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1 **Noncoding regions underpin avian bill shape diversification at**  
2 **macroevolutionary scales**

3 Leeban Yusuf<sup>1,2</sup>, Matthew C. Heatley<sup>1,3</sup>, Joseph P.G. Palmer<sup>1,4</sup>, Henry J. Barton<sup>1,5</sup>, Christopher R.  
4 Cooney<sup>1,\*</sup>, Toni I. Gossmann<sup>1,6,\*</sup>

5 <sup>1</sup> Department of Animal and Plant Sciences, University of Sheffield, Sheffield S10 2TN, UK

6 <sup>2</sup> Centre for Biological Diversity, School of Biology, University of St. Andrews, Fife, KY16 9TF, UK

7 <sup>3</sup> Division of Plant and Crop Sciences, School of Biosciences, University of Nottingham, Sutton Boning-  
8 ton LE12 5RD, UK

9 <sup>4</sup> School of Biological Sciences, Royal Holloway University of London, Egham, Surrey, TW20 0EX, UK

10 <sup>5</sup> Organismal and Evolutionary Biology Research Programme, Viikinkaari 9 (PL 56), University of  
11 Helsinki, Helsinki, FI-00014, Finland

12 <sup>6</sup> Department of Animal Behaviour, Bielefeld University, Postfach 100131, Bielefeld, DE-33501, Ger-  
13 many

14 \* **Correspondance:** c.cooney@sheffield.ac.uk (CRC) and toni.gossmann@gmail.com (TIG)

15 **Keywords:** Comparative genomics, BMP and Wnt signalling, bird beak shape morphology, regulatory  
16 changes, avian-specific highly conserved elements

17 **Abstract**

18 Recent progress has been made in identifying genomic regions implicated in trait evolution on a mi-  
19 croevolutionary scale in many species, but whether these are relevant over macroevolutionary time  
20 remains unclear. Here, we directly address this fundamental question using bird beak shape, a key evo-  
21 lutionary innovation linked to patterns of resource use, divergence and speciation, as a model trait. We  
22 integrate class-wide geometric-morphometric analyses with evolutionary sequence analyses of 10,322  
23 protein coding genes as well as 229,001 genomic regions spanning 72 species. We identify 1,434 protein  
24 coding genes and 39,806 noncoding regions for which molecular rates were significantly related to rates  
25 of bill shape evolution. We show that homologs of the identified protein coding genes as well as genes  
26 in close proximity to the identified noncoding regions are involved in craniofacial embryo development in  
27 mammals. They are associated with embryonic stem cells pathways, including BMP and Wnt signalling,  
28 both of which have repeatedly been implicated in the morphological development of avian beaks. This  
29 suggests that identifying genotype-phenotype association on a genome wide scale over macroevolution-  
30 ary time is feasible. While the coding and noncoding gene sets are associated with similar pathways, the  
31 actual genes are highly distinct, with significantly reduced overlap between them and bill-related phe-  
32 notype associations specific to noncoding loci. Evidence for signatures of recent diversifying selection  
33 on our identified noncoding loci in Darwin finch populations further suggests that regulatory rather than  
34 coding changes are major drivers of morphological diversification over macroevolutionary times.

## 35 Introduction

36 Disentangling the interplay between macroevolutionary trends and microevolutionary processes is fun-  
37 damental to understand patterns of diversification over time. Key innovations, defined as traits that  
38 allow species to interact with environments in novel ways (Stroud and Losos 2016), are thought to play  
39 an important role determining macroevolutionary patterns of diversification, by allowing lineages to ac-  
40 cess and exploit new, previously inaccessible resources (Hunter 1998). In birds, evolutionary transitions  
41 in life-history traits and the emergence of *de-novo* innovations occurred rapidly alongside species and  
42 niche diversification (Balanoff et al. 2013; Xu et al. 2014). Understanding whether convergent molecu-  
43 lar mechanisms underlie independent trait evolution in different organisms is a key question in biology  
44 (Manceau et al. 2010; Rosenblum et al. 2014; Lamichhaney et al. 2019). A variety of approaches to link  
45 molecular and phenotypic changes have been developed (O'Connor and Mundy 2009, 2013; Mayrose  
46 and Otto 2011; Levy Karin et al. 2017; Sharma et al. 2018; Hu et al. 2019) but these are generally  
47 restricted to relatively simple discretized phenotypic information (Prudent et al. 2016) and may not be  
48 easily applicable to more complex phenotypes on a genome-wide scale (Lartillot 2013).

49 A pertinent example of an important innovation is the evolution of the beak in modern birds. The avian bill  
50 is closely associated with species' dietary and foraging niches and changes in beak shape are implicated  
51 in driving population divergence and speciation (Grant and Grant 1996; Bhullar et al. 2016). However,  
52 despite considerable effort, the genetic and developmental underpinnings of avian beak shape is still  
53 poorly understood, particularly at macroevolutionary scales. In the wake of the Cretaceous-Paleogene  
54 (K-Pg) mass extinction event, beak shape has been hypothesized to have evolved through a series of  
55 ontogenic stages (Bhullar et al. 2012, 2015), though the exact mechanism is yet to be established.  
56 Beak shape is comprised of separate morphological and developmental parameters, each of which is  
57 likely to be regulated by independent sets of transcriptional factors (Bhullar et al. 2015; Mallarino et al.  
58 2011). Understanding how each of these morphological parameters evolved, how they are modulated,  
59 and how changes in such factors affect patterns of beak shape disparity across modern birds represents  
60 a significant unresolved challenge.

61 Several candidate genes linked to bird beak shape have been identified within populations or between  
62 recently diverged species. Among the earliest studies to identify genes implicated in beak shape evo-

63 lution are comparative transcriptomic analyses in Darwin's finches (Abzhanov et al. 2004, 2006) that  
64 found *BMP4*, a gene involved in the regulation of beak depth and width, and *CAM* (calmodulin), a gene  
65 putatively involved in beak length. Both genes were later identified to be partially-implicated in beak  
66 shape development (Mallarino et al. 2011). In addition, *ALX1*, a transcription factor involved in cran-  
67 iofacial development, and *HMGA2*, a gene associated with increases in beak size, were also identified  
68 in Darwin's finches (Lamichhaney et al. 2015, 2018). In European populations of great tits, a collagen  
69 gene, *COL4A5*, putatively linked to beak length variation, was found to be under selection (Bosse et al.  
70 2017a). Collectively, these findings illustrate (I) a complex genetic architecture for beak shape, (II) that  
71 genes implicated in beak shape may evolve under strong, detectable selective pressures in populations,  
72 and (III) that such genes are likely to be different across different avian taxonomic groups.

73 However, despite these clear predictions, no previous attempts have been made to identify genes that  
74 repeatedly play a role in beak shape evolution over broad evolutionary timescales. While previous stud-  
75 ies have explored the genetic basis of other key avian traits (e.g. song, flight), such studies are typically  
76 targeted towards candidate genes, or incorporated clade-specific features (Whitney et al. 2014; Wirth-  
77 lin et al. 2014; Machado et al. 2016; Sackton et al. 2019). Thus, relatively little is currently known  
78 about the genetics underpinning the macroevolution of beak shape. The current lack of insight connect-  
79 ing species or clade specific candidate genes to large scale evolutionary time may be explained by two  
80 main arguments. First, there is a growing consensus that large-effect genes (Fisher 1930) may not be as  
81 important for the evolution of complex traits as small-effect genes (Hill 2010; Rockman 2012; Boyle et al.  
82 2017). This model of adaptation is well-supported by growing population genomic evidence, but does  
83 not explain candidate genes implicated in beak shape evolution with seemingly large-effects on beak  
84 morphology and speciation. Second, genes under strong long-term selective pressures may simply be  
85 difficult to detect due to confounding factors that obscure evolutionary signals. For example, selective  
86 pressures and demography vary over time, making the detection of clear signals of adaptive evolution  
87 and other evolutionary forces using sequence divergence approaches challenging. A third possibility is  
88 that the role of convergent evolution (Stern 2013; Manceau et al. 2010; Rosenblum et al. 2014; Lamich-  
89 haney et al. 2019) is limited if different genes are involved in morphological changes in different parts of  
90 the phylogeny.

91 Here, we utilize large-scale comparative genomic and phylogenetically reconstructed geometric-

92 morphometric data to identify candidate loci that relate to macroevolutionary shifts in trait evolution.  
93 Specifically, we ask whether rates of bird beak shape evolution are explained by loci that experience  
94 long-term, repeated shifts in molecular rates across distantly-related avian taxa. To accomplish this, we  
95 designed an approach to detect loci persistently implicated in beak shape evolution across lineages by  
96 integrating morphological data into substitution rate models in a phylogenetic framework. We analysed  
97 protein coding genes as well as noncoding conserved regions from 72 bird species and combined  
98 them with morphological information from all major avian orders and families spanning >97% of avian  
99 genera (Cooney et al. 2017). Using this approach, we were able to link genetic and morphological  
100 diversification on a macroevolutionary scale.

## 101 **Results**

102 Previous work has identified several genes and genomic regions that are under selection as likely  
103 species-specific drivers of bird beak shape evolution (Table S1). In order to identify genes that play  
104 a role in beak shape evolution beyond a lineage or species specific scale, we performed sequence  
105 divergence analyses on protein coding genes and avian-specific highly conserved elements, possibly  
106 regulatory, regions.

### 107 **Detecting protein coding genes repeatedly implicated in beak shape evolution**

108 To test whether protein coding changes of the same protein are repeatedly implicated with beak shape  
109 morphological change across taxa, we designed an approach that incorporates estimates of morpholog-  
110 ical trait evolution into a branch model of sequence diversification. Specifically, we estimated sequence  
111 divergence using the ratio of non-synonymous substitutions to synonymous substitutions ( $d_N/d_S$ ), which  
112 provides an indication of selection acting at the protein level. Our model assumes that the rate of molec-  
113 ular evolution ( $d_N/d_S$ ) varies between predetermined types of branches, but not between sites in a pro-  
114 tein, which is a reasonable restriction for computational reasons (Yang 1998; Yang and Nielsen 1998).  
115 We obtained estimates of rates of beak shape evolution based on geometric-morphometric data for all  
116 branches and grouped them into ranked bins according to their respective rates of beak shape evolution  
117 (Figure 1). If protein coding genes drive morphological change we hypothesised a positive correlation

118 between ranked bins – where bins increased in rates of estimated phenotypic evolution incrementally  
119 – and estimates of  $d_N/d_S$ . For the 10,238 genes included in our analysis, we set up a branch model  
120 assuming different  $d_N/d_S$  for each bin. Accompanying this, for each binned model, we estimated  $d_N/d_S$   
121 in a null model assuming no difference in  $d_N/d_S$  between bins. A comparison between the binned model  
122 and the null model using a likelihood ratio test will reveal whether there is significant variation in the rate  
123 of protein change across our grouped branches.

124 We found that 1,434 ( $\approx 14\%$ ) genes had significant variation in their  $d_N/d_S$  values across the grouped  
125 branches after correcting for multiple testing (e.g. significantly different likelihoods between the two mod-  
126 els, FDR < 0.05). To determine putative functions of these genes, we performed phenotype ontology  
127 and pathway enrichment analyses using WebGestalt (Wang et al. 2017). Among the most enriched  
128 pathways are *Wnt Signalling pathway* and *ESC pluripotency pathways* (Figure 2A), both of which have  
129 been implicated in beak morphological development (Wu et al. 2004; Abzhanov et al. 2004; Merrill et al.  
130 2008; Brugmann et al. 2010). Among the top phenotypic ontologies we find several ontology descrip-  
131 tions associated with skin as well as ectopic calcification and hydrocephalus (Table 1). We also used  
132 STRING (Szklarczyk et al. 2015), a comprehensive database combining different evidence channels  
133 for protein-protein interaction networks and functional enrichment analysis, to identify protein interaction  
134 partners of three proteins that have been previously identified as being associated with bird beak shape  
135 morphology independent of size effects (ALX1, BMP4 and CALM1, Table S1). ALX1, in contrast to  
136 BMP4 and CALM1, shows only two predicted interaction partners while the other two proteins are part of  
137 huge interaction networks (Figure S1). Altogether we identified 467 protein interaction partners across  
138 the three proteins and tested whether there is an enrichment of these in our dataset of 1,434 genes,  
139 which is indeed the case (Table 2,  $\chi^2$  test, df=1, P=0.002).

140 We hypothesized a positive correlation between rates of molecular change and beak shape change,  
141 however after correcting for multiple testing no significant correlations were observed. This might be  
142 caused by limited power, e.g. due to short gene length or branch length, but generally suggests limited  
143 evidence for a simple relationship between the rate of molecular change in protein coding genes and  
144 morphological change.

## 145 **Detecting conserved noncoding regions implicated in beak shape evolution**

146 To identify noncoding, possibly regulatory, regions that may be associated with beak shape morpho-  
147 logical change over macroevolutionary time we analysed genomic regions based on avian conserved  
148 elements obtained from the chicken genome (Seki et al. 2017). Specifically, we obtained multiple se-  
149 quence alignments of conserved regions from whole genome alignments comprising 72 bird genomes  
150 (Table S2) and grouped branches in up to 16 different categories using a *k*-means binning approach on  
151 branch specific morphological beak shape rate change (Cooney et al. 2017), a similar binning approach  
152 as for protein coding genes. Simulations show that 16 bins capture rate heterogeneity among branches  
153 very well at computationally feasible costs (Figure S2).

154 We successfully processed and analysed 229,001 conserved elements, of which 39,806 ( $\approx 17.4\%$ )  
155 showed significant variation in their substitution rates after correcting for multiple testing ( $\chi^2$ -test,  
156 FDR<0.05). As we were interested to link potentially cis-regulatory elements to their target genes  
157 we restricted our analysis to conserved elements within or in close proximity to genes. Although the  
158 location of cis-regulatory elements is not fixed, they frequently occur in introns (Wittkopp and Kalay  
159 2012) or close to the transcription start site, such as promoters and promoter-proximal elements (Butler  
160 and Kadonaga 2002; Andersson and Sandelin 2020). We extracted 884 genes that were overlapping  
161 or within 200bp distance (Piechota et al. 2010) of the identified regions in the chicken genome. To  
162 determine putative functions of these genes, we performed phenotype ontology and pathway enrichment  
163 analyses using WebGestalt (Wang et al. 2017). Among the most enriched pathways are *Ectoderm*  
164 *Differentiation*, *Mesodermal Commitment Pathway*, *Focal adhesion* and the *ESC Pluripotency pathways*  
165 (Figure 2B). The latter pathway set was also identified for the protein coding genes and represents  
166 an ensemble of pathways, including BMP and Wnt signalling, necessary for regulating pluripotency of  
167 embryonic stem cells (Okita and Yamanaka 2006). Phenotypic associations included “Abnormality of  
168 mouth shape and nasal bridge”, “cleft upper lip” and “nyctalopia” (Table 1).

169 We find that the 884 protein coding genes in cis to the identified genomic regions are overrepresented  
170 in a set of 511 genes involved in early craniofacial development in mice (Brunskill et al. 2014) ( $P=0.012$ ,  
171  $\chi^2$ -test,  $df=1$ , Table 3). To investigate whether there is any indicator for a biological meaningful rela-  
172 tionship of the rate of molecular change and the rate of morphological change we focused on 2,644



173 out of 39,806 genomic regions ( $\approx 1.2\%$  of all genomic regions) that individually showed a significant  
174 correlation (Kendall  $\tau$ ,  $P < 0.05$ ) between beak shape rates and substitution rate. We find that the over-  
175 representation for mice craniofacial genes is driven by a subset of 163 genes nearby the 2,644 regions  
176 ( $P = 2.5 \times 10^{-5}$ ,  $\chi^2$ -test), but not the 721 remaining genes ( $P = 0.58$ ,  $\chi^2$ -test,  $df = 1$ ). This suggests that  
177 the rate of molecular change in noncoding regions may be correlated to the rate of beak shape change  
178 (Table 3).

179 These previous analyses are most likely to identify the role of cis-regulatory elements because they focus  
180 on genes nearby to noncoding regions. Hence, we conducted a second strategy to gain further insights  
181 into the role of the identified noncoding regions as possible trans-acting elements. For this, we searched  
182 for short enriched motifs in the set of 39,806 genomic regions using DREME (Bailey 2011), and focused  
183 on the top 20 enriched motifs (Table S3). These motifs are potentially part of genomic regions that are  
184 targets of transcription factors.

185 To identify potential proteins binding to these motifs we used TOMTOM (Gupta et al. 2007) and obtained  
186 145 potential annotated binding proteins, including GSC and SMAD proteins, both previously identified  
187 to be associated with beak shape morphological evolution (Parsons and Albertson 2009; Lamichhaney  
188 et al. 2015). To discern potential functions related to craniofacial features we conducted a phenotype en-  
189 richment analysis and identified “Abnormal lip morphology” as significant phenotype association and “lip  
190 and craniofacial abnormalities” as disease associated ontologies using a disease annotation database  
191 (Table 1).

### 192 **Genetic differentiation of the identified noncoding loci in Darwin’s finches**

193 To test whether the identified noncoding loci may play a role in shaping beak morphology in a recent  
194 diversification we obtained polymorphism data from Darwin’s finch populations that either show a pointy  
195 or blunt beak phenotype (Lamichhaney et al. 2015). Using this dataset we find that our identified regions  
196 are characterised by patterns of linked selection that differ to a genomic control. Relative to genomic  
197 control regions, we find a stronger genetic differentiation between blunt and pointy phenotype populations  
198 (Figure 3A), as well as a higher overall genetic diversity at our identified loci (Figure 3B).

## 199 **Genes underlying evolutionary hotspots of beak shape divergence**

200 Major evolutionary changes in beak shape may be concentrated within specific time periods and/or  
201 lineages (Cooney et al. 2017), and it is plausible that genes underlying these changes will show corre-  
202 sponding signatures of rapid evolution associated with such instances of ‘quantum evolution’ (Simpson  
203 1944). We tested this prediction by identifying branches with the fastest-evolving rates of beak shape  
204 evolution according to trait evolution estimates derived from our morphological data. We selected three  
205 branches in our phylogeny with the most divergent beak shape evolution and refer to these branches as  
206 ‘hotspots’ (Figure S3). We conducted branch model tests (Yang et al. 1998; Yang 1998) for each of the  
207 three rapidly-evolving branches.

208 After accounting for multiple testing, we detected 36 genes with a signature of rapid evolution ( $d_N/d_S$   
209  $> 1$ , Figure 4). Although  $d_N/d_S > 1$  is indicative of rapid evolution, a formal significance test (versus a  
210 model with a fixed  $d_N/d_S = 1$  for the tested branch) suggests only for nine of our 36 identified genes a  
211 significant elevation of  $d_N/d_S$  above one, indicative of positive selection (Figure 4). We identified BGLAP,  
212 a gene encoding osteocalcin, a highly-abundant, non-collagenous protein found in embryonic bone and  
213 involved in bone formation (Ducy et al. 1996; Raymond MH, Schutte BC, Torner JC, Burns TL 1999).  
214 Furthermore, we identified SOX5, a gene reported to have an assistive role in regulating embryonic  
215 cartilage formation (Lefebvre et al. 1998). In chickens, the expression of SOX5 and a duplication in the  
216 first intronic region of the gene is associated with the Pea-comb phenotype (Wright et al. 2009).

## 217 **Discussion**

218 We developed a phylogenetic approach to identify genomic loci underlying the evolution of beak shape  
219 across macroevolutionary time and investigated genetic changes at coding and noncoding DNA across  
220 72 bird species. Specifically, we asked whether loci that are repeatedly implicated in beak shape evo-  
221 lution across the bird phylogeny can be detected. By binning branches according to estimated rates of  
222 beak shape evolution, on the basis that phenotypic evolution is informative of genetic changes, we esti-  
223 mated rates of protein evolution across more than 10,000 genes, as well as rates of DNA substitutions  
224 for more than 200,000 avian-specific conserved regions, in a phylogenetic context.

## 225 **Protein coding genes associated with beak shape evolution across birds**

226 For protein coding genes, we found significant variation in  $d_N/d_S$  between binned branches in  $\approx 14\%$  of  
227 the genes tested, but we did not find a significant correlation between rates of phenotypic evolution and  
228 protein evolution for any gene. The binned model for coding DNA described in this study is not a formal  
229 test for positive selection, however a positive correlation between evolutionary rates and morphological  
230 genes could be indicative of repeated adaptive evolution of the same gene. Although we do not find  
231 evidence for this, some loci may have experienced shifts in  $d_N/d_S$  ratios repeatedly across distantly-  
232 related branches in association with beak shape morphological change. This relation may be explained  
233 by a number of different evolutionary forces, potentially acting independently or in tandem.

234 An association between  $d_N/d_S$  and morphological changes may not be associated with adaptive events,  
235 but could also be explained by varying levels of genetic drift or purifying selection. For instance, relaxed  
236 purifying selection often occurs in response to environmental changes that weaken the effect of selec-  
237 tion previously required to maintain a trait (Lahti et al. 2009). Furthermore, environments and therefore  
238 selective pressures are unlikely to remain stable over long evolutionary times. So far, only a few anal-  
239 yses have found experimental evidence of fluctuating selection acting on polymorphisms (Lynch 1987;  
240 O'Hara 2005). However, at a broader scale, models estimating the effects of fluctuating selection sug-  
241 gest a contribution to divergence similar to signatures of adaptive evolution (Huerta-Sanchez et al. 2008;  
242 Gossmann et al. 2014). Depending on the strength of fluctuating selection, or other types of varying  
243 selection intensities, it may account for the lack of strong, positive correlation coefficients reported in this  
244 study.

245 Although we might generally expect that morphological change in beak shape is positively correlated with  
246  $d_N/d_S$ , an alternative scenario can explain a negative correlation. Adaptive mutations, because of their  
247 functional importance, are expected to experience strong purifying selection after their fixation (Kimura  
248 1983). Functionally-important genes typically show signals of strong purifying selection ( $d_N/d_S \ll 1$ ) and  
249 this is not conducive to a pattern of repeated increase in  $d_N/d_S$  over distantly-related branches. Instead,  
250 a selective sweep would be followed by sustained reduction in  $d_N/d_S$  through a prolonged period of  
251 intense purifying selection. We also did not identify genes with a significant negative correlations. We  
252 want to stress that further exploration of how adaptation occurs over macroevolutionary time, and the

253 signals of selection left by ancient adaptive events is necessary to be able to fully elucidate our results.  
254 It may well be that our assumption of a positive correlation with beak shape rate does not hold because  
255 the role of convergent evolution is less pervasive, or that a rate analysis at coding sites does not have  
256 enough power as a measure of repeated directional positive selection.

### 257 **The effect of varying effective population size and life-history traits**

258 Following the K-Pg extinction, modern birds experienced drastic reductions in body size, and with it,  
259 an increase in shorter generation time – this phenomenon is termed the Lilliput effect (Berv and Field  
260 2018). Critically, reductions in body size and generation time have likely resulted in an increased  $N_e$ ,  
261 and alongside it, an increase in the efficacy of selection (Kimura 1983; Gossmann et al. 2010; Lanfear et  
262 al. 2014). This gradual decrease in body size and generation time, and with it, an increase in the neutral  
263 substitution rate, could account for an incremental decrease in  $d_N/d_S$  over time. So far, a number of  
264 studies have reported that a relationship exists between body mass and rates of molecular evolution in  
265 birds, with varying results (Weber et al. 2014; Nabholz et al. 2016; Botero-Castro et al. 2017; Figuet  
266 et al. 2017). In apparent contradiction with expectations of the neutral theory, several studies found  
267 that a decrease in body mass in birds did not result in decreased  $d_N/d_S$  estimates (Lanfear et al. 2010;  
268 Nabholz et al. 2013; Weber et al. 2014; Bolívar et al. 2019). In fact, they found a weakly negative  
269 relationship between body mass and  $d_N/d_S$ , although similar studies report the opposite trend:  $d_N/d_S$   
270 in birds is positively correlated with body mass (Botero-Castro et al. 2017; Figuet et al. 2017). Indeed,  
271 mean and median correlation coefficient of  $d_N/d_S$  with beak shape rate change is 0.047 and 0.048,  
272 respectively (significantly different from zero,  $P < 0.05$ , one sample  $t$ -test,  $n=1,434$ ), for the 1,434 genes  
273 with significant heterogeneity in  $d_N/d_S$ , possibly suggesting a co-variation of beak shape change with  
274 other traits, such as body size.

275 Fluctuations in effective population size ( $N_e$ ), which are not taken into consideration by models of protein  
276 evolution, may affect interpretations of  $d_N/d_S$ . For example, fluctuations in  $N_e$  may cause the fixation  
277 of neutral or slightly-deleterious mutations – in this case, this would mean the interpretation that  $d_N/d_S$   
278  $> 1$  is indicative of positive or diversifying selection may be erroneous. Strong shifts in  $d_N/d_S$  may  
279 be driven by sudden changes in population size or genuine positive selection, and might obscure or  
280 oppose incremental increases in  $d_N/d_S$  across bins (Bielawski et al. 2016; Jones et al. 2016). In our

281 model, however, the effects of population size changes are partially negated by co-estimating parameters  
282 across branches. Unless specifically accounted for, substitution rate models do not consider the effect  
283 of non-equilibria processes that could affect  $d_N/d_S$  estimates (Matsumoto et al. 2015). For example,  
284 GC-biased gene conversion - described as the preferential conversion of 'A' or 'T' alleles to 'G' or 'C'  
285 during recombination induced repair – has been shown to significantly affect estimates of substitution  
286 rates, in particular at synonymous sites in birds (Galtier et al. 2009; Weber et al. 2014; Bolívar et al.  
287 2016; Botero-Castro et al. 2017; Corcoran et al. 2017; Bolívar et al. 2019). However, while differences  
288 in the extent of GC biased gene conversion across genes are known, much less is known about its  
289 variation over time and incorporating such biases into large scale phylogenetic frameworks is far from  
290 trivial (Gossmann et al. 2018).

### 291 **Noncoding regions associated with beak shape morphology evolution across birds**

292 Branch specific substitution rates of more than 39,000 avian-specific conserved regions are significantly  
293 associated with beak shape rates. We find more than 850 genes that are nearby these regions, possibly  
294 cis-regulatory factors, that show significant enrichment for craniofacial phenotypes in humans and mice.  
295 Unlike for protein coding regions we were unable to correct our substitution rate estimates for the effect  
296 of varying mutation rates (e.g. there is no counterpart for  $d_S$  as for coding regions). Due to special  
297 features of the avian karyotype, such as a stable recombinational and mutational landscape, it seems  
298 unlikely that variation in mutation rate can contribute to the patterns observed here. However, while inter-  
299 chromosomal re-arrangements are rare in birds, intra-chromosomal changes are more common and  
300 could lead to sudden changes in local mutation rates (Gossmann et al. 2018). Additionally, we restricted  
301 our analysis to noncoding regions that are specific to birds, or highly divergent relative to vertebrates  
302 (Seki et al. 2017). Whether anciently conserved elements, such as vertebrate specific regulatory regions  
303 (Lowe et al. 2015), may play a role in avian beak shape remains an open question. Equally, as the  
304 noncoding regions were identified based on the chicken genome, we lack those conserved regions that  
305 are absent from the chicken genome but present in other parts of the phylogeny.

306 More than 2,000 of the identified regions showed a significant correlation with binned rates of beak  
307 shape change and genes nearby these regions significantly overlap with genes involved in craniofacial  
308 development in mice (Table 3). The association of sequence divergence and trait divergence, along with

309 a strong phenotypic enrichment, might suggest that the accumulation of neutral mutations at noncoding  
310 sites may play a pronounced role in bird beak diversification. This is because in our applied model  
311 we cannot distinguish between the action of selection and the accumulation of drift through fixations  
312 of new mutations (e.g. background variation in mutation rate). Hence, disentangling differences in the  
313 evolutionary pressures these regions experienced remains a major future challenge.

314 Some of the noncoding conserved genomic regions we identified may not be in physical proximity to  
315 a gene, e.g. many enhancers can be megabases away from the gene they regulate. Potentially, this  
316 could result from missing annotation for the *Gallus gallus* genome, or the fact that the genomic regions  
317 are trans-acting factors. Identifying the mechanisms underlying trans-acting factors is however very  
318 difficult to approach *in-vitro* as well as *in-silico*, and our approach to detect the role of trans-acting factors  
319 over macroevolutionary time is novel. We opted for an *in-silico* approach through motif enrichment and  
320 harvested vertebrate DNA binding protein databases to identify DNA binding proteins involved in beak  
321 diversification that go beyond a cis-acting role. We identified 145 possible DNA binding proteins using  
322 WebGestalt, including known transcription factors shown to be involved in beak development, that might  
323 be associated with beak shape diversification.

324 Although there is some overlap between the identified protein coding gene set and the noncoding gene  
325 set (Figure 5A), this is substantially less than expected by chance ( $P < 0.005$ ,  $\chi^2$ -test,  $df=1$ , protein coding  
326 genes versus genes near noncoding regions, Figure 5B). Indeed, based on the pathway and phenotype  
327 associations we note that the identified ontologies are different between the two datasets (Table 1).  
328 Although genes nearby noncoding regions are associated with facial and anatomical features, such as  
329 mouth shape, cleft and nasal abnormalities, the protein-coding phenotypes are mainly associated with  
330 dermal features. This suggests that the underlying evolutionary mechanisms of protein coding genes and  
331 noncoding, potentially regulatory, regions may be rather distinct in beak morphology evolution. However,  
332 as a common pattern, we identified that the ESC pathways are enriched in the coding and noncoding  
333 gene sets (Figure 5C). This further supports the notion that fundamental cellular pathways, such as BMP  
334 and Wnt signalling pathways, play a crucial role in the development of bird beaks and that this signal is  
335 detectable at a macroevolutionary scale.

336 A pressing question remains as to whether these long-term associations are also reflected in selection  
337 at the micro-evolutionary level (Shultz and Sackton 2019). To test this we obtained data from Darwin's

338 finch populations that differ in their beak shape morphology (Lamichhane et al. 2015). Our identified  
339 regions are characterised by pattern of linked selection that differ from genomic control regions with  
340 stronger genetic differentiation between blunt and pointy phenotype populations (Figure 3A), as well  
341 as a higher overall genetic diversity at our identified loci, suggestive of diversifying or partially relaxed  
342 purifying selection (Figure 3B). The signatures of selection are embedded in a genetic environment that  
343 shows local reduction of diversity due to strong purifying selection at these regions, typical of highly  
344 conserved regions. Sophisticated analyses of pinpointed genomic loci will be pivotal for future studies  
345 to disentangle the selective forces at these sites.

### 346 **Rapid genetic evolution in hotspots of beak shape evolution**

347 In a second approach we focused on the findings of previous studies: beak shape changes are driven  
348 by different genes in specific branches. Applying this rationale, we identified rapidly-evolving lineages  
349 from comprehensive trait evolution analyses specifically focused on beak shape evolution (Cooney et  
350 al. 2017), and tested genes at these branches for accelerated rates of corresponding protein evolution.  
351 We identified 36 protein coding genes with branch specific signals of rapid evolution, with nine of them  
352 showing evidence for positive selection. These genes are putatively linked to branch and lineage-specific  
353 changes in beak morphology.

354 The most plausible candidates were detected in an internal branch leading to the evolution of the  
355 Strisores, a clade estimated to have diverged over 60 MYA, comprised of swifts, hummingbirds, nightjars  
356 and their allies (Hackett et al. 2008; Prum et al. 2015; Cooney et al. 2017). As well as distinctively short  
357 beaks evolving in swifts and nightjars, the divergence of hummingbirds is characterized by significant  
358 changes beak shape, body size and metabolism. This is supported by reported accelerated rates of  
359 evolutionary change in multiple cranial modules in Strisores (Felice and Goswami 2017). Together,  
360 these changes encapsulate adaptive shifts that have occurred in the Strisores clade.

361 An important candidate that may explain some of these changes is *BGLAP*, a gene encoding for osteo-  
362 calcin, a ubiquitous protein found in bones and whose presence is critical for normal bone development  
363 (Ducy et al. 1996). Instead of direct involvement in bone production, osteocalcin regulates insulin ex-  
364 pression and excretion, thereby regulating energy expenditure in muscle tissue, development of bone

365 tissue and insulin sensitivity (Lee et al. 2007; Karsenty and Ferron 2012; Mera et al. 2016). Equally, as  
366 with *COL4A5*, a type IV collagen protein encoding gene, and *ALX1*, implicated in craniofacial develop-  
367 ment in Darwin's finches, *BGLAP* may alternatively play a role in beak shape evolution (Lamichhaney  
368 et al. 2015; Bosse et al. 2017b).

369 Similarly, we identified *SOX5*, a gene previously associated with the evolution of craniofacial phenotypes  
370 in chickens, to be under putative positive selection in Strisores (Wright et al. 2009). Specifically, pea-  
371 comb development is associated with ectopic expression of *SOX5* caused by copy-number variation at  
372 the first intron of *SOX5* (Wright et al. 2009). This is independently corroborated by strong expression  
373 patterns of *SOX5* in the brain tissue – a possible proxy for craniofacial tissue, which is not included  
374 in expression profiles – of chickens (Merkin et al. 2012). Beyond the pea-comb phenotype, *SOX5* is  
375 an essential transcription factor that acts to regulate chondrogenesis by enhancing a type-2 collage  
376 protein (*COL2A1*) and promotes the differentiation of chondrocytes. Critically, the expression pattern  
377 of *COL2A1* in the pre-nasal cartilage, an important morphological module of beaks and their shape(s),  
378 explains beak shape differences between medium and large ground finches during the 27th embryonic  
379 stage of development (Mallarino et al. 2011). Therefore, we suspect that *SOX5* may be important in  
380 explaining beak shape changes in swifts, hummingbirds and nightjars.

### 381 **Beak shape as a proxy for trait diversification**

382 A key principle of adaptive radiation theory is that diversification of species is associated with ecological  
383 and morphological diversity (Schluter 2000). In birds, the evolution of morphological changes tends  
384 to coincide with speciation events, with some discontinuities, particularly early on in avian evolution  
385 (Foote 1997; Ricklefs 2004; Hughes et al. 2013; Mcentee et al. 2018). Here, we focus particularly on  
386 beak shape evolution because of its putative importance as a key ecomorphological trait and its link to  
387 speciation, demonstrated by long term trends and direct ecological evidence in Darwin's finches (Cooney  
388 et al. 2017; Ricklefs 2004; Mcentee et al. 2018; Lamichhaney et al. 2018; Han et al. 2017; Grant and  
389 Grant 2009; Podos 2001; Huber and Podos 2006). However, evidently, many of the genes detected  
390 in this study are not associated with beak shape according to their putative functions. There are two  
391 explanations for this: First, some of the identified genes are pleiotropic in character and second, their  
392 functions are associated with traits that co-vary with beak shape evolution. We suspect that, alongside



393 strong candidates for beak shape, we have detected genes implicated in a range of adaptive changes  
394 that have allowed species to diversify into different ecological niches. Here, estimates of beak shape  
395 evolution taken from Cooney et al. (2017) may have acted to identify branches with the fastest rate of  
396 phenotypic evolution rather than beak shape evolution specifically. This may be of particular relevance  
397 for the identification of genomic loci underlying beak shape diversification hotspots.

398 In summary, we were able to identify genomic loci associated with beak shape morphological evolution  
399 over macroevolutionary time by combining morphometric analyses with genomic data. For both, coding  
400 and noncoding regions, less than 20% of the tested loci show significant variation in their molecular rates,  
401 and most of the tested loci in this study are genetically very conserved on a macroevolutionary scale  
402 and hence cannot provide a genetic explanation for the observed phenotypic variation in beak shape  
403 across species. We show that homologs of identified protein coding genes as well as genes in close  
404 proximity to identified noncoding regions, are involved in craniofacial embryo development in mammals  
405 and pinpoint two associated pathways, BMP and Wnt signalling, illustrating that changes in coding as  
406 well as noncoding DNA facilitate phenotypic evolution of avian beak shape. The identified coding and  
407 noncoding loci are highly distinct, with significantly reduced overlap between them and fundamentally  
408 different phenotype associations. At present, the selective forces that contribute to patterns of genetic  
409 and morphological diversification remain difficult to pinpoint. However, as genomic and morphological  
410 data continue to accumulate, our framework offers a potentially powerful approach to further disentangling  
411 the interplay of selection and drift responsible for driving the diversification of complex phenotypic  
412 traits at macroevolutionary scales.

## 413 **Methods**

### 414 **Multiple sequence alignments for protein coding genes**

415 We used genomes of 57 bird species with high quality annotations from NCBI RefSeq (O'Leary et al.  
416 2016) (Table S2). First, 12,013 orthologous protein coding genes were retrieved using RefSeq and  
417 HGNC gene identifiers, alongside reciprocal BLAST approaches based on three focal species, chicken,  
418 great tit and zebra finch - three of the best annotated high quality bird genomes available to date (Li et  
419 al. 2003; Östlund et al. 2009; Afanasyeva et al. 2018). We then performed a first set of alignment runs

420 using PRANK (Löytynoja and Goldman 2008). To ensure the quality of these sequence alignments, we  
421 applied a customised pipeline including multiple alignment steps and quality filters. Details are described  
422 in the Supplemental Methods.

### 423 **Avian specific highly conserved regions (ASHCE) alignments derived from multispecies whole** 424 **genome alignments**

425 To estimate substitution rates for noncoding conserved elements across the bird phylogeny, we obtained  
426 whole genome information from NCBI for 72 bird species including the 57 bird species using in the coding  
427 DNA analysis. To generate a multispecies whole genome alignment we aligned the 72 avian reference  
428 genomes (as of 15/2/2017) against version 3 (galGal3) of the chicken (*G. gallus*) genome (version:  
429 galGal3 available from: [ftp://ftp.ensembl.org/pub/release-54/fasta/gallus\\_gallus/dna/](ftp://ftp.ensembl.org/pub/release-54/fasta/gallus_gallus/dna/)) using the MUL-  
430 TIZ package (Blanchette et al. 2004). Alignments were performed per chromosome following a pipeline  
431 published earlier (Corcoran et al. 2017). A list of query species, genome versions used and down-  
432 load locations can be found in Table S2. We used avian-specific highly conserved elements (ASHCE)  
433 from Seki et al. (2017). They used whole-genome alignments for 48 avian and 9 non-avian vertebrate  
434 species spanning reptile, mammal, amphibian and fish to obtain 265,984 ASHCEs. We were able to  
435 prepare 229,001 (86% of the total number of ASHCE) high-quality alignments as input for the analysis  
436 with baseml. For this target ASHCE regions were intersected with the whole genome alignments us-  
437 ing BEDTools (v2.27.0) and FASTA files were created using customised scripts. Spurious and poorly  
438 aligned sequences were automatically removed using trimAl v1.4 (Capella-Gutierrez et al. 2009).

### 439 **Rates of morphological beak shape evolution**

440 Information on beak shape evolution was extracted from a recent study (Cooney et al. 2017) that quan-  
441 tified patterns of beak shape evolution across 2,028 species (>97% extant avian genera) covering the  
442 entire breadth of the avian clade. Briefly, this study used geometric morphometric data based on 3-D  
443 scans of museum specimens and multivariate rate heterogeneous models of trait evolution (Venditti et  
444 al. 2011) to estimate rates of beak shape evolution for all major branches in the avian phylogeny.

445 Based on this information, we hypothesised that branches found to have experienced rapid beak shape

446 evolution should also experience faster evolutionary change at the protein or genomic level. To test  
447 this, we split our evolutionary analyses into two, discrete approaches. First, for the detection of genes  
448 and genomic regions that have recurring effects on beak shape variation across multiple branches, we  
449 devised a binned approach. Second, for the detection of genes undergoing positive selection at branches  
450 that show rapid morphological change, we designed a hotspot approach.

#### 451 **Binned branch approach for the detection of large-effect genes and regulatory regions**

452 To detect genes that may be undergoing repeated periods of rapid, possibly adaptive, evolution across  
453 multiple lineages, we grouped branches in each alignment phylogeny according to their rates of mor-  
454 phological evolution using *k*-means binning (Lloyd 1982). Here, we opted for up to eight (coding) and  
455 16 (ASCH) bins, respectively, to enable robust statistical analysis but still reasonable computational  
456 time for the substitution rate analysis. To phylogenetically link the genetic data to the morphological  
457 data we relied on the Hackett et al. backbone (Hackett et al. 2008), hence we did not account for phylo-  
458 genetic heterogeneity among genes and possible gene-tree species tree discordance. Branches were  
459 grouped incrementally based on rates of trait evolution using a *k*-means binning approach, with the first  
460 bin representing branches with the slowest rates of morphological evolution, and the last bin representing  
461 branches with the fastest rates of morphological evolution (Figure 1). We assumed that genes involved  
462 in beak shape evolution would experience evolutionary rate change at the protein level ( $d_N/d_S$ ) pro-  
463 portional to their respective rate of morphological evolution. Theoretically, we hypothesize that genes  
464 important in beak shape evolution across many branches would show a strong positive correlation.

465 In our analysis, we tested this using a branch model which assumes different substitution rates ( $d_N/d_S$ )  
466 across different, pre-defined, branches in a phylogeny. Critically, the branch model may be useful in  
467 the detection of adaptive evolution occurring on particular branches (Yang et al. 1998; Yang 1998).  
468 Furthermore, we selected the branch model due to computational efficiency; the branch-site model and  
469 free-ratio model was deemed computationally intractable for a phylogeny of up to 57 species. Branches  
470 in each alignment's phylogeny were marked according to their respective bins (typically ranging from 1  
471 to 8). Labelling bins as distinct types of branches allowed for the estimation of up to eight different  $d_N/d_S$   
472 values per gene. Conjointly, for each binned model, an alternative null model assuming no difference in  
473  $d_N/d_S$  between branches was run (one-ratio model). The difference between models was compared us-

474 ing a likelihood-ratio test (LRT) by comparing twice the log-likelihood difference between the two models  
475 which is assumed to be  $\chi^2$  distributed, with the relevant degrees of freedom (Yang 2007).

476 To estimate rate heterogeneity among branches in noncoding regions, we used a model where we  
477 assumed equal rates among branches (e.g. a global clock, clock=1) and compared it to a model where  
478 we assumed different rates for the binned branches (clock=2), assessing significant differences between  
479 the models using a likelihood ratio test. For the simulations (Figure S2) we randomly chose a 222bp  
480 long genomic region with 67 species. We run a free branch model (clock=0) and used the obtained  
481 parameters as input for INDELible (Fletcher and Yang 2009). We simulated 100 sets of sequences  
482 and applied two types of binning: (1) A binning that grouped similar branch lengths and (2) an arbitrary  
483 binning. We considered 5 different numbers of bins (with 2,4,8,16 and unrestricted number of bins).  
484 We then conducted rate estimation on each of the binning approaches and calculated how well these  
485 estimates correlated (Kendall's  $\tau$  correlation coefficient) with the input parameters for INDELible (e.g. the  
486 simulation input) as well as the estimated values from the free branch model.

#### 487 **Hotspot approach for the detection of genes under positive selection**

488 To formally test for rapid and potentially positive selection on branches with increased rates of morpho-  
489 logical evolution, we used a 'hotspot' approach. As opposed to focusing on large-effect genes important  
490 across distantly-related avian taxa, we identified and marked specific, individual branches undergoing  
491 the fastest rates of morphological evolution, according to rate estimates from Cooney et al. (2017). At  
492 these branches, we hypothesize to detect higher  $d_N/d_S$  estimates relative to background branches.

#### 493 **Phenotype and pathway ontologies, protein databases and statistical analyses**

494 To determine the putative function of genes detected and enriched according to pathway and phenotype  
495 enrichment, we used WebGestalt (Wang et al. 2017) based on the human annotation. Specifically, we  
496 used the latest release of WebGestalt (last accessed 11.3.2019), and ran an Overrepresentation En-  
497 richment Analysis (ORA) for phenotypes (Human Phenotype Ontology), pathways (Wikipathways) and  
498 diseases (Glad4U). We set the minimum number of genes for a category to 40 and reported top statisti-  
499 cal significant results as weighted cover set (as implemented in WebGestalt). We also obtained a set of

500 511 genes known from mouse knock-out phenotypes to result in abnormal craniofacial morphology or  
501 development (Brunskill et al. 2014). To account for multiple testing in our binned and hotspot models,  
502  $\chi^2$ -squared P-values were corrected using the Benjamini-Hochberg procedure (Benjamini and Hochberg  
503 1995). We used Kendall's  $\tau$  correlation coefficient to compare the association between increasing bin  
504 number and corresponding  $d_N/d_S$  (coding) and substitution rates (noncoding) for each gene. Statis-  
505 tical analysis was conducted using the SciPy library in Python, and graphs were produced using the  
506 'tidyverse' package in R (Wilkinson 2011; R Core Team 2018) and the 'matplotlib' package in Python.  
507 Phylogenies were produced using the 'phytools' package in R (Revell 2012). Protein interaction partners  
508 for ALX1, BMP1 and CALM1 were retrieved from the STRING database (Szklarczyk et al. 2015) based  
509 on the human annotation requiring a minimum confidence score of 0.6 for all interaction partners. Motif  
510 detection was conducted using DREME (Bailey 2011) along with the identification of potential binding  
511 proteins using TOMTOM (Gupta et al. 2007). Specifically, we focused on vertebrate binding proteins  
512 using a common set of three available databases (JASPAR2018\_CORE Vertebrates Non-redundant,  
513 Jolma2013, uniprobe\_mouse) that together contained 649 annotated motif binding proteins.

#### 514 **Population genetic analysis in Darwin's finches population with diverse beak morphology**

515 We obtained per site measurements of population differentiation (fixation index  $F_{ST}$  and nucleotide di-  
516 versity  $\theta$  (Watterson 1975; Weir and Cockerham 1984) by calculating and contrasting genetic diversity  
517 of Darwin finch populations (Lamichhaney et al. 2015) with blunt (5 and 10 individuals from *Geospiza*  
518 *magnirostris* and *G. conirostris* populations, respectively) and pointed beaks (10 and 8 individuals from  
519 *G. conirostris* and *G. difficilis* populations, respectively).

#### 520 **Software availability**

521 Scripts concerning the analysis of protein coding regions and noncoding regions are available at GitHub  
522 (<https://github.com/LeebanY/avian-comparative-genomics>; [https://github.com/mattheatley/bird\\_project](https://github.com/mattheatley/bird_project))  
523 and as Supplemental Code.

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## Figure Legends

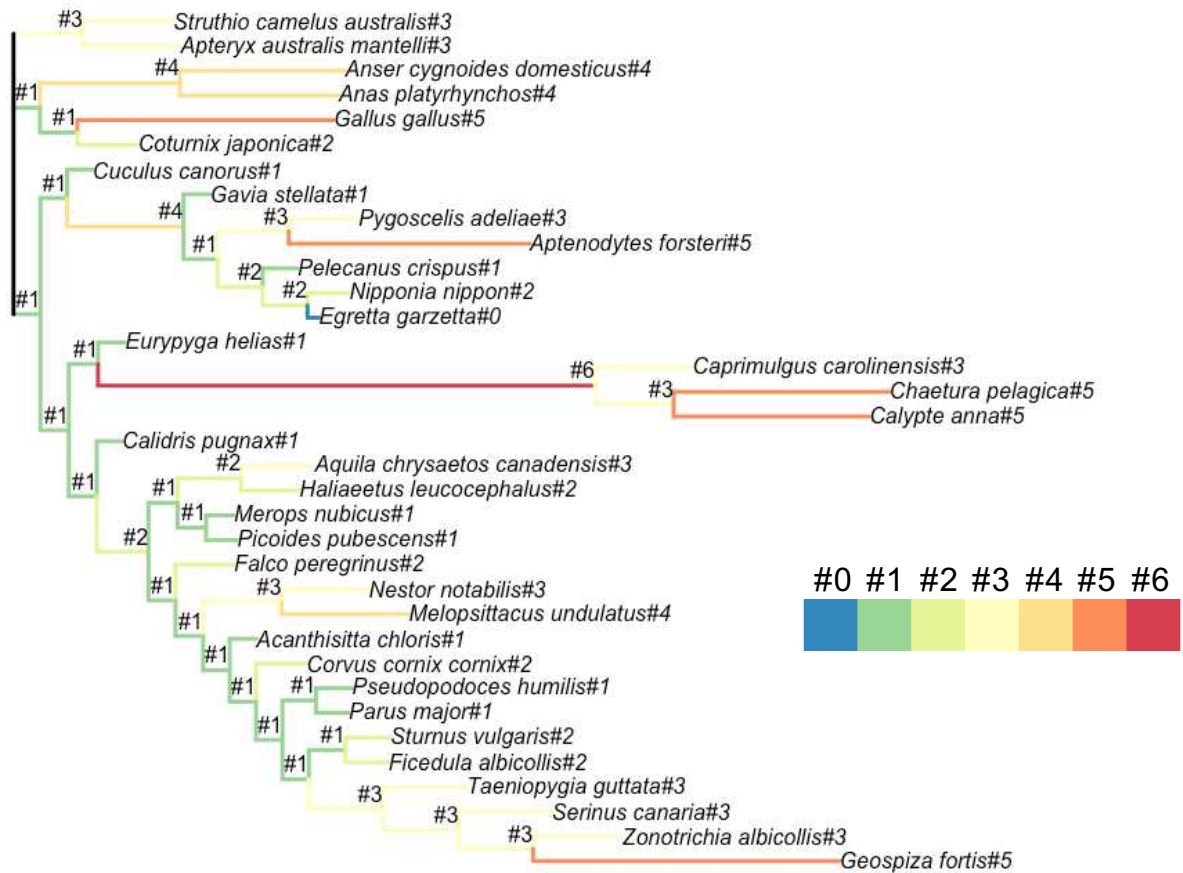


Figure 1: **An example tree illustrating the grouping of branches according their beak shape morphological rates.** The marked topology was then used as input for branch model in PAML (codeml for coding DNA and baseml for noncoding DNA). The maximum number of bins is eight for the coding gene set and 16 for the avian-specific highly conserved elements (ASHCE) set. Here, as an example, a binning with seven bins (#0 to #6) is shown.

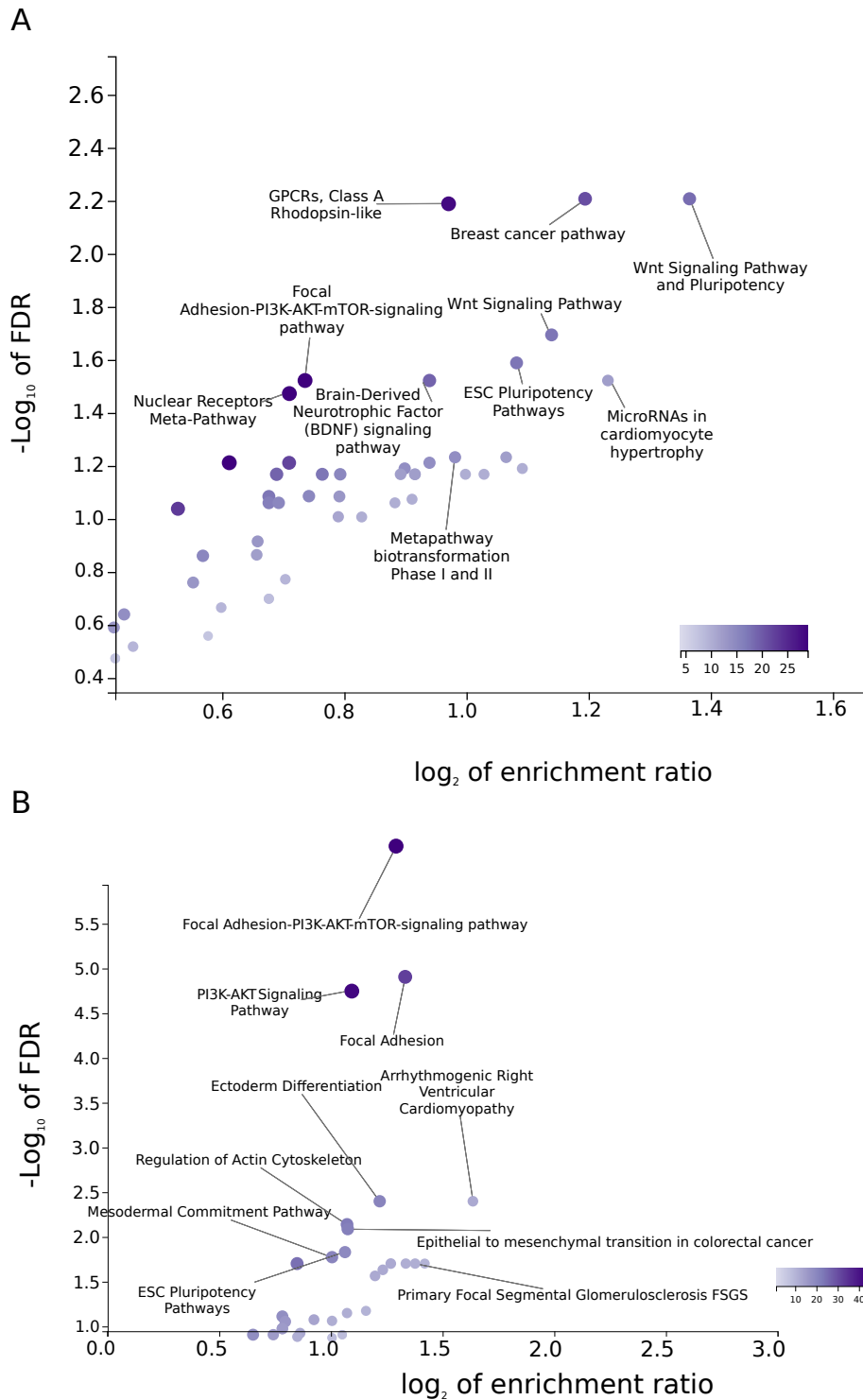


Figure 2: **Pathway enrichment analysis of (A) 1,434 protein coding genes and (B) 848 genes nearby avian conserved genomic regions** that show heterogeneity of substitution rates across branches that are grouped according to their beak shape morphological change rates. False discovery rate (FDR) and enrichment ratio stem from the pathway enrichment analysis in WebGestalt (Wang et al. 2017) using all analysed genes and human annotations, as these are the most comprehensive annotation databases to date. The color of the dots is denoted in the color scale and proportional to the category size, as defined by WebGestalt.

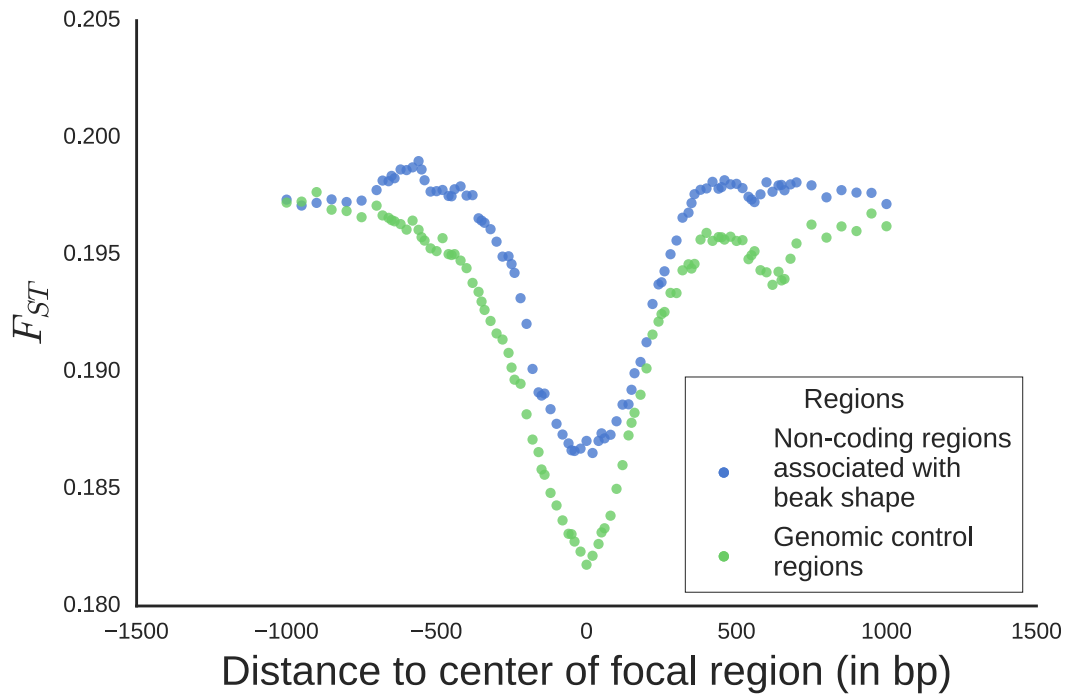
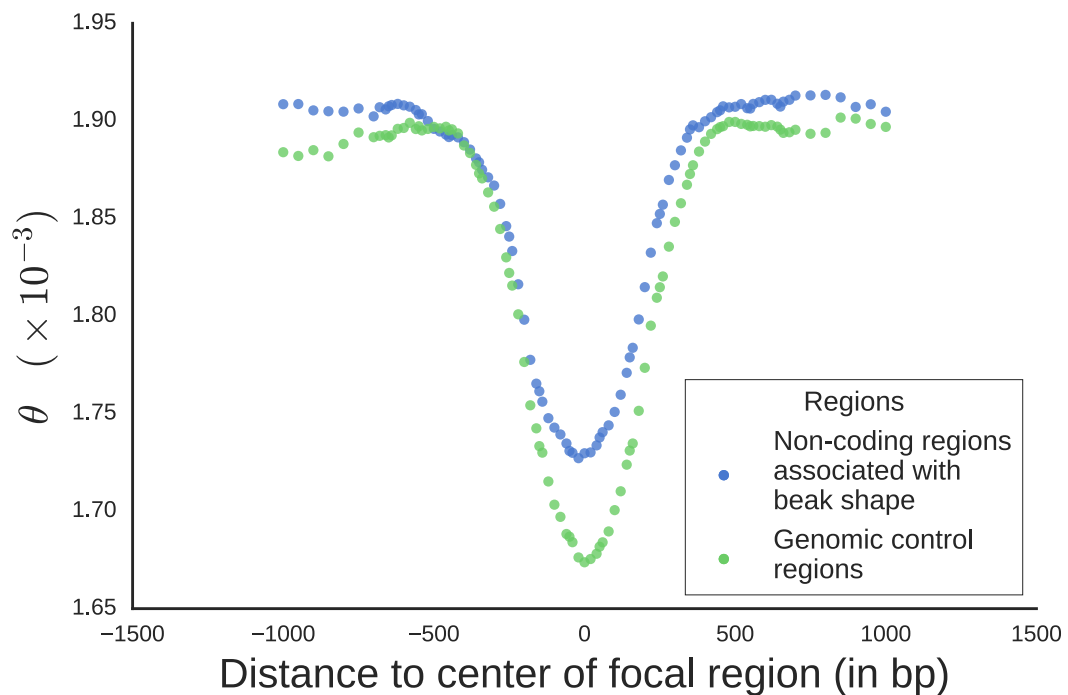
**A****B**

Figure 3: **Identified noncoding genomic regions in a microevolutionary context in populations of Darwin's finches.** (A) Measures for genetic differentiation among populations,  $F_{ST}$ , show contrasting genetic diversity in Darwin finch populations with blunt and pointy beaks, respectively. The identified loci associated with beak shape evolution over macroevolutionary time and nearby regions show a stronger differentiation relative to similar loci that are not associated with beak shape. (B) Total genetic diversity is higher for beak shape associated loci and nearby regions.



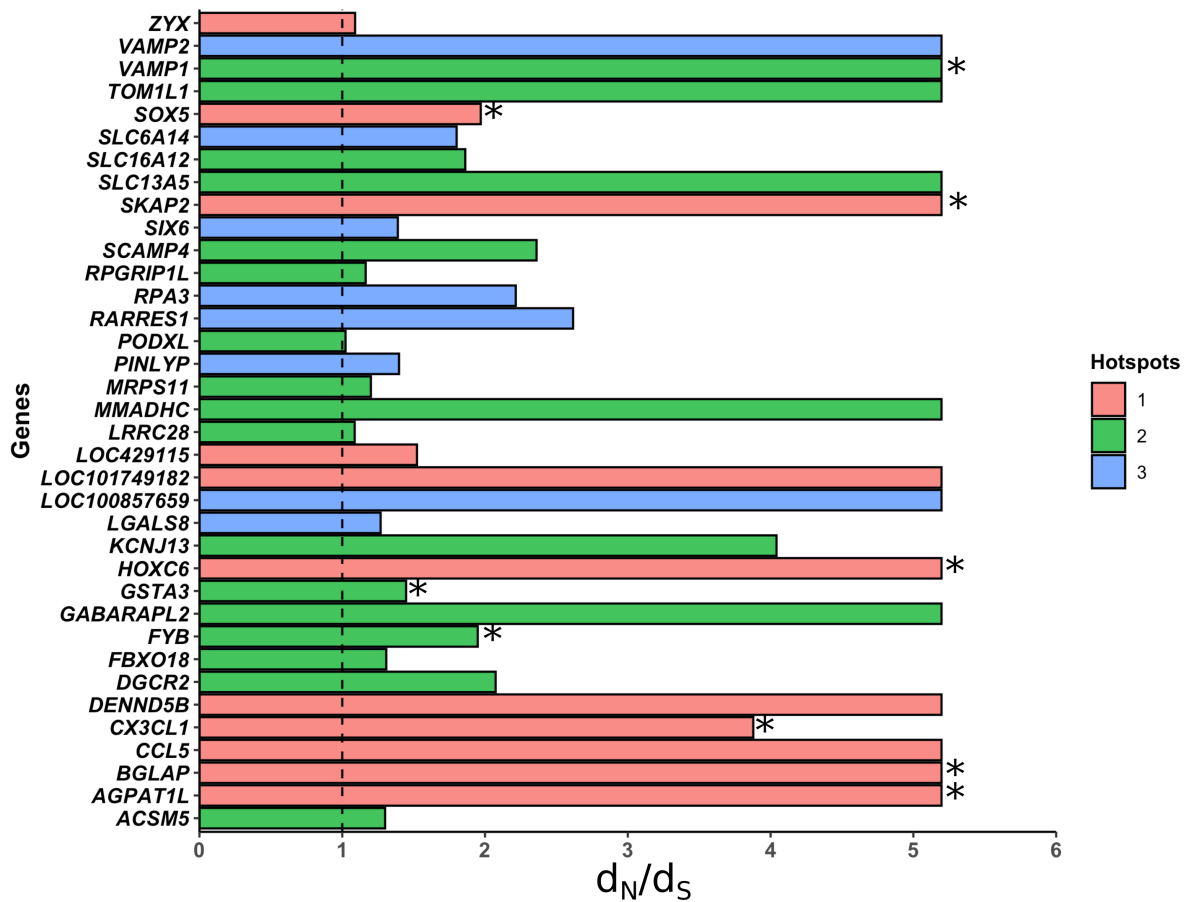


Figure 4: **Elevated rates of protein evolution ( $d_N/d_S$ ) associated with hotspots of beak shape morphological diversification.** Shown are  $d_N/d_S$  values for selected hotspot branches for 36 genes detected with  $d_N/d_S > 1$ . Black dotted line formally indicates neutrality ( $d_N/d_S = 1$ ) and asterisks indicate genes for which the branch-specific estimate  $d_N/d_S$  is significantly different from 1 (e.g. indicative of positive selection). Hotspots 1, 2 and 3 refer to the branches of the tree with the fastest, 2nd fastest and 3rd fastest rates of beak shape morphological change, respectively (Figure S3). For visualisation purposes are large  $d_N/d_S$  values truncated at 5.2 (the estimate for SKAP2).

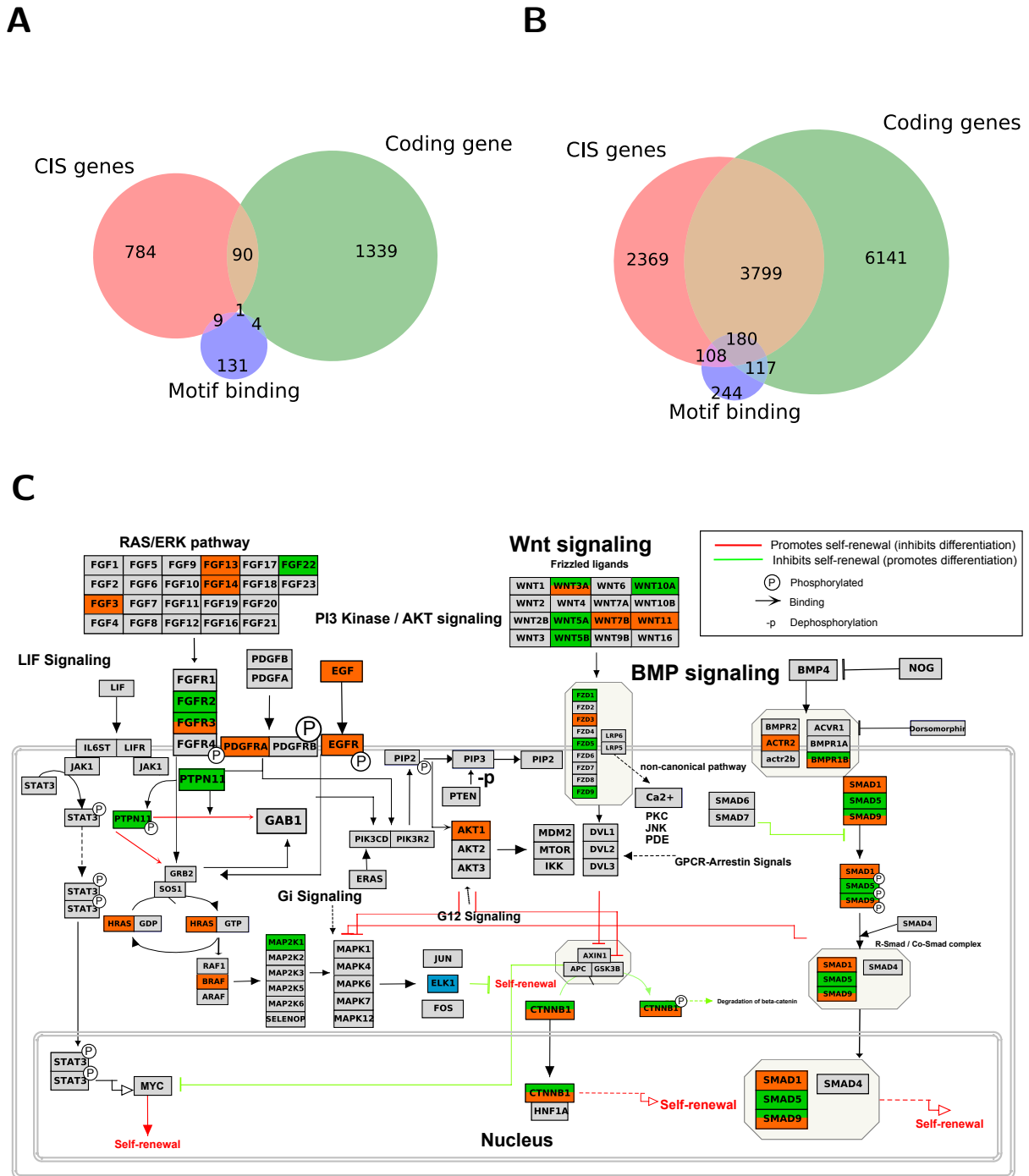


Figure 5: **Comparison of beak shape associated gene sets derived from coding and noncoding genomic regions.** (A) Overlap of the identified gene sets (B) Overlap of genes included in each dataset (background) (C) 32 Genes identified in our study occurring in the ESC pluripotent pathways, including BMP and Wnt signalling. Genes highlighted in green were detected in the protein coding analysis, while genes highlighted in orange were detected in the noncoding analysis. ELK1, labelled in blue, was detected as one of the transcription motif binding proteins. Coding genes denote all genes analysed for the protein coding gene analysis, CIS genes are genes in local proximity to analysed noncoding genomic regions, Motif binding are annotated proteins from the motif binding identification with TOMTOM (Gupta et al. 2007).

Table 1: **Top phenotype ontology associations identified from the identified genomic loci**, coding genes, genes nearby noncoding regions and possible DNA binding proteins. \* marked ontology terms are based on disease annotation database approach (GLAD4U).

<i>Human Phenotype ID</i>	Description	Set size	Expected	Ratio	P-value
<i>Coding gene set (1,434 genes)</i>					
<i>HP:0100585</i>	Telangiectasia of the skin	40	6.1374	2.4440	0.00046
<i>HP:0001651</i>	Dextrocardia	47	7.2114	2.3574	0.00032
<i>HP:0031654</i>	Abnormal pulmonary valve physiology	59	9.0526	2.2093	0.00027
<i>HP:0100242</i>	Sarcoma	65	9.9732	2.1056	0.00040
<i>HP:0000987</i>	Atypical scarring of skin	73	11.2010	2.0534	0.00032
<i>HP:0010766</i>	Ectopic calcification	108	16.5710	1.8104	0.00049
<i>HP:0000238</i>	Hydrocephalus	157	24.0890	1.6605	0.00043
<i>Non-coding gene set</i>					
<i>(884 genes nearby non-coding regions)</i>					
<i>HP:0011338</i>	Abnormality of mouth shape	59	10.1430	1.7746	0.00736
<i>HP:0010766</i>	Ectopic calcification	73	12.5500	1.6733	0.00824
<i>HP:0100242</i>	Sarcoma	53	9.1115	1.6463	0.02801
<i>HP:0001417</i>	X-linked inheritance	92	15.8160	1.6439	0.00439
<i>HP:0010576</i>	Intracranial cystic lesion	57	9.7992	1.6328	0.02547
<i>HP:0000204</i>	Cleft upper lip	61	10.4870	1.6211	0.02313
<i>HP:0000662</i>	Nyctalopia	59	10.1430	1.5774	0.03478
<i>HP:0000422</i>	Abnormality of the nasal bridge	283	48.6520	1.2538	0.02098
<i>Motif binding proteins (145 genes)</i>					
<i>PA443736*</i>	Cleft Lip	33	3.9165	2.5533	0.00260
<i>PA446836*</i>	Craniofacial Abnormalities	51	6.0527	2.3130	0.00094
<i>PA443223*</i>	Congenital Abnormalities	87	10.3250	2.0338	0.00023
<i>HP:0000159</i>	Abnormal lip morphology	65	11.7620	1.4453	0.03522

Table 2: **Enrichment test for proteins identified as  $d_N/d_S$  heterogeneous/homogeneous and interaction partners of BMP4/ALX1/CALM1.**  $d_N/d_S$  values were retrieved for groups of branches with similar beak shape morphological rates. The common interactome of BMP4/ALX1/CALM1 consists of 467 proteins, of which 256 were included in our gene analysis. Altogether, we identified 53 proteins of the BMP4/ALX1/CALM1 interactome that showed significant variation in their  $d_N/d_S$  values (heterogeneous  $d_N/d_S$ ).

Protein category	$d_N/d_S$		Ratio
	heterogeneity	$d_N/d_S$ homogeneous	
BMP4/ALX1/CALM1 and interaction partners	53	203	0.26
Other proteins	1381	8601	0.16
P-value ( $\chi^2$ 2 × 2 test, df=1)			<b>0.002</b>

Table 3: **Enrichment tests for genes nearby genomic regions that show significant heterogeneity in their substitution rates (heterogeneity was tested for grouped branches according to beak shape morphological change rates) versus a set of 511 known genes involved in craniofacial development in mice.** Gene sets were further subset according to whether there was a significant correlation between morphological change of beak shape and substitution rates. P-values were obtained using a  $\chi^2$  2 × 2 test.

Gene category	Subset	Total	In	not in	Ratio
			craniofacial gene set	craniofacial gene set	
Genes near identified genomic regions		884	48	836	0.057*
	Positively correlated	163	17	146	0.116***
	Not positively correlated	721	31	690	0.045 <sup>n.s.</sup>
Genes near non-identified genomic regions		5572	201	5371	0.037

## Supplemental Material

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## Supplemental Methods

### Multiple sequence alignments for protein coding genes

We used genomes of 57 bird species with high quality annotations from NCBI RefSeq (O’Leary et al. 2016) (Table S2). First, 12,013 orthologous protein coding genes were retrieved using RefSeq and HGNC gene identifiers, alongside reciprocal BLAST approaches based on three focal species, chicken, great tit and zebra finch - three of the best annotated high quality bird genomes available to date (Li et al. 2003; Östlund et al. 2009; Afanasyeva et al. 2018). We then performed a first set of alignment runs using PRANK (Löytynoja and Goldman 2008). To ensure the quality of these sequence alignments, we applied a customised pipeline. Firstly, alignments were filtered for length and the number of species they contained. Generally, we applied a length filter that removed alignments containing more than 1500 amino acid residues (for computational reasons) and less than 50 amino acid residues (for power reasons). These thresholds were based on distributions of overall sequence length across all alignments. Furthermore, we compared the number of gaps and length of sequences to a reference sequence in all alignments. Regarded as the most well-annotated, high-quality avian genome, we selected the red jungle fowl (i.e. chicken, *Gallus gallus*) as our reference sequence for all alignments (Hillier et al. 2004; Warren et al. 2017). Sequences determined to be too dissimilar (e.g. because of falsely aligning non-homologous regions within a protein), based on gappyness – the amount of gaps in a sequence – and overall sequence length, relative to our reference species sequence, were also removed from alignments. Specifically, for gappyness, if gaps resulted in more than 20% dissimilarity with our reference sequence, sequences

were removed. We also limited the analyses to alignments containing 20 or more species, and removed alignments that did not contain the reference chicken sequence.

For reliable estimation of sequence divergence at the protein level, sequences that appeared too divergent were removed - caused by either elevated local mutation rate or, more likely, by falsely assigned orthologies. For this pairwise estimation of  $d_N$ ,  $d_S$  and  $d_N/d_S$  was performed to determine saturation of non-synonymous and synonymous substitutions. Removal of saturated sequences was accomplished in two ways. First, pairwise synonymous substitution rates deemed too large were removed ( $d_S > 5$ ), and second, if synonymous substitution rates exceeded twice the pairwise synonymous substitution rate between *G. gallus* and *Taeniopygia guttata* (zebra finch), two of our focal species, sequences were removed. In addition, if the pairwise non-synonymous substitution rate,  $d_N$ , and the non-synonymous to synonymous substitution rate,  $d_N/d_S$ , exceeded two ( $d_N > 2$  and  $d_N/d_S > 2$ ) sequences were removed from alignments. By this the sequences are conservatively aligned which reduces the chances of alignment-error signal of (positive) selection.

After a second alignment step with PRANK, to ensure positional homology, we utilised two masking programs: GBLOCKS and ZORRO. GBLOCKS calculates and uses positional homology to determine contiguous segments that are not conserved (Talavera and Castresana 2007). Additionally, GBLOCKS accounts for rapidly-evolving, homologous positions and flanking positional homology. For this, we used the following parameters to identify and remove unreliable positions:  $-t=p$ ,  $-k=y$ ,  $n=y$ ,  $v=32000$ ,  $-p=t$ . To supplement this, we used ZORRO, a probabilistic masking program which calculates posterior probabilities to determine the reliability of positions (Wu et al. 2012). Posterior probabilities calculated by ZORRO translate into scores that range from 0 to 10 – the higher the score, the better the positional homology. Positions that scored below 9 were removed from sequences. The removal of unreliable positions from sequences was performed with PAL2NAL (Suyama et al. 2006) using a customised script. Equally, PAL2NAL generated for each protein alignment the corresponding codon alignment in preparation for evolutionary analyses. A final length filter was applied to remove any alignments with a sequence length below 50 amino acids.

## Rates of morphological beak shape evolution

Information on beak shape evolution was extracted from a recent study (Cooney et al. 2017) that quantified patterns of beak shape evolution across 2,028 species (>97% extant avian genera) covering the entire breadth of the avian clade. Briefly, this study used geometric morphometric data based on 3-D scans of museum specimens and multivariate rate heterogeneous models of trait evolution (Venditti et al. 2011) to estimate rates of beak shape evolution for all major branches in the avian phylogeny. Importantly, the beak shape measurements derived from this study are independent of variation in beak size, the effects of which are removed as part of standard geometric morphometric analyses (see Cooney et al. (2017) for full details). This is useful for our purposes as beak size tends to be strongly related to body size (which is known to covary with several genetic parameters), and because beak shape (rather than size) represents a key axis of ecomorphological differentiation between major avian groups (Cooney et al. 2017). To extract rate estimates for the species included in this study, we first pruned the 2,028 tip morphology rate-scaled phylogenies derived from Cooney et al. (2017) (based on the Hackett et al. (Hackett et al. 2008) backbone) to include only species for which coding/genomic information was available. We then divided the branch lengths in this pruned morphology rate-scaled tree by time (i.e. branch lengths from a similarly pruned time tree, also derived from Cooney et al. (2017)), to generate rate estimates specific to each branch in the pruned subtree. It is worth noting that our approach of pruning the

2,028 tip morphology rate-scaled tree is preferable to running a separate rates analysis including only a limited number of species included in our genomic dataset because the increased density of sampling in the larger tree will permit more accurate estimation of the magnitude and phylogenetic position of rate shifts in beak shape evolution across branches of the phylogeny.

### **Binned branch approach for the detection of large-effect genes and regulatory regions**

To detect genes that may be undergoing repeated periods of rapid, possibly adaptive, evolution across multiple lineages, we grouped branches in each alignment phylogeny according to their rates of morphological evolution using *k*-means binning (Lloyd 1982). Here, we opted for up to eight (coding) and 16 (ASCH) bins, respectively, to enable robust statistical analysis but still reasonable computational time for the substitution rate analysis. To phylogenetically link the genetic data to the morphological data we relied on the Hackett et al. backbone (Hackett et al. 2008), hence we did not account for phylogenetic heterogeneity among genes and possible gene-tree species tree discordance. Branches were grouped incrementally based on rates of trait evolution using a *k*-means binning approach, with the first bin representing branches with the slowest rates of morphological evolution, and the last bin representing branches with the fastest rates of morphological evolution (Figure 1). We assumed that genes involved in beak shape evolution would experience evolutionary rate change at the protein level ( $d_N/d_S$ ) proportional to their respective rate of morphological evolution. Theoretically, we hypothesize that genes important in beak shape evolution across many branches would show a strong positive correlation.

In our analysis, we tested this using a branch model which assumes different substitution rates ( $d_N/d_S$ ) across different, pre-defined, branches in a phylogeny using codeml (Yang 2007). Critically, the branch model may be useful in the detection of adaptive evolution occurring on particular branches (Yang et al. 1998; Yang 1998). Furthermore, we selected the branch model due to computational efficiency; the branch-site model and free-ratio model was deemed computationally intractable for a phylogeny of up to 57 species. Branches in each alignment's phylogeny were marked according to their respective bins (typically ranging from 1 to 8). Labelling bins as distinct types of branches allowed for the estimation of up to eight different  $d_N/d_S$  values per gene. Conjointly, for each binned model, an alternative null model assuming no difference in  $d_N/d_S$  between branches was run (one-ratio model). The difference between models was compared using a likelihood-ratio test (LRT) by comparing twice the log-likelihood difference between the two models which is assumed to be  $\chi^2$  distributed, with the relevant degrees of freedom (Yang 2007) (e.g, seven degrees of freedom in case eight different branch categories were classified). If the binned model showed a significant difference to the one-ratio model an association between beak shape change and molecular rate change was inferred.

To estimate rate heterogeneity among branches in noncoding regions, we used a model where we assumed equal rates among branches (e.g. a global clock, clock=1) and compared it to a model where we assumed different rates for the binned branches (clock=2), assessing significant differences between the models using a likelihood ratio test using baseml from the paml package (Yang 2007). For the simulations (Figure S2) we randomly chose a 222bp long genomic region with 67 species. We run a free branch model (clock=0) and used the obtained parameters as input for INDELible (Fletcher and Yang 2009). We simulated 100 sets of sequences and applied two types of binning: (1) A binning that grouped similar branch lengths and (2) an arbitrary binning. We considered 5 different numbers of bins (with 2,4,8,16 and unrestricted number of bins). We then conducted rate estimation on each of the binning approaches and calculated how well these

estimates correlated (Kendall's  $\tau$  correlation coefficient) with the input parameters for INDELible (e.g. the simulation input) as well as the estimated values from the free branch model.

### **Hotspot approach for the detection of genes under positive selection**

For each alignment we generated and conducted three independent branch models, corresponding to the three most rapidly evolving branches in each phylogeny. A null model assuming no differences in  $d_N/d_S$  across branches in the phylogeny was conjointly computed. Again, the LRT was calculated to determine whether differences between each 'hotspot' model and the null model were significant. It is important to note that branches are not uniformly selected across alignments and alignment trees. This is because alignments vary in the number of species and branches they contain due to the filtering process applied. Hence, the selection of branches is dependent on species rates of morphological evolution relative to other species – the exclusion of species, particularly rapidly-evolving branches, causes new branches to be recruited in the hotspot-branch model. In total, five different branches rotate over our three hotspots (Figure S3). This can be done because each analysis is conducted per gene on the correctly pruned phylogeny. In most cases our fastest branch was an internal branch leading to the diversification of swifts (Apodidae), nightjars and their allies, (Caprimulgidae) and hummingbirds (Trochilidae). This is plausible given the disparity in beak shape, physiology and ecology that has arisen in this clade (Prum et al. 2015; Cooney et al. 2017).

### **Phenotype and pathway ontologies, protein databases and statistical analyses**

To determine the putative function of genes detected and enriched according to pathway and phenotype enrichment, we used WebGestalt (Wang et al. 2017) based on the human annotation. Specifically, we used the latest release of WebGestalt (last accessed 11.3.2019), and ran an Overrepresentation Enrichment Analysis (ORA) for phenotypes (Human Phenotype Ontology), pathways (Wikipathways) and diseases (Glad4U). We set the minimum number of genes for a category to 40 and reported top statistical significant results as weighted cover set (as implemented in WebGestalt). We also obtained a set of 511 genes known from mouse knock-out phenotypes to result in abnormal craniofacial morphology or development (Brunskill et al. 2014). To account for multiple testing in our binned and hotspot models,  $\chi^2$ -squared P-values were corrected using the Benjamini-Hochberg procedure (Benjamini and Hochberg 1995). We used Kendall's  $\tau$  correlation coefficient to compare the association between increasing bin number and corresponding  $d_N/d_S$  (coding) and substitution rates (noncoding) for each gene. Statistical analysis was conducted using the SciPy library in Python, and graphs were produced using the 'tidyverse' package in R (Wilkinson 2011; R Core Team 2018) and the 'matplotlib' package in Python. Phylogenies were produced using the 'phytools' package in R (Revell 2012). Protein interaction partners for ALX1, BMP1 and CALM1 were retrieved from the STRING database (Szklarczyk et al. 2015) based on the human annotation requiring a minimum confidence score of 0.6 for all interaction partners. Motif detection was conducted using DREME (Bailey 2011) along with the identification of potential binding proteins using TOMTOM (Gupta et al. 2007). Specifically, we focused on vertebrate binding proteins using a common set of three available databases (JASPAR2018\_CORE\_vertbrates\_non-redundant, jolma2013, uniprobe\_mouse) that together contained 649 annotated motif binding proteins.



## Population genetic analysis in Darwin's finches population with diverse beak morphology

We used the 39,806 noncoding genomic loci as focal regions and 1000 bp on either site of their center. To map our identified genomic loci onto the medium ground finch (*Geospiza fortis*) reference genome (Zhang et al. 2014), we used the best BLAST (default parameters) hit per region. We also extracted the same number of size and chromosome matched genomic regions that did not show an association with beak shape morphological diversification as control regions. To study the effect of selection at the focal and nearby sites due to linkage, a sliding window approach was used, applying a window size of 400bp every 50 bp around the center of the focal regions (Other window and step sizes gave very similar results). For  $F_{ST}$  we used the highest per site  $F_{ST}$  value for a particular genomic region in a given window and calculated the mean across all regions. Watterson's  $\theta$  was calculated per genomic region in a given window and then averaged across all loci.

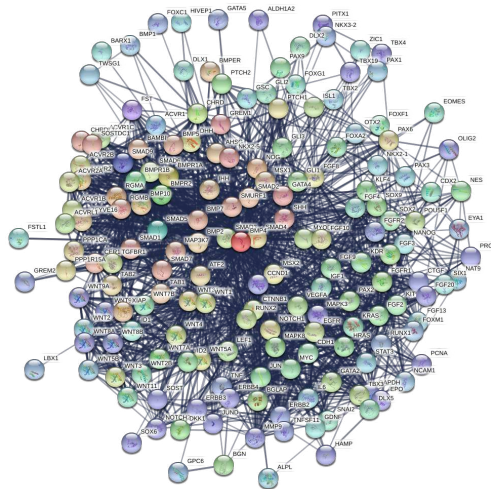
# Supplemental Figures

## Supplemental Figure S1

A



B



C

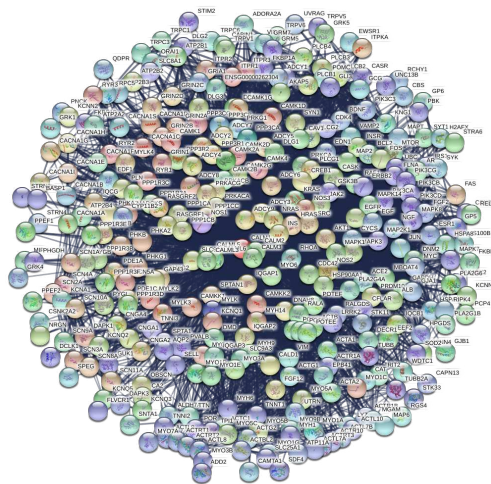
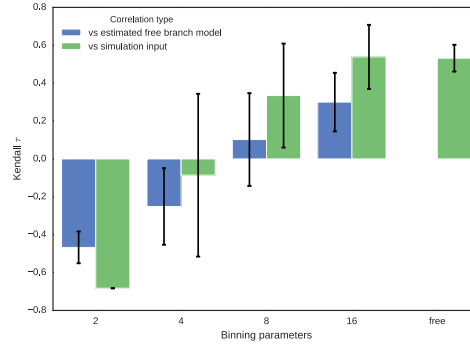


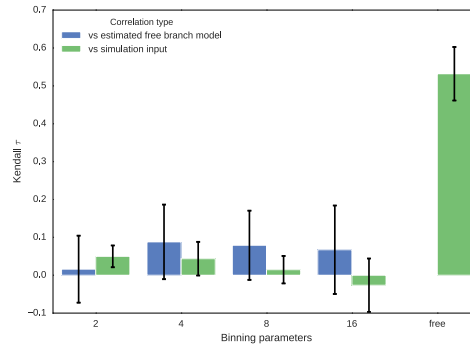
Figure S1: *In-silico* interaction networks derived from the STRING database for three proteins previously shown to be involved in the development of beak shape morphology.

## Supplemental Figure S2

A



B



C

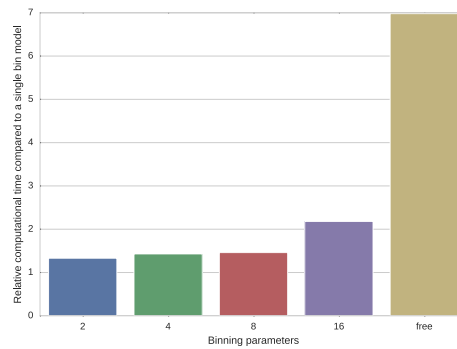


Figure S2: **Simulations to capture rate heterogeneity among branches by co-estimating rates of molecular change for grouped branches, estimated for noncoding regions.** (A) Correlation coefficients (Kendall  $\tau$ ) of simulated and estimated rate heterogeneity for different bin numbers, where branches of similar rates are grouped together. (B) Same approach using an arbitrary binning of branches (C) Relative computational time requirements for different number of bins.

## Supplemental Figure S3

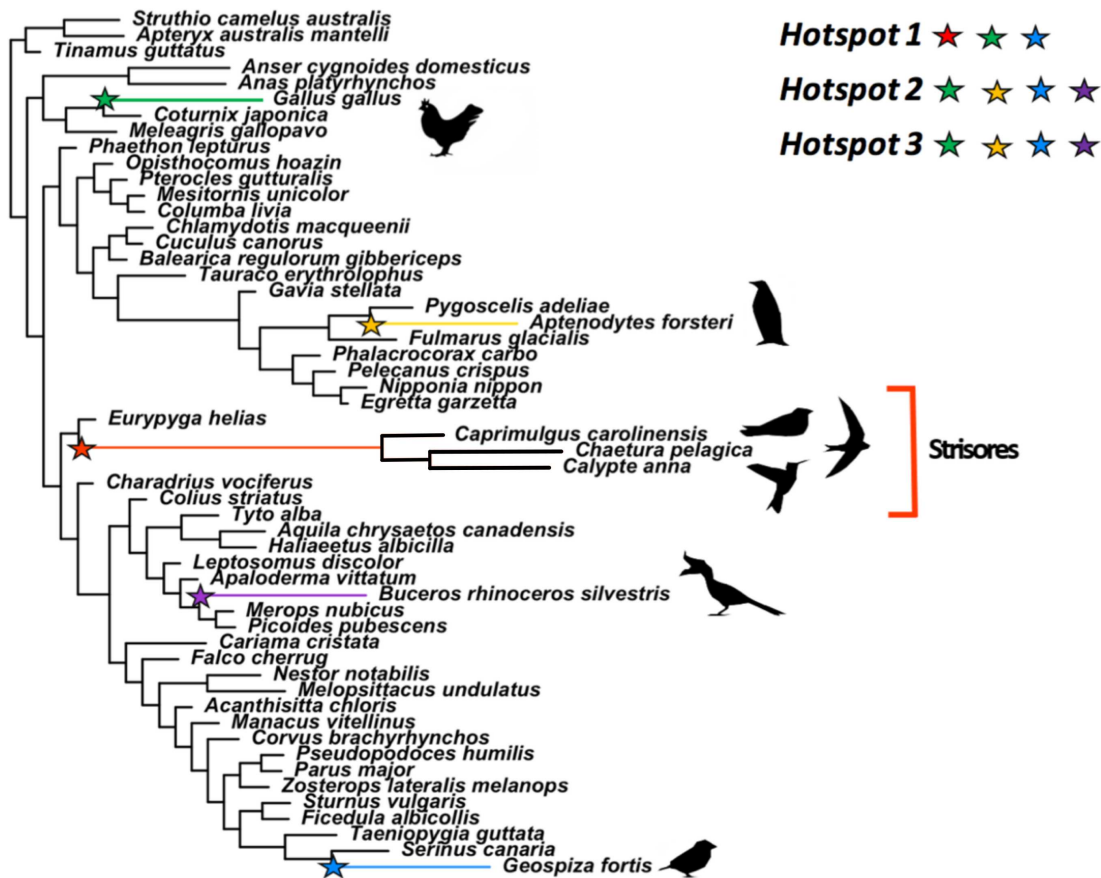


Figure S3: **An illustration of the hotspot approach containing phylogeny and the five fastest rapidly-evolving branches selected for hotspot model.** For the phylogeny, branch lengths correspond to the scaled rate of morphological beak shape evolution. Branches coloured and indicated with a star are rapidly-evolving branches that feature in the hotspot models. Because the number of available gene sequences vary per species, the fastest branches may differ for a particular gene. The key shows branches found in each hotspot model. In hotspot 1, branches found include: Strisores (consisting of nightjars and their allies, swifts and hummingbirds), Darwin's finches, and Phasianidae (represented by the red-jungle fowl). In hotspot 2, branches include: Darwin's finches, Phasianidae, Aptenodytes (represented by the emperor penguin) and Buceros (represented by the Rhinoceros hornbill). Hotspot 3 contains the same branches as hotspot 2

## Supporting Tables

### Supplementary Table S1

Table S1: Known candidate genes associated with beak shape morphology and size

<i>Gene symbol</i>	Gene name	Description
<i>ALX1</i>	ALX Homeobox 1	Implicated in Lamichhane et al (2015) as principle gene in a major locus contributing to beak shape diversity across Darwin's finches
<i>BMP2</i>	Bone Morphogenetic Protein 2	Shown to correlate with beak size but not shape (Abzhanov et al, 2004).
<i>BMP4</i>	Bone Morphogenetic Protein 4	Shown to correlate strongly with deep and broad beak morphology (Abzhanov et al, 2004).
<i>BMP7</i>	Bone Morphogenetic Protein 7	Shown to correlate with beak size but not shape (Abzhanov et al, 2004).
<i>CALM1</i>	Calmodulin 1	Shown to correlate with thin, elongated beak morphologies (Abzhanov et al, 2006).
<i>COL4A5</i>	Collagen Type IV Alpha 5 Chain	Shown to influence beak shape in great tits ( <i>Parus major</i> ) (Bosse et al., 2018)
<i>DKK3</i>	Dickkopf WNT Signaling Pathway Inhibitor 3	Indicated to influence different beak shapes in Darwin's finches through expression variation (Mallarino et al., 2011)
<i>DLK1</i>	Delta Like Non-Canonical Notch Ligand 1	Shown in Chaves et al (2016) to correlate with beak size in Darwin's finches.
<i>FOXC1</i>	Forkhead Box C1	In the largest Fst value regions between Darwin's Finches with different beak sizes (Lamichhane et al 2015).
<i>GSC</i>	Gooseoid Homeobox	In the largest Fst value regions between Darwin's Finches with different beak sizes (Lamichhane et al 2015).
<i>HMGA2</i>	High Mobility Group AT-Hook 2	Implicated in Lamichhane et al (2016) to influence beak size in species of Darwin's finches and in Chaves et al (2016).
<i>LEMD3</i>	LEM Domain Containing 3	Part of a locus with significant influence on beak size In Darwin's finches (Lamichhane et al 2016)
<i>LRRIQ1</i>	Leucine Rich Repeats And IQ Motif Containing 1	Part of a locus with significant influence on beak size In Darwin's finches (Lamichhane et al 2016)
<i>MSRB3</i>	Methionine Sulfoxide Reductase B3	Part of a locus with significant influence on beak size In Darwin's finches (Lamichhane et al 2016)
<i>RDH14</i>	Retinol Dehydrogenase 14	In the largest Fst value regions between Darwin's Finches with different beak sizes (Lamichhane et al 2015).
<i>TGFBR2</i>	Transforming Growth Factor Beta Receptor 2	Indicated to influence different beak shapes in Darwin's finches through expression variation (Mallarino et al., 2011)
<i>WIF1</i>	WNT Inhibitory Factor 1	Part of a locus with significant influence on beak size In Darwin's finches (Lamichhane et al 2016)
<i>IGF1</i>	Insulin-like growth factor 1	Associated with bill size in <i>Pyrenestes ostrinus</i> (Vonholdt et al., 2018)

## Supplementary Table S2

Table S2: Species names and file locations used for the whole genome alignment.

Species name full	Coding analysis	ASCE analysis	Location (ftp://ftp.ncbi.nlm.nih.gov/genomes/all/GCA) and version
<i>Acanthisitta chloris</i>	x	x	/000/695/815/GCA_000695815.1_ASM69581v1/GCA_000695815.1_ASM69581v1_genomic.fna.gz
<i>Amazona aestiva</i>		x	/001/420/675/GCA_001420675.1_ASM142067v1/GCA_001420675.1_ASM142067v1_genomic.fna.gz
<i>Amazona vittata</i>		x	/000/332/375/GCA_000332375.1_AV1/GCA_000332375.1_AV1_genomic.fna.gz
<i>Anas platyrhynchos</i>	x	x	/000/355/885/GCA_000355885.1_BGI_duck_1.0/GCA_000355885.1_BGI_duck_1.0_genomic.fna.gz
<i>Anser cygnoides domesticus</i>	x	x	/000/971/095/GCA_000971095.1_AnsCyg_PRJNA183603_v1.0/GCA_000971095.1_AnsCyg_PRJNA183603_v1.0_genomic.fna.gz
<i>Apaloderma vittatum</i>	x	x	/000/703/405/GCA_000703405.1_ASM70340v1/GCA_000703405.1_ASM70340v1_genomic.fna.gz
<i>Aptenodytes forsteri</i>	x	x	/000/699/145/GCA_000699145.1_ASM69914v1/GCA_000699145.1_ASM69914v1_genomic.fna.gz
<i>Apteryx australis mantelli</i>	x	x	/001/039/765/GCA_001039765.2_AptMant0/GCA_001039765.2_AptMant0_genomic.fna.gz
<i>Aquila chrysaetos canadensis</i>	x	x	/000/766/835/GCA_000766835.1_Aquila_chrysaetos-1.0.2/GCA_000766835.1_Aquila_chrysaetos-1.0.2_genomic.fna.gz
<i>Ara macao</i>		x	/000/400/695/GCA_000400695.1_SMACv1.1/GCA_000400695.1_SMACv1.1_genomic.fna.gz
<i>Balearica regulorum gibbericeps</i>	x	x	/000/709/895/GCA_000709895.1_ASM70989v1/GCA_000709895.1_ASM70989v1_genomic.fna.gz
<i>Buceros rhinoceros silvestris</i>	x	x	/000/710/305/GCA_000710305.1_ASM71030v1/GCA_000710305.1_ASM71030v1_genomic.fna.gz
<i>Calidris pugnax</i>	x	x	/001/431/845/GCA_001431845.1_ASM143184v1/GCA_001431845.1_ASM143184v1_genomic.fna.gz
<i>Calypte anna</i>	x	x	/000/699/085/GCA_000699085.1_ASM69908v1/GCA_000699085.1_ASM69908v1_genomic.fna.gz
<i>Caprimulgus carolinensis</i>	x	x	/000/700/745/GCA_000700745.1_ASM70074v1/GCA_000700745.1_ASM70074v1_genomic.fna.gz
<i>Cariama cristata</i>	x	x	/000/690/535/GCA_000690535.1_ASM69053v1/GCA_000690535.1_ASM69053v1_genomic.fna.gz
<i>Cathartes aura</i>		x	/000/699/945/GCA_000699945.1_ASM69994v1/GCA_000699945.1_ASM69994v1_genomic.fna.gz
<i>Chaetura pelagica</i>	x	x	/000/747/805/GCA_000747805.1_ChaPel_1.0/GCA_000747805.1_ChaPel_1.0_genomic.fna.gz
<i>Charadrius vociferus</i>	x	x	/000/708/025/GCA_000708025.2_ASM70802v2/GCA_000708025.2_ASM70802v2_genomic.fna.gz
<i>Chlamydotis macqueenii</i>	x	x	/000/695/195/GCA_000695195.1_ASM69519v1/GCA_000695195.1_ASM69519v1_genomic.fna.gz
<i>Colinus virginianus</i>		x	/000/599/465/GCA_000599465.1_NB1.1/GCA_000599465.1_NB1.1_genomic.fna.gz
<i>Colinus striatus</i>	x	x	/000/690/715/GCA_000690715.1_ASM69071v1/GCA_000690715.1_ASM69071v1_genomic.fna.gz
<i>Columba livia</i>	x	x	/001/887/795/GCA_001887795.1_colLiv2/GCA_001887795.1_colLiv2_genomic.fna.gz
<i>Corvus brachyrhynchos</i>	x	x	/000/691/975/GCA_000691975.1_ASM69197v1/GCA_000691975.1_ASM69197v1_genomic.fna.gz
<i>Corvus cornix cornix</i>	x	x	/000/738/735/GCA_000738735.1_Hooded_Crow_genome/GCA_000738735.1_Hooded_Crow_genome_genomic.fna.gz
<i>Coturnix japonica</i>	x	x	/000/511/605/GCA_000511605.2_Coja_2.0a/GCA_000511605.2_Coja_2.0a_genomic.fna.gz
<i>Cuculus canorus</i>	x	x	/000/709/325/GCA_000709325.1_ASM70932v1/GCA_000709325.1_ASM70932v1_genomic.fna.gz
<i>Egretta garzetta</i>	x	x	/000/687/185/GCA_000687185.1_ASM68718v1/GCA_000687185.1_ASM68718v1_genomic.fna.gz
<i>Eurypyga helias</i>	x	x	/000/238/935/GCA_000238935.1_ASM69077v1/GCA_000690775.1_ASM69077v1_genomic.fna.gz
<i>Falco cherrug</i>	x	x	/000/337/975/GCA_000337975.1_F_cherrug_v1.0/GCA_000337975.1_F_cherrug_v1.0_genomic.fna.gz
<i>Falco peregrinus</i>	x	x	/001/887/755/GCA_001887755.1_falPer2/GCA_001887755.1_falPer2_genomic.fna.gz
<i>Ficedula albicollis</i>	x	x	/000/247/815/GCA_000247815.2_FicAlb1.5/GCA_000247815.2_FicAlb1.5_genomic.fna.gz
<i>Fulmarus glacialis</i>	x	x	/000/690/835/GCA_000690835.1_ASM69083v1/GCA_000690835.1_ASM69083v1_genomic.fna.gz
<i>Gallus gallus</i>	x	x	/000/002/315/GCA_000002315.3_Gallus_gallus-5.0/GCA_000002315.3_Gallus_gallus-5.0_genomic.fna.gz
<i>Gavia stellata</i>	x	x	/000/690/875/GCA_000690875.1_ASM69087v1/GCA_000690875.1_ASM69087v1_genomic.fna.gz
<i>Geospiza fortis</i>	x	x	/000/277/835/GCA_000277835.1_GeoFor_1.0/GCA_000277835.1_GeoFor_1.0_genomic.fna.gz
<i>Haliaeetus albicilla</i>	x	x	/000/691/405/GCA_000691405.1_ASM69140v1/GCA_000691405.1_ASM69140v1_genomic.fna.gz
<i>Haliaeetus leucocephalus</i>	x	x	/000/737/465/GCA_000737465.1_Haliaeetus_leucocephalus-4.0/GCA_000737465.1_Haliaeetus_leucocephalus-4.0_genomic.fna.gz
<i>Lepidothrix coronata</i>		x	/001/604/755/GCA_001604755.1_Lepidothrix_coronata-1.0/GCA_001604755.1_Lepidothrix_coronata-1.0_genomic.fna.gz
<i>Leptosomus discolor</i>	x	x	/000/691/785/GCA_000691785.1_ASM69178v1/GCA_000691785.1_ASM69178v1_genomic.fna.gz
<i>Lyrurus tetrix tetrix</i>		x	/000/586/395/GCA_000586395.1_tetTet1/GCA_000586395.1_tetTet1_genomic.fna.gz
<i>Manacus vitellinus</i>	x	x	/000/692/015/GCA_000692015.2_ASM69201v2/GCA_000692015.2_ASM69201v2_genomic.fna.gz
<i>Meleagris gallopavo</i>	x	x	/000/146/605/GCA_000146605.3_Turkey_5.0/GCA_000146605.3_Turkey_5.0_genomic.fna.gz
<i>Melopsittacus undulatus</i>	x	x	/000/238/935/GCA_000238935.1_Melopsittacus_undulatus_6.3/GCA_000238935.1_Melopsittacus_undulatus_6.3_genomic.fna.gz
<i>Merops nubicus</i>	x	x	/000/691/845/GCA_000691845.1_ASM69184v1/GCA_000691845.1_ASM69184v1_genomic.fna.gz
<i>Mesitornis unicolor</i>	x	x	/000/695/765/GCA_000695765.1_ASM69576v1/GCA_000695765.1_ASM69576v1_genomic.fna.gz
<i>Nestor notabilis</i>	x	x	/000/696/875/GCA_000696875.1_ASM69687v1/GCA_000696875.1_ASM69687v1_genomic.fna.gz
<i>Nipponia nippon</i>	x	x	/000/708/225/GCA_000708225.1_ASM70822v1/GCA_000708225.1_ASM70822v1_genomic.fna.gz
<i>Opisthocomus hoazin</i>	x	x	/000/692/075/GCA_000692075.1_ASM69207v1/GCA_000692075.1_ASM69207v1_genomic.fna.gz
<i>Parus major</i>	x	x	/001/522/545/GCA_001522545.2_Parus_major1.1/GCA_001522545.2_Parus_major1.1_genomic.fna.gz
<i>Passer domesticus</i>		x	/001/700/915/GCA_001700915.1_Passer_domesticus-1.0/GCA_001700915.1_Passer_domesticus-1.0_genomic.fna.gz
<i>Pelecanus crispus</i>	x	x	/000/687/375/GCA_000687375.1_ASM68737v1/GCA_000687375.1_ASM68737v1_genomic.fna.gz
<i>Phaethon lepturus</i>	x	x	/000/687/285/GCA_000687285.1_ASM68728v1/GCA_000687285.1_ASM68728v1_genomic.fna.gz



Table S2: Species names and file locations used for the whole genome alignment. (*continued*)

Species name full	Coding analysis	ASCHE analysis	Location (ftp://ftp.ncbi.nlm.nih.gov/genomes/all/GCA) and version
<i>Phalacrocorax carbo</i>	x	x	/000/708/925/GCA_000708925.1_ASM70892v1/GCA_000708925.1_ASM70892v1_genomic.fna.gz
<i>Phoenicopiterus ruber ruber</i>		x	/000/687/265/GCA_000687265.1_ASM68726v1/GCA_000687265.1_ASM68726v1_genomic.fna.gz
<i>Phylloscopus plumbeitarsus</i>		x	/001/655/115/GCA_001655115.1_GWplu1.0/GCA_001655115.1_GWplu1.0_genomic.fna.gz
<i>Picoides pubescens</i>	x	x	/000/699/005/GCA_000699005.1_ASM69900v1/GCA_000699005.1_ASM69900v1_genomic.fna.gz
<i>Podiceps cristatus</i>		x	/000/699/545/GCA_000699545.1_ASM69954v1/GCA_000699545.1_ASM69954v1_genomic.fna.gz
<i>Pseudopodoces humilis</i>	x	x	/000/331/425/GCA_000331425.1_PseHum1.0/GCA_000331425.1_PseHum1.0_genomic.fna.gz
<i>Pterocles gutturalis</i>	x	x	/000/699/245/GCA_000699245.1_ASM69924v1/GCA_000699245.1_ASM69924v1_genomic.fna.gz
<i>Pygoscelis adeliae</i>	x	x	/000/699/105/GCA_000699105.1_ASM69910v1/GCA_000699105.1_ASM69910v1_genomic.fna.gz
<i>Serinus canaria</i>	x	x	/000/534/875/GCA_000534875.1_SCA1/GCA_000534875.1_SCA1_genomic.fna.gz
<i>Setophaga coronata coronata</i>		x	/001/746/935/GCA_001746935.1_mywaggenomev1.1/GCA_001746935.1_mywaggenomev1.1_genomic.fna.gz
<i>Struthio camelus australis</i>	x	x	/000/698/965/GCA_000698965.1_ASM69896v1/GCA_000698965.1_ASM69896v1_genomic.fna.gz
<i>Sturnus vulgaris</i>	x	x	/001/447/265/GCA_001447265.1_Sturnus_vulgaris-1.0/GCA_001447265.1_Sturnus_vulgaris-1.0_genomic.fna.gz
<i>Taeniopygia guttata</i>	x	x	/000/151/805/GCA_000151805.2_Taeniopygia_guttata-3.2.4/GCA_000151805.2_Taeniopygia_guttata-3.2.4_genomic.fna.gz
<i>Tauraco erythrolophus</i>	x	x	/000/709/365/GCA_000709365.1_ASM70936v1/GCA_000709365.1_ASM70936v1_genomic.fna.gz
<i>Tinamus guttatus</i>	x	x	/000/705/375/GCA_000705375.2_ASM70537v2/GCA_000705375.2_ASM70537v2_genomic.fna.gz
<i>Tympanuchus cupido pinnatus</i>		x	/001/870/855/GCA_001870855.1_T_cupido_pinnatus_GPC_3440_v1/GCA_001870855.1_T_cupido_pinnatus_GPC_3440_v1_genomic.fna.gz
<i>Tyto alba</i>		x	/000/687/205/GCA_000687205.1_ASM68720v1/GCA_000687205.1_ASM68720v1_genomic.fna.gz
<i>Zonotrichia albicollis</i>	x	x	/000/385/455/GCA_000385455.1_Zonotrichia_albicollis-1.0.1/GCA_000385455.1_Zonotrichia_albicollis-1.0.1_genomic.fna.gz
<i>Zosterops lateralis melanops</i>		x	/001/281/735/GCA_001281735.1_ASM128173v1/GCA_001281735.1_ASM128173v1_genomic.fna.gz

## Supplementary Table S3

Table S3: **Top 20 identified motifs from 39,806 genomic regions** that show significant substitution rate variation in a phylogeny-based approach were branches were binned according their beak shape morphological rate. The canonical sequences of the 20 motifs are listed along with the number of predictions from the genomic regions, the respective sequence logos and the top 5 GO predictions.

Motif	Logo	Predictions	Top 5 specific predictions
<a href="#">AAAYR</a>		63	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway BP calcium-dependent cell-cell adhesion MF taste receptor activity
<a href="#">ACGT</a>		373	MF RNA binding BP nuclear mRNA splicing, via spliceosome CC spliceosomal complex BP rRNA processing BP cell division
<a href="#">ACRG</a>		219	BP G-protein coupled receptor protein signaling pathway MF serine-type endopeptidase activity BP defense response to bacterium MF hormone activity MF serine-type endopeptidase inhibitor activity
<a href="#">AWTAAW</a>		15	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway BP response to stimulus BP gene expression
<a href="#">AWTTAC</a>		15	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway BP inflammatory response MF eukaryotic cell surface binding
<a href="#">BCCATTA</a>		13	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway BP response to stimulus MF motor activity
<a href="#">CACG</a>		438	BP rRNA processing MF ATP binding BP DNA repair MF translation regulator activity BP protein folding
<a href="#">CAG</a>		631	MF calcium ion binding MF serine-type endopeptidase activity CC keratin filament MF potassium ion binding BP excretion
<a href="#">CAKCTGB</a>		58	CC extracellular space BP muscle contraction CC proteinaceous extracellular matrix MF calcium ion binding CC Z disc
<a href="#">CATAAAHC</a>		18	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway BP defense response BP immune response
<a href="#">CTBCC</a>		765	MF potassium ion binding BP potassium ion transport MF protein homodimerization activity MF growth factor activity MF extracellular matrix structural constituent
<a href="#">CTBCWG</a>		424	CC extracellular space CC proteinaceous extracellular matrix MF calcium ion binding CC keratin filament MF sugar binding
<a href="#">CTCCTMC</a>		394	BP transmembrane receptor protein tyrosine kinase signaling pathway BP anterior/posterior pattern formation BP lung development BP gland development MF SH3 domain binding
<a href="#">CTGKVA</a>		125	MF serine-type endopeptidase activity BP excretion CC keratin filament BP innate immune response BP regulation of production of small RNA involved in gene silencing by RNA
<a href="#">DAAWTA</a>		19	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway BP defense response CC ER to Golgi transport vesicle
<a href="#">GGGATTW</a>		17	MF olfactory receptor activity BP sensory perception of smell BP phototransduction BP nucleobase, nucleoside, nucleotide and nucleic acid metabolic process BP translation
<a href="#">GTGGGTGK</a>		456	CC integral to plasma membrane BP muscle contraction MF sequence-specific DNA binding CC receptor complex MF transcription factor activity
<a href="#">MCATATGK</a>		56	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway BP defense response to bacterium MF serine-type endopeptidase inhibitor activity
<a href="#">TTYCCW</a>		197	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway CC extracellular space BP signal transduction
<a href="#">WAAYGW</a>		44	MF olfactory receptor activity BP sensory perception of smell BP G-protein coupled receptor protein signaling pathway MF taste receptor activity BP defense response to bacterium

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