

This is a repository copy of Investigating changes in patients' smoking behaviour, tobacco dependence and motivation to stop smoking following a 'smoke-free' mental health inpatient stay:results from a longitudinal survey in England.

White Rose Research Online URL for this paper: https://eprints.whiterose.ac.uk/168899/

Version: Accepted Version

Article:

Ainscough, Tom S, Mitchell, Alex orcid.org/0000-0001-9311-2092, Hewitt, Catherine orcid.org/0000-0002-0415-3536 et al. (9 more authors) (2021) Investigating changes in patients' smoking behaviour, tobacco dependence and motivation to stop smoking following a 'smoke-free' mental health inpatient stay:results from a longitudinal survey in England. Nicotine & tobacco research. 1010–1018. ISSN 1469-994X

https://doi.org/10.1093/ntr/ntaa258

Reuse

Items deposited in White Rose Research Online are protected by copyright, with all rights reserved unless indicated otherwise. They may be downloaded and/or printed for private study, or other acts as permitted by national copyright laws. The publisher or other rights holders may allow further reproduction and re-use of the full text version. This is indicated by the licence information on the White Rose Research Online record for the item.

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



Investigating changes in patients' smoking behaviour, tobacco dependence and motivation to stop smoking following a 'smoke-free' mental health inpatient stay: results from a longitudinal survey in England

Tom S Ainscough¹, PhD, Alex Mitchell², MSc, Catherine Hewitt², PhD, Michelle Horspool³, PhD, Pete Stewart³, MPH, Suzy Ker⁴, MD, Lesley Colley⁴, Claire Paul⁵, Phil Hough⁶, Simon Hough⁶, John Britton⁷ & Elena Ratschen²

Corresponding author

Elena Ratschen, PhD

Department of Health Sciences, University of York, York, UK

Elena.ratschen@york.ac.uk

© The Author(s) 2020. Published by Oxford University Press on behalf of the Society for Research on Nicotine and Tobacco. All rights reserved. For permissions, please e-mail: journals.permissions@oup.com.

¹ School of Healthcare, University of Leeds, Leeds, UK

² Department of Health Sciences, University of York, York, UK

³ Sheffield Health and Social Care NHS Foundation Trust, Sheffield, UK

⁴ Tees, Esk and Wear Valleys Foundation NHS Trust, Stockton-on-Tees, UK

⁵ Leeds and York Partnership NHS Foundation Trust, Leeds, UK

⁶ Vale Royal Relative Support Group, Chester, UK

⁷ UK Centre for Tobacco and Alcohol Studies, University of Nottingham, Nottingham, UK

Abstract

<u>Introduction:</u> In line with national guidance, mental health Trusts in England are implementing complete smokefree policies. We investigated inpatients' changes in smoking behaviour, tobacco dependence, vaping and motivation to stop smoking between pre-admission and post-discharge.

<u>Methods:</u> We surveyed acute adult mental health inpatients from 14 wards in three mental health Trusts in England in 2019. Structured face-to-face and telephone interviews with patients who smoked on or during admission were conducted during the admission period and at 1 week and 1 month after discharge. Data on smoking status; daily cigarette consumption; Heaviness of Smoking Index (HSI); Strength of Urges to Smoke (SUTS); Motivation to Stop Smoking (MTSS) and vaping were collected and analysed using regression and probit models.

Results: Inpatient smoking prevalence was 51.9%, and a total of 152 of all 555 eligible smokers (27%) were recruited. Attrition was high: 49.3% at the first, and 50.7% at the second follow-up interview. Changes in self-reported smoking status, motivation to quit and vaping did not change significantly over the study period. Cigarette consumption (p<0.001) and Heaviness of Smoking Index (p<0.001) modestly reduced. Frequency and strength of urges to smoke (p=0.011 and 0.012, respectively) decreased modestly after discharge but were scored as high by 57% and 60% of participants during admission respectively. Just over half (56%) reported being offered smoking cessation support on admission.

<u>Conclusions</u>: This study identified very modest changes in smoking-related outcomes during and after admission and indicates major challenges to smokefree policy implementation, including limited support for patients who smoke.

Keywords:

Tobacco, smoking, smoking cessation, mental health, smokefree policy, inpatient settings

Implications:

Despite mental health Trusts in England having developed and implemented smokefree policies to meet national guidelines, adherence to these policies and provision of effective smoking cessation and temporary abstinence support for inpatients admitted to acute adult mental health wards appear to be limited. Patients who smoke on admission are likely to continue to do so during admission and after discharge, and only very modest change in smoking behaviours appears to take place. Important opportunities to promote smoking cessation in this population are missed. Barriers to effective support need to be identified and addressed.

Introduction

Tobacco smoking is the leading preventable cause of morbidity and mortality in the United Kingdom (UK). While smoking prevalence in the general population has declined steadily over recent decades¹, no clear downward trend in smoking rates has been observed among people with mental illness^{2,3}. Smoking rates in this population are above 30%² but can reach 70% in some subgroups, such as hospitalised patients with severe mental illness (SMI)^{2,4}. With between 10 and 20 years of life lost largely to smoking-related disease, smoking has been recognised as one of the major contributors to health inequalities in this population^{5,6}.

The strong links between smoking and mental illness are influenced by complex neurobiological, psychosocial and genetic factors^{7,8}. However, contrary to common belief, people with SMI are generally as motivated to quit as the general population⁹ and able to do so using evidence-based approaches recommended for the general population¹⁰. Notably, there is now strong evidence that quitting smoking improves rather than exacerbates symptoms of mental illness, as was often presumed¹¹. Until recently, smoking remained deeply embedded in the culture of mental health settings^{2,12}, where it has been considered a coping mechanism or to have other therapeutic functions, with clinicians often reluctant to address smoking among their patients^{2,13}. Patients were often said to 'enter mental health settings as non-smokers and leave as smokers'¹⁴, and pre-existing smokers tended to increase their cigarette consumption during an inpatient stay¹⁵.

The 2013 joint Royal College of Physicians & Royal College of Psychiatrists report² and guidance from the UK National Institute for Health and Care Excellence (NICE)¹⁶ have highlighted the need to address this 'smoking culture' and resulting health inequalities in mental health settings. In addition to the legal requirement to ban indoor smoking in NHS treatment settings that came into force with the *Health Act 2006* and was implemented in mental health settings in England in 2008, the NICE guidance recommends that all mental health settings be entirely smokefree, with no smoking breaks facilitated anywhere on the premises and evidence-based treatment for temporary abstinence and smoking cessation made available to all patients¹⁶. This aligns with increasing global efforts to make hospitals, including mental health settings, smokefree to promote the health of patients, staff and visitors (https://www.tobaccofreehealthcare.org/). In England, mental health Trusts provide a comprehensive range of health and social care services for people with mental illness as part of the National Health Service (NHS). Mental health Trusts are still in the process of implementing the guidance¹⁷, in line with recent UK tobacco control and national health policies^{18,19}. Very little is known about the impact on patients' smoking behaviour.

We now report a longitudinal survey assessing potential changes in smoking status, daily cigarette consumption, vaping, level of tobacco dependence, urges to smoke, and motivation to stop smoking before, during and after admission to a 'smokefree' mental health inpatient stay.

Methods

Settings and participants:

A longitudinal survey was conducted on acute adult mental health inpatient wards in three mental health Trusts (labelled A, B and C) in the north of England, serving a study population of approximately 3 million people in a mix of urban and rural settings across a number of counties. Trusts A, B and C provided acute adult mental health care for inpatients on 16, 3 and 5 wards, respectively. A total of 14 acute adult mental health wards were included in the study: six from Trust A, three from Trust B, and five from Trust C, all based in Yorkshire.

All three Trusts had policies stipulating patients should be advised of the smokefree policy before admission wherever possible and offered evidence-based pharmacological and behavioural support to stop or abstain from smoking on and after admission. Pharmacological support in all Trusts included access to a comprehensive range of Nicotine Replacement Therapy (NRT) products (e.g. gum, patches, lozenges, inhaler) for either single or combination therapy. In Trusts B and C, patients additionally had access to Varenicline. All Trusts offered evidence-based behavioural smoking cessation/abstinence support through specialist-trained inhouse stop smoking advisors. In Trusts A and B, smoking was not to be facilitated or permitted anywhere on Trust premises. Both Trusts permitted the use of electronic cigarettes in designated indoor areas and outdoors; Trust A also routinely offered free electronic cigarettes to patients who smoked on admission. In Trust C, patients were not allowed to smoke indoors, but were allowed to do so in courtyards, gardens or on other outdoor Trust premises. Indoor use of electronic cigarettes was not permitted in Trust C.

Patients admitted to the 14 study wards between February and September 2019 were eligible to participate if they were over 18 years old, had been identified as cigarette smokers on or after admission based on Trusts' standard admission questions ('Do you smoke tobacco?' or 'Are you a smoker?'), were deemed to have capacity to provide informed study consent and considered well enough to take part in the study by the multidisciplinary ward team.

Procedures

Recruitment of survey participants on each study ward was led by an academic researcher and a team of research nurses, in liaison with ward managers and teams. New eligible patients were identified at least twice per week and invited to take part, with Participant Information Sheets provided by study and ward teams. Patients who indicated an interest in taking part were approached by the study team to answer questions where required, with written informed consent taken after a 24-hour cool off period. The first structured interview was conducted face to face with participants during their stay on the inpatient ward, administering questions relating to both preand post-admission smoking and vaping behaviours and smoking-related outcome measures. Participants were followed up at two time points: one week after discharge, at which point data were also collected retrospectively on smoking status 'on the day of discharge', and one month after discharge, using telephone interviews. For the more common than expected cases where a) length of stay after the first interview exceeded four further weeks, or b) patients were re-admitted within the data collection period, we conducted the follow-up interview(s) on the wards to maximise data collection. Questionnaires were administered by researchers and research nurses (face-to-face and on the telephone) and took between 15 and 25 minutes to complete. Participants were offered high street shopping vouchers worth £20, provided after completion of the second follow-up interview, to incentivise participation.

Measures

We developed bespoke questionnaires for each time point to collect outcome data on smoking status, cigarette consumption, Heaviness of Smoking Index (HSI)²⁰, time spent with and strength of urges to smoke (SUTS)²¹, Motivation to Stop Smoking (MTSS)²², smoking-related support offered and accepted during admission and after discharge (including Nicotine Replacement Therapy and behavioural support), and electronic cigarette use ('How often do you currently use an electronic cigarette or vaping device?', with response options a) Daily or almost daily, b) Less than daily, but at least once a week, c) Less than weekly, but at least once a month, d) Less than monthly or e) Not at all) at each time point). Demographic data (gender, age, ethnicity, employment status), information on the 'mental health care cluster', indicating broad diagnostic working categories, and data on smoking, vaping and quitting history were also collected. Data on total admission and smoking prevalence figures (i.e. patients recorded as smokers on admission) were retrieved from all wards.

Sample size

We aimed to recruit at least 104 patients over a period of six months. Based on our 23 and other 24 relevant tobacco research studies with people with severe mental illness (SMI), we expected to be able to involve up 90 patients (~85%) in the incentivised follow-up interviews. This size of survey would have enabled us to estimate the proportions of smoking cessation at the second follow-up within a margin of error of <10%.

Statistical analysis

The number and proportion of patients attending each follow-up interview is presented by Trust (Figure 1), along with whether the interview was attended on or off the ward. Patient demographics, smoking history and smoking support offered were summarised descriptively. Continuous variables were summarised using the mean, standard deviation, median, minimum and maximum. Categorical variables were summarised as counts and percentages.

Outcome data at each time point were summarised descriptively by Trust. The dependence of outcome data on time point overall (across all Trusts) was analysed using a mixed effect logistic regression repeated measures model for binary outcomes or a mixed effect linear regression repeated measures model for continuous outcomes. Responses to the single item MTSS (Motivation To Stop Scale) were re-coded into three higher level categories (no wish to quit, general wish to quit with no clear intentions, and clear intention to quit expressed) and analysed using a mixed effect ordinal probit regression repeated measures model. Trust as a variable was adjusted for as a fixed effect, while patient was adjusted for as a random effect. A mixed effect ordinal probit regression repeated measures model was also used for the HSI. Participants with a Heaviness of Smoking Index (HSI) higher than four points were classed as having a high HSI, those with a HSI between two and four points were classed as having a moderate HSI, while those with a HSI less than two points were classed as having a low HIS (https://datashare.nida.nih.gov/instrument/heaviness-of-smokingindex). In addition, the mean and standard deviation of the HSI scores at each time point were calculated. The use of mixed effect models meant that the data was implicitly assumed to be missing at random. The advantage of this is that participants with missing outcome data at one or more time points were included in the model, which would not have been the case if outcome data had been analysed using repeated measures ANOVA. For each outcome, the null hypothesis that the outcome did not change over the study period was formally tested and the corresponding p-value presented. A 5% significance level was used. All statistical analyses were carried out using Stata version 16.0. For smoking status, an additional model was applied using the Russell Standard²⁵, i.e. assuming

those with missing smoking status were smoking. For electronic cigarette use, responses were recoded into a binary variable (current/non-current use), with responses of 'daily or almost daily' and 'less than daily but at least once a week' defined as current use.

Results

Since there were no major differences in outcomes between Trusts, all results are presented as aggregates. Details on results by individual Trust are available in the supplementary materials.

Smoking prevalence, patient recruitment and interview attendance

A total of 555 smokers (246, 175 and 134 in Trust A, B and C, respectively) were admitted to the wards during the study period (February 2019 to September 2019), 152 (27%) of whom 152 were recruited (68 (28%), 29 (17%) and 55 (41%) in Trust A, B and C, respectively). The overall smoking prevalence among all 1060 patients admitted during the study period was 52.4% (555/1060); 55.8% in Trust A, 57.2% in Trust B, and 42.8% in Trust C. The decision to recruit substantially above our original target of 104 was taken early on in the study process, as attrition was higher than expected.

All recruited patients completed the baseline interview, 77 (50.7%) attended the one week post-discharge follow-up interview, and 75 (49.3%) attended the one month post-discharge follow-up interview (Figure 1). Overall, 62 (40.8%) patients attended both follow-up interviews, while the same number (62; 40.8%) did not provide any follow-up data. The number and percentage of patients who did not provide any follow-up data at Trust A was 43 (63.2%), compared to 10 (34.5%) and 9 (16.4%) at Trusts B and C, respectively.

Patient demographics and prior smoking history

Table 1 and

Table 2 give information on patient demographics and prior smoking history respectively. Over three quarters of patients (115; 76%) reported having tried to quit smoking in the past, and nearly half (67; 44%) reported living with others who smoke. Well over a third (44; 38%) had used NRT in a previous quit attempt, but only one patient reported having received behavioural support from a trained advisor. Only 11 patients (10%) of those who reported a previous quit attempt reported having used electronic cigarettes as a support to quit smoking.

Smoking treatment offered

Just over half of participants (85; 56%) reported having been offered pharmacological or behavioural support on admission, a very similar number to those recalling being asked about their smoking status on admission (83; 55%). Participants reported largely being offered NRT (65; 77%), with very few (8; 9.4%) being offered specialist behavioural support from internal staff, and the same number, (8; 9.4%), being offered electronic cigarettes (most of which in Trust A, as per policy), respectively. Details are presented in Table 3.

Changes in smoking status, behaviour and attitudes, and e-cigarette use following admission

There was evidence that cigarette consumption (p<0.001), Heaviness of Smoking Index (p<0.001), frequency and strength of urges to smoke (p=0.011 and 0.012, respectively) were dependent on time point and reduced overall very modestly over the study period (Table 4). The mean HSI scores before admission and after admission were 3.0 (SD 1.5) and 2.4 (SD 1.6) respectively, while the mean HSI scores at one week post-discharge and one month post-discharge were 2.5 (SD 1.7) and 2.4 (SD 1.5). Two participants (1.3%) who identified as smokers at first interview reported not having smoked daily before admission; five participants (3.3%) reported not smoking daily at the time of first interview (but had been recorded as smokers on admission). Two patients (2.8%) reported not being daily smokers on the day of discharge; four (5.2%) and six (8.0%) patients reported this one week and one month after discharge.

Discussion

This study shows that despite implementation of smokefree policies in three mental health Trusts, nearly all patients who were admitted as smokers continued to smoke during and after admission, and a small number took up smoking. Changes to smoking behaviour, including cigarette consumption, were small overall and appeared to take place mainly after discharge from the ward - not, as expected, during a 'smokefree' hospital stay.

To the best of our knowledge, this is the first longitudinal UK study to investigate changes in patients' smoking and vaping behaviour, tobacco dependence and motivation to quit following admission to and discharge from a mental health inpatient stay in the context of national guideline implementation. Our results point towards serious challenges with smokefree policy implementation on acute adult mental health inpatient wards. They indicate that little has changed since first studies investigating smokefree policy implementation in mental health Trusts in the UK were first conducted around the time new legislation came into force^{15,26-29}, and after NICE guidance was published²⁹⁻³¹. They also support findings from a recent survey of mental health Trusts in England that investigated the degree to which NICE smokefree policy recommendations had been implemented. It identified that within mental health inpatient services, non-compliance with smokefree restrictions was universal: all mental health trusts surveyed reported patients smoking in areas where smoking was not permitted¹⁷. Notably, all participating Trusts reported that smokefree policies had been implemented and NRT provided to smokers during admission¹⁷. Our results suggest that the discrepancy between policy and patient experience can be substantial. Although our findings are based on research from only three mental health Trusts out of 54 nationwide, our study population was drawn from a comprehensive mix of ethnically diverse urban and rural local populations totalling over 3 million people served in our participating Trusts' catchment areas. They are thus likely to be relevant for the wider UK population of mental health inpatients across NHS mental health Trusts.

Study limitations

Findings from this study need to be interpreted in the light of several limitations, including selection bias resulting from partly low recruitment and high attrition rates across our study population, and reporting bias in self-reported outcome measures. As in other studies in this population, the most severely ill inpatients will have been excluded from participation, based on considerations relating to mental capacity to give informed consent, or because the multidisciplinary team would not have considered them suitable to be approached for inclusion for other reasons related to the acuity of their illness. It is therefore likely that patients who were not invited to participate often included those who were most unwell and unable to leave the ward, for example when detained without leave under the Mental Health Act. It is arguable that some of these patients might have abstained from smoking for a period of time following admission owing to lack of opportunity to smoke, which would not have been captured by our data. Further research in this area could explore this.

Attrition rates at follow-up were substantially higher than expected based on our own²³ and other relevant US-based²⁴ research, meaning that results of our hypothesis tests and

estimates of proportions in relation to changes over the study period are sensitive to the validity of the missing at random assumption made in the analyses. In contrast to previous experience with other study populations with SMI, who had been community-dwelling rather than inpatients²³, we experienced challenges in following up patients despite incentives offered for interview completion. Research involving people receiving inpatient acute mental health care is a vastly neglected area, and further consideration of barriers and facilitators to engagement in research follow-up, including characteristics of successful incentives, is required. A further complication arose from the circumstance that in Trust C in particular, the length of stay for patients was much longer than discharge information had indicated before the study started. This resulted in our inability to collect 'post-discharge' data for a substantial proportion of participants within the planned time frame (see figure 1), and led to the pragmatic decision to collect follow-up data on the ward if necessary to avoid the loss of opportunity to collect any relevant data for long stay participants at all.

The challenges we encountered in recruitment and retention suggest that related estimates informing study designs in SMI inpatient populations should perhaps be more conservative than those in other SMI populations. Further limitations to our study relate to the heterogeneity of study settings, between and within Trusts, which adds complexity to the interpretation of some findings but reflects varied national practice in mental health Trusts^{17,28}. Moreover, self-reported interview data were not triangulated, for example through auditing clinical patient records, as this could not be achieved within the resource and governance framework of this study.

Tobacco dependence treatment and support

Over half of all patients admitted to the participating Trusts during the study period were smokers. While this is a lower estimate than that obtained from earlier UK-based mental health inpatient studies^{2,6,15,32}, it still more than triples current UK smoking prevalence figures in the general population and indicates the importance of providing comprehensive tobaccorelated support. Our findings of modest reductions in cigarette consumption, Heaviness of Smoking Index, and frequency and strength of urges to smoke after discharge are broadly in line with results from a systematic review of international studies investigating the impact of mental health hospitalisation on smoking outcomes³³. The review included 14 overall heterogeneous observational studies, some of which are very small, and concludes that hospitalisation in a smokefree mental health treatment environment may have positive effects on patients' smoking behaviour, attitudes and beliefs until up to three months post discharge. Most studies included in the review relate to partial and incomplete smokefree policies implemented in the respective study settings, not dissimilar to the policy context in

our study setting at Trust C. For studies investigating the impact of comprehensive smokefree policies (akin to Trusts A and B), the review reported more substantial changes in patients' smoking behaviours during the inpatient stay in terms of smoking cessation, reduction, and motivation to quit. Our study failed to replicate these results – it was evident that smokefree policies were not implemented as intended according to Trusts' smokefree policies, and that consistent and comprehensive tobacco-related support was not part of the patient experience. Daily smoking was universal in all three study Trusts, irrespective of policies.

Smokefree policy implementation and adherence challenges were reflected in findings that evidence-based pharmacological and behavioural support on and after admission to a ward was not consistently offered, with overall only about half of participants reporting having been asked about their smoking status and offered support to abstain from or guit smoking on admission. Nearly 30% of participants who did not report being asked about smoking status on admission recalled being asked at another point during their inpatient stay, when they might have arguably received support offers too - we did not collect this data. Importantly, over half of smokers reported high frequency and high strength of urges to smoke during their admission. This conveys a lack of appropriate management of tobacco dependence and withdrawal symptoms. Even though our study participants reported smoking during the inpatient stay, they were arguably likely to have altered their smoking patterns compared to pre-admission smoking behaviours and to experience withdrawal symptoms - for example when restricted to leave the ward (e.g. in the evening times or during the night, or during busy times in the day). Partial implementation of smokefree policies, as seen in our study settings, clearly does not avoid problems related to managing tobacco dependence, but potentially compounds them^{31,34}. Unrecognised and untreated nicotine withdrawal is a problem with particularly serious consequences in people with acute SMI, as it can be mistaken for symptoms of mental illness, confound adequate treatment of those, and even result in emergency psychotropic medication being administered inappropriately². It is likely that this may potentially particularly affect those who are most unwell (and may not able to leave the ward to smoke due to being formally detained).

In our study, there was a notable absence of reports that varenicline had been offered as smoking cessation medication in our study population at any point before, during and after admission to hospital in the two Trusts whose formularies included this medication. Reluctance of clinicians to prescribe these evidence-based treatments for patients with mental illness is well-documented and, in light of their safety and effectiveness in this population^{10,35}, constitutes another source of tobacco-related inequality that requires to be

addressed. Based on UK clinical guidelines¹⁶, all mental health settings should provide for and encourage the use of evidence-based smoking cessation medications, including varenicline and bupropion, to help smokers quit.

The potential role of electronic cigarettes in supporting smokers with SMI to abstain or quit is now well recognised and promoted³⁶ and was reflected in reports of nearly 10% of participants who had used vaping as a means to support quit attempts before. Around one third of patients in our sample reported using electronic cigarettes before, during and after admission. Reflecting the national picture¹⁷, participating Trusts supported vaping to varying degrees: one Trust actively encouraged it through the provision of electronic cigarettes after admission, while another permitted vaping indoors and outdoors, and the third restricted it to outdoor areas only. This study was not designed to investigate between-Trust differences in smoking and vaping behaviour and was too small to do so meaningfully. Future research could focus on vaping-related aspects of mental health inpatient admissions, including the impact of electronic cigarette provision during the inpatient stay on post-discharge smoking and quitting behaviours.

Notably, the majority of smokers in our study expressed either a firm intention or the wish to stop smoking at each point of data collection, with no statistical difference in motivation scores detected between admission and post-discharge time points. This finding is important, because it counters the common misconception that people with mental illness 'don't want to quit'² when in fact their motivation is comparable to that of the general population⁹. Moreover, it demonstrates that motivation to quit does persist during an inpatient admission and should therefore be optimally supported. Inpatient admission is still often cited by mental health professionals as an inopportune or inappropriate time to encourage or help smokers with mental illness quit^{12,27}. Overcoming persistent misconceptions and other barriers to promoting and achieving smoking cessation and abstinence in the context of and after an inpatient stay should be a policy and research priority.

Smokefree mental health settings – progress in small steps

Despite the substantial challenges highlighted above, it is important to note that change has taken place in mental health settings in England since mental health Trusts have been committed to smokefree policy implementation. Based on this study, mental health patients do not generally appear to 'enter hospital as non-smokers and come out as smokers' anymore, as previously noted¹⁴. Adherence to policies and provision of adequate support to smokers is limited at present, but the first step change has taken place for this particularly

disadvantaged population. The notion of progress in (small) steps is supported by the smoking prevalence figures in this study, which, at just over 50%, were overall lower than expected based on the only existing large but older inpatient population survey⁴ and more recent data from small inpatient studies³³, suggesting figures around 70%. Over three quarters of our participants reported having tried to quit smoking in the past, often using multiple attempts, not uncommonly with longer periods of abstinence spanning several months. Seeing as the study population comprised patients from a variety of rural and urban settings spanning a wide geographic area in the north of England, it is possible that these figures are at least broadly reflecting the national picture. In light of evidence that prevalence figures are stagnating among people with mental illness while they keep falling among those without^{3,37}, thus causing the tobacco-related health gap in this group to widen, these are encouraging findings that may indicate a shifting trend. They also highlight the importance of the provision of appropriate support to meet the needs of smokers from this population.

In conclusion, this longitudinal survey identified very modest changes in smoking behaviour and related outcomes in smokers admitted to an acute mental health inpatient stay over time. It indicated major challenges to effective smokefree policy implementation, including limited access to consistent comprehensive support for patients who smoke. Acknowledging challenges, engaging in open discourse with all stakeholders about how these can be addressed, and adopting models that have shown to be successful³⁸ (albeit in acute hospital settings) seems paramount if the potential of smokefree policies in terms of changing and saving smokers' lives is to be realised. The 2020 Covid-19 pandemic and its emerging links with smoking in terms of infection control and higher risks of experiencing severe or fatal courses of the illness once infected³⁹ add particular urgency to this matter. Without the development of effective strategies to promote and support smoking abstinence and cessation and adherence to smokefree policies along the patient and carer pathway, opportunities to narrow the tobacco-related health gap will keep being missed, and a new Covid-19-related dimension of inequality for people with SMI⁴⁰ may be introduced.

Funding

The study was supported by Cancer Research UK (award number C37440/A27015).

ER was funded by the National Institute for Health Research, Yorkshire and Humber Applied Research Collaboration. The views expressed in this publication are those of the author(s) and not necessarily those of the NHS, the National Institute for Health Research or the Department of Health and Social Care Acknowledgments

Declarations of Interest

None of the authors have any conflicts of interest to declare.

Acknowledgements

The authors thank the research teams, clinical staff and all study participants at the participating NHS Trusts for their time and support throughout this research.

References

- Statistics NOf. Adult smoking habits in the UK: 2016.
 https://www.ons.gov.uk/peoplepopulationandcommunity/healthandsocialcare/healthandlifeexpectancies/bulletins/adultsmokinghabitsingreatbritain/2016.
 2017.
- 2. Royal College of Physicians, Royal College of Psychiatrists. *Smoking and Mental Health*. London2013.
- 3. Szatkowski L, McNeill A. Diverging trends in smoking behaviors according to mental health status. *Nictone Tob Res.* 2015;17(3):356-360.
- 4. Meltzer H, Gill B, Hinds K, Petticrew M. The prevalence of psychiatric morbidity among adults living in institutions (Reprinted from Office for National Statistics, 1996). *Int Rev Psychiatr.* 2003;15(1-2):129-133.
- 5. Brown S, Kim M, Mitchell C, Inskip H. Twenty-five year mortality of a community cohort with schizophrenia. BRIT J PSYCHIAT. 2010;196(2):116-121.
- 6. Action on Smoking and Health (ASH). The Stolen Years. The Mental Health and Smoking Action Report. London2016.
- 7. Olivier D, Lubman DI, Fraser R. Tobacco smoking within psychiatric inpatient settings: biopsychosocial perspective. *AUST NZ J PSYCHIAT*. 2007;41(7):572-580.
- 8. Williams JM, Ziedonis D. Addressing tobacco among individuals with a mental illness or an addiction. *Addict Behav.* 2004;29(6):1067-1083.
- 9. Siru R, Hulse GK, Tait RJ. Assessing motivation to quit smoking in people with mental illness: a review. *Addiction*. 2009;104(5):719-733.
- 10. Peckham E, Brabyn S, Cook L, Tew G, Gilbody S. Smoking cessation in severe mental ill health: what works? an updated systematic review and meta-analysis. *BMC psychiatry*. 2017;17(1):252.
- 11. Taylor G, McNeill A, Girling A, Farley A, Lindson-Hawley N, Aveyard P. Change in mental health after smoking cessation: systematic review and meta-analysis. *BMJ*. 2014;348:g1151.
- 12. Ratschen E, Britton J, McNeill A. The smoking culture in psychiatry: time for change. BRIT J PSYCHIAT. 2011;198(1):6-7.
- 13. Lawn SJ. Systemic barriers to quitting smoking among institutionalised public mental health service populations: a comparison of two Australian sites. *Int J Soc Psychiatry*. 2004;50(3):204-215.
- 14. Jochelsen K, Majrowski B. *Clearing the air: debating smokefree policies in psychiatric units.* London: The King's Fund;2006.
- 15. Ratschen E, Britton J, Doody G, McNeill A. Smoking attitudes, behaviour and nicotine dependence among mental health acute inpatients: an exploratory study. *Int J Soc Psychiatry*. 2010;56(2):107-118.
- 16. National Institute for Health and Care Excellence. Smoking Cessation in secondary care: acute, maternity and mental health services. PH48. 2013. http://guidance.nice.org.uk/PHG/51: National Institute for Health and Clinicel Excellence; 2013.
- 17. (ASH) AoSaH. Progress towards smokefree mental health services. Findings from a survey of mental health Trusts in England.

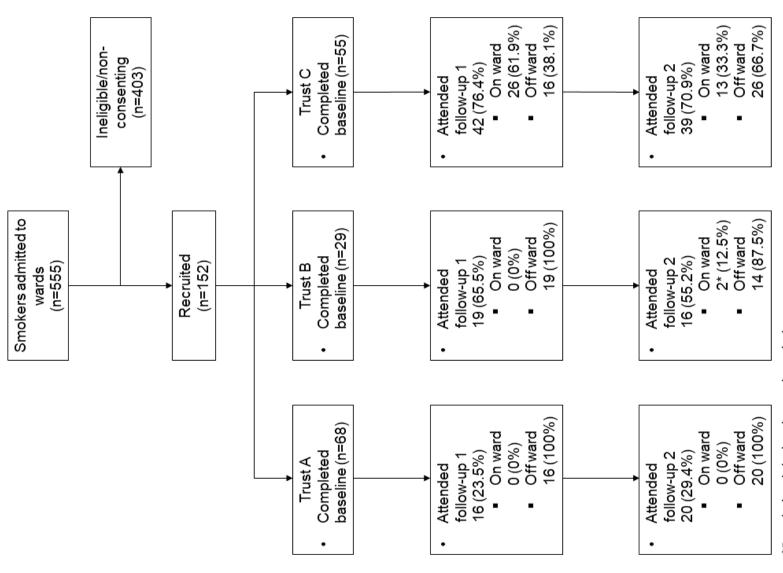
 https://ash.org.uk/information-and-resources/reports-

- <u>submissions/reports/progress-towards-smokefree-mental-health-services/.</u> London2019.
- 18. Department of Health. Towards a smokefree generation: tobacco control plan for England. https://www.gov.uk/government/publications/towards-a-smoke-free-generation-tobacco-control-plan-for-england. London2017.
- 19. National Health Service. NHS Long Term Plan. https://www.longtermplan.nhs.uk/. London2018.
- 20. Borland R, Yong HH, O'Connor RJ, Hyland A, Thompson ME. The reliability and predictive validity of the Heaviness of Smoking Index and its two components: findings from the International Tobacco Control Four Country study. *Nictone Tob Res.* 2010;12 Suppl:S45-50.
- 21. Fidler JA, Shahab L, West R. Strength of urges to smoke as a measure of severity of cigarette dependence: comparison with the Fagerstrom Test for Nicotine Dependence and its components. *Addiction*. 2011;106(3):631-638.
- 22. Kotz D, Brown J, West R. Predictive validity of the Motivation To Stop Scale (MTSS): a single-item measure of motivation to stop smoking. *Drug Alcohol Depend*. 2013;128(1-2):15-19.
- 23. Gilbody S, Peckham E, Bailey D, et al. Smoking cessation for people with severe mental illness (SCIMITAR+): a pragmatic randomised controlled trial. *Lancet Psychiat.* 2019;6(5):379-390.
- 24. Shmueli D, Fletcher L, Hall SE, Hall SM, Prochaska JJ. Changes in psychiatric patients' thoughts about quitting smoking during a smoke-free hospitalization. *Nictone Tob Res.* 2008;10(5):875-881.
- 25. West R, Hajek P, Stead L, Stapleton J. Outcome criteria in smoking cessation trials: proposal for a common standard. *Addiction*. 2005;100(3):299-303.
- 26. Ratschen E, Britton J, Doody GA, Leonardi-Bee J, McNeill A. Tobacco dependence, treatment and smoke-free policies: a survey of mental health professionals' knowledge and attitudes. *Gen. Hosp. Psychiatry.* 2009;31(6):576-582.
- 27. Ratschen E, Britton J, Doody GA, McNeill A. Smoke-free policy in acute mental health wards: avoiding the pitfalls. *General hospital psychiatry*. 2009;31(2):131-136.
- 28. Ratschen E, Britton J, McNeill A. Smoke-free hospitals the English experience: results from a survey, interviews, and site visits. *BMC Health Serv. Res.* 2008;8:41.
- 29. Ratschen E, Britton J, McNeill A. Implementation of smoke-free policies in mental health in-patient settings in England. *BRIT J PSYCHIAT*. 2009;194(6):547-551.
- 30. Huddlestone LS, H.; Paul, C.; Ratschen, E. Complete smokefree policies in mental health inpatient settings: Results from a mixed-methods evaluation before and after implementing national guidance. https://doi.org/10.1186/s12913-018-3320-6. BMC Health Serv. Res.2018;18.
- 31. Sohal H, Huddlestone L, Ratschen E. Preparing for Completely Smoke-Free Mental Health Settings: Findings on Patient Smoking, Resources Spent Facilitating Smoking Breaks, and the Role of Smoking in Reported Incidents from a Large Mental Health Trust in England. INT J ENV RES PUB HE. 2016;13(3).
- 32. Dickens G, Stubbs J, Popham R, Haw C. Smoking in a forensic psychiatric service: a survey of inpatients' views. *J Psychiatr Ment Health Nurs*. 2005;12(6):672-678; quiz 678.

- 33. Stockings EA, Bowman JA, Prochaska JJ, et al. The impact of a smoke-free psychiatric hospitalization on patient smoking outcomes: a systematic review. *The Australian and New Zealand journal of psychiatry.* 2014;48(7):617-633.
- 34. Lawn S, Pols R. Smoking bans in psychiatric inpatient settings? A review of the research. *AUST NZ J PSYCHIAT*. 2005;39(10):866-885.
- 35. Anthenelli RM, Benowitz NL, West R, et al. Neuropsychiatric safety and efficacy of varenicline, bupropion, and nicotine patch in smokers with and without psychiatric disorders (EAGLES): a double-blind, randomised, placebo-controlled clinical trial. *Lancet*. 2016;387(10037):2507-2520.
- 36. The prescribing of varencline and vaping (electronic cigarettes) to patients with severe mental illness (PS05/18). Position Statement. [press release]. 2018.
- 37. Richardson S, McNeill A, Brose LS. Smoking and quitting behaviours by mental health conditions in Great Britain (1993-2014). *Addict Behav.* 2019;90:14-19.
- 38. Evison M, Pearse C, Howle F, et al. Feasibility, uptake and impact of a hospital-wide tobacco addiction treatment pathway: Results from the CURE project pilot. *Clin Med (Lond)*. 2020;20(2):196-202.
- 39. van Zyl-Smit RN, Richards G, Leone FT. Tobacco smoking and COVID-19 infection. *Lancet Respir Med.* 2020.
- 40. The Lancet P. Mental health and COVID-19: change the conversation. *Lancet Psychiat*. 2020;7(6):463.

Tables and Figures

Figure 1: Patient flow diagram



*Re-admitted during the study period.

Table 1: Patient characteristics

	All neuticinents
	All participants (n=152)
Age in years n (%)	149 (98.0)
Mean (SD)	37.1 (12.2)
Median (Min, Max)	35 (18, 70)
Gender	33 (10, 70)
Male	103 (67.8)
Female	48 (31.6)
Missing	1 (0.7)
Ethnic group	1 (0.7)
White	122 (80.3)
Black/Black British	14 (9.2)
Mixed ethnic background	7 (4.6)
Asian	5 (3.3)
Other ethnic group	3 (2.0)
Missing	1 (0.7)
Highest level of education	1 (0.7)
None	22 (14.5)
GCSE or equivalent (ISCED Level 2)	45 (29.6)
A Levels or equivalent (ISCED Level 3)	34 (22.4)
Bachelor's Degree or higher	20 (13.2)
Other	23 (15.1)
Missing	8 (5.3)
Employment status	0 (0.0)
Unemployed less than one year	28 (18.4)
Unemployed more than one year	32 (21.1)
Unable to return to work	63 (41.4)
Employed	16 (10.5)
Other	11 (7.2)
Missing	2 (1.3)
MH care cluster	2 (1.0)
Ongoing or recurrent psychosis	34 (22.4)
Psychotic crisis	29 (19.1)
First episode psychosis	16 (10.5)
Non-psychotic chaotic and challenging disorders	14 (9.2)
Psychosis and affective disorder	13 (8.6)
Other [†]	34 (22.4)
	12 (7.9)
Unknown	\ -1
Length of stay on ward before baseline interview Less than 7 days	44 (28.9)
7-14 days	47 (30.9) 29 (19.1)
15-28 days More than 28 days	30 (19.7)
Missing	2 (1.3)

Includes the following MH care clusters: Common Mental health Problems, Non-Psychotic, Non-Psychotic Disorder of Over-Valued Ideas, Enduring Non-Psychotic disorders, Psychotic Crisis, Severe Psychotic Depression and Dual Diagnosis.

Table 2: Prior smoking and quitting history

	All mouticin and
	All participants (n=152)
Ago started ampling	(11=152)
Age started smoking	150 (00.7)
n (%)	150 (98.7)
Mean (SD)	16.4 (6.1)
Median (Min, Max)	15 (6, 44)
Type of cigarettes used n (%)	FC (0C 0)
Factory made	56 (36.8)
Hand-rolled	74 (48.7)
Both	18 (11.8)
Other	1 (0.7)
None	1 (0.7)
Missing	2 (1.3)
Lives with someone	
who smokes n (%)	
Yes	67 (44.1)
No	84 (55.3)
Missing	1 (0.7)
Previous quit attempt n (%)	
Yes	115 (75.7)
No	36 (23.7)
Missing	1 (0.7)
Number of previous quit attempts	
n (%)	90 (78.3)
Mean (SD)	3.5 (4.5)
Median (Min, Max)	2 (1, 30)
Support used in previous quit attempts n (%)	
NRT	44 (38.3)
Champix (Varenicline)	3 (2.6)
Zyban (Bupropion)	0 (0)
Behavioural support with a trained advisor	1 (0.9)
Referral to local Stop Smoking Service	3 (2.6)
E-cigarette	11 (9.6)
Other	19 (16.5)
None	42 (36.4)
Missing	8 (7.0)
Longest period of abstinence	
months	
n (%)	105 (91.3)
Mean (SD)	14.7 (35.8)
Median (Min, Max)	3.0 (0, 216)

Table 3: Smoking cessation support overall and by trust

	All participants
Detient aware they were coming to a smaller free arrive amount in (01)	(n=152)
Patient aware they were coming to a smoke free environment n (%) Yes	89 (58.6)
No	58 (38.2)
Don't know	4 (2.6)
Missing	1 (0.7)
Asked about smoking status at time of admission n (%)	
Yes	83 (54.6)
No	42 (27.6)
Don't remember	27 (17.8)
Asked about smoking status at any other point n (%) Yes	12 (28.6)
No	27 (64.3)
Don't remember	3 (7.1)
Offered support to stop smoking n (%)	<i>(,,,,</i>)
Yes	85 (55.9)
No	65 (42.8)
Missing	2 (1.3)
Type of support offered n (%)	
NRT	65 (76.5)
Champix	0 (0)
Zyban (Bupropion) Specialist treatment from someone that works on the ward	0 (0) 8 (9.4)
Specialist treatment from someone that works on the ward	1 (1.2)
ward for a stop smoking service	1 (1.2)
E-cigarettes*	8 (9.4)
Other	13 (15.3)
None	8 (9.4)
Missing	1 (1.2)
Accepted support to stop smoking n (% of those who were offered support)	
Yes	41 (48.2)
No Missing	43 (50.6) 1 (1.2)
Missing Type of support accepted n (%)	1 (1.2)
NRT	35 (85.4)
Champix	0 (0)
Zyban (Bupropion)	0 (0)
Specialist treatment from someone that works on the ward	2 (4.9)
Specialist treatment from someone that works outside the	0 (0)
ward for a stop smoking service	4 (0.0)
E-cigarettes* Other	4 (9.8) 8 (19.5)
Missing	0 (0)
Type of NRT offered (multiple answers possible) n (%)	- (J)
Nicotine gum	13 (20.0)
Nicotine patches	18 (27.7)
Lozenges	4 (6.2)
Inhaler	16 (24.6)
Nasal spray	1 (1.5)
Oral spray	4 (6.2)
Microtab	4 (6.2) 31 (47.7)
None of the above/missing Type of NRT accepted (multiple answers possible) n (%)	Οι (4 1.1)
Nicotine gum	8 (22.9)
Nicotine patches	14 (40.0)
Lozenges	3 (8.6)
Inhaler	13 (37.1)
Nasal spray	0 (0)
Oral spray	2 (5.7)
Microtab	3 (8.6)
None of the above/missing * Trust A only (see details on policies in methods section)	2 (5.7)

^{*} Trust A only (see details on policies in methods section)

Table 4: Change over time in smoking status, smoking behaviour and attitudes, and ecigarette use overall and by trust

	All participants	p-value
Self-reported as smoker n (%)	(n=152)	
Before admission to hospital	150 (98.7)	0.105*
After admission (on ward)	147 (96.7)	0.465 [†]
Day of discharge (if discharged)^	69 (97.2)	0.400
One week after discharge [^]	73 (94.8)	
One month after discharge [^]	69 (92.0)	
Number of cigarettes smoked/day	00 (02.0)	
N (% of those who attended interview)		
Mean (SD)		
Median (Min, Max)		
Before admission to hospital	144 (94.7)	<0.001
'	17.9 (13.0)	
	15 (0, 60)	
	·	
After admission (on ward)	141 (92.8)	
	14.5 (10.8)	
	12 (0, 60)	
Day of discharge (if discharged)	63 (81.8)	
	14.9 (11.7)	
	10 (0, 60)	
	74 (00 4)	
One week post-discharge [^]	74 (96.1)	
	13.4 (11.1)	
	10 (0, 60)	
One month post-discharge [^]	73 (97.3)	
One month post-discharge	11.8 (9.1)	
	10 (0, 45)	
HSI n (%)	10 (0, 10)	
Before admission		
High	17 (12.1)	<0.001
Medium	104 (74.3)	
Low	19 (13.6)	
After admission (on ward)		
High	14 (10.4)	
Medium	81 (60.0)	
Low	40 (29.6)	
One week post-discharge	0 (0 0)	
High	6 (8.8)	
Medium	41 (60.3)	
Low One month post-discharge	21 (30.9)	
High	4 (6.0)	
Medium	46 (68.7)	
Low	17 (25.4)	
High frequency of urges to smoke n (%)	\	
After admission (on ward)	75 (57.3)	0.011
One week post-discharge	30 (46.2)	
One month post-discharge	25 (36.2)	
High strength of urges to smoke n (%)		
After admission (on ward)	91 (60.3)	0.012
One week post-discharge	32 (41.6)	
One month post-discharge	31 (43.7)	
Motivation to stop smoking n (%)		
After admission	45 (40.0)	0.407
Expresses intention to stop	15 (10.2)	0.497
Would like to stop	69 (46.9)	
Does not want to stop	63 (42.9)	
One week post-discharge	7 (0 0)	
Expresses intention to stop	7 (9.9)	

	All participants (n=152)	p-value
Would like to stop	39 (54.9)	
Does not want to stop	25 (35.2)	
One month post-discharge	·	
Expresses intention to stop	9 (13.2)	
Would like to stop	36 (52.9)	
Does not want to stop	23 (33.8)	
Reported e-cigarette use n (%)		
Before admission to hospital	47 (31.8)	0.473
After admission (on ward)	45 (38.5)	
One week post-discharge	24 (31.2)	
One month post-discharge	27 (36.5)	
	, ,	

[^] as described in the methods section, data collection was planned to take place one week/one month after discharge, but a proportion of patients with a very long stay (see figure 1) were interviewed in the ward setting instead