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1 Unravelling the paleoecology of flat clams: new insights from an
2 Upper Triassic halobiid bivalve

3

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18 **Abstract**

19

20 Flat clams are ubiquitous in marine Triassic and Jurassic deep-water environments, where
21 they are often recovered as mono- or pauci-specific assemblages. Their abundance in deep-
22 water facies deposited under oxygen-depleted conditions suggests a potentially unique, but
23 nevertheless debated, paleoecology. The distribution of *Halobia cordillerana* in an Upper
24 Triassic hemipelagic succession outcropping at Tsiko Lake, Vancouver Island, Canada,
25 serves as a revealing case study. There, deep-water deposits were investigated using a multi-

26 disciplinary approach including facies description (mineralogical, fossil and organic content),
27 taphonomy, morphometry, microscopy (cathodoluminescence, scanning-electron
28 microscope) and independent paleo-redox proxy constraints (framboidal pyrite distributions,
29 Fe speciation). Overall, halobiids' taphonomy at Tsiko Lake is indicative of *in situ*
30 accumulations with little or no reworking. Among the hemipelagic deposits, three major
31 litho-biofacies (BFC 1, 2, 3) were identified; paleo-redox proxies indicate that these litho-
32 biofacies were deposited under different oxygen conditions ranging from extreme dysoxic
33 (BFC 1) to dominantly euxinic (BFC 3). The distribution of *H. cordillerana* is shown to be
34 directly influenced by bottom water oxygenation with this organism peaking both in
35 abundance and dimensions under extreme dysoxic to anoxic conditions (i.e. BFC 1 & 2).
36 Conversely, under prevailing euxinic conditions (i.e. BFC 3), the population of *H.*
37 *cordillerana* is dominated by forms at larval or post-larval stage which were presumably
38 killed by water-column euxinia. Thus, although the species is here shown to have lived most
39 likely as an epibenthic recliner, an early (larval) planktonic/nektonic stage is needed to
40 explain its mode of occurrence during dominantly euxinic conditions (i.e. BFC 3), and its
41 wide distribution and rapid expansion. Finally, this study highlights the colonizing capacity
42 of halobiids in case of restored oxygen conditions, stressing the potential significance of flat
43 clams for paleoenvironmental reconstruction.

44 **Keywords:** #Upper Triassic #flat clams #halobiid bivalves #paleoecology #paleo-redox
45 #paleoenvironment

46

47 **1. Introduction**

48 Flat clams are bivalves that are characterized by extremely thin shells and low valves
49 convexity. They were ubiquitous in rocks of Devonian to Jurassic age (Jefferies and Minton,
50 1965). Sporadically found in (possibly) shallower environments (Miroshnikov and Burii,

51 1969; Hatleberg and Clark, 1984; Ando, 1987; Campbell, 1994), they thrived in deep-water
52 deposits where they often form the main biogenic component. During the Middle-Upper
53 Triassic, genera such as *Daonella* and *Halobia* were incredibly widespread. This fact, along
54 with a fast species turnover, has made them powerful biostratigraphic tools, with an average
55 species duration similar to that of coeval ammonoid species (e.g. around 1-2 Ma: McRoberts,
56 2010).

57 Since the early works of Mojsisovics (1869, 1874), flat clams-bearing sedimentary beds of
58 different ages have drawn the attention of a great number of researchers with different
59 backgrounds. Paleontologists, geochemists and sedimentologists have investigated the
60 peculiar distribution of these organisms. However, environmental interpretations often
61 diverge dramatically. Integrated and multi-approach studies investigating the paleoecology of
62 these organisms are lacking, and, too often, interpretations have been made taking into
63 account only a few sets of parameters.

64 Through a multi-disciplinary approach, this work aims to better characterize the
65 paleoecology, lifestyle and habitat of these conspicuously flat, deep-water Triassic-Jurassic
66 bivalves, highlighting their potential for paleo-environmental reconstruction. We initially
67 provide a summary of current understanding of the mode of life of “flat clams” (Fig. 1) from
68 different ages, with a focus on mostly Triassic and Jurassic forms, which are summarized,
69 discussed, and compared to possible extant counterparts. The second part of the manuscript is
70 a case study of an Upper Triassic, *Halobia*-rich, deep-water succession exposed near Tsiko
71 Lake, Vancouver Island, Canada. There, the sequence was investigated by means of a multi-
72 disciplinary approach including sedimentology, taphonomy, morphometry, microscopy, and
73 geochemistry.

74

75 **2. Flat clams’ mode of life: a review**

76 2.1 Living on, near, or in the seabed: Benthic

77 Several authors see the lowered volume/surface ratio of flat clams as an adaptation to soft
78 substratum and low oxygen levels in bottom waters (Rhoads & Morse, 1971; Savrda et al.,
79 1984; Thompson et al., 1985; Fürsich et al., 1991; Kelly and Doyle, 1991; Savrda and
80 Bottjer, 1991; Hollingworth and Wignall, 1992; Wignall, 1993; Wignall and Pickering, 1993;
81 Wignall 1994; Etter, 1995, 1996; Röhl et al., 2001; Harzhauser and Mandic, 2004; Waller
82 and Stanley, 2005; Schatz, 2005; Bakke, 2017; Onoue et al., 2019). However, interpretations
83 of their lifestyle diverge. In Wignall (1994), *Daonella vaceki* was interpreted as a semi-
84 infaunal mud sticker (see Fig. 3.17 in Wignall, 1994). Recent mud stickers, mostly belonging
85 to the families Pinnidae or Mytilidae, do indeed tend to have elongated and thin shells, with a
86 byssus that helps them fix into the substrate (2B in Fig. 1). Conversely, Campbell (1985,
87 1994) proposed for *Halobia* an epiphytic, attached lifestyle to benthic marine plants (2C in
88 Fig. 1), and therefore a light dependent habitat. Conversely, Doyle and Whitham (1991)
89 suggested an epibenthic recliner mode of life (2A in Fig. 1) for the Jurassic form
90 *Aulacomyella*. They noted that specimens of the buchiid bivalve *Buchia* from the Upper
91 Jurassic of Antarctica routinely occurred on top of *Aulacomyella* pavements: according to
92 these authors the presence of multiple individuals of *Aulacomyella* would have been
93 sufficient to stabilize fine-grained substrates, making them suitable to other organisms as
94 well.

95 Several investigations of Upper Triassic Halobidae and Monotidae have been carried out
96 on Austrian and Canadian paleo-communities (McRoberts et al., 2008; McRoberts, 2010,
97 2011). In these localities, “flat clams” are a major component of deep-water filamentous
98 facies (Hallstatt facies) thought to have been deposited under different oxygen conditions
99 [(i.e well-oxygenated basin in Austria (Krystyn and Piller, 2000) and oxygen-poor conditions
100 in Canada (McRoberts, 2011)] . Facies distribution, shell-bed attributes and size-frequency

101 distribution led the authors to suggest that these occurrences were most likely the result of *in*
102 *situ* biogenic accumulations of benthic populations.

103 Nowadays, only a few species of bivalves are considered fully benthic recliners: the best
104 modern example for this lifestyle is probably *Placuna placenta*. This species, inequivalve and
105 characterized by an extremely thin shell, is found resting unattached above soft muddy
106 sediments where it often forms dense communities (Yonge, 1977).

107

108 2.1.2 Epibenthic chemosymbionts

109 Chemosymbiosis is a well-known biological process in which bacteria provide
110 chemically-derived nutrients, mostly by the oxidation of hydrogen sulfide or methane, to a
111 higher organism. Cavanaugh et al. (1981) were the first to notice the presence of prokaryotic
112 cells in the hydrothermal vent tube worm *Riftia pachyptila*. Since then, many other
113 chemosymbiont organisms have been discovered and their metabolisms have been subject to
114 intensive research (see Cavanaugh et al., 2006 for a summary).

115 Chemosymbiont molluscs are not restricted to hydrothermal vents, they are also known
116 from other environments ranging from reducing sediments, cold seeps, sewage outfalls,
117 pulpmill effluent zones, through to seagrass beds (Cavanaugh, 1983; Felbeck, 1983; Fisher
118 and Hand, 1984; Schweimanns and Felbeck, 1985; Dando and Southward, 1986; Dufour and
119 Felbeck, 2003). The principal factor controlling their distribution is the availability of
120 reduced compounds (often hydrogen sulfide). Dufour and Felbeck (2003) demonstrated that
121 bivalves can mine H₂S by extending their feet deep into the sediment to form elongated
122 burrows up to 30 times their contracted lengths, depending on sulphide availability.

123 Seilacher (1990) reviewed suspected fossil examples of chemosymbiont bivalves, and
124 among these, included *Halobia* (3 in Fig. 1). According to the author, the tube located along
125 the hinge line (referred as the byssal tube by Campbell, 1985 or lower anterior auricle by

126 McRoberts, 2000) could testify an adaptation to a chemosymbiont mode of life. This
127 neomorphic feature, non-inherited from its ancestors *Daonella* and/or *Aparimella*
128 (McRoberts, 2000), would then have an H₂S pump function. Ward (2006) also speculated
129 that Triassic flat clams such as *Halobia* and *Monotis* were probably chemosynthetic, taking
130 energy from chemical compounds in the anoxic mud they lived in.

131

132

133 2.2 Living in the water column (planktonic, nektonic or pseudoplanktonic)

134 A wide range of completely different modes of life, independent from the seabed, have
135 been favored by multiple authors in order to explain the high abundance and widespread
136 distribution of these thin-shelled bivalves. Hayami (1969) (for posidoniids and halobiids) and
137 Jefferies and Minton (1965) (for the Jurassic bivalves *Bositra buchii* and *Posidonia radiata*)
138 pointed out how morphological features, such as the size and the negative allometry of the
139 shell, would be indicative of a nektonic/planktonic mode of life (1A in Fig. 1). Parrish et al.
140 (2001), studying the Triassic Shublik Formation of Northern Alaska, came up with the same
141 conclusion, since no articulated valves of halobiids were found and the specimen showed
142 optimal preservation both in hand and thin section. Similarly, Xiaofeng et al. (2008)
143 interpreted the halobiid bivalves found in black shales of the lower Xiaowa Formation of
144 South China as pelagic, because bottom waters were inferred to be anoxic.

145 Oschmann (1991, 1993, 1994) proposed an adaptation to an holopelagic lifestyle (1B in
146 Fig.1) from a normal pelago-benthic life cycle for the Jurassic form *Bositra*, in order to
147 survive extended periods of anoxia. This type of adaptation, achieved through a delayed
148 metamorphosis and early sexual maturity, is well known in pteropod gastropods, but
149 unknown in bivalves (Oschmann, 1993). The only extant bivalve initially thought to be
150 holoplanktonic is *Planktomya hensemi*, but Allen and Scheltema (1972), after detailed

151 morphological observations and since no specimens showed sexual maturity during any time
152 of the year, inferred that *P. Hensemi* may not be holoplanktonic but most likely was a
153 teleplanic larva of a shallow water bivalve species. In extant adult bivalves, true swimming is
154 only seen in the family Pectinidae, but this ability is mostly used to escape from predators
155 [although free-swimming is common in modern bivalves where larvae (veligers) swim by
156 means of a ciliated velum (Barnes, 1987)].

157 A more byssus-relying mode of life has instead been postulated by Hayami (1969) for
158 *Monotis*, who considered that its byssal notches might indicate attachment to floating objects
159 (1C in Fig. 1). Also, monotid bivalves have thicker shells and often occur in near shore and
160 coarser sediments, in contrast to *Daonella* and *Halobia*. Tozer (1982) strongly supported the
161 idea of Hayami (1969), that *Monotis* was pseudoplanktonic (probably attached to floating
162 seaweeds in a Sargasso-like situation). An attached mode of life to drifting objects
163 (pseudoplanktonic) was also advocated by Campbell (1985, 1994) for *Halobia*, where the
164 neomorphic feature present in *Halobia* was interpreted as a tube which would house the
165 byssus. Other authors who have proposed a pseudoplanktonic mode of life for flat clams
166 include Rieber (1968), for *Daonella* in the Middle Triassic of Monte San Giorgio
167 (Switzerland), and Duff (1975) for the Jurassic *Bositra*.

168

169 3. A case-study from Vancouver Island

170 3.1 Geological context

171 The bedrock geology of Vancouver Island is principally formed by a thick Late Paleozoic
172 to Early Mesozoic volcano-sedimentary succession regarded as being part of the
173 tectonostratigraphic Wrangellia terrane (Jones et al., 1977). Wrangellia, which stretches north
174 into southern Alaska via the Haida Gwaii Islands (Wheeler and McFeeely, 1991), is inferred
175 to have collided with the Alexander terrane to form the Insular belt in the Late Carboniferous

176 (Gardner et al., 1988), and merged to inboard terranes during mid-Cretaceous (Monger et al.,
177 1982) or mid-Jurassic times (van der Heyden, 1991; Monger and Journeay, 1994). The ante-
178 accretion path of these accreted terranes (Wheeler and McFeeley, 1991) relative to the North
179 American craton remains a matter of discussion (Belasky et al., 2002; Colpron et al., 2007;
180 Beranek and Mortensen, 2011). However, recent work on paleobiogeography would suggest
181 a location in the northeastern Panthalassa for Wrangellia during the Early Jurassic (Smith,
182 2006). In the northern part of Vancouver Island, the stratigraphy is dominated by a Triassic
183 succession formed by volcanics of the Karmutsen Formation, carbonates of the Quatsino
184 Formation and deep-water impure limestones and siliciclastic rocks of the Pearson Bay
185 Formation (Fig. 2A). This Triassic sequence, a signature of Wrangellia, is found throughout
186 the terrane, from Vancouver Island all the way up to southern Alaska (Jones et al., 1977).

187 The Quatsino Formation is a relatively thick (up to 750 m) carbonate succession which
188 remains understudied on Vancouver Island, its facies and sedimentology can be partially
189 correlated with strata of the better studied Kunga Group in the Haida Gwaii Islands
190 (Desrochers, 1988, 1989; Orchard, 1991; Orchard and Desrochers, 1993). At its lower
191 contact, the Quatsino Formation is found lying non-conformably on volcanic deposits of the
192 Karmutsen Formation (Muller et al., 1974). According to Desrochers (1989), the first phase
193 of carbonate sedimentation records the deposition of shallow-water open-platform facies on a
194 relatively flat volcanic basement, just after the end of the volcanism in the Carnian. A second
195 phase registers a gradual change toward a sedimentology which was most likely controlled by
196 tectonic activity within Wrangellia. Here, the switch to a more bank to basin topography is
197 witnessed by the deposition of shallow-water inner-platform, lagoonal and tidal flat facies
198 coeval with deep-water hemipelagic deposits, calciturbidites and gravity flows (Desrochers,
199 1989). Finally, the gentle upward transition into deep water impure limestones and
200 siliciclastic rocks of the Pearson Bay Formation records the drowning of the platform and the

201 end of carbonate production, along with a gradual increase in siliciclastic input (Muller *et al.*,
202 1974; Orchard and Desrochers, 1993). This transition, thought to be regionally diachronous,
203 is inferred to have occurred between the Late Carnian and the late Early Norian (Muller *et al.*,
204 1974; Nixon *et al.*, 2000, 2006).

205 The Tsiko Lake outcrop (50°14'6.96" N, 126°51'48.98" W; Fig.3) was accessed along a
206 logging road departing from the Zeballos road near Tsiko Lake. There, a recent landslide
207 partially exposed a 7 m sedimentary package (Figs. 2 A, B and 3) of medium-bedded
208 limestones, shales, bituminous dolomitic shales, calcareous shales and carbonate gravity flow
209 deposits.

210

211 3.2 Material and methods

212 A total of 70 samples were collected at the Tsiko Lake locality (Figs. 2A, B and 3) during
213 two distinct field excursions (summer months of 2017 and 2018). Fossil collection for
214 paleontological analysis was mostly made on two different (particularly rich and more fissile)
215 beds occurring at different levels along the section (Fig. 3). The specimens of *Halobia*
216 examined in this study are stored in the Natural History Museum of Geneva under the name
217 "Del Piero *et al.* 2020". Samples for geochemical and sedimentological analyses were taken
218 from different beds throughout the section (Fig. 3); since facies variation is very often
219 observed at intrabed level, several samples per bed were collected (i.e. lettering).

220

221 3.2.1 Thin section, cathodoluminescence, mineralogy and organic content

222 Normal and polished thin sections (n= 16) were prepared at the thin section laboratory of
223 the Department of Earth Sciences, University of Geneva. Sedimentological observations in
224 the field were coupled with the study of microfacies assemblages, which were observed
225 under a normal petrological microscope. Cathodoluminescence analyses were obtained using

226 a CITL 8200 Mk 5-1-optical cathodoluminescence microscope with a cold cathode mounted
227 on a Leitz petrological microscope at the Department of Earth Sciences, University of
228 Geneva. Beam conditions were 15-18 kv and 100-210 mA.

229 Automated petrographic analyses were performed using carbon-coated polished thin
230 sections in the QEMSCAN® (Quantitative Evaluation of Minerals by SCANNing electron
231 microscopy) laboratory at the University of Geneva. The energy-dispersive X-ray signal
232 (EDS) was acquired at 200 pixels/second with a point-spacing of 1 µm. Subsequently,
233 mineral-phase identification was achieved by comparing the acquired EDS spectra for each
234 pixel with a database of standard spectra provided by the manufacturer (FEI company).

235 Total organic carbon (TOC) content was determined by Rock-Eval analysis (Behar et al.,
236 2001) at the University of Lausanne. About 50-70 mg of sample powder underwent pyrolysis,
237 followed by the complete oxidation of residual material (Espitalié et al., 1985; Lafargue et
238 al., 1998). During analyses, samples were calibrated using the IFP 160000 standard, with an
239 analytical precision of <2%.

240

241 3.2.2 Paleontology, taphonomy and morphometry of Halobiids

242 For this study, 27 specimens (molds and altered shell surfaces) were selected for
243 paleontological determination. The two beds where the fossil collection was made are 5 to 10
244 cm in thickness, they are tabular in shape and look continuous at outcrop scale (10-15 mtr;
245 see Fig. 2 C). Specimens were coated using heated ammonium chloride, a technique that
246 accentuates the morphological features of *Halobia* specimens (see Hegna, 2010 for the full
247 procedure). Specimens were studied and identified taking into account different shell
248 parameters (see McRoberts, 1993, 2007, 2011 for more details).

249 *Halobia* shell thickness was measured on carbon-coated polished thin section under
250 backscatter mode on a JEOL JSM7001F scanning electron microscope (SEM) at the

251 University of Geneva: conditions were 15 kV and 3.5 nA. Juvenile *Halobia* are tinier and
252 thinner than adults and *Halobia* shells are notably thicker in the central part (i.e. the hinge
253 area) and along the costae. In order to limit potential bias caused by the preferential selection
254 of measured specimens (i.e. juvenile or adults) and/or portion of the shell, measurements
255 were obtained by pre-setting a random vertical line along each thin section at the SEM and
256 taking the measurements at the crossing point of this line with each shell (Fig. 4). In this way,
257 any potential bias that might arise from this type of 2D analysis (which might preclude the
258 comparison between these 2D data with other 3D population analyses such as size-frequency
259 distributions) is equally applicable to all our dataset thus allowing for an internal comparison
260 of our samples. For the taphonomic study of halobiid bivalves, macroscopic (hand
261 specimens) and microscopic (thin sections) observations were made taking into
262 considerations specimens occurring in beds inferred to be the result of autochthonous
263 pelagic/hemipelagic sedimentation only. No specimens from graded beds and/or beds with
264 erosive bases were studied.

265

266 3.2.3 Redox proxies

267 Framboidal pyrite (Fig. 5 B) dimensions were measured by SEM with the same settings as
268 for halobiid shells. This kind of measurement normally tends to underestimate the real
269 dimensions of a framboid whenever the section is not median, nonetheless it has been shown
270 that the difference is rarely >10% (Wilkin et al., 1996). At least 100 framboids were
271 measured for each sample (Tab. 3).

272 Iron speciation was analyzed at the University of Leeds, UK, on a set of samples covering
273 the different litho-biofacies formed by the autochthonous hemipelagic/pelagic sedimentation.
274 This technique (see Poulton and Canfield, 2005) targets iron phases that are considered
275 highly reactive (Fe_{HR}) towards dissolved sulfide in near-surface environments. The pool of

276 Fe_{HR} dominantly comprises iron carbonates (Fe_{CARB} ; including siderite and ankerite), ferric
277 iron (oxyhydr)oxide minerals (Fe_{OX} ; including hematite and goethite), magnetite (Fe_{MAG}),
278 and iron sulfide phases (Fe_{PY} ; dominantly pyrite). A sequential extraction targeting Fe_{CARB} ,
279 Fe_{OX} and Fe_{MAG} was performed on a sub-sample of 0.07-0.09 g of powdered sample. Fe_{CARB}
280 was first extracted using Na-acetate at pH 4.5 and 50 °C for 48 hours, followed by Fe_{OX} using
281 Na-dithionite for two hours at room temperature, and finally Fe_{MAG} was determined with an
282 ammonium oxalate extraction for six hours. Total iron (Fe_T) was determined on ashed (550
283 °C for 8 hours) sample aliquots after a HNO_3 -HF- $HClO_4$ - H_3BO_3 mixed-acid digestion.
284 Dissolved iron concentrations (Fe_{CARB} , Fe_{OX} , Fe_{MAG} , and Fe_T) were measured by an atomic
285 adsorption spectrometry (Thermo ice 3000 at the School of Earth and Environment,
286 University of Leeds). Replicate extractions of an internal standard gave a RSD of <5% for
287 every Fe pool analyzed.

288 Pyrite iron (Fe_{PY}) was determined stoichiometrically by weight from an Ag_2S precipitate
289 formed after a HCl and chromous chloride distillation (Canfield et al., 1986; Poulton and
290 Canfield, 2005). All samples were tested for the presence of acid volatile sulfide (AVS;
291 predominantly FeS) via an initial 6 M HCl distillation, but in all cases AVS was below
292 detection. Replicate extractions gave a RSD of <5%.

293

294 3.3 Lithological and microfacies characteristics

295 The 7 m package of deep-water sediments exposed at Tsiko Lake is part of the Quatsino
296 Formation (Cui et al., 2017). The sequence is limited to the uppermost part by a fault contact
297 separating it from an olistostrome containing platform-derived carbonate material and capped
298 by 40 cm of shales; the lower contact is covered by vegetation (Fig. 3). The succession
299 consists in alternations of hemipelagic/pelagic beds and re-sedimented layers. While
300 hemipelagic/pelagic beds are generally tabular in shape with thicknesses ranging from a few

301 cm to 30 cm, reworked layers are tabular to lenticular in form and their thickness varies
302 between 10 cm and 60 cm; in the latter, erosive bases and graded beds are commonly
303 observed. Reworked beds range in coarseness from fine sand-sized to gravel-sized carbonate
304 gravitational flow beds. The matrix of these gravitational flows is made up by sand-sized
305 carbonate material composed mostly of radial ooids and peloids; among the coarser material
306 are gravel-sized fragments of silicified shallow water (euphotic) fauna, including fragments
307 of corals, calcifying cyanobacteria, solenoporacean red algae, echinoderms, sponges and
308 molluscs (Figs. 5 C, D). Some bioclasts are heavily microbially encrusted (cortoids, formed
309 prior to down-slope transportation; Fig. 5 D).

310 Hemipelagic/pelagic beds are commonly 5 to 20 cm thick; bedding is mostly given by thin
311 layers (less than 1 cm) of weathered shale interfingering with bituminous shales and
312 hemipelagic limestones. Three main litho-biofacies dominate the autochthonous
313 sedimentation; changes between them occur both at inter- and intra-bed (laminations) level.
314 Litho-biofacies 1 (BFC 1; Fig. 6 A) is a limestone, consisting of a slightly macro to
315 microbioturbated (even though the sediment is never completely homogenized) wackestone
316 to packstone (rarely floatstone), rich in coproliths, radiolarians (Spumellarians; Fig. 5 F), fish
317 otoliths (Fig. 5 G), moderately fragmented halobiid shells, crinoids (Fig. 5 H), and other
318 unidentified molluscs (gastropods and possibly nuculid bivalves; Fig. 5 G). Litho-biofacies 2
319 (BFC 2; Fig. 6 B), the most abundant, is also a limestone. It consists of a laminated to
320 microbioturbated *Halobia* floatstone, with a wackestone matrix rich in calcified radiolarians,
321 generally unfragmented halobiid shells, small ammonites, ellipsoidal fish otoliths and small
322 nodosariid foraminifera (Fig. 5 C). In BFC 2, coproliths are rare with the exception of the
323 infilling of articulated halobiids (Fig. 5 A). In BFC 1 and 2, *Phycosiphon* sp. (Fig. 5 I) is the
324 most frequent ichnotaxon; other undetermined ichnotaxa are also common (possible
325 occurrence of *Nereites* sp.; see Fig. 5 I & J). The intensity of bioturbation at the macroscale

326 reflects what is seen at thin section level, with BFC 1 being more affected than BFC 2.
327 Lastly, litho-biofacies 3 (BFC 3; Fig. 6 C), consists of a dark wavy-laminated bituminous
328 dolomitic shale. It occurs either as 5 to 15 cm-thick tabular beds, or as intrabed alternations
329 with the other two facies. It is generally constituted of abundant tiny halobiid shells and few
330 pyritized radiolarian shells. In this litho-biofacies, the planktonic fraction (spumellarian
331 radiolarians) seems to decrease when compared to BFC 1 and 2. Sometimes in BFC 3 we
332 observed 5-10 mm thick areas where the main fabric changes. These areas include generally
333 thicker halobiid shells along with few additional benthic microorganisms (e.g. foraminifera).
334 We also observed rare flattened *Chondrites* sp. burrows (Fig. 5 K), where the burrowing
335 seems to be very shallow, and is limited to the bedding planes or semi-horizontal. *Chondrites*
336 sp. burrows look darker than the rest of the sample due to their higher infill of solid bitumen.
337

338 3.4 Results

339 3.4.1 Petrography and organic content

340 QEMSCAN analyses are fundamental to investigate the mineralogy of organic-rich
341 horizons and their relationships with other facies: bitumen staining makes normal
342 microscopic observation in this litho-biofacies difficult. As a general rule, BFC 3 received
343 lower biogenic input compared to microfacies 1 and 2 (Fig. 6 E). It contains a fair amount of
344 chlorite, probably derived from the diagenetic alteration of volcanic material [according to
345 Muller (1970) the Upper Triassic sediments were deposited on the west flank of a volcanic
346 plateau] or less stable clay minerals, with a much higher content of fine-grained,
347 microcrystalline to cryptocrystalline, silica, mostly occurring in the rock matrix (Figs. 6 E,
348 F). Since the radiolarians in these facies are preserved with pyrite substituting the original
349 skeleton, the microcrystalline silica making up the matrix of this facies may have been
350 sourced from the biogenic fraction, as a product of early diagenesis. Dolomitization widely

351 affects these organic-rich horizons: dolomite, making up sometimes almost 20% of the rock,
352 occurs as 40-50 μm euhedral displacive crystals scattered in the matrix. Dolomite crystals are
353 zoned and present in at least three different growth stages (Fig. 6 D). Their way of
354 occurrence, mostly found within the most organic-rich horizons, suggests that dolomite is a
355 diagenetic by-product of either bacterial or thermochemical sulphate reduction, linked to
356 organic matter decomposition (see Machel, 2001).

357 Total organic carbon (TOC) content in the hemipelagic facies (Tab. 1) varies between 0.53
358 and 2.71%. In general, BFC 1 and 2 have similar TOC contents, ranging from 0.53% to
359 0.82%, whereas BFC 3 has a much higher organic content, from 1.47% to 2.71%. The
360 thermal maturation of the sequence cannot be clearly revealed through rock-eval, since most
361 samples provided multiple small or plateau-like S2 peaks preventing a clear identification of
362 T_{max} (the pyrolysis temperature with the maximum amount of hydrocarbon released from the
363 organic matter). Nonetheless, various lines of evidence suggest a high level of maturity.
364 Firstly, the S2 peak configuration is typically found in well mature samples, and secondly,
365 most of the organic carbon is in the form of residual carbon with values of pyrolyzable
366 carbon being very low, thus indicating that the rock has undergone the main phase of
367 hydrocarbon generation. In view of the data, original values of total organic carbon in the
368 rock prior to thermal maturation must have been greater; in the case of hydrocarbon
369 migration, the estimated loss of organic carbon by the stage of full maturity varies between
370 66% and 20% depending on the original type of organic matter [(i.e. loss of organic carbon is
371 higher in case of type 1 kerogen than in case of type 3 kerogen (Daly and Edman (2019))].

Samples	Biofacies type	PC [%]	RC [%]	TOC [%]	MINC [%]	HI [mg HC/g TOC]	OI [mg CO ₂ /g TOC]	T _{max} [°C]	S1 [mg HC/g]	S2 [mg HC/g]	S3	PI
VI 63 B	1	0.08	0.47	0.55	9.49	116	54	317	0.20	0.64	0.30	0.24
VI 64 A	3	0.03	2.03	2.06	5.88	3	34	334	0.06	0.05	0.71	0.55
VI 65 C	2	0.02	0.50	0.53	9.64	20	81	442	0.03	0.11	0.43	0.23
VI 66 A 1	3	0.10	1.37	1.47	4.99	59	40	446	0.15	0.87	0.59	0.14

VI 66 B 1	1	0.11	0.71	0.82	8.34	93	106	308	0.26	0.76	0.87	0.25
VI 68 A 1	1	0.07	0.49	0.57	8.50	86	140	318	0.14	0.48	0.79	0.22
VI 69 A	2	0.08	0.74	0.82	4.84	76	63	435	0.15	0.62	0.52	0.19
VI 317 A	3	0.08	2.63	2.71	4.54	22	24	446	0.12	0.61	0.64	0.17

Table 1

Rock eval data for selected samples from Tsiko Lake.

372

373 3.4.2 Taphonomy of Halobiids, paleontological determinations and morphometry

374 The state of preservation of bivalves at Tsiko Lake is generally fair to good. The
375 specimens, generally slightly flattened by compaction, are found preserved in various ways,
376 including simple molds, either internal or external, or as partly recrystallized shells. In the
377 two layers where fossil collection for paleontological determination was made, halobiid
378 shells, rarely in life position (Fig. 5 A), are commonly unbroken (Fig. 7 A), and butterfly
379 preservation (i.e., typical of shells that have not been disturbed after death) as described by
380 Allmon (1985) is quite common (Figs. 7 B, D, E). The beds sampled for fossils yielded a
381 monospecific assemblage of *Halobia cordillerana* Smith, 1927 (identification confirmed by
382 Christopher McRoberts, pers. comm. 14/11/2018). This indicates an upper Lower Norian-
383 lower Middle Norian age in North America, according to Orchard and Tozer (1997).

384 Overall, specimens with closed articulated shells are very rare, but when present the valve
385 at the bottom seems to have more concavity (inferring their precise original morphology is
386 made difficult by the fact that specimens were taphonomically deformed by compaction
387 and/or other processes), which indicates the life position (Fig. 5 A). In thin section, in all the
388 above-mentioned facies, halobiid valves are found dispersed in the matrix and rarely overlap:
389 none of the accumulations seem to result from mass transport (for comparison see Fig. 5 in
390 Silberling et al., 1988). Valves show a slight preferential concave-upward orientation in most
391 of the samples (see supplementary data). The almost equal distribution of concave upwards
392 vs concave downwards valves means that, firstly, no bottom currents modified the original

393 depositional orientation of the valves (otherwise they would be oriented in the more stable
 394 concave downwards position), and secondly, that the accumulations did not derive from
 395 single (or opened articulated) valves settling through the water column (which would have
 396 resulted in a preferential concave upward orientation). Both inner and outer layers are
 397 preserved, indicating that no preferential dissolution occurred (Fig. 6 D).

398 Since paleontological identifications revealed the presence of only *Halobia cordillerana*,
 399 the following observations are made assuming this species is the only one present in
 400 transversal cuts in thin section as well. Generally, shell thicknesses for *Halobia cordillerana*
 401 ranges from a few μm , up to 72 μm (Tab. 2). In BFC 2, the mean thickness of halobiid shells
 402 ranges from 14.33 to 24.67 μm , with a SD (standard deviation) in the range of 6.84 to 15.14.
 403 In comparison, BFC 3 bears shells with a smaller mean thickness, from 9.15 to 15.22 μm , and
 404 a lower SD varying from 4.38 to 6.84 μm . Finally, shell thicknesses measured on BFC 1
 405 yielded average values spanning from 15.69 to 21.13 μm , with SD spanning from 9.46 to
 406 11.66. As a general rule, BFC 3, the less diversified and most organic-rich litho-biofacies,
 407 holds the smallest *Halobia cordillerana* specimens.

Samples	Biofacies type	N. of measurements	Mean (μm)	S.D.	Min thickness (μm)	Max thickness (μm)
VI 317 A	3	45	11.17	5.85	2.88	26.64
VI 317 B	3	71	11.95	5.98	2.15	24.16
VI 63 A	2	62	14.33	6.84	3.35	24.22
VI 63 B	1	no measurements				
VI 64 A	3	86	10.29	6.59	2.27	45.78
VI 64 B	3	90	12.36	5.84	3.12	29.38
VI 64 C	3	85	13.22	6.21	2.15	25.27
VI 65 A	3	31	12.85	5.83	2.4	27.44
VI 65 B	3	36	11.45	6.09	2.29	24.11
VI 65 C	2	33	16.2	9.5	2.14	3.34
VI 66 A 1	3	91	9.15	4.38	4.01	29.56
VI 66 A 2	3	87	15.22	8.59	2.22	39.61
VI 66 B 1	1	11	15.69	9.46	5.24	25.89
VI 66 B 2	1	48	21.13	11.66	2.94	52.65
VI 68 A 1	1	no measurements				

VI 68 A 2	2	47	24.67	15.14	4.92	57.98
VI 68 B 1	2	51	14.13	8.18	1.99	32.30
VI 68 B 2	2	45	15.22	8.41	2.56	33.05
VI 68 B 3	2	50	18.05	10.24	2.46	44.52
VI 69 A	2	150	16.55	10.38	2.45	71.46
VI 69 B	2	76	15.37	7.15	2.42	31.01
VI 69 C	2	81	15.1	8.16	2.14	40.00

Table 2

Shell thickness data for Tsiko Lake samples.

408

409 3.4.3 Redox proxies

410 Framboidal pyrite dimension is a widely used paleo-redox proxy (Wilkin et al., 1996;
411 Wignall and Newton, 1998; Bond et al., 2004; Wignall and Bond, 2010). Generally,
412 populations of framboidal pyrites having small average size (3 to 6 μm) and narrow size
413 range indicate euxinic to anoxic bottom waters conditions (Bond et al., 2004; Wignall and
414 Bond, 2010). Strongly dysoxic bottom waters conditions are characterized by populations of
415 framboids having small dimensions ($< 5 \mu\text{m}$) with the addition of larger framboids; when
416 compared to framboids populations formed under euxinic or anoxic conditions, lower
417 dysoxic populations have a larger average size (6 to 10 μm) and larger size-range (Bond et
418 al., 2004). Finally, in the case of weakly dysoxic-oxic conditions, framboidal pyrite tends to
419 be much rarer or absent (Bond et al., 2004; Wignall and Bond, 2010). Under these conditions,
420 when framboidal pyrite is present it shows populations marked by a broad range of sizes with
421 only a small proportion having small dimensions ($< 5 \mu\text{m}$; Bond et al., 2004; Wignall and
422 Bond, 2010). In most of the studied samples, framboidal pyrite is quite common and is often
423 the most abundant form of pyrite in the sample (Tab. 3). In BFC 2, framboidal pyrite is the
424 most common form of pyrite, and its average dimensions range from 4.98 to 6.05 μm , a size-
425 range that is generally high, with a SD as high as 3.66 and maximum framboid dimensions
426 around 26 μm . BFC 3, if compared to BFC 2, bears a population of smaller sized framboidal

427 pyrites with mean dimensions between 3.97 and 4.81, and with a smaller SD. In contrast, in
 428 BFC 1, framboidal pyrite is either not present or rare, with diagenetic euhedral pyrite being
 429 the most abundant form. When present, the population of framboids shows larger-sized
 430 individuals, up to 33.33 μm , with higher mean and SD, ranging from 6.67 to 6.77 and 4.36
 431 and 4.79, respectively. To summarize, different background oxygen conditions for the three
 432 different biofacies can be inferred from the distribution of framboidal pyrite: strongly dysoxic
 433 to oxic conditions for BFC 1, anoxic to strongly dysoxic conditions for BFC 2, and euxinic to
 434 anoxic conditions for BFC 3.

Samples	Biofacies type	N. of measurements	Mean (μm)	S.D.	Min dimensions (μm)	Max dimensions (μm)
VI 317 A	3	100	4.31	1.99	1.86	9.51
VI 317 B	3	102	4.75	2.05	1.59	13.55
VI 63 A	2	35	5.51	3.66	1.18	15.81
VI 63 B	1	Rare				
VI 64 A	3	150	4.36	1.7	1.54	10.36
VI 64 B	3	100	4.19	1.95	1.63	10.13
VI 64 C	3	110	4.23	1.91	1.73	10.45
VI 65 A	3	105	4.81	1.51	1.82	9.5
VI 65 B	3	87	4.18	1.37	2.04	9.27
VI 65 C	2	95	4.98	2.24	1.75	14.08
VI 66 A 1	3	100	3.97	1.39	1.33	7.5
VI 66 A 2	3	100	4.42	1.42	1.91	8.1
VI 66 B 1	1	101	6.67	4.79	2.68	33.33
VI 66 B 2	1	95	6.77	4.36	2.42	31.26
VI 68 A 1	1	Rare				
VI 68 A 2	2	100	4.76	2.39	1.87	17.46
VI 68 B 1	2	101	5.94	3.18	2.23	26.78
VI 68 B 2	2	90	5.98	3.26	2.36	23.45
VI 68 B 3	2	111	6.5	3.12	2.7	25.89
VI 69 A	2	152	4.99	3.4	2.13	15.35
VI 69 B	2	100	5.72	3.28	1.87	14.54
VI 69 C	2	104	5.25	2.85	2.11	15.35

Table 3
 Framboidal pyrite data for Tsiko Lake samples.

436 The Fe speciation proxy has been extensively calibrated in a wide range of modern and
437 ancient marine sediments (Raiswell and Canfield, 1998; Poulton and Raiswell, 2002;
438 Clarkson et al., 2014). In general, sediments deposited under oxic conditions rarely have
439 Fe_{HR}/Fe_T exceeding 0.38, whereas sediments deposited under anoxic conditions commonly
440 have Fe_{HR}/Fe_T values above this threshold. However, 0.38 is as an extreme upper value, and
441 Poulton and Raiswell (2002) showed that Fe_{HR}/Fe_T ratios in Phanerozoic shales deposited
442 under oxic water column conditions is commonly lower (0.14 ± 0.08). Thus, oxic depositional
443 conditions are inferred from ratios of $Fe_{HR}/Fe_T \leq 0.22$, whereas anoxic conditions are inferred
444 from $Fe_{HR}/Fe_T \geq 0.38$ (Poulton and Canfield, 2011). Intermediate values ($0.22 < Fe_{HR}/Fe_T < 0.38$)
445 are considered equivocal, and might represent oxic or anoxic conditions. Where anoxic
446 conditions are inferred, the proxy can be used to further discriminate between ferruginous
447 and euxinic conditions, by the extent of pyritization of the highly reactive Fe pool
448 (Fe_{PY}/Fe_{HR}). The transition from ferruginous to euxinic conditions generally occurs at
449 Fe_{PY}/Fe_{HR} ratios between 0.7 and 0.8 (Anderson and Raiswell, 2004; März et al., 2008).
450 Although this proxy has been established mainly studying siliciclastic sediments, Clarkson et
451 al. (2014) tested its reliability when applied to carbonate deposits. The authors concluded that
452 the proxy is applicable to carbonate rocks when Fe_T is above 0.5%, and when samples have
453 not been subjected to deep burial dolomitization. All samples from Tsiko Lake have values of
454 total Fe above 0.5%, with only one exception (VI 63 B).

455 Hemipelagic/pelagic samples from Tsiko Lake have high Fe_{HR}/Fe_T ratios, consistently
456 above the 0.38 threshold (Tab. 4; Fig. 8), suggesting deposition under anoxic bottom water
457 conditions. When we look at the distribution of the Fe_{HR}/Fe_T ratio, there is an increase in Fe_{HR}
458 enrichment from BFC 1, through BFC 2, to BFC 3. The same trend is observed in terms of
459 the extent of pyritisation of highly reactive Fe, whereby BFC 1 has Fe_{PY}/Fe_{HR} ratios as low as
460 0.38, followed by BFC 2 at 0.51, and finally BFC 3 with values up to 0.79. The only

461 exception is VI 317 A (BFC 3), with a Fe_{PY}/Fe_{HR} ratio of 0.05. However, a detailed
 462 examination suggests that the pyrite fraction in VI 317 A has been oxidized during
 463 weathering (see supplementary data). For this sample, even though the shapes of pyrite grains
 464 have been preserved (thus allowing the measurement of framboid dimensions), the mineral
 465 has been entirely replaced by iron oxides, as shown by EDX analyses on the SEM. As a
 466 consequence, most of Fe_{PY} pool has been transferred to the Fe_{OX} pool (with $Fe_{OX} = 0.64\%$
 467 being much higher than all the other samples). Most likely, the original depositional values of
 468 Fe_{PY} in VI 317 A were thus originally in the same range as other BFC 3 samples. Overall,
 469 Fe_{PY}/Fe_{HR} values tend to indicate anoxic ferruginous conditions for BFC 1 and 2 (values
 470 ranging from 0.38 to 0.64) whereas anoxic euxinic conditions (values >0.7) are suggested
 471 during deposition of BFC 3.

Samples	Biofacies type	Wt.%							Fe_x/Fe_{HR}			
		Fe_T	Fe_{ox}	Fe_{carb}	Fe_{mag}	Fe_{pyr}	Fe_{HR}	Fe_{HR}/Fe_T	Fe_{carb}	Fe_{ox}	Fe_{mag}	Fe_{pyr}
VI 63 B *	1	0.199	ND	0.057	0.001	0.104	0.162	0.81	0.35	ND	0.01	0.64
VI 64 A	3	0.861	0.067	0.156	0.004	0.657	0.884	1.03	0.18	0.08	0.00	0.74
VI 65 B	3	2.605	0.189	0.354	0.007	1.942	2.492	0.96	0.14	0.08	0.00	0.78
VI 66 A 1	3	0.741	0.006	0.147	0.006	0.614	0.772	1.04	0.19	0.01	0.01	0.79
VI 66 A 2	3	0.905	0.003	0.174	0.001	0.667	0.843	0.93	0.21	0.00	0.00	0.79
VI 68 A 1	1	0.811	0.162	0.145	0.003	0.188	0.498	0.61	0.29	0.33	0.01	0.38
VI 69 A	2	0.708	0.113	0.174	0.000	0.305	0.594	0.84	0.29	0.19	0.00	0.51
VI 317 A	3	0.778	0.635	0.017	0.011	0.038	0.701	0.90	0.02	0.91	0.02	0.05

* suspected samples

Table 4

Fe-speciation data for selected sample from Tsiko Lake.

472

473 4. Discussion

474 4.1.1 Depositional environments

475 The succession comprises sediments deposited in a slope to basinal setting, above the
476 CCD (or ACD if the inner layer of *Halobia* was originally made of aragonite as suggested by
477 Carter, 1990), in a low-energy environment, well below the storm wave base, with
478 disturbance only at irregular times by the gravitational re-deposition of sediments reworked
479 from the outer platform. These alternations of hemipelagic sediments and platform to slope-
480 derived sediments are similar to the depositional sequences of the Carnian-Norian Peril
481 Formation on QCI (Orchard and Desrochers, 1993). There, medium-bedded deposits of the
482 Peril Formation document the drowning of the platform and the transition to the
483 hemipelagic/pelagic sedimentation on QCI during the Upper Carnian.

484

485 4.1.2 Paleo-redox

486 The redox proxies used in this study document average redox conditions over a relatively
487 long time-span (likely hundreds of years, depending on the sedimentation rate) since the
488 material analysed consisted of 1-2 cm thick sediment intervals. Nevertheless, framboidal
489 pyrite distributions and iron speciation, coupled with sedimentological and ichnofacies
490 observations, allow us to interpret the background conditions under which each litho-
491 biofacies was deposited. With sedimentological and microfacies data alone, the relative
492 degree of oxygenation of each of the three litho-biofacies can initially be deduced. In this
493 regard, BFC 1 experienced the least severe degree of oxygen depletion. Here, the original
494 fabric is at times obscured (even though it is never completely homogenized) by micro to
495 macro bioturbation, coupled with the presence of a rather diverse and abundant benthic fauna
496 (for a low diversity assemblage), indicating only moderate oxygen depletion. In contrast, in
497 BFC 2, the original lamination is largely preserved and macrobioturbation slightly alters the
498 original fabric only sporadically. The presence of benthos is restricted to a few microbenthic
499 body fossils (e.g. foraminifera). This dearth of macrobenthos, if compared to BFC 1, likely

500 indicates a lower degree of oxygenation. The facies that experienced the most severe oxygen
501 depletion during deposition is BFC 3. Here, the undisturbed laminations imply that oxygen
502 levels were too low even for microbioturbating organisms, which includes soft bodied
503 organisms that are able to cope with extremely low oxygen levels (Levin et al., 2003).
504 However, even if the background oxygen conditions were most likely restricted during most
505 of BFC 3 deposition, several lines of evidence point towards less austere conditions at least
506 during short periods. For example, the rare microlaminae with thicker *Halobia* shells, rare
507 bioturbation and foraminifera fossil occurrences are present indicate that, at least temporarily,
508 the oxygen level of bottom waters improved slightly (but oxygenation was still lower than
509 during BFC 2). In general, TOC values confirm these sedimentological interpretations, as we
510 observe an increase toward higher values from BFC 1, through BFC 2, to BFC 3.

511 To better constrain levels of oxygen depletion at Tsiko Lake section we combine the redox
512 proxy data with the observations on sediment fabric and benthic communities (Tab. 5). A
513 complication when interpreting oxygenation arises from the fact that different authors often
514 use a different oxygen zonation classification (e.g. limits between zones) and/or
515 nomenclature (e.g. for different redox proxies). Hereafter, we will refer to the oxygen
516 zonation proposed by Tyson and Pearson (1991), which was mostly established according to
517 modern changes in sediment fabrics, as well as the response of benthic biota, to different
518 oxygen levels. These authors established four major oxygen regimes with four related
519 biofacies: oxic (aerobic biofacies), dysoxic (moderate, severe, extreme; dysaerobic biofacies),
520 suboxic (quasi-anaerobic biofacies), and anoxic (anaerobic biofacies). In BFC 1, extreme
521 dysoxic-oxic conditions can be inferred from the pyrite proxy, whereas Fe speciation points
522 to a greater degree of oxygen depletion (anoxic ferruginous). Oxic conditions can be
523 excluded as the sediment is never completely homogenized and the scattered reworking of
524 the sediments by bioturbation is typical of upper dysoxic conditions [Bond and Wignall,

525 2010; i.e. moderate to severe dysoxic conditions of Tyson and Pearson (1991)]. Moreover,
 526 the benthic community in BFC 1 already shows signs of impoverishment [for comparison see
 527 the oxic associations in Oschmann (1988)], with only a few specimens of crinoids and
 528 molluscs being present.

529 For BFC 2, the framboidal pyrite distribution indicates anoxic to extreme dysoxic
 530 conditions, which is generally consistent with the Fe speciation data, which suggest anoxic
 531 ferruginous conditions. In Tyson and Pearson (1991), the limit between suboxic and dysoxic
 532 is placed at 0.2 ml/L, because in modern environments this value corresponds to the limit that
 533 generally marks the end of macrobioturbation (in Savrda et al. (1984) this limit is placed at
 534 0.1 ml/L). In BFC 2, the intensity of macrobioturbation is generally quite low when
 535 compared to BFC 1, and the laminated fabric in this litho-biofacies is usually only disturbed
 536 by microbioturbation. Therefore, dominantly anoxic conditions, with intervals of strong
 537 dysoxia, is the most likely interpretation for BFC 2. Finally, all proxies suggest anoxic,
 538 dominantly euxinic conditions during deposition of BCF 3, with the disappearance of most
 539 benthics (or all benthics if we consider that the halobiid bivalves found are larval planktonic-
 540 nektonic individuals that settled on the sea-bottom after death; see below) and
 541 microbioturbation.

	Framboidal pyrite	Fe speciation	Sediment fabric and benthic biotic components	Interpreted background oxygen conditions
BFC 1	Extreme dysoxic to oxic	Anoxic ferruginous	Original laminated fabric moderately bioturbated Low diversity benthic assemblages (echinoderms, molluscs and foraminifera)	Severe/moderate dysoxic conditions
BFC 2	Anoxic to extreme dysoxic	Anoxic ferruginous	Lamination disturbed by microbioturbation and only rarely by macrobioturbation Very low diversity in benthics (foraminifera)	Dominantly anoxic conditions, with extreme dysoxic intervals

BFC 3	Euxinic to anoxic	Anoxic Ferruginous/ possibly euxinic	Laminated No benthics	Anoxic, dominantly euxinic conditions
--------------	-------------------	--------------------------------------	--------------------------	--

Table 5

Interpreted oxygen conditions from redox proxy and sediment fabric.

542

543 The above discussion highlights that autochthonous sedimentation at Tsiko Lake was
544 generally characterized by restricted oxygen conditions. The varying physico-chemical
545 characteristics of the hemipelagic/pelagic facies are a sign of varying oxygen conditions with
546 the chemocline [or RPD as defined by Rhoads and Morse (1971)] fluctuating from shallow
547 sediments into the water column. During the dysoxic to anoxic deposition of BFC 1 and 2,
548 we can interpret the position of the chemocline as being located at different depths (Fig. 9 A
549 and B respectively) within the sediment. In the case of BFC 3, oxygen deficiency was
550 probably not only confined to the sea bottom, but most likely led to euxinic conditions in the
551 water column (Fig. 9 C): when compared to BFC 1 and 2, BFC 3, besides being completely
552 devoid of epi- and endobenthic organisms, also shows a decrease in part of the
553 nektonic/planktonic biogenic fractions (e.g. no more fish otoliths and much less radiolarians).
554 As discussed above, anoxic conditions in BFC 3 were only interrupted at times by temporally
555 limited re-oxygenation events. The characteristics of these episodes indicate particular
556 conditions during formation, whereby the lack of bioturbation below these horizons and the
557 almost zero depth penetration of *Chondrites* suggest a sharp change in oxygen conditions just
558 below the sediment/water interface (Fig. 9 D). Similar circumstances were developed at times
559 in sediments of the Miocene Monterey Formation of California and were described as
560 exaerobic conditions (see Savrda and Bottjer, 1987, 1991). According to these authors,
561 exaerobic conditions (dissolved oxygen around 0.1 to 0.2 ml L⁻¹) develop when the RPD is at
562 the sediment surface: these settings prevent the sediments from being burrowed, but allow
563 extremely resistant benthos to inhabit the sediment surface (Tyson and Pearson (1991)

564 partially correlated the exaerobic facies with their quasi-anaerobic biofacies). These
565 conditions are thought to be facilitated by microbial mats forming on the sediment surface
566 (Jørgensen et al., 1979; O'Brien, 1990), which favor the development of a sharp oxygen
567 gradient at the surface. To conclude, in BFC 3, prevailing anoxic sulfidic conditions were
568 interrupted at times by short, low oxygenation episodes where conditions similar to the
569 exaerobic facies of Savrda and Bottjer (1987, 1991) developed.

570

571 4.1.3 Paleoecology of *Halobia cordillerana* at Tsiko Lake

572 To better understand the relationship between litho-biofacies, shell thickness and oxygen
573 levels we plotted average shell thickness vs average framboid dimensions (Fig. 10). The data
574 show a moderate positive correlation ($r= 0.79$) which suggests the existence of a relationship
575 between the two variables. As a general trend, lower values of bottom water oxygenation
576 coincide with an average reduction in shell thickness. This suggests a direct influence of
577 bottom water conditions on the life and growth of halobiid bivalves. At Tsiko Lake, the
578 highest abundance and average shell thickness of halobiids are seen in dysoxic to anoxic
579 facies (BFC 1 & 2). In contrast, under dominantly euxinic conditions (i.e. in BFC 3), halobiid
580 bivalves are present, but only as very small specimens that rarely exceed 15 μm in thickness.
581 These forms probably represent halobiids at larval or post-larval stages that, due to
582 unfavorable conditions, died in the water column or tried to settle down but failed. As the
583 small valves are never found attached or closely overlapping during anoxic periods in BFC 3,
584 it is more likely that these juvenile bivalves died before reaching the sea-bottom (due to water
585 column euxinia). During euxinic periods when bottom waters were inhospitable, it is very
586 improbable that spawning occurred in the area but instead, likely happened elsewhere where
587 conditions were more favorable for halobiids' life and reproduction (e.g. other shallower
588 areas of the basin where oxygen depletion was less severe). Our work therefore suggests that

589 these organisms had an initial pelagic-nektonic larval stage (as alluded by Campbell, 1994
590 and McRoberts, 1997, 2000), but their adult lifestyle was linked to the sea bottom, as
591 demonstrated by their valves position and distribution and their strong relationship to bottom
592 water redox conditions.

593 The opportunistic nature of these organisms can be further evaluated in relation to the
594 distribution of the oxygenation episodes in BFC 3. Unfortunately, our data do not allow a
595 detailed examination of the cause(s) of oxygen depletion at Tsiko Lake, since very little is
596 known about the paleogeographic location and the basin configuration of Wrangellia.
597 However, in terms of trace metal data, the anoxic biofacies (BFC 3) is characterized by low
598 Mo enrichment factors and high U enrichment factors, thus suggesting that the formation of
599 these strata possibly occurred in a closed restricted basin setting (see supplementary data;
600 Algeo and Tribovillard, 2009; Algeo and Rowe, 2012; Tribovillard et al., 2012). These
601 repeated black shale appearances imply the creation of closed or semi-closed basinal
602 conditions, possibly caused by sea-level changes due to eustatism or tectonics. Making some
603 assumptions, we can estimate the duration of anoxic periods at Tsiko Lake. For instance,
604 accounting for sedimentation rates of 0.03 mm y^{-1} [e.g. a similar modern environment could
605 be the New Georgia Sound in the Solomon Islands volcanic arc which has hemipelagic
606 sedimentation rates in the order of 0.03 mm y^{-1} (Colwell and Exon, 1988)] and a compaction
607 factor of 60% for hemipelagic oozes (Moore, 1989 pp. 247-251), every mm of actual
608 sediment thickness would record ca. 55 years of sedimentation (0.018 mm y^{-1}), a time period
609 which would have been much longer in the case of lower sedimentation rates (likely the case
610 since biogenic planktonic production would have been lower in the Triassic). Considering
611 that often the anoxic lamination of BFC 3 reaches 4-5 cm in thickness before any macro or
612 microscopic sign of improved conditions is evident, complete oxygen restriction likely
613 persisted for up to hundreds of years, making it impossible for halobiid bivalves to

614 successfully colonize the sea floor for long periods of time. Nonetheless, small oxygenation
615 events at Tsiko Lake are persistently recorded by the settling and partial growth of *H.*
616 *cordillerana*, which testifies to their rapid dispersal and settling strategies. Hence, looking at
617 their distribution at Tsiko Lake, we support the idea that thin-shelled bivalves are a classic
618 example of r-strategist blooming during time windows of restored biological conditions
619 (Kelly and Doyle, 1991; Conti and Monari, 1992; Wignall, 1993; Etter, 1995, 1996;
620 Harzhauser and Mandic, 2004; Schatz, 2005; Waller and Stanley, 2005). In addition, it is also
621 possible that, under certain circumstances, species turnover was directly influenced by local
622 environmental changes. On this point, Onoue et al. (2019), studying the environmental
623 perturbations at two Carnian/Norian boundary sections (e.g. Pizzo Mondello, Italy, and Black
624 Bear Ridge, Canada), found out that, in both localities, the appearance of *Halobia austriaca*
625 was associated with a change to more oxygen-depleted conditions in the basin (happening
626 asynchronously in the two localities); this led the authors to conclude that this species was
627 particularly adapted to oxygen-depleted environments.

628 Considering the relationships between adult halobiid bivalves and bottom water conditions
629 (presence of specimens in life position, relationships between bottom water oxygenation
630 levels and the life style and growth of halobiids), we now compare the different benthic
631 living scenarios. An epiphytic mode of life attached to benthic marine plants, suggested by
632 Campbell (1994) is not supported, since the water depth at Tsiko Lake was surely deeper than
633 the photic zone, where such plants live. In the three different litho-biofacies, macro and micro
634 burrows are well preserved, indicating that the sediment was sticky (cohesive) enough to
635 preserve burrowing. Especially observing the oxygenation events in BFC 3, an infaunal mode
636 of life can be ruled out since no vertically-preserved specimens were found and no vertical
637 disruption of the laminated sediment was noticed. As previously discussed, most of the
638 present-day chemosymbiont bivalves living in sediments are known to mine reduced

639 compounds, with burrows extending up to 30 times the length of the shell (Dando and
640 Southward, 1986; Seilacher, 1990; Dufour and Felbeck, 2003). No such burrowing is found
641 at Tsiko Lake. For example, in BFC 3, the only macro burrowing associated with the
642 exaerobic levels is that of *Chondrites*, and this burrowing is only observed subparallel to the
643 bedding plane and in very low frequency. Modern chemosymbionts are generally found in
644 the proximity of the RPD-layer (Schweimanns and Felbeck, 1995), and conditions that are
645 found in the oxygenation events in BFC 3 would theoretically be optimal for
646 chemosymbiosis. However, during these episodes, populations of halobiid bivalves never
647 reached dimensions such as those observed in the other litho-biofacies. Indeed, at Tsiko
648 Lake, the maximum valve thickness of *Halobia cordillerana*, 71.46 μm , is reached in dysoxic
649 to anoxic facies (BFC 1 & 2), whereas the maximum shell thickness reached in the more
650 oxygenated laminae of BFC 3 is 45.78 μm . This difference could be due to the fact that
651 conditions were likely not as optimal as during the deposition of BFC 1 & 2. Another line of
652 evidence against possible chemosymbiosis in halobiids comes from the distribution and shape
653 of halobiid-rich beds. In modern deep-water chemosynthetic environments, similar
654 abundances are only reached in cold seeps and hydrothermal vents. Fossil examples of these
655 environments show very localized chemosynthetic production resulting in lenticular
656 chemohermes [sensu Aharon, 1994; e.g. the Miocene “*Calcarella Lucina*” of the Italian
657 Appennines (Taviani, 2011)]. At Tsiko Lake, however, halobiid-rich beds are tabular in
658 shape and no data suggest a peculiar distribution influenced by zoned methane seepage.
659 Moreover, the fauna recently described from Upper Triassic hydrocarbon-seep deposits from
660 Turkey (Kiel et al., 2017), although being molluscs-dominated, did not show any presence of
661 halobiid bivalves.

662 Following these lines of evidence, we conclude that chemosymbiosis was not achieved in
663 *H. cordillerana*. Therefore, our data do not support the interpretations of Seilacher (1990),

664 who linked the “tube” present in *Halobia* to possible chemosymbiosis, although in the case of
665 *H. cordillerana*, this feature is quite developed (see McRoberts, 2011). This neomorphic
666 feature, not inherited from its ancestors (Waller and Stanley 2005), could have a completely
667 different purpose. In any case, it is very unlikely that its function was vital for the life of
668 *Halobia*, since it has been demonstrated that the dimensions of this “tube” vary consistently
669 within the genus, from being scarcely developed to having high amplitude (Waller and
670 Stanley 2005).

671 Ideally, a paleoecologic interpretation should be supported by modern data and should be
672 able to explain their recurrent presence in oxygen-depleted environments, widespread
673 distribution, morphology and structure, and high turnover rates, which make halobiid
674 bivalves valuable biostratigraphic markers. According to their distribution and life position at
675 Tsiko Lake, these organisms were likely filter feeding, epibenthic recliners. Thanks to their
676 pelagic larval stage, they were endowed with rapid dispersal and re-settling capacities. Once
677 settling occurred, they had the possibility to grow and reach the adult stage under minimal
678 oxygen values (extreme dysoxic to anoxic zone), but not under dominantly euxinic
679 conditions. Their shell morphology clearly reflects the adaptation to low-oxygen,
680 inhospitable environments: the extremely flat and thin shell (maximum thickness 71.46 μm)
681 of *H. cordillerana* at Tsiko Lake can be seen as the need of *Halobia* to minimize energy
682 consumption during shell secretion (Rhoads and Morse, 1971) and the necessity to have light
683 shells which would allow flotation even on soft hemipelagic substrates (Waller and Stanley,
684 2005; Schatz, 2005).

685

686 **4.2 Paleocology of Triassic flat clams and their importance for reconstructing past**
687 **environments**

688 Apart from the neomorphic and highly variable “tube” present in *Halobia*, Triassic flat
689 clams share several morphological features (Waller and Stanley, 2005), supporting the idea
690 that the benthic mode of life of *Halobia* was inherited from its ancestors (genera:
691 *Enteropleura*, *Aparimella* and *Daonella*). A shared mode of life is also suggested by their
692 common occurrence, especially *Halobia* and *Daonella*, which except for a few presumably
693 shallow water occurrences (Miroshnikov and Burii, 1969; Hatleberg and Clark, 1984;
694 Campbel, 1994), normally occur in similar deep-water settings (Schatz, 2005; McRoberts et
695 al., 2008; McRoberts, 2011). Therefore, we propose that our model for the mode of life of *H.*
696 *cordillerana* at Tsiko Lake could be transposed (generalized) to other halobiid species and
697 halobiid-like genera of the Triassic group. Further studies should provide confirmation that
698 these flat clams are benthic recliners, but their relation to oxygenation levels cannot be
699 asserted.

700 In Triassic hemipelagic settings where flat clams are widely diffused, they could be
701 extremely useful as paleoenvironmental indicators. *H. cordillerana*, fully epibenthic, required
702 lower dysoxic sea bottom conditions to thrive. In successions in which oxygen-depleted
703 conditions are inferred, the study of their vertical (stratigraphic) distribution, both at the
704 macro and microscale, has the potential to elucidate temporal variations in bottom water
705 oxygenation. The analysis of the frequency and length (thickness) of the oxygenation
706 episodes can be very useful when trying to reconstruct the causes and the development of
707 anoxia in a determinate area. This work at Tsiko Lake, once again underlines that great care
708 must be taken when dealing with paleo-redox reconstructions, since, even at the very small
709 scale, changes could be important and changing conditions can be rapidly registered even in
710 successions marked as fully anoxic or euxinic.

711

712 **5. Conclusions**

713 Flat clams-bearing hemipelagic litho-biofacies at Tsiko Lake were deposited under
714 generally restricted oxygen conditions. The entire halobiid assemblage is monospecific and
715 consists of specimens of *Halobia cordillerana*: the abundance and dimensions (represented
716 by their shell thickness) of these halobiids correlate with bottom water redox conditions
717 inferred from redox proxies and paleontological and ichnofacies data. Based on different
718 lines of evidence, we suggest an epibenthic recliner mode of life for *H. cordillerana*. In
719 layers deposited during anoxic periods, populations of halobiids are only represented by
720 specimens at larval stage, which likely perished due to oxygen deficiency before settling on
721 the sea-bottom. During anoxia, spawning of *H. cordillerana* likely occurred elsewhere, where
722 oxygen conditions were more favorable and adult specimens were present. *H. cordillerana*
723 proliferated under dysoxic conditions but was able to live also under exaerobic conditions.
724 The paleoecology of *Halobia cordillerana* can be used as a starting point to compare the
725 mode of life of other flat clams. It could serve as a reference for the paleoecological
726 reconstructions of similar forms, and stresses the importance of flat clams in reconstructing
727 paleo-oxygenation levels.

728

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738

739 **Appendix A. Supplementary data**

740 Supplementary data to this article can be found online.

741

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