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1           Controlling PbI<sub>2</sub> stoichiometry during synthesis to  
2           improve the performance of perovskite photovoltaics

3  
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24 **Abstract**

25 Over the past decade, remarkable progress has advanced the field of perovskite solar cells to the  
26 forefront of thin film solar technologies. The stoichiometry of the perovskite material is of paramount  
27 importance as it determines the optoelectronic properties of the absorber and hence the device  
28 performance. However, little published work has focused on the synthesis of fully stoichiometric  
29 precursor materials of high purity and at high yield. Here, we report a low-cost, energy efficient and  
30 solvent-free synthesis of the lead iodide precursor by planetary ball milling. With our synthetic  
31 approach we produce low-oxygen, single or multiple polytypic phase  $\text{PbI}_2$  with tuneable stoichiometry.  
32 We determine the stoichiometry and the polytypes present in our synthesised materials and we further  
33 compare them to commercially available materials, using X-ray diffraction, X-ray photoelectron  
34 spectroscopy and Rutherford backscattering spectroscopy. Both the stoichiometric  $\text{PbI}_2$  we synthesised  
35 and a sub-stoichiometric commercially available  $\text{PbI}_2$  (where the iodide content is below the optimum  
36  $\text{Pb:I}$  atomic ratio of 1:2) were used to grow methylammonium lead iodide micro-crystals (which  
37 corrects the iodide content). Perovskite solar cells were then produced using stoichiometric and sub-  
38 stoichiometric  $\text{PbI}_2$  mixed with an equimolar amount of methylammonium iodide and compared to  
39 devices produced from re-dissolved microcrystals. The photoactive perovskite layer deposition was  
40 processed in air, enabled by the use of a single low-toxicity solvent (dimethyl sulfoxide) combined  
41 with vacuum-assisted solvent evaporation. We find that the device performance is strongly dependent  
42 upon the stoichiometry of the lead iodide precursor, reaching champion efficiencies over 17 %, with  
43 no obvious correlation with its polytypic phases. This work highlights the critical role of  $\text{PbI}_2$   
44 stoichiometry in hybrid perovskites, as well as demonstrating synthesis methods and perovskite layer  
45 fabrication protocols suitable for low-cost solar energy harvesting.

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48

## 1. Introduction

Immense academic and commercial research efforts are being undertaken into perovskite solar cells (PSCs), tapping into their potential as the next low-cost solar power technology. The improvement in photovoltaic performance for the most ubiquitous hybrid organic-inorganic halide perovskite absorber (methyl ammonium lead iodide,  $\text{CH}_3\text{NH}_3\text{PbI}_3$ ) has been prodigious, with power conversion efficiencies (PCEs) rising from 3.8 % to 21.2 % in a decade.<sup>1,2</sup> Mixtures of organic and inorganic cations, predominantly methylammonium, formamidinium and caesium ( $\text{MA}^+/\text{FA}^+/\text{Cs}^+$ ) with various halogen anions, such as chloride, bromide and iodide ( $\text{Cl}^-/\text{Br}^-/\text{I}^-$ ) have been used to improve the performance and stability of PSC devices. However, the long-term stability and durability of PSCs have remained barriers to their commercialisation. These effects might be related to either deficiency or excess of lead iodide ( $\text{PbI}_2$ ) in the synthesized perovskite, and a tolerance factor closer to unity that corresponds to the cubic crystal structure.<sup>3-7</sup> This degradation occurs regardless of the device architecture utilised (p-i-n or n-i-p).<sup>8</sup> Controlling the stoichiometry of the precursor materials used may help to reduce the presence of impurities and improve the stability of PSC devices. In parallel to the challenge of stability is that of scalability, where the possibility for air processing offers the potentially lowest-cost approach to perovskite fabrication. This necessitates the development of fabrication methods for materials and devices under ambient conditions using less-toxic solvents.

Whilst various researchers have demonstrated the importance of perovskite phase purity and stoichiometry,<sup>9-11</sup> few have covered the role of the precursor materials  $\text{PbI}_2$  and methylammonium iodide ( $\text{CH}_3\text{NH}_3\text{I}$ ),<sup>5,12-14</sup> in particular, the stoichiometry of the  $\text{PbI}_2$  and its polytypic behaviour. Previous reports have described more stoichiometrically correct  $\text{CH}_3\text{NH}_3\text{PbI}_3$  thin films, synthesised using  $\text{CH}_3\text{NH}_3\text{PbI}_3$  single crystals, in comparison with the nominally equimolar intermixed powders of  $\text{PbI}_2$  with  $\text{CH}_3\text{NH}_3\text{I}$ .<sup>15,16</sup>

$\text{PbI}_2$  is an intrinsic semiconducting material with a wide energy band gap ( $E_g$ ) of 2.3-2.4 eV.<sup>17</sup> Its crystalline structure, is hexagonally close-packed with the space group  $P\bar{3}m1$ , consisting of three

74 alternating layers of lead and iodide atoms (I-Pb-I) within the basic unit cell, which is a near-  
75 octahedron  $[\text{PbI}_6]^{4-}$ . For the most stable at room temperature (RT) 2H polytypic phase the unit cell's  
76 dimensions are  $a = b = 0.4557$  nm and  $c = 0.6979$  nm.<sup>18</sup> However, the stacking sequence of the (I-Pb-  
77 I)<sub>n</sub> layers can vary significantly, due to the weak Van der Waals interactions between those sequential  
78 layers. This results in the crystallochemical phenomenon of polytypism, in which different polytypes  
79 with variable c-axis dimensions are formed. These variations in c-axis also result in minor changes to  
80 the a- and b-axis.<sup>19-21</sup> Polytype formation can also be dependent on the synthetic process used, for  
81 example co-solvent,<sup>22</sup> modified-gel,<sup>23</sup> sublimation,<sup>24</sup> epitaxial<sup>25</sup> or vertical Bridgman-Stockbarger<sup>26</sup>  
82 synthesis. During synthesis the formation of multiple polytypic phases may occur. Moreover,  
83 reversible and irreversible transitions between different polytypic phases might be responsible for the  
84 coexistence of several energy states in the  $\text{PbI}_2$ ,<sup>21,27,28</sup> in a way that is analogous to the polytypism in  
85 silicon carbide.<sup>29</sup> Despite the identification of more than 40 polytypic phases of  $\text{PbI}_2$  over the last 60  
86 years, primarily using X-ray diffraction techniques, the exact mechanism of their formation and  
87 diversity remains poorly understood. Further details regarding the theory of polytypism are provided  
88 in SI (Supporting Information) Section 1: Theory of polytypism.

89 Here, we report the use of a planetary ball milling process (PBM) to synthesise  $\text{PbI}_2$ , which  
90 permits control of the stoichiometry and the degree of polytypism. PBM utilises high kinetic energy,  
91 inelastic impacts between stainless-steel spheres surrounded by the reactant powders (lead(II) nitrate  
92 and potassium iodide) and the walls of the ball milling jar. This approach provides a controllable and  
93 energy efficient way ( $\sim 31.3$  watts per hour per gram) to produce moderate quantities at lab scale ( $\geq$   
94 40 grams per run and yield  $\sim 96 \pm 0.5$  %) of  $\text{PbI}_2$ , without using solvents. By avoiding the use of  
95 solvent during the  $\text{PbI}_2$  synthesis, less waste is produced and a potential route for impurities is removed.  
96 We then tested the PSC performance of devices fabricated in air using a single-step deposition method  
97 with vacuum assisted evaporation<sup>30,31</sup> of the solvent (dimethyl sulfoxide) and without the use of any  
98 additional anti-solvent rinsing step. This fabrication method permits the formation of high-quality

99 perovskite thin films without using the highly toxic and widely used solvent dimethyl formamide  
100 (DMF).<sup>32</sup> PSC devices fabricated using equimolar amounts of sub-stoichiometric  $\text{PbI}_2$  and  $\text{CH}_3\text{NH}_3\text{I}$   
101 precursors, exhibited inferior performance due to the presence of unreacted  $\text{PbI}_2$  in the resultant  
102 perovskite thin film. Whereas the polytypic phase of the  $\text{PbI}_2$  had no profound effect on the device  
103 performance. Our results indicate that the precise control over the stoichiometry of the precursor  
104 materials may be a root towards more efficient perovskite solar cells.

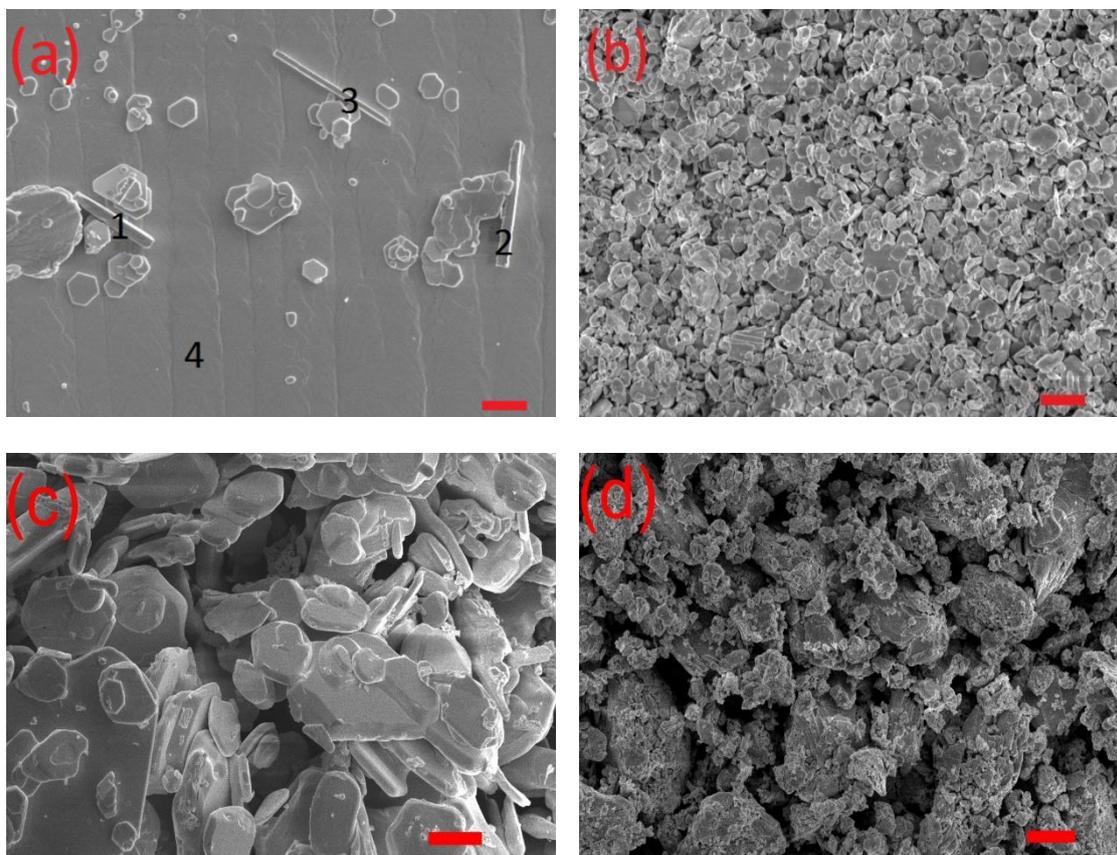
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## 106 **2. Results and Discussion**

107 **Hydrothermal and mechanochemical synthesis of  $\text{PbI}_2$ .** In order to vary both stoichiometry and  
108 polytypism, two methods of synthesis of the  $\text{PbI}_2$  have been considered in this work: (1) the  
109 conventional hydrothermal (HT) reaction that requires the dissolution of reactants (lead(II) nitrate and  
110 potassium iodide) in water and (2) the water-free mechanochemical (MC) process using PBM, which  
111 allows the solid state reaction of the same reactants. The HT synthesis of the  $\text{PbI}_2$  results in the  
112 formation of continuous layers of hexagonal  $\text{PbI}_2$  platelets decorated with needle-shaped crystal  
113 formations, as shown in Figure 1a. In these needles, incorporation of oxygen was detected by energy  
114 dispersive spectroscopy (EDS) point analysis, as can be seen in Table S1. We believe autoionization  
115 of water molecules occurs during the HT synthesis of the  $\text{PbI}_2$  (Figures 1a and S1a), caused by the  
116 strong Coulomb interactions between the ions ( $\text{Pb}^{2+}$ ,  $\text{K}^+$ ,  $\text{I}^-$  and  $\text{NO}_3^-$ ) and water molecules. That may  
117 result in the formation of a mixture of lead oxyiodide and lead iodide hydroxide compounds, with  
118 general chemical formulas  $\text{Pb}(\text{I}_{1-x}\text{O}_x)_2$  and  $\text{Pb}(\text{I}_{1-y}(\text{OH})_y)_2$ , respectively.<sup>33-35</sup>

119 In contrast, PBM synthesis of  $\text{PbI}_2$  causes extensive deformation and fragmentation of the  
120 platelets, with reduced particle size (average size  $< 4 \mu\text{m}$ ), as illustrated in Figures 1b and S1b. No  
121 oxygen was detected in the MC powder, as determined by EDS and shown in Table S1, presumably  
122 due to the absence of water during the synthesis. Also, for the commercially available  $\text{PbI}_2$  powders  
123 from Sigma Aldrich with purity 99.999% (SA) and Tokyo Chemical Industries with purity 99.99%

124 (TCI) the particles (as shown in Figures 1c and 1d, respectively) are considerably larger than the MC  
125 sample shown in Figure 1b.



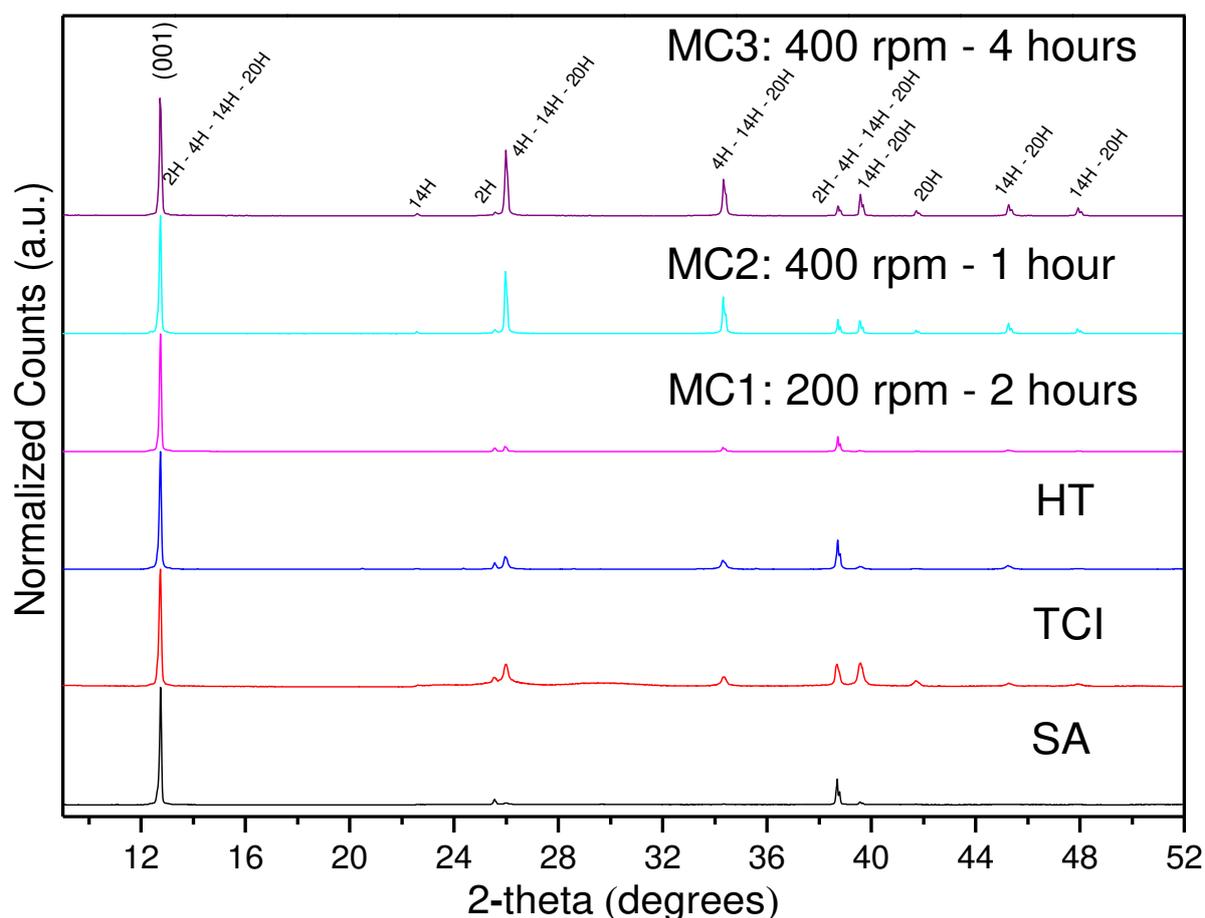
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128 **Figure 1.** Scanning electron microscopy (SEM) images of lead iodide powders as synthesised by (a)  
129 hydrothermal (HT) and (b) mechanochemical (MC) routes, or commercially available from (c) Sigma  
130 Aldrich (SA) and (d) Tokyo Chemical Industries (TCI), scale bars represent 10 $\mu$ m. Background and  
131 hexagonal shaped formations correspond to PbI<sub>2</sub>, while needle shaped crystal formations correspond  
132 to oxyiodide and lead iodide hydroxide compounds, in image (a).  
133

134 PbI<sub>2</sub> powders synthesised by the HT method and the PBM method under three different  
135 conditions with respect to the rotation and duration, (MC 1: (200 rpm for 2 hours), MC 2: (400 rpm  
136 for 1 hour) and MC 3: (400 rpm for 4 hours), were characterized by X-ray diffraction (XRD), alongside  
137 the two commercially available PbI<sub>2</sub> powders, from Sigma Aldrich (SA) and from Tokyo Chemical  
138 Industries (TCI), as shown in Figure 2. Differences in the XRD patterns were observed between the  
139 mechanochemical (MC 1 to MC 3), HT and commercial SA and TCI materials. The observed XRD  
140 peaks were qualitatively labelled based on the corresponding Miller index and the associated polytypic  
141 phases. Also, the crystallographic planes and their corresponding Miller indices for each polytypic

142 phase with respect to the diffraction  $2\theta$  angle, are summarized in Table S2. Based on the International  
 143 Centre for Diffraction Database, XRD peaks of crystallographic planes with Miller indices (001), (011)  
 144 and (003), correspond to the 2H polytypic phase of  $\text{PbI}_2$  with code (01-071-6147) which is the most  
 145 stable  $\text{PbI}_2$  phase at RT, while all the other XRD peaks, correspond mostly to a mixture of 4H (04-  
 146 017-4470), 14H (04-007-2277) and 20H (04-007-2278) polytypic phases, with characteristic c-axis  
 147 unit cell dimensions 1.3962 nm, 4.8853 nm and 6.979 nm, respectively. Further quantitative analysis  
 148 of the polytypic phases present was not possible due to complications associated with overlapping of  
 149 the XRD peaks that correspond to the 2H with those of 4H, 14H and 20H, respectively. There may  
 150 also be undetected minor fractions of  $\text{PbI}_2$ , which have crystallised in a rhombohedral structure.



151

152 Figure 2. X-ray diffraction (XRD) patterns of commercial (SA and TCI), hydrothermal (HT), and  
 153 mechanochemical (made under different conditions MC 1, MC 2 and MC 3)  $\text{PbI}_2$  powders.  
 154 Normalization was applied with respect to the intensity of the peak that corresponds to the (001)  
 155 crystallographic plane for 2H  $\text{PbI}_2$ . All peaks are labelled based on the polytypic phases 2H, 4H, 14H  
 156 and 20H which can contribute to scattering at that angle as outlined in Supplementary Information  
 157 Table S2.

158 The MC 1 sample (200 rpm for 2 hours) did not show strong diffraction at the secondary XRD  
159 peak positions, indicating that it has mostly crystallised in a single polytypic phase, closely resembling  
160 that of the SA sample. Mechanochemically prepared  $\text{PbI}_2$  powders MC 2 and MC 3, show enhanced  
161 intensity at the secondary XRD peaks, as a result of the increased rotation speed (400 rpm) and duration  
162 (4 hours) of the PBM process. Formation of more than one polytypic phase in the mechanochemical  
163 powders (MC 2 and MC 3) make them more comparable with the TCI  $\text{PbI}_2$  sample. The presence of  
164 additional polytypic phases in MC 2 and MC 3 is attributed to the increased energy given via the  
165 inelastic collisions occurring at the higher rotation speed between the stainless-steel spheres  
166 surrounded by the reactant powders during the mechanochemical synthesis.

167 **Stoichiometry of the  $\text{PbI}_2$  samples.** Three different methods: (1) X-ray photoelectron spectroscopy  
168 (XPS), (2) Rutherford back-scattering (RBS) and (3) X-ray diffraction (XRD) studies of perovskite  
169 micro-crystals made from the  $\text{PbI}_2$ , have been utilised to determine the stoichiometry of the  $\text{PbI}_2$   
170 samples.

171 XPS characterization of the commercial and synthesised  $\text{PbI}_2$  samples was conducted to  
172 determine the lead to iodide ratio and the amount of oxygen contained in the  $\text{PbI}_2$ . The stoichiometric  
173 variations between the lead and iodide measured and the amount of oxygen are summarised in Table  
174 1. It should be noted that these results are averaged values and do not reveal potential localised  
175 deviations as a result of polytypism, which may be present in inhomogeneous materials. X-ray induced  
176 photolysis of  $\text{PbI}_2$  may also occur during the XPS measurement process, therefore, only the general  
177 trends in the Pb:I ratio are considered here. Further discussion of inhomogeneity in XPS data and X-  
178 ray induced photolysis of  $\text{PbI}_2$  is available in SI Section 2: XPS analysis of  $\text{PbI}_2$ .

179 In the SA material, the lead to iodide ratio was less than the expected 1:2. Whereas for the HT,  
180 MC and TCI samples, the ratio varied significantly, with values for (HT, MC 1, MC 3 and TCI) above  
181 the stoichiometric 1:2 ratio and for the (MC 2) being almost stoichiometric, as shown in Table 1. This  
182 variation in MC samples is attributed to the different conditions used during the PBM process. Rotation

183 speed was found to be the dominant factor in the process followed by duration.<sup>36</sup> Understanding the  
 184 influence of using over-stoichiometric (TCI, MC 1 and MC 3) materials as compared to almost  
 185 stoichiometric MC 2 PbI<sub>2</sub> is of great future research interest. The presence of excess iodide within the  
 186 perovskite grains or at grain boundaries caused by the use of over-stoichiometric PbI<sub>2</sub>,<sup>37</sup> could have  
 187 detrimental effects on PSCs performance. These may vary with environmental conditions (such as  
 188 oxygen/inert gas/moisture),<sup>38-39</sup> or diffusion of excess iodide could lead to secondary chemical  
 189 reactions and degradation of the other incorporated layers in a functioning solar cell device  
 190 (hole/electron transporting layers or metallic contacts).<sup>40-42</sup>

191

192 **Table 1.** The stoichiometric ratios of different lead iodide samples and their oxygen content percentage  
 193 from XPS measurements. The samples include commercial (SA and TCI), hydrothermal (HT) and  
 194 mechanochemically synthesized under different conditions (MC 1 to 3) PbI<sub>2</sub> powders. The data was  
 195 determined by two high resolution XPS scans (a survey scan followed by high resolution scans were  
 196 used for all samples).

197

Lead iodide sample	Synthesis conditions/ sample information	Ratio of Pb:I	Amount of oxygen (%)
MC 3	Mechanochemical (400 rpm 4 hours)	1:2.1 (± 0.1)	2.91 (± 0.01)
MC 2	Mechanochemical (400 rpm 1 hour)	1:2 (± 0.1)	3.97 (± 0.16)
MC 1	Mechanochemical (200 rpm 2 hours)	1:2.1 (± 0.1)	5.21 (± 0.76)
HT	Hydrothermal (in water)	1:2.1 (± 0.1)	7.15 (± 0.21)
TCI	Tokyo Chemical Industries 99.99%	1:2.3 (± 0.1)	4.46 (± 0.14)
SA	Sigma Aldrich 99.999%	1:1.70 (± 0.1)	4.90 (± 0.02)

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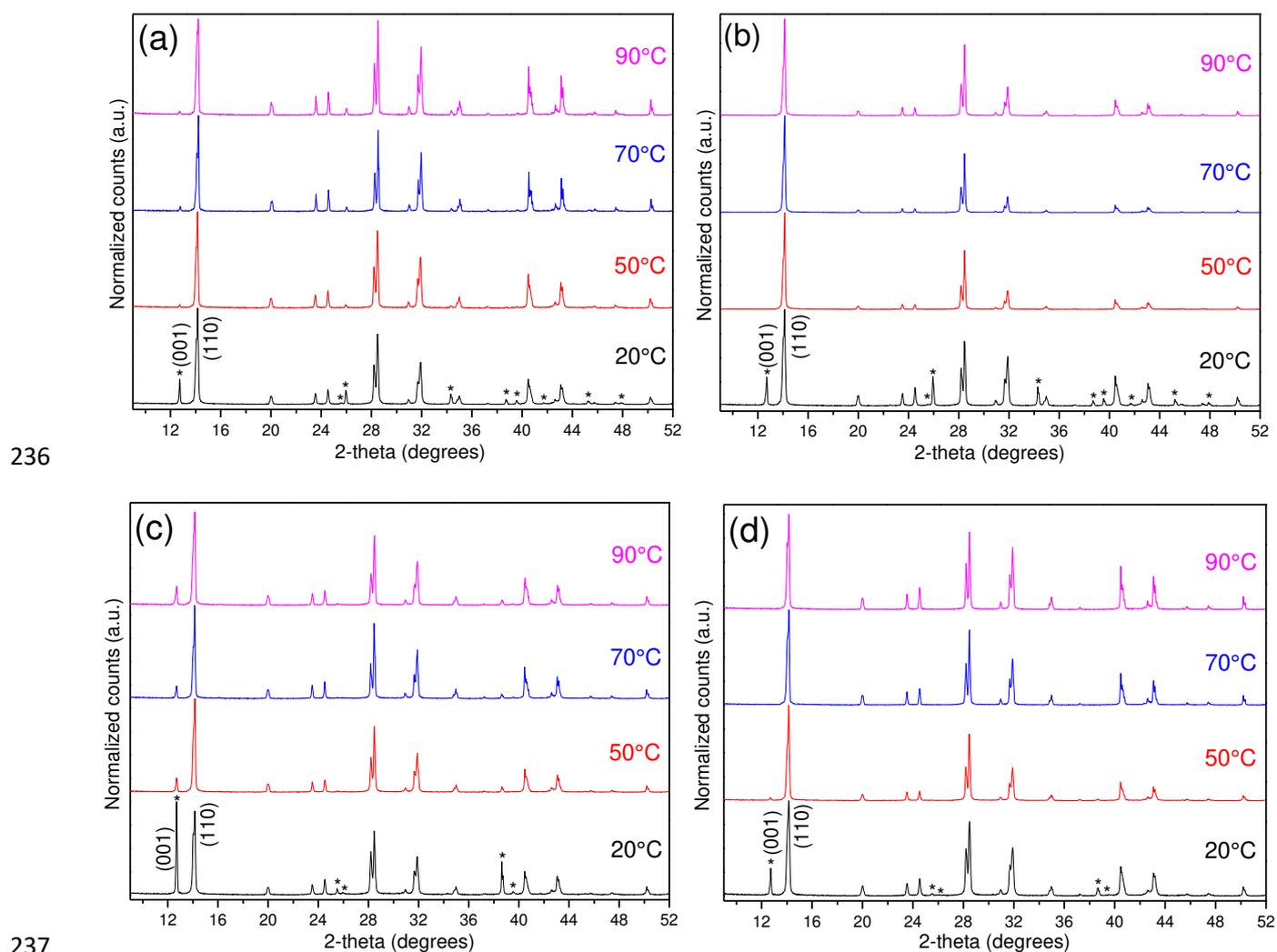
199 Rutherford backscattering spectroscopy (RBS) characterization was also conducted to  
 200 determine the lead to iodide ratio of the commercial and synthesised PbI<sub>2</sub> samples. RBS spectra with  
 201 normalised yield of lead to iodide in each PbI<sub>2</sub> sample, are shown in Figure S3. Moreover, the extracted  
 202 stoichiometric ratio between the lead and iodide measured are summarised in Table S3. Uncertainties  
 203 are given for statistical error, while a 4 % systematic error due to uncertainty in stopping power of the  
 204 beam of ions was considered. In the SA material, the lead to iodide ratio was 1:1.9 (± 0.008), while in

205 the mechanochemical MC 2 was 1:2.002 ( $\pm$  0.008). However, for the TCI, MC 1 and MC 3 samples,  
206 the ratio shows an excess of iodide to be present.

207 The different  $\text{PbI}_2$  materials prepared, shown to have a range of Pb:I ratios and number of  
208 polytypic phases as previously discussed, were used to synthesise  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals. The  
209 system of alcohol/ $\text{PbI}_2$ / $\text{CH}_3\text{NH}_3\text{I}$ , similar to Acik *et al.*,<sup>43</sup> was used to prepare the perovskite micro-  
210 crystals which were subsequently characterised by X-ray diffraction (XRD). Two groups of perovskite  
211 micro-crystals were prepared as a function of the  $\text{PbI}_2$  used and the corresponding amount of  $\text{CH}_3\text{NH}_3\text{I}$ ,  
212 at a range of temperatures (20 °C, 50 °C, 70 °C and 90 °C). In the first group, the almost stoichiometric  
213 MC 2 was reacted either with a) an equimolar amount of  $\text{CH}_3\text{NH}_3\text{I}$ , or b) an excess of  $\text{CH}_3\text{NH}_3\text{I}$  (30%  
214 more  $\text{CH}_3\text{NH}_3\text{I}$  by weight), as shown in Figures 3a and 3b, respectively. While, in the second group,  
215 sub-stoichiometric SA was reacted either with c) an equimolar amount of  $\text{CH}_3\text{NH}_3\text{I}$ , or d) an excess of  
216  $\text{CH}_3\text{NH}_3\text{I}$  (30% more  $\text{CH}_3\text{NH}_3\text{I}$  by weight), as shown in Figures 3c and 3d, respectively. Each reaction  
217 was permitted to proceed for 24 hours at a constant temperature of 20 °C, 50 °C, 70 °C or 90 °C.

218 Considering the experiments where equimolar amounts of reactants were used to prepare the  
219  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals, less unreacted  $\text{PbI}_2$  was detected when MC2 was used, as compared to  
220 SA. The XRD peaks that correspond to the unreacted  $\text{PbI}_2$ , are identified with asterisks in Figure 3 and  
221 are more prevalent in the SA sample (Figure 3 c). The percentage of the unreacted  $\text{PbI}_2$  after each  
222 reaction at each temperature, was found by integrating the area under the primary XRD peaks and  
223 comparing the ratio of (001)  $\text{PbI}_2$  to  $\text{CH}_3\text{NH}_3\text{PbI}_3$  with Miller indices (002)/(110) that corresponds to  
224 the perovskite with tetragonal crystal structure. The results are summarized in Table S4. In contrast,  
225 when an excess of  $\text{CH}_3\text{NH}_3\text{I}$  was introduced (30 % more  $\text{CH}_3\text{NH}_3\text{I}$  by weight) at the most only traces  
226 of or zero unreacted  $\text{PbI}_2$ , was detected in all the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals synthesised, regardless  
227 of the  $\text{PbI}_2$  source (either MC2 or SA), as shown in Figures 3b and 3d, respectively. We believe that  
228 methylammonium cations ( $\text{CH}_3\text{NH}_3^+$ ) and iodide anions ( $\text{I}^-$ ) from the  $\text{CH}_3\text{NH}_3\text{I}$ , primarily react with  
229 the sub-stoichiometric  $\text{PbI}_2$ , fulfilling the formation of the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  and resulting in some

230 unreacted  $\text{PbI}_2$  (yellow in colour), as shown in Figure S4 during filtering of the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-  
 231 crystals. Consequently, using sub-stoichiometric  $\text{PbI}_2$ , partially depleted of iodide, during the  
 232 fabrication of perovskite thin films results in unreacted  $\text{PbI}_2$ . This could provide a possible explanation  
 233 for the improved device performance noted in the literature when hydroiodic acid (HI) is used as an  
 234 additive during the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  formation, a correction of the iodide content when the sub-  
 235 stoichiometric  $\text{PbI}_2$  is used, thus reducing under-coordinated Pb.<sup>44</sup>

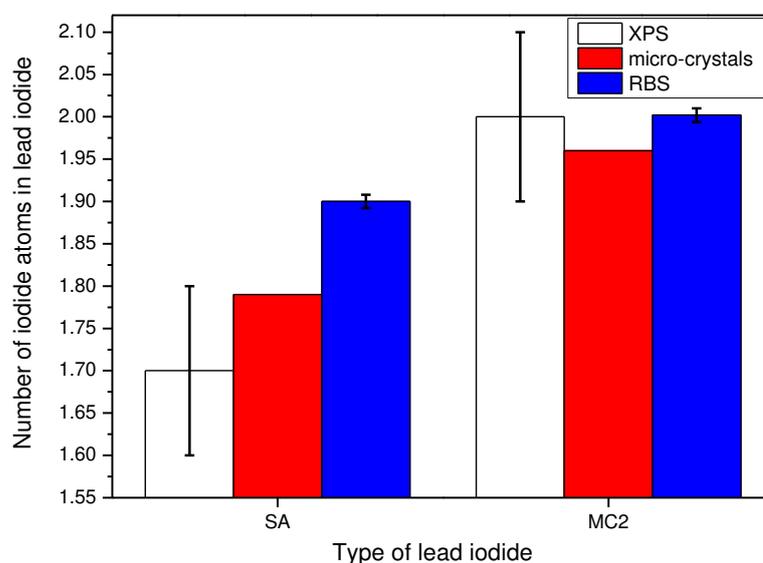


238 **Figure 3.** XRD patterns of  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals synthesised at different temperatures (asterisks  
 239 represent the XRD peaks of the lead iodide). (a) mechanochemical MC 2 and  $\text{CH}_3\text{NH}_3\text{I}$ , with 1 : 1  
 240 molar ratio, (b) mechanochemical MC 2 and  $\text{CH}_3\text{NH}_3\text{I}$ , with 1:1 molar ratio plus 30 % by weight of  
 241  $\text{CH}_3\text{NH}_3\text{I}$ , (c) commercial SA and  $\text{CH}_3\text{NH}_3\text{I}$ , with 1:1 molar ratio, (d) commercial SA and  $\text{CH}_3\text{NH}_3\text{I}$ ,  
 242 with 1:1 molar ratio plus 30 % by weight of  $\text{CH}_3\text{NH}_3\text{I}$ . Normalization of XRD patterns was in respect  
 243 to the peak that corresponds to plane with Miller indices (110) for tetragonal  $\text{CH}_3\text{NH}_3\text{PbI}_3$  in all  
 244 patterns, except for (c) commercial SA and  $\text{CH}_3\text{NH}_3\text{I}$ , with 1:1 molar ratio at 20 °C, which is  
 245 normalised with respect to the peak of the 2H lead iodide with Miller indices (001).  
 246

247 The same system of alcohol/PbI<sub>2</sub>/CH<sub>3</sub>NH<sub>3</sub>I was used to synthesise CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals  
248 with a controlled excess of CH<sub>3</sub>NH<sub>3</sub>I by weight to determine quantitatively the amount of iodide  
249 missing from the PbI<sub>2</sub>. These micro-crystal samples were obtained by reacting either sub-  
250 stoichiometric SA with 10 %, 15 %, 20 % and 25 % excess of CH<sub>3</sub>NH<sub>3</sub>I by weight or the almost  
251 stoichiometrically correct MC 2 with 2 %, 4 % and 6 % excess CH<sub>3</sub>NH<sub>3</sub>I by weight, for 24 hours at  
252 constant temperature of 90 °C. The synthesised CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals were characterised by  
253 XRD, as shown in Figures S5. By gradually increasing the amount of excess CH<sub>3</sub>NH<sub>3</sub>I, the amount of  
254 unreacted PbI<sub>2</sub> was reduced, as indicated in the XRD patterns shown in Figures S5a and c. We found  
255 that when 20 % excess CH<sub>3</sub>NH<sub>3</sub>I was reacted with the sub-stoichiometric SA, the weak XRD peak that  
256 corresponds to unreacted PbI<sub>2</sub>, as shown in Figure S5b, had almost entirely disappeared. This indicates  
257 that the required excess of CH<sub>3</sub>NH<sub>3</sub>I should be slightly greater than 20 % to achieve the complete  
258 elimination of unreacted PbI<sub>2</sub> during the synthesis of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals when the sub-  
259 stoichiometric SA material is used. This value of 20 % excess of CH<sub>3</sub>NH<sub>3</sub>I by weight is consistent  
260 with the value of 10 % excess PbI<sub>2</sub> in the PbI<sub>2</sub>/CH<sub>3</sub>NH<sub>3</sub>I mixture used for the formation of perovskite  
261 as reported by Nazeeruddin *et al.*<sup>45</sup> In both cases the iodine deficiency is corrected, by iodine  
262 originating from either the 20 % excess of CH<sub>3</sub>NH<sub>3</sub>I or the 10 % excess of PbI<sub>2</sub> used, to achieve the  
263 required stoichiometry in the final product of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>. Whereas, when 4 % excess CH<sub>3</sub>NH<sub>3</sub>I was  
264 reacted with the almost stoichiometric MC 2, this resulted in an even weaker PbI<sub>2</sub> XRD peak, as shown  
265 in Figure S5d. The stoichiometric ratios for SA and MC 2 were calculated based on the need for either  
266 the 20 % more CH<sub>3</sub>NH<sub>3</sub>I reacting with sub-stoichiometric SA or the 4 % more CH<sub>3</sub>NH<sub>3</sub>I reacting with  
267 the almost stoichiometric MC 2 to eliminate any signal that corresponds to PbI<sub>2</sub> in the XRD data. We  
268 found after analysis that the initial (Pb:I) ratio for SA is approximately 1:1.80 and for MC 2 is  
269 approximately 1 :1.96, as presented in SI Section 3: Micro-crystal formation to correct the  
270 stoichiometry. Also, based on all the above XRD analysis of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals, a theory

271 about the formation of unreacted  $\text{PbI}_2$  was developed, as presented in SI Section 4: How iodide  
272 deficiency affects perovskite formation, accompanied by several examples, as shown in Table S5.

273 The results from the XPS and RBS characterization techniques used to determine the lead to  
274 iodide ratio in the commercial (SA) and mechanochemical (MC 2)  $\text{PbI}_2$  samples, are considered  
275 alongside the ratios derived from the synthesis of  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals with controlled excess  
276  $\text{CH}_3\text{NH}_3\text{I}$  and their subsequent XRD characterization (further analysis is provided in SI Section 3:  
277 Micro-crystal formation to correct the stoichiometry). The results from all three techniques show  
278 consistent trends; less iodide was detected in the SA sample as compared to MC 2 sample, as shown  
279 in Figure 4. The large experimental error in the XPS data could be related to X-ray induced photolysis  
280 of  $\text{PbI}_2$ , (further discussion is available in SI Section 2: XPS analysis of  $\text{PbI}_2$ ) and the penetration depth  
281 of photons that correspond to X-rays (typically 2-5 nm). Whereas, in RBS with a typical  $^4\text{He}^+$  ion  
282 penetration depth of  $\sim 100$  nm, the acquired signal might be affected by the number of polytypic phases  
283 present and their structural density (2H pstr.=  $6.214 \text{ g}\cdot\text{cm}^{-3}$ , 4H pstr.=  $5.452 \text{ g}\cdot\text{cm}^{-3}$ , 14H pstr.=  $6.098$   
284  $\text{g}\cdot\text{cm}^{-3}$  and 20H pstr.=  $6.1 \text{ g}\cdot\text{cm}^{-3}$ ), as provided by the International Centre for Diffraction Database.



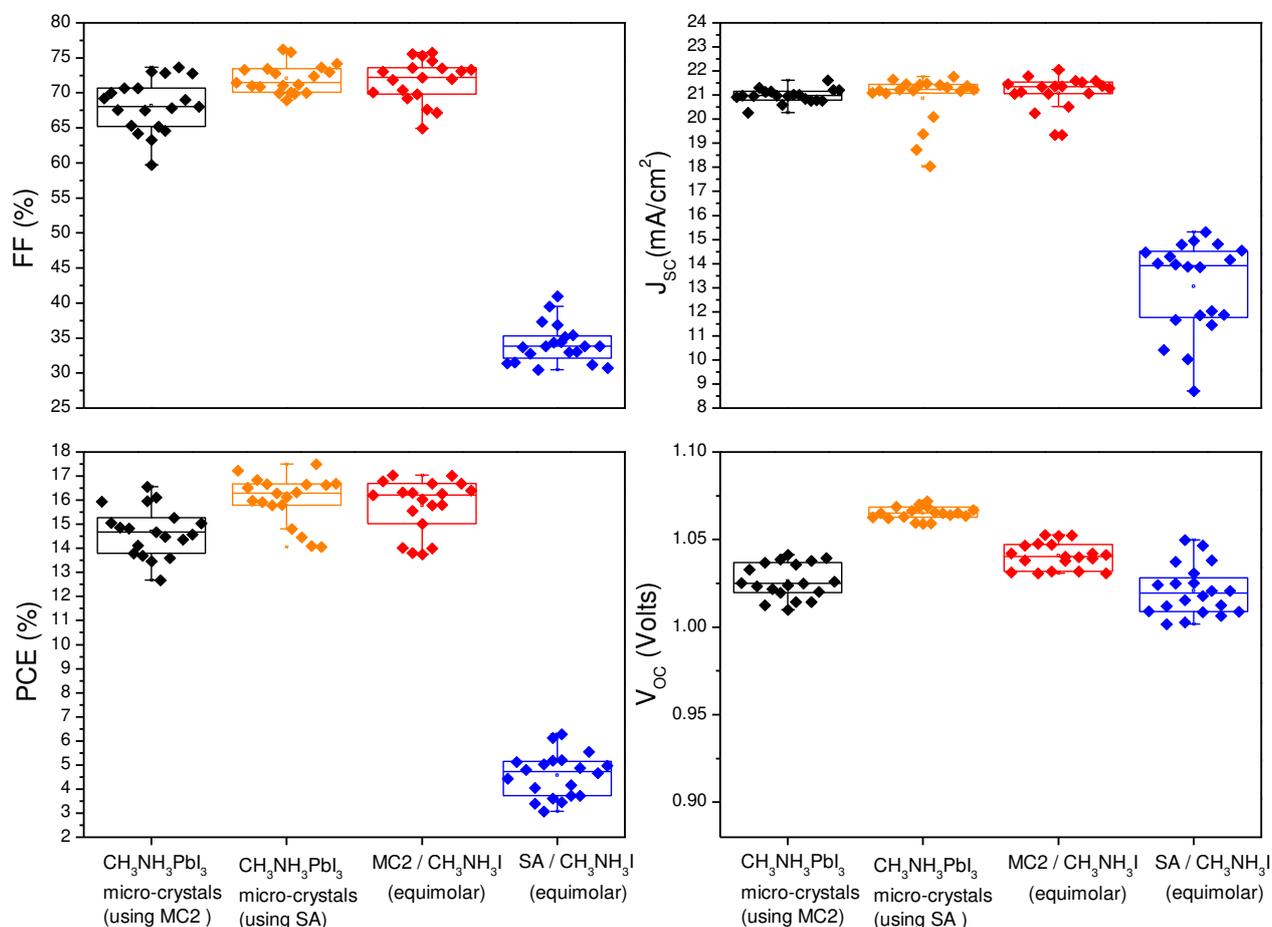
285

286 **Figure 4.** Number of iodide atoms in lead iodide (commercial (SA) and mechanochemical (MC 2)) as  
287 function of the characterization technique (XPS correspond to white columns,  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-  
288 crystals correspond to red columns and RBS correspond to blue columns).  
289

290 **Impact of stoichiometry of PbI<sub>2</sub> on device performance.** Fabrication of PSC devices with n-i-p  
291 (regular) architecture of glass/ITO/SnO<sub>2</sub>/CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/spiro-OMeTAD/Au was performed, where  
292 nanoparticle tin oxide (SnO<sub>2</sub>), served as the electron transporting layer (ETL) and spiro-OMeTAD as  
293 the hole transporting layer (HTL), to determine how the variable stoichiometry affects the device  
294 performance. Comparisons were made between devices fabricated using two varieties of PbI<sub>2</sub>. SA,  
295 which is depleted in iodide and mechanochemical MC 2 which is almost stoichiometrically correct.  
296 PSC devices were made by dissolving either the SA or MC 2 powders with an equimolar amount of  
297 CH<sub>3</sub>NH<sub>3</sub>I in dimethyl sulfoxide (DMSO) to produce photoactive thin films with thicknesses ~550 nm  
298 ( $\pm$  50 nm) and ~380 nm ( $\pm$  70 nm) as shown in cross-section SEM images Figures S6a and S6b,  
299 respectively, after correcting for the 45° sample angle. A second set of PSC devices was also made by  
300 re-dissolving CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals in DMSO. The same two PbI<sub>2</sub> materials (either SA or MC  
301 2) were used in reaction with a 30 % excess of CH<sub>3</sub>NH<sub>3</sub>I by weight. Minor morphological differences  
302 of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals synthesised at temperature 90 °C, using the two varieties of the PbI<sub>2</sub>  
303 (either SA or MC 2), are shown in Figures S7a and S7b. The formation of the micro-crystals made  
304 with an excess of CH<sub>3</sub>NH<sub>3</sub>I helped to correct for any deficiency of iodide within the PbI<sub>2</sub>. For all the  
305 PSC devices, with the photoactive layer made by re-dissolving the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals (made  
306 using either SA or MC 2) in DMSO, results thin film thicknesses of ~460 nm ( $\pm$  50 nm) and ~440 nm  
307 ( $\pm$  40 nm), as shown in cross-section SEM images Figures S6c and S6d, respectively, after correcting  
308 for the 45° sample angle. The deposition of the photoactive thin films was performed in ambient air  
309 and the black/brown perovskite phase formation was accomplished using a vacuum assisted method  
310 that promotes the extraction of solvent, in a single step (without an antisolvent rinsing process),<sup>46,47</sup> as  
311 shown in Figure S8a (before annealing) and Figure S8b (after annealing), respectively.

312 The average and champion photovoltaic parameters: fill factor (FF), short-circuit current  
313 density (J<sub>sc</sub>), open-circuit voltage (V<sub>oc</sub>) and power conversion efficiency (PCE)), as measured during

314 a reverse ( $V_{OC} \rightarrow 0$ ) scan with scan step  $10 \text{ mVs}^{-1}$ , are illustrated in Figure 5. Additionally, the  
 315 photovoltaic parameters for all the devices are summarized in Table 3.



316

317 **Figure 5.** Variation of FF,  $J_{SC}$ , PCE and  $V_{OC}$  of the solar cell devices as a function of the synthesis  
 318 route / materials used. Their structure was glass / ITO/SnO<sub>2</sub>/CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> /spiro-OMeTAD/Au. The  
 319 devices using CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystal synthesis involved a stoichiometry correction step for iodide  
 320 by the addition of CH<sub>3</sub>NH<sub>3</sub>I. The devices using equimolar amounts of PbI<sub>2</sub> and CH<sub>3</sub>NH<sub>3</sub>I powders, did  
 321 not include this step.

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329 **Table 3:** The performance parameters of solar cell devices with the structure glass  
 330 ITO/SnO<sub>2</sub>/CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/spiro-OMeTAD/Au, as a function of the starting materials used and the  
 331 synthesised CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals. The photovoltaic parameters of the average values from 20  
 332 devices in bold and champion devices in parenthesis.  
 333

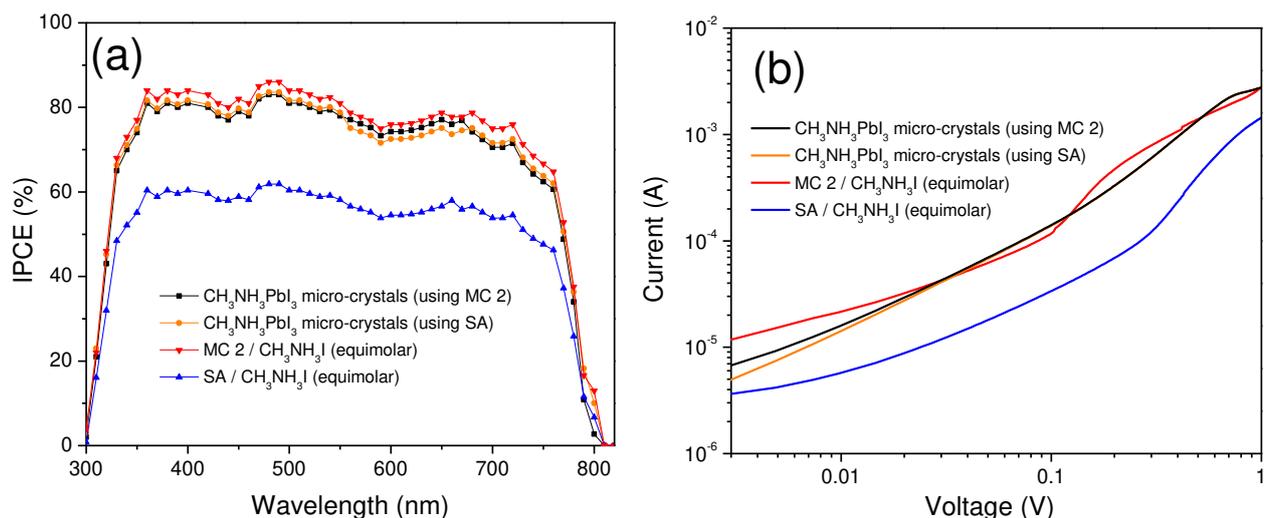
		<b>FF</b> (%)	<b>J<sub>sc</sub></b> (mA/cm <sup>2</sup> )	<b>V<sub>oc</sub></b> (Volts)	<b>PCE</b> (%)
<b>Dissolution of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals in DMSO</b>	CH <sub>3</sub> NH <sub>3</sub> PbI <sub>3</sub> micro-crystals (synthesised using MC 2)	<b>68.19</b> (73.66)	<b>20.98</b> (21.61)	<b>1.03</b> (1.04)	<b>14.69</b> (16.55)
	CH <sub>3</sub> NH <sub>3</sub> PbI <sub>3</sub> micro-crystals (synthesised using SA)	<b>72.08</b> (75.82)	<b>20.87</b> (21.65)	<b>1.06</b> (1.06)	<b>16.02</b> (17.40)
<b>Dissolution of equimolar PbI<sub>2</sub> / CH<sub>3</sub>NH<sub>3</sub>I in DMSO</b>	MC 2/CH <sub>3</sub> NH <sub>3</sub> I (equimolar)	<b>71.75</b> (75.29)	<b>21.09</b> (21.60)	<b>1.04</b> (1.05)	<b>15.77</b> (17.07)
	SA/CH <sub>3</sub> NH <sub>3</sub> I (equimolar)	<b>34.18</b> (41.01)	<b>13.06</b> (14.96)	<b>1.02</b> (1.02)	<b>4.58</b> (6.26)

334

335 Notably, the photovoltaic parameters are significantly enhanced in PSC devices made from  
 336 either CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals, where the iodide content was corrected by the processing regardless  
 337 of the number of polytypic phases in the PbI<sub>2</sub> used (SA or MC2), or when equimolar amounts of almost  
 338 stoichiometric MC 2 with CH<sub>3</sub>NH<sub>3</sub>I were used. In comparison, the device performance parameters  
 339 (FF, J<sub>sc</sub> and PCE) of PSC devices fabricated using equimolar amounts of the SA with CH<sub>3</sub>NH<sub>3</sub>I, were  
 340 notably inferior. This reduction in device performance is attributed to the presence of a significant  
 341 amount of unreacted PbI<sub>2</sub> between, covering or possibly within the grains of the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>.<sup>48-50</sup>  
 342 Also, the characteristic current density–voltage (J-V) curves in forward (0 → V<sub>oc</sub>) and reverse (V<sub>oc</sub>  
 343 → 0) scans of PSC devices, were compared as a function of the photoactive layer fabricated using  
 344 either CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals or equimolar amounts of different PbI<sub>2</sub> with CH<sub>3</sub>NH<sub>3</sub>I, are shown  
 345 in Figure S9. In all the plots, black curves correspond to the average from all J-V curves recorded and  
 346 the error bars represent the deviation across all the devices. Hysteresis was observed between the J-V  
 347 curves for the forward (0 → V<sub>oc</sub>) and reverse (V<sub>oc</sub> → 0) scans for all devices, and of the higher  
 348 performing conditions was worst in the case for the equimolar of reactants used. All the J-V curves

349 (either in reverse or forward scans) of PSC devices fabricated using equimolar amounts of the sub-  
350 stoichiometric  $\text{PbI}_2$  (SA) with  $\text{CH}_3\text{NH}_3\text{I}$  were notably inferior in performance, as shown in Figure S9d.  
351 Less hysteresis was observed between forward and reverse scans of PSC devices fabricated using  
352 equimolar amounts of the sub-stoichiometric  $\text{PbI}_2$  (SA) with  $\text{CH}_3\text{NH}_3\text{I}$ . The hysteresis could be related  
353 to ion migration originating from unreacted  $\text{PbI}_2$  likely present between the grain boundaries, and also  
354 possibly within the grains themselves, affecting the diffusion lengths of charge carriers. It may be  
355 masked in the SA sample because of the considerably lower current observed. We believe the amount  
356 of iodide present in the sub-stoichiometric SA is insufficient to form the exact composition of  
357  $\text{CH}_3\text{NH}_3\text{PbI}_3$ , but this can be corrected by using the above method for the preparation of micro-crystals  
358 to adjust the stoichiometry.

359 In order to shed light on the differences in the device performance, the internal photon-to-  
360 electron-conversion efficiencies (IPCE) of the fabricated PSC devices were measured. The results are  
361 shown in Figure 6a and clearly demonstrate that the photon-to-electron conversion process is more  
362 efficient for the devices based on perovskite absorbers synthesized from the close to perfectly  
363 stoichiometric precursors. Furthermore, electron-only devices with the structure  
364  $\text{FTO}/\text{SnO}_2/\text{CH}_3\text{NH}_3\text{PbI}_3/\text{PCBM}/\text{Ag}$  were fabricated and their J-V characteristics were measured under  
365 dark conditions, as shown in Figure 6b. These results indicate that the electron injection is inferior in  
366 the device when the sub-stoichiometric  $\text{PbI}_2$  with an equimolar amount of  $\text{CH}_3\text{NH}_3\text{I}$  were used. This  
367 can be explained by the presence of a large excess of unreacted  $\text{PbI}_2$  within the perovskite synthesized  
368 from the sub-stoichiometric precursor material.



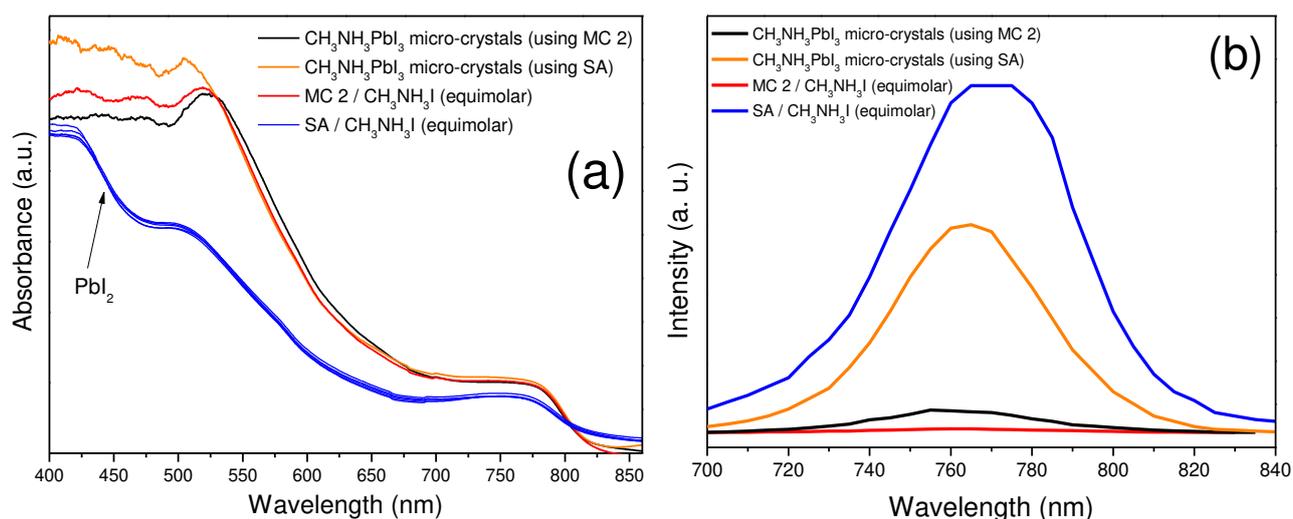
369

370 **Figure 6.** (a) IPCE and (b) electron current of perovskite solar cells and electron-only devices,  
 371 respectively, using, CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals (using MC 2) (black curves), CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-  
 372 crystals (using SA) (orange curves), equimolar amounts of MC 2/CH<sub>3</sub>NH<sub>3</sub>I (red curves), equimolar  
 373 amounts of SA/CH<sub>3</sub>NH<sub>3</sub>I (blue curves).

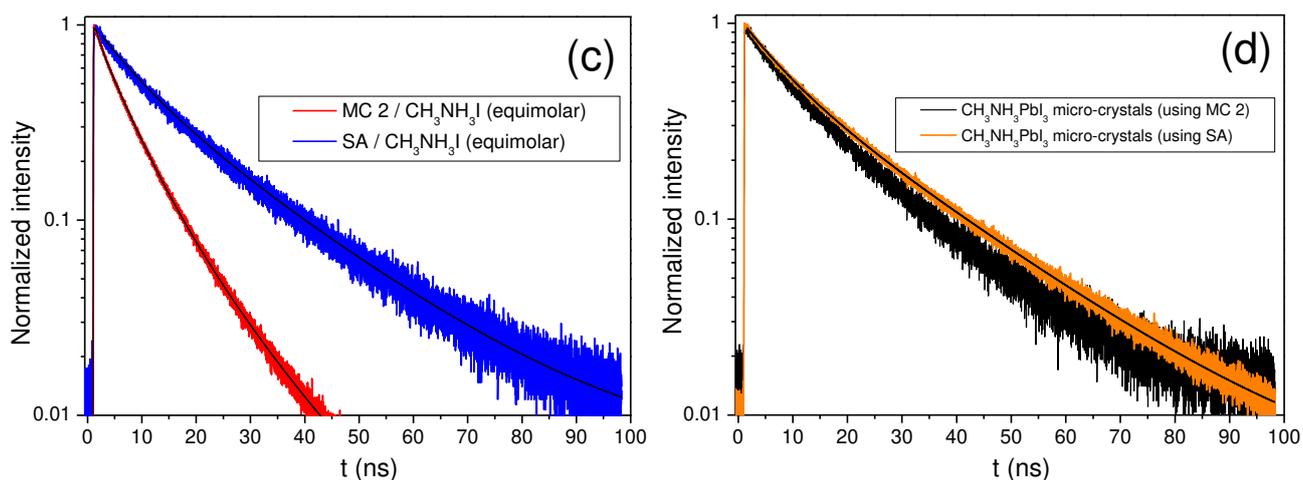
374

375 The presence of unreacted PbI<sub>2</sub> in the perovskite films obtained when using equimolar amounts  
 376 of SA/CH<sub>3</sub>NH<sub>3</sub>I was also confirmed by the short wavelength shoulder present in the visible infrared  
 377 (Vis-IR) absorption spectra shown in Figure 7a. The experimentally calculated optical band gap values  
 378 ( $E_{\text{obg}}$ ) for the PbI<sub>2</sub> ( $E_{\text{obg}} = 2.53 \text{ eV} (\pm 0.01 \text{ eV})$ ) and CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> ( $E_{\text{obg}} = 1.48 \text{ eV}$ ), as determined by  
 379 Tauc plots, support the hypothesis that unreacted PbI<sub>2</sub> might also form within the perovskite grains  
 380 because they show a deviation from the optical band gap values that correspond to the neat PbI<sub>2</sub> ( $E_{\text{obg}} =$   
 381  $2.36 \text{ eV}$ ) and the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> ( $E_{\text{obg}} = 1.51 \text{ eV}$ ),<sup>51</sup> as presented in Figures S10a and S10b, respectively.  
 382 Also, the presence of unreacted PbI<sub>2</sub> in the perovskite films obtained when equimolar amounts of SA  
 383 and CH<sub>3</sub>NH<sub>3</sub>I were used, results in a binary mixture of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> and PbI<sub>2</sub> (with CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> as  
 384 the major phase and PbI<sub>2</sub> as a minor phase) with  $E_{\text{obg}} = 1.48 \text{ eV}$ , and a similar binary mixture (with  
 385 PbI<sub>2</sub> as the major phase and CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> as the minor phase) with  $E_{\text{obg}} = 2.53 \text{ eV} (\pm 0.01 \text{ eV})$ .  
 386 Consequently, when equimolar amounts of SA and CH<sub>3</sub>NH<sub>3</sub>I are used, formation of those binary  
 387 mixtures which are neither pure CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> nor pure PbI<sub>2</sub>, may lead to materials with absorption  
 388 coefficients different than their absolute values.<sup>52</sup> Wang *et al.*, and Cui *et al.* reported that different

389 precursor ratios of  $\text{PbI}_2:\text{CH}_3\text{NH}_3\text{I}$  affects the optoelectronic properties of the resulting  $\text{CH}_3\text{NH}_3\text{PbI}_3$   
390 formed, such as the Fermi level, charge carrier mobilities, carrier concentrations and recombination  
391 rates.<sup>53,54</sup> Further details of how this can result in unreacted  $\text{PbI}_2$  in the resulting perovskite film are  
392 given in SI Section 4. Steady-state photoluminescence (PL) spectra of the different perovskite samples  
393 deposited on  $\text{SnO}_2/\text{ITO}$  substrates were also measured (Figure 7b). The PL intensities varied  
394 significantly and were much lower for the perovskite films fabricated from the stoichiometric  
395 precursors or for those where the stoichiometry has been adjusted through processing (micro-crystals).  
396 While it is difficult to disentangle the effects of interface recombination and carrier extraction, this  
397 difference could be due to either fewer defects in the samples fabricated using micro-crystals or  
398 efficient blocking in samples based on the presence of unreacted  $\text{PbI}_2$ . However, in light of the PSC  
399 device data, we attribute this difference to suppressed electron injection into the ETL in the SA/  
400  $\text{CH}_3\text{NH}_3\text{I}$  sample leading to increased bulk radiative recombination. Upon perovskite photoexcitation  
401 in the  $\text{SnO}_2$ /perovskite samples, electrons are transferred from its conduction band (lying at about -3.9  
402 eV) to the lower conduction band of  $\text{SnO}_2$  (lying about -4.1 eV) hence suppressing the PL signal. In  
403 the  $\text{PbI}_2$  rich samples this electron transfer pathway is blocked due to the insulating properties of  $\text{PbI}_2$ .



404



405

406 **Figure 7.** (a) Visible-infrared (Vis-IR) absorbance, (b) steady-state photoluminescence (PL) spectra,  
 407 (c) and (d) TRPL decay curves of perovskite thin films synthesised using,  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals  
 408 (using MC 2) (black curves),  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals (using SA) (orange curves), equimolar  
 409 amounts of MC 2/ $\text{CH}_3\text{NH}_3\text{I}$  (red curves), equimolar amounts of SA/ $\text{CH}_3\text{NH}_3\text{I}$  (blue curves) (from five  
 410 different measured points only in figure (a)). Absorbance due to the presence of unreacted lead iodide  
 411 is indicated with black arrow in figure (a).

412 This was further corroborated by the transient PL (TRPL) measurements taken on the same  
 413 samples (detection at 770 nm) (Figure 7c and d and Table S6) which indicated faster electron transfer  
 414 from the excited perovskite towards the  $\text{SnO}_2$  in the equimolar MC 2/ $\text{CH}_3\text{NH}_3\text{I}$  sample compared to  
 415 the SA/ $\text{CH}_3\text{NH}_3\text{I}$  counterpart. We note that the small difference in the decay kinetics between  
 416 equimolar and micro-crystal samples may be due to the differences in morphology.

417 The above results indicate that the perovskite samples derived from sub-stoichiometric  
 418 precursors are enriched in insulating  $\text{PbI}_2$ , which is may formed between the grains or combined within  
 419 consecutive layers of  $(\text{CH}_3\text{NH}_3\text{PbI}_3/\text{PbI}_2)$  likely formed within the grains of the  $\text{CH}_3\text{NH}_3\text{PbI}_3$ .<sup>55</sup> The  
 420 formation of  $\text{CH}_3\text{NH}_3\text{PbI}_3$  ferroelastic domains, regardless of the stoichiometry in  $\text{PbI}_2$ , has also been  
 421 noted in literature<sup>55-57</sup> and is confirmed here by AFM (Figure S11). This phenomenon may also explain  
 422 the spatial variability of the  $J_{\text{SC}}$  within individual  $\text{CH}_3\text{NH}_3\text{PbI}_3$  grains, as reported by Kutes *et al.*<sup>58</sup>  
 423 The measured roughness of all fabricated  $\text{CH}_3\text{NH}_3\text{PbI}_3$  thin films using the vacuum assisted method  
 424 was less than 16 nm, as measured by AFM. Also, an increased concentration of larger pinholes formed  
 425 in the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  thin films fabricated from the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals regardless of the  $\text{PbI}_2$

426 used (either sub-stoichiometric SA or almost stoichiometric MC2) as observed in LV-SEM images  
427 Figures S12a and S12b. This might suggest that with further process development, such as by further  
428 modifying the 1.13 M concentration of the perovskite ink using the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals, even  
429 better photovoltaic performance is achievable by this route. For all the LV-SEM images in Figure S12,  
430 grain size distribution calculations were conducted and are summarized in Figure S13. Specifically,  
431 the majority of grains on  $\text{CH}_3\text{NH}_3\text{PbI}_3$  thin films when micro-crystals were employed and fabricated  
432 using the almost stoichiometric MC 2  $\text{PbI}_2$  had an average size of  $110 \pm 10$  nm. Whereas the majority  
433 of grains had an average size of  $90 \pm 10$  nm when micro-crystals were prepared using the sub-  
434 stoichiometric SA  $\text{PbI}_2$ . Also, equimolar amounts of the almost stoichiometric MC 2  $\text{PbI}_2/\text{CH}_3\text{NH}_3\text{I}$   
435 resulted in thin films with the maximum average grain size of  $175 \pm 25$  nm. While, for thin films of  
436 perovskite made using equimolar amounts of sub-stoichiometric SA  $\text{PbI}_2/\text{CH}_3\text{NH}_3\text{I}$  the average grain  
437 size was  $130 \pm 10$  nm. The smaller grain sizes observed when using the micro-crystal processing route  
438 may be due to slightly different growth mechanisms occurring in the perovskite thin films made from  
439  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals and equimolar amounts of reactants, which requires further investigation.

440

### 441 **3. Conclusion**

442 In conclusion, planetary ball milling is a low-cost, energy efficient pathway to produce  $\text{PbI}_2$  with  
443 reduced oxygen content, whereby it is possible to control both the stoichiometry and polytype of the  
444 resulting  $\text{PbI}_2$  by varying the mechanochemical parameters of synthesis (revolutions per minute and  
445 duration). The absence of water during the  $\text{PbI}_2$  production via planetary ball milling allows for a  
446 significant reduction in the oxygen content present as determined by XPS analysis. Although the  
447 polytypism of  $\text{PbI}_2$  precursor had no profound effect on the solar cell performance, its stoichiometry  
448 was proven to exhibit serious implications for the device performances. PSC devices with a  
449 photoactive layer manufactured using sub-stoichiometric  $\text{PbI}_2$  showed reduced performance due to the  
450 large excess of  $\text{PbI}_2$  in the perovskite film, unless an excess of  $\text{CH}_3\text{NH}_3\text{I}$  was used via the fabrication

451 of  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals. If the  $\text{PbI}_2$  was stoichiometrically correct, an excess of  $\text{CH}_3\text{NH}_3\text{I}$  was  
452 not necessary. Using stoichiometrically corrected  $\text{PbI}_2$ , in combination with the single step vacuum  
453 assisted method which is free of any antisolvent rinse step and does not use other toxic solvents,  
454 promotes the formation of  $\text{CH}_3\text{NH}_3\text{PbI}_3$  with close to no detectable unreacted  $\text{PbI}_2$ . This processing  
455 route can help enable a low-cost and air-processable fabrication route for PSC devices with repeatably  
456 high performance. This work highlights the importance of proper material control and synthesis  
457 procedures to achieve enhanced quality of PSC devices.

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462

#### 4. Experimental Details

463 **Methylammonium iodide synthesis.** Methylammonium iodide ( $\text{CH}_3\text{NH}_3\text{I}$ ), was synthesised by  
464 adding dropwise 38 mL of hydroiodic acid (57 % w / w in water, Alfa Aesar) in 30 mL of methylamine  
465 (40 % w / w in water, Sigma Aldrich), in a 250 mL round bottom flask at 0 °C (ice bath) and constantly  
466 stirring (400 rpm) for 2 hours. The round bottom flask was transferred into an oil bath at a temperature  
467 of 90 °C, to evaporate off the water for 6-7 hours. The resulting yellow/white precipitate was further  
468 purified by recrystallising two times from pure anhydrous ethanol. The white / transparent crystallised  
469 flakes were collected by vacuum filtration (Whatman filter paper grade 5 with pore size 2.5  $\mu\text{m}$ ) and  
470 dried in a vacuum oven at 50 °C for 24 hours, before storage in dark conditions. The same  
471 methylammonium iodide, was used for both the synthesis of the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals and  
472 perovskite solutions.

473 **Hydrothermal  $\text{PbI}_2$  synthesis.** Hydrothermal  $\text{PbI}_2$ , was synthesised by dissolving 1 g of lead (II)  
474 nitrate ( $\text{Pb}(\text{NO}_3)_2$ , Sigma Aldrich) with purity  $\geq 99$  % and 1.01 g of potassium iodide (KI, Honeywell  
475 Fluka) with purity  $\geq 99$  % (both precursors as received) in a 1 L volume round bottom flask filled with

476 1 L of boiled (100 °C) ultra-pure deionised water (Sigma Aldrich) with resistivity 18.2 MΩ. The round  
477 bottom flask was covered with towel made of fibre glass and left to cool down slowly to room  
478 temperature for 24 hours. An excess of potassium iodide was used, to complete the reaction of the  
479  $\text{Pb}(\text{NO}_3)_2$ , based on the chemical reaction:  $\text{Pb}(\text{NO}_3)_2 + 2\text{KI} \rightarrow \text{PbI}_2 + 2\text{KNO}_3$ . The golden coloured  
480 crystalline flakes of  $\text{PbI}_2$ , were collected after vacuum filtration (Whatman filter paper grade 5 with  
481 pore size 2.5 μm) and dried in a vacuum oven at 50 °C for 24 hours, before further characterisation.

482 **Mechanochemical  $\text{PbI}_2$  synthesis.** Mechanochemical  $\text{PbI}_2$  powder, was synthesised using a planetary  
483 ball milling machine (PM 100 Retsch) at variable revolutions per minute and time duration. 30 g of  
484  $\text{Pb}(\text{NO}_3)_2$  with purity  $\geq 99\%$ , 30.07 g of KI with purity  $\geq 99\%$  and 160 stainless - steel spheres of  
485 diameter 6 mm, were mixed in a ball milling jar of capacity 500 mL. Oxygen reduction inside the ball  
486 milling jar was conducted by displacing the air, with argon gas of purity 99 %. This oxygen reduction  
487 was only applied for the mechanochemical sample MC 3 (400 rpm 4 hours), leading to oxygen  
488 reduction by  $\sim 36 (\pm 10)\%$ , in comparison with the mechanochemical samples MC 1 / MC 2  
489 synthesised without the introduction of argon gas. We expect, further oxygen reduction in the  
490 mechanochemical  $\text{PbI}_2$  powders, might be achievable by careful drying the reactants used and by  
491 displacing the air with ultra-pure argon gas ( $> 99.99\%$ ) inside the ball milling jar. The yellow coloured  
492  $\text{PbI}_2$  powder was separated from the stainless-steel spheres and washed 5 times with 70 - 80 mL (each  
493 time) of deionised water during vacuum filtration (Whatman filter paper grade 5 with pore size 2.5  
494 μm), to remove any potassium nitrate by-product. Finally, the  $\text{PbI}_2$  was dried in a vacuum oven at 50  
495 °C for 24 hours, before storage in dark conditions and further characterisation. The yield of the  
496 produced  $\text{PbI}_2$  (MC 2) was defined by the relative number of product / reactant moles, between the  
497  $\text{PbI}_2$  and the  $\text{Pb}(\text{NO}_3)_2$  (considering as ideal molecular weight for the  $\text{PbI}_2$ , to be 461.01 g / mol),  
498 resulting  $((40.32 \text{ g} / 461.01 \text{ g} * \text{mol}^{-1}) / (30 \text{ g} / (331.21 \text{ g} * \text{mol}^{-1}))) * 100\% \approx 96.5\%$ .

499  **$\text{CH}_3\text{NH}_3\text{PbI}_3$  micro - crystals synthesis.**  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals, were synthesised by reacting  
500 0.7 g of the almost stoichiometric MC 2 or sub-stoichiometric SA  $\text{PbI}_2$  (used as received and stored in

501 dark conditions) with an equimolar amount of  $\text{CH}_3\text{NH}_3\text{I}$  (0.2414 g) or an equimolar amount plus 30 %  
502 excess by weight of  $\text{CH}_3\text{NH}_3\text{I}$  (0.2414 g + 0.0724 g = 0.3138 g), in 15 mL of 1-pentanol at variable  
503 temperatures (20 °C, 50 °C, 70 °C and 90 °C) for 24 hours (in oil bath). In this process, 1-pentanol  
504 which is a polar protic non-toxic alcohol, was used to selectively dissolve only the methylammonium  
505 iodide, but not the  $\text{PbI}_2$  powder and the final product of the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals. Black powder  
506 of  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals, was collected by vacuum filtration (Whatman filter paper grade 5 with  
507 pore size 2.5  $\mu\text{m}$ ) and washed with 5 mL of chlorobenzene (Alfa Aesar) during the filtration step,  
508 followed by drying in a vacuum oven for 30 min at room temperature, before further characterisation.  
509 After completion of the chemical reaction the 1 - pentanol /  $\text{PbI}_2$  /  $\text{CH}_3\text{NH}_3\text{I}$  solution must be kept  
510 warm and filtrated as fast as possible (< 2 sec). Recrystallization of the excess  $\text{CH}_3\text{NH}_3\text{I}$  dissolved in  
511 the 1 – pentanol during the vacuum filtration process (to separate out the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals)  
512 was prevented by the use of a large volume of 1-pentanol, which reduces the probability of the  
513 dissolved ions ( $\text{CH}_3\text{NH}_3^+$  and  $\text{I}^-$ ) interacting. Unreacted  $\text{CH}_3\text{NH}_3^+$  and  $\text{I}^-$  ions remain dissolved in the  
514 warm 1-pentanol and are therefore removed from the micro-crystals upon filtration.

515 **Dry dimethyl sulfoxide preparation.** Dry dimethyl sulfoxide (DMSO, Sigma Aldrich), was prepared  
516 by intermixing 150 mL of as received dimethyl sulfoxide (DMSO) with purity  $\geq 99.5\%$  and 20 g of  
517 magnesium sulfate ( $\text{MgSO}_4$ , Fisher Chemical) in a 250 mL round bottom flask. The round bottom  
518 flask was capped and left in a fume hood at room temperature for at least two weeks before extracting  
519 crystal clear dry DMSO.

520 **Perovskite solution preparations.** Perovskite solutions were prepared, either by dissolving 0.4 g of  
521 the almost stoichiometric MC 2 or sub-stoichiometric SA  $\text{PbI}_2$ , directly with an equimolar amount of  
522  $\text{CH}_3\text{NH}_3\text{I}$  (0.1379 g) in 0.620 mL of dry DMSO, with a final concentration of 2.8 M or by dissolving  
523 0.4 g of the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals synthesised using the almost stoichiometric MC 2 or sub-  
524 stoichiometric SA  $\text{PbI}_2$  in 0.571 mL of dry DMSO with a final concentration of 1.13 M. Additionally,  
525 all perovskite solutions were kept on a hot plate at a temperature of 100 °C for 10 min, to ensure

526 complete dissolution of the reactants in DMSO, before being filtered through a PTFE filter (pore size  
527 0.45  $\mu\text{m}$ ) and kept under constant stirring ( $\sim 300$  rpm) and temperature (65-70  $^{\circ}\text{C}$ ) in a custom-made  
528 aluminium block, see Figure S14, before deposition. The block helped to reduce condensation of the  
529 DMSO on the inside of the vial walls, thereby preventing premature perovskite crystallisation. In all  
530 our experiments with  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals, the solution concentrations were calculated based  
531 on the assumption of perfect  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals with molecular weight  $619.98 \text{ g mol}^{-1}$ , as  
532 defined by the atomic mass units of each element in the  $\text{CH}_3\text{NH}_3\text{PbI}_3$  and prepared 24 hours, after their  
533 synthesis. Also, in all our experiments with either commercial SA or mechanochemical MC 2, solution  
534 concentrations were calculated based on the false assumption of stoichiometrically perfect  $\text{PbI}_2$  ( $\text{Pb} :$   
535  $\text{I} = 1 : 2$ , atoms ratio), with molecular weight  $461.01 \text{ g * mol}^{-1}$ .

536 **Device fabrication.** Glass substrates pre-patterned with six ITO (indium tin oxide) pixels ( $20 \Omega /$   
537 square, Ossila) were first sonicated for 10 min in a solution made of 1 mL detergent (Hellmanex (III))  
538 and 250 mL boiled deionised water ( $100^{\circ}\text{C}$ ). Any residual detergent was subsequently washed off by  
539 rinsing the substrates three times with 100 mL (each time) of deionised water. The Glass / ITO  
540 substrates, were further sonicated two more times for 10 min (each time) in 250 mL acetone and then  
541 250 mL isopropanol. The cleaned substrates were then treated with oxygen plasma for 10 min to  
542 remove organic contaminants. Clean glass / ITO substrates were transferred into a cleanroom (class  
543 1000) with constant temperature  $20^{\circ}\text{C}$  and humidity 30 %, for deposition of the electron transporting  
544 layer and perovskite thin film. A colloidal dispersion of tin (IV) oxide ( $\text{SnO}_2$ ) in deionised water (15  
545 %, Alfa Aesar), was spin coated at 3000 rpm for 30 s, followed by removal of the  $\text{SnO}_2$  from part of  
546 the substrate using a cotton bud, re - exposing the  $\sim 4 - 5$  mm ITO electrode. The coated substrates  
547 were then annealed at  $150^{\circ}\text{C}$  for 30 min and cooled down to  $20^{\circ}\text{C}$ , without any further treatment.<sup>59</sup>  
548  $50 \mu\text{L}$  of the perovskite solutions made of either  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals in DMSO with  
549 concentration 1.13M or solutions of (almost stoichiometric MC 2 or sub-stoichiometric SA)  $\text{PbI}_2$   
550 reacted with equimolar amount of  $\text{CH}_3\text{NH}_3\text{I}$  in DMSO with concentration 2.8M, were statically

551 dispersed onto the glass / ITO / SnO<sub>2</sub> samples followed by spin coating at 2500 rpm or 3100 rpm for  
552 10 s, respectively. Each substrate was placed in a custom-made vacuum chamber for 120 s and pressure  
553 ~ 0.02 mbar, as shown in Figure S15. Then each substrate was annealed on a hot plate at 100 °C for  
554 30 s. All glass/ITO/SnO<sub>2</sub>/CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> samples were then transferred inside a nitrogen filled glove box  
555 for the synthesis and deposition of doped hole transporting layer of 2,2',7,7'-Tetrakis [*N,N*-di (4-  
556 methoxyphenyl) amino]-9,9'-spirobifluorene (spiro-OMeTAD ≥ 99.5 %, Ossila). The 86.6 mg of  
557 spiro-OMeTAD powder was dissolved in 1 mL of chlorobenzene. The solution was then doped with  
558 lithium bis(trifluoromethanesulfonyl)imide (Li-TFSI ≥ 99 %, Sigma Aldrich), 4-*tert*-butylpyridine  
559 (TBP 96.6 %, Sigma Aldrich) and FK209 (FK 209 Co(II) PF<sub>6</sub>, Greatcell). The quantity of dopants  
560 used in 1 mL of the spiro-OMeTAD / chlorobenzene solution was as follows, 20 μL of Li-TFSI (500  
561 mg \* mL<sup>-1</sup> in acetonitrile), 34 μL of TBP and 11 μL of FK209 (300 mg \* mL<sup>-1</sup> in acetonitrile). The  
562 solution was filtered with a PTFE filter (pore size 0.2 μm) and then 50 μL was spin coated on each  
563 sample dynamically at 4000 rpm for 30 s. Devices were left overnight in dry air to allow for the spiro-  
564 OMeTAD to be oxidised. Finally, 80 nm of gold was deposited in an Edwards thermal evaporator,  
565 with a deposition rate 0.1 Å s<sup>-1</sup> for the first 2 nm and then 1 Å s<sup>-1</sup> for the remaining thickness.<sup>60</sup> All un-  
566 - encapsulated PSCs devices were immediately characterised electrically.

567 **Photocurrent density-voltage (J-V) measurements.** Photocurrent density-voltage (J-V)  
568 characteristics were measured under AM 1.5G light (1000 W m<sup>-2</sup>) produced by a xenon lamp (Newport  
569 solar simulator). The light intensity was calibrated using a silicon reference cell (Newport). Each solar  
570 cell device was mounted and covered with a six-pixelated cell shadow mask, with aperture area of  
571 0.0256 cm<sup>2</sup> per cell. Cells were scanned from -0.2 V to 1.2 V and then back to -0.2 V at a scan step of  
572 0.01 V \* s<sup>-1</sup> using a Keithley 237 source measure unit.

573 **Powder X-ray diffraction measurements.** X-ray diffraction patterns of all (commercial (used as  
574 received and stored in dark conditions), hydrothermal and mechanochemical) lead iodide powders and  
575 CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> micro-crystals, were obtained using a D2 Phaser (Bruker) diffractometer under

576 monochromatic Cu K $\alpha$  radiation ( $\lambda = 1.54184 \text{ \AA}$ ) and step size  $2\theta = 0.020273$  degrees over the  $2\theta$   
577 range from  $9^\circ$  to  $52^\circ$ . X-ray diffraction patterns of  $\text{CH}_3\text{NH}_3\text{PbI}_3$  micro-crystals with different amount  
578 of excess  $\text{CH}_3\text{NH}_3\text{I}$ , were obtained at double acquisition time for higher accuracy. Also, all  
579 measurements of powders were taken using a zero-background holder made of monocrystalline silicon.

580 **SEM images and EDS analysis.** Scanning electron microscopy (SEM) images and energy dispersive  
581 spectroscopy (point or full image) elemental analysis, were obtained using a JSM-6010LA (JEOL) at  
582 accelerating voltages 12 kV and 15 kV, respectively. Low voltage scanning electron microscope  
583 images, were obtained using a FEI Helios NanoLab G3 UC SEM at accelerating voltage 2 kV using a  
584 through-lens detector (TLD) at working distance of 4.2 mm and with beam current of 13 pA.

585 **Visible – infrared (Vis-IR) spectroscopy measurements.** Absorption spectra of all  $\text{CH}_3\text{NH}_3\text{PbI}_3$  thin  
586 films, were obtained using a spectrometer (USB2000 + UV-VIS-ES) equipped with a deuterium  
587 halogen light source (UV-VIS-NIR\_DT-MINI-2-GS), both from Ocean optics.

588 **Time-resolved photoluminescence (TRPL) measurements.** The time-resolved photoluminescence  
589 measurements were performed using the Time-Correlated Single Photon Counting (TCSPC) method  
590 (FluoTime 200, Picoquant) under magic angle conditions. Excitation was performed by a pulsed diode  
591 laser at 470 nm and the instrument's response function (IRF) was  $\sim 80$  ps. Multi-exponential functions  
592 convoluted with the IRF were used for the fitting taking into account that the  $\chi^2$  factor should be smaller  
593 than 1.1.

594 **X-ray photoelectron spectroscopy (XPS) measurements.** Each powder was mounted for analysis by  
595 pushing the powder into indium foil. The indium foil was then mounted directly onto the sample holder  
596 using double sided conducting carbon tape. The analyses, were carried out using a Kratos Supra  
597 instrument with a monochromatic aluminium source and energy 1486.69 eV. Survey scans, were  
598 collected between binding energies from 1200 to 0 eV, at 1 eV intervals with an acquisition time of 10  
599 min. (per point) from two analysis points per sample. High resolution scans, were also collected for  
600 the I 3d, O 1s, C 1s and Pb 4f core levels, at 0.1 eV intervals with an acquisition time of 5 min, for

601 each point. The data collected, were calibrated in intensity using a transmission function characteristic  
602 of the instrument to make the values instrument independent. The data was then quantified using the  
603 CasaXPS software, determining the transmission functions as provided by National Physical  
604 Laboratory (NPL). Binding energy calibrations for all survey or high-resolution scans, were conducted  
605 with respect to the Pb 4f 7 / 2 core level at 138.0 eV. None selected option as an escape depth  
606 correction, was applied at each survey or high-resolution scan, before starting any further  
607 quantification analysis.<sup>61</sup> For the compositional analysis of the core level spectra of I 3d 5 / 2, O 1s, C  
608 1s and Pb 4f 7 / 2, the Scofield relative sensitivity factors of 16, 2.52, 1 and 13.7 were used,  
609 respectively. The binding energy regions used for analysis, were kept constant with respect to each  
610 element at each survey or high-resolution scan. Also, for each binding energy region, a Shirley type  
611 background function was used.

612 **Rutherford back-scattering spectroscopy (RBS) measurements.** Two commercial and four  
613 synthesised PbI<sub>2</sub> samples in the form of pellets with diameter ~ 13 mm and thickness ~1.5 mm, were  
614 prepared using a press under an applied mass of 9 tons. For the RBS measurements, a 1.7 MV  
615 Tandetron RBS linear type tandem ion beam accelerator was used, which is located in the Laboratory  
616 of Ion Beam Physics at the ETH Zurich facilities in Switzerland. PbI<sub>2</sub> pellets were bombarded with  
617 accelerated ions of <sup>4</sup>He<sup>+</sup> at 2 MeV, while a PIN diode detector was placed at an angle of 168 °, in  
618 respect to the beam of incident ions. The resulted data were plotted using the RUMP simulation  
619 software.

620 **Atomic force microscopy (AFM) measurements.** Atomic force microscopy of the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> thin  
621 films, was performed using a Dimension icon with ScanAsyst AFM (Bruker) with a cantilever  
622 consisting of a silicon tip on a silicon nitride lever (Bruker) (f = 70 kHz, k = 0.4 N \* m<sup>-1</sup>).

623

## 624 **Supporting Information**

- 625 • Section 1 Discussion of polytypism in PbI<sub>2</sub>

- 626 • SEM –EDS data
- 627 • Photograph of HT and MC  $\text{PbI}_2$  samples, completed films, sample preparation and the vacuum
- 628 assisted drying set-up
- 629 • XRD peak positions for different  $\text{PbI}_2$  polytypes
- 630 • Section 2 XPS discussion and results
- 631 • RBS data and calculated stoichiometric ratios
- 632 • Percentage unreacted  $\text{PbI}_2$  as determined from XRD
- 633 • Photograph of residual  $\text{PbI}_2$  in perovskite microcrystal powder
- 634 • Microcrystal XRD data
- 635 • Section 3 description of method to determine stoichiometry from crystallization XRD
- 636 • Section 4 Iodine deficiency discussion including example calculations
- 637 • SEM images : Cross section SEM of SA and MC films, SA and MC microcrystals, film
- 638 coverage for SA, MC, SA(microcrystal) and MC(microcrystal) films plus grain size
- 639 distributions
- 640 • Device I(V) plots
- 641 • Tauc plots for SA and MC samples
- 642 • TRPL fitting parameters
- 643 • AFM images of SA and MC samples

644

#### 645 **Conflicts of interest**

646 D. G. L. is a co-director of the company Ossila Ltd. that retail materials and equipment used in  
647 perovskite photovoltaic device research and development.

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824 **Table of Contents Graphic**

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