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| 1 2 | Legacy and current pesticide residues in Syr Darya, Kazakhstan: Contamination status, seasonal variation and preliminary ecological risk assessment |
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35 Highlights

- Modern and banned "legacy" pesticides are present in the Syr Darya
 - Organochlorine contaminants occur throughout irrigation season
 - Ecologic risks from exposure to aquatic organisms are likely
 - Passive and grab sampling help monitor contaminants in remote watersheds
- 40

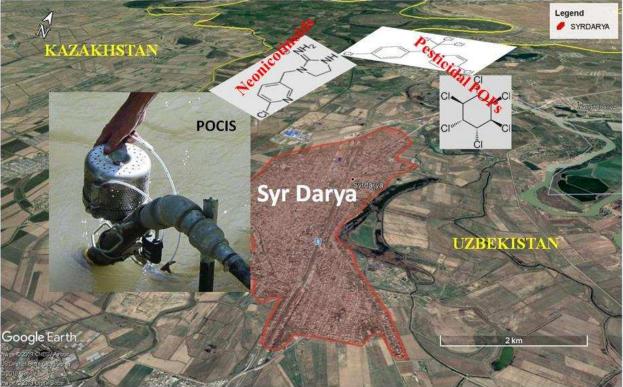
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42 Graphical Abstract



44 Abstract

The Syr Darya is one of two major rivers in Central Asia supplying critical fresh water to 45 the Aral Sea. In spite of the river's importance and agriculturally intensive history, few studies 46 47 have provided a modern evaluation of and the occurrence of pesticide residues and potential effects to aquatic life. The primary goal of this investigation was to determine seasonal variations 48 49 in ambient concentrations of modern and legacy pesticides in bottom sediment and water of the Syr Darya in Kazakhstan downstream from an agriculturally intensive watershed in Uzbekistan. 50 51 Grab samples and passive samplers used at five remote sampling stations during June 2015 to 52 provide a baseline for ecotoxicological evaluation. Results were compared with samples 53 collected during and after the agricultural growing season. Polar organic chemical integrative 54 samplers (POCIS) were used in June and calibrated for time weighted average concentrations of 55 current use pesticides. Among legacy chlorinated pesticides measured in grab samples from the river, lindane (γ -HCH) was detected most frequently with the highest concentrations occurring 56 57 during June. For all the sampling events, residues of lindane (γ -HCH) ranged from 0.014 µg/L to $0.24 \,\mu$ g/L detected in grab samples are among the highest concentrations reported for rivers 58 globally. Concentrations of γ -HCH, p,p'-DDE and dieldrin were highest in October when 59 60 dieldrin concentrations approached 0.4 μ g/L. Sources of legacy pesticides may be either illicit 61 upstream use or evidence of previous atmospheric contamination of glacial meltwater. Chronic 62 exposure to these residues may lead to ecological risk to lower order organisms in both the 63 sediment and water column.

64

65

Key words: Syr Darya, γ-HCH, p,p'-DDE, risk assessment

66

67 **1. Introduction**

Large-scale diversion of water from the Aral Sea in central Asia is one of the most widely 68 cited environmental disasters of the last century (Cai et al., 2002). During the 1960s, the 69 70 government of the former Soviet republics promoted agricultural practices that led to substantial 71 reduction in total discharge of the Amu Darya and the Syr Darya rivers (Figure 1), primarily to 72 irrigate water-intensive crops including, rice, melons and cotton (Glantz, 2007). Diversion of the 73 two major rivers feeding the Aral Sea had a globally visible impact, as the total surface area 74 declined to less than 10% of its historical size and resulted in the near total loss of its commercial 75 fishery (Hecht, 2014).

The southern Amu Darya no longer replenishes the Aral Sea and this part of the basin has 76 77 substantially reduced in volume, increased in salinity, and has completely lost its fishery. The 78 northern tributary of Syr Darya now provides limited freshwater replenishment to the northern 79 basin of the Aral Sea, and this basin consequently has fared much better than its southern 80 counterpart. The Kokaral Dam was built in 2005 as an effort to raise the water level in the north basin of the Aral Sea and restore the local fishery (Hecht, 2014). The north basin derives its 81 freshwater from the Syr Darya. This dam has helped to partially fill the Aral Sea with enough 82 83 water so that commercial fishing, seeded by fish species that have taken refuge in the lower 84 regions of the Syr Darya, is returning to the region.

Biggs Biggs

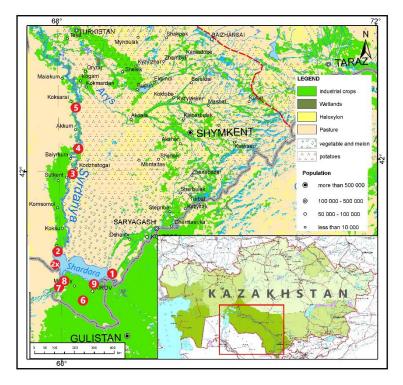
90 irrigated agricultural fields that lie within the Syr Darya watershed (Li, 1999). Consequently, the 91 Syr Darya may carry a significant load of legacy pesticides as well as modern pesticide residues, 92 such as neonicotinoid insecticides into the north basin of the Aral Sea. Legacy pesticides can be 93 highly significant contributors to exposure of stream biota (Rasmussen et al., 2015), and it is increasingly clear that both modern and legacy toxins be considered in risk assessment. This 94 95 paper provides a novel and recent assessment of pesticide concentrations in a remote Central 96 Asian region. Few studies have examined the occurrence and ecological risk of a mixture of 97 legacy and modern pesticides in Central Asia. The goal of this study was to characterize the 98 concentrations of a wide variety of potentially toxic chemicals in an important freshwater supply for the Aral Sea, and provide a baseline for ecotoxicological evaluation of these effects. Samples 99 100 were collected during three reconnaissance trips to the Syr Darya during the months of June, 101 August, and October 2015. Passive, *in-situ* and grab sampling techniques were tested to evaluate the suitability of alternative means for sampling collection in remote regions such as south 102 103 Kazakhstan. A suite of different sampling techniques was employed to evaluate how newer 104 technologies may be adapted to monitoring in remote areas. Results from this study provides a 105 snapshot of chemical contaminant concentrations from samples collected over a single growing 106 season.

107 **2.** Materials and methods

108 2.1 Site selection and general sampling

Preliminary reconnaissance by local researchers identified six accessible locations for
sampling and collection of fish tissues along a stretch of the Syr Darya from where it enters
Kazakhstan (KZ) to a point upstream from the Arys River south of Turkistan, KZ (Figure 1).
Sampling trips were scheduled to coincide with the beginning, middle, and end of the irrigation

- season. June sampling results provided a baseline of water quality, while samples collected in
- 114 August and October coincided with conditions during and at the end of the irrigation season



- Figure 1. Map showing the six sample locations (numbers in red) along the Syr Darya, Kazakhstan. River flow is from south to north. All six in-stream locations were sampled in June and October. Coordinates are provided in supplementary material. The Shardara Reservoir is located in the bottom center and site 2X on the eastern shore.
- 115 (Frenken, 2013) when increased inputs of persistent pesticide residues from irrigation return
- 116 flows may be expected. The timing of sampling allowed for an evaluation of changes in
- 117 contaminant loading from irrigation return flows during a single crop growing season.
- 118 The Shardara multi-purpose reservoir (Figure 1), constructed between 1964 and 1967 on
- the Syr Darya in Shardara City, KZ, provides storage for both irrigation water and hydroelectric
- 120 power generation and lies immediately downstream of a boundary with the country of
- 121 Uzbekistan. The reservoir capacity is approximately $5.2 \times 10^9 \text{ m}^3$ and, depending on upstream
- 122 precipitation amounts, its volume may be turned over several times annually. Sampling locations
- 123 were chosen to evaluate the chemical composition of river water as it crossed the border into

| 124 | Kazakhstan and helped characterize changes in water quality downstream from the reservoir |
|--------------------------|--|
| 125 | through a region of highly irrigated cotton production. The total distance between the Shardara |
| 126 | Reservoir and the final sampling point above the confluence with the Ayrs River, is |
| 127 | approximately 250 km. Six sites were chosen selected for repeated sampling in the river and |
| 128 | reservoir and three additional sites for grab samples during the growing season (Figure 1). Site 1 |
| 129 | is the most upstream sampling location, is immediately adjacent to the Uzbekistan border and |
| 130 | receives inflow only during the spring and summer months. Sites 2 and 2X are located on the |
| 131 | shores of the Shardara Reservoir near inflow and outflow respectively prior to controlled release |
| | |
| 132 | to downstream Sites 3, 4, and 5 (Figure 1). |
| 132 133 | to downstream Sites 3, 4, and 5 (Figure 1). A suite of sampling techniques were employed to assess occurrence of pesticides in the |
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| 133 134 135 136 | A suite of sampling techniques were employed to assess occurrence of pesticides in the Syr Darya. Water and sediment grab samples, along with <i>in-situ</i> sampling devices, including polar organic chemical integrative samplers (POCIS, Environmental Sampling Technologies, St. Joseph, MO), and continuous low-level aquatic monitoring (C.L.A.M., C.I. Agent Solutions, |

Table 1. Sampling regime utilized to assess the presence of pesticide residues in the Syr Darya.August samples were collected primarily to account for ephemeral irrigation canal inputs.

| Dates | Sites (Fig 1) | Samples Types Collected |
|----------------|-----------------------|---|
| 5 6 June 2015 | 1,2, 2X, 3, 4, 5 | Water and river sediment grab samples |
| 5-6 June 2015 | 1, 2X, 5 | POCIS, C.L.A.M. |
| | 1, 2X | Water grab samples only |
| 23 August 2015 | Irrigation ditches | Water grab samples only – 4 sites draining into reservoir |
| 12-13 October | 1,2, 2X, 3, 4, 5 | Water and river sediment grab samples |
| 2015 | 2X, 5 | |

Samples from all locations were obtained in June and October 2015, though very little
water was present in the river channel upstream (Site 1, Figure 1) of the reservoir in October. In
August, four additional grab samples of surface water were collected from intermittently filled
irrigation ditches upstream of the Shardara Reservoir (*Gulistan Area* and *Zhetisay District*) to
help characterize local irrigation canal inflow water quality.

148 2.2. Collection and processing of water samples

149 Water samples for pesticide analysis were collected in 1-liter square amber glass bottles 150 and transported in a cooler filled with frozen ice packs and transported to Al-Farabi Kazakh 151 National University (KazNU) where they were stored at 4°C. Each 1-liter water sample was 152 extracted within three days of collection and was divided into three 300 mL portions by weight 153 for solid phase extraction (SPE) and subsequent analysis by three instrumental methods. Two of 154 these portions were spiked and equilibrated with 2,000 ng terbuthylazine and butachlor surrogates to account for losses during extraction, and immediately extracted using 155 156 preconditioned 1 g trifunctional tC18 bonded silica SPE cartridges (Waters Corporation, Milford, 157 MA USA). The third 300 mL portion was extracted onto a 200 mg HLB SPE cartridge (Waters 158 Corporation, Milford MA USA). Reverse phase tC18 cartridges were preconditioned onsite by 159 passing 5 mL of ethyl acetate, 5 mL of acetonitrile and 5 mL of purified (deionized distilled) reagent water, while the HLB cartridges were preconditioned using 5 mL acetonitrile followed 160 161 by 5 mL of purified reagent water immediately prior to use.

During extraction, each sample portion was slowly aspirated under vacuum through 1/8"
OD TeflonTM tubing and a glass microfiber filter (Whatman GF/F, GF/F: 0.7 μm pore size,
binderless) held in a 25 mm TeflonTM filter holder connected using Leur adapter to each
preconditioned cartridge mounted on a flow control valve and vacuum flask. The glass fiber

166 filter was removed, and the holder rinsed with 100% ethanol and purified reagent (deionized 167 distilled) water after each sample to minimize any cross contamination. Following extraction, 168 each labelled SPE cartridge was air dried via vacuum aspiration at room temperature for 5 min, 169 and then stored in sealed zipper bags at 4°C. Processed SPE cartridges containing extracted 170 residues were transported to the University of Nebraska Water Sciences Laboratory (UN-WSL) 171 and stored at -20°C until further processing. One of the two tC18 cartridges from each sample 172 was utilized for the analysis of 22 non-organochlorine pesticides, while the other was utilized for the analysis of six organochlorine pesticides. The HLB cartridges were processed for the analysis 173

- 174 of neonicotinoids and one organophosphate insecticide.
- **Table 2.** Target compounds included in analysis SPE cartridge extracts, and extracts from
- sediment samples, polar organic chemical integrative samplers (POCIS), continuous low-level

| aquatic monitoring (C.L.A.M.) samplers | 5. |
|--|----|
|--|----|

| Water | | | | | | |
|---------------------------|---------------|-----------------|---------------------|--|--|--|
| tC18 cartridge 1 extracts | | | | | | |
| Acetochlor | Alachlor | Atrazine | Butylate | | | |
| Chlorthalonil | Cyanazine | Deethylatrazine | Deisopropylatrazine | | | |
| Dimethenamid | EPTC | Metolachlor | Metribuzin | | | |
| Norflorazon | Pendimethalin | Permethrin | Prometon | | | |
| Propachlor | Propazine | Simazine | Tefluthrin | | | |
| Terbufos | Trifluralin | | | | | |
| | tC18 cartrid | lge 2 extracts | | | | |
| 4,4-DDE | 4,4-DDT | α-HCH | Aldrin | | | |
| β-НСН | δ-НСН | Dieldrin | γ-HCH (Lindane) | | | |
| Heptachlor | | | | | | |
| | HLB cartri | idge extracts | | | | |
| Acetamiprid | Clothianidin | Imidacloprid | Metalaxyl | | | |
| Dimethoate | Dinotefuran | Thiacloprid | Thiamethoxam | | | |
| Sediment | | | | | | |
| 4,4-DDE | 4,4-DDT | α-HCH | Aldrin | | | |
| β-НСН | δ-НСН | Dieldrin | γ-HCH (Lindane) | | | |
| Heptachlor | | | | | | |
| POCIS | | | | | | |
| Acetamiprid | Acetochlor | Atrazine | Bifenthrin | | | |
| Boscalid | Carbofuran | Chlorpyrifos | Clothianidin | | | |

| Cyhalothrin | yhalothrin Cyprodinil | | Deethylatrazine |
|---------------------|-----------------------|-----------------|---------------------|
| Deisopropylatrazine | Diazinon | Dimethoate | Dinotefuran |
| Fludioxonil | Imidacloprid | Malathion | Metalaxyl |
| Methidathion | Metolachlor | Metribuzin | Parathion ethyl |
| Parathion methyl | Pendimethalin | Permethrin | Pyrimethanil |
| Quinoxyfen | Tebuconazole | Thiacloprid | Triadimefon |
| Thiamethoxam | | | |
| C.L.A.M. Samplers | | | |
| Acetochlor | Atrazine | Boscalid | Carbofuran |
| Chlorpyrifos | Cyprodinil | Deethylatrazine | Deisopropylatrazine |
| Diazinon | Fludioxonil | Malathion | Methidathion |
| Metolachlor | Metribuzan | Parathion ethyl | Parathion methyl |
| Pendimethalin | Propazine | Pyrimethanil | Simazine |

179 2.3. SPE Cartridge elution

The tC18 SPE cartridges used for non-organochlorine pesticide analysis (Table 2) were 180 181 eluted with 6 mL of ethyl acetate, and eluate spiked with 5 μ g each of ¹³C₃-labelled atrazine, 182 deethylatrazine (DEA) and desisopropylatrazine (DIA) added and used as internal standards. Quantitation by isotope dilution was used for atrazine, DEA and DIA and other residues were 183 184 quantified using ${}^{13}C_3$ -atrazine. Ethyl acetate extracts were evaporated under nitrogen to ~1 mL 185 and residual water was removed with the addition of anhydrous sodium sulfate, followed by 186 quantitative transfer using 2 mL of ethyl acetate to a clean borosilicate culture tube. After 187 vortexing, the solvent volume was then further reduced to 200 µL under dry nitrogen. 188 Concentrated extracts were transferred to autosampler vials outfitted with 300 µL silane-treated 189 glass inserts. Extracts were analyzed for the compounds listed in Table 2 by gas 190 chromatography-mass spectrometry (GC/MS) with selected ion monitoring as described 191 previously (Cassada et al., 1994). Further details of the analytical method and results of a 192 validation study are provided in supplementary materials.

193 The second set of tC18 SPE cartridges, used for preconcentration of chlorinated 194 pesticides, were eluted with 3 mL of acetone, followed by 3 mL of hexane, and 3 mL of ethyl acetate. Solvent eluate was spiked with 5 µg of labelled internal standards (¹³C₃-atrazine, ¹³C₃-195 196 deethylatrazine, and ¹³C₃-deisopropylatrazine (Merck Sharp & Dohme/Isotopes, St. Louis, MO 197 USA), and slowly evaporated under dry nitrogen. Residual water was removed with anhydrous 198 sodium sulfate during the concentration process and quantitatively transferred to clean 199 borosilicate culture tubes using additional ethyl acetate. Each extract was evaporated completely, 200 residues dissolved in 200 μ L ethyl acetate, and finally transferred to a 300 μ L silane-treated glass 201 insert for analysis of chlorinated insecticides (Table 2) by full scan GC/MS. Method detection 202 limits were determined by extraction and analysis of eight (8) replicate samples of purified 203 reagent water fortified at 0.3 μ g/L, and range from 0.007 μ g/L for 4-DDE to 0.053 μ g/L for 204 aldrin (Table S7).

205 Compounds retained on polymeric HLB SPE cartridges were eluted and analyzed for 206 polar neonicotinoid and organophosphorus insecticides (Table 2) using liquid chromatography-207 tandem mass spectrometry (LC-MS/MS). Full details of the extraction, elution and instrumental 208 method is included in the supplemental materials. Standards for each of the target analytes, as 209 well as isotopically-labelled standards (d4-Imidacloprid, d3-Thiamethoxam and d6-Metalaxyl), 210 were obtained from Sigma Aldrich (St. Louis, MO). Each HLB SPE cartridge was eluted under 211 vacuum with 6 mL of high purity methanol (Optima, Fisher Scientific, St. Louis, MO), the eluate 212 was spiked with 50μ L of 1.0 ng/ μ L internal standard mix, and completely evaporated under dry 213 nitrogen in borosilicate culture tubes. The residue was dissolved in 50 μ L high purity methanol 214 and mixed with 200 µL purified (distilled deionized, organic free) reagent water prior to LC-215 MS/MS analysis. A well end-capped 250 x 2 mm x 5 µm BetaBasic C18 reverse phase HPLC

216 column (ThermoFisher, St. Louis, MO USA) was used for the gradient separation with 0.15% 217 formic acid in methanol/water (97:3) and 0.15% formic acid in water/methanol (97:3) at a 218 constant temperature of 50°C and a flow rate of 0.3 mL/min. Analysis run time was 30 minutes, 219 with positive ion mode atmospheric pressure chemical ionization (APCI) on a Waters Quattro 220 Micro triple quadrupole mass spectrometer (Waters Corporation, Milford, MA USA). A pseudo-221 molecular ion $[M+H]^+$ for each compound was selected as the parent ion for fragmentation, and 222 corresponding fragment ion(s) selected for identification and quantitation (Table S7). Method 223 detection limits ranged from 0.005 and 0.025 μ g/L.

Compound recovery and method detection limits, determined through 8 to 10 replicate analyses of low-level fortified blanks (USEPA, 1986), are presented in Table S10. Quality controls processed in Kazakhstan included laboratory duplicates and method blanks prepared from distilled deionized water. Additional quality controls processed in the U.S. are laboratory fortified blank and method blanks. Results of quality controls, together with analysis of certified reference material samples, is included in the supplemental section.

230 2.3. Collection and chemical analysis of sediment grab samples

231 Sediment samples (~200 g) were collected in 250 mL amber jars, held on ice and then 232 transferred to a freezer for subsequent extraction using microwave assisted solvent extraction 233 with analysis by GC/MS. Briefly, five (5.00) grams of wet sample was weighed into a 10 milliliter (mL) TeflonTM microwave digestion vessel, spiked with 200 ng surrogate compounds, 234 235 and thoroughly mixed with 6 mL of acetonitrile. Batches of up to 40 samples are then subjected to microwave irradiation (400W) for 10 minutes at 90°C using a MARS Xpress microwave 236 237 digestion system (CEM, Matthews, NC USA). After cooling and decanting solvent, sediment 238 was mixed with an additional 6 mL of acetonitrile, allowed to settle and then solvent combined

with the first portion. Extract volume is then reduced to near 1-2 mL under dry nitrogen and
spiked with 500 ng of isotope-labelled internal standards. The acetonitrile was mixed with 100
mL of water, and extracts purified by reverse phase (tC18) solid phase extraction (SPE)
cartridges used in water extraction. Absorbed compounds were then eluted with ethyl acetate and
analyzed by GC/MS as described above. Method detection limits, determined from extraction
and analysis of eight replicates of 5 grams of clean sand spiked at 4.0 ng/g, ranged from 0.4 ng/g
for trifluralin to 5.0 ng/g for dieldrin (Table S5).

246 2.4. Deployment, calibration and chemical analysis of POCIS

247 Polar organic chemical integrative samplers (POCIS) is an effective passive water 248 sampling of polar organic compounds at spatial and temporal scale. We have therefore estimated 249 the sampling rates for neonicotinoids in the laboratory before deploying those in the field. Nine 250 POCIS were obtained from Environmental Sampling Technologies (St. Joseph, MO USA) were 251 placed in three stainless-steel deployment canisters and deployed at three of the sampling sites 252 for seven days during the June sampling campaign. One POCIS canister, deployed at location 2X 253 (Figure 1) was missing and presumed lost at the conclusion of the deployment. Upon retrieval, 254 each POCIS deployment canister was placed in a plastic bag and put in a cooler containing ice 255 packs until transport to the laboratory at Al-Farabi KazNU. Within three days of retrieval, the 256 HLB sorbent from each POCIS was removed and quantitatively transferred to glass 257 chromatography columns containing a plug of glass wool by gently rinsing the polymer with 258 purified deionized water. After draining the water, three 20 mL portions of reagent grade 259 acetonitrile were used to slowly extract and elute compounds from the sorbent. The POCIS extracts were evaporated under dry nitrogen to ~30 mL, stored in glass vials with TeflonTM-lined 260 261 caps and transported to the Water Sciences Laboratory, University of Nebraska, USA for elution

and analysis of pesticide residues. After spiking the extract with internal standards listed in the method for water samples, the extracts were evaporated to dryness, spiked with 50 μ L of 1.0 ng/ μ L internal standard mix, and completely evaporated under dry nitrogen in borosilicate culture tubes. The residue was dissolved in 50 μ L high purity methanol and mixed with 200 μ L purified (distilled deionized, organic free) reagent water and analyzed for neonicotinoid insecticides and organophosphate insecticides (Table 2).

268 Uptake rates for the neonicotinoids and dimethoate using POCIS were determined in the 269 laboratory using procedures detailed previously (Bartelt-Hunt et al., 2011). Briefly three POCIS 270 were suspended in stirred 2-liter aqueous solutions spiked with 5 μ g/L of all compounds in 271 pH=7.0 buffered reagent water. A fourth stirred solution without POCIS was stirred and used a 272 control. Fifty milliliter portions of each solution were removed at the beginning of the uptake experiment and after 1 day, 3 days, 7 days and 14-days of exposure to the POCIS device. The 273 274 aqueous concentration was measured in each solution and the observed decrease in the aqueous 275 concentration of neonicotinoids over time was modeled by using first-order kinetics based on the 276 following equation:

277

$$\mathbf{C}_{\mathbf{w}}(\mathbf{t}) = \mathbf{C}_{\mathbf{w}}(\mathbf{0}) \exp[-\mathbf{k}\mathbf{t}]$$
(1)

where $C_w(t)$ is the aqueous concentration at time t; $C_w(0)$ is the aqueous concentration at time 0; and k is the rate constant. The value of k was determined from the natural logarithm of the slope of the change in water concentration over the exposure time. The POCIS uptake rate (R_s) was calculated as:

$$\mathbf{R}_{s} = \mathbf{k}_{U} \mathbf{V}_{T} \qquad (2)$$

| 283 | where V_T was the total volume of the water in the container. Volume changes in the |
|-----|--|
| 284 | beakers due to sampling during the sampling events were considered by adjusting the values of |
| 285 | V _T . Uptake rates were calculated using all data created over the 14 days exposure (Table S9). |
| 286 | 2.4. Use and processing of continuous low-level aquatic monitoring (C.L.A.M.) samplers |
| 287 | The C.L.A.M. sampler devices were outfitted with HLB-H Disks (#A50-HLB-H) and |
| 288 | used as described by the manufacturer (C.I. Agent StormWater Solutions, Louisville, KY USA). |
| 289 | Total sample volumes at each location were calculated by estimating total flow through the |
| 290 | C.L.A.M. over the extraction period per manufacturer recommendation. The C.L.A.M. (#HLB-H |
| 291 | Disk A50-HLB-H) samplers were processed for the analytes listed in Table 2. Elution followed |
| 292 | modified manufacturer protocols by slowly passing 50 mL of methanol through the disk |
| 293 | followed by 50 mL of methylene chloride directly into a 150 mL RapidVap N2 glass vial |
| 294 | (Labconco Corp, Kansas City, MO USA). The resultant mixture was spiked with 5,000 ng each |
| 295 | of ${}^{13}C_3$ - atrazine, ${}^{13}C_3$ -deethylatrazine (DEA) and ${}^{13}C_3$ -deisopropylatrazine (DIA), and 2000 ng |
| 296 | of terbuthylazine and butachlor surrogates, and then evaporated completely at 40°C under |
| 297 | nitrogen. The concentrated residue was dissolved in hexane, transferred to 300 μ L silane-treated |
| 298 | glass insert for analysis of pesticides by full scan GC/MS (Cassada et al., 1994). Instrumental |
| 299 | conditions are the same as those listed for herbicide and organophosphorus insecticides |
| 300 | determined in grab samples. |
| 301 | 2.7. Risk Assessment |

A preliminary ecotoxicological risk assessment for DDT and γ-HCH concentrations in
river water samples was calculated using the hazard quotient (HQ) equation (3), based on
USEPA guidelines (USEPA, 1998):

$$HQ = \frac{MEC}{PNEC}$$
(3)

306 where MEC = maximum reported environmental concentration, and PNEC = predicted no-effect

307 concentration. The PNEC values were obtained from previously published methods

308 (Chakraborty et al., 2016; Chen et al., 2020; Zeng et al., 2018) for five species in five groups in

309 different trophic levels -. zooplankton, phytoplankton, mollusc, insects, and fishes. HQs were

310 determined for each sampling site to characterize risk throughout this stretch of river.

Potential risk from organochlorine pesticide exposure in sediments to ecological integrities was evaluated by comparing the mean concentrations of detected pesticides in the sediment with the threshold effect level (TEL) and probable effect level (PEL) given by the Canadian Council of Ministry of the Environment Guidelines (CCME, 2006.) and effect range of low (ERL) level mentioned in other studies (Long et al., 1998; Yang et al., 2013). Potential toxicity in the mixture was estimated in terms of sediment quality guideline quotient (SQGQ) reported in several papers (Long and MacDonald, 1998; Wang et al., 2017).

318
$$SQGQ = \sum_{x=1}^{n} \frac{PELQx}{n} \quad (4)$$

319 where,
$$PELQx = \frac{Cx}{PEL}$$
 (5)

where, *PEL* is the guideline value for contaminant "x", C_x is the measured concentration of the same contaminant, and "n" is the number of contaminants for which sediment guidelines are available. SQGQ values < 0.1 indicates no effects; $0.1 \le SQGQ < 1$ indicates moderate effects and SQGQ ≥ 1 , high adverse biological effects (Costa et al., 2011).

324 3. Results and discussion

A summary of pesticide residues detected is presented in Table 4. Even with the large number of target compounds measured, the number of detections and relative concentrations is remarkably low given the agriculturally intensive history of this region. Residues of current use and legacy chlorinated pesticides were detected in grab samples, bottom sediment and passive 329 sampling devices. The highest concentrations were found among legacy pesticide residues in 330 both the water column and sediment samples. Monthly recorded precipitation was highest Zhetysay, KZ located 10 km south of Shardara reservoir (Figure 1) in May ~24 mm and dropped 331 332 to 1 mm or less for June through October. Discharge at Site 1 was highest in May and averaged 333 167 m³/sec during this period (Supplemental Information). Based on recorded precipitation and 334 discharge measurements, June samples should correspond periods when instream concentrations 335 are from precipitation triggered run-off, while August and October samples would be more 336 characteristic of irrigation water supplied pesticides (Figure S1).

337 *3.1. Legacy pollutants in the Syr Darya*

Lindane were detected in nearly all of the surface water grab samples at concentrations 338 339 ranging from 0.014 μ g/L to 0.24 μ g/L. The average (±SD) lindane concentration across all 340 sampling dates was $0.130\pm0.06 \mu g/L$ and the highest concentrations were measured in the June 341 samples (Table 4). The organochloride insecticide aldrin and its metabolite dieldrin were 342 detected in grab samples collected in August and October at concentrations ranging up to 0.37 μ g/L. Sediment samples contained residues of p,p'-DDE at concentrations ranging from 0.18 to 343 2.45 ng/g upstream and downstream (give the sampling locations) of the Shardara Reservoir, 344 345 and trifluralin at 0.20 ng/g upstream of the reservoir (Site 1) and at 0.14 ng/g in the reservoir 346 grab sample (Site 2), both collected in June (Table 4). Other chlorinated pesticides, including 347 heptachlor and p,p'-DDT, as well as the hexachlorohexane isomers (α -HCH and β -HCH) 348 typically found as manufacturing impurities were not detected in any grab samples. 349 **Table 4.** Detected pesticide concentrations measured from the Syr Darya sampling sites. POCIS

able 4. Detected pesticide concentrations measured from the Syr Darya sampling sites. POCIS
 concentrations represent time-weighted average concentration determined from the mass (±
 standard deviation) of three POCIS deployed at each site. "ND" = "Not Detected".

| Pesticide | Month | Site 1 | Site 2 | Site 2X | Site 3 | Site 4 | Site 5 |
|-----------|-----------|-----------|-------------|---------|--------|--------|--------|
| Grab S | | b Samples | - Water (µg | /L) | | | |
| Lindane | June 2015 | 0.16 | 0.17 | 0.24 | 0.18 | 0.21 | 0.17 |
| Lindane | Aug 2015 | 0.09 | ND | ND | ND | ND | ND |

| | Oct 2015 | 0.18 | 0.10 | 0.014 | 0.09 | 0.06 | 0.08 |
|------------------|-----------|---------------|--------------|-------------|-------------|-------|-----------------|
| | June 2015 | ND | ND | ND | ND | ND | ND |
| Dieldrin | Aug 2015 | ND | ND | 0.07 | ND | ND | ND |
| | Oct 2015 | 0.14 | 0.27 | ND | 0.37 | ND | 0.23 |
| | June 2015 | ND | ND | ND | ND | ND | ND |
| Aldrin | Aug 2015 | ND | ND | ND | ND | ND | ND |
| | Oct 2015 | ND | ND | 0.10 | ND | ND | ND |
| | June 2015 | ND | ND | ND | ND | ND | ND |
| Imidacloprid | Aug 2015 | ND | ND | 0.008 | ND | ND | ND |
| | Oct 2015 | 0.013 | ND | ND | ND | ND | ND |
| | June 2015 | ND | ND | ND | ND | ND | ND |
| Dimethoate | Aug 2015 | ND | ND | ND | ND | ND | ND |
| | Oct 2015 | 0.006 | ND | ND | ND | ND | ND |
| | | Grab Sar | nples - Bott | om Sedime | nt (ng/g) | | |
| | June 2015 | 2.45 | ND | ND | 0.52 | ND | ND |
| <i>p,p</i> '-DDE | Oct 2015 | 1.49 | ND | 0.19 | 0.18 | ND | ND |
| | June 2015 | 0.20 | 0.14 | ND | ND | ND | ND |
| Trifluralin | Oct 2015 | ND | ND | ND | ND | ND | ND |
| | P | OCIS - time-w | eighted ave | rage concer | ntrations (| ng/L) | |
| Atrazine | June 2015 | 1.11±0.7 | | | | | 1.19 ±0.8 |
| Acetamiprid | June 2015 | 0.18±0.1 | | | | | ND |
| Dimethoate | June 2015 | 0.74±0.1 | | | | | 0.36±0.1 |
| Imidacloprid | June 2015 | 1.15±0.1 | | | | | ND |
| Metolachlor | June 2015 | 0.476 (J) | | | | | 0.722 ± 0.5 |

353 *3.2. Current-use neonicotinoid and organophosphorus pesticides*

Imidacloprid was detected in the upstream grab samples at sites 1 and 2X in October and
August, respectively, while dimethoate was detected at site 1 in October (Table 4). These

insecticides are comparatively polar and mobile with relatively short half-lives, suggesting that

they were used in close proximity (temporally and spatially) to the sites where they were

358 detected.

359 3.3. Residues in POCIS and C.L.A.M. sampler extracts

360 The C.L.A.M. samplers used in the June sampling contained trace levels ($\sim 0.05 \ \mu g/L$) of

atrazine at Site 1 and cyprodinil at Site 2, but all other compounds were below detection limits.

362 The absence of residue detections was potentially due to variability in volume of water extracted

at each location, ranging from ~0.5 to 3.8 liters primarily due to the high levels of suspended

solids. The volume extracted is estimated based on the field-measured flowrate and time elapsed

between sampler submersion and removal and this flow rate varies considerably depending on
the battery condition and time until the extraction disk filter frit became plugged. Differences in
concentrations and frequency of detections between C.L.A.M. sampler and other devices or grab
samples has been previously reported (Coes et al., 2014; Ensminger et al., 2017). Generally,
frequency of detection is reported to be higher than those measured from grab samples but
concentrations may be lower. The C.L.A.M. sampler may be very effective for surface water
with low levels of suspended solid but likely has limited application in turbid river water.

Of ~33 pesticide residues monitored, POCIS extracts contained detectable levels of two neonicotinoid insecticides (acetamiprid and imidacloprid), an organophosphorous insecticide (dimethoate), atrazine and metolachlor at Site 1 (Table 4). Three of these compounds (atrazine, dimethoate and metolachlor) were also detected at Site 5 in June. POCIS have been extensively used to quantify the levels of polar organic pollutants like pharmaceuticals, pesticides, and hormones in water as reported elsewhere (Alvarez et al., 2007; Arditsoglou and Voutsa, 2008; MacLeod et al., 2007; Sellin et al., 2009).

379 Passive sampling provides low detection limits and ability to integrate over long sampling times which is good incentive for their use in toxicological studies (Alvarez et al., 380 381 2008; Kolok et al., 2014; Sellin et al., 2009). The results of the POCIS uptake rate experiments, 382 provided in the supplemental materials (Table S9), were used to convert pesticide mass 383 recovered to time weighted average concentrations during the 7-day exposure period in June 384 2015 (Table 4). Sampling uptake rates for neonicotinoids were found to vary between 0.24 to 0.76 L/day excluding dinotefuran, which showed almost negligible uptake to the POCIS. Low 385 386 uptake for this compound is likely due to the fact that this compound is a weak base (pKa= 12.6) and high water solubility (54,300 mg/L). Linear rates of uptake rates (r^2 between 0.6 to 0.9), 387

388 observed for acetamiprid, chothianidin, imidacloprid, metalaxyl, thiacloprid and thiamethoxam 389 (Table S9), permitted estimation of average concentrations in the river where residues were 390 detected in POCIS. Estimated time weighted average (TWA) concentrations were below 0.005 391 μ g/L. Higher TWA levels were measured in the POCIS deployed upstream from the Shardara 392 Reservoir (Figure 1). None of the herbicides, pyrethroids, traditional organophosphorous 393 insecticides, or fungicides were detected in the grab samples.

394 *3.4 Occurrence and Potential Sources of Legacy Pesticides*

395 Lindane was detected in the water column but was not measurable in sediment samples, 396 suggesting that this pesticide may have entered the water upstream via irrigation return flow. 397 Over 80% of the total land area upstream of the Shardara Reservoir is located in the Ferghana 398 Valley of Uzbekistan and is devoted to intensive cotton agriculture. It has been reported that 399 banned pesticides may continue to be used in these remote intensively agricultural areas 400 (Wegerich et al., 2015). Estimated flux at Site 1 near the border between Uzbekistan and 401 Kazakhstan of lindane is similar in June and October 2015, while dieldrin and imidacloprid is 402 higher in October (Table S10). The concentrations of lindane and dimethoate in the water 403 column are remarkably similar to those reported in the 1990s (Kimstach et al., 1998) and the 404 chronic occurrence of lindane is a concern for both aquatic organisms and human health, 405 particularly given that lindane has been classified as a Group I carcinogen (Loomis et al., 2015). 406 Food crops such as potatoes and melons are produced in irrigated fields downstream of the 407 Shardara Reservoir and presumably use water diverted from the Syr Darya (Figure 1). Occurrence of legacy pesticides in irrigation water may also affect food quality, as a recent study 408 409 of pesticide residues in crops from northern Kazakhstan reported detectable levels of pesticides 410 including DDT, γ -HCH, aldrin, and diazinon (Lozowicka et al., 2013).

411 The ranges of lindane concentrations in samples from the Syr Darya are comparable to

412 those previously reported in other agriculturally contaminated rivers around the world (Table 5).

| Table 5. Reported initiale concentrations in river samples from in Asia and Arrica. | | | | | |
|---|------------|------------------|-------------|----------------------------|--|
| River Country | | Range (µg/L) | Mean (µg/L) | Reference | |
| Syr Darya | Kazakhstan | 0.014 - 0.240 | 0.187 | This study | |
| Chenab | Pakistan | 0.0011 - 0.08 | 0.025 | (Eqani et al., 2012) | |
| Kucuk Menderes | Turkey | ND-0.398 | 0.198 | (Turgut, 2003) | |
| Huihe | China | 0.0002 - 0.00377 | 0.002 | (Feng et al., 2011) | |
| Beijing | China | 0.0002 - 0.00371 | 0.007 | (Jiawei et al., 2008) | |
| Niger | Africa | 0.015-0.0468 | 0.029 | (Unyimadu et al., 2017) | |
| Gomti | India | ND- 0.0634 | 0.008 | (Malik et al., 2009) | |
| Yamuna | India | 0.0001 - 0.165 | 0.120 | (Kumar et al., 2012) | |
| Ghaggar | India | ND - 0.0487 | 0.005 | (Kaushik et al., 2008) | |
| | | | | (Khuman and Chakraborty, | |
| Hooghly | India | 0.003-0.5 | 0.123 | 2019) | |
| Brahmaputra | India | ND -0.014 | 0.006 | (Chakraborty et al., 2016) | |

413 Table 5: Reported lindane concentrations in river samples from in Asia and Africa.

414

| 415 | Mean lindane concentrations in Syr Darya are among the highest reported for rivers of |
|-----|--|
| 416 | China, Pakistan, India, and Africa (Table 5). Comparable γ -HCH concentrations have been |
| 417 | reported in the Kucuk Menderes River in Turkey (Turgut, 2003) and the Yamuna (Kumar et al., |
| 418 | 2012) and Brahmaputra (Chakraborty et al., 2016) in India. Banned organochlorine pesticide |
| 419 | residues have also been reported in surface water from a national park in Turkey (Turgut et al., |
| 420 | 2010). The elevated concentrations in the Syr Darya suggests that despite the ban on legacy |
| 421 | POPs some of these organochlorine pesticides may continue to occur in the riverine environment |
| 422 | of Kazakhstan. Recent reviews suggests that residues of these persistent organochlorine |
| 423 | pesticides are widespread throughout Asia (Ali et al., 2014) and there is also growing evidence |
| 424 | for continued use of banned pesticides as well as atmospheric recirculation throughout areas of |
| 425 | high use in the south Asian riverine environment (Chakraborty et al., 2016). In addition to |
| 426 | replenishment form irrigation return flow from fields with previously contaminated soils, a |
| 427 | possible mechanism for delivering these compounds in the Syr Darya could be from long range |
| 428 | atmospheric recirculation and deposition (Ali et al., 2014). |

429 Snowmelt has been found to contain substantial quantitaties of lindane in the Russian 430 Arctic and is thought to contribute substantially to dissolved pesticide loading in these nonagricultural regions (Hoferkamp et al., 2010). As in other areas of Central and southern Asia 431 432 (Ali et al., 2014), snow accumulation in the Tian Shen mountains facilitates deposition of a wide 433 variety of semi-volatile organochlorine chemicals in this region including γ -HCH. Significant 434 concentrations of these chemicals are often released from melting snowpack (Meyer et al., 2011) 435 and concentrations have been previously reported to peak during snowmelt in late spring, as 436 observed in this study. Similarly, measurable concentrations of both legacy and current-use 437 pesticides have also been reported in snowmelt from the western U.S. (Hageman et al., 2006). It 438 seems increasingly apparent that studies of residual contamination of surface water must 439 consider atmospheric deposition as potential source. Repeated detection of dieldrin in the Syr 440 Darya water column in October is consistent with its greater persistence in soils compared to 441 aldrin (Chakraborty et al., 2016) and likely attributed to inputs from irrigation return flows.

442 *3.5 From chemical concentration to risk assessment.*

The relative risk for aquatic organisms can be estimated from the detected chemical
concentrations using the hazard quotient (equation 3), or "HQ" discussed previously. Using
literature values, it is likely that phytoplankton and zooplankton are at a greatest risk from
measured concentrations of lindane and DDT (Figure 2) than are other organisms, including fish
and other vertebrates. This observation is consistent with the reports from the lower stretch of
Ganga (Khuman and Chakraborty, 2019) and Brahmaputra (Chakraborty et al., 2016) rivers in

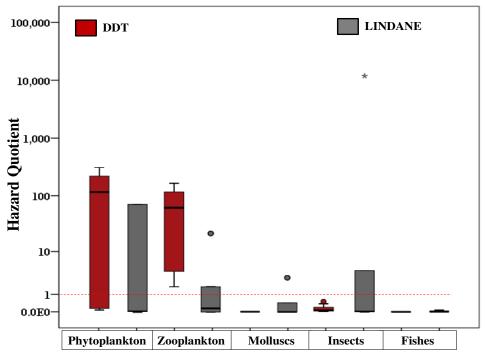


Figure 2. Box and whisker plot showing the ranges of Hazard Quotient values based on measured DDT and lindane concentrations in the Syr Darya.

India and in Pakistan (Ali et al., 2014) in south Asia where these organochlorine pesticides have
been extensively used not only for agricultural purpose but also for vector control. Similarly,
central Asia has a history of heavy usage of these pesticides leading to accumulations in
upstream soils and glacier snowmelt that feed the Syr Darya.

453 Most measured pesticide concentrations were below the detection limits in sediment 454 samples, and this observation is surprising considering that the legacy chlorinated pesticides are 455 hydrophobic. However, the occurrence of measurable concentrations of p,p'-DDE in samples 456 collected from Site 1 during June and October, might pose some risk to the ambient biota. SQGQ 457 ranged between 0.1-1 for Site 1 during June and October 2016, indicating moderate biological 458 effects. At 2X, the SQGQ value during October is less than 0.1. At Site 3, the June SQGQ values 459 were between 0.1-1 indicating moderate biological effects but during October month, the SQGQ 460 was less than 0.1 indicating no effects.

462 *3.6 Regulatory framework---POPs convention in Central Asia*

463 The collapse of the former Soviet Union in 1991 led to widespread abandonment of 464 agricultural land use and crop production in Central Asia. In some areas of northern Kazakhstan, 465 for example almost 45% of the intensive cropland reverted to grassland by the year 2001 466 (Kraemer et al., 2015). Since then, cropland area is slowly increasing throughout much of Central Asia mostly in previously intensive regions like the Syr Darya basin. According to the 467 468 Food and Agriculture Organization statistics, pesticide use in Asia has almost doubled since 469 1992 and averaged between 3.5 and 4.0 kg/ha of cropland as of 2014 (WHO, 2020). Asia and 470 the Americas lead the world in global use of pesticides for crop production. Pesticide use in 471 some regions of Central Asia may still include application of persistent organic pollutants 472 (POPs) banned under the Stockholm Convention. Stockpiles, and potentially illegally 473 manufactured pesticide have undoubtably been used in many parts of Central Asia and some use 474 may continue to be as long as supplies exist or are maintained (Ali et al., 2014; Chakraborty et 475 al., 2016).

During an inventory of obsolete pesticides carried out in 2001 prior to Kazakhstan 476 477 signing the Stockholm Convention, the country estimated that it had on hand approximately 621 478 tons of unusable products. Currently, pesticide stockpiles and waste materials from 479 manufacturing may be stored unsecured in many remote areas. In the 1990s the government of 480 Kazakhstan has banned the sale of many pesticides including lindane and DDT (Kraemer et al., 481 2015). Accumulated stockpiles of POPs in the Republic of Kazakhstan at the time of the report 482 was estimated at 15.5 tons, including ~0.5 tons of DDT (East Kazakhstan oblast). In 2002, 105 483 tons of unwanted pesticides were disposed (buried) in the East Kazakhstan oblast (Kazakhstan,

484 2009), including 0.5 tons of DDT. Mixtures of pesticides of unknown composition constitute 485 72.0% of the total number. HCH isomers and lindane were reportedly not manufactured in 486 Kazakhstan, but likely included among imported pesticides and stockpiles. According to the 487 Ministry of Agriculture, HCH was not used in the territory of Kazakhstan, however a recent 488 study reported high concentrations of organochlorine pesticides, including residues of DDT and 489 HCH, in soils around a former storage facility in eastern Kazkahstan (Sailaukhanuly et al., 2016). 490 These results suggest at the very least that lindane has been used or accumulated in soils 491 upstream. Regular monitoring of POPs is not conducted in Kazakhstan or Uzbekistan and 492 upstream use or run-off of previous use may impact water quality across its border. A lack of 493 resources will likely inhibit the monitoring of POPs in the environment as well improving our 494 understanding of the impact of POPs on the local environment and the health of the local 495 residents.

496 **4.0 Conclusions**

497 The Aral Sea remains one of the most noteworthy hydrological and ecological case 498 studies in the world, yet little has been published about its current condition and future prospects. 499 Passive and grab sampling can help provide a snapshot of modern and legacy pesticide residue 500 concentrations and risks to aquatic organisms in remote watersheds such as the Sy Darya. This 501 study provides some insight into the occurrence and concentrations of pesticides in the Syr 502 Darya. Low concentrations of legacy pesticides, such as lindane and DDT residues occur and 503 could be the result of upstream use but could also be from recycled legacy contamination either through atmospheric deposition or runoff via current irrigation practices. Low concentrations of 504 modern pesticides, such as imidacloprid, also occur early in the irrigation season and may affect 505 506 suitability of river water for other purposes.

| 507 | This work illustrates the possibilities and difficulties of working in remote environments, |
|-------------------|---|
| 508 | and while environmental contamination can be readily assessed by analyzing grab and integrated |
| 509 | samples, the toxicological impacts of those chemicals may be difficult to ascertain. Many indices |
| 510 | that may better convey the significance of concentration measurements, such as the HQ, |
| 511 | incorrectly imply that it is relatively simple to assess toxicity across trophic levels. The |
| 512 | robustness of those estimates, as well as the associated risk assessment, are in question and still |
| 513 | remain to be empirically demonstrated. Future studies of current surface water quality, and the |
| 514 | toxicological impacts of chemicals from past and present agriculture in this region is needed to |
| 515 | ensure long term sustainable development. Finally, contamination from past and present use of |
| 516 | banned pesticides should be considered in any ecotoxicological assessment of this region. |
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