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1 **Interspecies variation in survival of soil fauna in flooded soil**

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6

## 7 **Abstract**

8 While many studies have examined the effects of flooding on earthworm population distributions, few  
9 studies have investigated physiological and behavioural responses of earthworms to the low oxygen  
10 conditions caused by flooding. An earthworm's skin is its oxygen exchange organ, allowing earthworms  
11 to survive in flooded environments provided that the water contains sufficient dissolved oxygen.  
12 Individuals of three species of earthworm, the anecic *Lumbricus terrestris* (Linnaeus, 1758), the green  
13 morph of the endogeic *Allolobophora chlorotica* (Savigny, 1826) and the epigeic *Lumbricus castaneus*  
14 (Savigny, 1826) were placed in reconstituted groundwater that was either kept aerated or kept in a sealed  
15 container so that dissolved oxygen was gradually consumed as the earthworm respired. Oxygen  
16 saturation of the water was measured over time in sacrificial triplicate replicates from each treatment at  
17 discrete time points, with earthworm death recorded. Before treatments, oxygen levels in all treatment  
18 tubes were  $9.53 (\pm 0.64) \text{ mg O}_2 \text{ L}^{-1}$ . *L. terrestris*, a large species which emerges at night to forage at the  
19 soil surface died when oxygen levels reached  $0.82 (\pm 0.46) \text{ mg O}_2 \text{ L}^{-1}$  after approximately 36 hours. *L.*  
20 *castaneus*, a smaller species which lives on the soil surface, died when oxygen levels reached  $3.60 (\pm$   
21  $2.01) \text{ mg O}_2 \text{ L}^{-1}$  after approximately 168 hours. *A. chlorotica*, which is similar in size to *L. castaneus*,  
22 lives in the upper 20 cm of soil and is known to aestivate during the summer, did not die, even when  
23 oxygen levels reached  $1.49 (\pm 0.40) \text{ mg O}_2 \text{ L}^{-1}$  after 280 hours. The results suggest that earthworm  
24 respiration is closely linked to both body size and to behavioural ecotype. These findings suggest that  
25 if flooding increases in frequency resulting in episodic reductions in soil oxygen levels, the species  
26 composition of earthworm communities may change, with an increased presence of endogeic  
27 earthworms which show a responsive plasticity to flooding events.

28 **Keywords:** earthworms; flooding; oxygen requirements; ecotypes; aestivation; traits

29

## 30 1. Introduction

31 The soil environment is one in which both biotic and abiotic factors can be highly heterogeneous over  
32 scales ranging from hectares to millimetres (Ettema and Wardle, 2002). The spatial heterogeneity of  
33 factors such as soil aggregates, microorganisms, moisture and organic matter lead to a highly spatially  
34 diverse distribution of the soil oxygen concentration both within pore space and aggregates (Sexstone  
35 et al., 1985; Parkin, 1993; Stoyan et al., 2000;). As earthworms burrow through the soil they can  
36 therefore encounter a range of different physico-chemical environments within a short distance. The  
37 respiratory system of the earthworm allows for passive diffusion of oxygen across the cuticle and  
38 epidermal tissues, as long as there is sufficient moisture to facilitate gas exchange and sufficient oxygen  
39 for respiration (Edwards and Lofty, 1977). The use of the skin as the organ of gas exchange also allows  
40 earthworms to survive for some time in oxygenated water. An experiment performed by Roots (1956),  
41 found that earthworm survival in aerated water without food varied between species, with individuals  
42 of *Allolobophora chlorotica* (Savigny, 1826) and *Lumbricus terrestris* (Linnaeus, 1758) each surviving  
43 a mean average of 137 days and *Lumbricus rubellus* (Hoffmeister, 1843) surviving 78 days (Roots,  
44 1956).

45 The differing lengths of survival in oxygenated water first noted by Roots (1956) may be linked to the  
46 different ecological niches that the earthworm species exploit. Earthworm species are broadly divided  
47 into three categories: anecic earthworms, which live in deep, vertical burrows and emerge at night to  
48 forage on the soil surface, endogeic earthworms, which live in and feed on the upper 20 cm of soil, and,  
49 epigeic earthworms, which live within and forage in leaf litter on the soil surface (Bouché, 1977). These  
50 three distinct habitats may be subject to different levels of oxygenation, which may in turn mean that  
51 earthworms of different ecotypes are adapted to differing levels of oxygen availability. As soil moisture  
52 and organic matter disperses with increasing soil depth (Stoyan et al., 2000), transitions between oxic  
53 and anoxic zones become smoother, meaning there are fewer distinctly anoxic and distinctly oxic zones,  
54 and more regions existing at partial oxygenation. This, along with the formation of deep burrows which  
55 may conduct oxygen down to deeper layers of soil (Lavelle, 1988) may mean that anecic earthworm  
56 species are less likely to be subject to the heterogeneity of soil oxygenation potentially encountered by

57 the endogeic species. Epigeic earthworms live in and consume litter on the soil surface. In the soil  
58 surface litter environment, microbial activity is highly dependent on moisture and temperature, which  
59 could lead to highly variable oxygen concentrations; however, the fact that the litter is on the soil  
60 surface, where oxygen can easily be replenished from the atmosphere, may mean that epigeic  
61 earthworms do not need to display any long term adaptations to cope with low oxygen conditions.

62 While earthworms of all ecotypes are likely adapted to some degree of oxygen stress, with *L. terrestris*  
63 and *L. rubellus* having been found to produce lactic acid (Davis and Slater, 1928) and other metabolites  
64 associated with anaerobic respiration (Gruner and Zebe, 1978), their ability to tolerate anoxic conditions  
65 is still unknown. A number of field studies have found that flooding causes a decrease in earthworm  
66 abundance and biomass, but also reduces the overall diversity of earthworm species (Plum, 2005; Plum  
67 and Filser, 2005; Kiss et al., 2021). As flooded soil can reach anoxic levels within as little as 24 hours  
68 (Ponnamperuma, 1984; Kiss, 2019), understanding how earthworms of different ecotypes respond to  
69 decreasing oxygen concentrations may inform understanding of earthworm population dynamics in  
70 regularly flooded regions. This study could also aid understanding of how previously undisturbed  
71 populations may shift with the increased frequency and intensity of flooding predicted to occur in many  
72 regions with global climate change (Hirabayashi and Kanae, 2009; Kundzewicz et al., 2014).

73 In this study, three common European earthworm species (*L. terrestris*, *A. chlorotica*, and *Lumbricus*  
74 *castaneus* (Savigny, 1826)) representing anecic, endogeic and epigeic ecotypes respectively, were  
75 maintained in sealed treatment tubes or in aerated control tubes filled with a reconstituted groundwater  
76 solution. These tubes were destructively sampled at set time points to determine how the dissolved  
77 oxygen concentration within the solution changed over time. The hypothesis for the study was that the  
78 dissolved oxygen concentration at which individuals die will differ between species, depending on  
79 characteristics such as the size of the individual or species behavioural patterns. This study aims to  
80 quantify differences in oxygen requirements between the three earthworm species, to suggest  
81 mechanisms for why these differences may occur, and to suggest how these differences may affect  
82 earthworm populations both at present and with predicted future climate change.

## 83 2. Materials and Methods

### 84 2.1. Earthworm collection

85 Adult, clitellate *L. terrestris* were purchased from Wiggly Wrigglers Ltd (Blakemere, UK); adult,  
86 clitellate *A. chlorotica* and *L. castaneus* were collected from pasture fields at Spen Farm, near Leeds  
87 (SE 44300 41700). The same experimental methodology was used for each species, but changes were  
88 made to sampling times based on scoping studies (not reported). *L. terrestris* was selected as the anecic  
89 study species due to its prevalence in UK and Western European soils (Rutgers et al., 2016). *A.*  
90 *chlorotica* was selected as the endogeic study species as it was the most common species in arable and  
91 pasture field sites (Natural England, 2014). *L. castaneus* was selected as the epigeic species as it was  
92 similar in size to *A. chlorotica*, and could be collected in sufficient numbers from the Spen Farm site.

### 93 2.2. Experimental design

94 Earthworms were depurated for forty-eight hours at 10°C on damp blue roll to empty their gut contents  
95 (Arnold and Hodson, 2007). Blue roll was changed approximately every 12 hours to prevent re-  
96 ingestion of soil matter. A greater number of earthworms than required were depurated to allow for any  
97 individuals that appeared to be in poor condition post depuration to be discarded. Following depuration,  
98 forty-eight individuals of each species were selected and their weight, length and diameter recorded.  
99 Earthworms were weighed on a four place Ohaus Adventurer Pro balance. To determine length and  
100 diameter earthworms were photographed against 1 mm<sup>2</sup> graph paper and dimensions were measured  
101 manually with a rule using the grid for scale.

102 Individual earthworms were added to 50 ml centrifuge tubes that were filled to the brim with  
103 reconstituted groundwater (Arnold et al., 2007) which had been pre-cooled to 10°C in a controlled  
104 temperature cabinet. Twenty-four of the tubes were sealed with centrifuge tube lids. The remaining  
105 twenty-four tubes were capped with lids that had been modified by drilling seven holes of approximately  
106 2.5 mm width in the lid. A length of flexible plastic tubing of approximately 2.5 mm internal diameter  
107 was inserted through one of these holes. After the earthworms were placed in the tubes, the treatment

108 tubes were returned to the 10°C controlled temperature cabinet. The control tubes were connected to a  
109 peristaltic pump set to rotate at 90 RPM to aerate the reconstituted groundwater solution. The size and  
110 shape of the pumps meant that they could not fit in the 10°C controlled temperature chamber, so the  
111 control experiment was conducted in a 15°C controlled temperature room. Despite the fact that the  
112 treatment and control tubes were maintained at different temperatures, this was considered justified as  
113 the purpose of the controls was to demonstrate that the earthworms could survive in the solutions if the  
114 solutions were kept aerated so that oxygen levels did not deplete. While temperatures of 15°C are  
115 optimal for high rates of earthworm cocoon production and growth under laboratory culture conditions  
116 (Lowe and Butt, 2005), earthworms are active in the soil at 10°C and this is a more realistic temperature  
117 for earthworm activity, so it was deemed more appropriate to run the main experiment at that  
118 temperature (Edwards and Lofty, 1977). As metabolic rates in earthworms are highly influenced by  
119 external temperature (Meehan, 2006), when seeking to understand how earthworm oxygen  
120 requirements may vary between species in flooded soils it was important to maintain the treatment tubes  
121 in temperatures that more accurately represent flooded UK soils than the 15°C control tubes.

### 122 **2.3. Measurements**

123 Measurement intervals were determined in preliminary studies using the different species, when prior  
124 methodologies were being tested (data not reported here). Preliminary tests used just the earthworm-  
125 bearing sealed tubes to determine the length of time for which the earthworms of different species were  
126 likely to survive. By taking measurements regularly over the course of these preliminary tests, we were  
127 able to determine appropriate sampling time points in the main experiment in order to obtain  
128 interpretable response curves for each species whilst taking into account the different time scales over  
129 which the different earthworms responded. The time points at which measurements were taken for *L.*  
130 *terrestris* were 0, 3, 6, 9, 24, 33, 48, and 72 hours following immersion. For *A. chlorotica*, the time  
131 points were 0, 9, 24, 48, 96, 144, 216, and 288 hours following immersion. For *L. castaneus*, the time  
132 points were 0, 9, 24, 48, 72, 120, 168, and 216 hours following immersion. At each interval, three tubes  
133 from both the treatment and control sets were selected at random using a random number generator and  
134 opened – these destructively sampled replicates are referred to as ‘sacrificial replicates’. By employing

135 this methodology, rather than repeated measurements of the same tube, each measurement was  
136 independent, thus avoiding pseudoreplication in our experimental design. Immediately after opening  
137 each tube, the percent oxygen saturation, the concentration of oxygen in solution ( $\text{mg O}_2 \text{ L}^{-1}$ ), and the  
138 solution temperature were measured using a Thermo Scientific Orion Star A223 and Star A23 Portable  
139 Dissolved Oxygen Meter. Immediately following oxygen measurements, the pH of the solution was  
140 measured using a Thermo Orion 420A plus pH/ISE Meter, calibrated with pH 4, pH 7 and pH 10 buffers.  
141 The earthworm from the tube was removed, blotted on blue roll, and its weight, length, and width  
142 recorded. Weight was measured using a four decimal place Ohaus Adventurer Pro balance and length  
143 and width measured manually with a rule from photographs of earthworms against  $1 \text{ mm}^2$  graph paper.  
144 The earthworms were tested to see if they were alive using a response test, in which they were prodded  
145 near the sensitive mouth parts using a sharp needle (OECD, 1984). If the earthworm did not respond to  
146 the prodding and, in the case of *A. chlorotica*, which appeared to show a behavioural response to  
147 submersion, did not show any signs of movement after two minutes on the bench surface, during which  
148 it was weighed and measured, it was recorded as dead. If earthworms were alive, they were removed to  
149 damp soil for later release.

#### 150 **2.4. Data analyses and statistics**

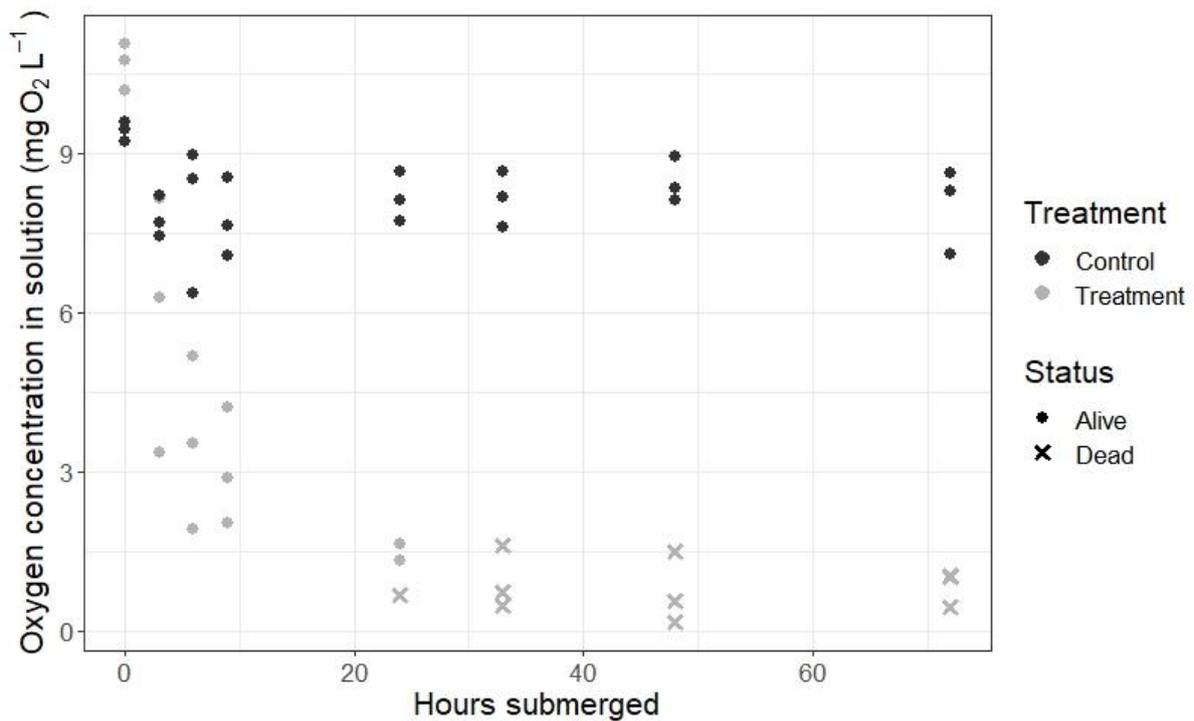
151 Data were analysed using RStudio (R Core Team, 2019). The oxygen concentration in solution for each  
152 replicate at each time point was normalised per gram biomass of earthworm using the initial earthworm  
153 fresh biomass, and per unit surface area of earthworm. Initial biomass was used for calculations to  
154 account for variation in time since earthworm death at sampling, which may have led to a change in  
155 mass, but at an unknown rate, due to loss of earthworm active control of osmoregulation (Carley et al.,  
156 1983). Earthworm surface area was calculated using the initial length and width of the earthworms and  
157 by assuming that the earthworms were perfect cylinders. pH values were converted to proton  
158 concentrations prior to statistical analysis. Datasets were statistically tested for normality using a  
159 Shapiro-Wilk test and visual examination of the data distribution, and non-parametric equivalents of  
160 statistical tests used where necessary. To determine how the oxygen concentration changed in the  
161 control and treatment tubes over time for each species, a generalised linear model (GLM) was

162 performed as a non-parametric equivalent to a two-way analysis of variance (ANOVA) comparing the  
163 effects of treatment and time point on the oxygen concentration for each earthworm species. This was  
164 performed for the absolute oxygen concentration ( $\text{mg O}_2 \text{ L}^{-1}$ ) in solution, the concentration per gram  
165 fresh initial earthworm biomass ( $\text{mg O}_2 \text{ L}^{-1} \text{ g}^{-1}$ ) and the concentration per  $\text{mm}^2$  fresh initial surface area  
166 ( $\text{mg O}_2 \text{ L}^{-1} \text{ mm}^{-2}$ ) for each individual. Tukey *post hoc* tests were used to determine where differences  
167 between combinations of timepoint and treatment lay. To determine whether the oxygen concentration  
168 at which individuals of *L. terrestris* and *L. castaneus* died differed, a two-way t-test for the absolute  
169 concentration of oxygen, and a Wilcoxon signed ranks test for the concentration of oxygen normalised  
170 by both gram fresh biomass of the earthworm individual and by the initial surface area of the earthworm  
171 was used. A further hypothesis, that the oxygen concentration at which individuals of *L. terrestris* and  
172 *L. castaneus* died differed significantly from the plateaued oxygen concentration observed in the *A.*  
173 *chlorotica* experiments, was tested using a Kruskal-Wallis test, and pairwise Wilcox *post hoc* testing.  
174 To determine if the mass gained by earthworms in solution differed between control and treatment,  
175 between live and dead earthworms, and the effect of the time spent immersed in solution, a three-way  
176 GLM was performed separately for both *L. terrestris* and *L. castaneus*. As no individuals of *A.*  
177 *chlorotica* died during the experiment, a GLM was performed comparing the mass gained between  
178 individuals in the treatment and control tubes and the time submerged. Tukey *post hoc* testing was used  
179 to determine how the mass gain differed between control species, live individuals, and dead individuals.  
180 To determine whether the pH of the solution changed with time and between control or treatment tubes,  
181 GLMs acting as a non-parametric two way ANOVA were performed with the pH of the solution as the  
182 dependent variable and measurement time point and treatment or control as the factors. Tukey *post hoc*  
183 tests were used to determine where differences between combinations of timepoint and treatment lay.

184 **3. Results**

185 **3.1. Solution oxygen concentration and earthworm response**

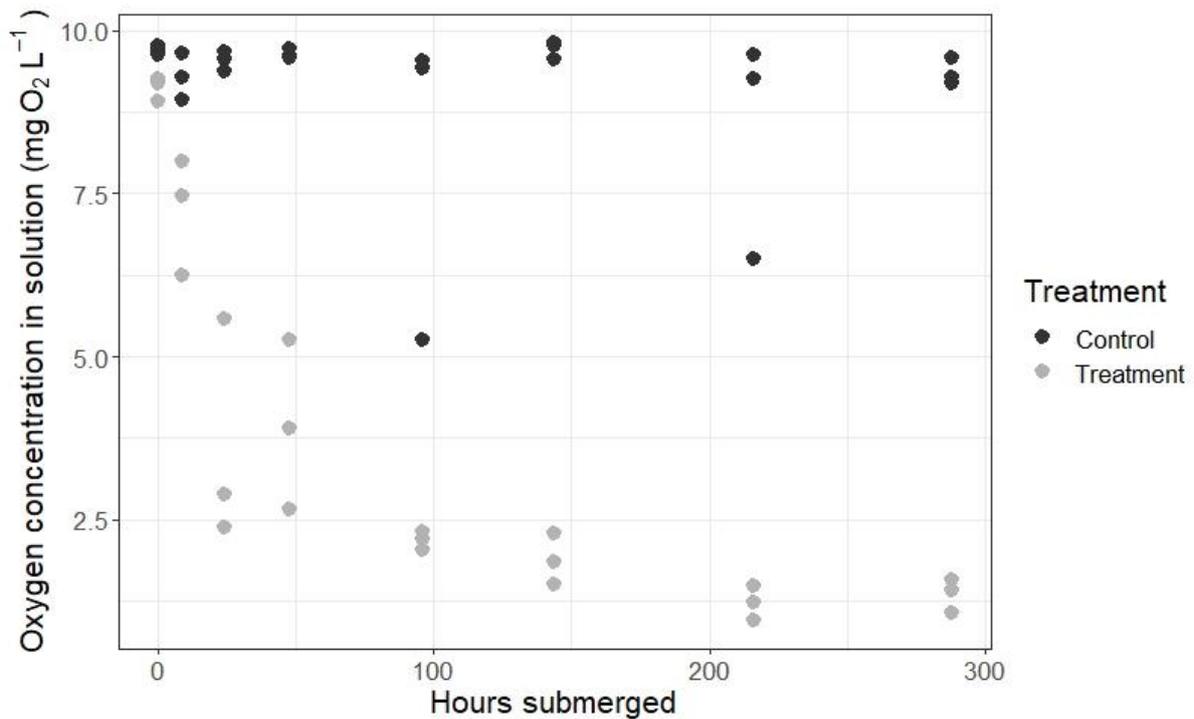
186 There was a significant effect of the sampling time point ( $P < 0.01$ ), the treatment ( $P < 0.01$ ), and the  
187 interaction term between the two ( $P < 0.01$ ) on the oxygen concentration in solution for *L. terrestris*  
188 (Fig. 1), *A. chlorotica* (Fig. 2), and *L. castaneus* (Fig. 3).



189

190 **Fig 1. The changes in oxygen concentration in control and treatment tubes containing individuals**  
191 **of *Lumbricus terrestris* over time.**

192 No individuals of *L. terrestris* died in the control tubes over 72 hours. In the treatment tubes, 100%  
193 earthworm mortality was reached by 36 hours submerged. Tukey *post hoc* testing showed that, for  
194 individuals of *L. terrestris*, the oxygen concentration in the control and treatment tubes did not  
195 significantly differ from hours 0 to 6. From hours 9 to 72, the oxygen concentration was significantly  
196 lower in the treatment tubes than in the control tubes ( $P < 0.05$ ). Across all sampling time points,  
197 there was no significant difference in the oxygen concentration in the control tubes. From hours 9 to  
198 72, the oxygen concentrations in the treatment tubes did not differ significantly.

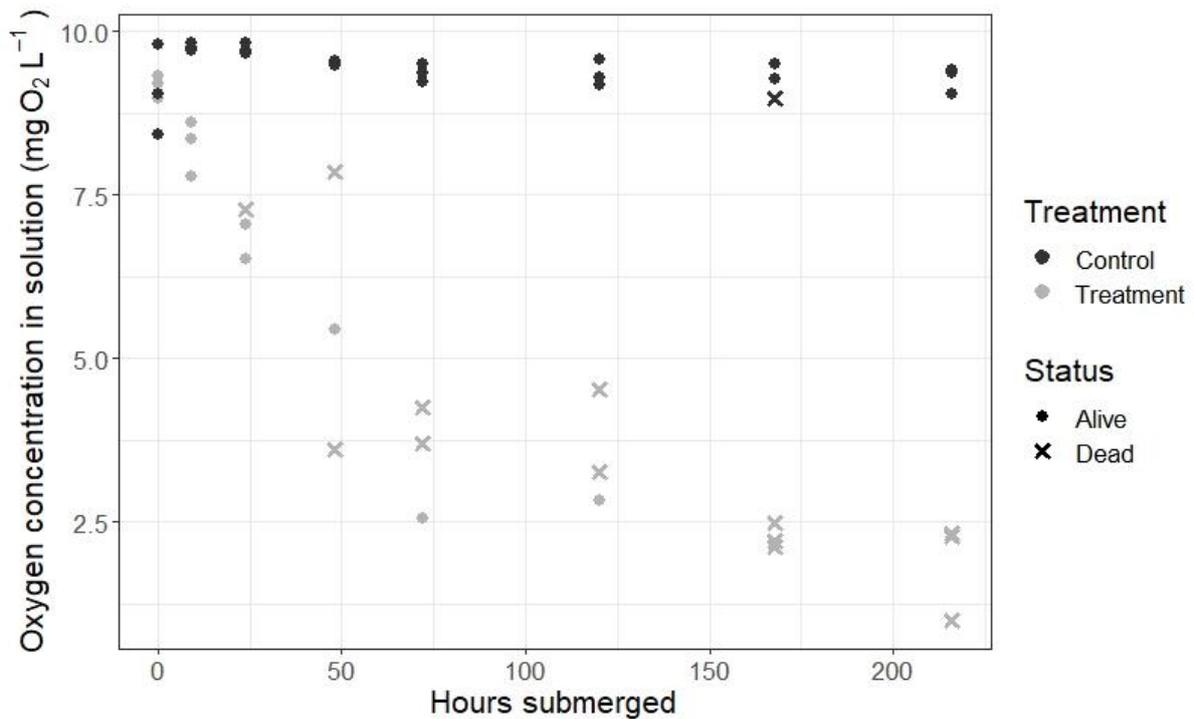


199

200 **Fig. 2. The changes in oxygen concentration in control and treatment tubes containing individuals**  
 201 **of *Allolobophora chlorotica* over time.**

202 No individuals of *A. chlorotica* died over the 288 hour sampling period. Tukey *post hoc* testing showed  
 203 that, for individuals of *A. chlorotica*, the oxygen concentration in the control and treatment tubes did  
 204 not significantly differ from hours 0 to 9. From hours 24 to 288, the oxygen concentration in the  
 205 treatment tubes was significantly lower than in the control tubes ( $P < 0.05$ ). Across all sampling time  
 206 points, there was no significant difference in the oxygen concentration of the control tubes. Between  
 207 hours 24 to 288, the oxygen concentrations within the treatment tubes did not differ significantly.

208



209

210 **Fig. 3. The changes in oxygen concentration in control and treatment tubes containing individuals**  
 211 **of *Lumbricus castaneus* over time.**

212 One individual of *L. castaneus* was found dead in the control tubes, at hour 168. In the treatment  
 213 tubes, 100% mortality was reached by 168 hours submerged, with 66% mortality reached at 28 hours.  
 214 Tukey *post hoc* testing showed that, for individuals of *L. castaneus*, the oxygen concentration in the  
 215 control and treatment tubes did not significantly differ from hours 0 to 9. From hours 24 to 216, the  
 216 oxygen concentration in the treatments tubes was significantly lower than the oxygen concentration in  
 217 the control tubes ( $P < 0.05$ ). Across all sampling time points, there was no significant difference in the  
 218 oxygen concentration of the control tubes. Within the treatment tubes, the oxygen concentration was  
 219 significantly higher at hours 24 and 48 than the sampling time points between hours 72 and 216 ( $P <$   
 220  $0.05$ ).

221 The mean values of the normalised oxygen concentration per gram biomass of individuals of each  
 222 earthworm species for each time point are presented in Table 1. There was a significant effect of time  
 223 point ( $P < 0.01$ ) treatment ( $P < 0.01$ ) and the interaction term between the two ( $P < 0.01$ ) for all three  
 224 earthworm species.

225 Tukey *post hoc* testing showed that for all three earthworm species, there was no significant  
226 difference in the oxygen concentration normalised per gram at each time point for the control tubes ( $P$   
227  $< 0.05$ ). For individuals of *L. terrestris*, the oxygen concentration per gram biomass in the treatment  
228 tubes began to be significantly lower than the control tubes from hour 9 ( $P < 0.05$ ), with no  
229 significant difference in the oxygen concentration per gram biomass in the treatment tubes from hour  
230 6 ( $P < 0.05$ ). For individuals of *A. chlorotica*, the oxygen concentration per gram biomass in the  
231 treatment tubes began to be significantly lower than the control tubes from hour 24 ( $P < 0.05$ ), with  
232 no significant difference in the oxygen concentration per gram biomass in the treatment tubes from  
233 hour 24 ( $P < 0.05$ ). For individuals of *L. castaneus*, the oxygen concentration per gram biomass in the  
234 treatment tubes began to be significantly lower than the control tubes from hour 72 ( $P < 0.05$ ). From  
235 hour 24, there was no significant difference in the oxygen concentration per gram biomass in the  
236 treatment tubes ( $P < 0.05$ ).

237

238 **Table 1.** The mean and standard deviation oxygen concentration normalised by the gram biomass of the individual (mg O<sub>2</sub> L<sup>-1</sup> g<sup>-1</sup>) in control and treatment tubes containing  
 239 individuals of *Lumbricus terrestris* (n = 48), *Allolobophora. chlorotica* (n = 48), and *Lumbricus castaneus* (n = 48) at each time point (n = 3 for each species time point). For  
 240 each species, cells marked with the same letter within control and treatment columns are not significantly different ( $P > 0.05$ ; Tukey *post hoc* testing).

<i>L. terrestris</i>			<i>A. chlorotica</i>			<i>L. castaneus</i>		
Hours submerged	Oxygen concentration normalised per unit biomass (mg O <sub>2</sub> L <sup>-1</sup> g <sup>-1</sup> )		Hours submerged	Oxygen concentration normalised per unit biomass (mg O <sub>2</sub> L <sup>-1</sup> g <sup>-1</sup> )		Hours submerged	Oxygen concentration normalised per unit biomass (mg O <sub>2</sub> L <sup>-1</sup> g <sup>-1</sup> )	
	Control	Treatment		Control	Treatment		Control	Treatment
<b>0</b>	2.58 (± 0.34) <b>ef</b>	3.16 (± 0.67) <b>f</b>	<b>0</b>	57.15 (± 12.15) <b>d</b>	59.54 (± 2.99) <b>d</b>	<b>0</b>	79.64 (± 13.06) <b>bc</b>	61.33 (± 7.03) <b>bc</b>
<b>3</b>	1.96 (± 0.20) <b>de</b>	1.42 (± 0.78) <b>bcde</b>	<b>9</b>	54.08 (± 19.31) <b>d</b>	47.75 (± 16.15) <b>bcd</b>	<b>9</b>	78.11 (± 24.06) <b>c</b>	77.88 (± 8.29) <b>c</b>
<b>6</b>	1.99 (± 0.50) <b>def</b>	0.84 (± 0.43) <b>abcd</b>	<b>24</b>	54.97 (± 4.35) <b>d</b>	23.62 (± 13.84) <b>abc</b>	<b>24</b>	76.26 (± 11.60) <b>c</b>	47.15 (± 1.95) <b>abc</b>
<b>9</b>	1.48 (± 0.44) <b>de</b>	0.74 (± 0.42) <b>abc</b>	<b>48</b>	61.59 (± 7.79) <b>d</b>	22.15 (± 5.94) <b>ab</b>	<b>48</b>	61.67 (± 24.08) <b>c</b>	49.26 (± 27.09) <b>abc</b>
<b>24</b>	1.98 (± 0.38) <b>ef</b>	0.28 (± 0.13) <b>ab</b>	<b>96</b>	53.89 (± 21.64) <b>d</b>	13.78 (± 1.47) <b>a</b>	<b>72</b>	75.17 (± 16.39) <b>bc</b>	25.93 (± 8.47) <b>ab</b>
<b>33</b>	2.21 (± 0.20) <b>cde</b>	0.25 (± 0.19) <b>ab</b>	<b>144</b>	54.33 (± 6.80) <b>d</b>	10.93 (± 3.75) <b>a</b>	<b>120</b>	80.64 (± 14.46) <b>c</b>	28.83 (± 10.34) <b>ab</b>
<b>48</b>	1.76 (± 0.12) <b>ef</b>	0.19 (± 0.19) <b>a</b>	<b>216</b>	52.70 (± 12.93) <b>cd</b>	8.88 (± 1.40) <b>a</b>	<b>168</b>	81.64 (± 13.06) <b>c</b>	14.52 (± 1.67) <b>a</b>
<b>72</b>	2.38 (± 0.72) <b>ef</b>	0.21 (± 0.08) <b>a</b>	<b>288</b>	63.69 (± 4.18) <b>cd</b>	7.64 (± 0.68) <b>a</b>	<b>216</b>	79.64 (± 25.56) <b>c</b>	12.37 (± 2.88) <b>a</b>

241

242 The mean values of the oxygen concentration normalised per unit surface area ( $\text{mm}^{-2}$ ) for each time  
243 point are presented in Table 2. There was a significant effect of time point ( $P < 0.01$ ) and treatment ( $P$   
244  $< 0.01$ ) for all earthworm species, with a significant interaction term ( $P < 0.01$ ) present for *L.*  
245 *terrestris* and *A. chlorotica*.

246 Tukey *post hoc* testing showed that for all earthworm species, there was no significant difference in  
247 the oxygen concentration normalised per  $\text{mm}^2$  surface area at each time point for the control tubes ( $P$   
248  $< 0.05$ ). For individuals of *L. terrestris*, the oxygen concentration per  $\text{mm}^2$  surface area in the  
249 treatment tubes began to be significantly lower than the control tubes from hour 24 ( $P < 0.05$ ), with  
250 no significant difference in the oxygen concentration per gram biomass in the treatment tubes from  
251 hour 3 ( $P < 0.05$ ). For individuals of *A. chlorotica*, the oxygen concentration per  $\text{mm}^2$  surface area in  
252 the treatment tubes became significantly lower than the oxygen concentration per  $\text{mm}^2$  at 9 hours in  
253 both the treatment and control tubes from 48 hours onwards ( $P < 0.05$ ). There was no significant  
254 difference in the oxygen concentration per  $\text{mm}^2$  in the treatment tubes from 24 hours ( $P < 0.05$ ). For  
255 individuals of *L. castaneus*, oxygen concentration per  $\text{mm}^2$  surface area for individuals in the  
256 treatment tubes was significantly lower than the oxygen concentration in the control tubes ( $P < 0.05$ ).  
257 Tukey *post hoc* testing of the interaction terms showed that the only statistically significant difference  
258 occurred between the treatment tubes at hours 168 and 216, which were significantly lower than the  
259 control tubes at hour 9 ( $P < 0.05$ ).

260 **Table 2.** The mean and standard deviation oxygen concentration normalised by the mm<sup>2</sup> surface area of the individual ( $\mu\text{g O}_2 \text{ L}^{-1} \text{ mm}^{-2}$ ) in control and treatment tubes containing  
 261 individuals of *Lumbricus terrestris* (n = 48), *Allolobophora chlorotica* (n = 48), and *Lumbricus castaneus* (n = 48) at each time point (n = 3 for each species time point). For  
 262 each species, cells marked with the same letter within control and treatment columns are not significantly different ( $P > 0.05$ ; Tukey *post hoc* testing).

<i>L. terrestris</i>			<i>A. chlorotica</i>			<i>L. castaneus</i>		
Hours submerged	Oxygen concentration normalised per unit surface area ( $\mu\text{g O}_2 \text{ L}^{-1} \text{ mm}^{-2}$ )		Hours submerged	Oxygen concentration normalised per unit surface area ( $\mu\text{g O}_2 \text{ L}^{-1} \text{ mm}^{-2}$ )		Hours submerged	Oxygen concentration normalised per unit surface area ( $\mu\text{g O}_2 \text{ L}^{-1} \text{ mm}^{-2}$ )	
	Control	Treatment		Control	Treatment		Control	Treatment
<b>0</b>	4.26 ( $\pm$ 1.63) <b>d</b>	4.38 ( $\pm$ 0.54) <b>d</b>	<b>0</b>	44.89 ( $\pm$ 11.65) <b>e</b>	46.53 ( $\pm$ 3.99) <b>e</b>	<b>0</b>	63.14 ( $\pm$ 22.58) <b>ab</b>	63.69 ( $\pm$ 19.24) <b>ab</b>
<b>3</b>	2.88 ( $\pm$ 0.53) <b>cd</b>	2.53 ( $\pm$ 1.42) <b>abcd</b>	<b>9</b>	37.78 ( $\pm$ 11.27) <b>cde</b>	36.36 ( $\pm$ 11.02) <b>bcde</b>	<b>9</b>	84.49 ( $\pm$ 12.05) <b>b</b>	76.18 ( $\pm$ 27.95) <b>ab</b>
<b>6</b>	3.17 ( $\pm$ 0.84) <b>cd</b>	1.27 ( $\pm$ 0.42) <b>abc</b>	<b>24</b>	48.97 ( $\pm$ 1.78) <b>e</b>	18.82 ( $\pm$ 10.45) <b>abcd</b>	<b>24</b>	64.84 ( $\pm$ 32.57) <b>ab</b>	47.34 ( $\pm$ 15.56) <b>ab</b>
<b>9</b>	3.24 ( $\pm$ 0.67) <b>cd</b>	1.44 ( $\pm$ 0.98) <b>abc</b>	<b>48</b>	57.20 ( $\pm$ 12.67) <b>e</b>	15.89 ( $\pm$ 2.77) <b>abc</b>	<b>48</b>	81.19 ( $\pm$ 38.19) <b>ab</b>	55.04 ( $\pm$ 33.70) <b>ab</b>
<b>24</b>	3.75 ( $\pm$ 1.05) <b>d</b>	0.48 ( $\pm$ 0.21) <b>ab</b>	<b>96</b>	43.50 ( $\pm$ 16.53) <b>de</b>	12.24 ( $\pm$ 0.56) <b>ab</b>	<b>72</b>	60.02 ( $\pm$ 28.60) <b>ab</b>	21.69 ( $\pm$ 14.63) <b>ab</b>
<b>33</b>	2.71 ( $\pm$ 0.22) <b>bcd</b>	0.36 ( $\pm$ 0.28) <b>a</b>	<b>144</b>	33.51 ( $\pm$ 9.26) <b>cde</b>	8.05 ( $\pm$ 3.18) <b>a</b>	<b>120</b>	59.44 ( $\pm$ 10.36) <b>ab</b>	21.07 ( $\pm$ 5.47) <b>ab</b>
<b>48</b>	2.86 ( $\pm$ 0.11) <b>cd</b>	0.28 ( $\pm$ 0.28) <b>a</b>	<b>216</b>	47.66 ( $\pm$ 7.89) <b>de</b>	6.00 ( $\pm$ 1.48) <b>a</b>	<b>168</b>	63.48 ( $\pm$ 13.18) <b>ab</b>	13.81 ( $\pm$ 4.05) <b>a</b>
<b>72</b>	3.16 ( $\pm$ 1.19) <b>cd</b>	0.36 ( $\pm$ 0.27) <b>a</b>	<b>288</b>	42.50 ( $\pm$ 3.97) <b>de</b>	5.65 ( $\pm$ 0.88) <b>a</b>	<b>216</b>	74.46 ( $\pm$ 50.81) <b>ab</b>	13.44 ( $\pm$ 3.97) <b>a</b>

263

264 **3.2. Differences in oxygen concentration at which earthworms died**

265 As no individuals of *A. chlorotica* died, they were not included in this portion of the statistical testing.  
 266 However, the average oxygen concentration at the timepoints at which oxygen concentrations ceased  
 267 to reduce (hours 144 to 288) was included for comparison to that at which individuals of *L. terrestris*  
 268 and *L. castaneus* died. The absolute, and biomass- and surface area-normalised oxygen concentrations  
 269 recorded for each dead individual of *L. terrestris* and *L. castaneus* were compared (Table 3).  
 270 Individuals of *L. terrestris* died at a significantly lower oxygen concentration than individuals of *L.*  
 271 *castaneus* when considering the absolute oxygen concentration ( $P = 0.003$ ), concentration normalised  
 272 to biomass ( $P < 0.0001$ ) and concentration normalised to surface area ( $P < 0.0001$ ). There was no  
 273 significant difference between the statistically constant oxygen concentrations across hours 144 to 288  
 274 in treatment tubes containing living *A. chlorotica* and the concentrations at which *L. castaneus* died.  
 275 However, *L. terrestris* died at significantly lower oxygen concentrations ( $P < 0.0001$ ).

276

277 **Table 3.** The mean and standard deviations of the absolute, biomass-normalised and surface area-  
 278 normalised oxygen concentrations recorded for each dead individual of *Lumbricus terrestris* (n = 10)  
 279 and *Lumbricus castaneus* (n = 13), and the oxygen concentrations in treatment tubes between hours 144  
 280 and 288 for *Allolobophora chlorotica* (n = 9). Within the same row, cells marked with the same letter  
 281 are not significantly different ( $P > 0.05$ ; pairwise Wilcox *post hoc* testing).

	<i>L. terrestris</i>	<i>L. castaneus</i>	<i>A. chlorotica</i>
Absolute oxygen concentration (mg O <sub>2</sub> L <sup>-1</sup> )	0.82 (± 0.46) <b>a</b>	3.60 (± 2.01) <b>b</b>	1.49 (± 0.40) <b>b</b>
Oxygen concentration normalised by biomass (mg O <sub>2</sub> L <sup>-1</sup> g <sup>-1</sup> )	0.21 (± 0.14) <b>a</b>	26.34 (± 19.13) <b>b</b>	9.15 (± 2.90) <b>b</b>
Oxygen concentration normalised by surface area (µg O <sub>2</sub> L <sup>-1</sup> mm <sup>-2</sup> )	3.28 (± 2.11) <b>a</b>	2.43 (± 2.13) <b>b</b>	6.57 (± 2.13) <b>b</b>

282

### 283 3.3. Earthworm mass gain

284 Individuals of each earthworm species increased in mass over the duration of the experiment (Table 4).

285 There was no significant difference in the mass gained (g) by individuals of *L. terrestris* between the  
286 control and treatment tubes and live and dead individuals, but there was a significant effect of the time  
287 spent submerged ( $P < 0.001$ ), with individuals from 6 hours submerged gaining significantly more mass  
288 than the individuals retrieved at 0 hours submerged ( $P < 0.05$ ).

289 Individuals of *A chlorotica* in the treatment tubes gained significantly more mass ( $P = 0.028$ ) than  
290 individuals in the control tubes, and showed a significant interaction between the treatment and the time  
291 submerged ( $P = 0.01$ ). Treatment individuals submerged for 96 and 216 hours gained significantly more  
292 mass than control individuals submerged for 0, 9, 48, 216 and 288 hours, and than treatment individuals  
293 submerged for 0, 9, and 24 hours ( $P < 0.05$ ).

294 There was no significant difference in the mass gained between the control and treatment tubes for  
295 individuals of *L. castaneus*, and no significant effect of the hours submerged. There was a significant  
296 difference between live and dead individuals of *L. castaneus* ( $P < 0.0001$ ) and a significant effect of the  
297 interaction term between treatment and control tubes and living and dead earthworms ( $P = 0.002$ ).  
298 Tukey *post hoc* testing showed that dead treatment individuals gained significantly more mass than  
299 alive treatment, alive control, or dead control earthworms ( $P < 0.05$ ).

300

301 **Table 4.** The mean and standard deviations of the mass (g) before and after the experiment and the mass  
 302 change (g) observed in control and treatment individuals of *Allolobophora chlorotica*, and the mass  
 303 gain in control and live and dead treatment individuals of *Lumbricus castaneus* and *Lumbricus*  
 304 *terrestris*. Within the mass change column, cells of the same species marked with the same letter are  
 305 not significantly different ( $P > 0.05$ ; Tukey *post hoc* testing). Cells marked with N/A indicates no  
 306 earthworms within that category.

Control tubes						
	Before		After		Mass change	
A. <i>chlorotica</i>	0.17 ( $\pm 0.03$ )		0.22 ( $\pm 0.06$ )		0.05 ( $\pm 0.04$ ) <b>a</b>	
Treatment tubes						
A. <i>chlorotica</i>	0.16 ( $\pm 0.03$ )		0.25 ( $\pm 0.07$ )		0.09 ( $\pm 0.07$ ) <b>b</b>	
	Before		After		Mass change	
	Live earthworms	Dead earthworms	Live earthworms	Dead earthworms	Live earthworms	Dead earthworms
Control tubes						
L. <i>castaneus</i>	0.14 ( $\pm 0.03$ )	N/A	0.15 ( $\pm 0.03$ )	N/A	0.01 ( $\pm 0.01$ ) <b>a</b>	N/A
L. <i>terrestris</i>	3.93 ( $\pm 0.60$ )	N/A	4.75 ( $\pm 0.90$ )	N/A	0.83 ( $\pm 0.49$ ) <b>a</b>	N/A
Treatment tubes						
L. <i>castaneus</i>	0.13 ( $\pm 0.02$ )	0.15 ( $\pm 0.03$ )	0.19 ( $\pm 0.08$ )	0.22 ( $\pm 0.07$ )	0.02 ( $\pm 0.02$ ) <b>a</b>	0.11 ( $\pm 0.05$ ) <b>b</b>
L. <i>terrestris</i>	4.19 ( $\pm 0.78$ )	4.17 ( $\pm 0.45$ )	5.27 ( $\pm 1.36$ )	5.54 ( $\pm 0.72$ )	1.08 ( $\pm 0.93$ ) <b>a</b>	1.37 ( $\pm 0.42$ ) <b>a</b>

307

### 308 3.4. Changes in solution pH

309 The pH of the solutions fluctuated between 6.20 and 7.79 throughout the experiment. There was a  
310 significant effect of time ( $P < 0.0001$ ), treatment ( $P < 0.0001$ ) and the interaction term between the two  
311 ( $P < 0.0001$ ) on the solution pH for *L. terrestris*, *A. chlorotica*, and *L. castaneus* (Table 5).

312 Tukey *post hoc* testing of the pH values over time points and treatments for individuals of *L. terrestris*  
313 found that the pH of the solution in the treatment tubes at time points 48 and 72 hours were significantly  
314 lower than the pH of control tubes at 3 and 72 hours ( $P < 0.05$ ). The general trends showed that pH  
315 values were significantly lower in the treatment tubes than in the control tubes ( $P < 0.05$ ), and that pH  
316 values were significantly lower in hours 28 and 72 than in hours 0 to 24 ( $P < 0.05$ ).

317 Tukey *post hoc* testing for *A. chlorotica* found that the pH of the solution in the treatment tubes was  
318 significantly lower than the control tubes at time point 288 hours ( $P < 0.05$ ). The pH values from 48 to  
319 288 hours were significantly lower than 0 to 9 hours, with no significant difference in the pH between  
320 hours 48, 96, 144, and 288. The general trend shows decreasing pH with time in both the treatment and  
321 control tubes, but with the exception of time point 288 there is no significant difference between the pH  
322 in the control and treatment tubes at each time point.

323 Tukey *post hoc* testing for *L. castaneus* found that the pH of the solution in the treatment tubes was  
324 significantly lower than the control tubes ( $P < 0.05$ ), with the solution pH decreasing over time.

325 **Table 5.** The mean and standard deviation solution pH in control and treatment tubes containing individuals of *Lumbricus terrestris* (n = 48), *Allolobophora chlorotica* (n =  
326 48) and *Lumbricus castaneus* (n = 48) at each time point (n = 3 for each species time point). For each species, cells marked with the same letter within control and treatment  
327 columns are not significantly different ( $P > 0.05$ ; Tukey *post hoc* testing).

<i>L. terrestris</i>			<i>A. chlorotica</i>			<i>L. castaneus</i>		
Hours submerged	Solution pH		Hours submerged	Solution pH		Hours submerged	Solution pH	
	Control	Treatment		Control	Treatment		Control	Treatment
<b>0</b>	7.35 (± 0.09) <b>abcd</b>	6.91 (± 0.13) <b>abc</b>	<b>0</b>	7.61 (± 0.08) <b>f</b>	7.41 (± 0.04) <b>e</b>	<b>0</b>	7.53 (± 0.06) <b>ef</b>	7.61 (± 0.05) <b>f</b>
<b>3</b>	7.71 (± 0.08) <b>e</b>	7.37 (± 0.06) <b>abcd</b>	<b>9</b>	7.30 (± 0.07) <b>cde</b>	7.22 (± 0.01) <b>bcde</b>	<b>9</b>	7.61 (± 0.09) <b>f</b>	7.54 (± 0.04) <b>ef</b>
<b>6</b>	7.62 (± 0.06) <b>de</b>	7.02 (± 0.06) <b>abc</b>	<b>24</b>	7.39 (± 0.11) <b>de</b>	7.19 (± 0.09) <b>abcd</b>	<b>24</b>	7.47 (± 0.11) <b>def</b>	7.24 (± 0.03) <b>abcd</b>
<b>9</b>	7.31 (± 0.19) <b>abcd</b>	6.86 (± 0.01) <b>ab</b>	<b>48</b>	7.21 (± 0.14) <b>bcde</b>	6.99 (± 0.03) <b>ab</b>	<b>48</b>	7.43 (± 0.10) <b>cdef</b>	7.22 (± 0.09) <b>abcd</b>
<b>24</b>	7.40 (± 0.02) <b>bcd</b>	7.07 (± 0.56) <b>abcd</b>	<b>96</b>	7.08 (± 0.10) <b>abc</b>	6.93 (± 0.04) <b>ab</b>	<b>72</b>	7.31 (± 0.14) <b>bcde</b>	7.13 (± 0.07) <b>abc</b>
<b>33</b>	7.25 (± 0.02) <b>abc</b>	6.65 (± 0.15) <b>abc</b>	<b>144</b>	1.09 (± 0.14) <b>abc</b>	6.91 (± 0.04) <b>ab</b>	<b>120</b>	7.13 (± 0.16) <b>abc</b>	6.94 (± 0.15) <b>ab</b>
<b>48</b>	7.19 (± 0.06) <b>abc</b>	6.47 (± 0.03) <b>ab</b>	<b>216</b>	6.89 (± 0.12) <b>ab</b>	6.79 (± 0.08) <b>a</b>	<b>168</b>	7.16 (± 0.26) <b>abcd</b>	6.65 (± 0.07) <b>a</b>
<b>72</b>	7.47 (± 0.15) <b>cde</b>	6.25 (± 0.06) <b>a</b>	<b>288</b>	7.36 (± 0.10) <b>de</b>	6.82 (± 0.05) <b>a</b>	<b>216</b>	7.21 (± 0.12) <b>abcd</b>	6.60 (± 0.06) <b>a</b>

328

329 **3.5. Observed behavioural responses**

330 Although not quantified, it was observed that individuals of *A. chlorotica* exhibited a behavioural  
331 response similar to aestivation after being submerged for some time. Before treatment individuals were  
332 relaxed and moved normally whilst being weighed and kept on the laboratory workbench. However,  
333 when replicates were sampled after 24 hours and onwards individuals were curled into a tight ball, and  
334 it was only after a period of up to two minutes on the workbench in ambient air that they uncurled and  
335 began moving again and responding to stimulation (Fig 4).

336

## 337 4. Discussion

### 338 4.1. Control vs treatment deaths

339 The control and treatment individuals were maintained at different temperatures, with control  
340 individuals maintained at 15°C and treatment individuals at 10°C. However, the deaths of treatment  
341 individuals are unlikely to be due to the temperature at which they were maintained. The 10°C at which  
342 treatment individuals were maintained is within the temperature range at which individuals are still  
343 found active in the field (Edwards and Lofty, 1977), and *L. terrestris* and *A. chlorotica* both exhibit  
344 normal behaviours such as reproduction when maintained at 10°C (Butt, 1991; Butt, 1997). In other  
345 studies (not reported), we have maintained earthworms at 5°C in soil for several months with no  
346 mortality occurring, further suggesting that the difference in mortality in this experiment is not due to  
347 the 10°C solution temperature.

348 Only one control earthworm death occurred: an individual of *L. castaneus* at hour 168. This indicates  
349 that the earthworm death is likely not linked to starvation or being maintained in the tubes. If it was,  
350 then there would likely have been more than one death out of the 24 control replicates of *L. castaneus*,  
351 and 72 total control replicates across all earthworm species. Roots (1956) found that, in aerated water  
352 and without a supply of food, *L. terrestris* and *A. chlorotica* were able to survive an average of 137 days  
353 when submerged, while *Lumbricus rubellus*, an epigeic species, was able to survive an average of 78  
354 days. The fact that the duration of the experiment was well within these limits together with the survival  
355 of earthworms at temperatures of 10°C and below for extensive periods of time, the soil activity of  
356 earthworms at temperatures below 10°C, and the death of only one individual in the control tubes, show  
357 that earthworm deaths in the 10 °C tubes were not due to them being kept in solution, starvation, or  
358 temperature conditions but were instead due to other factors such as the depletion of oxygen or changes  
359 in pH.

360 For all three of the earthworm species, the pH of the treatment tubes was significantly lower than that  
361 of the control tubes. In both the treatment and control tubes there is a general trend of decreasing pH  
362 across all time points. This acidification of the reconstituted groundwater is likely due to the production

363 of CO<sub>2</sub> during earthworm respiration. CO<sub>2</sub> is highly soluble in water, where it dissolves to form carbonic  
364 acid ( $\text{CO}_{2(\text{aq})} + \text{H}_2\text{O} \leftrightarrow \text{H}_2\text{CO}_{3(\text{aq})}$ ) at a saturated concentration of 1.97 g L<sup>-1</sup> at 15°C (Dean, 1972).

365 The higher pH in the control tubes compared to the treatment tubes may be attributed to the control  
366 mechanism of continuous aeration with ambient air. Aeration with oxygen is a mechanism frequently  
367 used in aquaculture to strip carbon dioxide from solution (Summerfelt et al., 2000), which in turn leads  
368 to an increased pH. The pH in the treatment solutions was lower in the tubes containing individuals of  
369 *L. terrestris* and *L. castaneus* at the end of the experiment than the tubes containing *A. chlorotica*. This  
370 may be a result of reduced respiration rates in *A. chlorotica*, which could be a survival tactic used by  
371 the species in response to reduced oxygen or high stress conditions (see below).

372 In culture, earthworms are able to tolerate a pH range of 4.5 to 7 (Lowe and Butt, 2005). In this study,  
373 pH values ranged from 6.2 to 7.8. While the highest pH is slightly above that which Lowe and Butt  
374 reported as preferable, other studies have found that the aversion to soil pH values of above 7.0 is slight,  
375 and weaker than the aversion earthworms show for very acidic soils, although the authors did not  
376 provide a reason for this observation (Baker and Whitby, 2003). In this study, therefore, although  
377 changes in pH were observed over time, and differences between control and treatment tubes were  
378 observed, these are unlikely to have contributed to the earthworm deaths.

#### 379 **4.2. Earthworm mass gain**

380 Individuals of *L. castaneus* showed a significantly higher mass gain in the dead treatment earthworms  
381 relative to the single dead control earthworm which did not gain any mass, with no significant difference  
382 in the mass gain between the control and treatment live earthworms. The difference in mass gain  
383 between the dead treatment earthworms and the live earthworms in both treatment and control tubes is  
384 likely because whilst 100% earthworm death in the randomly selected tubes occurred by 168 hours,  
385 66% of earthworm death was reached by 48 hours. As tubes were randomly selected, it may be the case  
386 that many of the earthworms had been dead for some time when their tube was randomly selected  
387 between the 48- and 168-hour sampling times. Earthworms actively control their osmoregulation

388 (Carley et al., 1983); in the period between death and sampling, the individuals of *L. castaneus* may  
389 have gained significant quantities of water, and thus mass, via osmosis.

390 Individuals of *A. chlorotica* gained significantly more mass in the treatment tubes than in the control  
391 tubes, with the mass gained by treatment individuals in comparison to control individuals increasing as  
392 the time submerged increased. *A. chlorotica* is able to aestivate (Edwards and Lofty, 1977), meaning  
393 that the species is able to enter a period of dormancy in response to high temperatures and dry  
394 conditions. Studies performed on another endogeic aestivator, *Apporectodea caliginosa*, found that,  
395 when aestivating in soil, the earthworm water content increased in the early stages of aestivation. The  
396 earthworms increased their osmolarity, which resulted in the passive uptake of water from the soil; this  
397 strategy allowed increased chances of survival in hot and dry conditions (Bayley et al., 2010). We  
398 suggest that *A. chlorotica* is exhibiting a similar strategy to that which they exhibit when soil conditions  
399 become too hot and dry, and increased their osmolarity as they entered a dormant state, resulting in the  
400 passive uptake of water. As the earthworms were submerged in solution it seems likely that the mass  
401 gain was higher than may be observed in soil due to either greater differences in osmolarity between  
402 the earthworm and the surrounding fluid and/or the greater fluid:earthworm ratio in the solutions leading  
403 to a larger supply of available water.

404 There was no effect of treatment or status on the mass gain of *L. terrestris*. While there was an effect  
405 of the time spent submerged, the significant difference lay between individuals removed at 0 hours  
406 submerged gaining significantly less mass than individuals submerged for greater than 6 hours, and no  
407 significant difference observed between individuals submerged for more than 6 hours. It may be the  
408 case that mass changes due to osmosis did occur, but, as a larger bodied organism, the mass changes  
409 represented a smaller percent increase of the total mass of individuals, meaning that statistically any  
410 mass changes were masked within variance in the dataset.

### 411 **4.3. Absolute oxygen concentration**

412 One of the major findings of this study was that none of the individuals of *A. chlorotica* died during the  
413 experiment, despite being immersed in water for nearly 300 hours (12 days). However, the consumption

414 of oxygen showed a similar pattern to that observed in both *L. terrestris* and *L. castaneus*, with the  
415 oxygen concentration reducing rapidly in the early stages of the experiment before plateauing. From  
416 the period of 144 hours to 288 hours, the mean oxygen concentration in the tubes containing *A.*  
417 *chlorotica* ( $1.49 \pm 0.40 \text{ mg O}_2 \text{ L}^{-1}$ ) was significantly higher than the mean oxygen concentration at which  
418 individuals of *L. terrestris* died ( $0.82 \pm 0.46 \text{ mg O}_2 \text{ L}^{-1}$ ), but did not significantly differ from the mean  
419 oxygen concentration at which individuals of *L. castaneus*, a similarly sized earthworm, died ( $3.60 \pm$   
420  $2.01 \text{ mg O}_2 \text{ L}^{-1}$ ). *L. terrestris* is a larger earthworm than both *L. castaneus* and *A. chlorotica*, and thus  
421 has less surface area of body wall per unit mass to exchange oxygen across which might suggest that it  
422 would die at higher oxygen concentrations but this is not observed. Similarly, *L. terrestris* survived at  
423 lower oxygen concentrations when these were normalised by biomass and by surface area. This suggests  
424 that the difference in oxygen requirements between species may be a result of adaptation to the different  
425 lifestyles exhibited by the different earthworm ecotypes.

#### 426 **4.4. Earthworm traits**

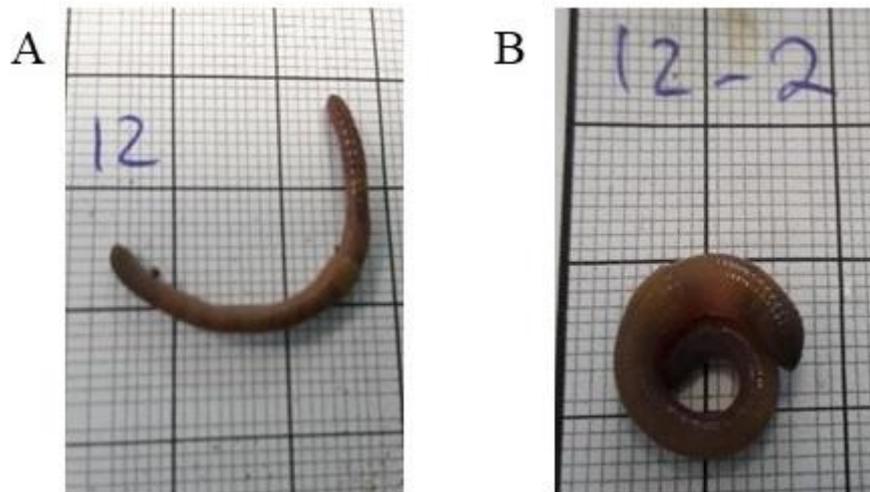
427 The key driver in the differences in the oxygen requirements between *L. terrestris* and *L. castaneus* is  
428 likely a result of differences between the characteristics of the two ecotypes, related to the organism's  
429 lifestyle and strategy rather than characteristics of the preferred soil habitat. As an anecic species, *L.*  
430 *terrestris* leads what could be described as a mostly sedentary lifestyle, living in deep vertical burrows  
431 in the soil. Laboratory experiments have shown that the oxygen consumption of other anecic species is  
432 greatest during night time periods compared to during the day (Chuang and Chen, 2008) when the  
433 earthworms typically emerge to forage on the soil surface. This suggests that the anecic earthworms  
434 may experience more extremes in their physical activity rates, and therefore oxygen consumption, than  
435 epigeic or endogeic species. The lifestyle of epigeic species, on the other hand, is dramatically different.  
436 Living in, and consuming, the soil-litter layer, the ecotype displays many of the characteristics of rapid  
437 colonisers (Eijsackers, 2011), rapidly coming to dominate in regularly disturbed areas (Pižl, 2001; Klok  
438 et al., 2006). Satchell (1980) first suggested that epigeic earthworm species are *r* strategy organisms,  
439 and that their life strategy differs to that of anecic earthworms. *r* strategy organisms favour large  
440 productivity (MacArthur and Wilson, 1967), and typically have a shorter lifespan, greater reproductive

441 output, and a smaller size of individuals, while *K* species are characterised by a longer lifespan, reduced  
442 reproductive output, and a larger individual size. Butt and Lowe (2011) summarised studies regarding  
443 a number of characteristics between earthworm species, including the number of days required to grow  
444 to maturity, the number of days required to incubate cocoons, and the number of hatchlings per cocoon.  
445 Their data suggest that *L. terrestris* has more *K*-like strategies than epigeic earthworms such as *L.*  
446 *rubellus* and *Eisinea fetida* (Savigny, 1826) (Butt and Lowe, 2011). Other studies on the lifespan of  
447 earthworms (Lakhani and Satchell, 1970; Mulder et al, 2007), the growth to maturity (Edwards, 1988)  
448 and the number of hatchlings produced per cocoon (Butt, 1997) also support this observation. At the  
449 time of writing, we are not aware of any laboratory studies performed to determine the potential lifespan  
450 of *L. castaneus* individuals, and it must be remembered that the practical lifespan of the organism in the  
451 field is likely much shorter than under laboratory conditions, due to factors such as predation and food  
452 availability.

453 The lifestyle of an *r* species organism, prioritising reproduction and growth to sexual maturity at the  
454 cost of lifespan of the individual, likely comes at a higher metabolic cost than that of a *K* species. Traits  
455 such as the smaller body mass typically associated with *r* strategists are associated with higher metabolic  
456 rates (Brown et al., 2004), while *K* strategist organisms typically show reduced energy wastage in  
457 comparison to *r* strategists due to their maintenance of population equilibrium (Southwood et al., 1974).  
458 Applying these *r* and *K* strategies to *L. castaneus* and *L. terrestris* respectively would explain why *L.*  
459 *castaneus* individuals died at a much higher oxygen concentration than *L. terrestris*. For an *r* species,  
460 the survival of the individual in a flooding event is less important, as the high reproductive output of  
461 cocoons, each with a high number of individuals per cocoon, such as *E. fetida* producing 3.3 hatchlings  
462 per cocoon (Edwards, 1988), means that an *r* species is likely to rapidly recolonise after a disturbance  
463 event, despite lower survival rates of individuals in low oxygen, flooded conditions.

464 It is not just the mass gain observed in *A. chlorotica* which indicates that the species may be aestivating  
465 in the treatment tubes. The aestivation process involves a number of behavioural characteristics, where  
466 the individuals excavate a chamber which is lined by mucus and then roll themselves into a tight knot,  
467 with the head and tail tucked into the centre; the mucus lining is thought to help minimise water loss

468 from the body (Edwards and Lofty, 1977). While it is not possible for the earthworms to excavate a  
469 chamber when kept in solutions, and any mucus excreted would have dissolved into solution, the  
470 behavioural response of aestivation was still observed (Fig. 4).



471

472 **Fig. 4. The same individual of species *Allolobophora chlorotica* before (A) and after (B)**  
473 **submergence in a treatment tube for 144 hours. (To see the photograph in colour, the reader is**  
474 **referred to the web version of this article.)**

475 *A. chlorotica* comprises two colour morphs; one pink, the other green. The pink morph prefers drier  
476 conditions than the green morph (Satchell, 1967) to the extent that lower soil moisture significantly  
477 restricts the growth of green morph juveniles (Lowe and Butt, 2007), with some studies arguing for  
478 their classification as two separate species on the basis of breeding experiments (Lowe and Butt, 2008)  
479 and genetic analysis (King et al., 2008). The precise reasons for the strong preference of high soil  
480 moisture content is still not known, but there is evidence that the colouration differences are due to a  
481 differing haem pigment between the two morphs (Kalmus et al., 1955). In this study, the green morph  
482 of *A. chlorotica* was used, although this does not show up well in Fig. 4 due to poor lighting. It may be  
483 the case that the different haem pigments present in the two morphs have different oxygen affinities  
484 thereby making oxygen available for respiration to the green morph at lower concentrations than for the  
485 pink morph. Research into earthworm haemoglobin has focussed heavily on *L. terrestris* (e.g. Reichert  
486 and Brown, 1908; Chen et al, 2015) and so lack of data means that this hypothesis remains unproven.

487 However, previous studies have demonstrated differences in the oxygen affinities of the haemoglobin  
488 of *L. terrestris* and *Apporectodea longa* (Ude, 1885) (Haughton et al., 1958) and, between the  
489 haemoglobin of these two species and the giant earthworm *Glossoscolex giganteus* (Leuckart, 1835)  
490 (Johansen and Martin, 1966) suggesting that significant differences may exist between the haem  
491 pigments of the two colour morphs. In the field, the higher soil moisture conditions which the green  
492 morph of *A. chlorotica* prefers are more likely to be associated with low soil oxygen availability  
493 (Ponnamperuma, 1984; Kiss, 2019), suggesting that the species is perhaps able to exploit a niche that  
494 other earthworm species are unable to.

495 With its small body size, and relatively fast growth to maturity and cocoon incubation period, *A.*  
496 *chlorotica* is more similar in lifestyle to the *r* strategy, epigeic species than to the *K* strategy, anecic  
497 species. However, this study suggests that for this species, the aestivation response overrides any  
498 expected oxygen requirements associated with either the *r* or *K* strategy.

#### 499 **4.5. Field site context**

500 This study does not represent field conditions. While this study has focused on the absolute oxygen  
501 concentrations required for survival, some experiments have found that earthworms are able to survive  
502 for 120 days in flooded soil samples (Ausden et al., 2001). This suggests that earthworm behavioural  
503 responses in flooded soil may be equally as influential for a species surviving a flooding event as their  
504 absolute oxygen requirement. For example, while developing the methods for this experiment, we  
505 initially used open beakers but individuals of *L. terrestris* were observed exhibiting a ‘snorkelling’  
506 behaviour, where a segment of the body was maintained out of the water, allowing the earthworms to  
507 respire. Our final experimental design with sealed tubes purposefully prevented this behaviour as we  
508 wished to assess fatal oxygen concentrations. Another consequence of our initial open beaker design  
509 was that oxygen was able to diffuse across the air-water interface; in these experiments earthworm  
510 mortality was low. In the field, provided flood waters are not excessively deep, earthworms that emerge  
511 on to the soil surface would have access to oxygenated water just below the air-water interface, though  
512 this may make them vulnerable to predation. Despite these departures from ecological realism our study

513 remains ecologically informative; it supports the idea that earthworms are observed in significant  
514 numbers on the soil surface following intense periods of rainfall in order to avoid suffocation. Soils are  
515 rapidly depleted in oxygen when water logged (Ponnamperuma, 1984), with oxygen concentrations  
516 reaching levels below which earthworms have been demonstrated to die in this study within 4 to 8  
517 hours, depending on the soil's organic matter content (Kiss, 2019).

518 This study also helps to explain some of the patterns of earthworm distribution observed in previous  
519 studies. While *A. chlorotica* is one of the most common species of earthworm in the UK, representing  
520 34% of all UK earthworms (Natural England, 2014), and is found in high abundances throughout  
521 Northern and Central Europe (Dinter et al., 2013), their presence in regularly flooded sites has been  
522 recorded in a number of studies (Plum, 2005; Plum and Filser, 2005; Kiss et al., 2021), with little  
523 variance in their abundance regardless of recent flooding events (Zorn et al., 2005). The persistence of  
524 *A. chlorotica* populations in soils may in part be due to the ability of the species to resist extremes in  
525 soil environmental conditions, such as both drought and flooding conditions.

526 This study highlights the differences in oxygen requirements between earthworm species with different  
527 life strategies, which may impact their survival in flooding events. As climate change is predicted to  
528 lead to increased flooding across a number of regions globally (Hirabayashi and Kanae, 2009;  
529 Kundzewicz et al., 2014), this may have wider consequences on earthworm diversity and distributions  
530 of earthworm species in fields likely to flood. Because of this, and given our results, further experiments  
531 which are more ecologically realistic are warranted to more fully investigate how changes in oxygen  
532 levels in flooded soils impact earthworms. For example, the depth of standing water on flooded soils  
533 above which rates of oxygen diffusion result in anoxic soils could be determined together with  
534 experiments in which a gradient of oxygen concentrations in flooded soils is established to determine  
535 at which level earthworms would actively move out of the soil volume and whether lateral or vertical  
536 movement is preferred. Our research also highlights the need for additional research into aestivation  
537 and its triggers, as the response may be more wide ranging than currently understood.

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