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4 **Mitigating drought impacts in remote island atolls with traditional water usage**
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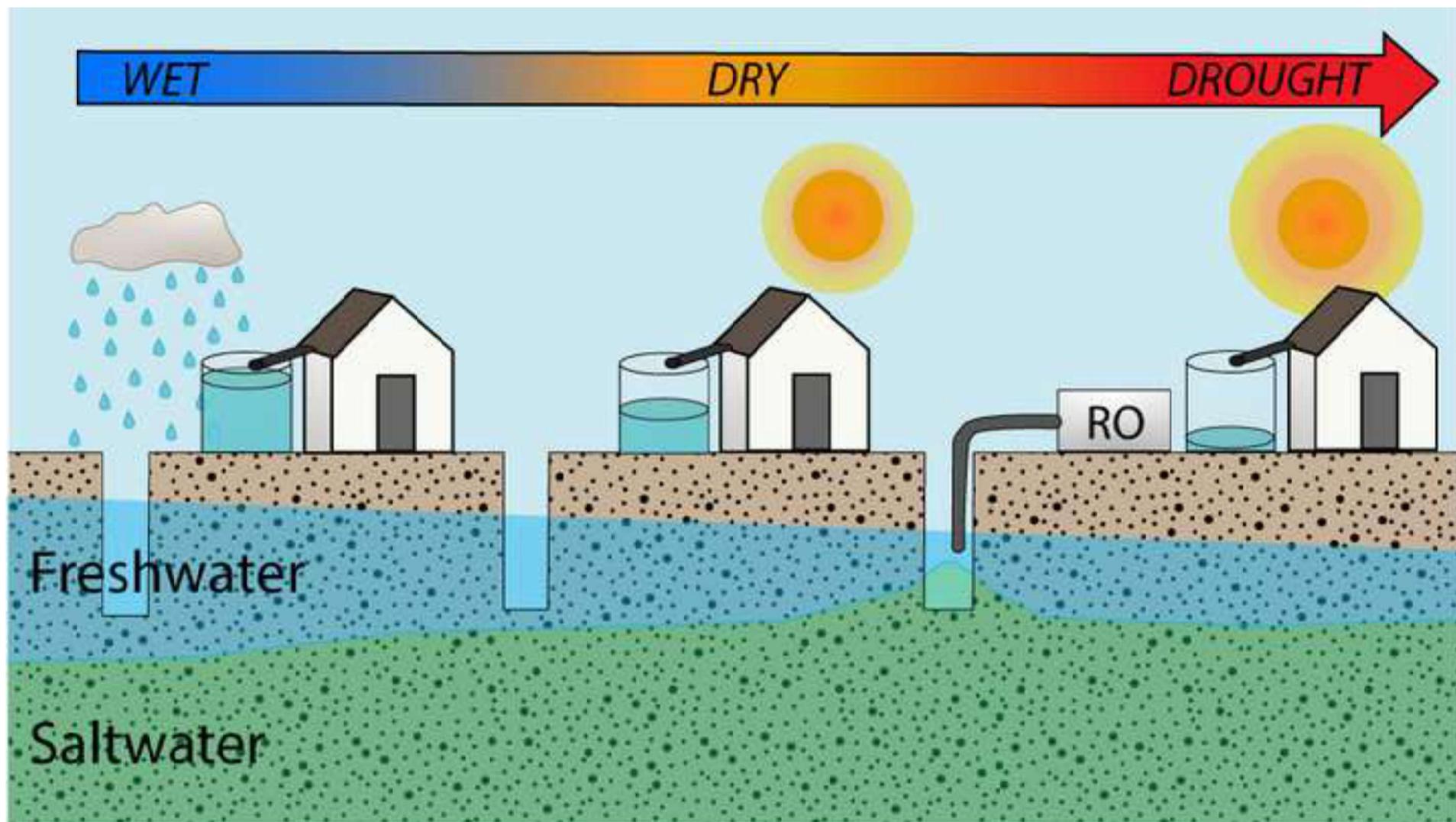
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46 **Highlights:**
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 - Small island states are among the most vulnerable to drought and climate change.
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 - Cycling of multiple household water sources increases resilience to drought.
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 - Austere usage behaviors conserve rainwater for drinking during drought.
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 - Emergency water created using reverse osmosis presents a hazard to groundwater.
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- Small island states are among the most vulnerable to drought and climate change.
- Cycling of multiple household water sources increases resilience to drought.
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21 **Abstract**

22 Adaptation to drought is particularly challenging in the Republic of the Marshall Islands (RMI),
23 a nation of 55,000 populating 29 low-lying coral atolls spread over >2 million km². Household
24 surveys (n=298) and focus group discussions in eight RMI communities revealed that 86% of
25 households have experienced drought and 88% reported using multiple water sources to meet
26 regular household needs. With no surface water and a thin freshwater lens (FWL), rainwater
27 collected from rooftops is the most common household water source. The traditional use of
28 carved hollows in the base of coconut trees to collect rainwater (“Mammaks”) appears to have
29 been displaced by large rainwater tanks. However, rationing of rainwater for consumption only
30 during drought was widely reported, with private wells supporting non-consumptive uses.
31 Reverse osmosis (RO) desalination units have provided relief during drought emergencies but
32 concerns have been raised around dependency, maintenance challenges, and loss of traditional
33 water practices. Most notably, RO use has the potential to adversely affect the FWL; 86% of RO
34 units were installed at central island locations where excessive pumping can cause upconing,
35 making the FWL brackish. Balancing the introduction of desalination technology to mitigate
36 drought with maintenance of traditional water conservation practices requires further
37 investigation.

38 **Keywords:** Adaptation, Marshall Islands, multiple water source use (MWSU), Pacific Island
39 Countries (PICs), reverse osmosis.

40 **1 Introduction**

41 Small-island developing states (SIDS) are particularly vulnerable to many of the hazards
42 associated with water and climate change, including drought, sea-level rise and interannual

43 variability in precipitation (UN-OHRLLS, 2011). Low-lying coral atolls are particularly
44 susceptible to these hazards as there is typically no surface water and only a thin freshwater lens
45 (Werner, Sharp, Galvis, Post, & Sinclair, 2017). The Republic of the Marshall Islands (RMI) is
46 one of many SIDS with a substantial fraction of the population living on low-lying atolls. Many
47 of these RMI atolls have also suffered from a number of extended droughts in the last 40 years,
48 including the devastating El Niño driven drought of 1982-83 in the Western Pacific (van der
49 Burg, 1986) and more recent droughts in 1998, 2013 and 2015 (Barkey & Bailey, 2017; Presley,
50 2005).

51 While there is widespread interest in the effects of climate change and adaptation options
52 for low-lying and remote atolls, adaptations to date have been largely reactive and short-term
53 (McCubbin, Smit, & Peace, 2015). Independent global climate change models agree that El Niño
54 and La Niña events are expected to continue, but the frequency and severity of corresponding
55 droughts and floods is still unclear (Hoegh-Guldberg et al., 2018; PACCSAP, 2014). There is
56 also a shortage of household water management research in SIDS because field work is often
57 cost-prohibitive, time-consuming and logistically challenging. Therefore, the conclusions drawn
58 from the data reported in this paper for eight RMI communities are not only relevant to drought
59 management for the rest of the island-nation, but also contain lessons generalizable to other
60 SIDS, and capable of addressing knowledge gaps that are otherwise likely to remain.

61 The physical geography of RMI greatly complicates the logistics of preparing for and
62 responding to drought. The island nation population of 53,000 is spread across 29 coral atolls
63 and more than 1,000 islands of the North Pacific. The atolls form two parallel island chains:
64 Ratak (meaning Sunrise) to the east, and Ralik (meaning Sunset) to the west, and form part of the
65 Micronesian island cluster. The greatest concentration of people live in the inner islands, namely

66 Majuro, the capital with 28,000 people, and Ebeye, a densely populated urban island home to
67 15,000 people. However, it is the small rural communities of the outer islands scattered
68 throughout the two million square kilometers of equatorial Pacific Ocean of RMI territory that
69 present the greatest challenge to a centralized drought response. Nearly all of these communities
70 practice traditional lifestyles and have very few modern technologies. The distances between
71 islands, the high cost of travelling between them and the challenges associated with inter-island
72 communication places these communities in charge of their own drought response until external
73 help arrives. Freshwater from abundant and predictable rainfall is key to survival on these low-
74 lying coral atolls.

75 Household use of multiple water sources is widespread in many low and middle income
76 country settings where affordable access to a single convenient and reliable source of high-
77 quality water is unavailable (Almedom & Odhiambo, 1994; Coulibaly, Jakus, & Keith, 2014;
78 Elliott et al., 2019; Foster & Willetts, 2018; Howard, Teuton, Luyima, & Odongo, 2002). In
79 RMI, a country where drought is extremely common, two sources of rainwater sustain the vast
80 majority of communities throughout the year: deliberate harvesting and storage of rainwater in
81 tanks receiving roof runoff; and the natural storage of rainwater in a freshwater lens (FWL).
82 Rainwater harvesting occurs both at individual household or private scale and also at a more
83 organised, shared and public scale (Elliott et al., 2017). The FWL retains rainwater in the
84 islands' semi-permeable substrate of coral sands and gravel, overlaying a denser layer of
85 seawater and a platform of reef-limestone (Chui & Terry, 2013; White & Falkland, 2009). Both
86 sources depend on rainfall for recharge and can be depleted if use is not prudently managed, if
87 extended dry periods or more extreme drought conditions occur, or if both poor use and dry
88 conditions overlap.

89 The largest source of freshwater on RMI atolls is stored in the FWL. Rainfall is critical to
90 its recharge, while island size, shape and topography determine the maximum volume of water
91 that can be stored (White & Falkland, 2009). Islands to the northwest have the lowest recharge
92 rates and average annual rainfall decreases across the region from the southeast to the northwest
93 (Barkey & Bailey, 2017). For the two locations where long-term precipitation data are available,
94 Enewetak in the northwest receives around 150 cm per year which is less than half that received
95 by Majuro in the southeast. Smaller atolls are disproportionately limited in their ability to store
96 water, because the relationship between island area and freshwater lens capacity is non-linear,
97 such that an island half the size of another will have less than 50% the volume of freshwater
98 (Chui & Terry, 2013). As 70% of rainfall occurs during the wet season from May to November,
99 proper management of abstraction from the FWL can be important for the water stored to last
100 through the dry season (Gingerich, Voss, & Johnson, 2017). With climate change leading to
101 greater uncertainty in the future frequency and severity of drought in the Pacific, improved
102 understanding of water management options during drought and their implications for the
103 sustainability of FWLs is essential.

104 It has been estimated that the FWLs of more than half of all populated RMI islands were
105 completely depleted during the El Niño driven drought of 1998, conditions that were repeated in
106 the drought of 2015 (Barkey & Bailey, 2017). The quantity and quality of water stored within the
107 freshwater lens depends on a delicate balance between consumptive uses, climatic, and
108 hydrogeological factors. Under normal circumstances, water loss through evapotranspiration is
109 more than half of annual rainfall (White & Falkland, 2009). In response, management of aquifer
110 recharge on some islands includes the removal of natural vegetation to reduce loss by plant

111 transpiration and increase freshwater supply in the FWL (Hejazian, Gurdak, Swarzenski, Odigie,
112 & Storlazzi, 2017).

113 Over-extraction poses a threat to the FWL when excessive pumping from boreholes or
114 dug wells increases the salinity of the FWL through up-coning, drawing brackish water towards
115 the surface from the transition zone (White & Falkland, 2009). Three decades of hydrogeological
116 data on Bonriki atoll in Kiribati revealed that it can take a FWL more than two decades to
117 recover from prolonged over extraction (Post, Bosserelle, Galvis, Sinclair, & Werner, 2018).
118 Infiltration galleries, sometimes called “skimming wells”, are a technological adaptation to dug
119 wells where a horizontal system of slotted plastic pipe is laid in a trench below the water table.
120 They are designed to reduce up-coning and the ingress of seawater into the FWL by distributing
121 the pumping over a wider area (Falkland, 1992).

122 The majority of RMI communities rely heavily on stored rainwater throughout the year;
123 and during the dry season use of stored rainwater is restricted to consumptive uses (Elliott et al.,
124 2017). Such conservation of rainwater has been documented in other SIDS to sustain
125 communities through the dry season or drought (Bailey, Barnes, & Wallace, 2016), likely due to
126 a lack of alternative water sources. However, increasingly unpredictable rainfall during the wet
127 season makes rainwater harvesting less reliable as a drought mitigation strategy. The
128 Intergovernmental Panel on Climate Change (IPCC) predicts with high confidence that global
129 warming will amplify the frequency of extreme El Niño and La Niña events with corresponding
130 increases in the number of severe floods and droughts in SIDS (Hoegh-Guldberg et al., 2018).
131 Despite the large volumes of storage tanks in RMI, between 800 and 3000 litres per household
132 (Elliott et al., 2017), these are likely to be insufficient to sustain communities through severe
133 droughts that are documented to last up to 44 months (White & Falkland, 2009).

134 Rising sea levels independently increase the vulnerability to RMI communities
135 experiencing drought with the risk of king tides and wave-driven coastal flooding. Seawater
136 flooding from tropical storms and wave inundation can damage rainwater storage infrastructure
137 and contaminate the FWL. The combined risk of drought and coastal flooding is extremely
138 hazardous to remote communities. It takes 22 to 26 months for a freshwater lens to return to
139 equilibrium after an overwash event under normal rainfall conditions (Gingerich et al., 2017;
140 Terry & Falkland, 2010); and 3 to 6 months for groundwater to return to acceptable salt
141 concentrations (Bailey & Jenson, 2014). The IPCC predicts with high confidence that the long-
142 term risks of coastal flooding and its impacts on infrastructure and populations in SIDS will
143 increase as a result of rising sea levels and reef degradation caused by higher temperatures
144 (Hoegh-Guldberg et al., 2018).

145 The simplistic characterization of RMI communities as inherently vulnerable to drought
146 and climate change overlooks the survival of these communities under dry and periodic drought
147 conditions for millennia, such as the Medieval Warming Period between 750 and 1250 AD. For
148 example, Nunn et al. (2017) postulate that exchange networks and production surpluses
149 developed during this period in Micronesia out of necessity, as did the production of storm-proof
150 foods, broadening diets and strategies that allowed damaged/overutilized production systems to
151 recover. In the past, RMI households sometimes limited themselves to two-child families to
152 control village populations and ensure that they did not exceed what was believed to be
153 sustainable (Spennemann, 2009). The Marshallese culture of boiling fish in seawater which
154 conserves freshwater is still common (Elliott et al., 2017). Traditional knowledge – the skills,
155 philosophies and understandings of a society developed through a long history of interacting
156 with their environment (de Coninck et al., 2018) – is essential for any climate adaptation policy

157 or drought impact mitigation strategy (Berkes, Colding, & Folke, 2000; McNamara, 2013;
158 McNamara & Prasad, 2014). However, many communities express demand for modern
159 technologies (e.g., reverse osmosis for desalination) to assist in adaptation to adverse climatic
160 conditions.

161 In this paper, we utilize in-depth survey and focus group data to report on the diverse
162 approaches employed to mitigate drought impacts in RMI, including the strategic use of multiple
163 household water sources, other traditional practices, and desalination using reverse osmosis.
164 Research in remote SIDS is inherently challenging due to their remoteness, the vast distances
165 between islands and the expense associated with travel to these isolated communities. Therefore,
166 our dataset comprised of eight RMI communities spanning nearly 8,500 nautical miles, with
167 between 35% and 100% of community households surveyed represents an unusually rich sample
168 size for the context. We employ both quantitative and qualitative data and discuss the implications
169 of our findings for SIDS and other drought-prone developing countries around the world. Our
170 objectives were to describe and provide novel insights into: (1) changes in the number and type
171 of household water sources and their uses between drought and regular seasonal usage; (2) the
172 roles of rainwater and groundwater in household water management during drought; and (3) the
173 use of modern and traditional drought mitigation strategies in RMI.

174

175 **2 Materials and Methods**

176 The household survey was adapted from a questionnaire used to investigate multiple
177 water sources and uses within households (Whittington, 2000). We expanded the survey to
178 incorporate location of use, and the impact of drought on household water management. The

179 augmented survey was long and complex, employing multiple skip patterns and grid pattern
180 response recording, so the survey process was streamlined by developing a digital version using
181 SurveyCTO (MacDonald et al., 2016).

182 Three local enumerators in RMI attended three full days of training and practical
183 exercises, covering diverse topics including informed consent, operational definitions, question
184 understanding, survey structure and use of the digital survey tool. After training the survey was
185 field tested and improvements made to increase question clarity and facilitate delivery.

186 Eight RMI communities were selected for study following recommendations from the
187 Marshall Islands Water, Sanitation and Hygiene (WaSH) committee, a group of stakeholders
188 composed of senior officials from government, public works, local NGOs, the International
189 Organization of Migration (IOM), and the United Nations where access to acceptable drinking
190 water sources had been challenged by recent droughts (either through perceived contamination or
191 loss of access); and that were from different parts of RMI to best represent variability within the
192 country (Supplemental information Figure S1).

193 Household (HH) surveys and focus groups (n = 16) were conducted in the selected
194 communities (n = 298 HH) between August 2014 and November 2015. In addition to focus
195 groups and surveys, informant interviews explored water availability, distribution and
196 management as well as the impact of extreme weather events with key stakeholders from the
197 RMI government, local NGOs and public works.

198 Quantitative data from the household surveys were coupled with qualitative social,
199 cultural and environmental knowledge gathered through focus group discussions. Male and
200 female participants were consulted through separate focus group discussions in which a
201 participatory exercise elicited responses on where, why and how water was used, and how these

202 'day-to-day' behaviors changed according to the seasonal shifts in water availability and extreme
203 weather events such as drought.

204 Survey data were exported from SurveyCTO (Dobility, Inc.; Cambridge, MA) to
205 Microsoft Excel using the integrated data exporting function. Statistical analysis was conducted
206 in R version 3.3.2 (R Core Team, 2013). Seasonal changes in water source use were tested by
207 paired analysis using McNemar's Test. Correlation within and between consumptive and non-
208 consumptive uses was analyzed by the Phi Coefficient of Correlation and the chi-square score. A
209 principle component analysis (PCA) was performed to explore the similarities (and differences)
210 in how communities use different water sources under different climatic conditions. As part of
211 the analysis, water uses were organized into two groups. Consumptive uses described drinking
212 and cooking, while all other household uses, such as bathing, handwashing, gardening, providing
213 for animals, sharing with neighbours and washing clothes were described as non-consumptive
214 uses.

215 The study was approved by the human research ethics committees of Griffith University
216 (ENV/47/13/HREC) and the University of Alabama (14-OR-425), and the Historic Preservation
217 Office of the Republic of the Marshall Islands (2014-01). Approval was received from local
218 chiefs and the RMI government to conduct research in the selected communities.

219

220 **3 Results and Discussion**

221 Of the eight communities selected, two were located on Majuro (Jenrok, Laura) and
222 considered central island communities by local people. Of the remaining six communities from
223 the outer islands, four were of the Ratak island chain (Arno, Likiep, Ailuk, Wotje), and two

224 were of the Ralik island chain (Lae, Ujae). A summary of household numbers, the coverage
225 relative to total community size and the reported number of household water sources used for
226 each community is presented in the Supplemental Information Table 1.

227

228 3.1 Using multiple water resources to mitigate drought impacts

229 Of the 685 water sources reported by surveyed households as accessible, at least one use
230 was reported for each source. There were 14 water sources (2%) that were reportedly normally
231 unused during the wet season, but were used during the dry season when preferred water sources
232 were exhausted; eight (57%) of these were private wells.

233 Most (84%) households used two-to-three sources at some point during the year. Of the
234 37 households (12%) that reporting using a single water source throughout the year, 36 reported
235 private rainwater and one a private well. The maximum number of sources used was four,
236 reported by eleven households.

237 Over 95% of households reported drinking rainwater in the wet season, with 90% not
238 using any other source for drinking. Rainwater was also the most common source used for
239 cooking, however, during the wet season seawater (34%) and private wells (23%) were also
240 commonly used (Table Ia). During the wet season, most households also used private rainwater
241 and private well water for non-consumptive uses such as bathing, washing hands, and washing
242 clothes (Table Ia). Water was often shared within communities, with 51% sharing their private
243 rainwater and 43% sharing private well water with neighbors at some time during the year.

244 Of the 298 RMI households surveyed, 256 reported having lived through drought at that
245 location. For the purpose of the survey, drought was defined for the respondents as “an

246 exceptionally long dry period (a minimum of 3 months) with little to no rain, during which time
247 many of the island's plants turned brown and died and the leaves fell off the trees.”

248 During drought, the average number of water sources used per household fell to 2.0 (SD
249 0.8) from 2.3 (SD 0.73) during the wet season. Of the 602 sources reported by households that
250 self-identified as having experienced drought, 73 (12%) were either depleted or contaminated
251 and considered unusable during drought. A total of 69 (27%) households reported losing access
252 to at least one water source during drought, with four reporting the loss of two sources. Private
253 rainwater accounted for over 80% of lost sources.

254 For many households on the atolls of RMI the loss of access to stored rainwater presents
255 a major challenge. This is most true for the 36 households that reported private rainwater as their
256 only water source. Of these, eight (22%) experienced the loss of their sole water source, forcing
257 them to turn to an emergency source or to rely on their neighbours. More than half of these
258 households were from Ailuk. They substituted the loss of their single water source, rainwater,
259 with water treated using reverse osmosis (RO). Ailuk, like many of the outer islands of RMI, had
260 been issued a temporary emergency water management solution in the form of a small and
261 portable RO unit, the Spectra Aquifer 360 Watermaker (Katadyn, Lindau, Switzerland), with a
262 maximum capacity of 1,350 liters per day.

269 3.2 The roles of rainwater and well water in household water management during drought

270 The behaviors around water sources and how people use them during times of drought
271 are noticeably different from the mapping of sources to uses during the annual wet and dry
272 season cycle (Table I). During drought, there was a significant decrease (-21 percentage points)
273 in the drinking of private rainwater ($p < 0.001$), with only 72% of households reporting sufficient
274 stored rainwater to last the duration of the drought. In contrast, the number of households using
275 private rainwater for drinking did not change between the wet and dry seasons, but those using it
276 for cooking decreased by 20 percentage points ($p < 0.001$) from wet season to dry season (Table
277 Ia). In drought, the consumptive needs of households were supplemented with private well water,
278 which increased above levels reported for the dry season for both drinking (+14 percentage
279 points) and cooking (+15 percentage points).

280 During drought, water for cooking came primarily from private wells (47%). The greatest
281 reported change in household water use between the dry season and drought was demonstrated
282 with respect to cooking, with a 32 percentage point reduction in the number of households using
283 private rainwater ($p < 0.001$); this reduction is on top of a 20 percentage point decrease ($p <$
284 0.001) reported from wet to dry seasons. This reduction in rainwater use is somewhat balanced
285 by the greatest increase in use of a water source from dry season to drought, with a 15 percentage
286 point increase in the use of private well water for cooking ($p < 0.001$); this was reported in
287 addition to a nine percentage point increase ($p < 0.001$) from wet to dry season (Table I).

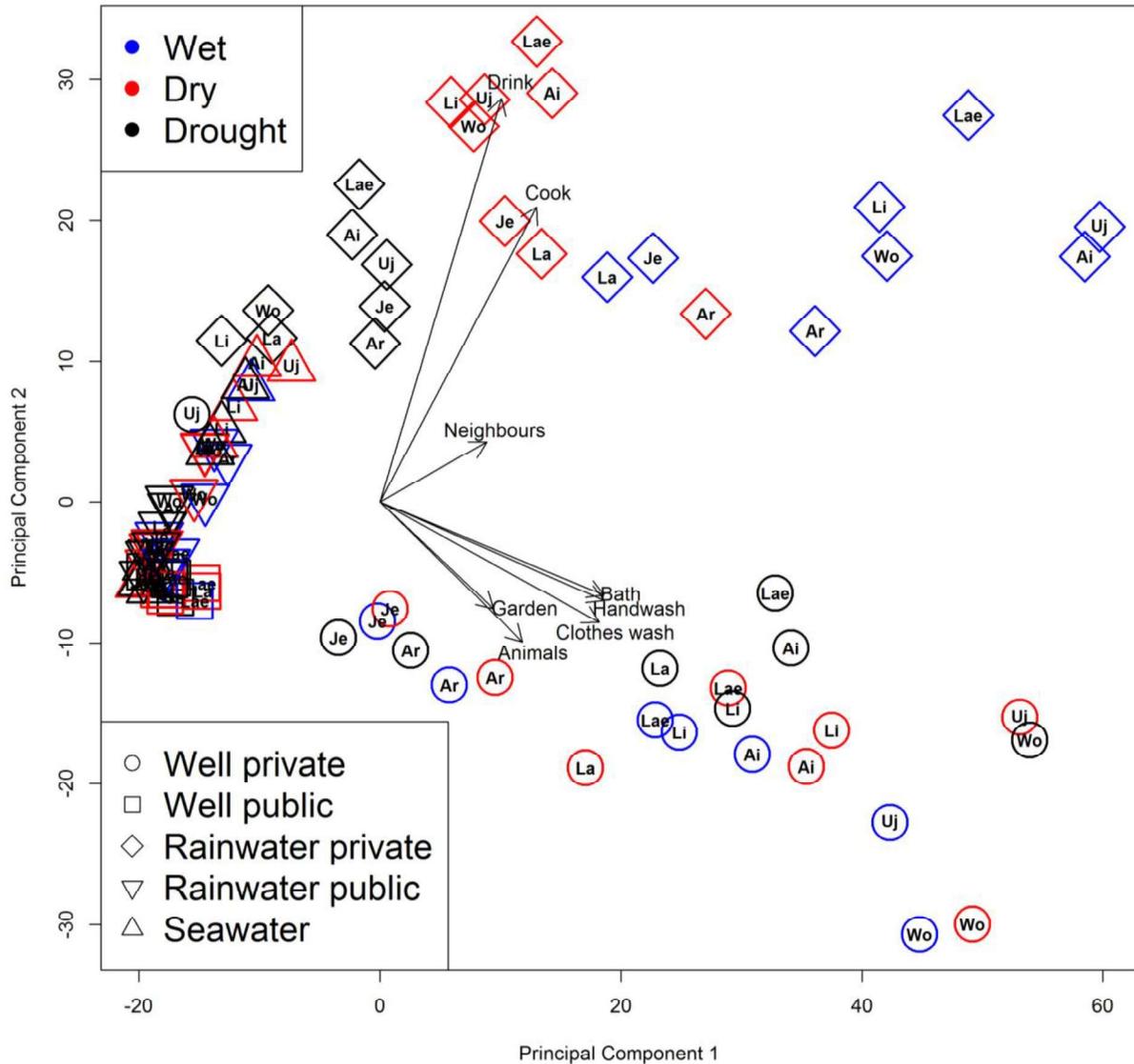
288 For non-consumptive purposes, there were substantial declines (-55 percentage points) in
289 households using private rainwater for bathing, handwashing, and washing clothes ($p < 0.001$ for
290 all) between the wet season and drought conditions; however, there was no concomitant increase

291 in the reported frequency of use of other sources. The same was true of the difference between
292 the dry season and drought ($p < 0.001$ for all) with a decrease of over 14 percentage points for all
293 non-consumptive uses.

294 Water sharing is common between water-insecure households and is often used to
295 increase each households' overall resilience to drought and water scarcity (Stoler et al., 2019).
296 Sharing of private water sources was commonly reported, with 51% sharing their private
297 rainwater and 43% sharing private well water with neighbours at some time during the year.
298 When asked about sharing rainwater during times of drought one man said: "*When I run out of*
299 *drinking water, I would go and ask my neighbour if I could fill up my 1 gallon drinking*
300 *container. In my house, we have 7 family members living in it and that 1 gallon water is only for*
301 *drinking, for one day supply*" (Men's Focus Group Discussion, 2015). The source type most
302 commonly shared during drought was private well water (34%) followed by private rainwater
303 (18%). Sharing private rainwater was significantly less common during drought than the dry
304 season ($p < 0.001$), with no significant change in private well water sharing.

305 Figure 1 shows the results of a principle component analysis (PCA), a multivariate
306 statistical approach used to summarize and illustrate the variation in the uses of all water sources
307 across all seasons. Drinking and cooking are highly correlated because most households, across
308 all communities, favoured private rainwater for both uses. There is very little correlation between
309 consumptive and non-consumptive uses as indicated by the nearly perpendicular angles between
310 the arrows for those kinds of uses in Figure 1. This suggests that rainwater is almost never used
311 for non-consumptive purposes. However, there is strong correlation between all non-
312 consumptive uses, because private well water is heavily favoured.

313



314

315 **Figure 1.** Principle component analysis (PCA) of water source usage behaviors for wet, dry and
 316 dry conditions. Seasons are represented by colour: blue for the wet season, red for the dry
 317 season, and black for drought. Water sources are represented by marker shape. Abbreviations for
 318 each community are inside each marker.

319

320 The upper half of Figure 1 reveals not only that private rainwater is used primarily for
 321 drinking and cooking, but also that the proportion of households consuming rainwater decreases

322 from wet (right) to dry (middle) and drought (left) conditions. As private rainwater stores are
323 depleted during the dry season and times of drought each household implements austere usage
324 behaviors, reserving private rainwater only for consumptive uses that are necessary for survival.
325 During one focus group, a woman explained the urgency of austere private rainwater usage
326 behaviors during drought: *“We’re just doing our best to conserve water. Some people ran out of*
327 *water fast because they use it for everything”* (Women’s Focus Group Discussion, 2015).
328 Similarly, during a men’s focus group a participant explains: *“First of all, we did not play with*
329 *our water. We only use when it’s absolutely needed”* (Men’s Focus Group Discussion, 2015).

330 In Figure 1, the arrow for sharing water with neighbours is angled towards private
331 rainwater as opposed to private well water, indicating it follows a similar use pattern to
332 consumptive uses. This is likely because 43% of households reported sharing private rainwater
333 with neighbours during the wet season; however, this number decreased by over 14 percentage
334 points during the dry season, and by an additional 10 percentage points during drought (Table I).
335 Despite reduction in the number of households that reported sharing private rainwater during
336 times of water scarcity, it is a mainstay of drought resilience in some Marshallese communities.
337 During a focus group, one man explained his dependence on shared water from his neighbours:

338 *“I usually ran out of drinking water because most people from the north end of the island*
339 *always come to me for water. And when I run out of water, I started to look around just like my*
340 *neighbours and this is how we survive.”* (Men’s Focus Group Discussion, 2015)

341 In contrast to private rainwater, the pattern of private well water use (the bottom half of
342 Figure 1), is driven by community variability with no seasonal trend and fewer commonalities
343 across communities. This is evident by the clustering of individual community well water data
344 points represented by circles of all colours, in Figure 1, as opposed to the seasonal effect on

345 private rainwater, as indicated by the segregation of data points of different colours in the upper
346 half of the plot. The lack of a seasonal trend in private well water use suggests that the
347 availability of this resource is not substantially affected by changing weather patterns and that
348 access to and use of groundwater varies across communities. However, this result does not
349 preclude the possibility of water quality changes across season; because well water is not
350 commonly used for consumption, a seasonal change in water quality could occur without
351 affecting non-consumptive use. Additionally, the use of groundwater would be expected to vary
352 across communities because the eight communities are spread across seven atolls and each
353 community is accessing a different FWL.

354 In Figure 1, triangles representing seawater show a trend towards the cluster of
355 consumptive uses. In many Marshallese communities seawater is used to boil fish, thereby
356 preserving freshwater sources for drinking and other uses, and helping to maintain the salty
357 flavor of the fish. This practice is independent of season.

358

359 3.3 Exploring the roles of modern and traditional drought mitigation strategies

360 3.3.1 Traditional Knowledge and Adaptation

361 In addition to cycling various water resources and prioritizing uses for each source,
362 further traditional coping strategies for dealing with drought and water scarcity were revealed
363 through focus groups and survey responses. Austere usage behaviors, to conserve as much
364 freshwater as possible during periods without rain has been part of Marshallese culture for
365 thousands of years. A woman explains, “*Our ancestors knew more about saving water, for*
366 *example, my grandma used just a cup full [of water] to wash up.*” (Women’s Focus Group

367 Discussion, 2015) It was common practice to conserve high quality well water by using as little
368 as possible. The same sentiment was echoed by another woman, who said “*Before, my grandma*
369 *used one half of the coconut [shell of water] to clean herself but today we can use a bucketful*”
370 (Women’s Focus Group Discussion, 2015). Both statements reflect a culture of austere water
371 usage, as well as a shift in contemporary usage that allows greater consumption of freshwater
372 resources. Much of this change is attributable to the introduction of large rainwater storage tanks
373 to RMI within the last half century. As discussed in the previous section, rainwater is now
374 prioritized for consumptive uses, such as drinking and cooking, and well water is supplemented
375 for non-consumptive uses.

376 Before the introduction of modern rainwater harvesting and storage technology, people in
377 RMI harvested rainwater using the coconut tree. The “Mammak”, as it is called in the Ratak
378 island chain (“Emmak” in the Ralik chain), was a coconut tree hollowed out at the base to form a
379 bowl for storing rainwater captured by the leaves of the tree and channeled to the base using a
380 series of grooves carved into the trunk (Supplemental information Figure S2). To the authors’
381 knowledge, this ancient technology has not been studied, but was acknowledged in the literature
382 as a method of rainwater capture, early in the twentieth century (Kraemer & Nevermann, 1938).
383 When other water sources are unavailable, some Marshallese still use the Mammak to get water,
384 as one man described, “*We have quite a few that still working. When we have no choice then we*
385 *will drink from these Emmak*” (Men’s Focus Group Discussion, 2015). Another man discussed a
386 different use for this water: “*In the past, the ancestors would go swimming in the lagoon or the*
387 *ocean side and then used the Mammak to get rid of the salt from their bodies*” (Men’s Focus
388 Group Discussion, 2015). The same man continues by discussing the importance of passing this
389 knowledge on to younger generations:

390 *I used to live on the smaller islands nearby and when the children saw these [Mammak]*
391 *they would come and ask me and I would tell them who made them and why were they made. The*
392 *Mammak is the most well known thing for saving people's lives in the past. It was made for one*
393 *thing, to drink to survive (Men's Focus Group Discussion, 2015).*

394 However, in many other RMI communities this practice is being lost as people become
395 more comfortable with and reliant on modern rainwater storage infrastructure. One man explains
396 this, *"Now we still see Mammak but we don't see people drink from them but there are still*
397 *Mammak. No one uses it today because there are water catchments [rainwater storage tanks]"*
398 (Men's Focus Group Discussion, 2015).

399 Indigenous plants and vegetation also serve as emergency water sources in other ways
400 during times of drought. When good quality drinking water is scarce, people in RMI drink
401 coconut water, a practice reported in other SIDS as well (MacCracken, Jenson, Heitz,
402 Rubinstein, & Mylroie, 2007). Similarly, the Pandana tree stores water that can be harvested
403 when needed, as described by one man, *"You have to pound it [Pandana fruit] hard and put it in*
404 *a underground oven to warm it up a little and then squeeze it and twist it hard and the water will*
405 *come out"* (Men's Focus Group Discussion, 2015). Plant products, such as coconut husks, were
406 also referred to in some community discussions as filter material that was historically employed
407 to remove debris from water stored in Mammaks, while plant leaves were used to make straws
408 from which to drink from Mammaks without contaminating the water source with unwashed
409 hands (Men's Focus Group Discussion, 2015).

410 In the event of prolonged drought or overwash flooding, the complete depletion of
411 rainwater stores and the degradation of FWL water quality, people have had to leave their
412 communities in search of drinking water. Members of over half (n = 168) of households

413 surveyed had to leave their home community to find drinking water at least once during a natural
414 disaster, with over 60% of these households reporting leaving during multiple disasters. Only
415 three households reported having to leave their home island in search of water; these same
416 households also report having to leave their home island for more than one extreme weather
417 event.

418

419 3.3.2 Modern Technology and Adaptation

420 While foreign aid was essential to RMI drought response during the 1998, 2013 and 2016
421 droughts, the use of portable RO units for drought response differed between the capital island of
422 Majuro and the outer islands. Majuro is a town of 28,000 with a centralized water treatment and
423 distribution network that is overseen by a utility, the Majuro Water and Sewer Company
424 (MWSC). Outer island populations vary between 100 and 2000.

425 The first deployment of RO in drought response in RMI occurred in 1998, when the
426 national government requested emergency disaster assistance from the United States (Freshwater
427 & Talagi, 2010). In addition to three commercial RO units on Majuro that supplied the hotels, a
428 brewery and a bottled water vendor, the Japanese government donated three RO units, each with
429 a capacity of 22,700 L/d, and the RMI government with assistance from the US Federal
430 Emergency Management Agency (FEMA) purchased five larger RO units capable of producing
431 nearly 475,000 L/d in total. When the volume of RO-treated water is combined with water
432 extracted from the FWL in the community of Laura, it is estimated that residents of Majuro
433 could have received up to 37 L of fresh water per person per day during the 1998 drought
434 (Presley, 2005). Much of this water had to be collected by bucket or container at RO stations and

435 carried home by family members, which was a heavy load and difficult task to perform. One
436 woman expressed concern about the quality of water received from the Laura lens, saying
437 “*Sometimes the government water is dirty and unsafe*” (Women’s Focus Group Discussion,
438 2015). Other women expressed difficulty in obtaining RO-treated water during the drought, such
439 as having to wait in line for hours in the heat of mid-day, and avoid fighting that would
440 sometimes erupt between frustrated people in line (Women’s Focus Group Discussion, 2015).

441 The mandate of the MWSC is to provide water and sewer services to the residents of
442 Majuro; however, they have also become central to drought response and all things water
443 resources related across the entire country, including the distribution and management of RO
444 units to the outer islands (Majuro Water and Sewer Company, 2015). At the time of this
445 research, the MWSC had 17 small portable RO units, each capable of producing up to 1,350 L of
446 freshwater per day, which they deployed to the outer islands in the event of drought or disaster.
447 Additionally, the International Organization for Migration (IOM) had 20 similar units stored in a
448 warehouse in Majuro reserved for drought response. Upon declaration of a state of emergency or
449 disaster by the national government, these 1350 L/day RO units would be flown in or shipped to
450 recipient communities, where they were typically powered by solar panels or diesel generators
451 also provided by MWSC and IOM. Rapid response surveys conducted by inter-agency teams
452 helped determine which community’s water resources were critical and prioritize the most urgent
453 cases to receive an RO unit (International Organization for Migration, 2015).

454 During the drought of 2013, portable RO units were deployed in the outer islands to
455 provide emergency drinking water. An employee of MWSC described how communities usually
456 decide on the location for installation based on ease of access and whether or not the well is
457 owned by the government, which is preferred over private wells. Churches and schools were

458 popular locations due to their communal nature and because they are generally located towards
459 the centre of the island (Majuro Water and Sewer Company, 2015). However, research into the
460 abstraction of water from the FWL on coral atolls is unanimous in concluding that excessive or
461 continuous pumping of water from dug wells near the centre of an island is hazardous to the
462 FWL (Barkey & Bailey, 2017; Kazuhisa Koda, 2015; White et al., 2007). With continuous
463 pumping, which is common during drought in RMI and healthy for the RO membrane, each RO
464 unit would be extracting over one thousand liters of water from the lens each day. Nearly all
465 wells in the outer islands are vertical dug wells, which can be affected by upconing that allows
466 the ingress and mixing of seawater and turns the well brackish. Additionally, the FWL is highly
467 vulnerable to saltwater intrusion in the absence of rainwater, particularly during periods of
468 drought (Chang & Clement, 2012). During a community discussion, two local women discussed
469 the impact of RO pumping on their FWL:

470 [FACILITATOR] *When it first arrived, did the water taste salty?*

471 [Responder 1] *Not at all.*

472 [Responder 2] *After using it over and over, the water started to taste funny.*

473 [FACILITATOR] *Where is the water coming from before entering the RO unit and for
474 how long did it take for the water to taste salty?*

475 [Responder 1] *The water is pumped out from the well into the RO unit and after about
476 two months of processing [running the RO] the water started to taste different.*

477 [Responder 2] *No, I think the water started to taste bad because the water inside the well
478 is almost empty. (Women's Focus Group Discussion, 2015)*

479

480 In addition to the selection of wells used for RO treatment from island interiors, some
481 community members expressed a preference for drawing water from wells that already contained
482 potable freshwater. It was believed that water from less saline wells would produce the best
483 tasting and highest quality drinking water when treated with the RO unit. The recommendation
484 from MWSC was to use high saline wells for RO treatment in order to preserve higher quality
485 wells for other uses, with one representative suggesting “*the saltier, the better*” [for RO
486 treatment] (Majuro Water and Sewer Company, 2015). Representatives from the MWSC
487 revealed that communities typically did not want RO units setup on or near the beach because
488 this is where many community members still practice open defecation. In our RMI communities,
489 there appeared to be widespread preference for use of RO units with low-salinity wells located
490 toward island interiors. A man who was responsible for operating the RO in his community said:

491 *In the beginning we used the sea water. Later on we switched to well water. First, I put it*
492 *[RO] on the dock, using saltwater and it was kind of salty but better than some of the wells.*
493 *When I noticed that the people were not really interested, then I took the unit to the well near the*
494 *pastor’s home and it was better that way. (Men’s Focus Group Discussion, 2015)*

495 Of the surveyed households that had access to RO during drought, over 86% reported that
496 the RO drew from either private or public wells. Of their reasons for treating water sourced from
497 the FWL, 41% said the well water was “drinkable, but unsafe and RO water was better”, 34%
498 said that well water was, “drinkable but a little salty, and RO improved the taste”, 10% said the,
499 “RO water was closer to home and more convenient”, and 7% said that, “RO water was more
500 fashionable than well water”. These findings suggest that by choosing the highest quality wells
501 closest to the centre of the island for RO treatment, some communities may be unnecessarily
502 degrading the quality of the FWL through up-coning.

503 The Spectra Aquifer 360 Watermaker, which is the MWSC RO unit deployed to the outer
504 islands, can treat raw seawater with a 99.4% salt rejection rate, reducing sodium concentrations
505 to a potable level and below taste-detection levels (Katadyn Group, 2019; WHO, 1997). Based
506 on factory specifications, it is therefore, unnecessary to treat groundwater from the FWL with
507 RO. In doing so, communities may increase their vulnerability to drought by depleting the FWL
508 that could serve as a secondary emergency drinking water source in the event that the RO unit
509 malfunctions, or at least provide a freshwater source for non-consumptive uses to preserve higher
510 quality water for drinking.

511 In addition to upconing, brine disposal can also represent a threat to the FWL. If RO units
512 in remote, low-density atolls are placed adjacent to saline wells near the ocean, disposing of
513 brine onto the ground surface should not represent a substantial threat to the FWL. However, if
514 RO units are drawing from centrally located, freshwater wells, disposing of high-concentration
515 brine onto the ground surface could salinize the FWL by infiltration downward onto the top of
516 the lens (Johnston, 2013). A 2013 report on the RMI water and sanitation sector included the
517 non-specific claim that FWLs in some islands have been contaminated by brine (Johnston,
518 2013); this report requires further investigation.

519 Maintaining modern technologies is a major challenge in the outer islands due to a lack
520 of replacement materials, limited availability of skilled technicians, and the high cost and time
521 required to travel to these remote communities. During the drought of 2013, technicians from the
522 MWSC accompanied the RO units to the outer islands to assist with installation and provide
523 operational training to one or two local people. These local individuals did not receive technical
524 skills training on maintenance and repair of the system, so in the event of a breakdown they were
525 instructed to radio Majuro for help, which could take weeks to reach them (Majuro Water and

526 Sewer Company, 2015). The Japanese government donated 24 small capacity RO units to RMI
527 for installation outside of schools in the outer islands. In the three communities we visited that
528 had received one of these ROs, none were working. One island's unit had been damaged during a
529 storm; help had been requested by the village but none had arrived as of six months later (Men's
530 Focus Group Discussion, 2015). On the other two islands, the units had not worked properly
531 since they were installed. One man who was trained in the RO unit's operation said:

532 *When [I] try to turn on the unit, it will not start and at the same time a warning light is*
533 *blinking. The people I trained with instructed me that if the machine is having a problem, not to*
534 *do anything. I have reported the problem. Nobody has come to fix the unit yet. It's been a year*
535 *now since my reporting (Men's Focus Group Discussion, 2015).*

536 The portable ROs distributed by MWSC and IOM during the droughts of 2013 and 2015-
537 16 provided critical emergency water to the outer islands. The surveyed communities reported
538 neither malfunction nor breakdown of these units. The only criticism sometimes received was
539 that they produced insufficient water for the community – this was especially true of ROs fitted
540 with a single electric pump and ROs using solar power. Diesel driven units were preferred over
541 solar powered ones for their ability to run 24 hours a day, and consequently their perceived
542 ability to produce larger volumes of water.

543 After the drought of 2013, and the return of normal rainfall patterns that replenished
544 water storage tanks, some communities were reluctant to return the portable RO units to Majuro.
545 They were recalled to receive maintenance and undergo proper storage procedures at MWSC and
546 IOM. When asked about reclaiming the ROs from the islands, a representative from MWSC said,
547 *“They've [MWSC staff] had problems with the local people wanting those units [RO] to stay...*
548 *They're not using it, but still they want it there” (Majuro Water and Sewer Company, 2015). The*

549 ROs likely provide the community with a sense of security against water scarcity. It has also
550 been suggested that some of the components, such as solar panels and batteries, are co-opted to
551 power phones and other electronic devices.

552 There is concern that communities will rely too heavily on RO units and become
553 dependent on them throughout the seasonal cycle. A representative from IOM shared:

554 *I think they're [RO] just seen as an easy fix, now that people have had them, it's like "oh,*
555 *it's getting drier let's just get our RO back out here" ... I worry about the long term dependency*
556 *that could be created with how expensive parts are and maintenance.* (International Organization
557 for Migration, 2015)

558 The same concern around overdependence on RO was expressed by a member of the
559 national government at the time, saying “*I get phone calls from Mayors who say ‘Okay – we’re*
560 *ready for our RO now’, as if they were ordering a pizza”* (National Government of the Republic
561 of the Marshall Islands, 2014). It was further explained that the strategy for RO deployment was
562 in alignment with the El Niño Southern Oscillation cycle, approximately once every five years.
563 However, the national government representative explained that requesting an RO unit “*has*
564 *become the norm”* (National Government of the Republic of the Marshall Islands, 2014). A
565 representative from MWSC suggested the reason for such frequent requests may be politically
566 driven. It was postulated that Mayors for the outer islands try to improve their standing with the
567 community by bringing in the RO units. Additionally, it was suggested that RO units may be
568 requested prematurely in anticipation of upcoming dry periods or forecasted droughts in order to
569 outcompete other islands for the limited number of RO units available (Majuro Water and Sewer
570 Company, 2015).

571 **4 Conclusions**

572 Our findings have implications for climate change adaptation, drought preparedness and
573 response in SIDS, the appropriate management and operation of RO on atoll aquifers, and
574 research on the use of multiple water sources in disaster settings.

575 Modern technology plays an important role in mitigating drought impacts in RMI. The
576 use of natural water resources, such as the FWL, is less expensive but climate sensitive. Joint
577 systems that utilize a combination of water resources, including desalinated seawater with
578 treatment from RO, are less vulnerable to changes in the environment and are adaptable to future
579 climate uncertainties (Bhushan & Ling Ng, 2016). In settings such as RMI, with small and
580 isolated populations that exhibit resilience through community cohesion and the application of
581 traditional knowledge, it is important that modern technologies do not supersede or displace
582 prevailing drought response strategies (Hiwasaki, Luna, & Shaw, 2014; Kelman, 2017).
583 Similarly, local knowledge should not invalidate or disparage the integration of modern
584 technologies with the capability to augment existing systems.

585 The balance of traditional water conservation practices and the implementation of
586 modern technologies, such as large rainwater tanks and RO units for emergency response, will
587 increase community resilience to drought in RMI. Longstanding practices of austere rainwater
588 usage behaviors are effective at conserving high quality drinking water throughout the dry
589 season and periods of drought. When possible, alerts should be issued to communities when
590 droughts are forecasted, triggering the need for freshwater conservation. Furthermore, educating
591 communities about the role of RO as a redundancy measure and the importance of selecting a
592 brackish water source for desalination will help preserve the FWL as an additional emergency
593 water source.

594 The most recent drought of 2015-2016 in the Republic of the Marshall Islands (RMI)
595 caused five million US dollars, worth of damage to the sectors of water and sanitation, electricity
596 and power supply, agriculture, health and education (Leenders, Holland & Taylor, 2017). This is
597 proportionately larger than the economic fallout from the California drought of 2016, costing
598 RMI approximately \$100 per capita and disproportionately affecting the more vulnerable
599 economies of the outer islands. It also had serious effects on the health and quality of life for
600 people across the country, including the loss of livelihoods, food shortages causing malnutrition
601 in children, and drinking water scarcity (Leenders et al., 2017). To facilitate a more robust
602 response to drought stricken communities, the national government needs to exercise more
603 oversight when distributing technology designed to increase water security in the outer islands.
604 In addition to more technical training for local operators of modern devices, such as RO units,
605 new technology must be implemented in a way that supports effective water management
606 strategies and traditional knowledge that have existed in SIDS communities and protected
607 against drought impacts for thousands of years.

608 Water researchers should consider how to incorporate multiple water sources into data
609 collection efforts, and how to assist governments and practitioners with integrating these various
610 sources into disaster risk reduction and disaster management plans. Advances in computer
611 assisted personal interviewing tools have made this affordable and time-efficient for many
612 surveys (MacDonald et al., 2016). Research is needed to describe the use of multiple water
613 sources in other countries and more disaster scenarios, such as floods and tropical storms, to give
614 insight on the optimization of each available source and increase community resilience to
615 extreme weather events and the growing impacts of climate change. We believe that failure to
616 consider a practice that is so widespread and inherent to global water culture in developing

617 settings could undermine the effectiveness of disaster response and preparedness, climate change
618 policy and research.

619

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631 [in-the-pacific](http://www.watercentre.org/portfolio/wash-and-climate-change-adaptation-in-the-pacific).

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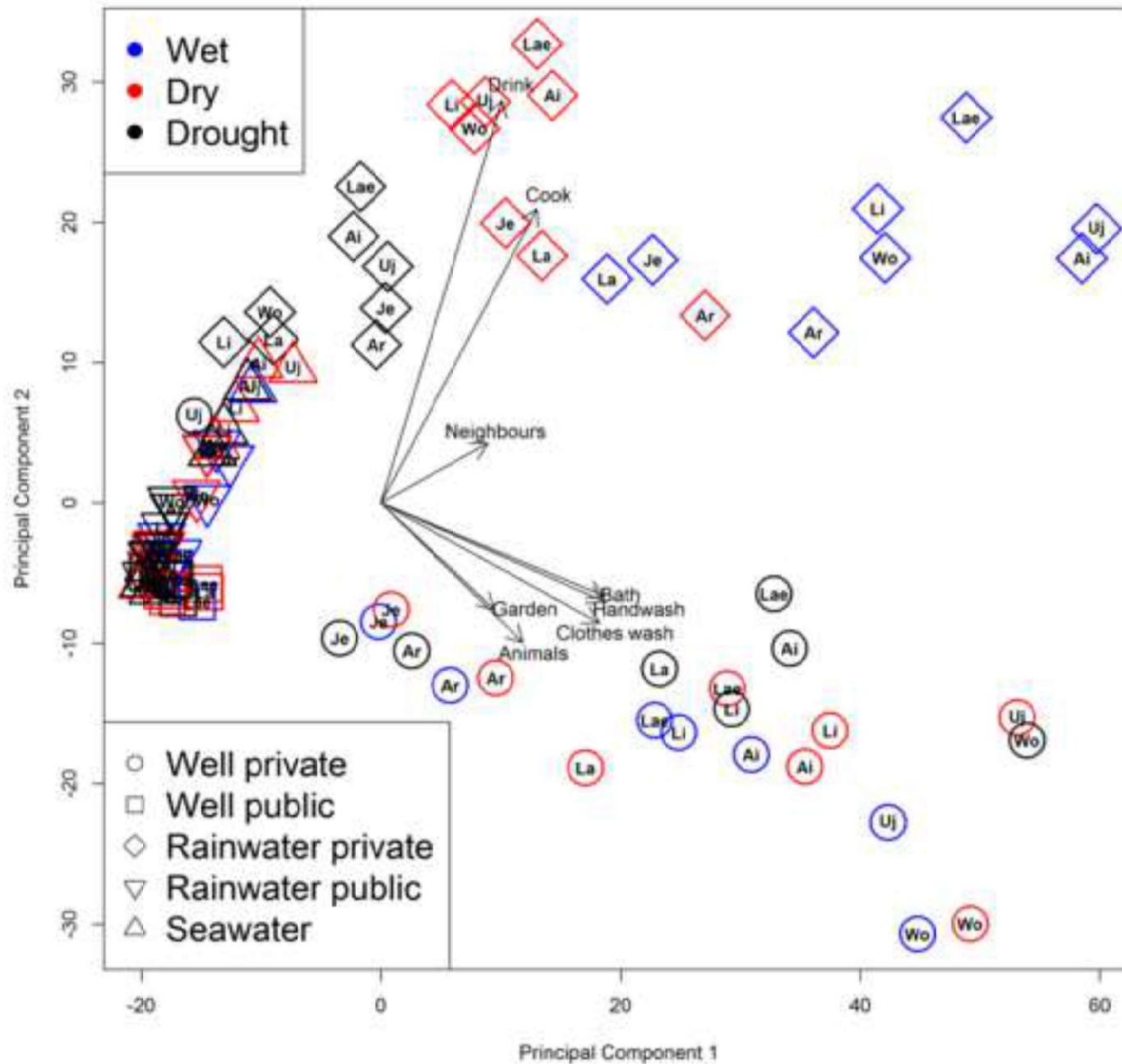
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Figure 1
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Conflicts of Interest Statement

Manuscript title: Mitigating drought impacts in remote island atolls with traditional water usage behaviors and modern technology

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