



UNIVERSITY OF LEEDS

This is a repository copy of *Stakeholders' views on Natural Flood Management: Implications for the nature-based solutions paradigm shift?*.

White Rose Research Online URL for this paper:
<https://eprints.whiterose.ac.uk/167122/>

Version: Accepted Version

Article:

Bark, RH, Martin-Ortega, J orcid.org/0000-0003-0002-6772 and Waylen, KA (2021) Stakeholders' views on Natural Flood Management: Implications for the nature-based solutions paradigm shift? *Environmental Science and Policy*, 115. pp. 91-98. ISSN 1462-9011

<https://doi.org/10.1016/j.envsci.2020.10.018>

© 2020 Elsevier Ltd. Licensed under the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Reuse

This article is distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDeriv (CC BY-NC-ND) licence. This licence only allows you to download this work and share it with others as long as you credit the authors, but you can't change the article in any way or use it commercially. More information and the full terms of the licence here: <https://creativecommons.org/licenses/>

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk
<https://eprints.whiterose.ac.uk/>

1 **Stakeholders' views on natural flood management: Implications for the nature-based solutions paradigm**
2 **shift?**

3

4 Rosalind H. BARK^{a*}, Julia MARTIN-ORTEGA^b and Kerry A. WAYLEN^c

5

6 ^a School of Environmental Sciences, University of East Anglia. Norwich, NR4 7TJ, UK

7 ^b Sustainability Research Institute, School of Earth and Environment, University of Leeds. Leeds, LS2 9JT, UK

8 ^c Social, Economic and Geographical Sciences Department, The James Hutton Institute. Aberdeen, Scotland,
9 AB15 8QH, UK

10 *corresponding author: R.Bark@uea.ac.uk

11

12 Accepted *Environmental Science and Policy*, 22.10.2020

13

14 **Abstract**

15 An exemplar of nature-based solutions (NBS) is natural flood management (NFM), for which interest is
16 growing worldwide. As with many NBS, implementing NFM requires the participation of support of multiple
17 stakeholders. However, we lack understanding about the views and expectations of the many stakeholders
18 who might be expected to enable or implement it. Understanding such views may offer insights regarding
19 whether and how the dominant flood risk management protection paradigm is really being challenged.
20 Using the first survey (N =118) across a range of water and environmental management stakeholders in the
21 United Kingdom (UK), this research explores whether there is support for a paradigm shift to “work with
22 nature” as intended with NBS. We find evidence that some stakeholders view NFM as a “no-brainer”; a
23 judgement based on perceived cost-effectiveness, social and environmental benefits and the failure of the
24 protection paradigm exposed in recent floods. Others, typically farmers and landowners, have more cautious
25 views about change.

26 All our respondents generally agree that responsibility to enable, implement, and fund NFM should be
27 shared across society, but disagreements remain about the detail and the basis for any enabling payments.
28 We argue that the shared perception of roles and responsibilities provides a foundation for further work to
29 facilitate NFM, explicitly considering principles and specific contractual details. In the UK, the possibilities of
30 post-Brexit agri-environment policy make such a debate particularly pertinent. It is also likely to be
31 productive in many other cases and places, since the paradigm shift entailed by ideal visions of NBS often
32 entails new relationships between stakeholders and new activities ‘on the ground’.

33

34 **Introduction**

35 Scholars of environmental management and governance increasingly emphasise the need to work with
36 nature to support societal well-being, rather than defending or separating the ‘human’ from the ‘natural’
37 (Iacob et al., 2014; Potschin et al., 2016; Lane, 2017; Nesshöver et al., 2017). The promotion of such ‘Nature-
38 Based Solutions’ (NBS) can be seen in research agendas (e.g. for the European Union, DG Environment
39 (2015)), conservation advocacy (e.g. by the International Union for the Conservation of Nature¹),
40 government policies (e.g. as reflected in the UK’s 25 Year Environment Plan, HM Government (2018)), and in
41 financial investments internationally (Coles et al., 2019).

42 There are varying definitions of NBS, but the most ambitious interpretations entail a radical reappraisal of
43 who, how and for what end we manage the environment (Seddon et al., 2020), often linked to debates
44 about managing the land for public goods (e.g. Calliari et al., 2019). Paavola and Primmer (2019) argue that
45 recalibrating land management for public goods provision, calls for attention to incentives, rights and
46 responsibilities and a new governance framework that supports catchment-scale collaboration and
47 networking within and across scales. NBS can thus be seen to entail a paradigm shift in the approach to
48 environmental management by those directly involved in, and affected by it. A paradigm shift, understood as
49 a transition by which a dominant paradigm is superseded by a new incommensurable paradigm that is based
50 on different conceptual framings (Kuhn, 1996), entails those involved to both think and act differently.

51 It is notoriously difficult to achieve a paradigm shifts, since pre-existing ways of thinking, working and
52 governing tend to prove remarkably ‘sticky’ (Waylen et al., 2015). Implementing NBS requires collaborating
53 with and adapting to multiple stakeholders (Ferreira et al., 2020). Yet liaising with new networks of
54 stakeholders generally entails more time, complexity and contestation than interventions delivered by a
55 single agent (Waylen et al., 2017). This is compounded where concepts challenge familiar stakeholder
56 conceptions or knowledge backgrounds. There is evidence that changes in discourse can reveal early signs of
57 conceptual changes that prefigure or form the beginning of a paradigm shift (Pahl-Wostl et al., 2011).

58 A prominent example of NBS is Natural Flood Management (NFM). NFM involves “techniques that aim to
59 work with natural hydrological and morphological processes, features and characteristics to manage the
60 sources and pathways of flood waters” (SEPA, 2015, page 6). Interventions include installing in-stream
61 woody debris and re-meandering and connecting floodplains (cbec and EA, 2017). Past approaches to flood
62 risk management (FRM) have generally viewed floodplains as something to ‘protect’ (Baldassarre et al.,
63 2013) and floods become something to defend against with engineered structures (O’Connor, 2020).
64 However, more recent approaches of Sustainable Flood Management do not always seek to resist or prevent
65 floods, but rather to minimise and mitigate their impacts on society and infrastructure (Everard and
66 Moggridge, 2012).

67 Working with, and for society, is an important part of the rationale for all NBS. For NFM, it is also essential,
68 since implementing many of its interventions requires the consent and cooperation of multiple stakeholders,
69 including, landowners, statutory agencies, and local authorities as well as broad acceptance from the general
70 public. NFM is explicitly supported by many scientists and in policy, including in the European Union and UK
71 (e.g. EC, 2014; Barlow et al., 2014; Dadson et al., 2017; Lane, 2017). There are some high-profile initiatives
72 such as *Room for the River* in The Netherlands (Klijn et al., 2018) and *Engineering with Nature* in the USA
73 (Bridges et al., 2018). Nevertheless, there has been slow progress in delivering NFM. To some extent this is
74 common to other NBS concepts (Seddon et al., 2020), particularly those involving large-scale landscape

¹ See, <https://www.iucn.org/theme/nature-based-solutions>

75 interventions and multiple stakeholders, i.e. with challenges centred around collaborative governance and
76 funding (Benson et al., 2013) and rhetorical support for NBS is often not matched by resources and tailored
77 policy instruments. For example, in July 2020, the UK government announced a £5.2 billion long-term plan
78 to tackle flooding, of which only £200 million was earmarked for local initiatives including NBS (HM
79 Government, 2020).

80 In the UK, there have been NFM pilots, but it is far from being commonly implemented at scale. Some argue
81 this is because it has been inserted into the existing FRM paradigm, in which technical solutions remain the
82 priority with little stakeholder participation (Cook et al., 2016). Some insights about the challenges of
83 adopting NFM come from assessments of these pilots (on the Holnicote Estate, National Trust (2015) and the
84 Yorkshire Integrated Catchment Solutions Programme (iCASP) Richardson et al., (In press)), and studies of
85 farmer, land manager, and FRM practitioner attitudes in the UK and USA (Holstead et al., 2014; Nazmul et
86 al., 2017, Milman et al., 2018; Wells et al., 2019). They indicate that tradition and custom is a barrier for
87 many landowners, that attitudes to government flood assistance and land management regulation is
88 determinative of landholder support, and that uncertainties regarding effectiveness, benefits and
89 responsibility are concerns for FRM practitioners. Furthermore, the views of other stakeholder groups may
90 not uniformly or completely support NFM (Waylen et al. 2017; Wells et al. 2019). What is missing is a direct
91 survey across a range of stakeholder groups.

92 All this points to the question on whether there is support for a paradigm shift “to work with nature” as
93 intended with NBS. Our research questions are: (1) What is the current understanding of NFM, and what are
94 the expectations for its wider adoption? (2) What are the challenges and opportunities to NFM? (3) Do
95 responses from different stakeholders diverge and what are the implications of such divergent views? In
96 addressing these questions, this study augments the growing body of literature on the views of
97 environmental practitioners in the development of innovative environmental management tools beyond
98 NFM (Martin-Ortega et al., 2019; Sandbrook et al., 2019).

99 **Methodology**

100 *2.1 Survey design*

101 An online survey was designed to improve understanding of a combination of issues that had arisen in the
102 authors’ prior work on NFM and in the literature on NFM and NBS. These themes were explored adapting to
103 NFM the survey design of Waylen and Martin-Ortega (2018). The survey was piloted by an academic and a
104 rivers trust practitioner.

105 The survey (see Supplementary Information (SI)) began with a set of categorical questions to establish each
106 respondent’s professional background and self-reported NFM expertise. Respondents were then asked to
107 define NFM in their own words, report any NFM projects they had knowledge of or experience with, before
108 answering Likert scale questions on the barriers and opportunities for NFM. A set of questions asked about
109 respondent views on the future of NFM and mechanisms for enabling, implementing, and funding it.

110 *2.2 Survey hosting and sampling procedure*

111 The survey was configured with the BOS online survey tool² and hosted at the University of Leeds. It was
112 open from January to March 2017. To disseminate the survey, the authors utilised their professional

² See, <https://www.onlinesurveys.ac.uk/>

113 networks, including water@leeds, the Ecosystems Knowledge Network, the Priestly International Centre for
114 Climate, and The James Hutton Institute. Additionally, a snowball process was encouraged with an explicit
115 request to circulate the survey link to respondents' networks. This may have introduced some selection bias
116 and to counter this, the survey introduction included the disclaimer that all views, including negative ones,
117 were welcomed. However, it is possible that selection bias remains.

118 *2.3 Sample description*

119 The survey did not presuppose nor require an expert understanding of, or support for, NFM, rather the
120 sampling strategy was more generic targeting any individual "working on flood risk, water or environmental
121 management: land managers and farmers; national or local government and public agencies; industry;
122 voluntary and 'third sector' organisations; consultants; and academics". Respondents not self-identifying
123 with this description were screened out from the survey. Furthermore, broader societal views were not
124 captured.

125 Answers to initial questions established that respondents were diverse in terms of their current employment
126 and professional training. Note we did not ask about specific roles, for instance, town planner. Of the total
127 118 respondents, 50 work in the public sector (30 public agency, 15 local and 2 national government, 1
128 National Park Authority, 1 retired EU, 1 government policy and land management), 30 in the private sector,
129 16 in the third sector, 13 as academics, and 9 in farming. For professional training, 90 respondents reported
130 training in a single field and 27 in two or more fields (there was one non-respondent); the most common
131 background was conservation/environmental management (51), then natural sciences (35), engineering (21,
132 including 6 working for a public agency, 2 for local and 1 for national government), agriculture (14), and
133 social sciences/economics (12).

134 Two-thirds (80) of respondents considered themselves familiar with NFM, however, only 8 strongly
135 considered themselves experts. Understandings of NFM were informed, in many cases, by active
136 participation in NFM projects; over half (62) have been connected to, or participated in, one or more. Their
137 participation ranged from project commissioning and proposal development, modelling and project design
138 and communication, to delivery, monitoring, and appraisal. Some respondents noted their specific roles on
139 steering committees, in partnerships as NFM advocates and in the provision of written guidance for projects.
140 Most respondents (98) were able to name specific projects, together listing 27 initiatives.

141 In September 2017, a two-page summary of results was circulated to the 91 survey participants who had
142 provided contact details for this purpose. This contact provided respondents an opportunity to query our
143 interpretation of the results or to provide feedback. All the farmers requested this summary and of the 27
144 respondents who did not, 17 were public sector (14 public agency, 1 national government, 4 local
145 authorities), 5 private sector, 1 third sector and 4 academics.

146

147 *2.4 Analysis of responses*

148 There was strong engagement with the survey, as all 118 individuals who started the survey reached the
149 final question. The response rate for individual (sub)questions varied from 98 to 118 and is reported in Table
150 SI.1. In the table and figures below, the relevant question in Table SI.1 is provided.

151 Data analysis consists of a combination of descriptive and inferential statistics of quantifiable variables and
 152 thematic analysis of open-ended responses. We also tested for the relationship between personal attributes
 153 – stakeholder group – and responses as well as between responses using the Chi-square test of
 154 independence. NVivo 12 was used to manage the qualitative data. In the Results section, quotes are in italics
 155 and current occupation and fictional initials of the respondent are provided.

156

157 **3. Results**

158 *3.1 Understanding of NFM*

159 Open text answers defining NFM were received from 114 respondents. NFM was frequently described using
 160 terms such as ‘working with’, ‘mimicking’ and ‘imitating’ natural processes, more occasionally in terms of
 161 ‘manipulating’ and ‘restoring’ the environment. Specific measures were frequently listed to ‘slow the flow’ in
 162 the upper catchment, for example, peatland restoration, woody debris dams, and soil husbandry, and 25
 163 respondents mentioned floodplain storage, reconnecting rivers to floodplains and use of washlands. NFM
 164 was also referred to as an approach that has ‘co-benefits’ and is: ‘soft’ explicitly contrasting it with hard-
 165 engineered approaches; ‘targeted’ or ‘integrated’; and implemented at the catchment-scale. It was defined
 166 also by its outcomes; to reduce flood risk/flood peaks and flood impacts. Others noted it involves private
 167 landholders or deemed it ineffective.

168 To further explore stakeholders’ understanding of the role of NFM – including in comparison to or in
 169 combination with existing dominant approaches to FRM – we asked for their views on whether a set of
 170 specific interventions are ‘part of’, ‘complementary’, or ‘unrelated’ to NFM, see Table SI.2. Tree planting,
 171 naturalising rivers, creating/restoring wetlands, installing woody debris dams, and restoring peatlands were
 172 identified by 85 or more respondents as NFM interventions. Respondents were split between choosing: ‘part
 173 of’ vs ‘complementary’ for no-till agriculture, earth bunds and preventing floodplain development; and
 174 ‘complementary’ vs ‘unrelated’ for embankments and flood defence walls. Overall, NFM is seen as distinct
 175 from a hard-engineering approach to FRM, but there are also areas of ambiguity both about what NFM
 176 consists of, and how distinct it is from, other approaches.

177 *3.2 Expectations of NFM*

178 To understand what respondents believe are the key opportunities for and barriers to NFM, we asked them
 179 to rate their agreement with a set of expectations and views of NFM. These were categorised post hoc into
 180 three themes.

181

182 **Table 1. Views and expectations on NFM, grouped post hoc. % of respondents, note row may not sum to**
 183 **100% if any respondents answered ‘Unsure’ (Table SI.1-Q11).**

Statement	Strongly agree	Somewhat agree	Somewhat disagree	Strongly disagree
<i>Effectiveness</i>				
E1: To be effective NFM needs to be coordinated at the catchment scale	63	30	6	-

E2: There is sufficient evidence of the effectiveness of NFM	19	37	25	14
E3: NFM schemes are only effective at mitigating the effects of low flood flows	11	22	32	22
E4: NFM enables delivery of FRM to become more cost-effective	46	40	8	2
Wider benefits				
WB1: NFM raises awareness of the importance of catchment management to society	54	40	4	-
WB2: NFM aids delivery of multiple benefits (e.g. biodiversity, soil conservation)	78	19	2	1
WB3: Implementing NFM can provide a new source of income for land-managers	24	51	15	2
WB4: NFM will result in acceptable visual impacts to the UK landscape (e.g. tree planting on moors, flooding of farmers' fields)	56	26	7	3
Challenges				
C1: NFM measures take too long to establish to be useful	1	6	37	48
C2: It will be challenging to install NFM where there are tenant farmers	19	52	19	3
C3: It will be challenging to install NFM where there are multiple landowners	35	57	6	2
C3: NFM schemes risk unintended consequences (e.g. animal disease)	3	13	40	21
C4: NFM schemes will require too much maintenance	2	8	36	41

184 (Dark grey/light grey shading indicates that the 'agree' or 'disagree' options were chosen by over 75%/less than 50% of
185 the respondents).

186 From Table 1, we can see there was broad agreement that NFM should be implemented at the catchment
187 scale and that it should prove cost-effective even though there was a mixed response around evidence of its
188 general effectiveness and at high flows. Further, there was almost unanimous support for the potential for
189 NFM to deliver co-benefits. 'Technical' challenges were less concerning to respondents than issues of tenure
190 and coordination. In four instances – E3, WB3, C3, C4 – ten or more respondents answered 'Unsure'.

191 Using Chi-squared tests of independence, we checked for consistency across answers in Table 1, i.e. for
192 responses that convey implicitly shared or related expectations. We found evidence that some respondents
193 are particularly supportive of NFM and refer to them as 'NFM enthusiasts'. Respondents who agreed with
194 the statement on the sufficiency of evidence of NFM effectiveness (E2) also agree that it is cost-effective
195 FRM (E4) ($\chi=11.27$, $p=0.02$). Those that agree it is cost-effective FRM (E4) also agree that it delivers multiple-
196 benefits (WB2) ($\chi=12.14$, $p=0.02$) and that it will result in acceptable visual impacts (WB4) ($\chi=10.73$, $p=0.03$).

197 Open-ended responses provided detail on the two key arguments provided by 'NFM enthusiasts'. The
198 strongest theme was to assert NFM is a 'cost-effective' approach to FRM, including in the face of climate
199 change: 'Because it's cost-effective, sometimes feasible where hard structures would be prohibitively

200 *expensive, and helps to achieve wider benefits, and also because hard defences are deteriorating and/or*
201 *inadequate to deal with climate change impacts.’ (KH: Private sector). Specific recent flood events were*
202 *sometimes cited as part these explanations to demonstrate that existing hard-engineered approaches were*
203 *insufficient by themselves. Additionally, co-benefits were critical in their support. Respondents (82) named*
204 *specific co-benefits such as carbon sequestration and improvements to: soil, biodiversity, water quality,*
205 *recreation, wildlife habitat, local communities, and visual amenity as well as engaging the public in flood risk*
206 *attenuation.*

207
208 ‘NFM enthusiasts’ were undeterred by the challenges listed in Table 1. Respondents who agreed with: the
209 sufficiency of evidence of NFM effectiveness (E2) did not view time lags (C1) as a serious concern ($\chi=10.34$,
210 $p=0.04$); and NFM as cost-effective FRM (E4) did not view unintended consequences (C3) or maintenance
211 (C4) as significant barriers ($\chi=12.18$ $p=0.02$; $\chi=26.90$, $p=0.00$). Their open-ended responses revealed nuanced
212 acknowledgement of such issues as challenges to be tackled rather than reasons to slow NFM efforts. For
213 example, AB, an academic, noted the complexity of NFM implementation, including the fit with FRM, and
214 the availability of evidence: *‘Tricky balance between public opinion, landowner consent and rights and*
215 *economic development. More evidence for benefits required and to be put into the public domain.’*

216

217 3.3 Prospects for NFM

218 In thinking about the future prospects for NFM, 76% of respondents were supportive of it being more
219 implemented in the UK. Nevertheless, 95% of respondents acknowledged a need for other actions and
220 changes. Practical steps were identified such as *‘producing a consistent and accurate modelling and*
221 *appraisal methodology that can be done by consultants without access to physically based models only*
222 *used in academia’ (SC: Public sector) and integrating NFM in a wider UK strategy around natural capital*
223 *across government departments. Public sector respondents were more explicit calling for: ‘a massive*
224 *culture shift in the farming and landowner community’ (AR); ‘political will to create a ‘sea change’ to move*
225 *to NRM’ (KS); and ‘to live more in harmony with nature’ (DS). Whereas academics were keen to ‘sell the*
226 *benefits to insurance companies and other financial institutions offering mortgages and building*
227 *developers’ (CC) and ‘to educate politicians, planners, engineers and the public that hard engineering*
228 *alone is not the answer’ (JJ).*

229 More nuanced answers were provided by 115 respondents to a question about the number of NFM schemes
230 in the UK in ten years time (Many more – 69, Several more – 35, Same number – 9, Removal of some
231 schemes – 2). There is statistical evidence that the occupation of the respondent is important in shaping
232 these responses ($\chi=34.62$, $p=0.02$). In particular, farmers are more likely to respond “The same number of
233 NFM schemes”, than the other occupation groups. Open-ended comments demonstrate the personal nature
234 of the concerns of the farming community, such as the farmer JT: *‘How will it affect the viability of my family*
235 *farm?’*

236 We tested for a relationship between expectations around NFM schemes and the statements in Table 1.
237 Respondents who agreed that NFM should be more widely implemented also agree: that there is sufficient
238 evidence of effectiveness (E2) ($\chi=16.45$, $p=0.01$) and that NFM is cost-effective FRM (E4) ($\chi=39.05$, $p=0.00$).
239 Furthermore, they did not view unintended consequences (C3), maintenance (C4) or effectiveness at high
240 flows (E3) as barriers ($\chi=14.67$, $p=0.02$; $\chi=30.94$, $p=0.00$; $\chi=17.24$, $p=0.01$).

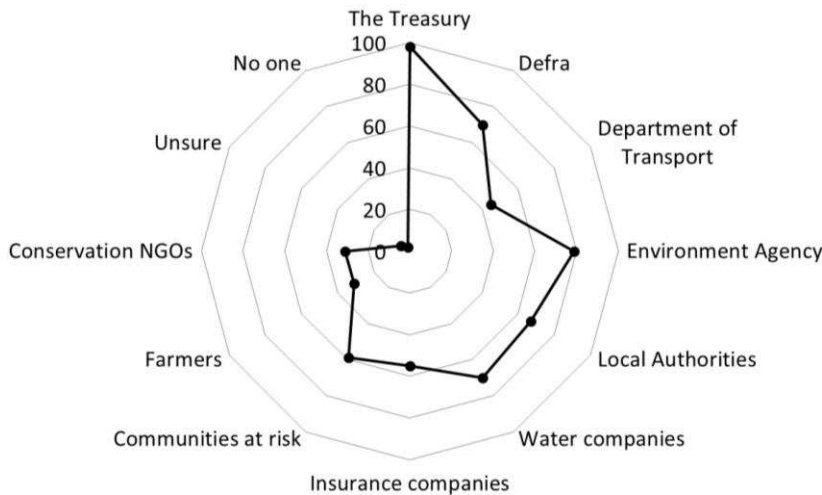
241 Amongst the most frequently mentioned reasons for supporting wider implementation of NFM schemes was
 242 alignment with high-level policy such as the 25 Year Environment Plan and reference to wider benefits.
 243 These include quotes around it being a 'no-regrets' climate change action (CR: Private sector) and how it is
 244 viewed by communities: *'It has additional benefits to FRM, for example psychological benefits giving back*
 245 *communities some control over flooding and its impacts. It can also engender greater preparedness for*
 246 *flooding.'* (RB: Private sector).

247

248 3.3.1. Funding NFM

249 Respondents were asked if land managers/farmers should be paid to implement NFM and all 118 responded
 250 (Yes – 56, It depends – 59, Unsure – 4). All farmers responded 'Yes': *'I would need to be compensated for my*
 251 *inability to grow the crops needed, to keep me viable.'* (JT: Farming). This contrasts with an average of 43% of
 252 non-farmers (47% private sector, 46% public sector, 44% third sector, and 23% academics). Private sector
 253 respondents noted *'landowners.. and issues of equality'* (BR) strongly shape views on what is reasonable and
 254 had a pragmatic focus on additionality, i.e. that paid-for actions must be *'additional to existing behaviour'*
 255 (DG). Another tried to balance these viewpoints: *'We should not be paying subsidies just for ownership of*
 256 *huge tracts of land. There should be services provided in return for subsidies. If NFM and floodwater storage*
 257 *impact on yields or productive land, there should be reasonable compensation. They should also have*
 258 *reasonable monitoring and maintenance responsibility in return too.'* (BF).

259 Figure 1 breaks down the responses about who should pay for NFM. Respondents could choose more
 260 than one organisation/group.

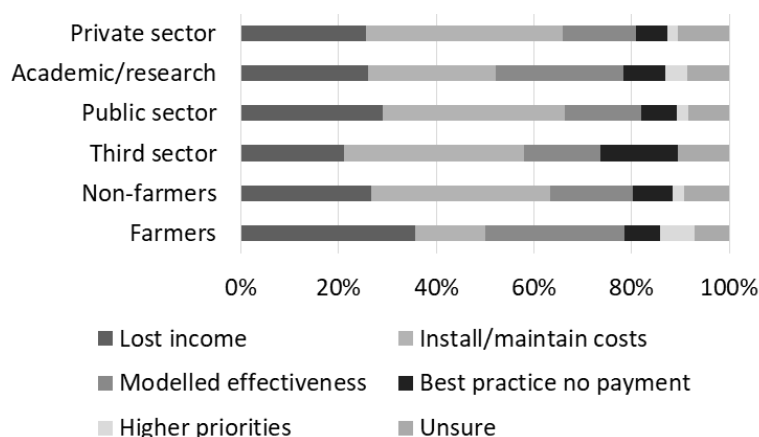


261

262 **Figure 1: Organisations/groups with responsibility to pay for NFM (No. of respondents. Table SI.1-Q16.b).**
 263 **NGO=Non-governmental Organisations.**

264 Most respondents expect payments should come from the public budget through key statutory bodies,
 265 however, the results also suggest some acknowledgment of a shared responsibility extending to water
 266 companies, insurers, and even directly from those at-risk. Some respondents suggested that our list was
 267 incomplete, for instance the local government respondent JC, *'how about residents in areas not at risk of*
 268 *flooding but which can contribute to NFM for instance in the upper catchment or all residents of urban*
 269 *areas'*.

270 There were differences between groups on how to calculate NFM payments, see Figure 2. A total 103
 271 respondents answered this question including all farmers. Farmers' most favoured option was to base
 272 payments on lost income, followed by modelled effectiveness, and lastly on installation costs (36%, 29% and
 273 14%, respectively). For non-farmers the most favoured option was to base payments on installation costs
 274 (37%) followed by lost income (27%) and within this academic views were divided equally amongst these
 275 three options (all 26%). This preference for installation costs might reflect a desire for straightforwardness as
 276 articulated by a public sector respondent (NM) drawing on their knowledge of a rural scheme.



277

278 **Figure 2: Group preferences for payment design (Key: Left to right. Table SI.1-Q16.c)**

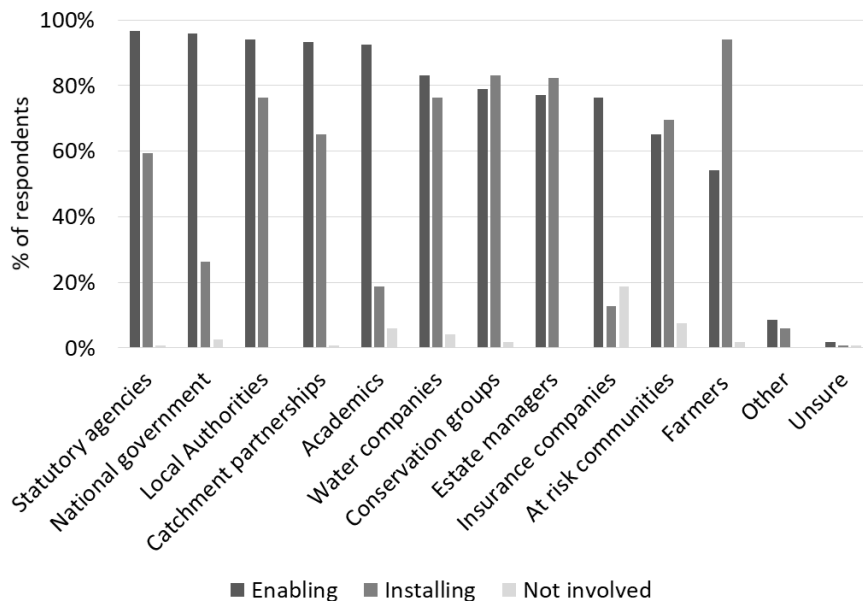
279 Another payments issue is whether to pay for co-benefits; 67% of farmers responded in the affirmative compared
 280 to an average 72% of non-farmers. Respondents from the third and private sectors had similar views to this average,
 281 however, public sector and academic respondents overwhelmingly supported paying for co-benefits (81% and 92%).
 282 Arguments in support centred around to win support, generate efficiencies, and boost holistic management. TG a
 283 public sector respondent noted the potential 'to match different funding streams together for integrated outcomes.'
 284 Other actions raised to foster NFM included compensation procedures, such as a need for 'simpler
 285 mechanisms for farmers to bid for funding' (SA: public sector) and compensation types, specifically for an
 286 'inundation payment on productive land' (RS: third sector). However, some respondents were opposed to
 287 paying for co-benefits as they are incidental to flood risk reduction and 'not why the work has been done'
 288 (RM: public sector) or because some NFM interventions can directly benefit landowners/land managers.

289

290 3.4 Enabling and installing NFM

291 Using a list of organisations/groups³ respondents were asked who should be involved in enabling
 292 (coordinating, assisting, advising, incentivising) and installing (carrying out physical activities to install and
 293 maintain NFM measures) NFM, see Figure 3.

³ Eleven respondents suggested other organisations/groups, such as Internal Drainage Boards, developers, planning authorities, residents that are not at risk of floods and the EU (flood and climate change policies).



294

295

296

Figure 3: Respondents' choices on which organisations/groups could enable and install NFM (% of respondents' answering. Table SI.1-Q15)

297

298

299

300

301

302

303

304

305

Most respondents (92%) identified as enablers (in this order): statutory agencies, national government, Local Authorities, catchment partnerships, and academics. This leading group was closely followed by water companies, conservation groups, estate managers, and insurance companies. At-risk communities (not defined) and farmers were chosen by more than half of the respondents. For installation, land managers (farmers and estate managers) were identified as key as were other groups with land management experience, FRM obligations and practical and partnership experience (water companies and Local Authorities, conservation groups and catchment partnerships) and more than two-thirds believe at-risk communities and statutory agencies have a role. There were no significant differences between stakeholder groups.

306

307

308

309

310

311

312

313

314

315

316

An aspect of enabling NFM schemes is to identify research needs and implementation issues. A majority of respondents (86%) agree that there is a specific need for more evidence on NFM. Research gaps identified included an urgent need for: catchment-scale pilots across the nation and the rural-urban gradient and to test combinations of different NFM interventions; and social science research to understand societal acceptability of NFM interventions. Nonetheless, a number of respondents were concerned with research gaps being used as an argument for delay, such as: *'I'm sure we do need more research and evidence for NFM and monitoring of NFM projects as they are delivered, but I'm equally sure we need to crack on now and not use this research imperative as an excuse for inaction'* (JC: public sector). To deliver on this call for action a precursor shift from farmers (and others), was identified by some respondents, such as, *'We need to change people's, particularly farmers' attitudes to how the landscape should look, be managed and what it is being farmed for'* (AD: third sector).

317

318 4. Discussion

319

320

Our findings highlight several key issues around the future prospects of NFM implementation in the UK, with broader implications for the implementation of other types of NBS and in other contexts. In summary, the

321 stakeholders we surveyed showed some support for NFM and acceptance of a shared responsibility for
322 enabling, implementing and funding it but reported differing views over the detail of when, how and who
323 could or should pay or be paid for its implementation. Public sector and academic respondents often were
324 supportive of NFM and called for action despite various uncertainties and challenges (National Trust, 2015;
325 Iacob et al., 2014; Wilkinson et al., 2019). In contrast, other groups, especially land-managers, are less
326 convinced, which echoes earlier findings (Holstead et al., 2015; Milman et al., 2018).

327 Therefore, there is not yet a shared understanding of the details of how to enable and implement NFM even
328 if the abstract concept itself is generally supported. This compounds the coordination, integration and
329 resourcing challenges expected for NFM delivery (Waylen et al., 2017) and helps to explain slow progress
330 towards landscape transformation (Wilkinson et al., 2019). We do not yet see activities at a scale that could
331 be considered to reflect a paradigm shift, even though many discourses support it (e.g. DG Environment,
332 2015).

333 Differing perceptions represent a conflict, albeit one not often voiced, about what constitutes good land
334 management and who should be responsible for it. Many NFM schemes rely on cooperative voluntary
335 adoption of measures, such as floodplain storage or restoring wetlands, so may be resisted by land-
336 managers who expect the right to decide their own management choices, and to be fully compensated for
337 them. This does not mean that NFM implementation will never become widespread. Indeed there are many
338 other positive prerequisites: eligibility for public funding (e.g. HM Government, 2020), willingness of some
339 landowners to debate their participation (e.g. in pilots, National Trust, 2015), engagement of other
340 stakeholders (e.g. in iCASP), and widespread agreement about problems with pre-existing approaches to
341 FRM (e.g. leaving many areas unprotected, Paavola and Primmer, 2019). However, capitalising on these
342 positive factors to achieve landscape transformation will require a deeper and widened engagement and
343 reconceptualization, especially with landowners. It may also entail other sectors and stakeholders to
344 become more active participants, especially water companies who often intervene in other aspects of
345 catchment management to safeguard drinking water, and insurance companies with an interest in mitigating
346 flood risk.

347 Differing expectations for enabling NFM may also reflect differing worldviews about relationships with
348 nature and flooding, and also on the balance of rights and responsibilities of different societal groups. In the
349 UK (with some similarities to what Milman et al. (2018) find in the USA), land managers – who are mostly
350 private – have the right to use and manage their land as they please, subject to regulation by statutory
351 bodies (Quinn et al., 2010; Paavola and Primmer, 2019). Regulations do not affect many existing practices
352 that affect river hydromorphology – for example drainage and dredging are a ‘normal’ part of farming and
353 legally permissible, and are seen as essential for helping to maintain lands agricultural productivity (Rust et
354 al., 2014; Holstead et al., 2014; Dadson et al., 2017). Removing what are seen as established rights and/or
355 requiring alterations to historical river course alterations without compensation could thus be hugely
356 contentious. However, without change, the risk of downstream flooding will continue to persist and possibly
357 increase due to climate change (Dadson et al., 2017).

358 Connecting these different viewpoints is a challenging task, as it is likely to confront existing values and
359 interests (Cook et al., 2016). Our results suggest that being able to demonstrate the multiple co-benefits to
360 society that arise from NBS initiatives may assist in galvanising wider societal support, for example, in the
361 case of NFM, focusing on biodiversity and carbon sequestration (Iacob et al., 2014). Where payments and
362 incentivisation are needed, co-benefits may also increase the set of potential actors willing to pay for

363 changes in landscape management – though doing so may trade-off with the desire for straightforward
364 arrangements. Respondents’ ideas about what is new or special about the NFM approach can also inform
365 FRM policies, plans and communication, including connections and complementarity with existing
366 approaches and issues connected with nature, communities, responsibility, and catchment land
367 management. In a more radical conceptualisation of future FRM, NFM could even be incentivised and widely
368 implemented across (sub)catchments as a standard, ‘no-regrets’ option thereby redirecting engineered
369 defences to reduce residual flood risk.

370 Furthermore, better understanding of normative and information uncertainty in decision making (Newig et
371 al. 2015) will be helpful, as uncertainty is often cited as a barrier to delivery of NBS and confirmed by this
372 study of NFM. Where a decision has already been made to go ahead with implementing NFM, the normative
373 uncertainty is about how to achieve it. In this case, our research provides some helpful guidance to promote
374 schemes with social and environmental co-benefits and direct future support to stakeholder groups that
375 currently do not yet play a big role in FRM or in NFM. Additionally it is important to learn about collaborative
376 environmental management (Benson et al., 2013) and underpinning social processes that can enhance
377 catchment-scale action (Bark and Acreman, 2020). For information uncertainty arising from a lack of
378 knowledge and data, important research is being carried out, for example in Yorkshire where iCASP is:
379 testing how to provide tailored modelling and monitoring support for NFM pilots; growing a NFM
380 community of practice to increase regional capability; and contributing updates to the Environment Agency’s
381 national evidence base and guidance.⁴ Other information needs are around cost-effectiveness which is a key
382 argument in the advocacy of NBS (Coles and Tyllianakis, 2019) and also strongly reflected in our results.
383 However, there are few comprehensive and systematic social and economic analyses of NBS (ibid). It is
384 important that this research gap is filled to avoid confirmatory bias in the endorsement of NBS.

385 Better understanding of existing knowledges and their multiple uncertainties is helpful but unlikely by itself
386 to achieve the paradigm shift that NFM represents. It is important to acknowledge that NFM – as for all NBS
387 – will necessarily entail trade-offs between different groups, with winners and losers versus the status quo.
388 In the UK, in the discussion of post-Brexit CAP arrangements, we see this tension more publicly aired, as
389 commentators and scholars (Gawith and Hodge, 2017) debate the pros and cons and feasibility of basing
390 agri-environmental payments on ‘public goods for public money’, i.e. England’s new Environmental Land
391 Management system. Evolving arrangements for incentivising and influencing land managers may offer a
392 useful ‘natural experiment’ to inform and enable implementation of other NBS. This might lead to a deeper
393 paradigmatic shift through which radically new relationships between land managers and flood mitigation
394 could be imagined, for example, explicitly “*farming water*”, i.e. receiving payment for flood attenuation and
395 flood storage. A “re-imagining of what flood management is” (Cook et al., 2016, p323) also entails activities
396 beyond the scope of this study, such as support to at-risk communities to live with flooding (Bark and
397 Acreman, 2020). This highlights a wider issue of societal understanding – and responses – to risks and
398 uncertainties arising from natural processes, which include but are not limited to flooding. Arguments for
399 accepting and adapting to its multiple uncertainties may sit uneasily in dominant ‘modernist’ paradigms of
400 command and control but are an essential to achieving more adaptive and holistic approaches to
401 environmental governance (Nobert et al., 2015).

402

⁴ See, <https://icasp.org.uk/projects-2/natural-flood-management/>

403 **5. Conclusion**

404 This research explored the views of NFM held by a diverse set of FRM stakeholders in the UK, as a key
405 example of the challenge around integrating NBS into – or instead of – conventional FRM. There are signs
406 that NBS has entered the UK’s discourse on FRM, in part in response to the failure of conventional flood
407 protection as well as the promise of NBS. However, our mixture of survey responses highlights the challenge
408 of achieving change in the face of the practicalities of balancing multiple interests, objectives, and
409 uncertainties. What are the implications for the NBS paradigm shift that NFM represents? Some progress
410 has been made in achieving the needed conceptual and discursive shift – as reflected by the enthusiastic
411 endorsement of some but not all stakeholders – but more intervention will be required if all relevant
412 stakeholders are to work together for the landscape transformations that NFM implies.

413 There are several practical strategies that could help further implement NFM, such as landscape scale pilots,
414 provision of land management extension services, pilot partnerships to navigate multi-level governance, and
415 consideration of incentives for good practice across a range of sectors. Similar strategies may also assist in
416 promoting delivery of other NBS. However, such specific initiatives may also need to be complemented by
417 cross-sector societal debate concerning both the rationale and specific implications of greater
418 implementation. In the case of NFM in the UK, evidence that stakeholders generally accept NFM should be a
419 shared responsibility can provide a good basis for such debates. An opportunity for more explicit debate on
420 the rights and responsibilities associated with land management would likely be of value to enabling NBS in
421 many places and cases worldwide.

422

423 **6. Acknowledgements**

424 RB was funded by the European Union's Horizon 2020 research and innovation programme under the Marie
425 Skłodowska-Curie grant agreement No 659449. KW acknowledges funding from the Scottish Government
426 Strategic Research Programme 2016-2021. JMO’s work was funded by Yorkshire Integrated Catchment
427 Solutions Programme (iCASP) (NERC: NE/ P011160/1). Thanks also to Dr. Mark Wilkinson and Geoff Roberts
428 for piloting the survey, to all survey participants and to Prof. Paavola for commenting on an earlier version of
429 this manuscript. This project received research ethics approval (University of Leeds AREA 14-096 and
430 Amendments).

431

432

433 **7. References**

434 Barlow, J, Moor, F., Burgess-Gamble, L. (2014). Delivering benefits through evidence. Environment Agency,
435 July 2014, SC 130004/R2.

436 Bark, R.H., Acreman, M.C. (2020). Investigating social processes that underpin local flood risk management
437 action. *Environmental Science & Policy*, 109: 95-102.

438 Benson, D., Jordan, A., Smith, L. (2013). Is environmental management really more collaborative? A
439 comparative analysis of putative ‘paradigm shifts’ in Europe, Australia, and the United States *Environment
440 and Planning A*, 45:1695-1712.

441 Bridges, T. S., Bourne, E. M., King, J. K., Kuzmitski, H. K., Moynihan, E. B., Suedel B. C. (2018). Engineering
442 With Nature: An Atlas. ERDC/EL SR-18-8. Vicksburg, MS: U.S. Army Engineer Research and Development
443 Center.

444 Calliari, E., Staccione, A. and Mysiak, J. (2019). An assessment framework for climate-proof nature-based
445 solutions, *Science of The Total Environment*, 656, 691-700.

446 cbec eco-engineering and Environment Agency (2017). Natural Flood Management Toolbox. Guidance for
447 working with natural processes in flood management schemes. June 2017.

448 Coles, N.A., Ferre, M., Jurik, J., Nunez, P., Martin-Ortega, J., Banwart, S. (2019). Analysis of the business case
449 for the application of the nature based solutions. Deliverable D7.2 of the Horizon 2020 Project
450 ThinkNature: Development of a multi-stakeholder dialogue platform and Think tank to promote innovation
451 with Nature based solutions.

452 Coles, N.A., Tyllianakis, E. (2019). NBS Market Potential through Synergies at International Level: business
453 plan case studies and scope for international mainstreaming. Deliverable D7.3 of the Horizon 2020 Project
454 ThinkNature: Development of a multi-stakeholder dialogue platform and Think tank to promote innovation
455 with Nature based solutions.

456 Cook, B., Forrester, J., Bracken, L., Spray, C. and Oughton, E. (2016). Competing paradigms of flood
457 management in the Scottish/English borderlands. *Disaster Prevention and Management*, 25(3): 314-328.

458 Dadson, S., Hall, J., Murgatroyd, A., Acreman, M., Bates, P., Beven, K., Heathwaite, L., Holden, J., Holman, I.,
459 Lane, S., O'Connell, E., Penning-Rowsell, E., Reynard, N., Sear, D., Thorne, C., Wilby, R. (2017). A
460 restatement of the natural science evidence concerning catchment-based "natural" flood management in
461 the United Kingdom. *Proceedings of the Royal Society A*, 473: 20160706.

462 DG Environment (2015). Towards an EU Research and Innovation policy agenda for Nature-Based Solutions
463 & Re-Naturing Cities Final Report of the Horizon 2020 Expert Group on 'Nature-Based Solutions and Re-
464 Naturing Cities' ISBN 978-92-79-46051-7.

465 European Commission (EC) (2014). Horizon 2020 Societal Challenge 5: 'Climate Action, Environment,
466 Resource Efficiency and Raw Materials' Advisory Group Report.

467 Ferreira, V., Barreira, A.P., Loures, L., Antunes, D., Panagopoulos, T. (2020). Stakeholders' Engagement on
468 Nature-Based Solutions: A Systematic Literature Review. *Sustainability*, 12, 640.

469 Gawith, D., Hodge, I. (2017). Envisioning a British Ecosystem Services Policy: Policy Brief on an alternative
470 approach to rural land policy after Brexit, Cambridge, UK. <http://dx.doi.org/10.13140/RG.2.2.28145.07522>

471 HM Government (2020). Flood and coastal erosion risk management Policy Statement, July 2020. Crown
472 copyright 2020.

473 HM Government (2018). A Green Future: Our 25 Year Plan to Improve the Environment. Crown copyright
474 2018. <https://www.gov.uk/government/publications/25-year-environment-plan>

- 475 Holstead, K. L., Kenyon, W., Rouillard, J. J., Hopkins, J., Galán-Díaz, C. (2015). Natural flood management
476 from the farmer's perspective: criteria that affect uptake, *Journal of Flood Risk Management*, 102(2): 205-
477 218.
- 478 Iacob, O., Rowan, J.S., Brown, I., Ellis, C. (2014). Evaluating wider benefits of natural flood management
479 strategies: an ecosystem-based adaptation perspective. *Hydrology Research*, 45: 774–787.
- 480 Klijn, F., Asselman, N., Wagenaar, D. (2018). Room for Rivers: Risk reduction by enhancing the flood
481 conveyance capacity of The Netherlands' large rivers. *Geosciences*, 8, 224.
- 482 Kuhn, T. S. (1996). *The Structure of Scientific Revolutions*. Chicago, IL, University of Chicago Press. (3rd edn.
483 1996).
- 484 Lane, S.N. (2017). Natural flood management. *WIREs Water*, 4:e1211.
- 485 Martin-Ortega, J., Mesa-Jurado, M. A., Pineda-Velazquez, M., Novo, P. (2019). Nature commodification: 'a
486 necessary evil'? An analysis of the views of environmental professionals on ecosystem services-based
487 approaches. *Ecosystem Services*, 37: 100926
- 488 Milman, A., Warner, B.P., Chapman, D.A., Short Gianotti, A.G. (2018). Identifying and quantifying landowner
489 perspectives on integrated flood risk management. *Journal of Flood Risk Management*, 11: 34-47.
- 490 National Trust (2015). From source to sea. Natural Flood Management: the Holnicote experience. Defra
491 Multi-objective Flood Management Demonstration Project. March 2015.
- 492 Nazmul H. (2017). Stakeholder's Perceptions to Natural Flood Management (NFM): a Descriptive Assessment
493 of Cumbria County in England. *Agri Res & Tech: Open Access Journal*, 4(4): 555641.
- 494 Neshöver, C., Assmuth, T., Katherine N.Irvine, K.N., Rusch, G.M., Waylen, K.A., Delbaere, B., Haase, D.,
495 Jones-Walters, L., Keune, H., Kovacs, E., Krauze, K., Külvik, M., Rey, F., van Dijk, J., Vistad, O. I., Wilkinson,
496 M.E., Wittmer, H. (2017). The science, policy and practice of nature-based solutions: An interdisciplinary
497 perspective. *Science of the Total Environment*, 579: 1215-1227.
- 498 Newig, J., Pahl-Wostl, C., Sigel, K. (2005). The role of public participation in managing uncertainty in the
499 implementation of the Water Framework Directive. *European Environment* 15: 333–343.
- 500 Nobert, S., Krieger, K., Pappenberger, F. (2015). Understanding the roles of modernity, science, and risk in
501 shaping flood management, *WIREs Water*, 2(3), 245-258.
- 502 O'Connor, S. (2020). 'Living well with water: Tracing social values to navigate decision about the rivers in
503 York. Joint Meeting of the British Ecological Society Journal, *People and Nature*, and the Valuing Nature
504 Programme, 2-3 March 2020 (online)
- 505 Paavola, J., Primmer, E. (2019). Governing the provision of insurance value from ecosystems. *Ecological*
506 *Economics*, 64: 106346.
- 507 Pahl-Wostl, C., Jeffrey, P., Isendahl, N., Brugnach, M. (2011). Maturing the New Water Management
508 Paradigm: Progressing from aspiration to practice. *Water Resources Management*, 25: 837-856.

- 509 Potschin, M.; Kretsch, C.; Haines-Young, R., E. Furman, Berry, P., Baró, F. (2016): Nature-based solutions. In:
510 Potschin, M. and K. Jax (eds): OpenNESS Ecosystem Services Reference Book. EC FP7 Grant Agreement no.
511 308428. Available via: www.openness-project.eu/library/reference-book
- 512 Quinn, C., Fraser, E., Klaus, H., Reed, M. (2010). Property rights in UK uplands and the implications for policy
513 and management, *Ecological Economics*, 69: 1355-1363.
- 514 Richardson, J.C., Ferré, M., Rabb, B., Armstrong, J., Willis, T.D.M., Grayson, R., Leeder, P., Martin-Ortega, J.,
515 Hodgson, D.M., Holden, J. (2020). Yorkshire Integrated Catchment Solutions Programme (iCASP): a new
516 model for research-based catchment management. Chapter 14 in: Handbook of Catchment Management,
517 2nd Edition, Editor R.C. Ferrier and A. Jenkins. Wiley-Blackwell.
- 518 Rust, W., Corstanje, R., Holman, I.P., Milne, A.E. (2014). Detecting land use and land management influences
519 on catchment hydrology by modelling and wavelets. *Journal of Hydrology*, 517: 378-389.
- 520 Sandbrook, C., Fisher, J.A., Holmes, G., Luque-Lora, R., Keane, A. (2019). The global conservation movement
521 is diverse but not divided. *Nature Sustainability*, 2: 316–323.
- 522 Seddon, N., Chausson, A., Berry, P., Girardin, C.A.J., Smith, A., Turner, B. (2019). Understanding the value and
523 limits of nature-based solutions to climate change and other global challenges. *Philosophical Transactions*
524 *B*, 375: 20190120.
- 525 SEPA (2015). Scottish Environment Protection Agency Natural Flood Management Handbook ISBN number:
526 978-0-85759-024-4
- 527 Waylen, K.A., Martin-Ortega J. (2018). Surveying views on payments for ecosystem services: implications for
528 environmental management and research, *Ecosystem Services*, 29: 23-30.
- 529 Waylen, K.A., Holstead, K.L., Colley, K., Hopkins, J. (2017). Challenges to enabling and implementing Natural
530 Flood Management in Scotland. *Journal of Flood Risk Management*, 11(S2): S1078-S1089.
- 531 Waylen, K.A., Blackstock, K.L., Holstead, K.L. (2015). How does legacy create sticking points for
532 environmental management? Insights from challenges to implementation of the ecosystem approach.
533 *Ecology and Society*, 20 (2), 21.
- 534 Wells, J., Labadz, J.C., Smith, A., Islam, M.M. (2019). Barriers to the uptake and implementation of natural
535 flood management: A socio-ecological analysis. *Journal of Flood Risk Management*, 13(S1): e12561.
- 536 Wilkinson, M. E., Addy, S., Quinn, P. F., Stutter, M. (2019). Natural flood management: small-scale progress
537 and larger-scale challenges, *Scottish Geographical Journal*, 135(1-2): 23-32.