

# Pre-registration and Registered Reports: a Primer from UKRN



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## What are pre-registration and Registered Reports?

A [pre-registration](#) or study protocol is a **time-stamped record** of decisions around study design, methods and analysis, that is created **before** data are collected or become accessible. The pre-registration document should be **publicly available** on a registry or repository. A [Registered Report](#) is a type of journal article that involves **peer review** of the background, study design, methods, and analysis plan (i.e., the stage 1 manuscript) **before** data are collected. If the proposed study is accepted by the journal (receives in principle acceptance), the study's results will be **published regardless of the outcome**, pending a second stage of peer review to verify that the researchers followed their study plan. Pre-registrations and Registered Reports adhere to the principles of open science by encouraging transparent pre-specification of outcomes, methods and analyses, and (for Registered Reports) by stimulating peer discussion early in the lifecycle of scientific work.

## How can pre-registrations and Registered Reports benefit research?

Pre-registrations and Registered Reports can help **reduce questionable research practices** such as [HARKing](#) (Hypothesising After the Results are Known) and  $p$ -hacking (collecting or selecting data or conducting statistical analyses until nonsignificant results become significant), and can **decrease false discovery rates** ([Munafò et al., 2017](#)). Pre-registrations and Registered Reports may also **prevent biases** such as selective reporting of outcomes or statistical analyses (e.g., based on statistical significance) because the expected outcomes and planned analyses are time-stamped and publicly available.

A primary purpose of pre-registration is to make a **clearer distinction** between **planned**, confirmatory hypothesis tests and **unplanned**, inductive discoveries or hypothesis-generating research. Pre-registration and Registered Reports are not meant to hinder or devalue exploratory research and inductive discovery; exploratory analyses can always be included, as long as they are marked clearly as such. Rather, pre-registration and Registered Reports disincentivize researchers presenting exploratory research as if it were confirmatory; and, thus, error rates are controlled.

Registered Reports can also help alleviate a phenomenon called **publication bias** or the file drawer problem ([Rosenthal, 1979](#)). That is, studies that do not produce a statistically significant result are less likely to be published than those that do produce a statistically significant result. Registered Reports facilitate the publication of statistically non-significant results, as the **decision for publication is made before results are known** (i.e., “in-principle acceptance” or Stage 1). This model eliminates bias towards results that are positive, novel, and eye-catching. At Stage 1 submission, a Registered Report typically requires a statistical power analysis, which encourages researchers to conduct studies that are well-powered to detect effects and produce more precise estimates.

## How can pre-registrations and Registered Reports benefit researchers?

Pre-registration and Registered Reports encourage researchers to **devote greater effort to planning** rigorous study designs that are well reported and reproducible. During analysis and write-up, having a pre-registration as a guide both serves as a **useful memory aid**, and can help keep authors from engaging in questionable research practices such as “data fishing,” *p*-hacking, and HARKing. It also **streamlines** the process of analysis.

The process of pre-registration can help researchers **build a reputation** for openness and transparency. Pre-registration also gives researchers a time-stamped record of their ideas, allowing them to take **credit** for their hypotheses and predictions.

Registered Reports mitigate results-based critiques from peer reviewers, because the focus is on the **research question** and **rigour of the methodology**, rather than the novelty of the results. Registered Reports have the added benefit of **expert input prior to data collection**. This helps mitigate the worst effects on research quality of a competitive “publish first” research system and, instead, helps researchers and other stakeholders move towards a more **collaborative** system of open science.

## How to: Pre-registration

Pre-registration requires submitting a study plan to a public, openly accessible registry (e.g., [Open Science Framework](#)) or, for some disciplines, publishing a full study protocol in an academic journal (e.g., [BMJ Open](#)) prior to data collection. To help create a complete study plan, there are a variety of online templates, tailored to specific disciplines and types of research designs (see **Table 1** for full list). Templates differ greatly in the level of detail required and the specific sub-headings used, but the common goal is to make the study plan transparent *prior to data being collected/accessed*. The OSF, PROSPERO, and AsPredicted templates include examples of information that should typically be included in a pre-registered study plan, e.g., conditions, all dependent measures, sample size, etc. The purpose of this generic study design template is to create a concise study plan that is easy for a reader to use and apply. The [OSF default template](#) is detailed and provides more template instructions than [AsPredicted](#). For those who prefer a less prescriptive template, the [OSF](#) has an “Open-Ended Registration” option. More recently, pre-registration formats to boost the credibility of qualitative research have also been proposed ([Haven and van Grootel, 2019](#); [Jacobs, 2018](#); [Kern and Gleditsch, 2017](#)).

For some pre-registration templates (e.g., AsPredicted) it is best to complete the forms offline and then copy and paste answers into the online form. On OSF and PROSPERO, researchers are free to save their document in draft form while they continue to make edits. When the completed template is submitted, a time-stamped record of the document is made. When researchers pre-register on the OSF, they have the option to either make the pre-registration public immediately or after an embargo period of up to four years. After the study is conducted, written up, and submitted to a journal, the link to the pre-registration should be provided to reviewers in the manuscript so that they can verify that the final study followed the pre-registered study plan. There is also the option to provide [anonymised view-only links](#) to a pre-registration for peer review.

Any deviations from the original pre-registration should be made explicit in the final manuscript, by being presented in a separate section, for example with the heading “Deviations to the planned study design”. Deviations from the planned methods may be necessary when problems with data become evident (e.g., missing data may result in a need for additional analyses to impute missing data) or when more advanced methods emerge.

Such deviations are considered acceptable when they are made fully transparent in the final published manuscript. Useful online resources on pre-registration best practices in psychology and other disciplines can be found [here](#) (see also, [Claesen et al., 2019](#)). Additional pre-registration resources and answers to FAQs are available [here](#).

**Table 1.** Available pre-registration templates, by main purpose and target discipline/area.

Template	Purpose	Discipline/Area
<a href="#">Open Science Framework (OSF) Pre-registration</a>	Multiple templates for pre-registering wide range of studies	Any
<a href="#">AsPredicted</a>	Standardised pre-registration template	Any
<a href="#">PROSPERO</a>	Study protocol registrations for systematic reviews with a health-related outcome	Health and Social Care, Welfare, Public health, Education, Crime, Justice, and International Development
<a href="#">International Standard Randomised Controlled Trials Number (ISRCTN) Registry</a>	Primary clinical trial registry recognised by WHO and ICMJE	Any clinical research study
<a href="#">Bio-protocol</a>	Online peer-reviewed protocol journal that makes detailed protocols available online	Biological Sciences
<a href="#">American Economic Association Registry for Randomized Controlled Trials (AEA RCT)</a>	Registration for randomised controlled trials	Economics, Political Science, and other Social Sciences
<a href="#">Registry for International Development Impact Evaluations (RIDIE)</a>	Prospective registry of impact evaluations for development policies and programmes in low- and middle-income countries	Social Sciences
<a href="#">Evidence in Governance and Politics (EGAP)</a>	Registration for experiments and observational studies	Governance and Politics

## How to: Registered Reports

The most important difference between Registered Reports and the conventional publication model is that peer review (and author revision) comes before data collection (or data access in a secondary data study), whereas in the conventional publication model, peer review comes after study completion. The Center for Open Science maintains an up-to-date list of journals accepting Registered Reports [here](#). Journal requirements can vary: for example, some journals require [ethical approval](#) prior to the Registered Report's submission. Some journals

also offer exploratory reports ([McIntosh, 2017](#)), which are similar to Registered Reports but focus more on exploratory, hypothesis-generating studies (e.g., the [International Review of Social Psychology](#)).

For a Stage 1 Registered Report, authors submit a manuscript containing the introduction (e.g., literature review, hypotheses and research questions) and methods (including analysis plans). The submitted Registered Report is formatted according to the journal's instructions to authors and is subject to editorial and peer review. The editor may decide to accept or reject the submission, or preliminarily accept it with minor or major revisions. As with standard peer review, further rounds of revisions may be necessary. If the Stage 1 Registered Report is accepted, the final submission will be published by the journal regardless of the results, conditional on the authors following the accepted study plan.

After the Stage 1 Registered Report is accepted, the authors can collect data, conduct analyses, and write the results and discussion sections. The Registered Report can be submitted with supplementary files containing all study materials, data sets, and code (see other [UKRN primers](#)). The Stage 2 Registered Report manuscript is subject to peer review to check that the researchers have followed the accepted study plan and that the conclusions are reasonable given the data. Again, any deviation from the pre-registered study plan should be explained and justified in the final manuscript. Substantial deviations should be flagged up to the editor as soon as possible, even before Stage 2 submission. If deviations are substantial and have not been agreed, the editor may reject the manuscript but allow its submission through the conventional, non-Registered Report publication route. A template outlining what to include in a Registered Report and tips for avoiding a desk rejection are available [here](#).

## Other resources

- [OSF RR hub](#): includes a list of the journals that accept Registered Reports, a list of all published Registered Reports and FAQs.
- [Open Science MOOC](#): a comprehensive online introduction to open science, including pre-registration and Registered Reports.
- Berkeley Initiative for Transparency in the Social Sciences: [a list of resources on pre-registration](#).
- Berkeley Initiative for Transparency in the Social Sciences: [a list of resources on Registered Reports](#).
- DeclareDesign: an approach to declaring the elements of a research design useful for pre-registration, especially in the social sciences ([website](#) and [associated paper](#)).
- [Weston et al. \(2019\)](#): an article giving recommendations on how to increase the transparency of analysis of pre-existing datasets, including pre-registration.
- [Transparency and Openness Promotion \(TOP\) guidelines](#): guidelines for academic journals and organisations wishing to increase the transparency of published work, including pre-registration and Registered Reports.
- [Open Science badges](#): information about a symbolic reward system for journals to acknowledge and incentivise open science practices, including pre-registration.
- [McKiernan et al. \(2016\)](#): an article on the benefits of open research practices for researchers, including pre-registration.
- [Wagenmakers and Dutilh \(2016\)](#): an article on “selfish reasons” for pre-registration (i.e., benefits to researchers).
- [Allen and Mehler \(2019\)](#): an article reviewing key benefits and challenges of open science for early career researchers, including pre-registration, Registered Reports, and how they enable greater publication of null findings.
- [Kaplan and Irvin \(2015\)](#): an article showing how pre-registration increased the reporting of null findings in clinical trials.

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