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1 Modelling and forecasting Roots & Tubers losses and resulting water losses in  
2 sub-Saharan Africa considering climate variables

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## 10 **Abstract**

11 The implications of climate change coupled with anthropogenic activity on water  
12 resources have caused great concern, particularly in areas vulnerable to water stress such as  
13 sub-Saharan Africa. We focused on the future magnitude of food loss (FL) in African regions,  
14 using an ARIMAX model to fit and forecast roots & tubers (R&T) losses of five major crops  
15 cultivated in Africa regions, including cassava, potato, sweet potato, yam, and “other” roots &  
16 tubers. The forecast was done up to 2025 under the influence of five exogenous variables,  
17 namely, gross domestic product, harvested area, precipitation, temperature, and food  
18 production. In addition, the future crop water requirement (CWR) of production under climatic  
19 variables, and the associated water loss embodied in FL were quantified by means of  
20 CROPWAT 8.0. Our findings showed that in 2025 the magnitude of FL is expected to increase  
21 by 19.06%, 104.78%, and 27.72% at 2013 levels for East Africa, Middle, and West Africa,  
22 respectively. Under future climate the CWR of the selected crops is expected to be higher in  
23 West Africa (1790.24 m<sup>3</sup>/tonne), than in East (989.03 m<sup>3</sup>/tonne), and Middle Africa (903.64  
24 m<sup>3</sup>/tonne). The future water loss embodied in FL is expected to be 114.37, 112.80, and 12.06  
25 m<sup>3</sup>/cap/yr for the West, Middle, and East Africa regions, respectively. Our results show that  
26 measures aimed at preventing FL will also alleviate pressure on available water resources.

27 **Keywords:** climate change; crop water requirement; food loss; forecasting; Africa

## 28 **1. Introduction**

29 The implications of climate change on the environment and anthropogenic activities is  
30 profound. It may be linked to agricultural practices, crop production, water resources, and so  
31 on (Bocchiola et al., 2013; Kusangaya et al., 2014; Mimikou et al., 2000; Shrestha et al., 2017;  
32 Stancalie et al., 2010; Tingem et al., 2008). Agricultural production is vulnerable to climate  
33 change, particularly in low income countries including in sub-Saharan African (SSAn) regions

34 (Maddison et al., 2007; Muller et al., 2011). The low resilience of African agricultural practices  
35 to climate change is likely to generate greater food loss (FL) impacting on food security (Muller  
36 et al., 2011).

37 FL can be considered as the decrease in edible food mass throughout the part of the supply  
38 chain that specifically leads to edible food for human consumption (Gustavsson et al., 2011).  
39 FL is more related to the production, postharvest, and processing stages of the food supply  
40 chain (Kummu et al., 2012). FL is historically a major concern in Africa (Feukam Nzudie et al.,  
41 2020). The continent has a high prevalence of undernourished people, estimated at 20.4 % of  
42 its population in 2017 (FAO, 2018). Preventing FL becomes even more difficult when coupling  
43 this with the impacts of climate change. For example, in most SSAn regions, FL in fresh  
44 vegetables has a tendency to increase due to hot climates (Gustavsson et al., 2011).  
45 Furthermore, weather conditions more generally impact on FL (Huang et al., 2017; Kaminski  
46 and Christiaensen, 2014). A crop which requires a warm dry climate to attain a specific dryness  
47 can be spoiled when exposed to a humid climate (Abass et al., 2014). Climate may also promote  
48 the development and spread of pests and plant diseases on/off-farm which indirectly act on FL  
49 (Manjula et al., 2009). Other than climate, there are also other factors which can influence FL.  
50 The economic situation of a nation may also impact on FL (Aulakh and Regmi, 2013;  
51 Gustavsson et al., 2011). For example, less developed (lower income) countries are more likely  
52 to generate FL due to lower levels of technological advancement, such as crop storage and  
53 transport facilities, and food processing. Other factors affecting FL include the magnitude of  
54 food production and the harvested area. This may be explained by the fact that a relatively high  
55 production (in the case where demand is lower) is likely to lead to loss of some of the excess  
56 food (The Economist Intelligence Unit, 2014). Since FL can be expressed per unit of harvested  
57 area, the latter is also an important influencing factor. Overall, FL is being driven and  
58 aggravated by the aforementioned factors in SSAn regions.

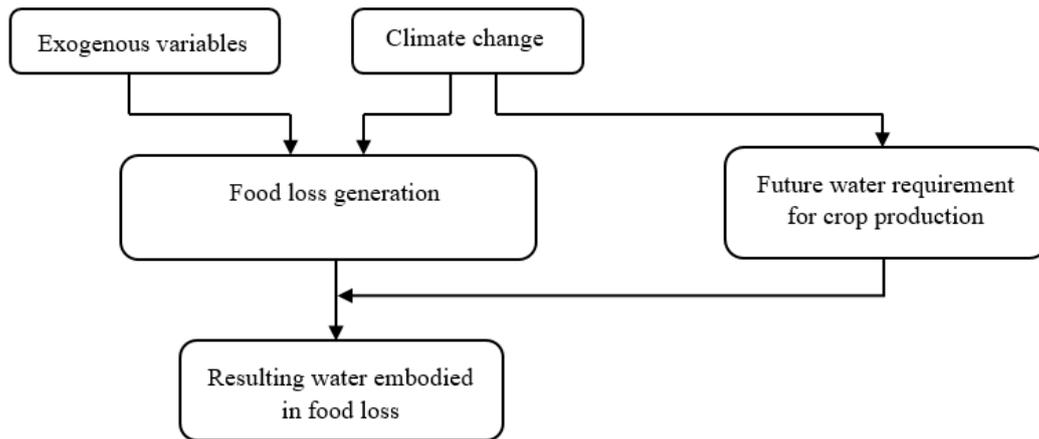
59 FL impacts on food security, economic prosperity, and natural resources such as water  
60 (Rezaei and Liu, 2017). Numerous studies have been conducted into the impacts of FL on water  
61 resources. Ridoutt et al. (2010) found that mango loss was responsible for 16.6 GL of blue  
62 water consumption in Australia. Kummu et al. (2012) used a process analysis to find that FL  
63 was responsible for 24% of total water resources globally, which translated into 27 m<sup>3</sup>/cap/yr.  
64 Liu et al. (2013) found that total water embodied in FL in China was equivalent to 13.5x10<sup>4</sup>  
65 GL in 2010. By means of process analysis, le Roux et al. (2018) found that 4 GL/yr water was  
66 lost from the Steenkoppies Aquifer in South Africa due to FL in vegetables. However, these  
67 studies stop short of considering the implications of climate change on FL and associated water

68 resource. Furthermore, FL reduction in the long term could potentially alleviate pressure on  
69 water resource. As such, and to inform integrated water management and planning practices,  
70 quantitative assessment of the implications of climate change on FL and associated water  
71 resources is required.

72 Roots & tubers (R&T) play an important role in feeding the world and tackling food  
73 insecurity (Scott, 2000). In SSAn regions ca. 20 % of food energy consumption is derived from  
74 R&T (Scott et al., 2000). However, large amounts of R&T is lost before reaching final  
75 consumption. Most R&T FL occurs post-harvest than at other stages of the food supply chain  
76 in many SSAn countries (Gustavsson et al., 2011). Aforementioned, FL induces water loss  
77 which is in turn linked to the crop water consumption. As known the water consumption in  
78 growth stage is highly depend on the crop itself. As an example, taking potato as a crop  
79 belonging to R&T, its water consumption is about 500-700 mm/growing period (FAO, 1986).  
80 While the range of water consumption for other crops is from 300 to 2500 mm/growing period  
81 for bean and sugarcane respectively (FAO, 1986). Although potato has a relatively lower water  
82 consumption during its growing stage compared to the previous range, the point is how  
83 significant a large consumption of R&T could impact on total water consumption. In this study,  
84 we use an ARIMAX model to forecast the magnitude of R&T associated FL in East, Middle,  
85 and West SSAn. The major R&T crops cultivated in SSAn are classified as cassava, potatoes,  
86 sweet potatoes, yams, and “other R&T”. Our forecast has been undertaken to 2025, considering  
87 five independent variables, namely, Gross domestic product (GDP), harvested area (Har),  
88 precipitation (Pre), temperature (Temp), and production (Pro). The ARIMAX model was  
89 selected since it can integrate both a dependent or response variable (in this case FL) and  
90 several independent or exogenous variables (Anggraeni et al., 2017). In addition, we  
91 investigate the implications of future climate change on crop water requirement (CWR) in order  
92 to assess the water embodied in the expected FL. Note, that due to insufficient data in other  
93 African regions, only East, Middle, and West Africa were considered.

## 94 **2. Methodology**

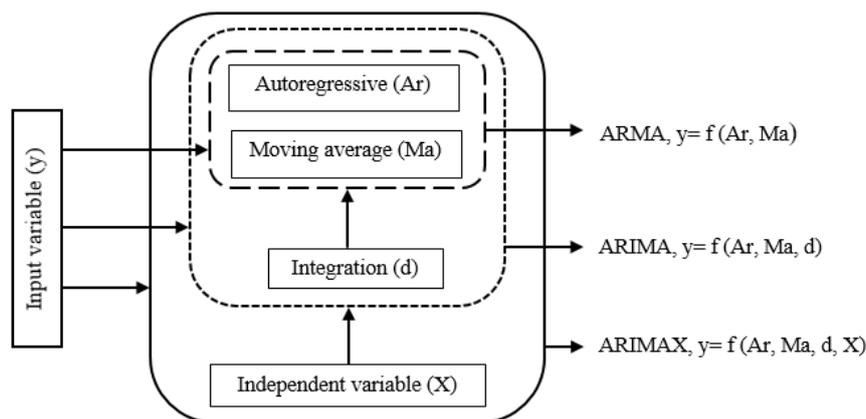
95 Fig.1 presents a framework describing the relationship between climate change, FL, and  
96 water resource as developed for the current study. The first step was to investigate the potential  
97 implications of climate and exogenous variables on human activity by means of the ARIMAX  
98 model, forecast until 2025. The second step was to quantify future CWR of production under  
99 climatic variables, and the third step was to investigate the implications of climate and human  
100 activity through the quantification of expected water embodied in FL.



101  
102 **Fig.1.** Interlinkage amongst climate food loss, and water resources.

103 2.1. ARIMAX model

104 The schematic shown in Fig. 2 illustrates the relationship between the three embedded  
105 ARMA/ARIMA models to the more complex ARIMAX model. It can be seen that this  
106 modelling approach integrates two major components, namely, autoregressive (Ar) and moving  
107 average (Ma). Considering time-series input variables including a dependent or response  
108 variable Y, and one or several independent or exogenous variables in X, ARIMAX becomes  
109 ARIMA if X does not exist, and ARIMA becomes ARMA if the dependent variable or the  
110 variable of interest (Y) is already stationary at level. ARIMAX therefore gives a closer  
111 interpretation of real phenomena. The outputs of the three different models can also be seen in  
112 Fig. 2.



113  
114 **Fig. 2.** Conceptual approach of ARIMAX modelling.

115 Note that the different steps used to perform the ARIMA modelling via a Box-Jenkins' method  
116 has been widely reported elsewhere (Rathod et al., 2017; Udom and Phumchusri, 2014), hence  
117 only a brief description is provided here. The general form of an ARIMA (p, d, q) model is  
118 given as follows:

$$\Omega(L)(1-L)^d y_t = c + \Phi(L)e_t \quad (1)$$

119 Where  $\Omega$  and  $\Phi$  represent the autoregressive and moving average components respectively.  $L$   
 120 is the lag operator that links a current variable to its pass value,  $e_t$  is the error term,  $c$  is a  
 121 constant,  $d$  is the order of integration, and  $y$  is the variable of interest. Since ARIMAX is  
 122 considered as a general form of ARIMA (Sutthichaimethee and Ariyasajjakorn, 2017), it is  
 123 written as ARIMAX ( $p, d, q$ ), however the independent variables in use should be indicated.  
 124 Let us consider a case where the order of integration  $d=1$  and including an independent variable  
 125  $X$ . ARIMAX yields the following equation (Hamjah, 2014):

$$y_t = \beta_1 y_{t-1} + \beta_2 y_{t-2} + \dots + \beta_p y_{t-p} + e_t - \phi_1 e_{t-1} - \phi_2 e_{t-2} - \dots - \phi_q e_{t-q} + \alpha_1 X_{t1} + \alpha_2 X_{t2} + \dots + \alpha_n X_{tm} \quad (2)$$

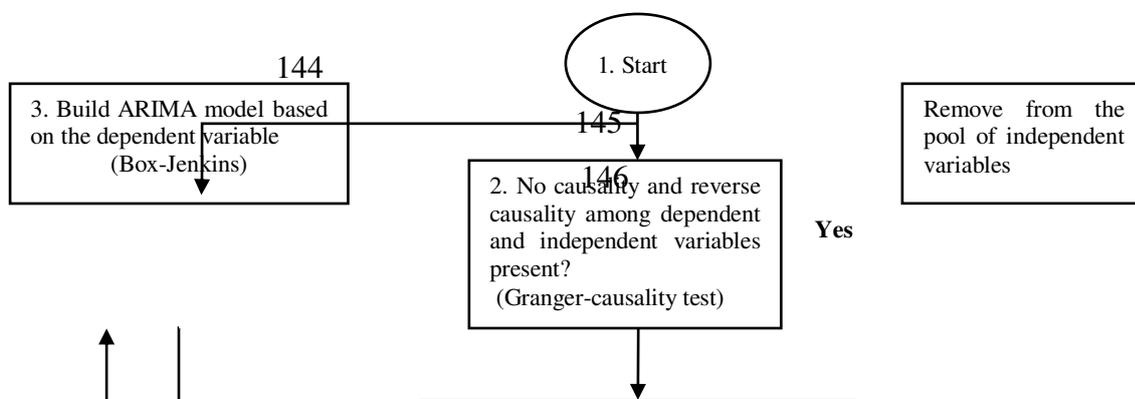
126 Where  $\alpha$  is the coefficient of the independent variables,  $\beta$  and  $\phi$  are the coefficients of the  
 127 autoregressive and moving average parts respectively. A more general form of ARIMAX,  
 128 where the independent variable  $X$  includes real time-series processes, is given as follows  
 129 (Anggraeni et al., 2017):

$$(1-L)^d \Psi_p(L) y_t = \Gamma_q(L) e_t + \sum_{i=1}^m a_i x_{i,t} \quad (3)$$

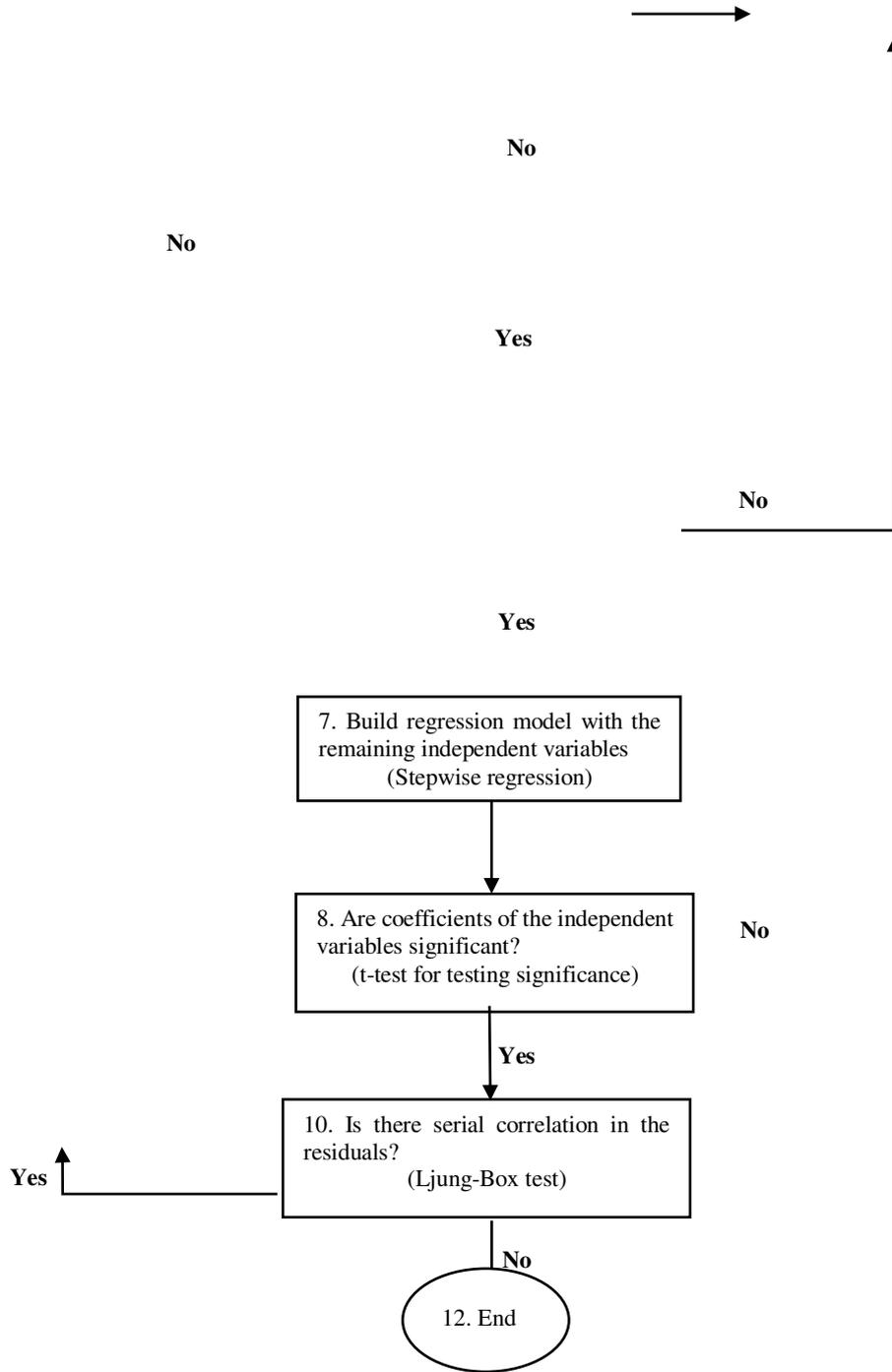
130 Where  $\Psi$  and  $\Gamma$  are functions of the lag operator and represent the autoregressive and moving  
 131 average parts respectively, a coefficient of the independent variable  $X$ .

132 The different steps used in performing ARIMAX are shown in Fig. 3. Note that the use of  
 133 the Granger-causality test was to identify causal relationship amongst variables, in other words  
 134 it was to test whether values of an independent variable could be helpful in predicting one of  
 135 the dependent variables (Foresti, 2006). This was used in a probabilistic sense, whereas a cross-  
 136 correlation analysis helped to identify lags at which a correlation may exist between dependent  
 137 and independent variables. This led us to choose an appropriate lag order of the independent  
 138 variable. Step 2 did not necessarily need time series to be stationary, since we used the well-  
 139 known Toda-Yamamoto procedure (Alimi and Ofonyelu, 2013) to perform the Granger-  
 140 causality test hence it could be performed at an earlier stage of the modelling process. Step 3  
 141 used the Box-Jenkins method to build an ARIMA model which was used in the development  
 142 of the ARIMAX model.

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178 **Fig. 3.** Flowchart of the different steps used to develop the ARIMAX model.

179 It should be noted that the development of our models was based on “additive lag”. This  
180 indicates that only relevant lags were included in the model. This was different from the “order  
181 lag” method which considers all lags until a significant lag, let’s say,  $p$  is identified (Andrews  
182 et al., 2013). The choice of “additive lag” was based on its easier interpretability of resulting  
183 models (Stige et al., 2007). Additionally, the introduction of the selected independent variables  
184 during model development followed a “stepwise regression”. Each independent variable was

185 introduced successively to the model, and only a variable with significance was kept whilst the  
186 insignificant one was discarded from the pool (Andrews et al., 2013).

## 187 2.2. CROPWAT description

188 CROPWAT v8.0 is a software platform integrating a large number of equations developed  
189 by scientists under the Food and Agriculture Organization (FAO) (Stancalie et al., 2010). It is  
190 used to calculate reference crop evapotranspiration ( $ET_o$ ), crop evapotranspiration (ET), and  
191 irrigation crop water requirement (IWR). CROPWAT may be used to schedule field irrigation  
192 and crop management. CROPWAT requires various input data classified into weather variables,  
193 crop parameters, soil properties, and scheduling criteria (Stancalie et al., 2010).

## 194 2.3. Crop water requirement

195 The CWR for production calculated in this study was based on the crop water footprint  
196 (WF) calculation (Zhao et al., 2017). Here, we briefly present the basic equations used for  
197 calculating WF for crop production since the latter has been thoroughly described in the  
198 literature (see, for example, Aldaya et al. (2012)). The CWR of production is derived from crop  
199 evapotranspiration as follows:

$$WF_{crop} = 10 \times \frac{ET_{crop}}{Y} \quad (4)$$

with  $ET_{crop} = K_c \times ET_o$

200 Where  $Y$  is the crop yield per unit of area,  $ET_o$  is the reference evapotranspiration calculated  
201 using weather variables, and  $K_c$  is the crop coefficient.  $WF_{crop}$  and  $ET_{crop}$  reflect the WF and  
202 the crop evapotranspiration respectively. Note that we used the method provided by the U.S.  
203 Department of Agriculture (USDA) to calculate effective rainfall (Ewaid et al., 2019). We used  
204 cocoyam as the reference to calculate the CWR of other R&T since it is the major crop for this  
205 group (Ngopya, 2002). More specifically, equation (4) was used to estimate future CWR in  
206 2025. The equation requires as inputs crop data,  $K_c$ , crop yield, and future climatic data in  
207 2025, i.e. maximum and minimum temperature ( $^{\circ}C$ ), precipitation (monthly), relative humidity  
208 (%), sunshine duration (h), and wind speed (m/s). Water loss embodied into future FL was then  
209 estimated by multiplying the projected magnitude of FL with the future CWR for production  
210 (calculated in this study). This was completed for each African region.

211 We defined a hypothetical scenario, identified as S1, which was the magnitude of water  
212 loss embodied in FL in 2025 considering an unchanged CWR at 2013 level (the one provided  
213 in Mekonnen and Hoekstra (2011)). The development of scenario S1 was to investigate how

214 future crop production using historic CWR may potentially affect the future amount of water  
215 embodied in FL in 2025.

#### 216 2.4. Data

217 This study used yearly time series data of FL as a dependent variable, and five independent  
218 variables including two climatic variables (Pre and Temp), two food production variables (Har  
219 and Pro), and one economic variable (GDP). Most climatic data providers present data in a  
220 monthly or daily basis. Since we needed our data to be on an annual basis (for case of  
221 forecasting FL), climatic data was averaged, extracted and then aggregated on a regional scale  
222 using R software and ArcGIS 10.3.1. A descriptive summary of the different data used in this  
223 study is given in Table 1. Note that the current study includes 32 nations in SSAn, with 10  
224 being in East Africa, 7 in Middle Africa, and 15 in West Africa (Fig. 5). The exclusion of other  
225 African nations was due to data limitations. For simplicity, the 32 countries were aggregated  
226 as East, Middle, and West regions, respectively.

227 The GDP time series data for some countries included in this study do not cover the entire  
228 study period. An estimation was therefore required to obtain regional data wherever a given  
229 country presented lack of data in a specific year. To estimate the GDP of a region, a weighted  
230 approach based on population was used as follows. Considering three countries X, Y, and Z  
231 within the same region (Middle Africa, for example) and with GDP denoted  $G_X$ ,  $G_Y$ , and  $G_Z$ ,  
232 respectively, hence  $g_X$ ,  $g_Y$ , and  $g_Z$ , was their per capita GDP. The average GDP per capita ( $g$ )  
233 of that region is therefore derived from the following equation:

$$g = \frac{G_X + G_Y + G_Z}{p_X + p_Y + p_Z} \quad (5)$$

234 Where  $p$  is the population of country X, Y or Z. Including the GDP per capita of each country  
235 in equation 5 yields:

$$g = \frac{p_X}{p_X + p_Y + p_Z} g_X + \frac{p_Y}{p_X + p_Y + p_Z} g_Y + \frac{p_Z}{p_X + p_Y + p_Z} g_Z \quad (6)$$

236 Note that wherever we encountered missing values in GDP per capita data, the population of  
237 the country concerned was not included in equation (6). It is also worth noting that since data  
238 related to the independent variables used to forecast FL were not available for the forecast  
239 horizon (year 2025), part of those data were forecasted using the ARIMA model (equation 1).  
240 This was termed “unconditional forecast” which is different from “conditional forecast”. In the  
241 latter, future values of the independent variable were assumed to be known or set to a target  
242 value (Chatfield, 2000).



GDP-FL	2.1	0.35	No	29.8	<0.005	GDP	3.3	0.19	No
FL- GDP	1.5	0.48		4.3	0.37	granger cause FL. The inverse is false.	3.2	0.2	
Har-FL	1.1	0.3	No	9.1	0.028	Both	3.078	0.014	Har
FL- Har	0.02	0.89		20.8	<0.005	variables granger cause each other.	1.873	0.109	Granger cause FL. The inverse is false.
Pre-FL	0.00065	0.98	No	4.9	0.087	Pre granger	0.52	0.47	No
FL-Pre	0.021	0.89		1.8	0.41	cause FL at 10%. The inverse is false.	0.15	0.7	
Temp-FL	4.8	0.19	No	0.11	0.95	No	16.4	0.002	Temp
FL-Temp	1.9	0.6		0.25	0.88		5.1	0.27	Granger cause FL. The inverse is false.
Pro-FL	0.11	0.74	No	37.3	<0.005	Both	4.0	0.26	FL Granger
FL-Pro	0.13	0.72		19.4	<0.005	variables granger cause each other.	10.8	0.013	cause Pro. The inverse is false.

262 “No” stands for no Granger-causality relationship between the relevant variables. GDP= gross domestic product,  
263 Har=harvested area, Pre= precipitation, Temp= temperature, Pro = food production.

264  
265 As mentioned previously, building the ARIMAX model involved building ARIMA on the  
266 dependent variable. ARIMA (0,1,0), ARIMA (1, 2, 2), and ARIMA (1, 2, 1) were found to be  
267 the best models which fitted respectively the East, Middle, and West African FL (dependent  
268 variable) data. The remaining independent variables (the ones which have passed the Granger-  
269 causality and cross-correlation analysis tests) were progressively introduced in each ARIMA  
270 model. The results of the models that best fitted the observed FL data associated to the  
271 exogenous variables are summarized in Table 3.

272 **Table 3**  
273 ARIMAX models for the three studied African regions.

Model		terms	Coef.	Std. E	AIC	BIC	Exo. variables		
							lags	Coef.	Pr(> t )
East	ARIMA (0.1.0)		73770.56	68992.22	1515.78	1519.68	—	—	—
Middle	ARIMAX (1, 2, 2) with GDP	Ar1	0.1249	0.189	1287.69	1298.79	lag (3)	1278.14	6.26e-06 ***
		Ma1	-1.7214	0.114			lag	-1448.0	2.34e-07 ***
		Ma2	0.99	0.117					
West	ARIMAX(1,2,1) with Har	Ar1	-0.5366	0.1386	1455.211	1464.77	Har(lag 0)	0.807	3.32e-09 ***
		Ma1	-0.6883	0.1433			Har(lag1)	-0.334	0.0045**

274 Signif. codes: 0 ‘\*\*\*’ 0.001 ‘\*\*’ 0.01 ‘\*’ 0.05 ‘.’ 0.1 ‘ ’ 1. Std. E= standard error, Coef. = coefficient estimation.

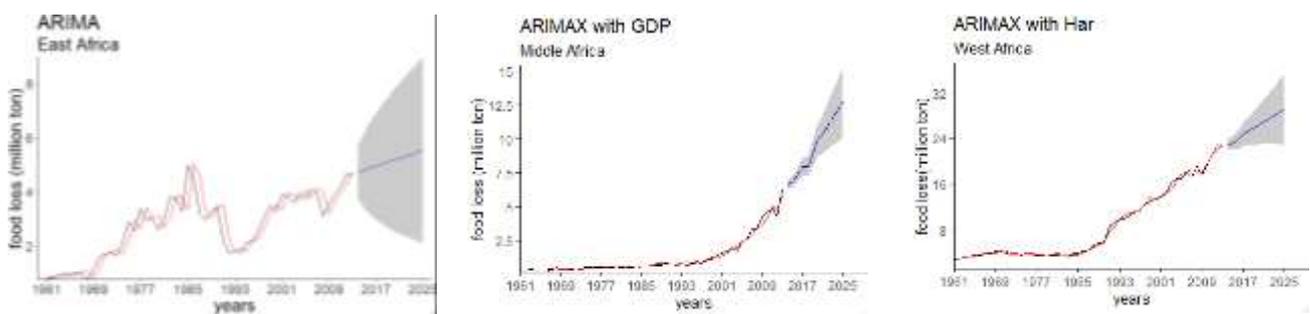
275

276 The forecasting models developed here for both Middle and West Africa (since all the  
 277 exogenous variables did not satisfy conditions to be used for the East Africa case) were based  
 278 on the additive lags approach and stepwise regression. This means that only significant lags  
 279 were considered and added up in the relevant models (Andrews et al., 2013). Moreover, the  
 280 future magnitude of FL was linked to that of the independent variables. We used what is called  
 281 “unconditional forecast” since the forecasted values of FL required independent variables to  
 282 be forecasted (due to the lack of future values) (Chatfield, 2000).

### 283 3.2. Forecasted food loss

284 Fig. 4 shows how the modeled values fit the data and forecasted results of FL for East,  
 285 Middle, and West Africa. The total FL across all three African regions is expected to equal  
 286 47.42 million tonnes in 2025, of which 61.54%, 26.79%, and 11.66% will be in West, Middle,  
 287 and East Africa respectively. In 2025, FL in East Africa is expected to be 5.54 million tonnes,  
 288 corresponding to an increase of 19.06 % at the 2013 level. FL in Middle Africa is expected to  
 289 increase and reach about 12.70 million tonnes by 2025. This corresponds to an increase of 6.5  
 290 million tonnes of FL, accounting for 104.78% of that in 2013. Considering West Africa, FL is  
 291 expected to reach 29.18 million tonnes in 2025, corresponding to an increase of 6.33 million  
 292 tonnes and accounting for 27.72% of that in 2013. Overall, while FL in Middle Africa is  
 293 expected to double, in West Africa it is expected to increase by less than 1/3 of that of 2013.

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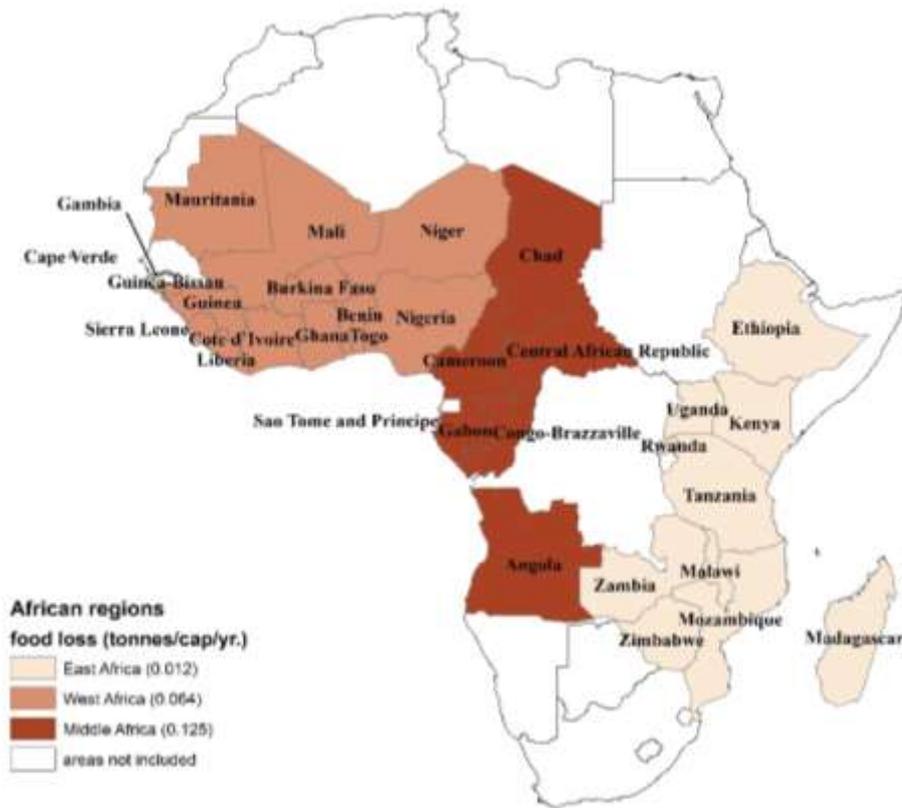


295 **Fig. 4.** Forecasted values of food loss (FL) for the East, Middle, and West Africa. Black line= observed values  
 296 (data), red line = fitted values (model), blue line= forecasted values of FL, and shaded area is the confidence  
 297 interval at 95 %.

298 The spatial distribution of FL across the three African regions (Fig. 5) reveals that per  
 299 capita FL is expected to be relatively lower in East Africa, corresponding to 0.012 tonne/cap/yr  
 300 compared to West Africa (0.064 tonne/cap/yr.) and Middle Africa (0.125 tonne/cap/yr.). The  
 301 lower amount of FL per capita in East Africa is probably due to its expected larger population  
 302 compared to that of Middle Africa (almost three times) hence its associated lower magnitude  
 303 of forecasted FL (Fig.4). Even though West Africa’s population is expected to be in the same

304 range as that of East Africa (less than 0.7 % difference), the per capita FL in West Africa will  
305 be higher because of its larger magnitude of FL (Fig.4). The per capita FL in Middle Africa is  
306 expected to be nearly twice that of West Africa despite its lower expected magnitude of FL.  
307 This is attributed to Middle Africa's population being forecast be the lower than that of West  
308 Africa (approximately 348.78% of Middle Africa's population). A further explanation could  
309 be the relatively greater reliance R&T make to diet in Middle Africa countries, assuming that  
310 consumption patterns of R&T remain unchanged in 2025. This finding is underlined by the  
311 lowest per capita income expected in Middle Africa in 2025 compared to those of East and  
312 West Africa (Cilliers et al., 2011); Kenyon et al. (2006) indicated that low per capita income  
313 in SSAn leads to increased demand for R&T. For example, R&T provides a substantial  
314 proportion of daily food calories in the Middle Africa nation of Central African Republic  
315 (expected lower incomes per capita in 2025), compared to Nigeria in West Africa even though  
316 the latter is the bigger producer (Ngopya, 2002). Furthermore, R&T in Middle Africa is  
317 generally consumed in different forms involving several food processing steps (derived R&T  
318 products such as "gari", flour, dried chips to name but a few) rather than in raw form. These  
319 multiple transformations of cassava are sources of FL, hence, including more R&T into one's  
320 diet is likely to generate more FL. Meanwhile, the relatively lower per capita FL expected in  
321 East and West Africa might be attributed to higher expected incomes per capita in 2025  
322 (Cilliers et al., 2011). This is likely to lead to broadening of diet involving food types other  
323 than R&T. Since East and West Africa have important livestock sectors (Chauvin et al., 2012),  
324 the introduction of meat into one's diet in those regions would probably help reduce per capita  
325 FL in R&T. Additionally, East and West Africa are expected to be less urbanised (46.6% and  
326 58 % of their total population, respectively) than Middle Africa (63.6 % of the total population)  
327 by 2025 (Hope, 1998). This may explain the lower per capita FL in both East and West Africa.  
328 As shown by Scott et al. (2000), urbanisation in SSAn leads to the development of local markets  
329 and greater consumption of R&T; urban dwellers in Africa tend to consider R&T as cheap  
330 starchy food and consume more of it, including derived processed products. Consequently,  
331 greater R&T consumption will lead to more FL. Note that urbanization is well-known to be an  
332 increase in the number of people living in towns and cities. It is mainly due to the movement  
333 of people from rural to urban areas. Indeed, urbanization induces better employment, new  
334 income opportunities, and may increase the well-being of urban people. However, a rapid and  
335 unplanned urbanization particularly in areas with less job opportunities, not appropriate  
336 economic policies could lead to poverty (The Open University, 2016). Furthermore,  
337 urbanization induced-poverty can be intensified if the migration is driven by factors such as

338 war and natural disaster. In this case, sudden high rise of urban people may lead to increase the  
 339 gap between job availability and labor. Generally, large amount of the migrants from rural to  
 340 urban areas are unskilled and may be unable to find adequate job and consequently would make  
 341 more social pressure on cities where they live. This can explain that Middle Africa has higher  
 342 urbanization rate and lower per capita income simultaneously.



343  
 344 **Fig. 5.** Spatial distribution of FL in African regions in 2025. Note that blank nations were not included due to lack  
 345 of data.

346 3.3. Future water requirement to produce R&T crops

347 Table 4 presents the projected CWR required to produce the selected R&T crops in  
 348 different African regions in 2025. Our results show that in 2025, yam is expected to require  
 349 less water (577.14 m<sup>3</sup>/tonne) than other crops in East Africa. This finding is despite the longer  
 350 growing period (over 252 days) of this tuber. The lowest water requirement for yam is expected  
 351 to be in East Africa compared to that in Middle and West Africa, and may be attributed to the  
 352 relatively higher yam yields in East Africa. This amounts to nearly double that of the Middle  
 353 and West Africa regions (assuming yield is unchanged between 2018 and 2025) (FAO, 2019b).  
 354 In Middle and West Africa cassava is forecast to be the least water consuming crop, with water  
 355 requirements equal to 640.59 m<sup>3</sup>/tonne and 835.55 m<sup>3</sup>/tonne, respectively. This may also be  
 356 attributed to the higher cassava yields in the relevant regions.

357 Interestingly, all studied R&T crops (excepting cassava) are forecast to require more water  
358 in West Africa than in Middle and East Africa. The reason for this may be due to the warmer  
359 climate expected in West Africa. Indeed, parts of West Africa extending from central Nigeria  
360 and crossing almost all West African territories to Senegal (far West Africa) are classed as  
361 tropical zone (Adams et al., 1996). This zone is characterized by a relatively long dry season  
362 marked by high temperatures as well as diffuse tree covering (Adams et al., 1996). This effect  
363 likely to reduce tree derived humidity and shading, leading to greater exposure of crops to  
364 sunshine and greater evapotranspiration from crops (an increase in CWR). This finding is in  
365 line with studies by Möller and Assouline (2006) and Sharma et al. (2015), which found that  
366 crops cultivated under shade have a tendency to require less water. Whilst shade is capable of  
367 reducing ambient temperature (Dussadee et al., 2018), it is well-known that high ambient  
368 temperatures raise crop evapotranspiration (Haseeb, 2017). Other climatic factors explaining  
369 the higher forecast CWR for R&T production in West Africa are the lower humidity and higher  
370 wind speeds expected in 2025. These latter variables are known to influence CWR (Brouwer  
371 and Heibloem, 1986).

372 The highest water consuming crop across all African regions is expected to be “other”  
373 R&T. This may be a function of crop characteristics, since these R&T tend to have the largest  
374 leaf surface area (spatial distribution of leaves can significantly increase transpiration)  
375 compared to that of the other crops. The projected highest CWR is expected to be in West  
376 Africa, amounting to 2609.31 m<sup>3</sup>/tonne. Overall, on an average basis, crops cultivated in  
377 Middle Africa are forecast to require less water than those in East and West Africa. This is  
378 attributed to an expected favourable climate condition in Middle Africa characterized by lower  
379 wind speeds and higher humidity. Moreover, “other” R&T has the lowest yield amongst the  
380 other crops, as well as a long growing period extending throughout the year. It should be noted  
381 that the CWR calculated for R&T production herein is defined as the theoretical water  
382 consumption required by crops to grow under ideal conditions. However, the actual CWR  
383 would likely be lower influenced by variables such as soil water stress (Allen et al., 1998). In  
384 general, the selected crops would have different water requirements due to differences in  
385 altitude and associated weather conditions in the relevant regions.

386 The CWR is a spatial and temporal variable, implying that within the same region a given  
387 crop is likely to have different water requirements for its growing period. The current values  
388 of CWR for production in Table 4 are forecast to be higher than the globally averaged figures  
389 provided in Mekonnen and Hoekstra (2011) (for example, the world average water requirement

390 for cassava is 550m<sup>3</sup>/tonne, whereas in the current study it ranges between 640.59 and 867.07  
 391 m<sup>3</sup>/tonne; Table 4). This may be due to the effects of climate change in Africa, which is forecast  
 392 to become relatively hotter and, in terms of range, to the uneven distribution of landscape and  
 393 altitudes across a vast continent (Doorenbos and Pruitt, 1992). Overall, the amount of CWR  
 394 presented in Table 4 are averages over the entire African region and reflect the different values  
 395 of CWR for production that might occur in each geographical unit (country level) within each  
 396 relevant region.

397 **Table 4**  
 398 Projected water requirements of different R&T crops in 2025 (m<sup>3</sup>/tonne).

<b>Crop</b>	<b>East Africa</b>	<b>Middle Africa</b>	<b>West Africa</b>	<b>Global average</b>
cassava	867.04	640.59	835.55	550
potato	595.63	785.78	1448.19	224
sweet potato	732.74	885.90	2603.85	329
“other” roots & tubers	2172.58	1252.39	2609.31	388
yam	577.14	953.52	1454.32	341
total average	989.03	903.64	1790.24	364.5

399 Note the R&T crops are defined as in FAO. Hence, “other” R&T presented here includes cocoyam as the principle  
 400 crop.

#### 401 3.4. Projected water loss associated with future food loss

402 The results showing the impact of future FL generation on water resource are depicted in  
 403 Fig. 6. The total water loss embodied in FL across all regions is expected to be 69.19×10<sup>9</sup> m<sup>3</sup>  
 404 in 2025, of which 75.49%, 16.59%, and 7.9% will be in West, Middle, and East Africa  
 405 respectively. As can be seen, West Africa is expected to lose significantly more water to FL,  
 406 amounting to 52.24×10<sup>9</sup> m<sup>3</sup> (Fig. 6- a). This finding may be attributed to the forecasted large  
 407 magnitude of FL, combined with future climate-induced drastic weather variables manifest in  
 408 evapotranspiration. As corroborated in previous study by Liu and Yang (2010), West Africa  
 409 has a relatively higher magnitude of consumptive water for crop production compared to  
 410 Middle and East Africa. Indeed, FL is associated to production (The Economist Intelligence  
 411 Unit, 2014). The highest production of R&T in West Africa (compared to other regions)  
 412 combined with its consumptive water would likely lead to more water loss. While water loss  
 413 embodied in FL is projected to be lower in Middle Africa (11.48×10<sup>9</sup> m<sup>3</sup>) and in East Africa  
 414 (5.74×10<sup>9</sup> m<sup>3</sup>). In general, forecast water loss in West Africa represents approximately four  
 415 and nine times that of Middle and East Africa respectively. However, looking at projected per  
 416 capita water loss embodied in FL in Middle Africa (112.80 m<sup>3</sup>) and West Africa (114.37 m<sup>3</sup>)  
 417 (Fig. 6- b), there is only a slight difference of 1.56 m<sup>3</sup>/cap/yr. The higher per capita water loss  
 418 forecast in Middle Africa compared to East Africa (12.06 m<sup>3</sup>/cap/yr) can be attributed to the

419 lower population expected to be partaking in FL generation in 2025 in the former. In contrast,  
420 East Africa is expected to have the lowest water loss per capita because of its larger forecast  
421 population, nearly in the range of that of West Africa. There is no doubt that FL implicitly leads  
422 to loss of water resources. Considering the renewable water resources in 2017 in each region  
423 (FAO, 2020), the expected water embodied in FL would represent 60%, 5.63%, and 4.96% of  
424 the total average renewable water resources in West, East, and Middle Africa, respectively.  
425 This large share of water loss in West Africa (60%) may be due to the lower water endowment  
426 in the region (compared to East and Middle Africa) associated to large production of R&T  
427 inducing FL (agriculture is well-known to be the most water consuming activity (Döll, 2009).  
428 Indeed, R&T is the major crop type cultivated in West Africa leading the region as the second  
429 largest producer of R&T in the world. Note that even if the share of water loss embodied in  
430 FL is expected to be relatively lower in East Africa (5.63%) than that of West Africa, particular  
431 attention should be paid in East Africa since the latter presents physical water scarcity in some  
432 parts (Ethiopia, Uganda, Rwanda) (AQUASTAT, 2020). Furthermore, assuming the expected  
433 amount of water embodied in FL as blue water equivalent (we make this assumption because  
434 green water has less opportunities in use than blue), it could be used to produce about  $29.18$   
435  $\times 10^6$ ,  $12.7 \times 10^6$ , and  $5.8 \times 10^6$  tonnes of R&T (considering the total average in each region,  
436 Table 4) in West, Middle, and East Africa respectively. This would help to feed approximately  
437 35.03, 15.25, and 6.97 million people per year in West, Middle, and East Africa, respectively  
438 (considering the minimum daily energy requirement for a healthy life to 2100kcal/cap/day as  
439 defined by the World Health Organization (WHO) (Kummu et al.,2012), resulting in improving  
440 food security in the relevant African regions. These show the need to address FL as well as  
441 water mitigation strategies.

442 Fig.7 shows the differences amongst estimated water loss embodied in FL in 2025,  
443 scenario S1, and water embodied in FL at 2013 levels. The total water loss embodied in FL for  
444 all studied regions amounts to  $11.43 \times 10^9$  m<sup>3</sup> in 2013. The expected increase in water loss  
445 between 2013 and 2025 in West Africa was found to be the largest (558.79% increase based  
446 on 2013 levels), followed by that of Middle Africa (498.78% increase), and East Africa (246.46%  
447 increase). Considering scenario S1, i.e. the CWR for production in 2025 remains unchanged  
448 from 2013 levels equal to those provided in Mekonnen and Hoekstra (2011), the total water  
449 loss embodied in FL across all regions is  $15.93 \times 10^9$  m<sup>3</sup> of which 63.56%, 24.64%, and 11.8%  
450 is expected to be in West, Middle, and East Africa respectively. The increase in water loss  
451 embodied in FL according to scenario S1 is higher in Middle Africa, i.e. 104.78%, while in

452 West and East Africa it is 27.72% and 19.05% respectively. If scenario S1 is realised, the  
453 change between the projected water embodied in FL (estimated in this study) and the water  
454 loss obtained from scenario S1 will lead to a reduction in water loss amounting to  $42.1 \times 10^9 \text{ m}^3$   
455 and representing 531.07 % of the total water loss at 2013 levels in West Africa. While, in  
456 Middle and East Africa, that change would lead to reductions amounting to  $7.55 \times 10^9 \text{ m}^3$  (394%  
457 at 2013 levels) and  $3.59 \times 10^9 \text{ m}^3$  (227.40 % at 2013 levels) respectively. Overall, the  
458 achievement of scenario S1 would be useful in reducing the pressure on water resources. This  
459 is shown by the previous results of water loss reduction in different African regions (Fig. 7).  
460 The justification of these water reductions lies on the fact that the CWR used in scenario S1 is  
461 relatively lower compared to the one estimated in this study. Consequently, considering the  
462 same magnitude of FL in 2025, scenario S1 will lead to significant water loss reduction  
463 compared to the estimated water loss in this study.

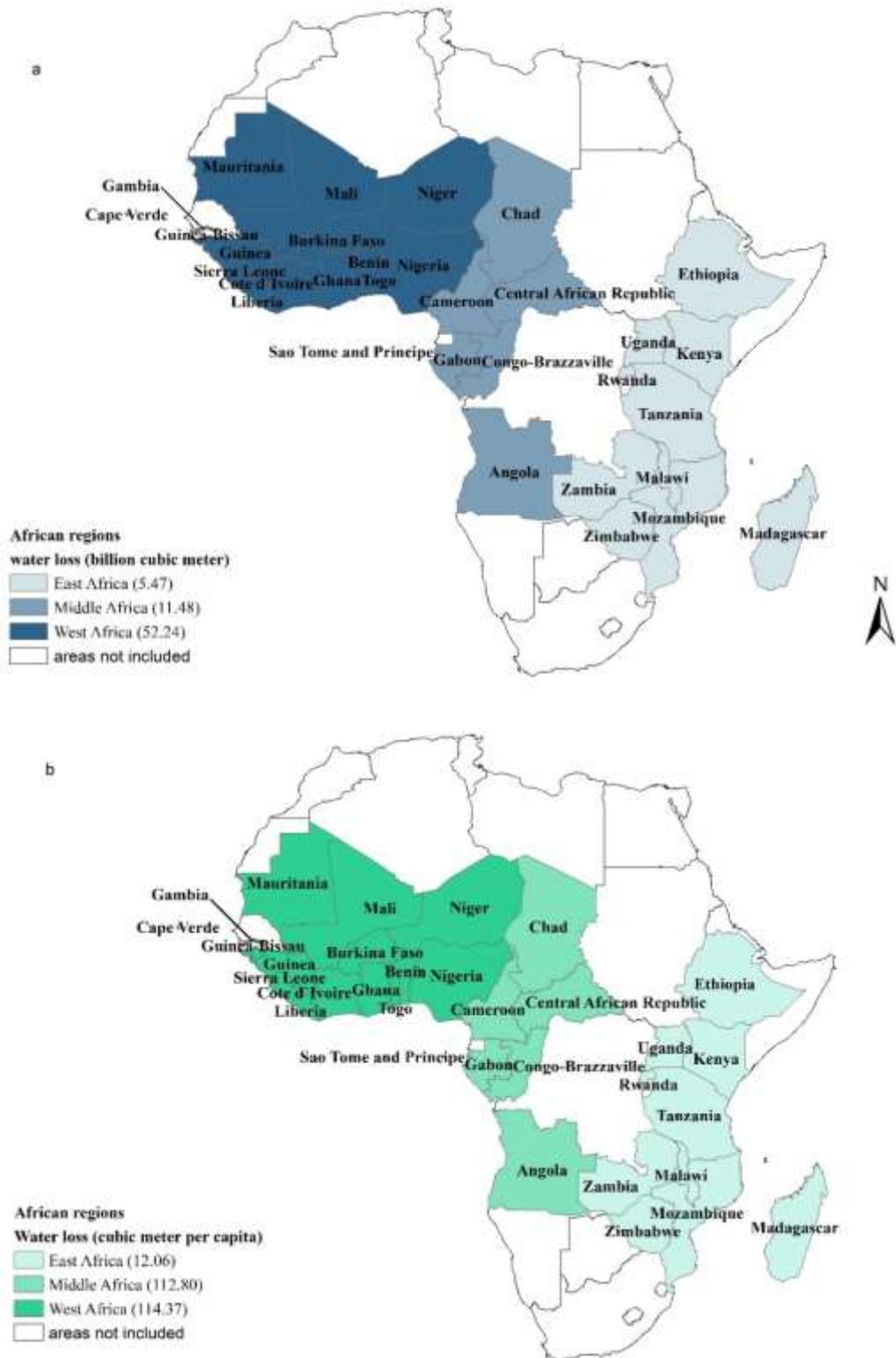
464 We do acknowledge that the achievement of scenario S1 would be difficult across all three  
465 studied African regions. This is in great part connected to the high interdependence of CWR  
466 for production and the dynamics of anthropogenic climate change; the latter being greatly  
467 influenced by socioeconomic factors. Attention should be paid to reducing future CWR for  
468 production and FL, as well as to release pressure on water resources. As such, measures which  
469 may be taken to reduce future total water needed in crop production, at least to some extent,  
470 are (a) development and use of high yielding varieties of R&T characterised by shorter growing  
471 periods, and which are more resistant to drought; (b) the integration of water-saving  
472 technologies, including deep ploughing into standard agricultural practice; and (c) a shift in  
473 production towards less water consuming R&T such as cassava in Middle and West Africa and  
474 yam in East Africa. However, we recognise this may lead to other issues such as loss of culinary  
475 habits and financial losses to farmers (derived from the reduction of crop less produced). Care  
476 should therefore be taken when implementing such approaches. Reducing FL may also be  
477 encouraged through development of local food markets, upgrading food storage and transport  
478 facilities, and promoting food reduction habits amongst consumers.

### 479 3.5. Limitations

480 This study contains some limitations linked to both data and model development. We used  
481 FL data from FAO, which raises the concern of its completeness, collection and accuracy to  
482 adequately describe the real situation (Corrado et al., 2019; Xue et al., 2017). In developing  
483 our models, the use of the notion of causality can make one consider it as “the generator/cause  
484 of FL” in literal terms, however, it should be perceived as what comes before FL (Hacker and

485 Hatemi-J, 2006). Hence, causality in this context may involve other intermediate variables  
486 which were assumed to be captured by the independent variables considered in this study.  
487 Additionally, combining two models may generate more errors (associated to each model) than  
488 using one. Developing our models has implicitly involved one “sub-model” (the one linked to  
489 the forecast of the independent variable). The combined effect of one model with its sub-model  
490 might lead to a bias in the forecast values of FL. Furthermore, the forecasted values of FL are  
491 expected only if those of the independent variables are “true”. Hence, using “conditional  
492 forecast” (the one using observed/defined values of the independent variable in the future) may  
493 reduce the bias issue.

494 The CWR for production calculated during our study was done assuming that crop yield  
495 in 2025 would be the same as in 2018, being the latest set of available data. We recognise this  
496 assumption is unlikely to be the case since crop yield will change in the future, influenced by  
497 factors such as climate, demand, agricultural policies to name but a few (Tingem et al., 2008).  
498 Such crop yield variations would likely increase/decrease the amounts of CWR presented in  
499 this study. This assumption was made based on the example of one African country, Cameroon,  
500 where it was indicated that crop yield is likely to decrease or remain more or less unchanged  
501 in to the future (Abia et al., 2016). We do however believe that the resulting CWR for  
502 production reflects the maximum future water which will be used for crop growth in the  
503 relevant African regions.



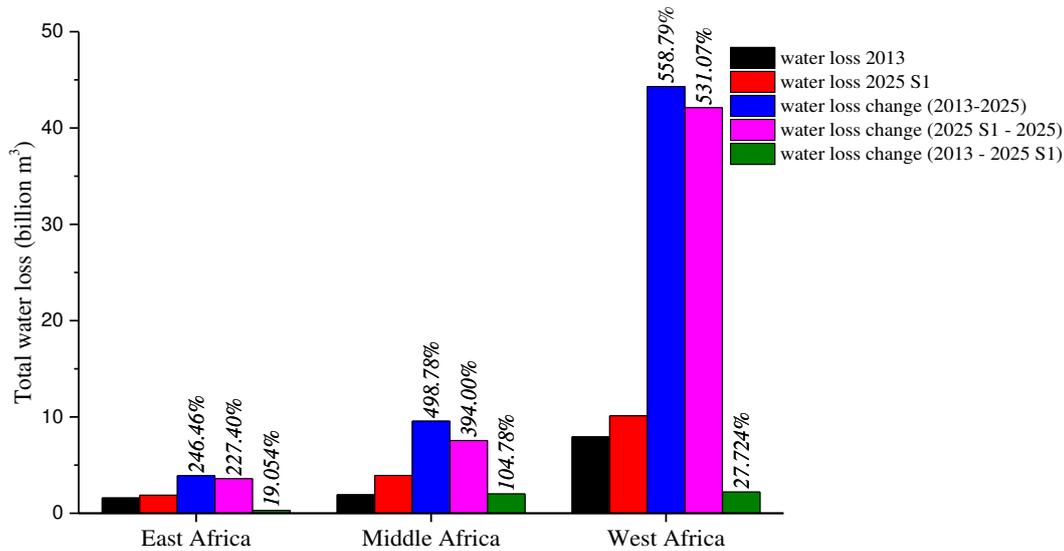
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**Fig. 6.** Mapping projected water loss embodied in FL in 2025 in African regions: (a) total water loss embodied in food loss (FL) per African region, and (b), total water loss embodied in FL per capita per year. Note that blank areas were not investigated due to insufficient data.



508

509 Fig. 7. Scenario analysis of projected water embodied in food loss (FL) in East, Middle, and West Africa at 2013  
 510 level. Note that 2013 and 2025 S1 utilised crop water requirement (CWR) data provided in Mekonnen and  
 511 Hoekstra (2011), while 2025 used CWR estimated in the present study.

512 **4. Conclusions**

513 Climate change variables such as temperature (Temp), precipitation (Pre), and wind speed  
 514 can potentially affect food loss (FL) and associated water resource loss. Here, we used five  
 515 exogenous variables including two climatic variables (Pre and Temp), two food production  
 516 variables (harvest area and food production), and one economic variable (gross domestic  
 517 product, GDP) to forecast the magnitude of R&T losses for cassava, potato, sweet potato, yams,  
 518 and “other” roots & tubers. By means of the ARIMAX model forecasting up to 2025 for East,  
 519 Middle, and West Africa was completed. Due to data limitations it was not possible to model  
 520 for all SSAn regions. Additionally, future climatic variables including wind speed, relative  
 521 humidity, and sunshine hours were used to quantify the future water embodied in FL. Our  
 522 findings reveal the projected magnitudes of FL in 2025 amount to 29.18, 12.7, and 5.53 million  
 523 tonnes in West, Middle, and East Africa respectively. These correspond to a FL increase,  
 524 amounting to 104.78%, 27.72%, and 19.06% of total FL in 2013 in Middle, West, and East  
 525 Africa respectively. In addition, the effect of climate change is expected to increase R&T water  
 526 requirements in different ways in each African region. Water requirements are expected to be  
 527 higher in West Africa (1790.24 m<sup>3</sup>/tonne) than in East Africa (989.03 m<sup>3</sup>/tonne) and Middle  
 528 Africa (903.64 m<sup>3</sup>/tonne). Furthermore, the future magnitude of FL associated to climate

529 change will lead to water embodied in FL amounting to 114.36 m<sup>3</sup>/cap/yr for West Africa,  
530 112.80 m<sup>3</sup>/cap/yr for Middle Africa, and 12.06 m<sup>3</sup>/cap/yr for East Africa.

531 Since the present study was based on regional averages and aggregated values of climatic  
532 variables (temperature, precipitation, relative humidity etc.) to investigate crop water  
533 requirement (CWR) for production at a regional level, one might further investigate future  
534 CWR at the country level in order to capture differences which may occur from one country to  
535 another. In addition, future crop yield relating to a target year should be considered in  
536 evaluating future CWR, and soil water stress may also be integrated to obtain the actual CWR.  
537 A final point worth consideration when investigating future CWR for production, is the  
538 differentiation between blue, green and grey water. This would help address the issue of water  
539 scarcity (blue water), the magnitude of future irrigation that would be required (blue and green  
540 water), and the level of water pollution (grey water). This information would help define  
541 agricultural policy for sustainable agriculture throughout Africa.

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