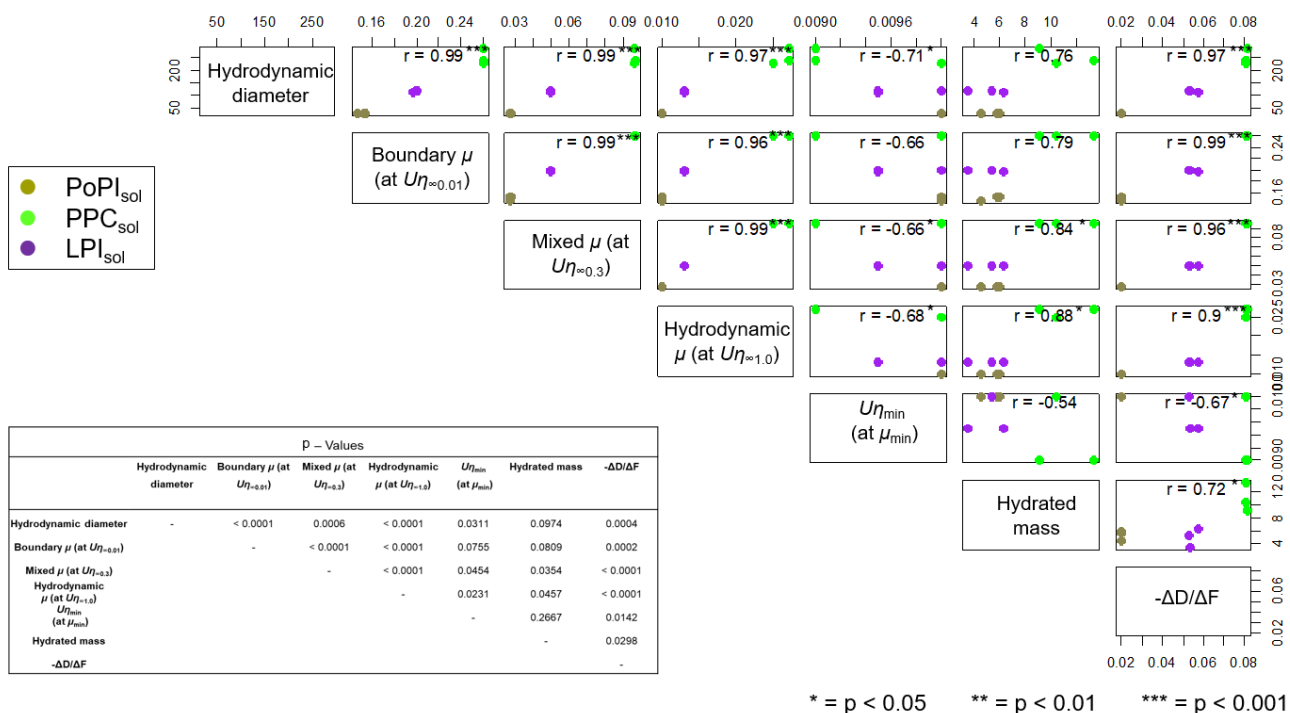
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776 **Supplementary Figure S2.** Mean particle size distribution of protein samples (0.1 wt%  
 777 protein) at pH 7.0 after centrifugation and filtration using 0.22  $\mu\text{m}$  syringe filter ( $n = 3 \times 3$ ).



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779 **Supplementary Figure S3.** Flow curves of protein solutions (10 wt% protein) at pH 7.0.  
 780 Error bars indicate standard deviation for triplicate experiments ( $n = 3 \times 3$ ).  
 781



783

\* =  $p < 0.05$     \*\* =  $p < 0.01$     \*\*\* =  $p < 0.001$

784 **Supplementary Figure S4.** Pearson correlation ( $r$ ) of instrumental data for 10 wt% protein  
 785 solutions excluding  $WPI_{sol}$  and  $IPC_{sol}$ , where per protein data set  $n = 3$ . Spearman's rank  
 786 was used to obtain  $p$ -values shown in the inset table and translated into \*, \*\*, \*\*\* indicating 0.05-  
 787 0.001 in order of significance.

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