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Sanvitale, N., Bowman, E. orcid.org/0000-0001-7868-6688 and Cabrera, M.A. (2021) Experimental investigation on the impact dynamics of saturated granular flows on rigid barriers. Environmental and Engineering Geoscience, 27 (1). pp. 127-138. ISSN 1078-7275

https://doi.org/10.2113/EEG-D-20-00033

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2	Experimental investigation on the impact dynamics of saturated granular flows on rigid
3	barriers
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8	

9 Abstract

1

Debris flows involve the high speed downslope motion of rocks, soil and water. Their high flow 10 velocity, and high potential for impact loading make them one of the most hazardous of gravitational 11 mass flows. This paper focuses on the role of particle size grading and degree of fluid saturation on 12 13 impact behaviour of fluid saturated granular flows on a model rigid barrier in a small scale flume. The use of a transparent debris-flow model and Plane Laser Induced Fluorescence allows the motion of 14 particles and fluid within the medium to be examined and tracked using image processing. In this paper, 15 experiments are conducted of flows consisting of two uniform and one well graded particle size gradings 16 17 at three different fluid contents. The evolution of the velocity profiles, impact load, bed normal pressure and fluid pore pressure for the different flows are measured and analysed in order to gain a quantitative 18 19 comparison of their behaviour before, during and after impact.

21 **1. Introduction**

A debris flow is a rapid surging mass of non-plastic soil, rock and water in a steep channel that 22 may present high impact load and long runout (Iverson, 1997; Takahashi, 2007; Hungr et al., 2013). A 23 common approach to prevent these flows from reaching vulnerable areas is by obstructing their 24 channelized paths with engineered barriers, which trap most of the transported debris, dampening the 25 26 overall flow inertia, and, therefore, decreasing their expected runout. These barriers can be rigid walls or flexible nets, with their main goal being to withstand the impact forces from the transported debris 27 and suspended (fluidized) material. Rigid barriers, also called check dams or sometimes catching dams, 28 are the most common mitigation structure against debris flows, due to the minimal technical skills 29 required in their construction and relative ease of obtaining building materials for reinforced concrete 30 (Hübl et al., 2009). 31

32 The mechanics of debris flows depends on the interactions between the solid and fluid phase, which involves frictional, collisional and viscous stress transfer between particles and fluid, as well as 33 flow-bed interactions for both particles and fluid. While the estimation of the pressures generated by the 34 impact of debris flows on civil engineering structures has been widely investigated (Moriguci et al., 35 2009, Armanini et al., 2011; Bugnion et al., 2011; Hu et al., 2011; Scheidl et al, 2012; Cui et al., 2015; 36 Zhou et al., 2018), the state of knowledge is still insufficient to accurately understand the effect of solid-37 fluid interactions on the dynamics and load evolution of the impact process. As a result, design 38 approaches tend to be semi-empirical (Armanini 1997; Van Dine, 1996; Zhang, 1993; Arattano and 39 Franzi, 1993). 40

The current paper presents the results of experiments using transparent analogue debris flows in a small-scale flume, aimed at investigating the bulk impact forces on rigid barriers. Granular flows with different particle size distributions and fluid content are adopted for the tests. The dynamics of the impact against a rigid barrier normal to the flow direction is observed via Planar Laser Induced Fluorescence,
PLIF (Sthor et al., 2003; Sanvitale & Bowman, 2012). Impact forces against the obstacle, the basal total
and fluid pressures, flow height and the mid cross-sectional flow dynamics at impact are recorded and
discussed.

48 2. Experimental set up

Before testing, the fluid saturated granular material is stored in a rectangular sealed tank at the 49 top of the channel. The material is gently agitated by hand within the tank to ensure consolidation is 50 avoided, prior to manually releasing a sluice gate. The material flows down the 2.57 m long and 150 mm 51 wide rectangular flume, whose angle of inclination can be adjusted, and which is set at 20° for the tests 52 described here (see Figure 1). The barrier model is made of a 10 mm thick, 145 mm wide and 190 mm 53 54 tall PMMA (acrylic) plate mounted perpendicularly to the base (see inset in Figure 1). This enables the 55 barrier to effectively cross the full width of the flume but be unaffected by wall interactions. The plate is centrally connected via an aluminum support at the base to an axial load cell (U9C, HBM) and fixed 56 57 to a linear bearing (LZMHS12-37T2P1, SKF). The barrier model is fixed to the flume bed at 2.25 m from the gate release. The sidewalls of the channel are made of borosilicate glass and the bottom of the 58 flume is roughened with 3D-printed PLA (polylactic acid) plates with a hexagonal packing of 3 mm 59 60 semi-spheres. The roughened bed is instrumented along its base with three pore pressure transducers, denoted PPT2, 3 and 4 (PDCR 810 Druck) and a load cell (LUX-B-ID Kyowa) with a top circular sensing 61 plate of 23 mm diameter. PPT2 is located 350mm upslope from the end of the flume. Pore pressure 62 sensor PPT2 and the load cell are located closest to the barrier at 75 mm distance from it and 30 mm 63 either side of the centerline. The transducers PPT3 and PPT4 are located 175 mm and 350 mm further 64 upslope from PPT2, respectively. All basal sensors have 3D printed disk headings, equivalent to the 65 roughness of the rest of the base with the top of the heading flush with the base. 66

A 0.5 mm thick 532 nm laser light sheet is allowed to pass through a slit cut in the roughened 67 bed and barrier model base, illuminating the flowing material along the flume centerline. The laser used 68 is an Opus Quantum 532 producing continuous illumination at a power of approximately 1.5 W. The 69 laser beam is positioned perpendicular to the bottom of the flume via a mirror then sent through three 70 uncoated plano-convex cylindrical lenses (purchased from www.thorlabs.com) that spreads the beam 71 into a light sheet. The length of the illuminated flume section is approximately 130-150mm. A high-72 73 speed camera (Miro M310) located close to the end of the flume records video of the illuminated crosssection at 2000 frames per second with a resolution of 1280 x 800 pixels. A long pass filter is placed 74 75 over the lens to transmit only the fluorescence signal and discard the reflected laser light (Sanvitale and Bowman, 2012). 76

77 2.1. Materials

The PLIF technique relies on the use of a laser sheet to excite the fluorescence of a dye diluted 78 in the fluid, and hence create an illuminated plane within the flow in which particles appear as dark 79 80 shapes against a bright background. For the PLIF technique to work under optimum conditions, the refractive indices of the fluid and solid should match. The current experiments are performed with 81 82 hydrocarbon oil (Cargille laboratories) dyed with a fluorescent powder, Nile Red, and mixed with 83 borosilicate glass beads (Sigmund Lindner GmbH). The fluid has a kinematic viscosity that is 16 times higher than water (16 cSt at 25 °C) and a density that is 1.182 times lower (0.846 g/cm³), such that 84 mixture consolidation behavior is equivalent to that using quartz particles that one quarter the diameter 85 in water. See Sanvitale & Bowman (2012) for further details on the experimental technique. 86

Three granular materials consisting of spherical borosilicate glass beads are used in these experiments: two uniform particle size distributions, PSD1 and PSD2, with glass beads of 3 mm and 7.5 mm respectively, and a more well graded sample, PSD3 (coefficient of uniformity $C_U = d_{60}/d_{10} = 5$, where d_x denotes the percentage passing by mass), with mean particle size of 7.5 mm (Figure 2). These samples are intended to provide an insight on the effects of particle size and gradation on the impact dynamics. The influence on the flow dynamics and impact of the fluid content are also investigated by setting the initial fluid content f_c , defined as mass_{fluid}/mass_{solid} to 24%, 28% and 32%, for each solid material investigated (equivalent to solid volume fractions of 0.61, 0.58 and 0.54, respectively). Hence, we report the results of nine experiments in all.

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97 *2.2. Test procedure*

Prior to each experiment, the flume is cleaned, avoiding the presence of dirt and oil films on the 98 roughened bed and sidewalls. For each experiment exactly 10 kg of solid mass is used (Table 1). Oil to 99 100 the desired fluid content is poured into the container and gently mixed with the glass beads to reduce the entrainment of air bubbles that would otherwise reduce optical transparency. Agitation of the mixture is 101 maintained while the laser beam is set on, the high-speed camera is activated, and the sluice gate is 102 103 opened. At release, a triggering shutter connected to the gate activates the sensors that record at a sampling rate of 36 kHz, for a duration of 9 s. Low pass filters are applied to the outcomes as described 104 105 in Section 3.2.

106 **3. Results and discussion**

107 *3.1. Impact kinematics*

Figure 3 shows images of the flow impact for each PSD at different fluid content, $f_c 24\%$ for PSD1 and PSD2 and $f_c 32\%$ for PSD3 (images for the other tests are not shown for brevity). The flow direction is from right to left. The images show different instants during the impact of the mixture against the barrier with respect to the time t=0 at which the sluice gate was opened. For all the tests the impact process is characterized by a first stage during which individual saltating particles impact the wall before the arrival of the flow front. For the test using PSD3, due to the segregation of the different particle sizes during downslope shearing (Sanvitale and Bowman, 2012; 2017), larger particles accumulate at the front.

The images show the three different impact mechanisms (Armanini et al., 2011; Choi et al., 2015; 116 Gray et al., 2003; Faug et al., 2015; Albaba et al, 2018) observed during experiments. The first 117 mechanism (Fig 3(a)) is displayed only by PSD1 (uniform grain size of 3 mm beads) at fc 24% and 118 consists of a type of pile up process. The surge front impacts the rigid barrier and deposits at the base, 119 120 then the subsequent flow material impacts and piles up on top of the existing deposits. When the maximum pileup height is reached the impact process rapidly attenuates. The second mechanism (Fig 121 122 3(b)) is characterized after the impact by the formation of a reflected wave propagating upstream. The 123 third mechanism (Fig 3(c)) consists of the formation of a vertical jet travelling parallel to the vertical barrier that subsequently falls backward on the incoming surge, creating a secondary surge that 124 propagates upstream. 125

For PSD2 and PSD3 at f_c 24% we observe the formation of a reflected wave, while at f_c 28% and 32%, we observed the jet like behavior. The test for PSD1 at f_c 28% shows an intermediate behavior with a formation of an initially small jet that, once it falls back on itself, creates a small surge propagating upstream that is immediately stopped by the incoming flow. Subsequently the impact of the incoming flow transitions more to be a type of reflected wave.

Figure 3 also shows the results of the corresponding Particle Image Velocimetry (PIV) analyses
(Thielicke et al., 2014; Thielicke, 2014) conducted via image processing at specific stages on flow

impact. The velocity field shown via the quiver plots (quiver lengths proportional to speed) displays thekinematics that are characteristic of the observed mechanisms.

The velocity v_i, which is the average speed of the front of the incoming surge approaching the 135 barrier before impact, is listed in Table 2. The front velocity is generally higher at larger fluid content. 136 For the uniform gradings, at the same fluid content, the velocities are higher for a larger particle size, 137 i.e. for PSD2 than PSD1. For the well-graded material, PSD3, with the same mean particle size as PSD2, 138 the front velocity is much lower, but it is higher than for PSD1 tests. The bed roughness may play a role 139 in the observed flow kinematics (Ahmadipur et al. 2019; Goujon et al., 2003; Silbert et al., 2001) with 140 the scale of roughness of the 3 mm semi-spheres on the base inducing greater shearing within the uniform 141 mixture of 3 mm beads in PSD1 compared to the 7.5 mm beads in PSD2. Tests with mixture PSD3 142 having 50% (by mass) particles larger than 7.5 mm and 50% smaller, produce lower flow velocities than 143 144 for PSD2. In these tests, the presence of finer particles may have a dampening effect on large particle 145 collisions, hence dissipate more energy within the body.

146 The Froude number, Fr:

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148
$$Fr = \frac{v_i}{\sqrt{gcos_i}}$$
(1)

where h_i is the flow depth of the incident surge, and θ the slope of the channel, quantifies the ratio between the inertial and gravitational forces. Table 2 reports the Froude numbers calculated for the experiments. The Fr results lie in the range between 0.90 and 4.86 – with values lying closer together for the larger particle sizes. In all cases, Fr increases with fluid content, due both to increased velocity and reduced flow height.

Armanini et al. (2011 and 2019) found that the nature of the impact depends on the Froude number of the incoming front; when gravity dominates over inertia there is a formation of a reflected wave, whereas when inertia dominates, jet-like behaviour up the wall occurs upon impact. Specifically, Armanini et al. (2019) analyzed the dynamic impact of water and mixtures of water and sediments of a specific PSD ($d_{30} = 2.0 \text{ mm}$, $d_{50} = 3.5 \text{ mm}$, $d_{90} = 9.0 \text{ mm}$) on a barrier wall normal to flow. They found that for Fr <~ 3, a reflected wave forms, otherwise a vertical jet is produced. This finding is not in complete agreement with our results (Table 2), especially for the mixture PSD2, for which we observed a reflected wave impact at f_c of 24%, corresponding to Fr > 3. The transition from reflected wave to jetbehaviour lies in the Fr 3 to 4 zone but is influenced also by particle distribution and fluid content.

Figure 4 shows the evolution of the flow height at the barrier measured on the video footages (note the truncation of the plotted height for heights greater than the wall). PSD2 exhibits the greatest height at the barrier at the end of the test, which explains the greater final static load compared to the other mixtures. PSD2 at fc 28% and 32% are able to overtop the barrier.

167 Overtopping also occurs for PSD3 at fc 32% in the final part of the event due to the large fluid 168 content, well above that needed to fully saturate (i.e. fill the voids between particles) the mixture, so that 169 it can flow easily towards the barrier, increasing the height of the free surface of the fluid at values higher 170 than the top of the wall.

The PSD3 at fc 28% test displays a initial height peak at 0.28 s, which is due to the vertical jet travelling up the wall at the beginning of the impact. After that a second spike follows at t = 1.1 s, due to the accumulation of fluid behind the barrier at the end of the impact, as occurred for the test PSD3 at fc 32%. This excess of fluid after reaching the barrier is reflected and move upslope.

The height of PSD1 tests is always lower than barrier and reaches values at the end of the testscomparable with PSD3.

3.2. Barrier load 177

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depending on the resonance frequency of the load cell and on the single instantaneous impact of large 179 particles. In order to filter the data, we followed the procedure proposed by Scheidl et al. (2012), applying 180 a low pass filter with a maximum high frequency. This high frequency is estimated considering the average maximum front velocity v_i, from the PIV analysis, and the maximum particle diameter, as f_i=v_f / d_{max} . For PSD3 d_{max} has been take as $d_{90} = 20$ mm. The resulting low pass filters for each test are listed 183 in Table 1. 184

The recorded raw barrier load signals present high frequency spikes that are due to random effects

Time histories for measured basal pressures and barrier loads for all tests are given in Figures 5 185 (fluid content fc 24%), Figure 6 (fc 28%) and Figure 7 (fc 32%). In each case, the load on the barrier is 186 characterized by an initial dynamic phase and a subsequent final static value. The dynamic phase is due 187 to the impact loading exerted by the incoming flow against the barrier while the static value is given by 188 the pressure exerted by the deposited material behind the wall at the end of the event. 189

The tests with f_c 24% for all particle size distributions exhibit a gradual increase of the barrier 190 load due to the continuous accumulation of the material behind the barrier. The PSD2 and PSD3 tests 191 show many spikes in the signal related to the instantaneous impacts of single large beads. The highest 192 peak force is reached by the PSD2 tests because for these mixtures, more material is able to reach the 193 barrier, increasing the height of the static deposit (Figure 4). The high mobility of these flows is 194 confirmed by their front velocities that are highest for all fluid contents (Table 1). Furthermore, it has 195 to be noted that for the same mass of dry particles the fluid necessary to saturate the sample is different 196 for the three PSDs. Before testing we measured that the saturation of the sample in the tank is reached 197 with 22%, 20% and 13% of fluid content for the 3mm, 7.5mm and the well graded mixture, respectively. 198 Hence the fluid content in excess of saturation that is available to fluidize the mixture is potentially 199

higher for larger bead size (note that this picture becomes less clear for the well graded material, PSD3,due to segregation as the flow develops).

The tests at fc 28% exhibit larger Froude numbers (2.3, 3.9, and 3.3 for PSD1, 2 and 3, 202 respectively) and the observed impact mechanism consists of the formation of a vertical jet for PSD2 203 and PSD3. In these tests, during their dynamic interaction with the barrier, the flows develop a spike on 204 the barrier load curve corresponding to the instant at which the jet falls back on the free surface of the 205 206 incoming flow (Figure 6). This peak is followed by a transient decrease of the load due to the energy dissipation caused by the hydraulic jump subsequent to the falling jet breaking on the flow surface. For 207 the PSD2 test, this spike represents the highest force, being larger than the final static load. For the PSD3 208 209 test before the impulse due to the falling jet, the barrier experiences a series of load peaks due to the largest particles accumulating at the front (due to particle size segregation) and colliding with the wall, 210 211 although these transient peaks are lower than the final static load exerted on the wall by the total material 212 accumulated during the event.

Intermediate to this, for the PSD1 at fc 28%, the flow impact produces an initial small jet that gives rise to the formation of a surge propagating upstream. This is then stopped by the incoming flow to evolve into a type of reflected wave.

The tests at f_c 32% for all the PSDs show that the impact mechanism is always jet-like at larger Froude numbers. At this fluid content the PSD1 mixture exhibits the largest front velocity and after a sudden increase of the barrier load when the flow front arrives, it develops a vertical jet with a corresponding increase of the barrier force up to a maximum when the falling jet breaks on the incoming flow. After this peak, the energy dissipation due to the formation of the hydraulic jump, leads to a decreasing load that is followed by a gradual growth due to the accumulation of the material from the tail of the debris flow surge. For PSD2 and PSD3 which have larger particles, the fluid content has les influence on the flow behaviour. PSD2 at f_c 32% shows the highest run up of the vertical jet, which results both in some material overtopping it and a higher barrier load when the falling jet breaks. The well graded material, PSD3, shows a similar impact behavior compared to that with f_c 28%, the main difference being a greater final static load due to more material being mobilized to reach the barrier.

The influence of the particle size on the response of the barrier is clear. PSD2, the uniform flows 227 with the 7.5 mm particles, generate the greatest loads. For the well graded PSD3 tests, similar to PSD2, 228 229 a number of spikes in the barrier signal are recorded from the load cell, representing collisions of large particles against the barrier; however, both the peak force during and after the impact reach final values 230 similar to those of PSD1 mixture. The peak load due to the initial dynamic impact of the flows appears 231 232 to be enhanced by the presence of a larger quantity of fluid for all the mixtures. In fact, the higher fluid content allows the mixtures to be more fluidised and hence to reach higher velocities when they move 233 234 downslope. The larger fluid content also enhances the mobility of the flows, increasing the final static 235 load at the end of the impact due to the greater accumulation of the material behind the wall (Figure 4).

Only the PSD2 (uniform, 7.5mm) tests exhibit a consistently higher peak barrier load from the 236 237 initial dynamic impact than the static load exerted from the material deposited subsequently. The reason for this is that once they hit the barrier at high speed (Table 2), they produce a higher jet wave than other 238 239 tests, with large particles that are easily mobilized and pushed upward against the barrier. In contrast, it is clear from the high speed images, that for the fc 28% tests with PSD3, most of the top part of the runup 240 wave is comprised of fluid as the large particles at the flow front are too heavy to be pushed any higher 241 than approximately the middle height of the barrier (Figure 4). Furthermore, the presence of finer 242 material can also have a damping effect on the large particle collisions. The combination of these factors 243 can explain the similar value of the impact load between the 3 mm (PSD1) and the well graded (PSD3) 244 245 tests.

246 *3.3. Basal pressure development*

Figures 5 to 7 show the responses of the pore pressure transducers using a running average 247 filtering window of 400 data points and the evolution of the basal total pressure σ_{tot} . The PPT responses 248 are dominated by the increase in the height of the fluid-saturated debris behind the barrier (which is 249 effectively impermeable) after impact. Therefore, although flows initially pass over PPT4, then PPT3 250 and then PPT2 in succession on their descent motion (resulting in relatively small recorded pressures of 251 the order of 0.2 kPa), PPT2 (closest to the barrier) then produces the largest and earliest response to this 252 impact with recorded pressures ranging between 1 and 2 kPa except for the 3 mm test with fc 24% 253 characterized by pore pressure below 0.5 kPa. For all the tests at f_c 24% the impact is characterized by 254 the arrival of an initially unsaturated flow front, for which the fluid pressure is absent due to the particles 255 at the front running ahead of the fluid. This becomes more acute as the particle sizes segregate - with the 256 largest particles trending to the front – as seen in field scale debris flows (Iverson, 1997). 257

Considering the measurements closest to the barrier (PPT2 and the basal load cell), differences are found in the pore pressure behaviour after the impact between flows at different fluid content, particularly for the 3mm flows (PSD1) which, considering the ratio of pore pressure to total stress, for f_c 24% shows the pore pressure to be always below hydrostatic, while for f_c 28% it is close to hydrostatic and for f_c 32% above hydrostatic immediately after the breaking of the falling jet.

For the well graded flows (PSD3) at both fluid content $f_c 28\%$ and 32% the pore pressures are much greater than hydrostatic until the hydraulic jump, developed after the breaking of the falling jet, ends. For the well graded flows at $f_c 24\%$, after the arrival of the unsaturated front, the pore pressure is much larger than hydrostatic until the accumulation of the material behind the barrier occurs, then it drops to typical hydrostatic values. For the 7.5mm flows, the pore pressure is hydrostatic for $f_c 24\%$, slightly above for 28% and largest for $f_c 32\%$. These results demonstrate that excess (i.e. greater than hydrostatic) pore pressures are not necessarily generated within uniform flows of spheres, except where sufficient fluid is present and sufficient agitation is generated (e.g. during an impact event). For well-graded flows (at least for the chosen grading and fluid contents examined here) excess pore pressures are both generated and maintained at impact. This is likely to be due to both larger particles agitating the flow upon impact and fines reducing the mixture permeability, hence maintaining the developed excess pore pressure for longer.

276

277 Conclusions

The paper presents an experimental investigation on the effects of fluid content and particle size 278 on the impact force generated by a transparent debris-flow model on rigid barrier. The debris flow 279 models were provided by using refractive index-matched mixtures of borosilicate glass beads in a 280 Newtonian fluid. Small-scale flume experiments were carried out using a channel equipped on the 281 282 bottom with three pore pressure transducers and a load cell for the measure of the total normal stress and fluid pore pressure. A rigid barrier, instrumented with another load cell, was fixed normal to the flume 283 bed at 2.25 m from the gate release. The evolution of the impact load, bed normal pressure and fluid 284 pore pressure for flows consisting of uniform and well graded particle size grading at three different 285 286 fluid contents, 24%, 28% and 32%, was measured and analyzed. It has been found that excess pore pressures are not necessarily generated within uniform flows of spheres, except where sufficient fluid is 287 present and sufficient agitation is generated (e.g. during an impact event). The particle size of the 288 material has a strong influence on impact loading and overall response. The uniform flows with the 289 largest particles generate the greatest load while for the well graded tests the presence of fine particles 290

within the flow can provide a dampening influence. Larger fluid content leads to greater flow velocity and larger peak load in the initial dynamic phase of impact of the flows. Increasing the amount of the fluid content enhances also the overall mobility of the flows, increasing the final static load at the end of the impact due to the greater accumulation of the material behind the wall.

295 Acknowledgements

This research was supported through the Engineering and Physical Sciences Research Council (EPSRC), UK project no. EP/M017427/1 "High speed granular debris flows: new paradigms and interactions in geomechanics". The authors would like to acknowledge the assistance of technicians at the University of Sheffield in the construction of the apparatus. MAC was partly funded by the Earlystage Researcher fund (FAPA) from the Universidad de Los Andes, under the Grant agreement No. PR.3.2016.3667.

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378 Figures

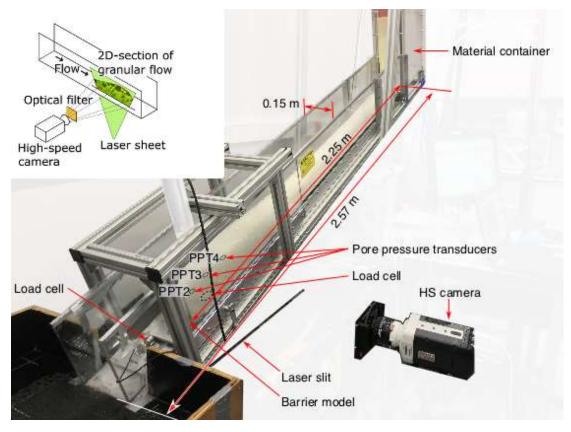
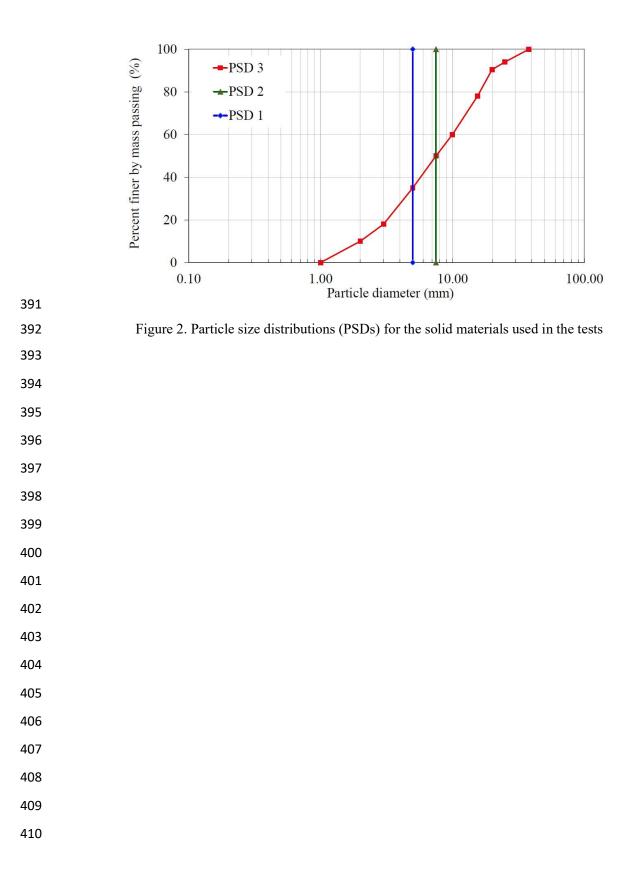
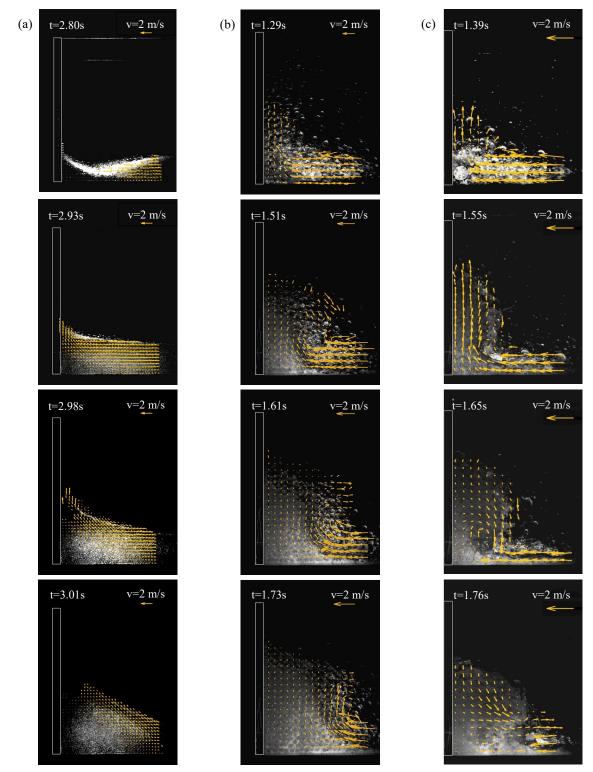


Figure 1. Apparatus employed in the tests. (Inset) PLIF setup

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411 Figure 3. Sequences of the images recorded by the high speed camera for (a) PSD1 at fc of 24%, (b) PSD2

412 at fc of 24%, (c) PSD3 at fc of 32%. The overlapped arrows describe the corresponding velocity filed

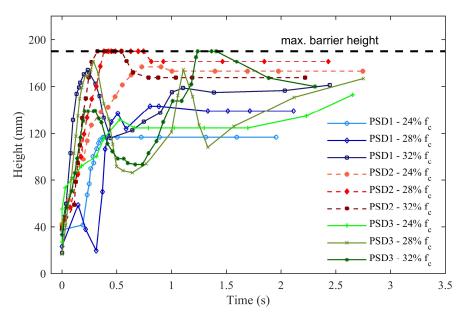
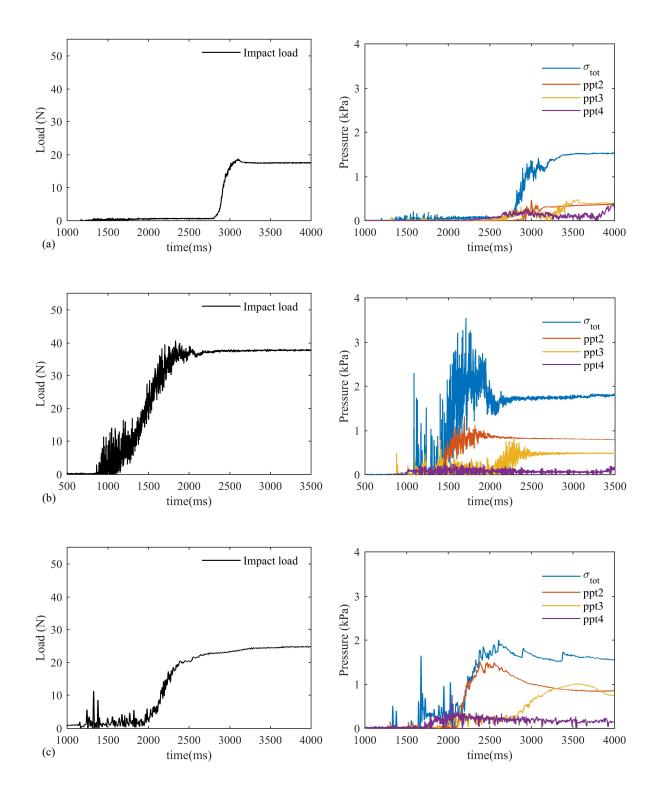




Figure 4. Measured run-up height at the barrier (time t=0 is the time of the flow front arrival)

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433 Figure 5. Fluid content 24% (Left) Load on barrier (Right) Basal pressures (a) PSD1, (b) PSD2, (c) PSD3

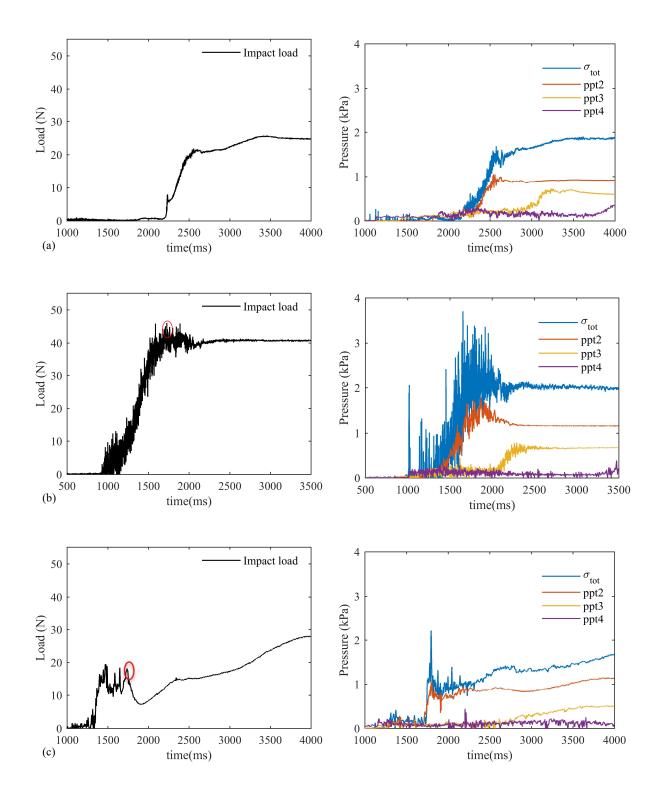


Figure 6. Fluid content 28% (Left) Load on barrier (Right) Basal pressures (a) PSD1, (b) PSD2,
(c) PSD3. The red oval points out the breaking of the jet on the free surface of the incoming flow.

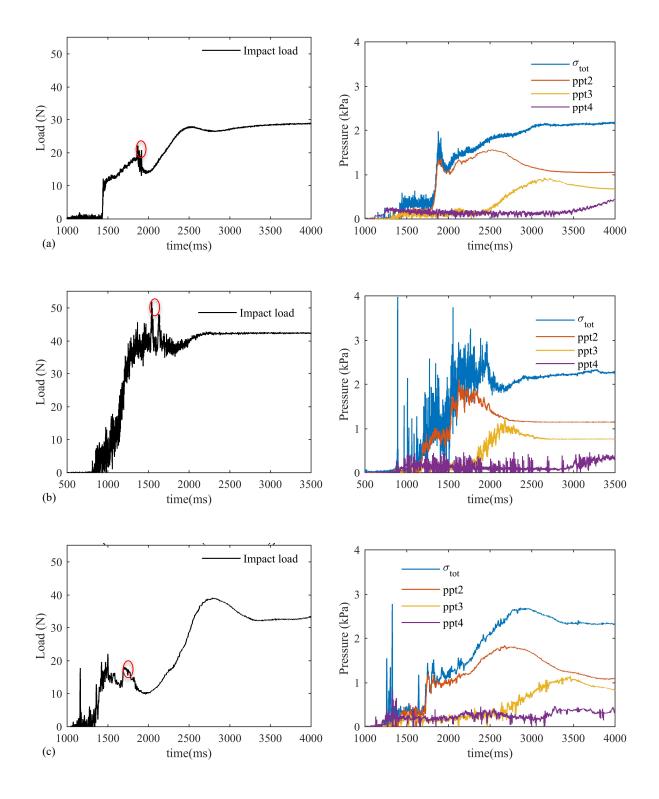


Figure 7. Fluid content 32% (Left) Load on barrier (Right) Basal pressures (a) PSD1, (b) PSD2,
(c) PSD3. The red oval points out the breaking of the jet on the free surface of the incoming flow.