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# Asymmetric synthesis of primary amines catalyzed by thermotolerant fungal reductive aminases†

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Chiral primary amines are important intermediates in the synthesis of pharmaceutical compounds. Fungal reductive aminases (RedAms) are NADPH-dependent dehydrogenases that catalyse reductive amination of a range of ketones with short-chain primary amines supplied in an equimolar ratio to give corresponding secondary amines. Herein we describe structural and biochemical characterisation as well as synthetic applications of two RedAms from *Neosartorya* spp. (*NfRedAm* and *NfisRedAm*) that display a distinctive activity amongst fungal RedAms, namely a superior ability to use ammonia as the amine partner. Using these enzymes, we demonstrate the synthesis of a broad range of primary amines, with conversions up to >97% and excellent enantiomeric excess. Temperature dependent studies showed that these homologues also possess greater thermal stability compared to other enzymes within this family. Their synthetic applicability is further demonstrated by the production of several primary and secondary amines with turnover numbers (TN) up to 14 000 as well as continuous flow reactions, obtaining chiral amines such as (*R*)-2-aminohexane in space time yields up to 8.1 g L<sup>-1</sup> h<sup>-1</sup>. The remarkable features of *NfRedAm* and *NfisRedAm* highlight their potential for wider synthetic application as well as expanding the biocatalytic toolbox available for chiral amine synthesis.

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## Introduction

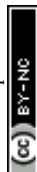
Chiral amines are a privileged functional group, ubiquitous in natural products, pharmaceuticals, agrochemicals and fine chemicals.<sup>1</sup> Consequently, the discovery and development of more efficient ways to synthesise chiral amines continues to be a major research topic in synthetic chemistry. In this context, biocatalysis offers an alternative to conventional chemical strategies that often rely on the use of hazardous reagents and reaction conditions. Over the last 20 years, a substantial effort has been made in the field and a significant number of biocatalysts are now available for this task.<sup>2-6</sup> Among these enzymes, imine reductases (IREDs), which catalyze the NAD(P)H-dependent reduction of prochiral imines to chiral amines, have assumed an important role within the biocatalytic toolbox for chiral amine synthesis, following their discovery by Mitsukura *et al.*<sup>7-9</sup> A subgroup of IREDs, termed reductive aminases (RedAms), possesses additionally the distinctive ability to carry out reductive aminations in water, when presented with a range of carbonyl

compounds and small amine donors in equimolar quantities.<sup>10</sup> We have recently demonstrated that these enzymes catalyze the condensation of cyclic and aliphatic ketones with short-chain amines as well as the subsequent reduction of the imine intermediate,<sup>11</sup> although the substrate scope has until now been mainly limited to the preparation of secondary and tertiary amines. The asymmetric synthesis of chiral primary amines, synthesized *via* reductive amination of ketones using inexpensive ammonia, continues to be a challenge in synthetic chemistry.<sup>12</sup> Biocatalytic strategies for the asymmetric synthesis of chiral primary amines include the application of transaminases (TAs), which catalyse the pyridoxal phosphate-dependent amination of ketone at the expense of an ammonia donor,<sup>3,13</sup> and amine dehydrogenases (AmDHs),<sup>5,14-16</sup> which catalyse the NAD(P)H-dependent reductive amination of ketones to primary amines (Fig. 1). Nevertheless, these two families of enzymes present some difficulties with respect to their application. In TA-catalysed processes, in addition to the need of an amine donor in high concentration, such as alanine or isopropylamine, equilibrium issues make the use of enzymatic cascades or product removal strategies mandatory to achieve high yields.<sup>17-21</sup> AmDHs are engineered amino acid dehydrogenases (AADHs), which use ammonium salts as amine partners and constitute an emerging source of biocatalysts, however their substrate scope is still limited.<sup>14,15,22-25</sup> A new family of natural AmDHs (nat-AmDHs) has been recently described that will bring diversity and therefore

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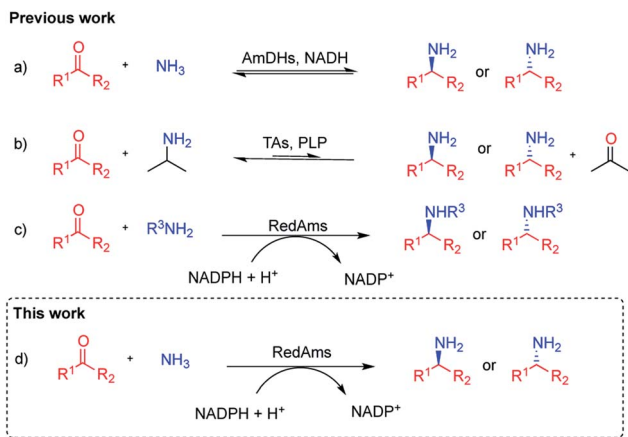


Fig. 1 Biocatalytic reductive amination of ketones. (a) Reductive aminations mediated by amine dehydrogenases (AmDHs) using ammonia. (b) Formal reductive amination catalysed by transaminases (TAs) using isopropylamine as amine donor. (c) Reductive aminase (RedAm) catalysed reductive aminations with primary amines. (d) RedAm catalysed reductive aminations with ammonia.

enable the expansion of the substrate scope although to-date it still remains rather limited.<sup>26,27</sup> IREDs have also been used to access primary amines,<sup>28</sup> although poor conversions were obtained for the amination of prochiral ketones. In this context, and given that we have previously observed that, by forcing reaction conditions, RedAms can also perform the reductive amination of a wider range of ketones and amines,<sup>10</sup> we envisaged that homologues within this family could also accept a broader range of carbonyl compounds as well as ammonia to access primary amines. In a recent report, one of our groups described the ability of two of these homologues – *NfRedAm* from *Neosartorya fumigata* and *NfisRedAm* from *Neosartorya fischeri* – for the reduction of the imine formed between acetophenone and ammonia, albeit at concentrations of 5 mM and 250 mM respectively, to give conversions to the primary amine product which, although modest, were superior to previously described RedAms such as *AdRedAm* from *Ajellomyces dermatitidis*.<sup>29</sup> In this study we exploit this initial observation in the characterization of the activity of *NfRedAm* and *NfisRedAm* and their application to the scalable synthesis of primary and secondary amines using ammonia.

## Results and discussion

The two target enzymes in this study, *NfRedAm* from *Neosartorya fumigatus* and *NfisRedAm* from *Neosartorya fischeri*, each displayed excellent levels of expression in *E. coli* BL21(DE3). The initial report, suggesting that these enzymes may display some activity for the reduction of the imine resulting from the ambient reaction of acetophenone and ammonia, prompted an in-depth investigation of the amination of ketones using *NfRedAm* and *NfisRedAm* for the synthesis of primary amines.

### Reductive amination with primary amines

The substrate scope of *NfRedAm* and *NfisRedAm* was initially assessed by combining a series of carbonyl compounds (1–7) with 1–5 equivalents of different primary amines (Fig. S4 in the ESI†). As previously observed with other fungal RedAms, the best results were observed after combining ketoesters, cyclic and aliphatic ketones such as ethyl levulinate (1), cyclohexanone (3) or 2-hexanone (4), with short-chain amines with certain  $\pi$ -character such as propargylamine (a), allylamine (b) and cyclopropylamine (c). This permits access to a wide range of chiral secondary amines, lactams and amino esters in good to excellent conversions (70% to >97%) and moderate to good enantiomeric excess and at low equivalents of the amine donor. Secondary amines derived from 2-octanone (6), 2-decanone (7) and 4-phenyl-2-butanone (5) can also be synthesised in this manner as well as  $\delta$ -amino esters (2a,b) in moderate to good conversions (45–70%). The acceptance of benzylamine, which has previously been reported for an imine reductase homologue from *Myxococcus stipitatus*,<sup>30</sup> was also explored. Remarkably, one equivalent of benzylamine (d) with 3 yielded 85% of the corresponding secondary amine 3d in 85% conversion for *NfRedAm*, whereas only traces of the product were observed for *NfisRedAm*. *NfRedAm* also outperforms other RedAms such as *AdRedAm*, where only 26% conversion was obtained.<sup>11</sup> In terms of stereoselectivity, enantiomeric excesses ranged from poor to excellent for both RedAms and are notably different or even enantio-complementary in some instances (as seen in the case of ketoester 1–2, Fig. S3 in the ESI†). Remarkably, both the enantiopreference and extent of selectivity appeared to be both ketone- and amine-dependent, an observation which, given the sequence similarity between both enzymes (94% sequence ID), stresses the complexity of the mechanisms that govern the stereoselectivity of these reactions.

### Amination using ammonia

Following our preliminary observation,<sup>11</sup> the initial assessment of the activity of *NfRedAm* and *NfisRedAm* with a range of substrates confirmed that these enzymes appeared to display superior activity when using ammonia (f) as a donor compared to previously studied RedAms such as *AtRedAm* and *AdRedAm*. For instance, with cyclohexanone (3), when using 5 equivalents of ammonia (f), 47% conversion to cyclohexylamine (4f) was observed in the *AdRedAm*-catalysed process, whereas 90% and 81% conversions were obtained for *NfRedAm* and *NfisRedAm*, respectively. Similarly, 14% conversion was obtained for *AdRedAm* in the reductive amination of 2-hexanone (4) and ammonia (f), whilst a 72% conversion was observed for *NfRedAm* under the same reaction conditions. This distinctive activity was further explored by screening these RedAms across a panel of structurally diverse ketones 3–20 (Fig. 2) using 1 M ammonia (100 equivalents) to mimic the optimal conditions in the AmDH-catalysed processes.<sup>15</sup> Considering the possibility that the cofactor regeneration system could also be affected under such challenging reaction conditions, different NADPH regeneration strategies (glucose dehydrogenase from *Bacillus subtilis*, CDX-901 glucose dehydrogenase from Codexis and



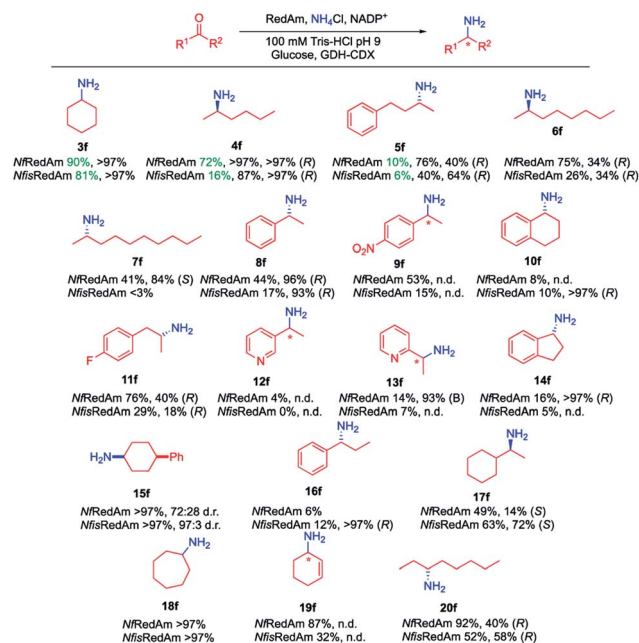


Fig. 2 RedAm-catalysed reductive amination using ammonia to access chiral primary amines. Conditions: 10 mM ketone in 100 mM pH 9 Tris-HCl buffer containing 10 mM (green) or 1 M (black)  $NH_4Cl$ , 1 mM  $NADP^+$ , 40 mM glucose and 0.5 mg  $mL^{-1}$  CDX-GDH. Conversions determined after 24 h based on the areas of substrate and product by GC-FID.

a variant of the phosphite dehydrogenase from *Pseudomonas stutzeri* (PtDH)<sup>28</sup> and different ammonium sources [ $NH_4Cl$ ,  $(NH_4)_2SO_4$  and  $NH_4OH$ ] were evaluated (Fig. S5–S7 in the ESI<sup>†</sup>). For our reactions, GDH (CDX-901)/Glucose as  $NADPH$  regeneration system and 1 M  $NH_4Cl$  in 100 mM Tris-HCl pH 9 were found to be the best conditions.

As previously observed for the reductive amination with primary amines,<sup>10,11</sup> the best results were obtained for cyclic and aliphatic ketones. At 1 M  $NH_4Cl$ , full conversions were found for cyclic ketones such as 3, 15 and 18. Cyclohexen-2-one (19) was also accepted affording cyclohex-2-en-1-amine (19f) as a sole product in up to 87% conversions. The corresponding saturated product cyclohexylamine (3f) was not detected in any case. For aliphatic substrates, moderate to excellent conversions were afforded although a drop in activity was observed with longer substrates. Remarkably, a switch in stereoselectivity was found in this series as well. (*R*)-2-Aminohexane (4f) can be obtained in full conversion and >97% ee. A 75% conversion was observed in the reductive amination of 6 to afford (*R*)-2-amino-octane (6f) in 34% ee and a switch to the *S*-product was observed for 2-decanone (7), obtaining (*S*)-2-aminodecane (7f) in 41% conversion and 84% ee. Other aliphatic substrates such as cyclohexyl methyl ketone (17) and 3-octanone (20) can also be aminated in this manner in moderate to good conversions (49–92%). For 17, a switch in the general stereoselectivity was again observed, obtaining (*S*)-17f in 14% and 72% ee for *Nf*RedAm and *Nfis*RedAm respectively. Aromatic ketones could also be aminated under these conditions. As previously described,<sup>29</sup> *Nf*RedAm catalyzed the reductive amination of acetophenone (8) to amine

12f with 96% ee, 4-nitroacetophenone (9), 4-fluoroacetophenone (11) or 4-phenyl-2-butanone (5) gave moderate to good conversions (44–76%) yielding the corresponding (*R*)-amine products 5f, 8f, 9f and 11f in moderate to excellent enantiomeric excess (40–96%). Other aromatic substrates such as 1-tetralone (10), 3-acetyl pyridine (12), 2-acetyl pyridine (13), 1-indanone (14) and propiophenone (16), low to poor conversions were obtained (up to 16%) although in high enantiomeric excess (>93%). In no cases were the alcohol products observed.

### Structure of *Nf*RedAm

The structure of *Nf*RedAm was determined in two forms: an apo-form, at a resolution of 2.25 Å, in space group  $P3_221$  with one molecule in the asymmetric unit (asu), and a complex with the  $NADP^+$  cofactor, in space group  $P1$ , at a lower resolution of 2.77 Å, with eight molecules in the asu forming four dimers.† However, size-exclusion chromatography-multiangle light scattering (SEC-MALS) analysis confirmed that *Nf*RedAm exists as a dimer in solution (Fig. S3 in the ESI<sup>†</sup>). The structure was solved by molecular replacement using the structure of *At*RedAm (PDB 6EOD, 55% sequence identity)<sup>11</sup> as a model. Data collection and refinement statistics can be found in Table S2 in the ESI<sup>†</sup>. The structure of the dimeric form of the enzyme is shown in Fig. 3A. The structure conforms to the canonical model determined for IRED-family enzymes, featuring two monomers, each composed of an N-terminal Rossmann domain, connected to a C-terminal helical bundle by a long alpha helix. The dimer is formed through reciprocal domain sharing, with the active sites formed at the interface between the N-terminal domain of one subunit, and the C-terminal domain of the other. Analysis using the DALI server<sup>31</sup> shows that the monomer superimposed with its closest homologues – the RedAms from *Aspergillus oryzae* (PDB 5G6R; 58% sequence identity) and *Aspergillus terreus* (5OJL; 57%) with rmsd values of 1.3 and 1.8 Å over 289 and 284 C-alpha atoms respectively.

The structure of the active site is shown in Fig. 3B. The majority of active site residues are conserved between *Nf*RedAm and *Asp*RedAm, including D167 (*Nf*RedAm numbering) and Y175, thought to be involved in the activation of small amine nucleophiles and in securing the carbonyl group of the ketone substrate respectively. T120 in *Nf*RedAm replaces V121 in *Asp*RedAm behind the  $NADP^+$  cofactor as viewed in Fig. 3B. With many active site residues conserved, the reasons for the improved reduction of primary imines was not clear. In order to further investigate the comparative biophysical characteristics of the RedAm enzymes we determined the melting temperature of *Asp*RedAm, *Nf*RedAm, *Nfis*RedAm using circular dichroism (Fig. 4).

The unfolding of the enzymes was studied by recording  $CD_{222}$  at different temperatures (20–85 °C) as a measure of thermodynamic stability (Fig. 4). Importantly, the melting temperatures ( $T_m$ ) of the homologues were found to be 6–10 °C higher than *Asp*RedAm, which demonstrated the lowest  $T_m$  value amongst these fungal RedAms.

We also evaluated the thermostability of *Nf*RedAm compared to *Asp*RedAm by measuring the residual activity of both



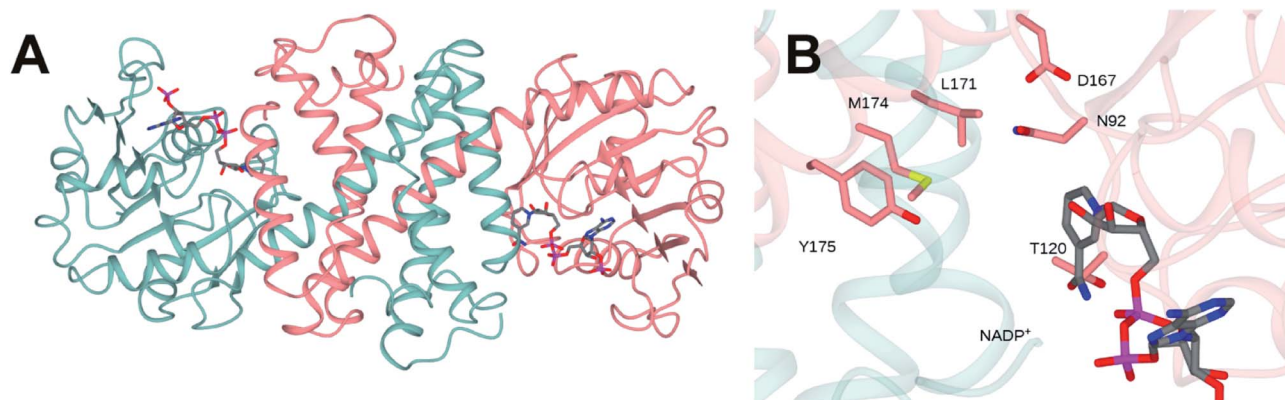


Fig. 3 (A) Structure of dimer of *NfRedAm* in ribbon format with subunits shown in blue and pink. (B) Detail of active site of *NfRedAm* with NADP<sup>+</sup> shown in cylinder format with carbon atoms in grey.

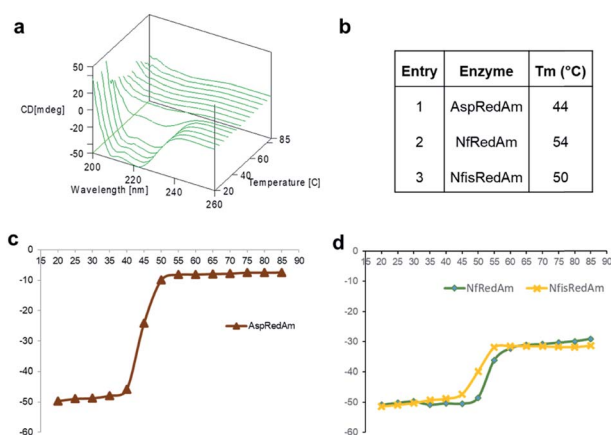


Fig. 4 Thermal denaturation studies as a measure of thermodynamic stability. (a) CD spectra of *NfRedAm* as the temperature is increased from 20 to 85 °C. (b) Melting temperatures of *AspRedAm*, *NfRedAm* and *NfisRedAm*. CD<sub>220</sub> plotted against temperature to calculate the melting temperatures of (c) *AspRedAm* and (d) *NfRedAm* and *NfisRedAm*.

enzymes after incubation at 50 °C at different times (Fig. 5). In this case, the reductive amination of cyclohexanone (**3**) and allylamine (**b**) at equimolar concentrations and 30 °C was

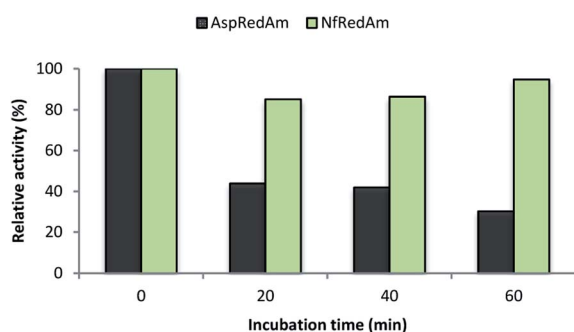


Fig. 5 Relative activity of *AspRedAm* (black) and *NfRedAm* (green) in the equimolar bio-reductive amination of **3** and **b** at 30 °C after incubation at 50 °C at different times. 100% activity corresponds to  $1.03 \pm 0.03 \text{ U mg}^{-1}$  for *AspRedAm* and  $0.77 \pm 0.11 \text{ U mg}^{-1}$  for *NfRedAm*.

selected as a control reaction as both enzymes display high activity for this transformation. After an incubation time of only 20 min, a 50% decrease in activity was observed for *AspRedAm* whilst a slight drop was found for *NfRedAm*. Remarkably, *NfRedAm* does not seem to be significantly affected by the thermal treatment and retains most of its reductive amination activity after 1 h at 50 °C.

### Synthetic potential for large-scale processes

Given the potential of RedAms for large-scale processes,<sup>32</sup> we used 4-phenyl cyclohexanone (**15**) and ammonia (**f**) as model reaction components to test higher substrate loadings (Fig. S8 and S9 in the ESI<sup>†</sup>). In this case, turnover numbers (TN) up to 1400 were obtained at 40 mM concentration ( $7 \text{ g L}^{-1}$ ) although this system is restricted by the limited solubility of the starting material and further reaction engineering would be necessary to reach higher productivities. With conversion at 97%, a space time yield (STY) of  $0.28 \text{ g L}^{-1} \text{ h}^{-1}$  was obtained, and a biocatalyst productivity of  $6.8 \text{ g}_{\text{prod}} \text{ g}_{\text{enz}}^{-1}$ .

In the reductive amination of 2-hexanone (**4**), TN up to 3250 were obtained at 80 mM substrate concentration ( $8 \text{ g L}^{-1}$ ). This represented a low STY of only  $0.34 \text{ g L}^{-1} \text{ h}^{-1}$ , but with biocatalyst loading at only  $0.5 \text{ mg mL}^{-1}$  it delivered a reasonable productivity of  $16 \text{ g}_{\text{prod}} \text{ g}_{\text{enz}}^{-1}$ . However, TN decreased at higher concentrations and additional DMSO loadings to increase the solubility of the starting material led to lower productivities. The use of continuous flow was investigated to try to improve the process conditions for these enzymes.<sup>33</sup> More specifically, immobilisation of *NfRedAm* was investigated as the immobilised preparations are often stabilised by the solid supports, and can be applied in continuous packed-bed reactors.<sup>34</sup> We applied EziG, a porous silica-based affinity resin, as we had demonstrated previously that it could stabilise *AspRedAm*.<sup>35–37</sup> *NfRedAm* was immobilised and tested under several conditions with 2-hexanone (**4**) (Section S6 in the ESI<sup>†</sup>). The optimal conditions permitted the continuous production of (*R*)-2-amino-hexane (**4f**) for up to 12 h. The optimised conditions (see ESI Fig. S12, entry 6<sup>†</sup>) delivered a STY of  $8.1 \text{ g L}^{-1} \text{ h}^{-1}$ , which is much higher than the equivalent batch reaction. The biocatalyst productivity



was 4.86 g<sub>prod</sub> g<sub>enz</sub><sup>-1</sup>, with TN up to 1400. Isolation issues must be overcome to allow this to be applied on a larger scale, however this initial proof-of-concept study demonstrates the versatility of these biocatalysts for process intensification (see ESI† for full details of flow experiments). We also tested these enzymes for reductive amination with primary amines. At 100 mM **3** and 2 equivalents of methylamine, TN of 2900 and 3400 were obtained for *Nf*RedAm and *Nfis*RedAm, respectively. At higher substrate concentrations, *Nf*RedAm displayed up to 14 000 TN in the equimolar reductive amination of **3** and **b**. In the reaction of **5** (100 mM) and cyclopropylamine (400 mM), TN around 2000 were obtained whilst remarkably, for **4**, full conversions were observed. We reduced the amine concentration to 200 mM (2 eq.) observing no decrease in conversion. **4** was also tested at 200 mM (400 mM **c**) observing TN up to 6800 with *Nf*RedAm. Encouraged by these results, we also looked at other combinations beyond equimolar ratios of both partners (Fig. S10 in the ESI†). In particular, we focused on acetophenone (**8**) and 1-(4-fluorophenyl)propan-2-one (**11**), given that secondary amines containing these scaffolds are valuable intermediates in different industries, and **a–c** as amine partners. For **8**, cyclopropylamine (**c**) was found to be the best amine partner with conversions up to 56% with *Nfis*RedAm. For **a** and **b** as amine donors, *Nf*RedAm performed better although moderate conversions were achieved (21 and 25%, respectively). For **11**, **b** was found to be the best nucleophilic partner, observing full conversion to the corresponding chiral secondary amine **11b** for both *Nf*RedAm and *Nfis*RedAm. In all cases, excellent enantiomeric ratios were detected (from 91 to >97%). These results constitute a promising starting point and show the synthetic versatility and potential of these enzymes for industrial biocatalysis.

## Conclusions

The activity of two enzymes from the fungal reductive aminase (RedAm) clade, *Nf*RedAm and *Nfis*RedAm, has been characterised. While they possess a similar substrate scope to previously described RedAms in the reductive amination with primary amines, their ability to enable the reductive amination of ketones using ammonia was found to be much higher. This distinctive activity was further explored showing a broad substrate scope, which highlights the versatility of these enzymes to serve as scaffolds for evolution towards fit-to-purpose biocatalysts in industrial processes. These RedAms also display a greater thermostability compared to other enzymes within the same family. Finally, an initial assessment of the industrial potential of *Nf*RedAm and *Nfis*RedAm was carried out by performing reactions at high substrate loadings of selected substrates obtaining TN up to 14 000, as well as reactions at higher amine-to-ketone ratios to expand their synthetic operational landscape. Reactions in continuous flow were also performed, optimising the system for the production of (*R*)-2-amino-hexane with a STY of 8.1 g L<sup>-1</sup> h<sup>-1</sup>, which show the extraordinary capabilities and synthetic potential of these biocatalysts for large-scale use.

## Experimental

### Gene expression and protein purification

The plasmids containing the genes for target enzymes were used to transform *E. coli* BL21(DE3) competent cells for gene expression. Pre-cultures were grown in LB-medium (5 mL) containing 30 μg mL<sup>-1</sup> kanamycin for 18 h at 37 °C with shaking at 180 rpm. 0.6 L volume cultures were inoculated with the pre-culture (6 mL) and incubated at 37 °C, with shaking at 180 rpm until an OD<sub>600</sub> of 0.6–0.8 was reached. Gene expression was induced by addition of IPTG (1 mM) and shaking was continued overnight at 20 °C with shaking at 180 rpm. The cells were then harvested by centrifugation at 5000g for 20 min and resuspended in 50 mM Tris-HCl buffer pH 7.5, containing 300 mM NaCl. Cells were disrupted by ultrasonication for 3 × 5 min, with 30 s on and 30 s off cycles, and the suspension was centrifuged at 50 000g for 30 min to yield a clear lysate. The N-terminal His6-tagged proteins were purified using immobilised-metal affinity chromatography (IMAC) using Ni-NTA column.

### RedAm-catalysed bio-reductive amination

A 500 μL reaction mixture contained 20 mM D-glucose, 0.5 mg mL<sup>-1</sup> GDH (Codexis, CDX-901), 0.5 mM NADP<sup>+</sup>, 1 mg mL<sup>-1</sup> purified RedAm, 10 mM ketone, in 1 M NH<sub>4</sub>Cl pH 9 NaPi buffer adjusted and 2% v/v DMSO. Reactions were incubated at 30 °C with 250 rpm shaking for 24 h, after which they were quenched by the addition of 30 μL of 10 M NaOH and extracted twice with 500 μL *tert*-butyl methyl ether. The organic fractions were combined and dried over anhydrous MgSO<sub>4</sub> and analysed by HPLC or GC-FID on a chiral stationary phase.

## Conflicts of interest

The authors declare no conflicts of interest.

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## Notes and references

‡ The coordinates for *Nf*RedAm-apo and *Nf*RedAm-NADP<sup>+</sup> complex were deposited in the PDB with accession codes 6SKX and 6SLE respectively.

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