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**Prevalence and predictors of re-incarceration after  
correctional centre release: a population-based comparison  
of individuals with and without schizophrenia in Ontario,  
Canada.**

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Key Words:	Schizophrenia, Incarceration, Healthcare policy
Abstract:	<p>Objectives: Individuals with schizophrenia are over-represented in correctional facilities relative to their population-based prevalence. The purpose of this study was to determine the rate and predictors of reincarceration of individuals with schizophrenia after release from correctional facilities.</p> <p>Methods: This was a retrospective cohort study that included all people released from Ontario's provincial correctional facilities from January 1 to December 31, 2010. Individuals with schizophrenia were identified using a population-based algorithm. The primary outcome was time to reincarceration. Covariates included sociodemographic characteristics (age, sex, neighbourhood income quintile, urban/rural residence), health service utilization (primary care physician visits, psychiatrist visits, psychiatric and non-psychiatric hospitalizations, emergency department visits) and other clinical comorbidity. Survival analysis was used to examine the association between schizophrenia and re-incarceration.</p> <p>Results: Among 46,928 individuals, N = 3237 (7%) had a diagnosis of schizophrenia. Approximately 67.5% of these individuals were reincarcerated within five years following their first release in 2010,</p>

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	<p>compared to 58.8% of individuals without schizophrenia. Individuals with schizophrenia were 40% (HR: 1.39, 95% CI: 1.33-1.45) more likely to be reincarcerated following release than the control group after adjusting for demographic characteristics. This association reduced to 8% (1.08, 95% CI:1.03-1.14) after adjusting for prior health service utilization, prior correctional involvement, and comorbidities.</p> <p>Conclusion: Individuals with schizophrenia were more likely to experience reincarceration after release from correctional facilities. This risk is partly explained by prior correctional involvement, health service utilization and comorbidities. Future research should focus on risk factors predicting the higher reincarceration rate and interventions to reduce correctional involvement.</p>

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3 Prevalence and predictors of re-incarceration after correctional centre release: a population-  
4 based comparison of individuals with and without schizophrenia in Ontario, Canada.  
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## Abstract

**Objectives:** Individuals with schizophrenia are over-represented in correctional facilities relative to their population-based prevalence. The purpose of this study was to determine the rate and predictors of reincarceration of individuals with schizophrenia after release from correctional facilities.

**Methods:** This was a retrospective cohort study that included all people released from Ontario's provincial correctional facilities from January 1 to December 31, 2010. Individuals with schizophrenia were identified using a population-based algorithm. The primary outcome was time to reincarceration. Covariates included sociodemographic characteristics (age, sex, neighbourhood income quintile, urban/rural residence), health service utilization (primary care physician visits, psychiatrist visits, psychiatric and non-psychiatric hospitalizations, emergency department visits) and other clinical comorbidity. Survival analysis was used to examine the association between schizophrenia and re-incarceration.

**Results:** Among 46,928 individuals, N = 3237 (7%) had a diagnosis of schizophrenia. Approximately 67.5% of these individuals were reincarcerated within five years following their first release in 2010, compared to 58.8% of individuals without schizophrenia. Individuals with schizophrenia were 40% (HR: 1.39, 95% CI: 1.33-1.45) more likely to be reincarcerated following release than the control group after adjusting for demographic characteristics. This association reduced to 8% (1.08, 95% CI:1.03-1.14) after adjusting for prior health service utilization, prior correctional involvement, and comorbidities.

**Conclusion:** Individuals with schizophrenia were more likely to experience reincarceration after release from correctional facilities. This risk is partly explained by prior correctional involvement, health service utilization and comorbidities. Future research should focus on risk factors predicting the higher reincarceration rate and interventions to reduce correctional involvement.

**Keywords:** Schizophrenia, Correctional Involvement, Incarceration, Health Services

## Introduction

Individuals with schizophrenia are at higher risk of incarceration compared to individuals without serious mental illness(1, 2). This higher rate of incarceration has translated into a schizophrenia prevalence in correctional facilities that is 3-6 times the population prevalence(3). Estimates from Canadian studies similarly suggest a higher prevalence of schizophrenia and other psychotic disorders in custodial populations(4, 5).

The higher rate of incarceration amongst individuals with schizophrenia may be due in part to higher rates of recidivism and potential re-incarceration, or effects of criminal justice interventions. For instance, reoffending after correctional facility release is increased by 40% amongst individuals with severe mental illnesses(3) compared to those without. In particular, a significant factor for higher risk of reincarceration for individuals with schizophrenia in contrast to those without is technical violation. Technical violations arise from criminal justice sanctions (court appearances, probation and parole requirement). Individuals with schizophrenia may have challenges adhering to probation and parole requirements resulting in a greater likelihood of getting new charges for these violations. The high rate of incarceration and reincarceration amongst individuals with schizophrenia may also be partially due to poor access to treatment(6), and evidence suggests that timely access to care among individuals with schizophrenia who have been released from correctional facilities can reduce the likelihood of reincarceration(7, 8).

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3 The objective of this study was to determine the prevalence of schizophrenia in persons  
4 released from Ontario correctional centres in 2010 and to estimate reincarceration rates among  
5 these individuals ~~with~~when compared to those without a diagnosis of schizophrenia. We used  
6 comprehensive population-based correctional and health administrative data for all Ontario  
7 residents who were released from provincial correctional facilities in 2010.  
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## 18 **Methods**

### 19 **Study Setting and Design**

20 The Ontario provincial correctional system is responsible for the detention of all persons on  
21 remand, and sentenced inmates subject to sentences of less than 2 years. Sentenced people  
22 have an average length of stay of approximately 60 days, and people on remand of  
23 approximately 40 days, although the median remand length of stay is closer to one week.  
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35 Individuals who were released from Ontario's provincial correctional facilities from January 1,  
36 2010 to December 31, 2010 served as the study population, based on available data(9) (10).  
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40 Exclusion criteria included individuals whose age was less than 18 and greater than 105 at the  
41 time of the first correctional facility release data in 2010 (the index event); individuals whose  
42 data could not be linked to a valid identifier in the administrative data(10), individuals missing  
43 information on their place of residence, and individuals who were not residents of Ontario for  
44 the three years prior to the admission date of the index incarceration – as health service  
45 utilization data would not be available for these individuals.  
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## Data Sources

The Ministry of Community Safety and Correctional Services dataset provided data for individuals within this cohort from 2005 to 2015. ~~Given that t~~The index release was the first release in 2010, and the maximum period of time for a provincial correctional event is 2 years. ~~Since the data are retrospective to 2005, a three-year lookback is the maximum amount of time for an individual with the longest detention (2 years) given the correctional data goes back to 2005. Therefore,~~ three years prior to correctional centre was the lookback duration that ensured the same correctional and health service utilization observation period for each subject regardless the duration of index correctional event duration. Subjects were followed from the index release in 2010 to a maximum follow up date of December 31, 2015 to capture correctional involvement and health service utilization.

The Ministry of Community Safety and Correctional Services provided socio-demographic data including age, sex, self-reported race, address on correctional entry (data on income quintile and rurality of residence were accessed at ICES, Toronto-Ontario's largest health data repository, using the address provided), and dates of entry and release from provincial custody from 2005 to 2015. These data were linked with health administrative data contained within ICES using valid ICES Key Numbers (IKN), which are unique person identifiers that are encoded Ontario Health Insurance Plan (OHIP) numbers. The linkage rate was approximately 97%.

Data related to health service utilization were obtained using administrative health information datasets including: the Canadian Institute for Health Information Discharge Abstract Database



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2  
3 (CIHI DAD), the Ontario Mental Health Reporting System (OMHRS), the National Ambulatory  
4 Care Reporting System (NACRS), and Ontario Health Insurance Plan Claims Database (OHIP).  
5  
6 These health databases capture and report administrative, clinical, and demographic data such  
7  
8 as hospital admission and discharge, length of stay, emergency department registrations, and  
9  
10 ambulatory encounters. The Registered Persons Database (RPDB) was used to obtain  
11  
12 population and demographic data. The RPDB is a population-based registry maintained by the  
13  
14 Ministry of Health and Long-Term Care in Ontario. The dataset has demographic information on  
15  
16 individuals that use the health system including date of birth, sex, address, date of death, and  
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18 OHIP eligibility and status changes. All these data sources are held at ICES. ICES is an  
19  
20 independent, non-profit research institute whose legal status under Ontario's health  
21  
22 information privacy law allows it to collect and analyze health care and demographic data,  
23  
24 without consent, for health system evaluation and improvement. These datasets were linked  
25  
26 using unique encoded identifiers and analyzed at ICES. The use of data in this project was  
27  
28 authorized under section 45 of Ontario's Personal Health Information Protection Act, which  
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30 does not require review by a Research Ethics Board.  
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#### 44 **Exposure Variable**

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47 The provincial correctional sample was stratified based on the presence of a diagnosis of  
48  
49 schizophrenia prior to the index event. Persons with a schizophrenia diagnosis were identified  
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51 using a validated non-specific algorithm using OHIP billing codes, emergency department visits  
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53 and hospitalizations<sup>(11)</sup>. Psychosis not otherwise specified (NOS) is often indistinguishable from  
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3 schizophrenia and therefore we included this diagnosis. The definition was  $\geq 1$  hospitalization  
4 (DAD/OMHRS) or  $\geq 3$  OHIP claims in a three-year period with DSM-IV/ICD9 dx 295, 298, ICD10  
5  
6 F20, F25, F29<sup>(11)</sup>.  
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## 10 11 **Outcomes**

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15 The primary outcome of this study was re-incarceration, measured as time to first readmission  
16  
17 to a provincial correctional facility after the date of first release in 2010. Secondary outcomes of  
18  
19 this study were measures of correctional events and health service utilization. Outcomes  
20  
21 included: the number of admissions to provincial correctional facilities within five years of the  
22  
23 index event, the total number of days in custody in provincial correctional facilities within five  
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25 years of the index event, and the time to first contact for each of the following health services  
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27 after release: primary care physician (PCP) contact (including total, mental health-related and  
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29 non-mental health-related contact), psychiatrist contact, emergency department visit (for all  
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31 causes and mental health reason), medical hospitalization and psychiatric hospitalization.  
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## 41 **Covariates**

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44 Sociodemographic characteristics included age, sex, neighbourhood income quintile, and  
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46 urban/rural residence. Age was divided into six categories (18-29, 30-39, 40-49, 50-64, 65-74  
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48 and 75+ years). Neighbourhood income levels were obtained using Census Canada information  
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50 provided by Statistics Canada (May 2006). Neighbourhood income levels were divided into five  
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52 categories based on distribution, in which income level 1 was defined as the lowest income  
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3 quintile and level 5 as the highest based on dissemination areas<sup>(12)</sup>. Rurality was defined using  
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5 the Rurality Index of Ontario (RIO2008) score, areas that scored 0-39 were considered urban  
6  
7 and areas that scored 40 or more were considered rural<sup>(13)</sup>. Self-reported race and variables  
8  
9 describing provincial correctional involvement were obtained from the dataset provided by the  
10  
11 Ministry of Community Safety and Correctional Services. These categorical variables included:  
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13 the cumulative length of time in custody from all correctional admissions in three years prior to  
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15 the index incarceration, length of the index incarceration event, and the number of prior  
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17 correctional events in three years prior to the index incarceration.  
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24 PCP visits were defined as the total number of visits for all reasons for the periods mentioned  
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26 above. Visits to PCPs were further categorized as mental health related and non-mental health  
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28 related visits based on a validated algorithm using OHIP billing codes<sup>(14)</sup>. The total number of  
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30 psychiatry visits were also identified in OHIP billing codes. To differentiate between PCP and  
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32 psychiatrist visits, the ICES Physician Database (IPDP) was used to identify physician specialties  
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34 for accuracy. Total hospitalizations and psychiatric hospitalizations were identified in CIHI DAD  
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36 and OMHRS. The total number of emergency department visits was captured using NACRS.  
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41 Clinical characteristics of the sample were captured using the ACG<sup>®</sup> System Collapsed Adjusted  
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43 Diagnosis Groups (CADGs) from the John Hopkins ACG<sup>®</sup> System Version 10, which has 12  
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45 categories<sup>(15)</sup>. CADGs were used as a proxy measure of morbidity and have shown good  
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47 prediction of mortality in the general population<sup>(16)</sup> and in a population of individuals with a  
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49 diagnosis of schizophrenia<sup>(15)</sup>.  
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## 53 54 **Statistical Analysis**

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3 Health service utilization encounters were calculated for each participant in the three year  
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5 period before the entry date of the index incarceration and in the five year period after the  
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7 index release – defined as the first release in 2010. Healthcare utilization was also measured  
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9 during the index incarceration. We examined episodes in custody in the three years prior to the  
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11 entry date of the index incarceration and five years after the index event.  
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16 The descriptive data were summarized using frequencies and proportions for categorical data,  
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18 and defined based on the data distribution. The distribution of continuous variables was  
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20 measured using mean (standard deviation) and median (interquartile range) to measure the  
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22 variations. Cox proportional hazards model was selected as the most appropriate modelling  
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24 method to reflect our interest in the time to event, and to be able to include other covariates in  
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26 the time to event model. Violation of the Cox PH proportionality assumption was tested using  
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28 Schoenfeld residuals and time-dependent covariates in the analysis. All analyses were  
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30 performed in SAS version 9.4 (SAS Institute, Cary, NC)(17).  
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## 40 **Results**

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43 In 2010, there were 51,013 individuals released from Ontario's provincial correctional facilities.  
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45 After excluding individuals who were missing information location on residence (N = 3448),  
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47 missing age variable (N = 5), younger than 18 at the index event (N = 17) or not Ontario  
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49 residents in the three years prior to the admission date of individuals' first incarceration in 2010  
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3 (N=615), the cohort included 46,928 individuals. Among the 46,928 individuals, 7% (N = 3237)  
4  
5 had a diagnosis of schizophrenia prior to the index event date.  
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12 The characteristics of individuals released from correctional facilities with and without  
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14 schizophrenia are outlined in Table 1. Individuals with schizophrenia were older, more likely to  
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16 be female, more likely to live in a neighbourhood in the lowest neighbourhood income quintile,  
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18 and less likely to reside in rural regions.  
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27 Correctional involvement in the three years prior to the index correctional entry date is  
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29 outlined in Table 2. Individuals with schizophrenia had almost double the median aggregate  
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31 length of correctional centre stay compared to individuals without schizophrenia (66 (IQR – 13-  
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33 176) vs. 38 (IQR – 7-123)). The median length of stay of the index event and the number of prior  
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35 incarcerations was also higher for individuals with schizophrenia. The prior health service  
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37 utilization is outlined in Supplementary Table 1, and shows higher rates of use of all prior health  
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39 services measured.  
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48 Correctional involvement following index correctional event release date is outlined in Table 3.  
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50 Slightly more individuals with schizophrenia had at least one incarceration event in the five  
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52 years following release than individuals without schizophrenia (67.5% vs. 58.8%). The median  
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54 time to re-incarceration was shorter for individuals with vs. without schizophrenia (156 days  
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3 (IQR 52-410) vs. 219 days (IQR 81-531)) although total length of time in custody did not differ  
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6 between the groups.  
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12 Supplementary Table 2 shows health service utilization in the five years following release from  
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14 correctional centres. As with health service utilization prior to correctional centre entry,  
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16 individuals with schizophrenia had higher rates of all health service utilization in comparison to  
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18 individuals without schizophrenia. Twenty-four percent of people with schizophrenia (N = 776;  
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20 24.0%) did not see a psychiatrist within 5 years following correctional centre release, and the  
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22 median time post-release for a person with schizophrenia to see a psychiatrist was 114 days  
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26 (IQR: 30-374).  
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34 In a multivariable Cox regression model adjusting for age, sex, neighbourhood income quintile  
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36 and rurality, individuals with schizophrenia had a 40% increased risk of reincarceration  
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38 (adjusted HR: 1.39; 95% CI 1.33-1.45)(Fig. 1A) compared to those without schizophrenia.  
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40 Further adjusting for prior correctional involvement, comorbidity, and prior health service  
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42 utilization, individuals with schizophrenia were only at 8% increased risk of reincarceration  
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44 compared to individuals without schizophrenia (adjusted HR: 1.08; 95% CI 1.03-1.14)(Fig. 1B).  
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## 53 Discussion

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3 Approximately 1 in 14 individuals (7%) released from provincial correctional facilities in 2010  
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5 had a diagnosis of schizophrenia. People with schizophrenia had a higher number of  
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7 correctional encounters prior to and following release than individuals without schizophrenia,  
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9 and were 40% more likely to be reincarcerated within 5 years following correctional release  
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11 than individuals without schizophrenia. That this relative increase in re-incarceration was  
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13 substantially attenuated by prior health service utilization, correctional involvement and  
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15 comorbidity suggests that these factors may play an important role in re-incarceration. That  
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17 prior correctional involvement is an important contributor is not surprising; however, prior  
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19 health service utilization may point to opportunities to intervene if individuals with better pre-  
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21 incarceration access to care have reduced rates of reincarceration.  
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30 We found that individuals with schizophrenia are highly overrepresented in Ontario's provincial  
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32 correctional facilities compared to the general population, which is consistent with prior  
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34 research (3, 18-22). The schizophrenia prevalence within this cohort was notably high (7%),  
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36 compared with estimates of schizophrenia prevalence observed in prior studies(3) and in the  
37  
38 general population (approximately 1%)(23, 24). Our findings that a diagnosis of schizophrenia is  
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40 associated with a higher rate of re-incarceration is also consistent with existing literature(13,  
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45 14, 25, 26).  
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50 The findings also highlight service fragmentation following the index release in 2010. In the five  
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52 years following release, approximately 1 in 4 individuals with schizophrenia did not see a  
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54 psychiatrist. Given the complexities associated with support for individuals who have a  
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3 diagnosis of schizophrenia such as the continuation of antipsychotic medication, the need for  
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5 other psychosocial interventions such as cognitive behavioural therapy, the need for regular  
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7 physical health assessments, stable housing, among other socio-economic supports(27), timely  
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9 access to specialty mental health care services, including psychiatrists, quickly following release  
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11 should be an expected standard of care for individuals with schizophrenia who have had  
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13 correctional involvement. Indeed, 44% of individuals with schizophrenia had at least one  
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15 psychiatric hospitalization following index correctional event release. Lack of psychiatric care  
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17 and treatment may contribute to both re-incarceration and psychiatric hospitalizations. Indeed,  
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19 the same factors that create challenges adhering to conditions of release (parole, etc.) likely  
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21 contribute to challenges attending psychiatric follow-up.  
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30 There are several limitations that merit discussion. Given the limited availability of socio-  
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32 demographic data, we were unable to include largely cited socio-demographic factors  
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34 including: marital status, education level and housing status(8, 28-32). Our diagnosis of  
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36 schizophrenia relies on clinical codes in administrative health data rather than a comprehensive  
37  
38 clinical assessment, and there may be misclassification of schizophrenia status. However, the  
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40 algorithm used to identify individuals with a primary diagnosis of schizophrenia was validated  
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42 using population-based health administrative databases in Ontario(11). Finally, the correctional  
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44 data did not include information on the criminal charges or convictions. Distinguishing whether  
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46 individuals with schizophrenia within this cohort were incarcerated for violent or non-violent  
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48 crimes or for administrative offences such as failing to comply with probation/parole or to  
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50 attend court appearances could have contributed to the analysis in understanding the length of  
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3 correctional episodes and whether individuals were receiving the type of care necessary. In  
4  
5 addition, this study focused on Ontario's provincial correctional facilities and did not include  
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7 data on federal correctional involvement.  
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## 10 11 12 13 **Conclusion**

14  
15 Individuals with schizophrenia are overrepresented in correctional facilities in comparison to  
16  
17 the general population. Additionally, individuals with schizophrenia also experience higher rates  
18  
19 of re-incarceration. Further work is needed to understand the needs of individuals with  
20  
21 schizophrenia and risk factors contributing to re-incarceration. However, these individuals  
22  
23 would likely benefit from more coordinated and integrated health service delivery following  
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25 correctional release.  
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## 32 33 **Data Access**

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35 The dataset from this study is held securely in coded form at ICES. While data sharing  
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37 agreements prohibit ICES from making the dataset publicly available, access may be granted to  
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39 those who meet pre-specified criteria for confidential access, available at [www.ices.on.ca/DAS](http://www.ices.on.ca/DAS).  
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42 The full dataset creation plan and underlying analytic code are available from the authors upon  
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44 request, understanding that the computer programs may rely upon coding templates or macros  
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46 that are unique to ICES and are therefore either inaccessible or may require modification.  
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### 20 **Conflicts of Interest**

21  
22 No author has a conflict of interest related to this study.  
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Table 1: Characteristics of study cohort, by schizophrenia diagnosis

Variable	Individuals without schizophrenia	Individuals with schizophrenia	Standardized Difference
	N (%)	N (%)	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	
<b>Age</b>			
Median (IQR)	32 (24-43)	36 (28-45)	0.30
18-29	19,007 (43.5)	1,004 (31.0)	0.26
30-39	10,775 (24.7)	936 (28.9)	0.10
40-49	9,175 (21.0)	825 (25.5)	0.11
50-64	4,322 (9.9)	425 (13.1)	0.10
65-74	354 (0.8)	39 (1.2)	0.04
75+	58 (0.1)	8 (0.2)	0.03
<b>Sex</b>			
Female	5,280 (12.1)	542 (16.7)	0.13
Male	38,411 (87.9)	2,695 (83.3)	0.13
<b>Self-reported race</b>			
Missing	2,952 (6.8)	223 (6.9)	0.01
Aboriginal	4,763 (10.9)	271 (8.4)	0.09
Black	5,248 (12.0)	447 (13.8)	0.05
East Asian	715 (1.6)	43 (1.3)	0.03
Hispanic	519 (1.2)	26 (0.8)	0.04
South Asian	908 (2.1)	68 (2.1)	0.00
South East Asian	557 (1.3)	46 (1.4)	0.01
West Asian/Arabic	671 (1.5)	46 (1.4)	0.01
White	25,973 (59.4)	1,958 (60.5)	0.02
Other racial origin	1,000 (2.3)	79 (2.4)	0.01
Declined to specify	130 (0.3)	12 (0.4)	0.01
Racial origin unknown	254 (0.6)	17 (0.5)	0.01
<b>Income Quintile</b>			
Missing	3,207 (7.3)	96 (3.0)	0.20
1	15,359 (35.2)	1,334 (41.2)	0.12
2	9,218 (21.1)	696 (21.5)	0.01
3	6,802 (15.6)	508 (15.7)	0.00
4	5,194 (11.9)	330 (10.2)	0.05
5	3,911 (9.0)	273 (8.4)	0.02
<b>Rurality</b>			
Missing	2,398 (5.5)	25 (0.8)	0.27
Urban	35,533 (81.3)	2,953 (91.2)	0.29
Rural	5,760 (13.2)	259 (8.0)	0.17
<b>Comorbidity (cADGs)</b>			



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Mean ± SD	3.0±2.1	4.2±2.0	0.60
Median (IQR)	3(1-4)	4(3-6)	0.61

**Legend:** IQR – interquartile range; cADGs – Collapsed Aggregated Diagnostic groups; SD – standard deviation

Table 2: Correctional involvement three years prior to the index\* incarceration by schizophrenia diagnosis

Variable	Individuals without schizophrenia	Individuals with schizophrenia	Standardized Difference
	N (%)	N (%)	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	
<b>Length of stay from all correctional entries in 3 years prior to index release</b>			
Median (IQR)	38 (7-123)	66 (13-176)	0.26
No prior incarcerations	22,904 (52.4)	1,327 (41.0)	0.23
1-6	5,115 (11.7)	323 (10.0)	0.06
7-29	4,464 (10.2)	354 (10.9)	0.02
30-89	4,385 (10.0)	430 (13.3)	0.10
90-179	3,414 (7.8)	335 (10.3)	0.09
180-364	2,570 (5.9)	332 (10.3)	0.16
365+	839 (1.9)	136 (4.2)	0.13
<b>Length of stay of index incarceration event</b>			
Median (IQR)	7 (2-37)	11 (3-48)	0.16
1-6	21,101 (48.3)	1,324 (40.9)	0.15
7-29	10,104 (23.1)	840 (25.9)	0.07
30-89	7,434 (17.0)	638 (19.7)	0.07
90-119	1,813 (4.1)	140 (4.3)	0.01
120-364	2,966 (6.8)	273 (8.4)	0.06
365+	273 (0.6)	22 (0.7)	0.01
<b>Number of prior incarcerations</b>			
Median (IQR)	0 (0-2)	1 (0-3)	0.3
0	22,904 (52.4)	1,327 (41.0)	0.23
1	8,151 (18.7)	566 (17.5)	0.03
2	4,868 (11.1)	357 (11.0)	0.00
3	2,929 (6.7)	266 (8.2)	0.06
4	1,846 (4.2)	207 (6.4)	0.10
5	1,071 (2.5)	139 (4.3)	0.10
6	661 (1.5)	84 (2.6)	0.08
7	450 (1.0)	68 (2.1)	0.09
8	259 (0.6)	57 (1.8)	0.11
9	175 (0.4)	46 (1.4)	0.11
10+	377 (0.9)	120 (3.7)	0.19

\*Index refers to the first correctional release for each individual in 2010 in Ontario.

Legend: IQR – interquartile range

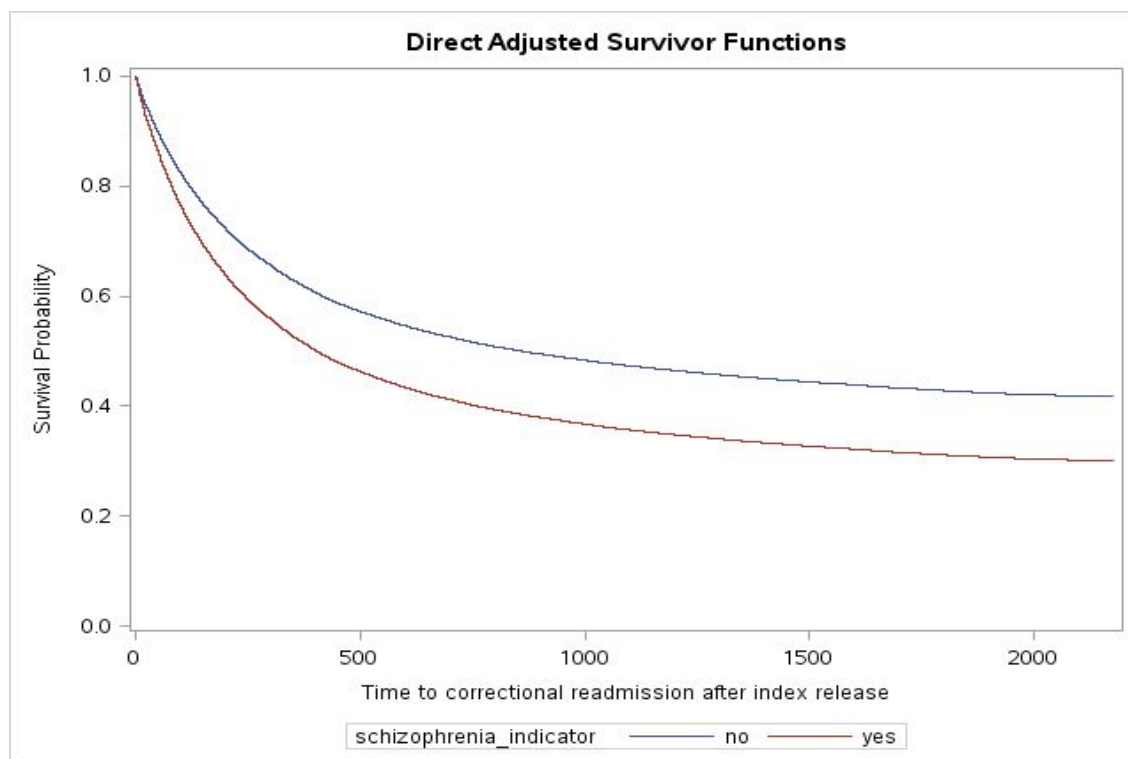
Table 3: Correctional involvement five years after index release, by schizophrenia diagnosis

Variable	Individuals without schizophrenia	Individuals with schizophrenia	Standardized Difference
	N (%)	N (%)	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	
<b>Any re-incarceration within 5 years</b>	25,703 (58.8)	2,185 (67.5)	0.18
<b>Time to re-incarceration</b>			
Median (IQR)	219 (81-531)	156 (52-410)	0.21
Not reincarcerated	17,988 (41.2)	1,052 (32.5)	0.18
1-30 days	2,853 (6.5)	359 (11.1)	0.16
31-60	2,249 (5.1)	259 (8.0)	0.12
61-90	1,908 (4.4)	184 (5.7)	0.06
91-240	6,503 (14.9)	528 (16.3)	0.04
241-365	3,143 (7.2)	240 (7.4)	0.01
366-730	4,554 (10.4)	330 (10.2)	0.01
731-1095	2,079 (4.8)	96 (3.0)	0.09
3 years +	2,414 (5.5)	189 (5.8)	0.01
<b>Length of stay from all correctional events in 5 years after index release</b>			
Median (IQR)	83 (20-204)	88 (23-222)	0.07
Not re-incarcerated	17,988 (41.2)	1,052 (32.5)	0.18
1-6 days	3,563 (8.2)	246 (7.6)	0.02
7-29	4,181 (9.6)	373 (11.5)	0.06
30-89	5,597 (12.8)	483 (14.9)	0.06
90-179	4,999 (11.4)	386 (11.9)	0.02
180-364	4,501 (10.3)	402 (12.4)	0.07
365-729	2,473 (5.7)	232 (7.2)	0.06
730+	389 (0.9)	63 (1.9)	0.09

\*Index refers to the first correctional release for each individual in 2010 in Ontario.

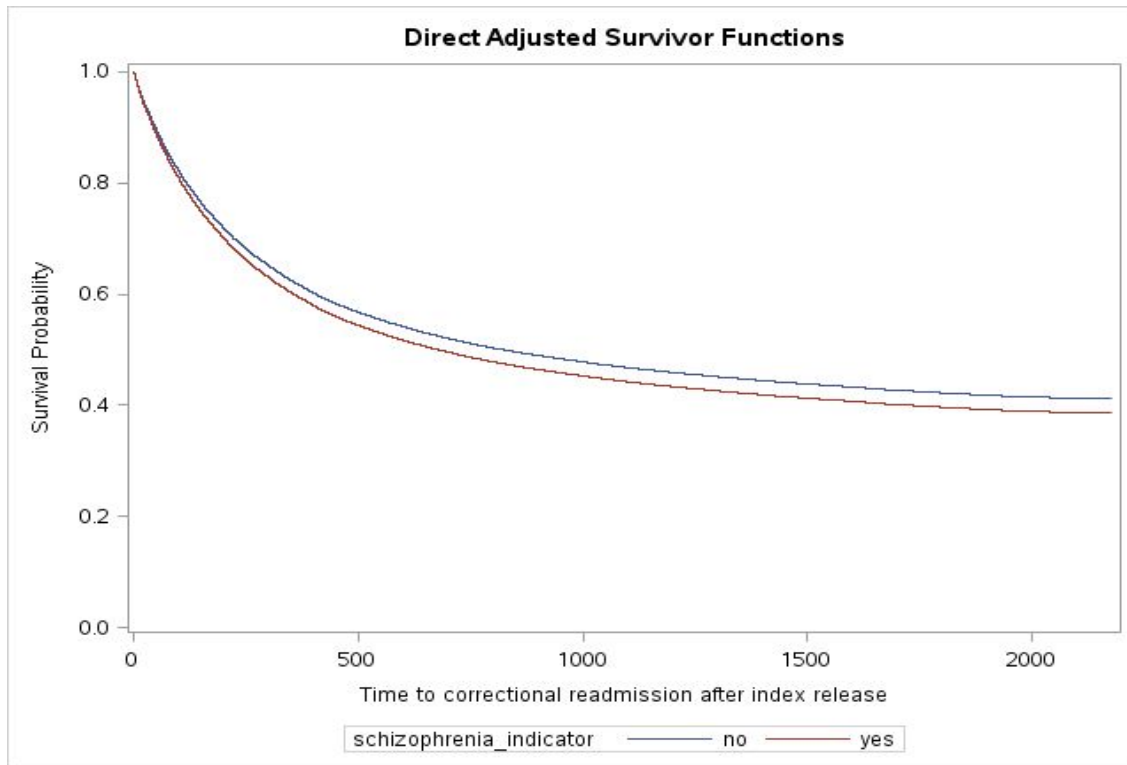
**Legend:** IQR – interquartile range

Figure 1A: Time to re-incarceration for individuals with and without schizophrenia from multivariable Cox regression\*



\*Adjusted for age, sex, neighbourhood income quintile and rurality.

Figure 1B: Recidivism survival curves between individuals with and without schizophrenia.\*



\*Adjusted for age, sex, neighbourhood income quintile, rurality, comorbidity, prior correctional involvement and prior health service utilization.

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3 Prevalence and predictors of re-incarceration after correctional centre release: a population-  
4 based comparison of individuals with and without schizophrenia in Ontario, Canada.  
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## Abstract

**Objectives:** Individuals with schizophrenia are over-represented in correctional facilities relative to their population-based prevalence. The purpose of this study was to determine the rate and predictors of reincarceration of individuals with schizophrenia after release from correctional facilities.

**Methods:** This was a retrospective cohort study that included all people released from Ontario's provincial correctional facilities from January 1 to December 31, 2010. Individuals with schizophrenia were identified using a population-based algorithm. The primary outcome was time to reincarceration. Covariates included sociodemographic characteristics (age, sex, neighbourhood income quintile, urban/rural residence), health service utilization (primary care physician visits, psychiatrist visits, psychiatric and non-psychiatric hospitalizations, emergency department visits) and other clinical comorbidity. Survival analysis was used to examine the association between schizophrenia and re-incarceration.

**Results:** Among 46,928 individuals, N = 3237 (7%) had a diagnosis of schizophrenia. Approximately 67.5% of these individuals were reincarcerated within five years following their first release in 2010, compared to 58.8% of individuals without schizophrenia. Individuals with schizophrenia were 40% (HR: 1.39, 95% CI: 1.33-1.45) more likely to be reincarcerated following release than the control group after adjusting for demographic characteristics. This association reduced to 8% (1.08, 95% CI:1.03-1.14) after adjusting for prior health service utilization, prior correctional involvement, and comorbidities.

**Conclusion:** Individuals with schizophrenia were more likely to experience reincarceration after release from correctional facilities. This risk is partly explained by prior correctional involvement, health service utilization and comorbidities. Future research should focus on risk factors predicting the higher reincarceration rate and interventions to reduce correctional involvement.

**Keywords:** Schizophrenia, Correctional Involvement, Incarceration, Health Services

## Introduction

Individuals with schizophrenia are at higher risk of incarceration compared to individuals without serious mental illness(1, 2). This higher rate of incarceration has translated into a schizophrenia prevalence in correctional facilities that is 3-6 times the population prevalence(3). Estimates from Canadian studies similarly suggest a higher prevalence of schizophrenia and other psychotic disorders in custodial populations(4, 5).

The higher rate of incarceration amongst individuals with schizophrenia may be due in part to higher rates of recidivism and potential re-incarceration, or effects of criminal justice interventions. For instance, reoffending after correctional facility release is increased by 40% amongst individuals with severe mental illnesses(3) compared to those without. In particular, a significant factor for higher risk of reincarceration for individuals with schizophrenia in contrast to those without is technical violation. Technical violations arise from criminal justice sanctions (court appearances, probation and parole requirement). Individuals with schizophrenia may have challenges adhering to probation and parole requirements resulting in a greater likelihood of getting new charges for these violations. The high rate of incarceration and reincarceration amongst individuals with schizophrenia may also be partially due to poor access to treatment(6), and evidence suggests that timely access to care among individuals with schizophrenia who have been released from correctional facilities can reduce the likelihood of reincarceration(7, 8).



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3 The objective of this study was to determine the prevalence of schizophrenia in persons  
4 released from Ontario correctional centres in 2010 and to estimate reincarceration rates among  
5 these individuals with compared to those without a diagnosis of schizophrenia. We used  
6 comprehensive population-based correctional and health administrative data for all Ontario  
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## 18 **Methods**

### 19 **Study Setting and Design**

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22 remand, and sentenced inmates subject to sentences of less than 2 years. Sentenced people  
23 have an average length of stay of approximately 60 days, and people on remand of  
24 approximately 40 days, although the median remand length of stay is closer to one week.  
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35 Individuals who were released from Ontario's provincial correctional facilities from January 1,  
36 2010 to December 31, 2010 served as the study population, based on available data(9) (10).  
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40 Exclusion criteria included individuals whose age was less than 18 and greater than 105 at the  
41 time of the first correctional facility release data in 2010 (the index event); individuals whose  
42 data could not be linked to a valid identifier in the administrative data(10), individuals missing  
43 information on their place of residence, and individuals who were not residents of Ontario for  
44 the three years prior to the admission date of the index incarceration – as health service  
45 utilization data would not be available for these individuals.  
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## Data Sources

The Ministry of Community Safety and Correctional Services dataset provided data for individuals within this cohort from 2005 to 2015. Given that the index release was the first release in 2010, a three year lookback is the maximum amount of time for an individual with the longest detention (2 years) given the correctional data goes back to 2005. Therefore, three years prior to correctional centre was the lookback duration that ensured the same correctional and health service utilization observation period for each subject regardless the duration of index correctional event duration. Subjects were followed from the index release in 2010 to a maximum follow up date of December 31, 2015 to capture correctional involvement and health service utilization.

The Ministry of Community Safety and Correctional Services provided socio-demographic data including age, sex, self-reported race, address on correctional entry (data on income quintile and rurality of residence were accessed at ICES, Toronto-Ontario's largest health data repository, using the address provided), and dates of entry and release from provincial custody from 2005 to 2015. These data were linked with health administrative data contained within ICES using valid ICES Key Numbers (IKN), which are unique person identifiers that are encoded Ontario Health Insurance Plan (OHIP) numbers. The linkage rate was approximately 97%.

Data related to health service utilization were obtained using administrative health information datasets including: the Canadian Institute for Health Information Discharge Abstract Database (CIHI DAD), the Ontario Mental Health Reporting System (OMHRS), the National Ambulatory

Care Reporting System (NACRS), and Ontario Health Insurance Plan Claims Database (OHIP).

These health databases capture and report administrative, clinical, and demographic data such as hospital admission and discharge, length of stay, emergency department registrations, and ambulatory encounters. The Registered Persons Database (RPDB) was used to obtain population and demographic data. The RPDB is a population-based registry maintained by the Ministry of Health and Long-Term Care in Ontario. The dataset has demographic information on individuals that use the health system including date of birth, sex, address, date of death, and OHIP eligibility and status changes. All these data sources are held at ICES. ICES is an independent, non-profit research institute whose legal status under Ontario's health information privacy law allows it to collect and analyze health care and demographic data, without consent, for health system evaluation and improvement. These datasets were linked using unique encoded identifiers and analyzed at ICES. The use of data in this project was authorized under section 45 of Ontario's Personal Health Information Protection Act, which does not require review by a Research Ethics Board.

### **Exposure Variable**

The provincial correctional sample was stratified based on the presence of a diagnosis of schizophrenia prior to the index event. Persons with a schizophrenia diagnosis were identified using a validated non-specific algorithm using OHIP billing codes, emergency department visits and hospitalizations<sup>(11)</sup>. Psychosis not otherwise specified (NOS) is often indistinguishable from schizophrenia and therefore we included this diagnosis. The definition was  $\geq 1$  hospitalization

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3 (DAD/OMHRS) or  $\geq 3$  OHIP claims in a three-year period with DSM-IV/ICD9 dx 295, 298, ICD10  
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5 F20, F25, F29<sup>(11)</sup>.  
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## 8 9 **Outcomes**

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12 The primary outcome of this study was re-incarceration, measured as time to first readmission  
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14 to a provincial correctional facility after the date of first release in 2010. Secondary outcomes of  
15  
16 this study were measures of correctional events and health service utilization. Outcomes  
17  
18 included: the number of admissions to provincial correctional facilities within five years of the  
19  
20 index event, the total number of days in custody in provincial correctional facilities within five  
21  
22 years of the index event, and the time to first contact for each of the following health services  
23  
24 after release: primary care physician (PCP) contact (including total, mental health-related and  
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26 non-mental health-related contact), psychiatrist contact, emergency department visit (for all  
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28 causes and mental health reason), medical hospitalization and psychiatric hospitalization.  
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## 39 **Covariates**

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42 Sociodemographic characteristics included age, sex, neighbourhood income quintile, and  
43  
44 urban/rural residence. Age was divided into six categories (18-29, 30-39, 40-49, 50-64, 65-74  
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46 and 75+ years). Neighbourhood income levels were obtained using Census Canada information  
47  
48 provided by Statistics Canada (May 2006). Neighbourhood income levels were divided into five  
49  
50 categories based on distribution, in which income level 1 was defined as the lowest income  
51  
52 quintile and level 5 as the highest based on dissemination areas<sup>(12)</sup>. Rurality was defined using  
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3 the Rurality Index of Ontario (RIO2008) score, areas that scored 0-39 were considered urban  
4  
5 and areas that scored 40 or more were considered rural<sup>(13)</sup>. Self-reported race and variables  
6  
7 describing provincial correctional involvement were obtained from the dataset provided by the  
8  
9 Ministry of Community Safety and Correctional Services. These categorical variables included:  
10  
11 the cumulative length of time in custody from all correctional admissions in three years prior to  
12  
13 the index incarceration, length of the index incarceration event, and the number of prior  
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15 correctional events in three years prior to the index incarceration.  
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21 PCP visits were defined as the total number of visits for all reasons for the periods mentioned  
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23 above. Visits to PCPs were further categorized as mental health related and non-mental health  
24  
25 related visits based on a validated algorithm using OHIP billing codes<sup>(14)</sup>. The total number of  
26  
27 psychiatry visits were also identified in OHIP billing codes. To differentiate between PCP and  
28  
29 psychiatrist visits, the ICES Physician Database (IPDP) was used to identify physician specialties  
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31 for accuracy. Total hospitalizations and psychiatric hospitalizations were identified in CIHI DAD  
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33 and OMHRS. The total number of emergency department visits was captured using NACRS.  
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38 Clinical characteristics of the sample were captured using the ACG<sup>®</sup> System Collapsed Adjusted  
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40 Diagnosis Groups (CADGs) from the John Hopkins ACG<sup>®</sup> System Version 10, which has 12  
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42 categories<sup>(15)</sup>. CADGs were used as a proxy measure of morbidity and have shown good  
43  
44 prediction of mortality in the general population<sup>(16)</sup> and in a population of individuals with a  
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46 diagnosis of schizophrenia<sup>(17)</sup>.  
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## 51 **Statistical Analysis**

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3 Health service utilization encounters were calculated for each participant in the three year  
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5 period before the entry date of the index incarceration and in the five year period after the  
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7 index release – defined as the first release in 2010. Healthcare utilization was also measured  
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9 during the index incarceration. We examined episodes in custody in the three years prior to the  
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11 entry date of the index incarceration and five years after the index event.  
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16 The descriptive data were summarized using frequencies and proportions for categorical data,  
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18 and defined based on the data distribution. The distribution of continuous variables was  
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20 measured using mean (standard deviation) and median (interquartile range) to measure the  
21  
22 variations. Cox proportional hazards model was selected as the most appropriate modelling  
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24 method to reflect our interest in the time to event, and to be able to include other covariates in  
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26 the time to event model. Violation of the Cox PH proportionality assumption was tested using  
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28 Schoenfeld residuals and time-dependent covariates in the analysis. All analyses were  
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30 performed in SAS version 9.4 (SAS Institute, Cary, NC)(18).  
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## 40 **Results**

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43 In 2010, there were 51,013 individuals released from Ontario's provincial correctional facilities.  
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45 After excluding individuals who were missing information location on residence (N = 3448),  
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47 missing age variable (N = 5), younger than 18 at the index event (N = 17) or not Ontario  
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49 residents in the three years prior to the admission date of individuals' first incarceration in 2010  
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3 (N=615), the cohort included 46,928 individuals. Among the 46,928 individuals, 7% (N = 3237)  
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5 had a diagnosis of schizophrenia prior to the index event date.  
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12 The characteristics of individuals released from correctional facilities with and without  
13  
14 schizophrenia are outlined in Table 1. Individuals with schizophrenia were older, more likely to  
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16 be female, more likely to live in a neighbourhood in the lowest neighbourhood income quintile,  
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18 and less likely to reside in rural regions.  
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27 Correctional involvement in the three years prior to the index correctional entry date is  
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29 outlined in Table 2. Individuals with schizophrenia had almost double the median aggregate  
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31 length of correctional centre stay compared to individuals without schizophrenia (66 (IQR – 13-  
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33 176) vs. 38 (IQR – 7-123)). The median length of stay of the index event and the number of prior  
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35 incarcerations was also higher for individuals with schizophrenia. The prior health service  
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37 utilization is outlined in Supplementary Table 1, and shows higher rates of use of all prior health  
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39 services measured.  
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48 Correctional involvement following index correctional event release date is outlined in Table 3.  
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50 Slightly more individuals with schizophrenia had at least one incarceration event in the five  
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52 years following release than individuals without schizophrenia (67.5% vs. 58.8%). The median  
53  
54 time to re-incarceration was shorter for individuals with vs. without schizophrenia (156 days  
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3 (IQR 52-410) vs. 219 days (IQR 81-531)) although total length of time in custody did not differ  
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6 between the groups.  
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12 Supplementary Table 2 shows health service utilization in the five years following release from  
13 correctional centres. As with health service utilization prior to correctional centre entry,  
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15 individuals with schizophrenia had higher rates of all health service utilization in comparison to  
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17 individuals without schizophrenia. Twenty-four percent of people with schizophrenia (N = 776;  
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19 24.0%) did not see a psychiatrist within 5 years following correctional centre release, and the  
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21 median time post-release for a person with schizophrenia to see a psychiatrist was 114 days  
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23 (IQR: 30-374).  
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34 In a multivariable Cox regression model adjusting for age, sex, neighbourhood income quintile  
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36 and rurality, individuals with schizophrenia had a 40% increased risk of reincarceration  
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38 (adjusted HR: 1.39; 95% CI 1.33-1.45)(Fig. 1A) compared to those without schizophrenia.  
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40 Further adjusting for prior correctional involvement, comorbidity, and prior health service  
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42 utilization, individuals with schizophrenia were only at 8% increased risk of reincarceration  
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44 compared to individuals without schizophrenia (adjusted HR: 1.08; 95% CI 1.03-1.14)(Fig. 1B).  
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## 53 Discussion

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3 Approximately 1 in 14 individuals (7%) released from provincial correctional facilities in 2010  
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5 had a diagnosis of schizophrenia. People with schizophrenia had a higher number of  
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7 correctional encounters prior to and following release than individuals without schizophrenia,  
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9 and were 40% more likely to be reincarcerated within 5 years following correctional release  
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11 than individuals without schizophrenia. That this relative increase in re-incarceration was  
12  
13 substantially attenuated by prior health service utilization, correctional involvement and  
14  
15 comorbidity suggests that these factors may play an important role in re-incarceration. That  
16  
17 prior correctional involvement is an important contributor is not surprising; however, prior  
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19 health service utilization may point to opportunities to intervene if individuals with better pre-  
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21 incarceration access to care have reduced rates of reincarceration.  
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30 We found that individuals with schizophrenia are highly overrepresented in Ontario's provincial  
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32 correctional facilities compared to the general population, which is consistent with prior  
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34 research (3, 19-23). The schizophrenia prevalence within this cohort was notably high (7%),  
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36 compared with estimates of schizophrenia prevalence observed in prior studies(3) and in the  
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38 general population (approximately 1%)(24, 25). Our findings that a diagnosis of schizophrenia is  
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40 associated with a higher rate of re-incarceration is also consistent with existing literature(13,  
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45 14, 26, 27).  
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50 The findings also highlight service fragmentation following the index release in 2010. In the five  
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52 years following release, approximately 1 in 4 individuals with schizophrenia did not see a  
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54 psychiatrist. Given the complexities associated with support for individuals who have a  
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3 diagnosis of schizophrenia such as the continuation of antipsychotic medication, the need for  
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5 other psychosocial interventions such as cognitive behavioural therapy, the need for regular  
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7 physical health assessments, stable housing, among other socio-economic supports(28), timely  
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9 access to specialty mental health care services, including psychiatrists, quickly following release  
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11 should be an expected standard of care for individuals with schizophrenia who have had  
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13 correctional involvement. Indeed, 44% of individuals with schizophrenia had at least one  
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15 psychiatric hospitalization following index correctional event release. Lack of psychiatric care  
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17 and treatment may contribute to both re-incarceration and psychiatric hospitalizations. Indeed,  
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19 the same factors that create challenges adhering to conditions of release (parole, etc.) likely  
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21 contribute to challenges attending psychiatric follow-up.  
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30 There are several limitations that merit discussion. Given the limited availability of socio-  
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32 demographic data, we were unable to include largely cited socio-demographic factors  
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34 including: marital status, education level and housing status(8, 29-33). Our diagnosis of  
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36 schizophrenia relies on clinical codes in administrative health data rather than a comprehensive  
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38 clinical assessment, and there may be misclassification of schizophrenia status. However, the  
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40 algorithm used to identify individuals with a primary diagnosis of schizophrenia was validated  
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42 using population-based health administrative databases in Ontario(11). Finally, the correctional  
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44 data did not include information on the criminal charges or convictions. Distinguishing whether  
45  
46 individuals with schizophrenia within this cohort were incarcerated for violent or non-violent  
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48 crimes or for administrative offences such as failing to comply with probation/parole or to  
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50 attend court appearances could have contributed to the analysis in understanding the length of  
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3 correctional episodes and whether individuals were receiving the type of care necessary. In  
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5 addition, this study focused on Ontario's provincial correctional facilities and did not include  
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7 data on federal correctional involvement.  
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## 10 11 12 13 **Conclusion**

14  
15 Individuals with schizophrenia are overrepresented in correctional facilities in comparison to  
16  
17 the general population. Additionally, individuals with schizophrenia also experience higher rates  
18  
19 of re-incarceration. Further work is needed to understand the needs of individuals with  
20  
21 schizophrenia and risk factors contributing to re-incarceration. However, these individuals  
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23 would likely benefit from more coordinated and integrated health service delivery following  
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25 correctional release.  
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## 32 33 **Data Access**

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35 The dataset from this study is held securely in coded form at ICES. While data sharing  
36  
37 agreements prohibit ICES from making the dataset publicly available, access may be granted to  
38  
39 those who meet pre-specified criteria for confidential access, available at [www.ices.on.ca/DAS](http://www.ices.on.ca/DAS).  
40  
41 The full dataset creation plan and underlying analytic code are available from the authors upon  
42  
43 request, understanding that the computer programs may rely upon coding templates or macros  
44  
45 that are unique to ICES and are therefore either inaccessible or may require modification.  
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10  
11 statements expressed herein are solely those of the authors and do not reflect those of the  
12  
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### 20 **Conflicts of Interest**

21  
22 No author has a conflict of interest related to this study.  
23  
24  
25

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Table 1: Characteristics of study cohort, by schizophrenia diagnosis

Variable	Individuals without schizophrenia	Individuals with schizophrenia	Standardized Difference
	N (%)	N (%)	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	
<b>Age</b>			
Median (IQR)	32 (24-43)	36 (28-45)	0.30
18-29	19,007 (43.5)	1,004 (31.0)	0.26
30-39	10,775 (24.7)	936 (28.9)	0.10
40-49	9,175 (21.0)	825 (25.5)	0.11
50-64	4,322 (9.9)	425 (13.1)	0.10
65-74	354 (0.8)	39 (1.2)	0.04
75+	58 (0.1)	8 (0.2)	0.03
<b>Sex</b>			
Female	5,280 (12.1)	542 (16.7)	0.13
Male	38,411 (87.9)	2,695 (83.3)	0.13
<b>Self-reported race</b>			
Missing	2,952 (6.8)	223 (6.9)	0.01
Aboriginal	4,763 (10.9)	271 (8.4)	0.09
Black	5,248 (12.0)	447 (13.8)	0.05
East Asian	715 (1.6)	43 (1.3)	0.03
Hispanic	519 (1.2)	26 (0.8)	0.04
South Asian	908 (2.1)	68 (2.1)	0.00
South East Asian	557 (1.3)	46 (1.4)	0.01
West Asian/Arabic	671 (1.5)	46 (1.4)	0.01
White	25,973 (59.4)	1,958 (60.5)	0.02
Other racial origin	1,000 (2.3)	79 (2.4)	0.01
Declined to specify	130 (0.3)	12 (0.4)	0.01
Racial origin unknown	254 (0.6)	17 (0.5)	0.01
<b>Income Quintile</b>			
Missing	3,207 (7.3)	96 (3.0)	0.20
1	15,359 (35.2)	1,334 (41.2)	0.12
2	9,218 (21.1)	696 (21.5)	0.01
3	6,802 (15.6)	508 (15.7)	0.00
4	5,194 (11.9)	330 (10.2)	0.05
5	3,911 (9.0)	273 (8.4)	0.02
<b>Rurality</b>			
Missing	2,398 (5.5)	25 (0.8)	0.27
Urban	35,533 (81.3)	2,953 (91.2)	0.29
Rural	5,760 (13.2)	259 (8.0)	0.17
<b>Comorbidity (cADGs)</b>			

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Mean ± SD	3.0±2.1	4.2±2.0	0.60
Median (IQR)	3(1-4)	4(3-6)	0.61

**Legend:** IQR – interquartile range; cADGs – Collapsed Aggregated Diagnostic groups; SD – standard deviation

Table 2: Correctional involvement three years prior to the index\* incarceration by schizophrenia diagnosis

Variable	Individuals without schizophrenia	Individuals with schizophrenia	Standardized Difference
	N (%)	N (%)	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	
<b>Length of stay from all correctional entries in 3 years prior to index release</b>			
Median (IQR)	38 (7-123)	66 (13-176)	0.26
No prior incarcerations	22,904 (52.4)	1,327 (41.0)	0.23
1-6	5,115 (11.7)	323 (10.0)	0.06
7-29	4,464 (10.2)	354 (10.9)	0.02
30-89	4,385 (10.0)	430 (13.3)	0.10
90-179	3,414 (7.8)	335 (10.3)	0.09
180-364	2,570 (5.9)	332 (10.3)	0.16
365+	839 (1.9)	136 (4.2)	0.13
<b>Length of stay of index incarceration event</b>			
Median (IQR)	7 (2-37)	11 (3-48)	0.16
1-6	21,101 (48.3)	1,324 (40.9)	0.15
7-29	10,104 (23.1)	840 (25.9)	0.07
30-89	7,434 (17.0)	638 (19.7)	0.07
90-119	1,813 (4.1)	140 (4.3)	0.01
120-364	2,966 (6.8)	273 (8.4)	0.06
365+	273 (0.6)	22 (0.7)	0.01
<b>Number of prior incarcerations</b>			
Median (IQR)	0 (0-2)	1 (0-3)	0.3
0	22,904 (52.4)	1,327 (41.0)	0.23
1	8,151 (18.7)	566 (17.5)	0.03
2	4,868 (11.1)	357 (11.0)	0.00
3	2,929 (6.7)	266 (8.2)	0.06
4	1,846 (4.2)	207 (6.4)	0.10
5	1,071 (2.5)	139 (4.3)	0.10
6	661 (1.5)	84 (2.6)	0.08
7	450 (1.0)	68 (2.1)	0.09
8	259 (0.6)	57 (1.8)	0.11
9	175 (0.4)	46 (1.4)	0.11
10+	377 (0.9)	120 (3.7)	0.19

\*Index refers to the first correctional release for each individual in 2010 in Ontario.

Legend: IQR – interquartile range

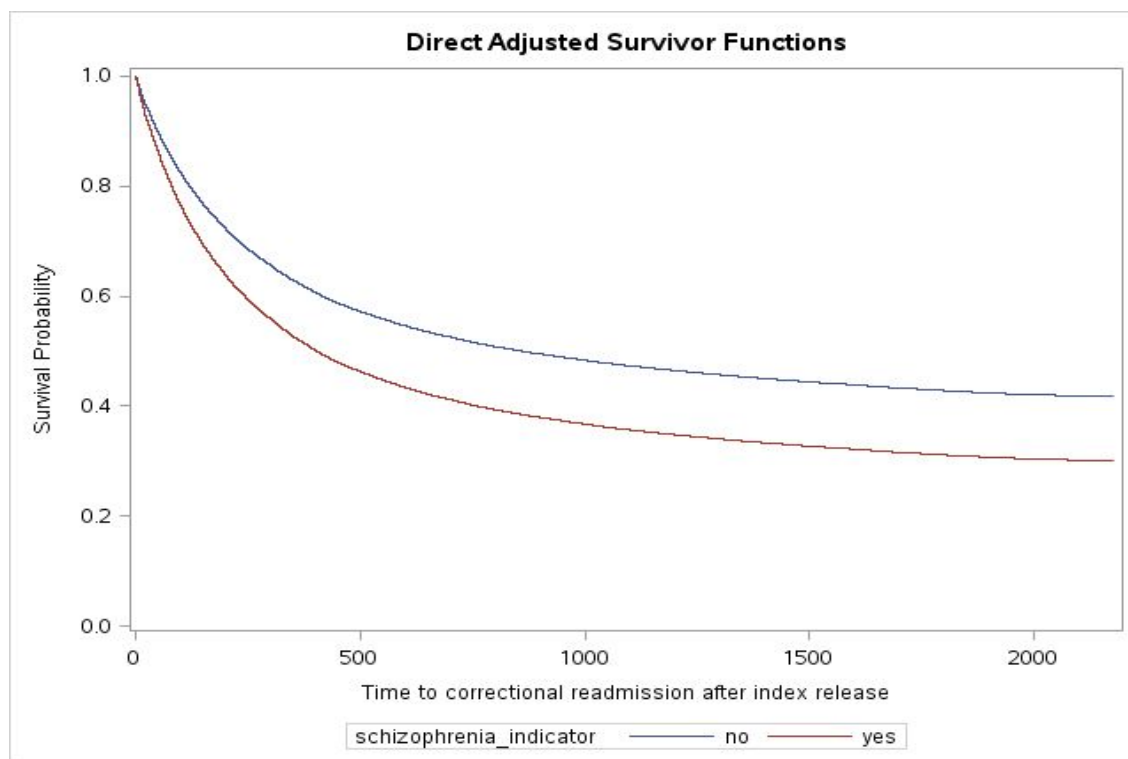
Table 3: Correctional involvement five years after index release, by schizophrenia diagnosis

Variable	Individuals without schizophrenia	Individuals with schizophrenia	Standardized Difference
	N (%)	N (%)	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	
<b>Any re-incarceration within 5 years</b>	25,703 (58.8)	2,185 (67.5)	0.18
<b>Time to re-incarceration</b>			
Median (IQR)	219 (81-531)	156 (52-410)	0.21
Not reincarcerated	17,988 (41.2)	1,052 (32.5)	0.18
1-30 days	2,853 (6.5)	359 (11.1)	0.16
31-60	2,249 (5.1)	259 (8.0)	0.12
61-90	1,908 (4.4)	184 (5.7)	0.06
91-240	6,503 (14.9)	528 (16.3)	0.04
241-365	3,143 (7.2)	240 (7.4)	0.01
366-730	4,554 (10.4)	330 (10.2)	0.01
731-1095	2,079 (4.8)	96 (3.0)	0.09
3 years +	2,414 (5.5)	189 (5.8)	0.01
<b>Length of stay from all correctional events in 5 years after index release</b>			
Median (IQR)	83 (20-204)	88 (23-222)	0.07
Not re-incarcerated	17,988 (41.2)	1,052 (32.5)	0.18
1-6 days	3,563 (8.2)	246 (7.6)	0.02
7-29	4,181 (9.6)	373 (11.5)	0.06
30-89	5,597 (12.8)	483 (14.9)	0.06
90-179	4,999 (11.4)	386 (11.9)	0.02
180-364	4,501 (10.3)	402 (12.4)	0.07
365-729	2,473 (5.7)	232 (7.2)	0.06
730+	389 (0.9)	63 (1.9)	0.09

\*Index refers to the first correctional release for each individual in 2010 in Ontario.

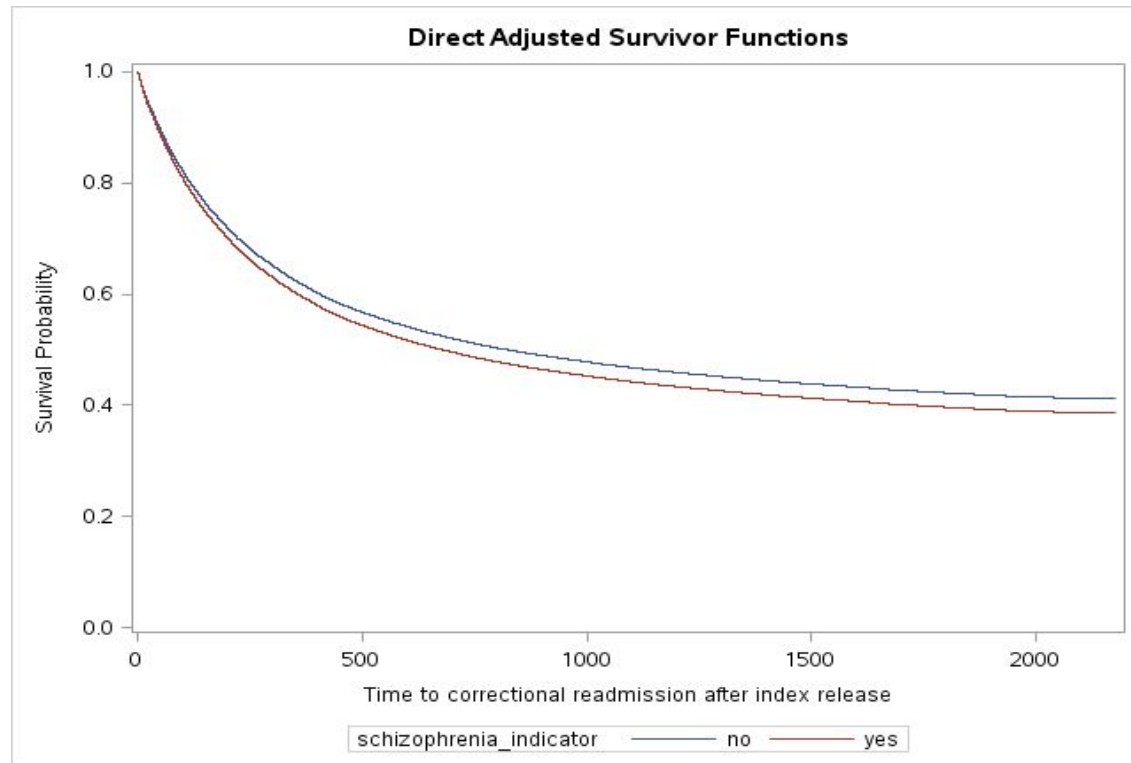
**Legend:** IQR – interquartile range

Figure 1A: Time to re-incarceration for individuals with and without schizophrenia from multivariable Cox regression\*



\*Adjusted for age, sex, neighbourhood income quintile and rurality.

Figure 1B: Recidivism survival curves between individuals with and without schizophrenia.\*



\*Adjusted for age, sex, neighbourhood income quintile, rurality, comorbidity, prior correctional involvement and prior health service utilization.

Supplement Table 1

*Health Service Utilization Three Years Prior to the Index\* Incarceration*

Variable	Individuals without schizophrenia	Individuals with schizophrenia	Standardized Difference
	N (%)	N (%)	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	
<b>Total number visits to PCP</b>			
Median (IQR)	5 (1-14)	13 (5-28)	0.58
0	8,005 (18.3)	210 (6.5)	0.36
1-5	14,135 (32.4)	659 (20.4)	0.27
6-10	7,394 (16.9)	569 (17.6)	0.02
11-15	4,244 (9.7)	401 (12.4)	0.09
16-20	2,460 (5.6)	288 (8.9)	0.13
21+	7,453 (17.1)	1,110 (34.3)	0.40
<b>Total number of MH visits to PCP</b>			
Median (IQR)	0 (0-3)	5 (1-12)	0.92
0	23,706 (54.3)	565 (17.5)	0.83
1-5	12,931 (29.6)	1,187 (36.7)	0.15
6-10	2,564 (5.9)	551 (17.0)	0.36
11-15	951 (2.2)	287 (8.9)	0.30
16-20	552 (1.3)	160 (4.9)	0.21
21+	2,987 (6.8)	487 (15.0)	0.27
<b>Total number of non-MH visits to PCP</b>			
Median (IQR)	4 (1-10)	6 (2-14)	0.30
0	9,143 (20.9)	396 (12.2)	0.24
1-5	16,903 (38.7)	1,180 (36.5)	0.05
6-10	7,750 (17.7)	601 (18.6)	0.02
11-15	3,875 (8.9)	328 (10.1)	0.04
16-20	2,044 (4.7)	222 (6.9)	0.09
21+	3,976 (9.1)	510 (15.8)	0.2
<b>Total number of psychiatrist visits</b>			
Median (IQR)	0 (0-0)	4 (0-12)	1.42
0	36,536 (83.6)	885 (27.3)	1.37
1-3	4,248 (9.7)	710 (21.9)	0.34
4-6	1,180 (2.7)	399 (12.3)	0.37
7-9	533 (1.2)	276 (8.5)	0.34
10+	1,194 (2.7)	967 (29.9)	0.79
<b>Total ED visits</b>			
Median (IQR)	1 (0-3)	3 (1-8)	0.60



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3	0	15,189 (34.8)	531 (16.4)	0.43
4	1-5	22,118 (50.6)	1,549 (47.9)	0.06
5	6-10	4,042 (9.3)	528 (16.3)	0.21
6	11-15	1,215 (2.8)	237 (7.3)	0.21
7	16-20	493 (1.1)	121 (3.7)	0.17
8	21+	634 (1.5)	271 (8.4)	0.32
9				
10	<b>Total hospitalizations</b>			
11	Median (IQR)	0 (0-0)	1 (0-2)	0.94
12	0	37,706 (86.3)	1,523 (47.0)	0.92
13	1-3	5,572 (12.8)	1,316 (40.7)	0.66
14	4-6	311 (0.7)	276 (8.5)	0.38
15	7-9	63 (0.1)	68 (2.1)	0.19
16	10+	39 (0.1)	54 (1.7)	0.10
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18	<b>Total inpatient days</b>			
19	Median (IQR)	0 (0-0)	2 (0-24)	0.97
20	0	38,048 (87.1)	1,550 (47.9)	0.92
21	1-10	4,147 (9.5)	521 (16.1)	0.20
22	11-89	1,451 (3.3)	921 (28.5)	0.73
23	90-180	32 (0.1)	162 (5.0)	0.32
24	181+	13 (0.0)	83 (2.6)	0.23
25				
26	<b>Total psychiatric hospitalizations</b>			
27	Median (IQR)	0 (0-0)	0 (0-2)	1.08
28	0	42,062 (96.3)	1,814 (56.0)	1.07
29	1-3	1,555 (3.6)	1,130 (34.9)	0.87
30	4-6	61 (0.1)	215 (6.6)	0.37
31	7-9	8 (0.0)	48 (1.5)	0.17
32	10+	**	**	**
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34	<b>Total psychiatric hospital days</b>			
35	Median (IQR)	0 (0-0)	0 (0-22)	1.08
36	0	42,134 (96.4)	1,825 (56.4)	1.07
37	1-10	795 (1.8)	337 (10.4)	0.36
38	11-89	734 (1.7)	843 (26.0)	0.75
39	90-180	20 (0.0)	151 (4.7)	0.31
40	181+	8 (0.0)	81 (2.5)	0.22
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\*Index refers to the first correctional release for each individual in 2010 in Ontario.

**Legend:** PCP – primary care physician; IQR – interquartile range; MH – mental health

Notes: \*\* Suppressed values due to n<6

## Supplementary Table 2

*Health service utilization outcomes five years after the index\* release*

<b>Schizophrenia Indicator</b>				
<b>Variable</b>	<b>Individuals without schizophrenia</b>	<b>Individuals with schizophrenia</b>	<b>All Persons</b>	<b>Standardized Difference</b>
	<b>N (%)</b>	<b>N (%)</b>	<b>N (%)</b>	
<b>Total</b>	43,691 (93.1)	3,237 (7.0)	46,928 (100)	
<b>Number of PCP visits</b>				
Median (IQR)	10 (3-28)	19 (7-43)	11 (3-29)	0.38
0	5,794 (13.3)	164 (5.1)	5,958 (12.7)	0.29
1-5	9,659 (22.1)	501 (15.5)	10,160 (21.7)	0.17
6-10	6,410 (14.7)	434 (13.4)	6,844 (14.6)	0.04
11-15	4,394 (10.1)	317 (9.8)	4,711 (10.0)	0.01
16-20	3,182 (7.3)	293 (9.1)	3,475 (7.4)	0.06
21+	14,252 (32.6)	1,528 (47.2)	15,780 (33.6)	0.30
<b>Number of MH visits to PCP</b>				
Median (IQR)	1 (0-6)	7 (2-19)	1 (0-7)	0.72
0	18,749 (42.9)	454 (14.0)	19,203 (40.9)	0.68
1-5	13,168 (30.1)	992 (30.6%)	14,160 (30.2)	0.01
6-10	3,217 (7.4)	522 (16.1)	3,739 (8.0)	0.27
11-15	1,523 (3.5)	314 (9.7)	1,837 (3.9)	0.25
16-20	832 (1.9)	207 (6.4)	1,039 (2.2)	0.23
21+	6,202 (14.2)	748 (23.1)	6,950 (14.8)	0.23
<b>Number of non-MH visits to PCP</b>				
Median (IQR)	7 (2-16)	8 (3-21)	7 (2-17)	0.17
0	6,837 (15.6)	313 (9.7)	7,150 (15.2)	0.18
1-5	12,505 (28.6)	934 (28.9)	13,439 (28.6)	0.01
6-10	7,685 (17.6)	562 (17.4)	8,247 (17.6)	0.01
11-15	5,026 (11.5)	383 (11.8)	5,409 (11.5)	0.01
16-20	3,223 (7.4)	230 (7.1)	3,453 (7.4)	0.01
21+	8,415 (19.3)	815 (25.2)	9,230 (19.7)	0.14
<b>Total number of psychiatrist visits</b>				
Median (IQR)	0 (0-1)	6 (1-20)	0 (0-1)	1.2
0	31,830 (72.9)	776 (24.0)	32,606 (69.5)	1.12
1-5	7,163 (16.4)	787 (24.3)	7,950 (16.9)	0.20
6-10	1,806 (4.1)	399 (12.3)	2,205 (4.7)	0.30
11-15	861 (2.0)	288 (8.9)	1,149 (2.4)	0.31
16-20	536 (1.2)	212 (6.5)	748 (1.6)	0.28
21+	1,495 (3.4)	775 (23.9)	2,270 (4.8)	0.63
<b>Total ED visits</b>				
Median (IQR)	2 (0-5)	4 (1-12)	2 (0-5)	0.47
0	11,846 (27.1)	491 (15.2)	12,337 (26.3)	0.30
1-5	21,590 (49.4)	1,351 (41.7)	22,941 (48.9)	0.15
6-10	5,844 (13.4)	510 (15.8)	6,354 (13.5)	0.07
11-15	2,093 (4.8)	303 (9.4)	2,396 (5.1)	0.18

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3	16-20	897 (2.1)	162 (5.0)	1,059 (2.3)	0.18
4	21+	1,421 (3.3)	420 (13.0)	1,841 (3.9)	0.36
5	<b>Total Mental Health Related</b>				
6	<b>ED Visits</b>				
7	Median (IQR)	0 (0-0)	1 (0-3)	0 (0-0)	0.75
8	0	34,264 (78.4)	1,478 (45.7)	35,742 (76.2)	0.72
9	1-5	8,299 (19.0)	1,297 (40.1)	9,596 (20.4)	0.47
10	6-10	616 (1.4)	189 (5.8)	805 (1.7)	0.24
11	11-15	186 (0.4)	105 (3.2)	291 (0.6)	0.21
12	16-20	85 (0.2)	48 (1.5)	133 (0.3)	0.14
13	21+	241 (0.6)	120 (3.7)	361 (0.8)	0.22
14	<b>Total hospitalizations</b>				
15	Median (IQR)	0 (0-0)	1 (0-3)	0 (0-0)	0.84
16	0	34,475 (78.9)	1,383 (42.7)	35,858 (76.4)	0.80
17	1-5	8,582 (19.6)	1,529 (47.2)	10,111 (21.5)	0.61
18	6-10	451 (1.0)	226 (7.0)	677 (1.4)	0.31
19	11-15	115 (0.3)	56 (1.7)	171 (0.4)	0.15
20	16-20	39 (0.1)	25 (0.8)	64 (0.1)	0.10
21	21+	29 (0.1)	18 (0.6)	47 (0.1)	0.09
22	<b>Total inpatient days</b>				
23	Median (IQR)	0 (0-0)	4 (0-45)	0 (0-0)	0.87
24	0	34,946 (80.0)	1,420 (43.9)	36,366 (77.5)	0.80
25	1-10	5,420 (12.4)	463 (14.3)	5,883 (12.5)	0.06
26	11-89	2,969 (6.8)	818 (25.3)	3,787 (8.1)	0.52
27	90-180	231 (0.5)	238 (7.4)	469 (1.0)	0.36
28	181-365	74 (0.2)	122 (3.8)	196 (0.4)	0.26
29	366+	51 (0.1)	176 (5.4)	227(0.5)	0.33
30	<b>Total psychiatric</b>				
31	<b>hospitalizations</b>				
32	Median (IQR)	0 (0-0)	0 (0-2)	0 (0-0)	0.97
33	0	40,975 (93.8)	1,817 (56.1)	42,792 (91.2)	0.96
34	1-5	2,583 (5.9)	1,206 (37.3)	3,789 (8.1)	0.82
35	6-10	107 (0.2)	158 (4.9)	265 (0.6)	0.30
36	11-15	18 (0.0)	42 (1.3)	60 (0.1)	0.15
37	16-20	7 (0.0)	10 (0.3)	17 (0.0)	0.07
38	21+	**	**	**	**
39	<b>Total number of psychiatric</b>				
40	<b>hospital days</b>				
41	Median (IQR)	0 (0-0)	0 (0-35)	0 (0-0)	0.97
42	0	41,045 (93.9)	1,838 (56.8)	42,883 (91.4)	0.96
43	1-10	1,131 (2.6)	224 (6.9)	1,355 (2.9)	0.20
44	11-89	1,321 (3.0)	687 (21.2)	2,008 (4.3)	0.58
45	90-180	107 (0.2)	200 (6.2)	307 (0.7)	0.34
46	181-365	43 (0.1)	112 (3.5)	155 (0.3)	0.26
47	366+	44 (0.1)	176 (5.4)	220 (0.5)	0.33

\*Index refers to the first correctional release for each individual in 2010 in Ontario.

**Legend:** PCP – primary care physician; IQR – interquartile range; MH – mental health

**Notes:** \*\* Suppressed values due to n<6

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3 **Prévalence et prédicteurs de la réincarcération après la libération d'un centre correctionnel :**  
4 **une comparaison dans la population-de personnes souffrant ou non de schizophrénie en**  
5 **Ontario, Canada.**  
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9 **Objectifs :** Les personnes souffrant de schizophrénie sont surreprésentées dans les  
10 établissements correctionnels relativement à leur prévalence dans la population. La présente  
11 étude a pour but de déterminer le taux et les prédicteurs de la réincarcération des personnes  
12 souffrant de schizophrénie après leur libération des établissements correctionnels.  
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18 **Méthodes :** Il s'agissait d'une étude de cohorte rétrospective qui incluait toutes les personnes  
19 libérées des établissements correctionnels provinciaux de l'Ontario du 1<sup>er</sup> janvier au 31  
20 décembre 2010. Les personnes souffrant de schizophrénie ont été identifiées à l'aide d'un  
21 algorithme dans la population. Le résultat principal était le temps écoulé jusqu'à la  
22 réincarcération. Les covariables étaient notamment les caractéristiques sociodémographiques  
23 (âge, sexe, quintile de revenu du quartier, résidence urbaine/rurale), l'utilisation des services de  
24 santé (visites à des médecins de soins de première ligne, visites à un psychiatre, hospitalisations  
25 psychiatriques ou autres, visites au service d'urgence) et d'autre morbidité clinique. L'analyse  
26 de survie a servi à examiner l'association entre la schizophrénie et la réincarcération.  
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37 **Résultats :** Parmi les 46 928 personnes, N = 3 237 (7 %) avaient un diagnostic de schizophrénie.  
38 Environ 67,5 % de celles-ci ont été réincarcérées dans les 5 années suivant leur première  
39 libération en 2010, comparé à 58,8 % des personnes ne souffrant pas de schizophrénie. Les  
40 personnes souffrant de schizophrénie étaient 40 % (RR : 1,39; IC à 95 % 1,33 à 1,45) plus  
41 susceptibles d'être réincarcérées après une libération que le groupe témoin après ajustement  
42 des caractéristiques démographiques. Cette association s'est réduite à 8 % (1,08; IC à 95 % 1,03  
43 à 1,14) après ajustement pour utilisation précédente des services de santé, implication  
44 correctionnelle précédente et comorbidités.  
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53 **Conclusion :** Les personnes souffrant de schizophrénie étaient plus susceptibles de connaître  
54 une réincarcération après une libération des établissements correctionnels. Ce risque  
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3 s'explique en partie par l'implication correctionnelle précédente, l'utilisation des services de  
4 santé, et les comorbidités. La recherche future devrait mettre l'accent sur les facteurs de risque  
5 qui prédisent le taux de réincarcération plus élevé et sur les interventions pour réduire  
6 l'implication correctionnelle.  
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