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1 **Impact of albumin corona on mucoadhesion and antimicrobial activity of carvacrol**
2 **loaded chitosan nano-delivery systems under simulated gastro-intestinal conditions**

3

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29 **Abstract**

30 Emerging antibiotic resistance in pathogens has posed considerable challenges to explore and
31 examine the natural antimicrobials (NAMs). Due to the labile nature of NAMs, nano-delivery
32 systems (NDS) are required to protect them from physiological degradation and allow
33 controlled delivery to the targeted site of infection. In this study, corona modified NDS were
34 developed using bovine serum albumin (BSA) on a chitosan core (CS) for sustained delivery
35 of carvacrol (CAR), a natural antimicrobial agent, in the intestine. The optimal nano-
36 formulations of the core (CS-NDS) and corona modified (BSA-CS-NDS) systems were
37 fabricated with an average diameter of 52.4 ± 10.4 nm and 202.6 ± 6 nm, respectively. A shift in
38 zeta-potential (ZP) from positive ($+21\pm 3.6$ mV) to negative values (-18 ± 2.6 mV) confirmed
39 the electrostatic deposition of BSA corona on CS core. Under the influence of various
40 simulated gastrointestinal conditions, BSA corona provided extra stability to NDS (ZP -38.5
41 mV), by ensuring delayed release and limited degradation in the gastric conditions.
42 Mucoadhesive studies with quartz crystal microbalance with dissipation (QCM-D) revealed
43 that BSA corona reduced the mucoadhesion of NDS at gastric pH, which enabled the effective
44 delivery of CAR to the intestinal phase for successful eradication of *Salmonella enterica*.

45 **Keywords:** Chitosan; bovine serum albumin; corona modification; simulated digestion;
46 carvacrol; nano-antimicrobials

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61 **1. Introduction**

62 Enteric infections spread by contaminated food account for significant morbidity and mortality
63 worldwide [1]. Among all foodborne enteric pathogens, *Salmonella enterica* serovar *Typhi* has
64 the capacity to invade and interact with intestinal mucosal surface [2]. With the emergence of
65 antibiotic resistance in *Salmonella* strains, more efforts are required to identify and exploit
66 alternative natural antibacterial therapies against *Salmonella* infections.

67 Essential oils (EOs) are natural compounds, some of which have antimicrobial activities
68 and have been extensively used in pharmaceutical, cosmetics and food industries [3-5]. Among
69 various EOs, carvacrol (CAR) has demonstrated high antibacterial potential [6]. CAR is a
70 terpenoid found in significant concentrations in oregano and thyme essential oils, which is
71 classified as Generally Recognized as Safe (GRAS) by the Food and Drug Administration
72 (FDA, 2016). It has broad-spectrum antimicrobial activity against enteric foodborne pathogens
73 [6, 7]. However, the application of CAR is limited due to several activity-lowering factors
74 including low stability under physiological conditions, high volatility, and low bioavailability
75 in the lower gut [7]. These challenges can be effectively surmounted by encapsulating EOs in
76 the nano delivery systems (NDS) assembled from biodegradable polymers intended for oral
77 intake such as lipids, carbohydrates and proteins [8, 9]. Moreover, nano-encapsulation
78 enhances the stability and controlled release of bioactive agents [8]. Therefore, biodegradable
79 materials have already been reported for the nano-encapsulation of EOs, such as chitosan (CS),
80 dextrin and amylopectin [10-12].

81 Chitosan is a natural polysaccharide derived from chitin after deacetylation. Owing to
82 its low production costs, biodegradability, biocompatibility and FDA approval, applications of
83 CS in food and pharmaceutical industry have increased remarkably [13-15]. Recent studies on
84 encapsulation of CAR and thymol EOs in chitosan [10, 16, 17] concluded that nano-
85 encapsulation improved its *in vitro* antimicrobial activity and controlled release. However,
86 NDS intended for intestinal delivery to treat enteric infections have to pass through oral and
87 gastric digestion before reaching the targeted intestinal site [18]. The highly mucoadhesive
88 property of CS is disadvantageous in this regard, as CS-NDS will get entrapped in the gastric
89 mucosa and release the active agent before it reaches the target site (intestine).

90 The formation of a protein layer on polysaccharides could be one potential solution to
91 stabilize and protect CS-NDS from non-targeted release. Core-shell NDS have been employed
92 for the stabilization and fortification of dairy drinks [19-21]. Complex formation between
93 globular proteins such as BSA and β -lactoglobulin (β -Lg) with polysaccharide induces

94 conformational changes in proteins without affecting their original functional properties [22]
95 and can be used to decrease gastric digestibility of the proteins. Furthermore, increase in steric
96 hindrance and electrostatic interactions induced by protein interaction with polysaccharide may
97 not only impede the aggregation process but also hinder the binding between the pepsin active
98 site and protein [23-25].

99 In our previous study, core-shell NCS with alternative protein-polysaccharide corona
100 were successfully optimized and characterized (Niaz et al., 2019). In the present study, we
101 have focused on the effect of corona modification (BSA corona) on mucoadhesive property,
102 antimicrobial potential, sustained release and digestive fate of carvacrol loaded chitosan NDS.
103 These findings provide an effective strategy for the treatment of enteric infections caused by
104 foodborne pathogens such as *Salmonella enterica*.

105

106 **2. Materials and methods**

107 **2.1. Chemicals**

108 CAR (5-isopropyl-2-methylphenol CAR, $\geq 98\%$), medium molecular weight chitosan (CS)
109 with 85% degree of deacetylation, tripolyphosphate (TPP), bovine serum albumin (BSA) and
110 fluorescein isothiocyanate (FITC) were purchased from Merck, UK. Phosphate buffer saline
111 (PBS) was purchased from Oxoid-Thermo Fisher scientific. Different digestive enzymes *e.g.*
112 Pepsin (P7000-25G, actual activity: 474 U/mg), pancreatin (P7545, trypsin activity U/mg solid:
113 6.96) and bile salts (B8631-100G) were provided by Merck life sciences, Germany. Crystal
114 violet dye and Sudan red G were purchased from Daejung Chemicals.

115 **2.2. Fabrication of corona modified nano delivery systems**

116 Corona modified CS-NDS was prepared by dissolving 0.3 % (w/v) chitosan in 1% (v/v) acetic
117 acid solution. **Afterward, 1 mL of TPP (1% w/v) solution was added dropwise (1 drop/10sec)**
118 **into 10 mL of chitosan solution while stirring (600 rpm).** The solution was allowed to stir for
119 60 min followed by ultra-sonication for 25 min. **For the fabrication of BSA-CS-NDS, BSA**
120 **solution was prepared by dissolving BSA (50 mg/mL) in 5 mL of 10 mM NaCl solution.**
121 Subsequently, pH of the solution was adjusted to 7.0 and it was kept on magnetic stirrer (500
122 rpm) at room temperature (25°C) for 20 minutes. Finally, to obtain BSA corona on CS core
123 prepared CS-TPP-NDS were added dropwise into the BSA solution and allowed the NDS
124 solution to stir (500 rpm) for 45 min at room temperature. For CAR-loaded NDS,
125 predetermined weight of CAR (final concentration 1 mg/mL) was dissolved in an aqueous
126 solution of chitosan for the respective NDS.

127 **2.3. Quantitative analysis of the carvacrol**

128 Samples were analyzed by gas chromatography coupled with mass spectroscopy (GC–MS) as
129 previously described [26]. The oven temperature was programmed as follows: an initial
130 temperature of 90 °C for 3 min, then increased at a rate of 3 °C min⁻¹ to 115 °C, increased again
131 at a rate of 6 °C min⁻¹ to 140 °C and finally increased at 40 °C min⁻¹ to 200 °C.

132 A calibration curve was constructed by dissolving various concentrations of pure CAR in
133 ethanol. Quantification of CAR was performed by comparing the chromatographic values of
134 encapsulated CAR with the standard curve while using GC-MS.

135 **2.4. Encapsulation efficiency and loading capacity of carvacrol**

136 The content of CAR in the corona modified NDS was determined following the method of Hou
137 et al. (2012). CAR-loaded NDS samples were centrifuged at 10,000 g (4 °C). After discarding
138 the supernatant, the encapsulated CAR was extracted from the pellet with ethanol. Unknown
139 concentration of CAR was obtained by referring to the standard curve of CAR prepared by GC-
140 MS in section 2.3.

141 Encapsulation efficiency (EE) was calculated by following the equations below:

142
$$EE (\%) = \frac{\text{Encapsulated carvacrol in NDS (pellet)}}{\text{Total amount of carvacrol added in the NDS}} \times 100 \text{ (I)}$$

143 **2.4.1. Fourier transform-infrared (FTIR) spectroscopic analysis**

144 FTIR analysis of individual components of nano delivery systems, active agent (CAR), void
145 and CAR-loaded NDS were carried out by using an FTIR spectrometer (Thermo Fisher
146 Scientific, Waltham, MA) by KBr pellet method in the wavelength range of 4000–500 cm⁻¹
147 [27].

148 **2.5. Particle size, polydispersity index (PDI) and zeta-potential**

149 The physicochemical properties of corona-modified NDS (void and CAR-loaded) were
150 compared to understand the fate of these NDS before and after passing through the simulated
151 oral, gastric, and small intestine conditions. The mean particle size and polydispersity index
152 (PDI) were measured using dynamic light scattering and zeta potential of the NDS was assessed
153 using capillary electrophoresis, both with Zetasizer ZS (Malvern, UK). Briefly, 50 µL of
154 samples were diluted in 800 µL of deionized H₂O in 1 mL cuvette (or folded DTS1070 capillary

155 electrophoretic cell for zeta-potential) and measured at 25 °C, with a refractive index of 1.523.
156 Each sample was measured in triplicate and the average values were used [28, 29].

157 **2.6. Morphology of core-corona NDS**

158 The morphological changes of the void and CAR-loaded BSA-chitosan-based core-corona
159 modified nano delivery systems (NDS) were investigated using Field Emission Scanning
160 electron microscopy (FE-SEM) (Tescan, USA) as described previously by Niaz *et al.*, 2018
161 [27]. Briefly, about 5 µL of NDS solution was spread on a glass slide (1 × 1 cm) and let it dry
162 at room temperature. Air-dried samples were sputter-coated with gold and SEM was performed
163 under electron acceleration voltage of 5–10 KeV.

164 **2.7. *In-vitro* digestion with INFOGEST protocol**

165 *In-vitro* digestion experiments were performed according to the standardized static *in-vitro*
166 digestion method by Minekus *et al.*, 2014. Simulated gastric and intestinal phase digestion steps
167 were performed on both void and loaded-core CS-NDS as well as on BSA-CS core-corona
168 NDS as described by [30] with minor modifications. Samples taken before and after gastric
169 and intestinal phase were stored at –80 °C until further preparation or analysis. Sampling in
170 oral phase was not performed because beverages and liquids have short exposure time to oral
171 conditions [31]. Simulated salivary fluid (SSF), simulated gastric fluid (SGF) and simulated
172 intestinal fluid (SIF) were prepared accordingly [32]. Enzyme solutions used in each phase
173 were prepared in their corresponding electrolyte stock solutions (SSF, SGF or SIF). Simulated
174 digestion of the void and CAR loaded BSA-CS-NDS were performed with or without skim
175 milk (used as food). Digestion without any nano-formulation was performed as control.
176 Sampling was performed (500 µL) in both gastric and intestinal [phases](#) at each time point e.g.
177 2, 5, 10, 20, 30, 60 minutes.

178 **2.7.1. Simulated oral digestion**

179 To start simulated digestion, electrolyte stock solution of SSF (4 mL) was mixed with 5 mL of
180 skim milk (mixed with 0.1% nano-formulations just before the start of the digestion) in a 50 mL
181 falcon tube. Subsequently, 975 µL of dH₂O and 25 µL of CaCl₂ (0.3 M) were added into the
182 tube and pH was adjusted to 7. Finally, the mixture was incubated in a shaking incubator
183 (S1900R, Robus Technologies, UK) at 37 °C and 100 rpm for 2 minutes.

184 **2.7.2. Simulated gastric digestion**

185 After the oral digestion, electrolyte stock solution of SGF (6.4 mL, pH 3) was added to the 10
186 mL oral bolus. Afterwards, 1.6 mL of pepsin (40,000 U) prepared in SGF was added, followed
187 by the addition of 5 μ L of 0.3 M CaCl₂ in a falcon tube, pH of the SGF was adjusted to 3 with
188 1M HCl solution. Finally, 0.923 mL of deionized water was incorporated. Hence, a final ratio
189 of oral bolus to SGF of 50:50 (v/v) was obtained. Lastly, the mixture was incubated in a shaking
190 water bath at 37 °C at 100 rpm for 1h.

191 **2.7.3. Simulated intestinal digestion**

192 Following the gastric digestion, 11 mL of SIF based electrolyte stock solution was mixed with
193 remaining gastric chyme (17 mL). Then, 2.5 mL of fresh bile solution (prepared in deionized
194 water) and 40 μ L of 0.3 M CaCl₂ were added to the falcon tube. 1 M NaOH (0.7 mL) was used
195 to adjust the pH of intestinal phase at 7. Afterwards, 5 mL of pancreatin solution (4,000 U
196 trypsin activity) prepared in SIF was taken and 0.796 mL of deionized water was also added to
197 make final ratio of gastric chyme to SIF 50:50 (v/v). Finally, the mixture was placed in the
198 shaking water bath at 37 °C and 100 rpm for 1 h. The pH of the intestinal phase was monitored
199 throughout the digestion and maintained at pH 7 using 1 M HCl or 1 M NaOH solutions.

200 **2.8. Release of carvacrol from corona modified nano-systems during digestion**

201 During *in vitro* digestion, the samples taken were centrifuged at (10,000 g at 4 °C) for 45 min.
202 Nano-systems were separated as a sediment phase at the bottom, a clear supernatant in the
203 middle and upper micellar layer was collected. CAR in the micellar layer was extracted in
204 ethanol and quantified using GC-MS as described in section 2.3. Release percentage of CAR
205 during digestion was calculated by using the formula as given below

$$206 \quad \% \text{ Release of carvacrol} = \frac{\text{Amount of carvacrol in the supernatant}}{\text{Total amount of carvacrol}} \times 100 \quad \text{(III)}$$

207 **2.9. Mucoadhesive potential of corona modified nano-systems using quartz crystal** 208 **microbalance with dissipation QCM-D**

209 Interaction of CS-NDS and corona modified CS-BSA-NDS with the gastric mucin at different
210 pH values (to mimic oral, gastric and intestinal phase) were performed using E4 system, Q-
211 Sense, Biolin Scientific Sweden. Gold-covered quartz crystals (QSX-301, Q-Sense) were used.
212 All experiments were conducted at 37 \pm 0.1 °C using a flow rate of 0.11 mL/min in a flow
213 mode. Experiment was performed as described previously by Oh et al., 2016 [33, 34] with
214 slight modifications. Briefly, phosphate buffer saline (PBS) was injected into the flow cell to

215 allow a stable baseline. After stabilization of the signals, porcine gastric mucin (0.025 % in
216 buffer) was introduced to the crystal until both resonance frequency Δf and dissipation ΔD
217 stabilized, after that buffer was introduced for 15 min to remove any unbound mucin [35]. After
218 establishing a stable mucin base layer, individual polymers and nano-formulations (0.1 %) prepared
219 in the buffer at different pH were introduced to the QCM-D chamber. The data were fitted using
220 Voigt model for viscoelastic materials (namely, “Smartfit Model”) by Dfind (Q-Sense, Sweden)
221 software to obtain the adsorbed mass of the samples (Xu et al., 2020). The 3rd to 11th overtones
222 were considered for data analyses.

223 **2.10. Antimicrobial potential of nano-formulations**

224 To assess the antimicrobial potential of released amount of CAR from core and corona-
225 modified NDS during digestion, *Salmonella enterica* (1×10^5 CFU/mL) was exposed to equal
226 volume of digesta (digested samples of core and corona-modified NDS) extracted at different
227 time points in 96-well plate, this method is adopted and modified from previous microdilution
228 methods [27, 36-38]. Plates were incubated overnight at 37 °C. After 24 h, optical density
229 values of inoculated broth containing digesta (with released CAR) were recorded at 600 nm in
230 spectrophotometer (Multiskan GO - Thermo Scientific). Inoculated broth without any digesta
231 samples were considered as control positive. Bacterial growth inhibition was determined by
232 change in optical density/turbidity. Each sample was measured in triplicate and the average
233 values with \pm SD were plotted. Statistical analysis (one-way ANOVA or Student T-test) was
234 performed to measure statistical significance between the core and corona modified NDS at
235 different time intervals.

236 **2.11. Cytotoxicity analysis by MTT assay**

237 To determine the cytotoxic effect of free and encapsulated carvacrol in core as well as corona
238 modified nano delivery system (NDS), MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl
239 tetrazolium bromide) assay was performed as described previously by Shinde et al., 2020 [39].
240 Briefly, 15000 cells/well were seeded in 96 well plate and were incubated in the presence of
241 free CAR, CAR loaded core CS-NDS (CAR-CS-NDS) and CAR loaded corona modified NDS
242 (CAR-BSA-CS-NDS) at the concentrations of 1.56, 3.12, 6.25, 12.5, 25 and 50 μ g/mL for 24 h.
243 Post-treatment media was removed, cells were treated with 5 mg/mL of MTT reagent and
244 incubated at 37 °C in a CO₂ incubator for 4 h to produce dark blue colored formazan crystals.
245 Finally, the crystals were dissolved in 150 μ L of Dimethyl sulfoxide (DMSO) and absorbance
246 was noted at 570 nm in multiplate spectrophotometer.

247 **2.12. Statistical analysis**

248 All experiments were carried out in triplicates. The results were expressed as mean \pm standard
249 deviation (SD) of three independent experiments. Statistical analysis of the data was performed
250 by Graph pad prism (version 7.0) by applying one-way ANOVA and Student T-test.
251 p values < 0.05 were considered as statistically significant.

252 **3. Results and discussion**

253 **3.1. Characterization of the nano delivery systems**

254 Particle size, zeta potential, polydispersity index (PDI) and encapsulation efficiency (%EE) are
255 vital considerations for designing a delivery system of bioactive compounds. In theory, the
256 ideal characteristics of NDS are nanometer size with lowest PDI value, high zeta potential and
257 high %EE [40]. Figure 1 shows the effect of corona layer on the %EE and physicochemical
258 properties of CS-NDS.

259 The particle size of the void CS-NDS was found to be 52.4 ± 10 nm, which increased
260 after encapsulation of CAR to 163 ± 4 nm (Figure 1-A). Similarly, an increase in the particle
261 size was observed with BSA-corona formation around the core of CS in the core-corona NDS
262 with hydrodynamic diameter of 202 ± 6 nm. Particle size further increased to 445 ± 3 nm after
263 encapsulation of CAR in BSA-CS-NDS (Figure 1-A). This increase in size might have
264 occurred due to the slight repulsion between CAR and TPP which was used as cross-linker in
265 CS-NDS (both are anionic in nature). Furthermore, CAR binds with positively charged CS and
266 entrapped between the polymer network, which resulted in the bigger size of CAR-loaded
267 NDS. In BSA-CS-NDS, CAR also adsorbed onto the surface of NDS i.e. BSA corona by
268 hydrophobic interactions which further increase the size of these NDS.

269 These results coincide with the previous studies where increase in particle size was observed
270 after the formation of protein or polymer corona around CS nano-systems [41, 42]. The PDI of
271 all nano-formulations were ≤ 0.3 except for CAR-CS-NDS, which was slightly higher (0.38).
272 This indicated that the particle size of CS-NDS was not as homogenous as other nano-
273 formulations, which was also consistent with the SEM results (Figure 2).

274 The ZP of void CS-NDS was $+21 \pm 3.6$ mV, which was reduced to -18 ± 2.6 mV after
275 electrostatic deposition of BSA on CS-core (Figure 1-B). The observed shift in ZP from
276 positive to negative confirmed the formation of mutually oppositely charged BSA-corona on
277 the CS-core. After encapsulating CAR in core-corona NDS, reduction in zeta potential of CS-

278 NDS to 16 ± 5.84 mV and increase in zeta-potential of BSA-CS-NDS to -21.9 ± 3.1 mV was
279 observed. This might be attributed to the anionic nature of CAR confirming binding [43].
280 Higher zeta potential (positive or negative) values generate the stability of nano-formulations.
281 As stated previously, NDS with ZP of ± 10 – 20 mV, ± 20 – 30 mV and $> \pm 30$ mV are considered
282 as relatively stable, moderately stable and highly stable, respectively [44]. Hence, it can be
283 concluded that the encapsulation of carvacrol in corona modified NDS further enhanced the
284 colloidal stability of the particles (as increase in ZP from -18 ± 2.6 mV to -21.9 ± 3.1 mV was
285 observed) and prevented them from aggregation, which can be seen in SEM results (Figure 2)
286 as well. However, in case of unmodified CS-NDS, encapsulation of carvacrol slightly reduced
287 the ZP from $+21\pm 3.6$ mV to 16 ± 5.84 mV, which indicated lower particle stability of CS-NDS.

288 To determine the retention of CAR while passing through GIT after oral administration
289 of corona modified NDS, EE% was measured at various pH values *i.e.* pH 7.0, 5.0 and 3.0.
290 The %EE of CAR at pH 7.0, 5.0 and 3.0 in CS-NDS was $51\pm 6.8\%$, $68\pm 1\%$ and $75\pm 1.5\%$,
291 respectively (Figure 1-C). This might be due to the reduced interaction between oppositely
292 charged TPP and CS with increase in pH from pH 3.0 to pH 7.0. Chitosan at relatively low pH
293 ($pI < 6.5$) is positively charged and tends to be soluble in the aqueous solutions. Nevertheless,
294 at higher pH, it may precipitate from the solution due to deprotonation of the amino groups
295 [45], which resulted in lower %EE of active agent at higher pH value. On the other hand, after
296 the formation of BSA corona on CS-NDS, overall increase in %EE of CAR was observed *i.e.*
297 $73\pm 2\%$, $93\pm 1\%$ and $85\pm 1.2\%$ at pH 7.0, pH 5.0 and pH 3.0, respectively. Another reason
298 behind higher %EE of CAR in BSA-CS-NDS is the presence of charged amino groups in the
299 BSA structure, which allow the electrostatic adsorption of anionic molecules like CAR [46].
300 Furthermore, due to its hydrophobic character, CAR can also entrapped in the hydrophobic
301 domains of BSA-corona [47]. Therefore, higher %EE could be achieved when BSA is used as
302 a corona around the core of nano-systems.

303 **3.2. Morphological studies**

304 Scanning electron micrographs (SEM) showed the morphology of the void and CAR-loaded
305 core-corona NDS (Figure 2). The individual CS-NDS showed spherical shape with an average
306 size of 80-100 nm, which is within the range expected from the dynamic light scattering (DLS)
307 data (Figure 1-A). However, some partially aggregated particles were also visible, which might
308 have been caused during sample preparation for SEM [48]. SEM of the CAR-loaded chitosan
309 NDS (CAR-CS-NDS) displayed spherical shape with larger particle size (100-120 nm) when

310 compared with void NDS in line with the DLS data (Figure 1-A). It was observed that after
311 CAR loading, CS-NDS appeared to be well-separated and stable over the various steps of
312 sample preparation for the SEM analysis. Similarly, corona-modified (BSA-CS) NDS (Figure
313 2-IV) appeared to be homogeneous with spherical morphology and larger in size than core
314 chitosan nano-systems. Moreover, entrapment of CAR in BSA-CS-NDS did not affect the
315 morphology of particles, although size of these NDS increased up to 400nm (Figure 2-V) as
316 compared to the void NDS (200nm), which validates entrapment of CAR in the delivery
317 systems [49]. Slight agglomeration in CAR-loaded NDS was also observed, due to the presence
318 of some CAR at the particle surface, which might have promoted bridging between adjacent
319 NDS. These results are in accordance with previous results where essential oil-loaded CS nano-
320 systems demonstrated spherical and smooth morphology with some degree of agglomeration
321 [38, 50].

322 **3.3. Fourier transformed infrared (FTIR) spectroscopy**

323 Fourier transformed infrared (FTIR) spectroscopy was used to confirm BSA corona formation
324 on CS-NDS as shown in Figure 3-I. The spectrum of chitosan exhibited a strong and broad
325 band at 3433 cm^{-1} which represents overlapping due to H-bonding of the O-H and N-H
326 stretching vibrations. FTIR spectral peaks appeared at 2923 cm^{-1} , which belonged to
327 symmetric and asymmetric CH_2 bending vibrations of carbohydrate ring in CS polymer.
328 Characteristic polysaccharide bands from C=O stretching of amide I, N-H bending and C-N
329 bending corresponding to amide II (vibrational mode) group could be observed at 1651 cm^{-1}
330 and 1381 cm^{-1} respectively. Peak at 1069 cm^{-1} represents pyranose ring structure [51-53]. In
331 FTIR spectral image of CS-NDS (Figure 1, supplementary data), broad band of N-H and O-H
332 stretch at 3433 cm^{-1} shifted to 3442 cm^{-1} , which confirmed the disruption of H-bonding by
333 crosslinking with TPP. Shifting of amide I peak from 1651 cm^{-1} to 1633 cm^{-1} and
334 disappearance of amide II in CS-NDS confirmed the conformational changes in CS polymer
335 and formation of CS-NDS [52].

336 The FTIR spectra of BSA powder demonstrated O-H, C-H and $\text{-C}\equiv\text{C-}$ alkynes stretch
337 at 3313 , 2928 and 2109 cm^{-1} , respectively [54]. Bands appear at $1600\text{-}1700\text{ cm}^{-1}$ associated
338 with the secondary structure and conformation of proteins. After formation of BSA
339 corona/shell on CS-NDS (Figure 3-I), merging of 1657 and 1534 cm^{-1} and a sharp
340 characteristic vibrational peaks of proteins appeared at 1641 cm^{-1} representing BSA corona
341 on CS-NDS [55]. Shifts in these peaks from individual polymers confirmed the electrostatic

342 interaction between COO^- , NH^3 and OH^- group of BSA and CS to form BSA corona on CS-
343 NDS.

344 The IR spectrum of CAR presented the characteristic bands at 3403 cm^{-1} and 2961 cm^{-1}
345 corresponding to wide vibrational phenolic (O-H) group and C-H stretching, respectively.
346 Intense peaks at 1590 cm^{-1} and 1503 cm^{-1} corresponding to the presence of aromatic C=C
347 stretching vibrations were also observed [56, 57]. Moreover, the presence of a peak at
348 1422 cm^{-1} corresponds to the isopropyl group and a strong band in 1252 cm^{-1} is due to C-O
349 stretching vibration. Peaks observed at 995 cm^{-1} and 938 cm^{-1} corresponds to aromatic C-H
350 bending [58], which can be attributed to aromatic ring substitution of CAR (Figure 3-III).

351 In the FTIR spectrum of CS-NDS, the key characteristics peak appearing at 3438
352 cm^{-1} represented the H-bonding of O-H and N-H stretching vibrations. Similarly, IR signals
353 appearing at 2914 cm^{-1} indicated the symmetric and asymmetric C-H stretching vibrations of
354 carbohydrate ring and 1632 cm^{-1} represented the C=O stretching, N-H bending and C-N
355 stretching from amide I and amide II groups present in CS polysaccharide [59]. After the
356 addition of carvacrol in CS-NDS, the intensity of the O-H and C-H stretching peak at $2914-$
357 3438 cm^{-1} increased significantly (Figure 3-II), which reflected the incorporation of carvacrol
358 in the chitosan matrix. Thus, the C-H stretching peak can be used as a probe for the
359 determination of carvacrol loading in the NDS. Furthermore, the appearance of small peaks at
360 1270 cm^{-1} and 1039 cm^{-1} (which represented the C-O stretching vibration and aromatic C-H
361 bending stretch of carvacrol aromatic ring) were also observed in the CAR-CS-NDS, which
362 also confirmed the presence of carvacrol on the surface of CS-NDS [56].

363 Similarly, FTIR spectra of the corona modified NDS obtained after the addition of CAR
364 were almost similar to that of void NDS. However, decrease in wavenumber of C-H vibrational
365 peaks from 3403 cm^{-1} to 3395 cm^{-1} and appearance of a sharp signal at 1634 cm^{-1}
366 corresponding to Amide I, Amide II and secondary structural conformation changes in
367 protein corona resulted from absorption and loading of CAR. These results confirm the
368 formation of BSA corona on CS-core by isoelectric interactions between COO^- , NH^3 and OH^-
369 group of BSA and CS. Additionally, FTIR results displayed that CAR molecules were not
370 only entrapped in the CS-NDS matrix but were also absorbed in the BSA layer in corona
371 modified NDS.

372 **3.4. Gastrointestinal fate of core-corona modified nano-delivery systems**

373 After initial characterization, the NDS were exposed to simulated GIT conditions that included
374 gastric and small intestine phases. Changes in particle size and zeta-potential were recorded to
375 evaluate the fate of CAR-loaded corona-modified NDS under GIT conditions (Figures 4 and
376 5).

377 **Simulated gastric phase.** The particle size, PDI and zeta-potential of corona-modified and
378 unmodified NDS changed significantly after entering gastric phase as compared to the initial
379 sample (Figure 1-A). The particle size distribution was not affected much during gastric
380 digestion of the void CS-NDS (Figure 4A-I). Zeta potential of CS-NDS remained between 20
381 to 25 mV (Figure 5-I) during the gastric digestion indicating that the amino groups of the
382 chitosan polymer remained deprotonated and positively-charged in the simulated gastric pH
383 and interacted with the negatively-charged TPP due to the electrostatic interaction, which
384 resulted in stable NDS [28]. However, CAR-loaded NDS demonstrated heterogeneous size
385 distribution (Figure 4A-II) and low zeta-potential (13 mV) during gastric phase, thus
386 representing lower stability of CAR-CS-NDS (Figure 5-II) as compared to void CS-NDS.

387 When NDS with a BSA corona were subjected to gastric digestion, size distribution
388 was skewed towards right in both void and CAR-loaded NDS (Figure 4B (I, III)). This trend
389 could be due to change in the level of protonation of BSA corona at $\text{pH} < 4.0$ [59]. Reduction
390 in zeta-potential values of void corona-modified NDS from -25.9 mV to almost -20 mV
391 confirmed the stability of these NDS during gastric digestion. Previous studies showed that
392 NDS with ZP ± 20 to ± 30 mV are considered as moderately stable and with ZP $> \pm 30$ mV are
393 deemed as highly stable [60]. However, increase in the size of NDS in this phase could be due
394 to partial unfolding and protonation of the BSA at low pH. As reported previously, average
395 molecular size of BSA was increased from 10.1 ± 0.5 nm to 12.4 ± 0.6 nm with a decrease in pH
396 value [61]. It was also observed that BSA had a partially unfolded structure at a pH lower than
397 its isoelectric point (pI 4.7), which resulted in less compressibility of BSA corona and
398 ultimately increased the size of BSA-CS-NDS. Furthermore, decrease in pH from 7.0 to 4.7
399 reduced the negative charge on BSA, as partial unfolding exposed more basic amino acid
400 residues, thus more hydrophobic groups of the BSA molecule were accessible to ionization at
401 low pH [59]. Net positive charge on BSA is increased at $\text{pH} < 4.0$ [62]. Thus, our results
402 suggested that BSA will slightly change its conformation in the gastric phase which would
403 affect the surface charge and size of corona modified NDS. Overall, BSA-CS-NDS remained
404 stable with conserved characteristics of the nano-system during gastric digestion. Zeta-
405 potential values of CAR-loaded corona-modified NDS remained higher (-38.5 mV to -43.8

406 mV) than void NDS, thus confirming a higher stability of CAR-loaded NDS during the gastric
407 phase. Owing to its hydrophobic nature, CAR would entrap in the hydrophobic domains of
408 BSA, hence less hydrophobic groups will be available for ionization of BSA at low pH, which
409 provided extra stability to the nano-systems.

410 **Simulated intestinal phase.** After entering into the simulated intestinal phase, the peak of the
411 particle size distribution of the void CS-NDS decreased (Figure 4, A-II), while illustrating a
412 bimodal size distribution and a peak population at around 10 μm appeared, possibly due to the
413 digestion of CAR-CS-NDS by pancreatin (Figure 4, A-IV). ZP measurements demonstrated
414 the charge of CAR-CS-NDS approaching to zero during initial 10 minutes of intestinal
415 digestion and then becoming negative (Figure 5-II). This observation suggested the degradation
416 and dissociation of CS-NDS at pH 7.0 (intestinal pH) as well as burst release of anionic CAR
417 at this stage. These results coincide with previous studies where chitosan-NDS remained stable
418 in gastric environment while degradation and aggregation was observed in intestinal pH with
419 a burst release of the encapsulated active agent [63, 64].

420 The size distribution of CAR-BSA-NDS in the intestinal phase was broad and
421 multimodal with appearance of both small and large particles during the first 10 minutes of
422 intestinal digestion (Figure 4, B-IV). Subsequently, a bimodal size distribution shifted towards
423 1000 nm size was observed. This suggests the complete digestion of BSA corona by pancreatic
424 trypsin, thus resulting in the degradation of NDS. Zeta potential of void corona modified NDS
425 was reduced to zero (from negative) during first 20 minutes and turned towards positive during
426 the next 40 minutes of intestinal digestion. Similarly, zeta potential values approached to zero
427 at the end of intestinal digestion for CAR-BSA-CS-NDS. These results suggested that the
428 gradual degradation of BSA corona could expose the core of NDS (CS core), which would start
429 swelling and degrading with gradual release of CAR from NDS as chitosan began losing its
430 positive charge once exposed to the intestinal pH.

431 **3.5 Release profile of carvacrol**

432 To explore the release behavior of encapsulated CAR in core and corona-modified
433 nanosystems, *in vitro* release profiles were measured under simulated gastrointestinal
434 conditions (Figure 6). *In vitro* release profiles of CAR from CS-NDS are shown in Figure 6-I.
435 The presence of CAR in the micellar phase was only 20% after 20 min in SGF digestion.
436 However more pronounced burst release of CAR was observed after 10 min of incubation in

437 SIF, whereas almost 76% of CAR release was detected in SIF after 30 min. This indicated the
438 dissolution of chitosan nano-structures due to phase shift from SGF to SIF (pH 2 to 7.4).

439 The formulation of CAR-loaded corona-modified (CAR-BSA-CS) NDS revealed only
440 10% of CAR release during 60 min incubation in SGF. However, core-corona NDS provided
441 sustained release (30%) during initial 20 min in the intestinal phase. Thus, as compared to SGF,
442 higher release of CAR in SIF might be due to the high degree of proteolysis of BSA corona on
443 the core of CS-NDS by trypsin in intestinal phase. While in SGF (at acidic pH), limited
444 digestion of BSA by pepsin allowed relatively less release of CAR molecules, which were
445 trapped in the hydrophobic pockets of BSA layer [59]. From release kinetics observations, we
446 can conclude that BSA corona formation on CS-NDS hinders CAR release in the stomach and
447 allows its release in the later phase (intestinal phase), thus making it available in active-form
448 for the successful eradication of enteric pathogens. These results are consistent with the
449 previous findings of Dai *et al.*, who proposed that protein-based nanoparticles could protect
450 most of the phenolic active agent from being released in the stomach and controlled its release
451 later in the small intestine [65].

452 **3.5. QCM-D analysis: Mucoadhesive potential of corona modified nano-delivery systems**

453 Quartz crystal microbalance with dissipation monitoring (QCM-D) was used to investigate the
454 mucoadhesive properties of CAR-loaded CS-NDS with or without BSA corona at optimization
455 and gastrointestinal pH conditions *i.e.* pH 3.0, 5.0 and 7.0 (Figure 7). Generally, changes in “ f ”
456 are qualitatively related to negative or positive mass change in the system, either by desorption
457 or adsorption (Xu et al., 2020). On the other hand, changes in “ D ” are qualitatively related to
458 viscoelastic properties of the adsorbed layer, either it is viscous (increase) or rigid (decrease).
459 The Δf and ΔD are shown for 5th overtone. Firstly, the real-time adsorption of porcine gastric
460 mucin (PGM) onto gold-coated sensor was evaluated. As it can be observed from Figures 7(AI-
461 II), gastric mucin adsorbed onto the gold-coated sensors with $\Delta f \sim 15$ HZ and an $\Delta D \sim 3$ ppm.
462 Once the mucin layer adsorption was completed, buffer was used to remove unbounded mucin
463 (if any) by rinsing. Since such buffer rinsing induced no changes in the resonant frequency (f)
464 and the dissipation factor (D) in Figures 7A, suggesting generation of a stable mucin surface
465 coating of 10-15 mg/ m² (see Figures 7B) simulating the mucus-coated gastric epithelium.
466 Change after the addition of corona-modified and CS-core nanosystems to the mucin-coated
467 surfaces with changes in adsorbed masses are also shown in Figures 7.

468 Introduction of CS-NDS at pH 3.0 resulted in a large decrease in f and a large increase
469 in D (Figure 7A-I). These results indicated a significant increase in adsorbed mass and
470 viscoelasticity. These results confirmed the previous results for the mucoadhesive properties
471 of chitosan. Being a cationic polymer, CS can interact with the anionic sialic and sulfonic acids
472 of the mucus layer through strong electrostatic interactions [66, 67]. Positive charge on chitosan
473 increases at pH below its isoelectric point (pH 5.5) [68, 69]. As the pH increases, deprotonation
474 of amine groups starts, which results in reduced positive charge on chitosan. Hence, at gastric
475 pH, molecular attraction forces by electrostatic interaction of cationic chitosan with negatively
476 charged mucin increased. This is in accordance with the results described in the literature [70,
477 71] for the significantly improved mucoadhesion properties of chitosan NDS at gastric pH.

478 Corona modification around CS-NDS provided similar behavior of decrease in f and
479 increase in D after addition of the mucin layer. However, changes in Δf and ΔD were much
480 smaller for corona-modified NDS than for chitosan-NDS at pH 3.0, indicating less adsorption
481 of BSA-CS-NDS onto the mucin film. These results could be easily interpreted with the change
482 in the mass of corona modified and unmodified NDS at gastric pH, as almost 80 mg/m² of mass
483 was increased after adsorption of CS-NDS. Whereas nearly 37 mg/m² of mass change was
484 observed in case of BSA-CS-NDS (Figure 7B), which is significantly lower than CS-NDS
485 ($p < 0.0001$).

486 This phenomenon was expected as BSA-CS-NDS had slight interaction with the mucin
487 film due to the electrostatic repulsion of the anionic BSA-CS-NDS with the similarly charged
488 mucin. As overall charge on corona modified NDS, with or without CAR, remained above -20
489 mV during the whole phase of gastric digestion (Figure 5-III). These results are in agreement
490 with those obtained by Niaz et al., where protein coating reduced the mucoadhesion of chitosan
491 NDS with mucus layer during ex-vivo analysis [60]. We can conclude from QCM-D data that
492 corona modification of CS-NDS with BSA can reduce the mucoadhesive potential of CS-NDS,
493 which allowed the NDS to pass through the gastric phase (without being trapped in the mucus
494 layer) into the intestinal phase. Therefore, encapsulated CAR will finally be released in the
495 intestinal phase for the effective treatment of enteric pathogens e.g. *Salmonella enterica*.

496 **3.6. Antimicrobial potential of carvacrol encapsulated in corona modified NDS**

497 To assess the antimicrobial capability of free and released CAR in the digesta from CAR-
498 loaded corona modified and core-CS NDS, samples of digesta were taken after regular time
499 interval and tested against enteric pathogen i.e. *Salmonella enterica*. [Antimicrobial analysis](#)

500 revealed that free carvacrol was unable to control the growth of enteric pathogen even after 5
501 min of intestinal digestion. This can be attributed to the low solubility and bioaccessibility of
502 natural phenolic compounds e.g. carvacrol in free form [72]. In comparison with free, CAR
503 released from core-CS NDS were able to control *Salmonella enterica* growth initially, due to
504 the burst release of CAR from CS-NDS when transferred from gastric to intestinal pH (acidic
505 to neutral). However, after 5 minutes of intestinal digestion (Figure 8), continuous increase in
506 the bacterial growth was observed. It might be due to the unavailability of active CAR (after
507 burst release from CS-NDS) to interact with bacterial cells [73]. On the other hand, corona-
508 modified NDS loaded with CAR (CAR-BSA-CS-NDS) exhibited significant control against
509 *Salmonella enterica*, as continuous reduction of bacterial growth was observed after 10
510 minutes. These results can be explained with the release profile of CAR from BSA-CS-NDS
511 during gastrointestinal digestion. Sustained release of CAR in the intestinal phase from corona
512 modified NDS improved the bioactivity of CAR. CAR micelles released during intestinal
513 digestion (lipolysis and solubilization of CAR by bile salts) [35, 74] would interact with
514 bacterial membrane, which can alter the molecular packing characteristics of phospholipid
515 molecules present in it, thus disrupting the bacterial cell membrane which will result in
516 bacterial cell death [75]. We can conclude from these results that corona modification of CS-
517 NDS with BSA can protect chitosan from pH shock and consequently burst release of CAR in
518 intestinal phase would make corona-modified NDS a promising candidate for the treatments of
519 intestinal infections associated with enteric pathogens.

520 **3.7. Cytotoxicity analysis by cell viability (MTT) assay**

521 To assess the cytotoxic effect of free and encapsulated CAR, HepG2 cells were exposed to
522 CAR, CAR-CS-NDS and CAR-BSA-CS-NDS at different concentrations (1.56, 3.12, 6.25,
523 12.5, 25 and 50 µg/mL) to determine their effects on cell viability (Figure S1). Cell viability
524 decreased significantly when cells were exposed to the higher concentration of free CAR i.e.
525 25 and 50 µg/mL, as compared to nano-encapsulated CAR in both core and corona modified
526 NDS ($p < 0.01$ and $p < 0.001$). These observations suggested a dose-dependent cytotoxic effect
527 of carvacrol, owing to its antiproliferative and apoptotic inducing properties at higher
528 concentrations [76]. However, no major effect on cell viability (> 90 % cells were viable) was
529 observed after treatment with CAR-CS-NDS and CAR-BSA-CS-NDS, which revealed that
530 encapsulation of CAR in biodegradable and biocompatible material based NDS e.g. BSA and
531 CS reduced its cytotoxic effect due to the controlled release of active agent.

532 **4. Conclusions**

533 This work has provided pertinent information for the intestinal delivery of CAR by corona
534 modified CS NDS; while elucidating the stability, controlled release, mucoadhesion and
535 antimicrobial efficacy during *in-vitro* digestion for the potential treatment of enteric infection
536 associated with foodborne pathogen (*Salmonella enterica*). Our results revealed that corona
537 modified NDS demonstrated higher encapsulation efficiency of CAR and were more stable
538 than unmodified CS-NDS during gastrointestinal digestion. Furthermore, BSA corona
539 formation reduced the mucoadhesion of CS-NDS to gastric mucosa, which reduced the off-
540 target release of CAR in the stomach. Thus, higher release of CAR was facilitated in the
541 intestinal phase without any burst effect. *In vitro* activity of digesta withdrawn at different
542 [intervals](#) revealed that corona modified NDS allowed successful control of the growth of
543 *Salmonella enterica* in the intestine. Henceforth, to understand better the structure-activity
544 relationship of nano-antimicrobials in the presence of food *in vivo*, trials should be conducted
545 to expand the applications of food-grade nano-antimicrobials.

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550 **Declaration of competing interest**

551 The authors declare that there is no conflict of interest regarding the publication of this article.

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789

Figure captions:

Figure 1: Mean particle size determined by dynamic light scattering (A), zeta-potential (B) of core and corona modified CS-NDS with or without carvacrol and (C) encapsulation efficiency (%EE) as a function of physiologically-relevant pH values.

Figure 2: Morphological evaluation of fabricated nano delivery systems including void chitosan NDS (I), carvacrol-loaded chitosan NDS (II and III), void BSA-CS-NDS (IV) and carvacrol-loaded BSA-CS-NDS (V and VI) performed by scanning electron microscopy (SEM).

Figure 3: Fourier transform infrared spectra of BSA, CS and void BSA-CS NDS (I), void CS-NDS and carvacrol loaded CS-NDS (II), carvacrol and carvacrol-loaded BSA-CS-NDS (III).

Figure 4: Particle size of chitosan-based nano-formulation (A) and BSA-coated chitosan-based nano-formulation (B). Here (I, II) represent void nano delivery systems, whereas (III, IV) represent carvacrol-loaded nano delivery systems during gastric and intestinal digestion, respectively.

Figure 5: Zeta-potential of chitosan based nano-formulation and BSA-coated chitosan based nano-formulation. Here (I, II) represent void and loaded CS-NDS, whereas (III, IV) represent void and loaded BSA-CS core-corona NDS during gastric and intestinal digestion.

Figure 6: Release profile of carvacrol (CAR) in simulated gastrointestinal fluid from chitosan nano delivery systems (CS-NDS) (I) and BSA corona modified chitosan nano delivery systems (BSA-CS-NDS) (II).

Figure 7: Mucoadhesive studies of corona modified nano-systems were performed by quartz crystal microbalance with dissipation (QCM-D). Changes in frequency (Δf) and dissipation (ΔD) with time, represented by blue line and red line respectively, were recorded for chitosan nano delivery systems (A-I) and BSA-CS core-corona NDS (A-II) on mucin-coated crystals. The 5th overtone is shown. Change in mass due to adsorption of unmodified CS-NDS and corona modified BSA-CS-NDS on mucin-coated surface (B) was measured at gastric pH i.e. pH 3.0. Statistical significance of the data was measured by one-way ANOVA test.

Figure 8: Antimicrobial potential of digested samples (at different time intervals) of carvacrol loaded corona modified BSA-CS-NDS and unmodified core CS-NDS in intestinal phase against *Salmonella enterica*. Average of the data (n=3) was plotted. Error bars represent (+/-) standard deviation. Statistical significance of the data was measured by T test (** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$).

Figure 1

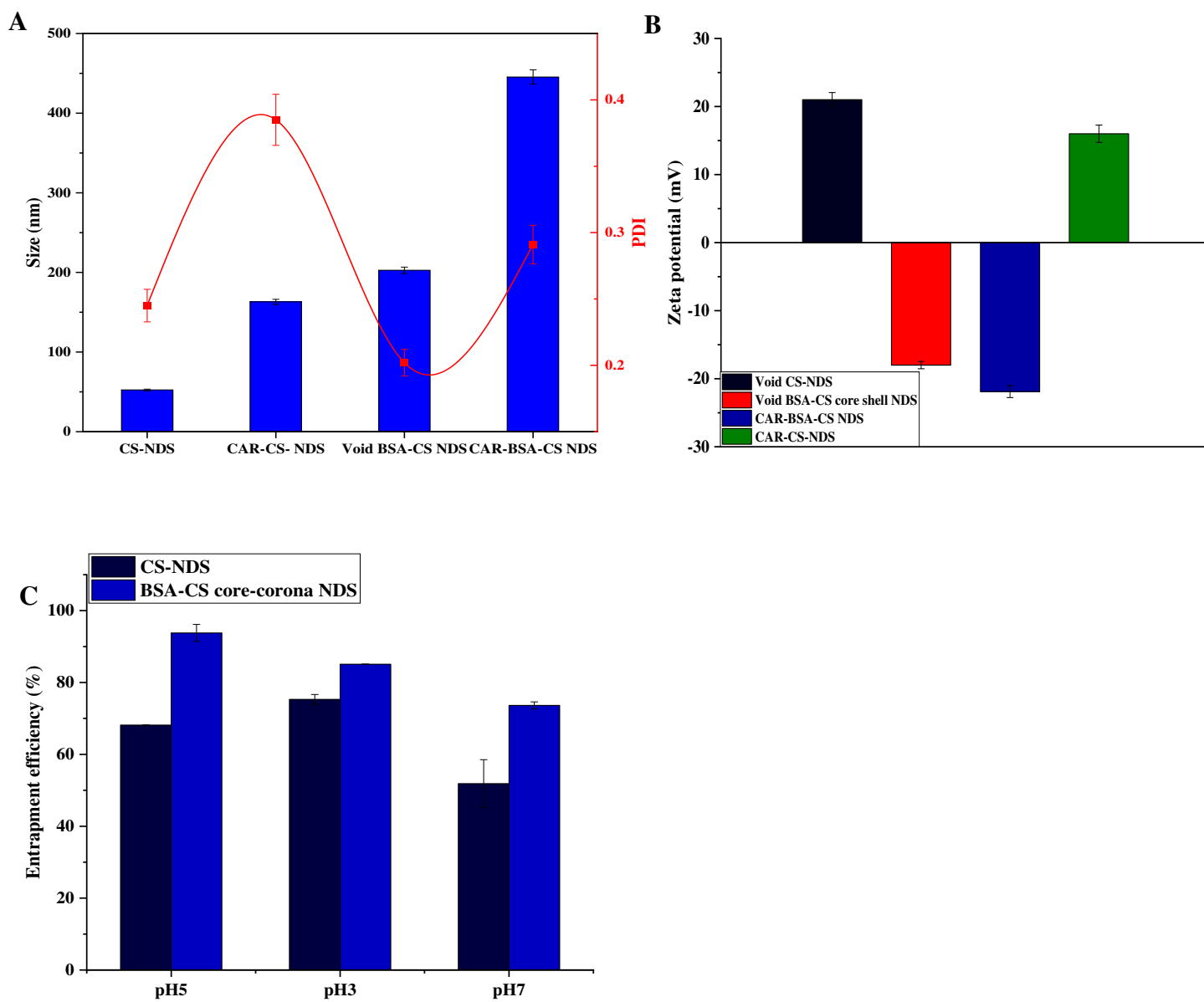


Figure 2

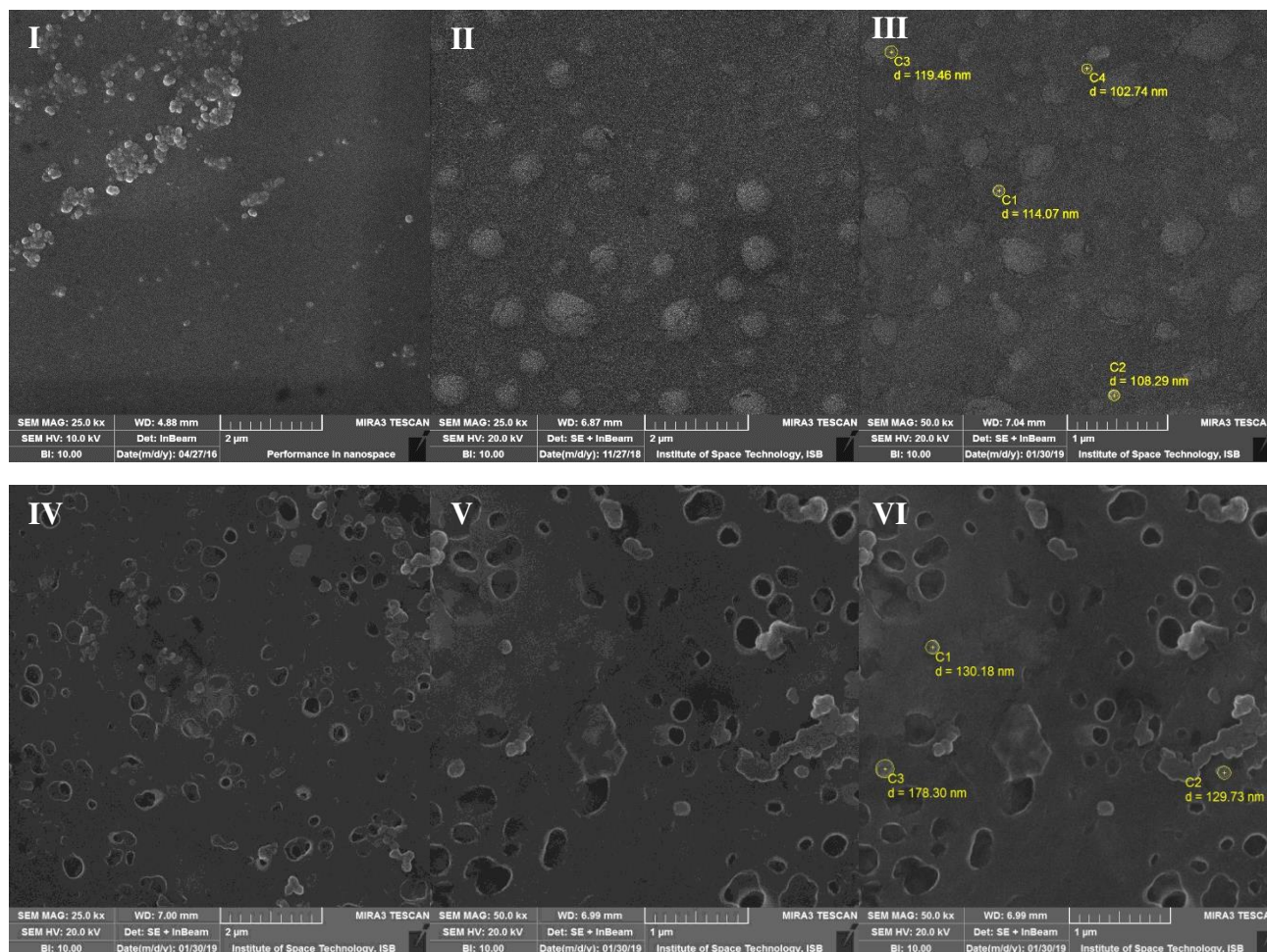
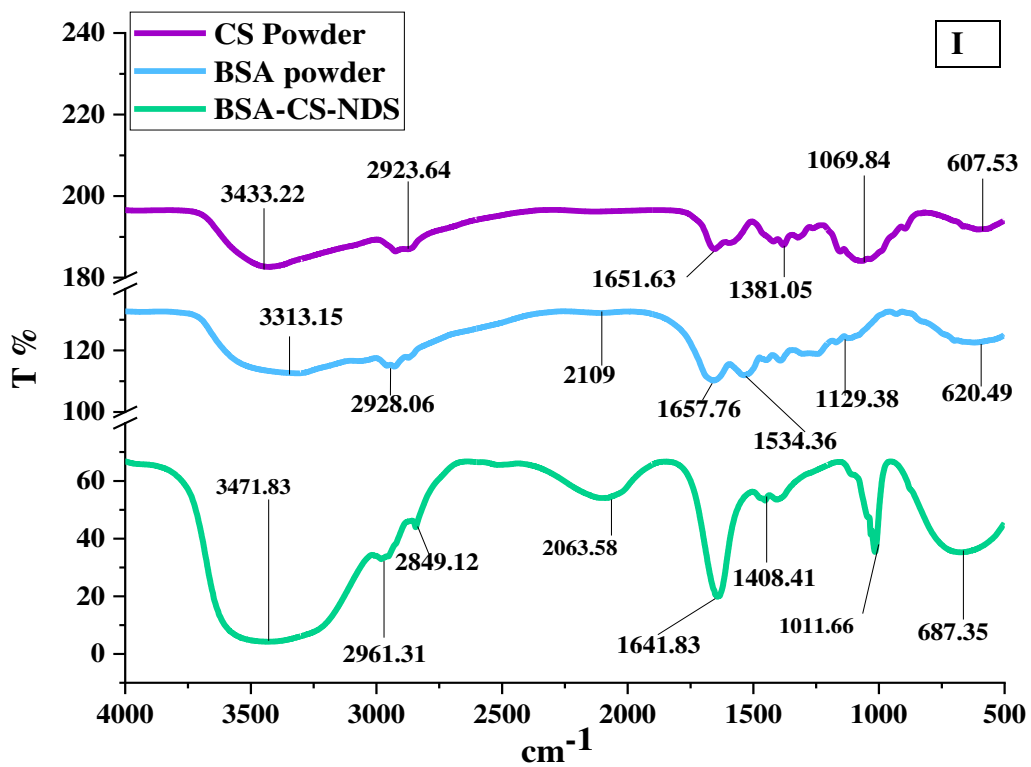


Figure 3



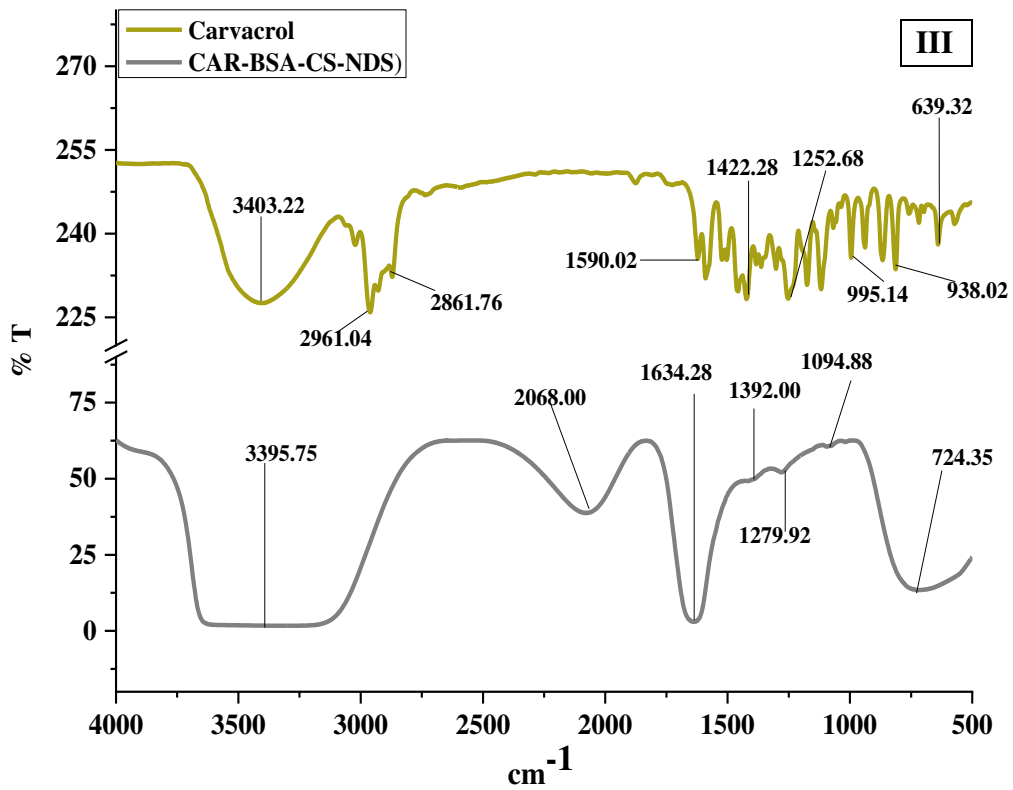
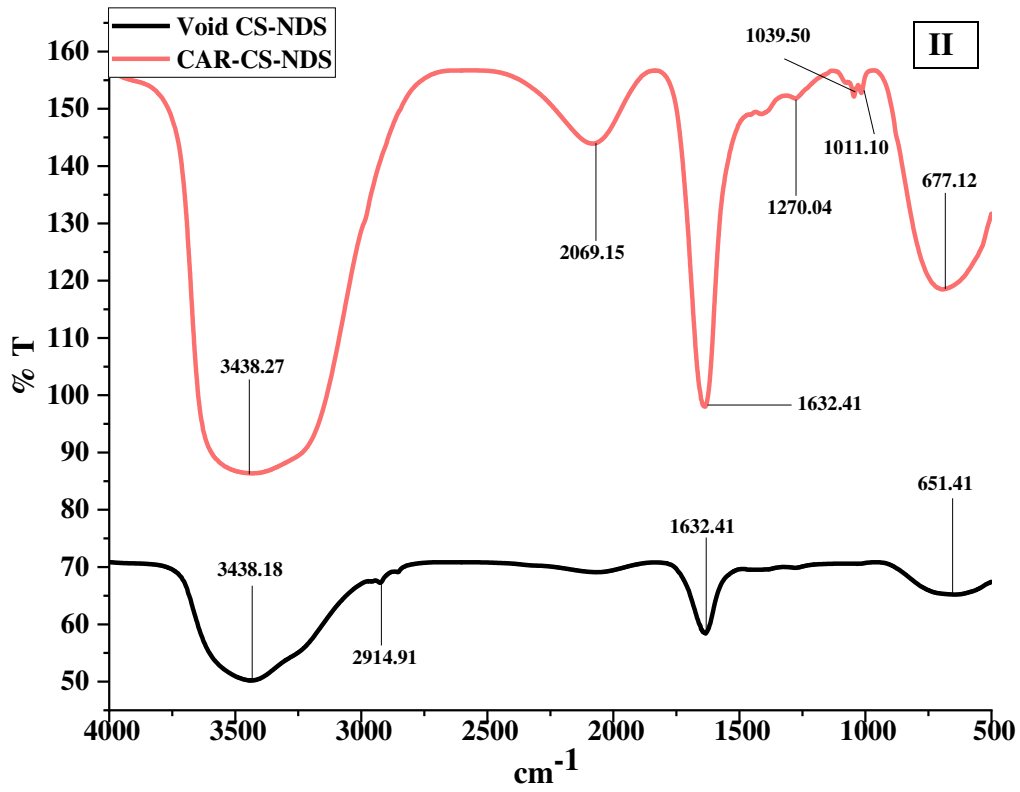
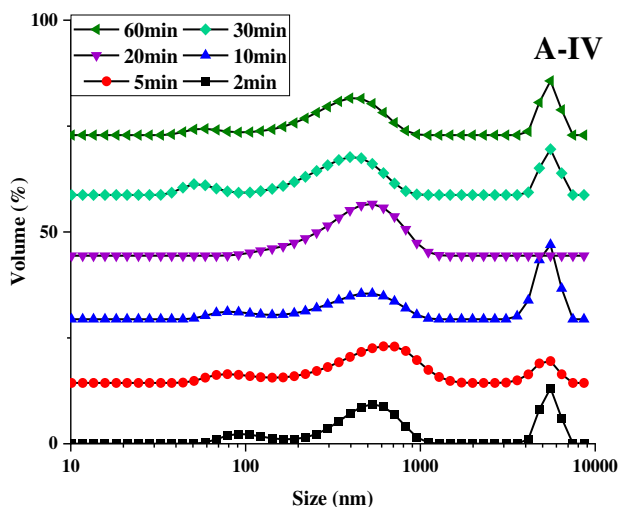
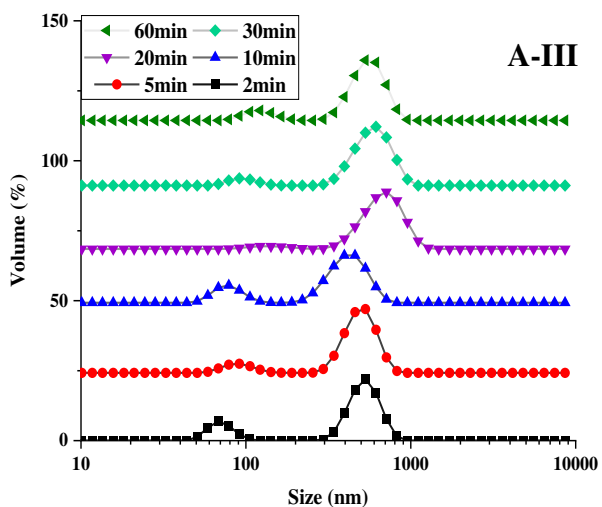
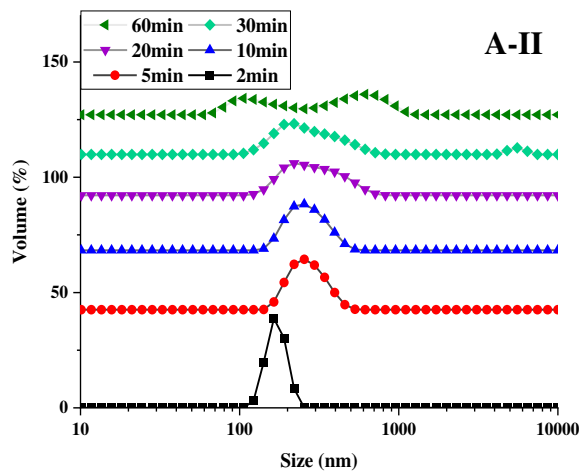
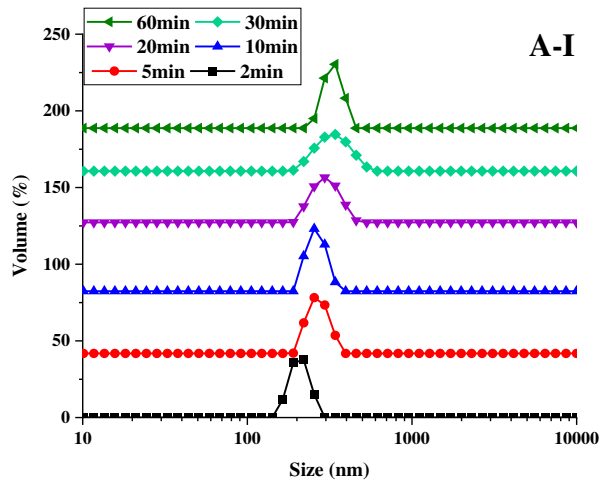


Figure 4



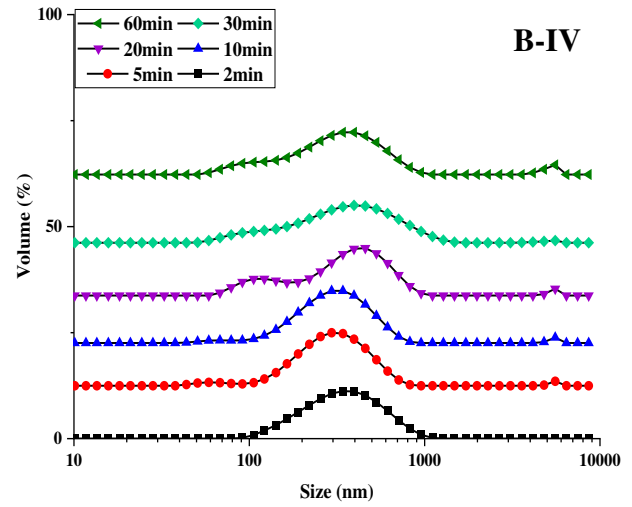
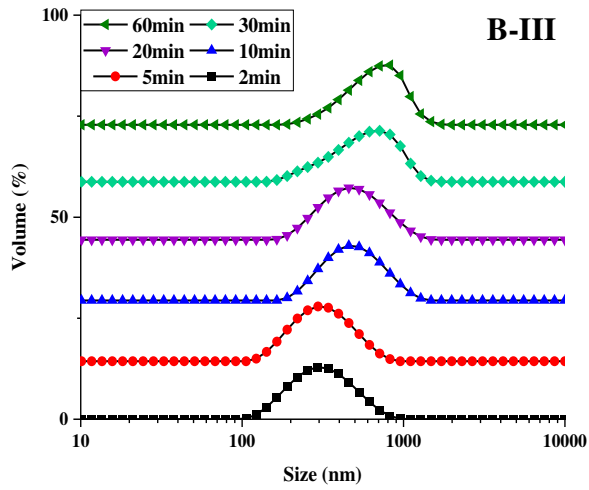
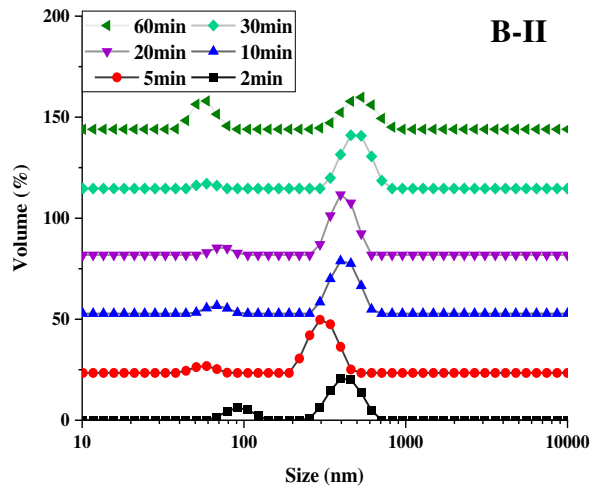
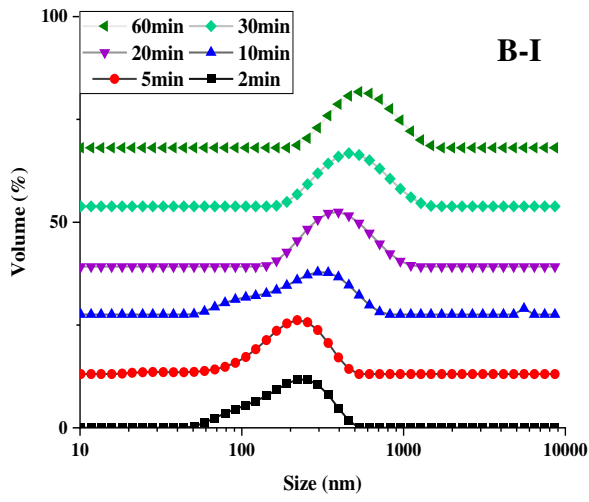


Figure 5

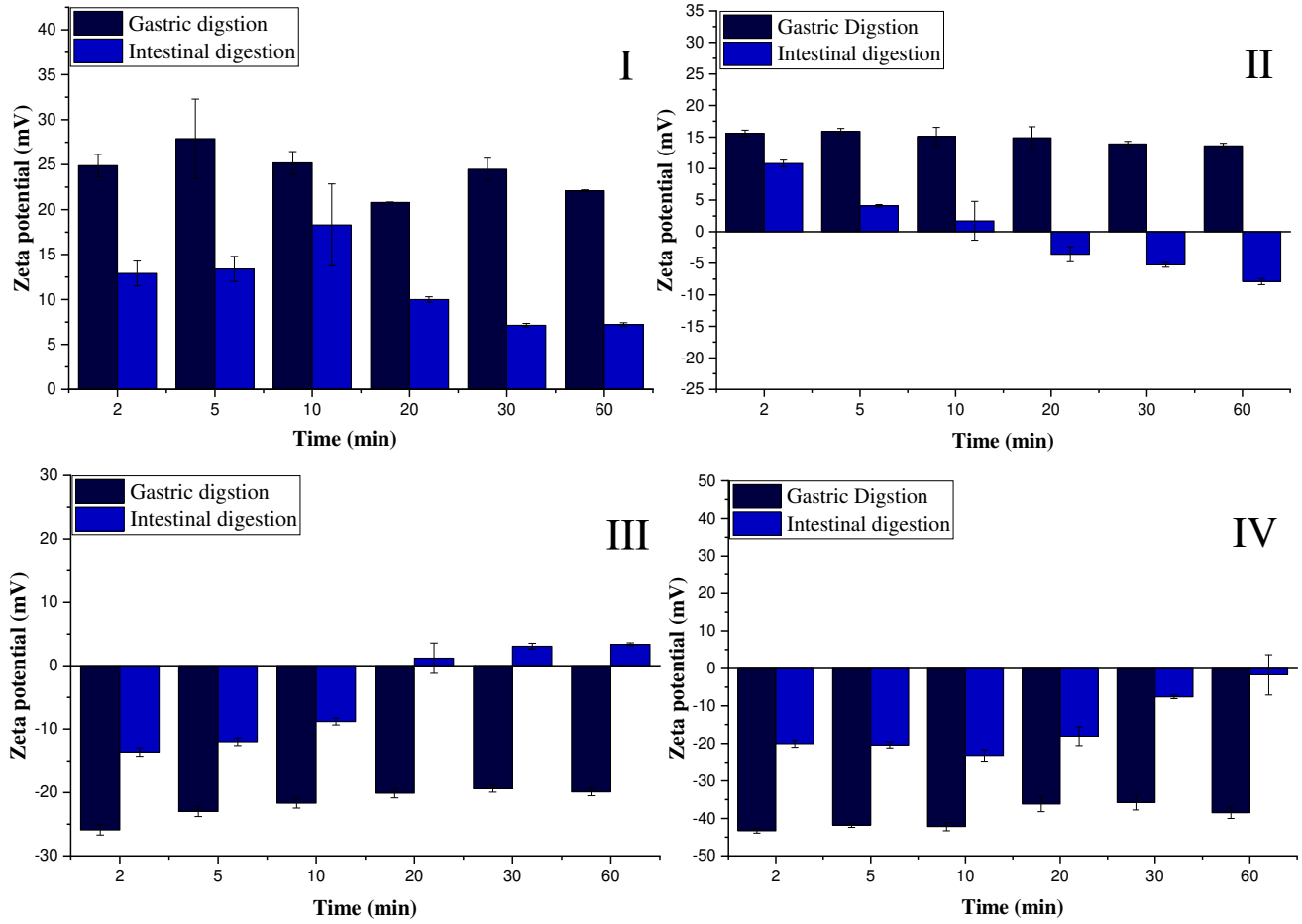


Figure 6

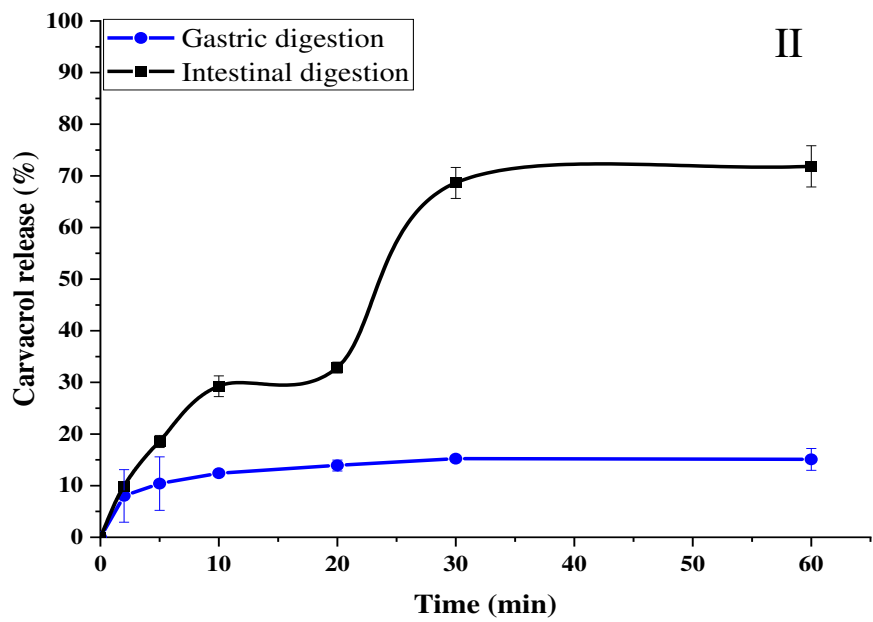
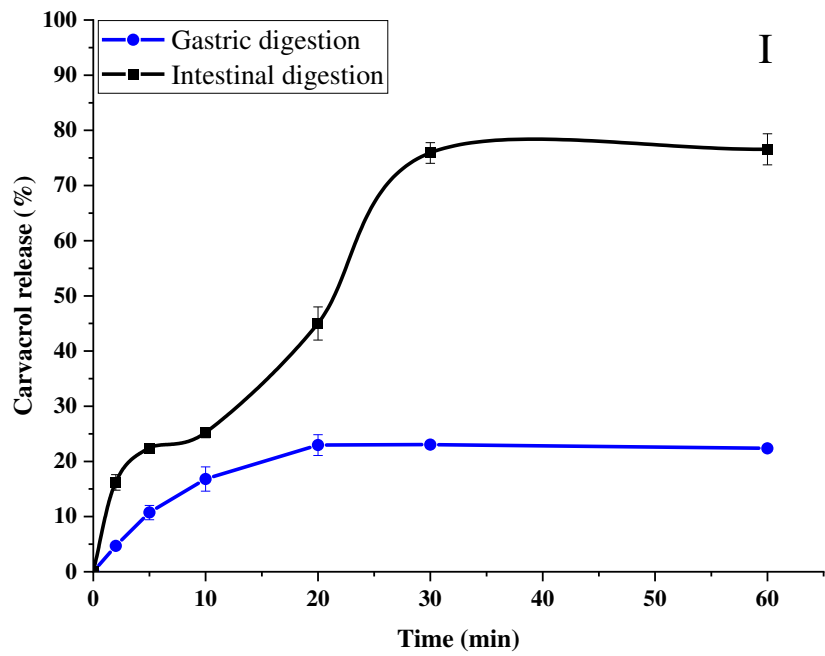
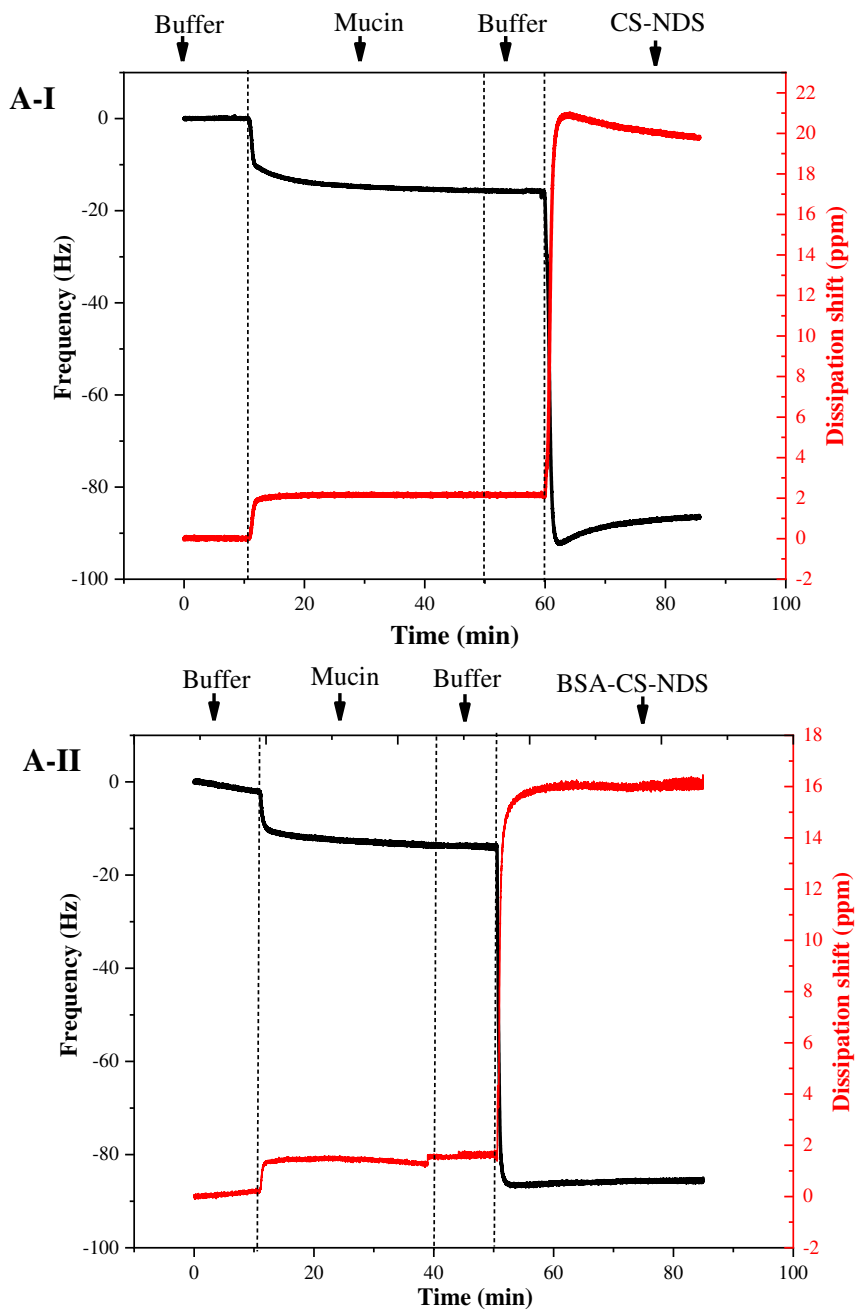


Figure 7



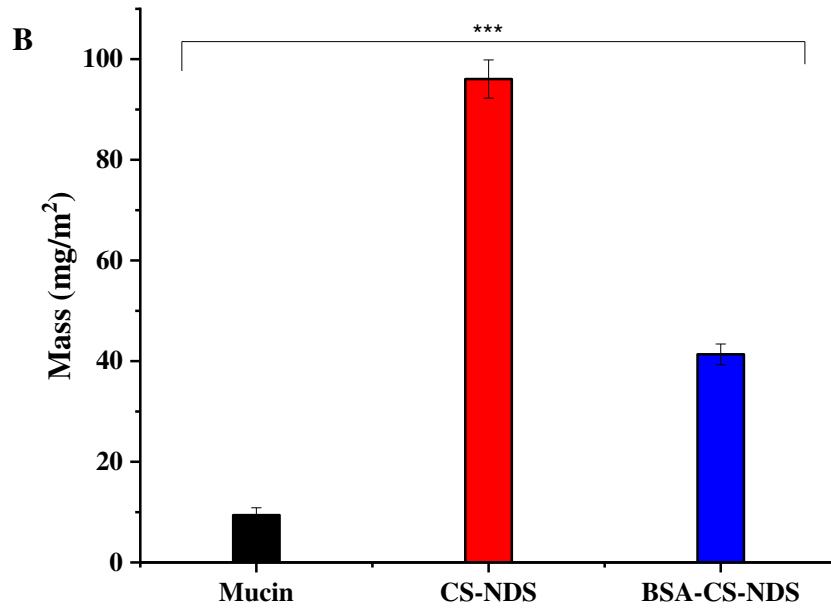
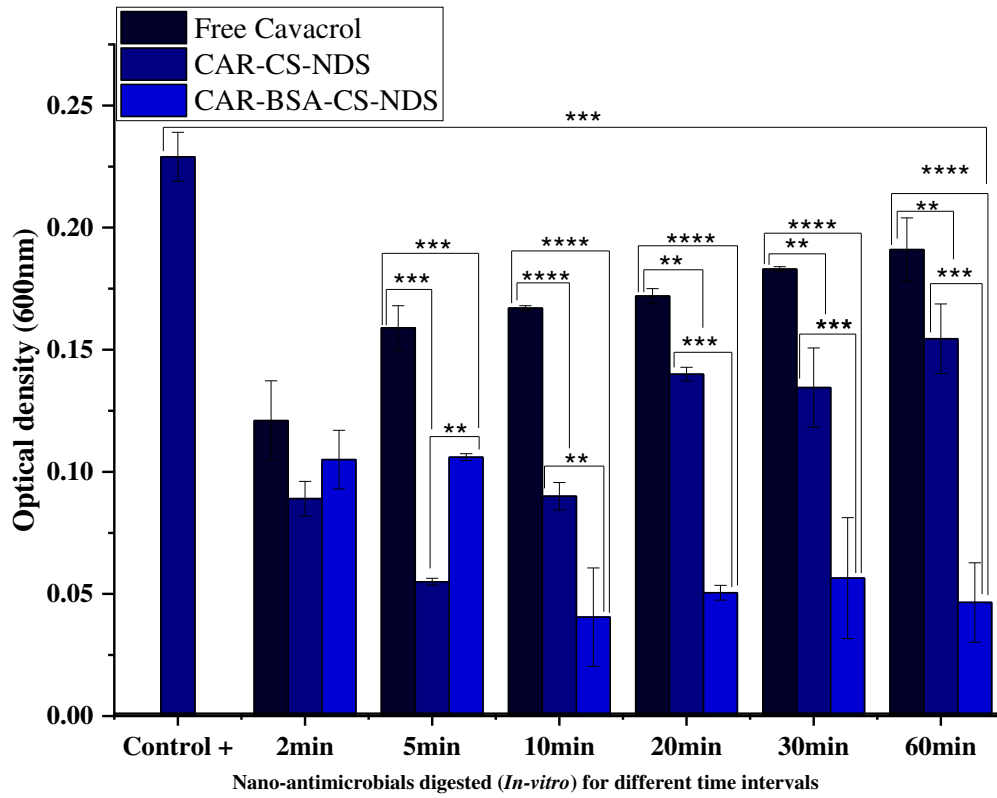
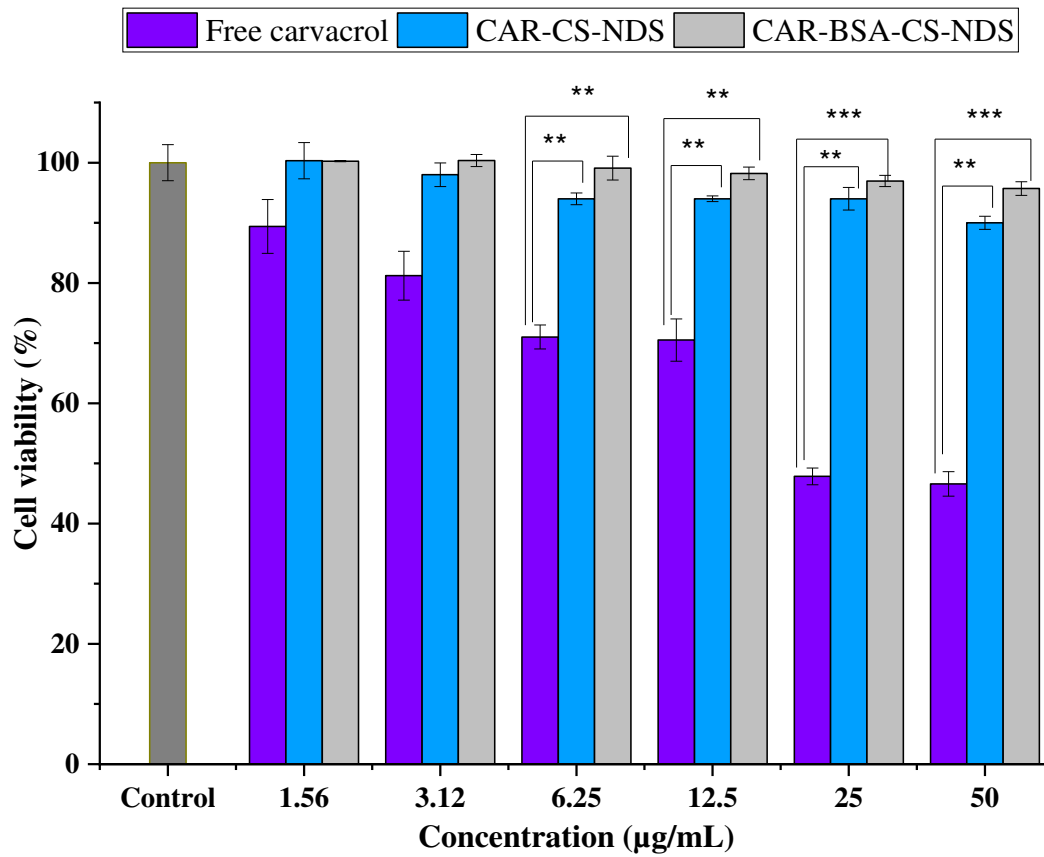


Figure 8

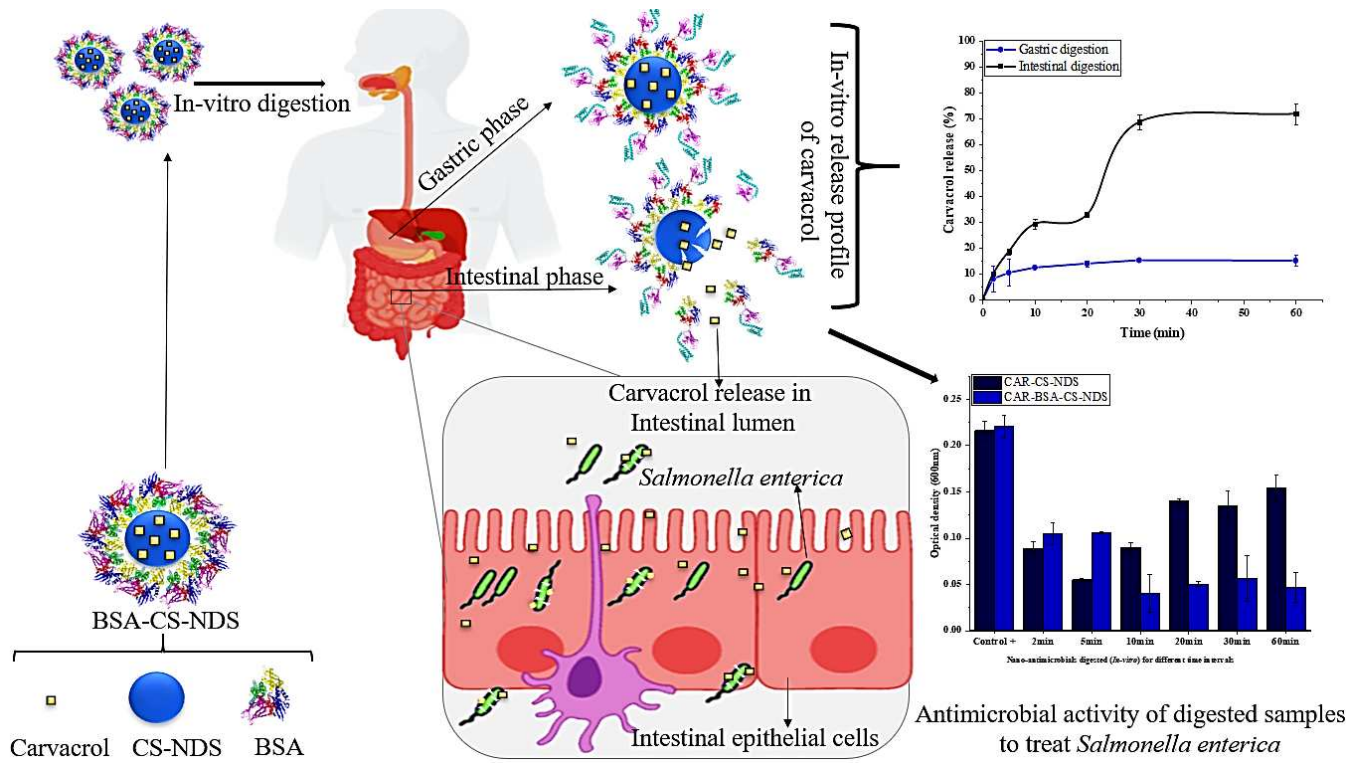


Supplementary data

Figure S1: In vitro cell viability of free carvacrol, carvacrol loaded core-CS-NDS as well as corona modified NDS in HepG2 cells after 24 h of treatment. Control cells were left untreated. The cell growth data shows mean \pm SD (n = 3); signifying **p < 0.01, ***p < 0.0001.



Graphical Abstract:



Highlights

- Corona modified NDS were developed for the sustained delivery of carvacrol in the intestine
- NDS of chitosan core having BSA corona were developed by ionic gelation and ultrasonication
- Corona modified nano-delivery systems increased the particle stability during *in vitro* digestion
- BSA-CS-NDS enabled a higher release of carvacrol in the intestinal phase
- Core-corona nano-antimicrobials successfully inhibited enteric pathogen i.e. *Salmonella enterica*