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1 **Stability of Water-in-Oil Emulsions Co-stabilized by Polyphenol Crystal-Protein**
2 **Complexes as a Function of Shear Rate and Temperature**

3 *Morfo Zembyla¹, Aris Lazidis², Brent S. Murray^{1*}, and Anwasha Sarkar¹*

4 ¹ *Food Colloids and Bioprocessing Group, School of Food Science and Nutrition,*
5 *University of Leeds, Leeds, LS2 9JT, UK.*

6 ² *Nestlé Product Technology Centre York, P. O. Box 204, Haxby Road, York YO91*
7 *1XY, UK.*

8 **Abstract**

9 The process stability of water-in-oil (W/O) Pickering emulsions (10 or 20 wt.% water),
10 co-stabilized by crystals of polyphenols (curcumin or quercetin, (0.14 wt.%)) dispersed
11 in soybean oil phase, plus whey protein isolate (WPI, 2.0 wt.%) or whey protein
12 microgel (WPM, 0.1 - 2.0 wt.%) particles present in the inner aqueous phase, was
13 assessed by measuring the apparent viscosity (η), water droplet size (light scattering)
14 and microstructural changes (confocal laser scanning microscopy, CLSM). Stability
15 was measured as a function of temperatures (25 to 50 °C), using a shear rate cycle
16 between 0.1 to 100 s⁻¹ to highlight shear- and time-dependent hysteresis (if any) of η .
17 All the emulsions showed shear thinning to some extent, but those without added WPI
18 or WPM particles in the aqueous phase exhibited coalescence at increasing shear
19 rate, that was more pronounced at higher temperatures. Emulsions containing WPI in
20 the dispersed phase were stable, whilst those containing WPM particles showed a
21 decrease in mean droplet size ($D_{4,3}$) on shearing due to the disruption of the
22 aggregates of droplets, polyphenol crystals and/or WPM particles in the continuous oil
23 phase, but with no droplet coalescence. The low shear rate (0.1 s⁻¹) viscosity showed
24 an increase with increasing WPM particle concentration. This increase, plus confocal

25 laser scanning microscopy (CLSM) of the emulsions, suggested that the WPM
26 particles increased W/O emulsion stability not only via their adsorption to the inner
27 surface of the water droplets, but also due to them promoting the formation of mixed
28 weak flocs of polyphenol crystals + WPM particles + small water droplets in the oil
29 phase attached to the surface of the main population of water droplets.

30 **Keywords**

31 Pickering emulsions; water-in-oil emulsions; polyphenols; shear/process stability;
32 microgels; viscosity

33 **1. Introduction**

34 Emulsions are metastable colloids where one liquid phase is dispersed into another
35 immiscible liquid as droplets, which are created via external shear energy and the
36 droplets can be stabilized by surface-active molecules or particles ¹. Emulsions
37 therefore tend to revert to their two-phase state, usually via a combination of
38 creaming/sedimentation, aggregation, coalescence and Ostwald ripening, as well as
39 due to various chemical and biological actions ²⁻³. Apart from creaming and
40 sedimentation, all these other instability mechanisms can be accelerated by shearing
41 and mixing occurring during emulsion transportation and processing.

42 Food emulsions are often complex systems containing many different surface
43 active ingredients and stabilizers – lipids, proteins, polysaccharides, particles, etc. ⁴.
44 Pickering emulsions, stabilized by solid particles that strongly adsorb at the interface
45 between two fluid phases, were largely ignored even after their re-discovery by
46 Pickering in 1907 ⁵. However, in the past decades there has been renewed interests
47 in Pickering stabilization, partly because of the increasingly novel and wide ranging

48 types of particles available. As far as applications in foods is concerned, a continuing
49 challenge is to find effective Pickering particles that are acceptable for use in the food
50 industry⁶⁻¹¹. In particular, there are relatively limited number of biocompatible particles
51 that have been used so far in literature to stabilize water-in-oil (W/O) emulsions¹²⁻¹⁷.
52 Although Pickering emulsions are extraordinarily stable to coalescence and Ostwald
53 ripening, their process stability have attracted rare attention in literature to date, which
54 is part of what this work aimed to investigate particularly in W/O emulsions.

55 In our previous work, we demonstrated the ability of water-insoluble polyphenol
56 crystals, such as curcumin and quercetin, to stabilize water droplets via the Pickering
57 mechanism¹². Micro-structural evaluation at various length scales revealed that
58 quercetin crystals ($D_{3,2} \sim 5.9 \mu\text{m}$ and ratio of length to diameter (L/D) = 2.5:1 – 7:1)
59 had a more rod-like shape and curcumin crystals were smaller ($D_{3,2} \sim 0.2 \mu\text{m}$) having
60 a more polyhedral shape¹². It was observed that both polyphenol crystals were able
61 to adsorb at the water-oil interface and provide stabilization of water droplets for up to
62 2 – 3 days. However, formation of a hybrid polyphenol-biopolymer complex at the
63 water-oil interface revealed a pronounced improvement in the kinetic stability of the
64 emulsions (up to 21 days)¹³. This complex formation occurred between the Pickering
65 polyphenol particles adsorbing from the oil side and whey protein isolate (WPI)
66 biopolymer co-adsorbing from the aqueous side of the interface, which strengthened
67 the mechanical properties of the adsorbed composite film¹³. Furthermore, by changing
68 the physical nature of the protein – forming it into WPI-based microgel (WPM) particles
69 via controlled shearing of heat set whey protein gels¹⁸ (mean hydrodynamic radius of
70 $\sim 90 \text{ nm}$ and polydispersity index of ~ 0.3 at pH 3.0) the stability of W/O emulsions
71 was further improved (up to 90 days) and higher stable water volume fraction was
72 possible (up to 20 wt.%)¹⁹. In this case, the water droplets were stabilized by a sort of

73 'double Pickering mechanism', where one type of particle (polyphenol crystals)
74 adsorbed from the oil side and another (WPM particles) co-adsorbed from the aqueous
75 side. Microgels represent a relatively new type of food-stabilizing ingredient,²⁰
76 whereby the functional properties of biopolymers (*i.e.*, proteins or polysaccharides)
77 are improved by forming them into gel particles, either by bulk gelation then
78 comminution into particles (as in this case), or controlled aggregation up to the
79 microgel particle size then truncation of the process to avoid bulk gelation. The double
80 Pickering stabilization was shown previously¹⁹ to be strongly dependent on the
81 concentration of WPM particles. At low WPM particle concentration, both polyphenol
82 crystals and WPM particles were present at the interface and synergistically improved
83 the W/O emulsion stability. At higher WPM particle concentrations, mixed weak
84 flocculation in the oil phase of polyphenol crystals, WPM particles and possibly very
85 small water droplets (which are almost impossible to distinguish from the latter)
86 occurred. In the presence of these aggregates, which were usually attached to the
87 surface of the main population of water droplets, the resilience of the emulsions to
88 coalescence increased even further¹⁹. Evidence was also presented that the principal
89 origin of the complex formation (for both WPI and WPM particles) was electrostatic
90 attraction, at pH 3.0, between the oppositely charged polyphenol particles and protein,
91 although hydrogen bonding between the two components may have also contributed
92 to the stability^{13, 19}. Emulsions were also prepared at pH 7.0, but at this pH value, the
93 polyphenol crystals tend to degrade chemically, and the emulsion stability was
94 significantly impaired. The results also suggest that electrostatic complex formation is
95 less effective in contributing to emulsion stability at pH 7.0 because both WPI or WPM
96 particles and the polyphenols have the same (negative) sign of charge¹³. However, in
97 none of the afore-mentioned studies, emulsions were tested for their process stability

98 to shear, which is best done using a combination of controlled rheological tests
99 combined with particle sizing and confocal laser scanning microscopy (CLSM).

100 As well as rheological stability being used as a measure of colloidal stability ²¹,
101 rheological control is, of course, vital in the manufacture of foods, *e.g.*, design of
102 material handling systems plus maintenance of quality control and the desired sensory
103 aspects of products ²²⁻²³. In general, emulsion viscoelasticity depends on droplet size
104 distribution, rheology of the continuous phase and inter-particle interactions, all of
105 which are strongly influenced by processing conditions, such as energy input during
106 emulsification, residence time, application of thermal treatments, mixing efficiency,
107 etc. ^{21,4}. In order to test the likely process stability of the Pickering emulsions created
108 using polyphenol crystals alone and/or in combination with WPI and WPM particles as
109 stabilizers, the apparent viscosity (η), water droplet size and microstructural changes
110 were measured as function water volume fractions, plus cycles of shear rate and
111 temperature. Therefore in this manuscript the process stability of the W/O emulsions
112 stabilized by curcumin or quercetin crystals dispersed in the oil phase, with or without
113 the presence of WPI or WPM particles in the aqueous phase, will be characterized.
114 This characterization will be undertaken by measuring the rheology and particle size
115 distributions of the emulsions on subjecting them to a well-defined range of shear rates
116 and temperatures, combined with confocal laser scanning microscopy of the systems.
117 To our knowledge, this is the first study that has systematically characterized the
118 process stability of W/O Pickering emulsions stabilized by complex interfaces.

119 **2. Materials and Methods**

120 **2.1. Materials**

121 Curcumin (orange-yellow powder) from turmeric rhizome (96 % total curcuminoid
122 content) was obtained from Alfa Aesar (UK). Quercetin (≥ 95 %) in the form of a yellow

123 crystalline solid was purchased from Cayman Chemicals (USA). Both polyphenol
124 crystals were used without further purification. Soybean oil (KTC Edibles, UK) was
125 purchased from a local store. Whey protein isolate (WPI) containing 96.5 % protein
126 was obtained from Fonterra (New Zealand). Water, purified by treatment with a Milli-
127 Q apparatus (Millipore, Bedford, UK), with a resistivity not less than $18 \text{ M}\Omega \text{ cm}^{-1}$, was
128 used for the preparation of the emulsions. A few drops of hydrochloric acid (0.1 M HCl)
129 or sodium hydroxide (0.1 M NaOH) were used to adjust the pH of the emulsions.
130 Sodium azide was purchased from Sigma-Aldrich (USA).

131 **2.2. Preparation of oil dispersion of curcumin or quercetin crystals**

132 Curcumin or quercetin dispersions were prepared by dispersing these polyphenol
133 crystals (0.14 wt.%) in the continuous phase (soybean oil) using an Ultra-Turrax T25
134 mixer (Janke & Kunkel, IKA-Labortechnik) with a 13 mm mixer head (S25N-10 G)
135 operating at 9,400 rpm for 5 min.

136 **2.3. Preparation of aqueous dispersion of whey protein isolate (WPI)**

137 The WPI (4 wt.%) was dissolved in aqueous phase for at least 2 h at room
138 temperature to ensure complete hydration. The WPI solution was then diluted to the
139 desired WPI concentration and 0.02 wt.% sodium azide was added as a preservative.
140 The pH of the aqueous phase was adjusted to 3.0, by adding few drops of 0.1 M HCl
141 or NaOH.

142 **2.4. Preparation of aqueous dispersion of whey protein microgel** 143 **(WPM) particles**

144 An aqueous dispersion of WPM particles was prepared based on a slight
145 modification of the methods previously described by Murray and Phisarnchananan ²⁴,

146 Sarkar, et al. ²⁵, Araiza-Calahorra and Sarkar ²⁶. Whey protein solution (10 wt.%) was
147 prepared by dissolving WPI powder in 20 mM phosphate buffer solution at pH 7.0 for
148 2 hours. The WPI solution was then heated at 90 °C for 30 min and cooled at room
149 temperature for 30 min followed by storage at 4 °C overnight to form whey protein
150 hydrogels. The gels were mixed with 20 mM phosphate buffer solution (1:1 w/w) at pH
151 7.0 and were pre-homogenized using a blender (HB711M, Kenwood, UK) for 3 min
152 before homogenization using two passes through the Leeds Jet homogenizer
153 (University of Leeds, UK) operating at a pressure of 300 ± 20 bar. Sodium azide (0.02
154 wt.%) was added to the final 5 wt.% WPM particles stock solution.

155 **2.5. Preparation of W/O emulsions**

156 W/O emulsions were prepared at room temperature (21 to 26 °C) based on the
157 procedure described in our previous work ^{12-13, 19}. Curcumin or quercetin dispersions
158 were prepared, as described before, by dispersing the polyphenol crystals (0.14 wt.%)
159 in the continuous phase (soybean oil) using an Ultra-Turrax T25 mixer (Janke &
160 Kunkel, IKA-Labortechnik) with a 13 mm mixer head (S25N- 10G) operating at 9,400
161 rpm for 5 min. The aqueous phase was prepared with Milli-Q water, WPI (2.0 wt.%,
162 prepared as discussed before) or WPM particles (0.1 - 2.0 wt.%, prepared as
163 discussed before). Coarse W/O emulsions were prepared by homogenizing 10 or 20
164 wt.% of this aqueous phase with soybean oil in an Ultra-Turrax mixer for 2 min at
165 13,400 rpm. Fine emulsions were prepared by passing the coarse emulsions through
166 the Leeds Jet Homogenizer, twice, operated at 300 bar. Immediately after preparation,
167 emulsions were sealed in 25 mL cylindrical tubes (internal diameter = 17 mm) and
168 stored at room temperature in a dark place. All the emulsions were in liquid form; by
169 simply inverting the tubes the emulsions flowed.

170 **2.6. Rheology**

171 A modular compact rheometer, MCR-302 (Anton Paar, Austria) was used to
172 measure the viscosity of soybean oil, curcumin and quercetin dispersions, WPI and
173 WPM aqueous dispersions and emulsions at different temperatures (25, 35 and 50
174 °C). Cone-and-plate geometry (CP50-2, diameter: 50 mm cone angle: 2°) was used
175 for all measurements. The rheometer was initialized with 0.2 mm gap between the
176 cone and plate. The shear rate was set in the range of 10^{-1} to 10^2 s^{-1} . For each
177 measurement, a small amount of sample was pipetted onto the top of the plate,
178 excluding any air bubbles. Samples were left in the rheometer for approximately 2 min
179 to achieve a steady state. The viscosity was measured at shear rates of 10^{-1} to 10^2 s^{-1}
180 for 15 min, where subsequently the shear rate was kept constant at 10^2 s^{-1} for 30 min.
181 Then, the shear rate returned back to 10^{-1} s^{-1} (within 15 min) to check for any
182 hysteresis. Although the normal force was nominally set to zero, during measurements
183 it typically fluctuated between 0.3 and 0.5 N. Viscosity at each concentration was
184 measured three times on separate samples.

185 **2.7. Particle size measurements**

186 The particle size distributions (PSD) of emulsions were measured using static light
187 scattering via a Mastersizer Hydro SM small volume wet sample dispersion unit
188 (Malvern Instruments, UK). The size was measured before and after shearing in the
189 rheometer at different temperatures. Average droplet size was monitored via the
190 Sauter mean diameter, $D_{3,2}$, or volume mean diameter, $D_{4,3}$, defined by:

$$191 \qquad D_{3,2} = \frac{\sum n_i A_i d_i}{\sum n_i A_i} \qquad (2)$$

$$192 \qquad D_{4,3} = \frac{\sum n_i V_i d_i}{\sum n_i V_i} \qquad (3)$$

193 where n_i is the number of particles, A_i is the particle surface area, V_i is the particle
194 volume and d_i is the diameter of the i^{th} particles.

195 For water droplet size measurements, refractive indices of 1.33 and 1.47 were
196 used, for water and soybean oil, respectively. Absorption coefficients of 0.01, 0.1 and
197 0.01 for curcumin, quercetin and water, were used, respectively. All measurements
198 were made at room temperature on at least three different samples.

199 **2.8. Confocal laser scanning microscopy (CLSM)**

200 The microstructure of the W/O emulsions was observed using a confocal
201 microscope (Zeiss LSM700 inverted, Germany). The emulsions were prepared as
202 discussed above but were deliberately not passed through the Leeds Jet homogenizer
203 in order to maximize their size because with larger droplets it was easier to discern the
204 absorbed layers of polyphenol crystals and WPI/ WPM particles at the interface.
205 Approximately, 80 μL of sample were placed into a laboratory-made well slide and
206 a cover slip (0.17 mm thickness) was placed on top, ensuring that there was no air
207 gap (or bubbles) trapped between the sample and coverslip. The samples were
208 scanned at room temperature (25 ± 1 °C) using a 20 \times /0.5 objective lens. Auto-
209 fluorescence from the crystals was excited using 488 and 405 nm wavelength lasers
210 for curcumin and quercetin crystals, respectively. Rhodamine B was used as a dye for
211 whey protein and was excited using a 545 nm wavelength laser. The emitted
212 fluorescent light was detected at wavelengths of 525, 460 and 580 nm for curcumin,
213 quercetin and Rhodamine B, respectively.

214 **2.9. Statistical analysis**

215 Significant differences between samples were determined by one-way ANOVA and
216 multiple comparison test with Tukey's adjustment performed using SPSS software
217 (IBM, SPSS statistics) and the level of confidence was 95 %.

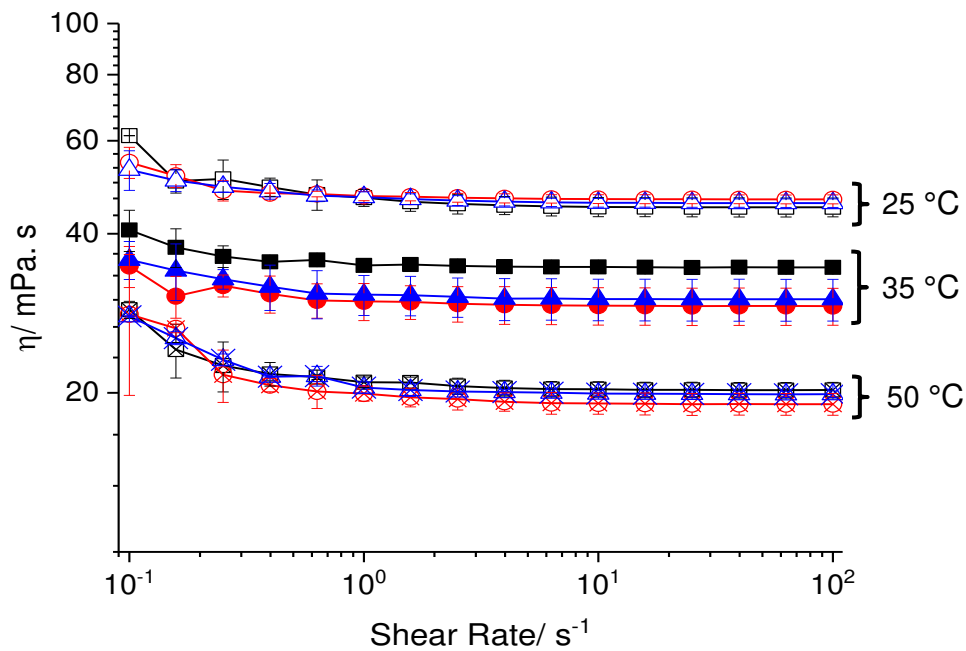
218 **3. Results and Discussion**

219 **3.1. Control Experiments**

220 Before measuring the viscosity of the emulsions, it was necessary to check the
221 effects of the particles on the viscosity of the dispersed and continuous phases alone.

222 **a) Viscosity (η) of curcumin and quercetin dispersions in soybean oil**

223 The viscosity (η) of curcumin and quercetin dispersions (0.14 wt.%) in soybean
224 oil was measured at a range of shear rates ($\dot{\gamma} = 10^{-1} - 10^2 \text{ s}^{-1}$) at different temperatures
225 (25, 35 and 50 °C) as shown in Figure 1. The η of soybean oil alone (*i.e.*, without
226 added polyphenol crystals) was also measured as a control. All the samples showed
227 Newtonian behavior at all temperatures, *i.e.*, the viscosity remained stable as the
228 shear rate increased. The η results for soybean oil, curcumin and quercetin
229 dispersions were indistinguishable at 25 °C indicating that the concentration of
230 particles (0.14 wt.%) added to the oil did not cause any significant change in the η of
231 the oil. As the temperature increased from 25 to 50 °C, the viscosity of all the samples
232 decreased slightly, but remained Newtonian as expected for most oils²⁷⁻²⁹, showing
233 again that the η values of curcumin and quercetin dispersion were very similar to the
234 control (soybean oil alone).



235

236 **Figure 1.** Viscosity (η) against shear rate ($\dot{\gamma}$) curves of soybean oil (control, ■), 0.14
 237 wt.% curcumin (●) and 0.14 wt.% quercetin (▲) dispersions in soybean oil at different
 238 temperatures 25 (open symbols), 35 (closed symbols) and 50 °C (crossed symbols).

239 **b) Viscosity (η) of 2.0 wt.% WPI or WPM particle dispersions in aqueous**
 240 **phase at pH 3.0**

241 The η of 2.0 wt.% WPI or WPM particles in aqueous phase (pH 3.0) at different
 242 shear rates and temperatures (25, 35 and 50 °C) are shown in Figure 2. The
 243 solutions/dispersions were sheared from 10^{-1} to 10^2 s^{-1} and then kept at this high shear
 244 rate for 30 min to check their stability (as described in the Methods section (2.6.)). The
 245 solution of 2.0 wt.% WPI did not show strong shear thinning behavior, indicating
 246 biopolymer entanglements were not formed, as expected for globular proteins at this
 247 concentration. In addition, the change in the temperature from 25 to 50 °C did not
 248 significantly affect the viscosity of the WPI solutions at low shear rates (< 1 s^{-1}) where
 249 the flow curves were indistinguishable. At higher shear rates (> 1 s^{-1}) η decreased
 250 slightly with the increase of the temperature. No hysteresis was observed in the WPI
 251 solutions at 25 °C but a hysteresis loop was identified at higher temperatures (35 and

252 50 °C), where the η values, as $\dot{\gamma}$ was decreasing (Figure 2 (a), open symbols), were
253 slightly lower at low shear rates ($< 1 \text{ s}^{-1}$) than those when $\dot{\gamma}$ was ramped up (Figure 2
254 (a), closed symbols). This was probably due to the start of unfolding of WPI molecules
255 at higher temperatures^{13, 30}.

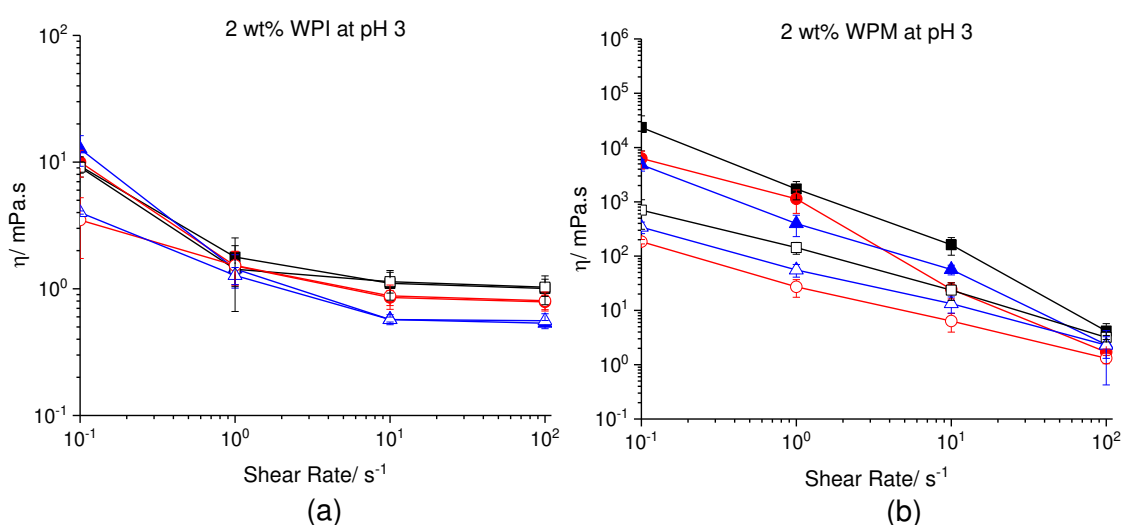
256 WPM particles (2 wt.%) at all the 3 temperatures showed strong shear thinning
257 behavior, typical of microgel dispersions. This is due to inter-particle entanglements
258 and other interactions that occur at relatively low particle volume fraction but that are
259 disrupted by shear^{25, 31}. The effect of temperature on η of the WPM particle
260 dispersions was more pronounced than on that of the WPI solutions. The initial η of
261 the WPM particle dispersions (at $\dot{\gamma} = 10^{-1} \text{ s}^{-1}$, 25 °C) was higher ($\eta \sim 10^4 - 10^5 \text{ mPa s}$)
262 than that at 35 or 50 °C ($\eta \sim 10^4 \text{ mPa s}$) and remained higher at all the shear rates
263 applied ($10^{-1} - 10^2 \text{ s}^{-1}$). The η values decreased with increasing temperature,
264 suggesting either shrinkage of the microgel particles and/or a reduction in
265 entanglements between them²⁵. The viscosity curves of the WPM particle dispersions
266 at 35 and 50 °C were very similar at all the shear rates. All the WPM particle
267 dispersions at any temperature showed hysteresis, where the η values on decreasing
268 $\dot{\gamma}$ (Figure 2 (b), open symbols) were much lower than those when $\dot{\gamma}$ increased (Figure
269 2 (b), closed symbols).

270 Protein microgels are soft colloidal particles that exhibit complex surface²⁰ and
271 bulk rheological behavior^{19, 25} since they do not have a true surface in the usual sense,
272 but consist of particles of a gel network. Thus, their surface is expected to be porous
273 and “fuzzy”, while the particles may be deformable or even be able to interpenetrate
274 to some extent²⁵. The interaction between microgel particles in the bulk and at the
275 interface – the electrostatic repulsion between them, their interpenetration and/or

276 deformation – are factors that are still not fully understood^{20, 32}. It has been suggested
277 that at interfaces both bulk phases interpenetrate such particles³³, illustrating that it is
278 misleading to conceive of microgels as having a fixed and finite contact angle like true
279 Pickering particles^{20, 34}. Nevertheless, such particles can act as excellent stabilizers
280 of emulsions and foams.

281 The η of the WPM particle dispersions (at 25 °C) was three orders of magnitude
282 higher than that of WPI (at 25 °C) even though the overall protein concentration in
283 these samples was 10× lower (*i.e.*, 0.2 wt.% whey protein isolate), taking into account
284 the water content of the microgel particles themselves. In previous work Murray and
285 Phisarnchananan²⁴ identified a strong dependence of WPM particle dispersion
286 rheology on pH, attributed to changes in the protein charge and thence WPM particle
287 aggregation. WPM particles display polyampholyte character in line with their
288 constituent proteins, with an isoelectric point (IEP) at pH 4.7, where their overall
289 charge is zero³⁵. Below and above this pH value, WPM particles are positively and
290 negatively charged, respectively^{13, 26, 36}. Such particles are generally stable against
291 aggregation when the ζ -potential exceeds the absolute value of 20 mV³⁷. Electrostatic
292 repulsion plays an important role in determining the rheology of concentrated
293 dispersions in general³⁸. At low shear rates, particles are not able to approach closely
294 because of the electrostatic repulsion and their effective volume fraction is greater. At
295 high shear rates the stresses are large enough to overcome the electrostatic repulsion
296 between the particles and force them closer together, exhibited as a shear thinning
297 effect³⁸. However, charge effects are magnified with ampholytic microgel particles,
298 due to the expansion and contraction of their diffuse surface polymer layers as they
299 become more charged or uncharged, respectively.

300 Finally, it should be emphasized that the much higher η values of the 2 wt.%
 301 WPM particle dispersions compared to the 2 wt.% WPI solutions persisted after
 302 application of $\dot{\gamma} = 10^2 \text{ s}^{-1}$, even though the WPM particles were highly shear thinning.
 303 Thus the WPM may have aggregated or interpenetrated as a function of shear rate to
 304 some extent, but they were certainly not completely destroyed by subjecting them to
 305 these conditions, so such interactions must be reversible, *i.e.*, the WPM particles are
 306 resilient under these conditions of shear.



307
 308
 309 **Figure 2.** Viscosity (η) against shear rate ($\dot{\gamma}$) curves of 2.0 wt.% WPI (a) and 2.0 wt.%
 310 WPM particles (b) at different temperatures 25 °C (■, □), 35 °C (●, ○) and 50 °C (▲,
 311 Δ). All the aqueous dispersions have been prepared at pH 3.0. Viscosity values are
 312 shown for ramping up (closed symbols) at shear rates from 0.1 - 100 s^{-1} and ramping
 313 down (open symbols) at shear rates from $10^2 - 10^{-1} \text{ s}^{-1}$.

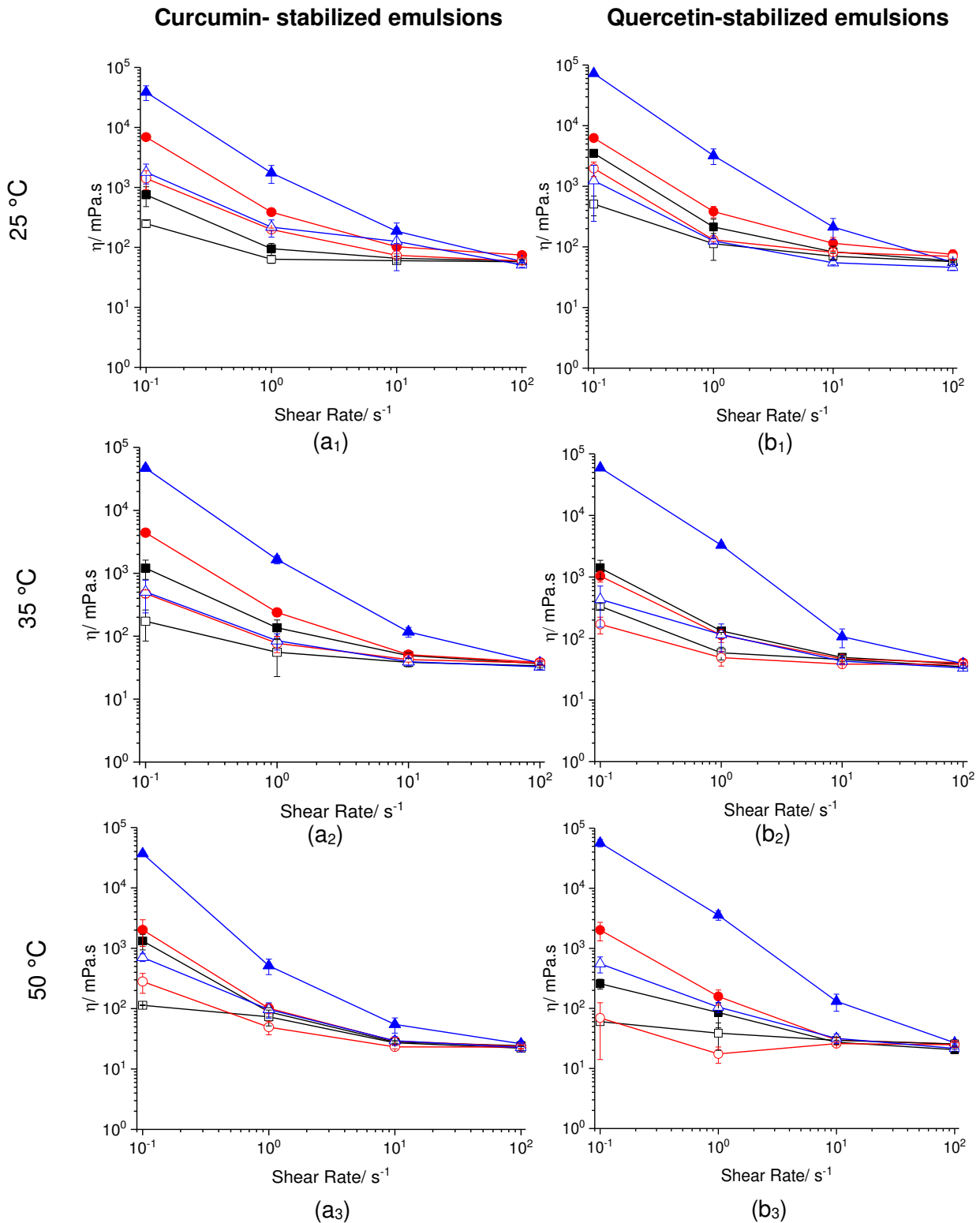
314
 315 **3.2. Stability of 10 wt.% W/O emulsions stabilized by curcumin or**
 316 **quercetin crystals with or without 2 wt.% WPI or WPM particles**

317 Figure 3 shows η against $\dot{\gamma}$ for emulsions stabilized by 0.14 wt.% curcumin or
 318 quercetin crystals dispersed in the oil phase + water (*i.e.*, 0 wt.% protein) or 2.0 wt.%
 319 WPI or WPM particles at different temperatures; 25, 35 and 50 °C. The emulsions

320 were prepared at pH 3.0 due to the formation of stronger interfacial complexes
321 between the oppositely charged polyphenol crystals present in the oil phase and WPI
322 or WPM particles in the aqueous phase, as shown earlier ¹². All the systems showed
323 shear thinning behavior; they had a higher η at low shear rates which decreased
324 dramatically as the shear rate increased. The trends for the emulsions stabilized by
325 either curcumin or quercetin were very similar, with the latter having slightly higher η
326 values, possibly due to the rod-like shape and larger mean size ($D_{3,2} \sim 5.9 \mu\text{m}$) ¹² of
327 quercetin crystals than curcumin (polyhedral shape, $D_{3,2} \sim 0.2 \mu\text{m}$) ¹², at all
328 temperatures. For both curcumin and quercetin systems at all temperatures, the initial
329 η (at 10^{-1} s^{-1}) decreased in the order WPM particles > WPI > water. The η of the
330 emulsions with or without WPI decreased slightly (from 10^4 to 10^3 mPa s) as the shear
331 rate increased from 10^{-1} to 10^2 s^{-1} at 25 °C (Figure 3 (a₁) and (b₁)) reaching a final η
332 value of $\sim 10^2$ mPa s at $\dot{\gamma} = 10^2 \text{ s}^{-1}$. This was 1 - 2 orders of magnitudes higher than
333 the η of the polyphenol dispersions in oil ($\sim 5 \times 10^1$ mPa s, Figure 1) or the 2.0 wt.%
334 WPI solutions (1 mPa s, Figure 2 (a)) at this shear rate, respectively. As the
335 temperature increased from 25 to 50 °C (Figure 3 (a₂ – a₃) and (b₂ – b₃)) the initial η at
336 $\dot{\gamma} = 10^{-1} \text{ s}^{-1}$ without WPI (or WPM particles) decreased significantly, especially for the
337 quercetin- stabilized system (one order of magnitude lower at 50 °C compared to at
338 25 °C). This decrease was possibly due to the re-arrangement of the polyphenol
339 crystals at the interface. In the presence of WPI a slight decrease in the initial η was
340 observed, suggesting disruption of flocculated particles, again mainly for the quercetin-
341 stabilized system. The final η value at $\dot{\gamma} = 10^2 \text{ s}^{-1}$ decreased slightly for all the systems
342 as the temperature increased from 25 to 50 °C. However, the WPM particle systems
343 showed a very high initial η ($\sim 10^5$ mPa s at 10^2 s^{-1}) compared to the systems with or
344 without WPI (10^3 - 10^4 mPa s). These higher η values were presumably due to some

345 flocculation in the continuous oil phase as already first noted in our previous work ¹⁹,
346 where the WPM particles seemed to form aggregates with polyphenol crystals in the
347 bulk oil phase, at the interface and also possibly between some water droplets, which
348 may have partly explained the observed increase in resistance to coalescence under
349 quiescent conditions ¹⁹. Therefore, as expected for flocculated systems, shear thinning
350 behavior was observed, as explained above ^{4, 39-40}. No significant effect of temperature
351 increase from 25 to 50 °C was observed on the emulsions containing WPM particles.

352 Hysteresis was observed in all the above emulsions, but was more pronounced
353 in those containing WPM particles, again probably due to more flocculated nature of
354 the latter systems. Interestingly, η at $\dot{\gamma} = 10^{-1} \text{ s}^{-1}$ of the WPM particle systems at 25 °C
355 ($10^3 - 10^4 \text{ mPa s}$) returned to values close to the initial values observed in the systems
356 without (or with) WPI at the same initial shear rate. This suggests that flocculating
357 effects of the WPM particles were broken by the application of the shear cycle.
358 Hysteresis was less pronounced in the emulsions with or without WPI, presumably
359 because these were less flocculated in the first place compared to the WPM particle
360 systems. As the temperature increased (Figure 3 ($a_2 - a_3$) and ($b_2 - b_3$)), η decreased
361 slightly, probably mainly due to the decrease of η of the continuous phase as observed
362 in Figure 1. At 50 °C both curcumin- and quercetin- stabilized systems with or without
363 WPI reached η values close to 10^2 mPa s at all shear rates (10^2 to 10^{-1} s^{-1}), indicating
364 convergence to the same sort of state of droplet and/or protein aggregation.



365

366

367 **Figure 3.** Viscosity (η) against shear rate ($\dot{\gamma}$) curves of 10 wt.% W/O emulsions
 368 stabilized by 0.14 wt.% curcumin (a) or quercetin (b) crystals dispersed in oil + water
 369 (■, □) or 2.0 wt.% WPI (●, ○) or 2.0 wt.% WPM particles (▲, Δ) as an aqueous phase
 370 (pH 3.0) at different temperatures 25 (1), 35 (2) and 50 (3) °C. Viscosity values are

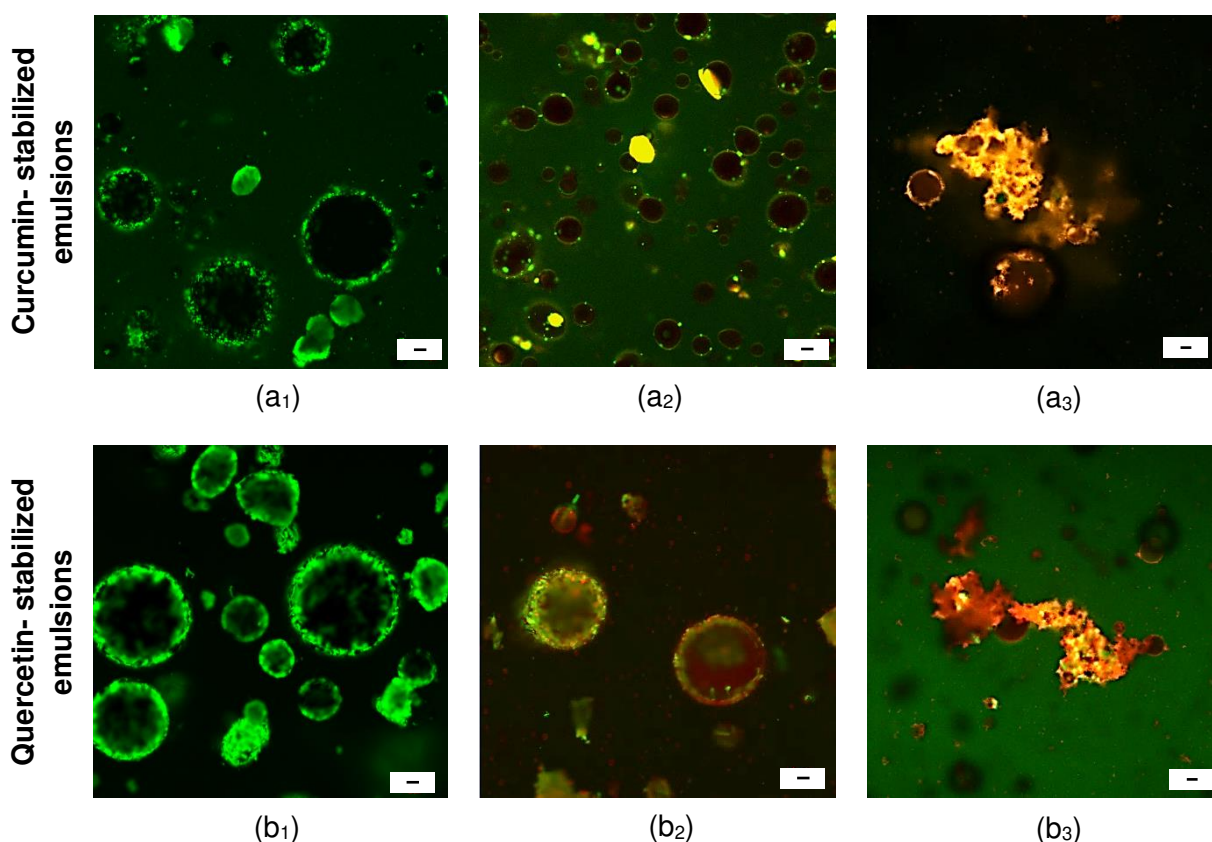
371 shown for ramping up (closed symbols) at shear rates from $10^{-1} - 10^2 \text{ s}^{-1}$ and ramping
372 down (open symbols) at shear rates from $10^2 - 10^{-1} \text{ s}^{-1}$.

373

374 Figure 4 shows the CLSM images of the same fresh 10 wt.% W/O Pickering
375 emulsions stabilized by 0.14 wt.% curcumin or quercetin with or without 2.0 wt.% WPI
376 or WPM particles. Figures 4 (a₁) and (b₁) show that the water droplets were
377 surrounded by a dense layer of curcumin or quercetin crystals, respectively, confirming
378 the preferential location of the polyphenol crystals at the W/O interface. The green
379 brightness in the images was due to the auto-fluorescence of the polyphenol particles.
380 Furthermore, some droplets appeared to be not completely spherical, which is another
381 good indication of Pickering stabilization⁴¹. Images of the emulsions including 2.0
382 wt.% WPI plus curcumin or quercetin are shown in Figures 4 (a₂) and (b₂), respectively,
383 demonstrating that again the water droplets were surrounded by a dense layer of
384 polyphenol particles (green). Rhodamine B (red) was used to visualize the location of
385 protein and so the intensity of the red color indicates a higher concentration of WPI on
386 the inside of the water droplets, as expected. Thus, both polyphenol crystals and WPI
387 appeared to be in close proximity at the interface.

388 Images of fresh emulsions including 2.0 wt.% WPM particles plus curcumin or
389 quercetin are shown in Figure 4 (a₃) and (b₃), respectively. As already discussed
390 above, it is seen that in both systems there was an increased tendency for the whole
391 system to aggregate in the oil phase. WPM particles seemed to be aggregated at the
392 interface of the individual droplets and between interfaces of adjacent droplets, *i.e.*,
393 causing flocculation of the water droplets. The polyphenol crystals seemed to be mixed
394 in with these aggregates. In other words, there was increased tendency for microgels

395 and polyphenol crystals to become shared between droplets prior to controlled
396 shearing ¹⁹.



397
398
399 **Figure 4.** Confocal images of 10 wt.% W/O Pickering emulsions stabilized by 0.14
400 wt.% curcumin (a) and quercetin (b) crystals in the oil phase + water (1), 2.0 wt.% WPI
401 (2) or 2.0 wt.% WPM particles (3) in the aqueous phase at pH 3.0 for fresh samples.
402 The green brightness in the images is caused by the auto-fluorescence of curcumin
403 (488 nm excitation) or quercetin (405 nm excitation) crystals. The red brightness is
404 due to the WPM particles stained by Rhodamine B (568 nm excitation). The scale bar
405 represents 20 μ m.

406
407 The PSDs of the systems were measured before and after the shear cycle at
408 the different temperatures. Tables 1 and 2, show the $D_{3,2}$ and $D_{4,3}$ results for the
409 curcumin- and quercetin- stabilized systems, respectively. It was seen that the size of
410 the water droplets before shearing at 25 °C increased in the order of water < WPI <
411 WPM particles, possibly indicating the presence of a thicker adsorbed particle-protein

412 or particle-particle layer at the interface in the case of WPI and WPM particles,
413 respectively. However, it should be remembered that the light scattering technique
414 used cannot distinguish between water droplets, polyphenol crystals, WPM particles
415 or their aggregates as scattering centers ⁴². After shearing, the mean size ($D_{3,2}$ and
416 $D_{4,3}$) for both curcumin- and quercetin- stabilized emulsions without WPI or WPM
417 increased significantly in size ($p < 0.05$, Table 1 and 2), at all the temperatures,
418 showing that these systems were unstable to shear and that coalescence occurred. In
419 contrast, $D_{3,2}$ and $D_{4,3}$ for the samples containing WPI showed a small increase ($p <$
420 0.05 , Table 1 and 2) due to shearing at 25 °C, *i.e.* the systems were more stable.
421 However, as the temperature increased, $D_{3,2}$ and $D_{4,3}$ did not change ($p > 0.05$, Table
422 1 and 2). Furthermore, the samples containing WPM particles showed an approximate
423 halving of the $D_{4,3}$ values after shearing ($p < 0.05$, Table 1 and 2) at all temperatures,
424 again indicating a flocculated system disrupted by shear, whilst $D_{3,2}$ remained the
425 same ($p > 0.05$, Table 1 and 2) before and after the shearing, suggesting that no
426 coalescence was occurred.

427 From the above results, it can be concluded that the curcumin- and quercetin-
428 stabilized emulsions containing WPI or WPM particles were more stable to shear and
429 elevated temperatures than without these two forms of protein. Thus, interfacial
430 complex formation between the oppositely charged polyphenol crystals in the oil phase
431 + biopolymer or biopolymer microgel particles in the aqueous phase, not only improves
432 the storage stability under quiescent conditions (as discussed in our previous work ¹⁹,
433 ⁴³) but also enhances stability to shear and temperature.

434

435 **Table 1.** $D_{3,2}$ and $D_{4,3}$ values (μm) before and after shearing of 10 wt.% W/O emulsions
 436 stabilized by 0.14 wt.% curcumin crystals & water, 2.0 wt.% WPI or 2.0 wt.% WPM
 437 particles as an aqueous phase (pH 3.0) at different temperatures; 25, 35 and 50 °C.
 438 Samples with the same letter differ significantly ($p < 0.05$) according to Tukey's test
 439 for each component in the aqueous phase before and after shearing.

	Before Shearing		After Shearing					
	25 °C		25 °C		35 °C		50 °C	
	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$
Water	7.4 ± 1.6 a,b,c	23.8 ± 1.2 d,e,f	21.5 ± 2.8 a	59.5 ± 3.2 d	24.2 ± 0.8 b	59.6 ± 3.1 e	27.2 ± 2.3 c	60.3 ± 5.7 f
WPI	18.5 ± 1.1 g	51.5 ± 0.9 h,i	16.2 ± 0.9 g	47.7 ± 4.6 h	19.0 ± 1.2	42.4 ± 1.7 i	19.2 ± 1.8	50.4 ± 9.0
WPM particles	20.8 ± 0.5	110.0 ± 8.5 j,k,l	18.0 ± 5.7	49.6 ± 6.1 j	18.0 ± 4.8	44.6 ± 10.0 k	20.6 ± 3.6	49.3 ± 2.4 l

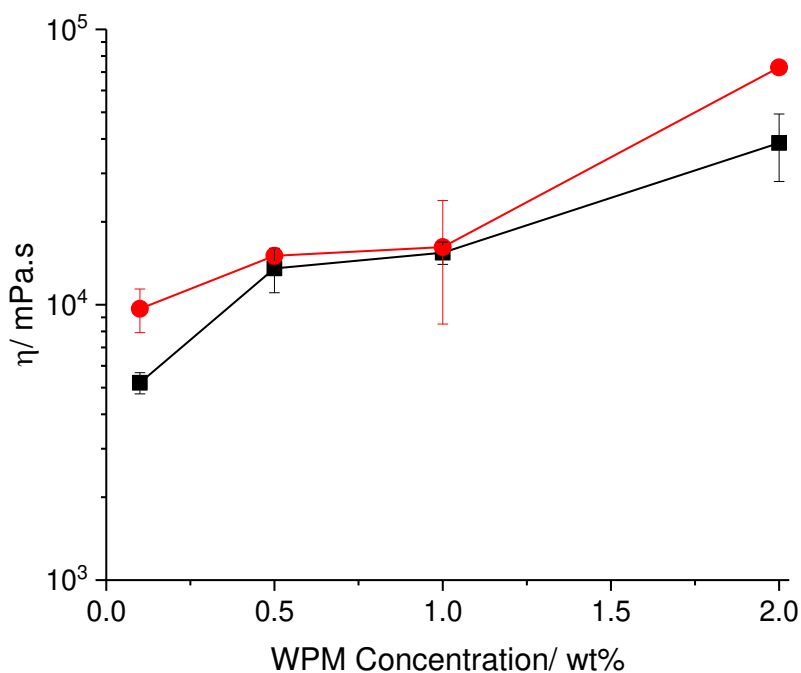
440

441 **Table 2.** $D_{3,2}$ and $D_{4,3}$ values (μm) before and after shearing of 10 wt.% W/O emulsions
 442 stabilized by 0.14 wt.% quercetin crystals & water, 2.0 wt.% WPI or 2.0 wt.% WPM
 443 particles as an aqueous phase (pH 3.0) at different temperatures; 25, 35 and 50 °C.
 444 Samples with the same letter differ significantly ($p < 0.05$) according to Tukey's test
 445 for each component in the aqueous phase before and after shearing.

	Before Shearing		After Shearing					
	25 °C		25 °C		35 °C		50 °C	
	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$
Water	11.7 ± 2.7 a,b,c	41.0 ± 5.4 d,e,f	18.9 ± 0.6 a	60.1 ± 1.4 d	23.6 ± 1.3 b	73.1 ± 5.4 e	32.7 ± 13.4 c	66.2 ± 6.9 f
WPI	11.4 ± 2.0 g,h,i	42.1 ± 5.7 k,l	14.1 ± 0.1 g	42.9 ± 0.4	18.2 ± 0.6 h	49.3 ± 1.4 k	18.6 ± 3.1 i	54.3 ± 13.4 l
WPM particles	18.5 ± 3.0 m	92.7 ± 21.6 n,o,p	15.4 ± 0.8 m	49.8 ± 3.0 n	16.4 ± 1.3	51.0 ± 2.3 o	22.7 ± 5.2	42.9 ± 8.4 p

446 **3.3. Stability of 10 wt.% W/O emulsions stabilized by curcumin or**
447 **quercetin crystals + wt.% different concentrations of WPM particles**

448 The curcumin and quercetin systems containing WPM particles were chosen
449 for further testing since these had the highest viscosity values at high shear rates and
450 different temperatures and no coalescence was observed. Emulsions stabilized by
451 0.14 wt.% curcumin or quercetin crystals + different WPM particle concentrations (0.1,
452 0.5, 1.0 and 2.0 wt.%) in the aqueous phase were tested. Figure 5 shows the results
453 of η against WPM particle concentration at $\dot{\gamma} = 0.1 \text{ s}^{-1}$. It is seen that η of the emulsions
454 stabilized by curcumin crystals were slightly lower than those stabilized by quercetin,
455 possibly due to the smaller size of curcumin crystals compared to quercetin ¹². In
456 addition, η increased as the concentration of WPM particles increased for both
457 curcumin and quercetin emulsions confirming the tendency for the whole system to
458 become more aggregated as more WPM particles were added.



459 **Figure 5.** Viscosity (η) against WPM particle concentration at 0.1 s^{-1} shear rate of 10
460 wt.% W/O emulsions stabilized by 0.14 wt.% curcumin (■) or quercetin (●) crystals
461

462 dispersed in oil & WPM particles as an aqueous phase (pH 3.0) at different
 463 concentrations; 0.1, 0.5, 1.0 and 2.0 wt.%. The temperature was kept constant at 25
 464 °C.

465

466 Tables 3 and 4 show the $D_{3,2}$ and $D_{4,3}$ values of the W/O emulsions stabilized
 467 by curcumin or quercetin crystals, respectively with different concentrations of WPM
 468 particles added in the dispersed phase, before and after shearing at 25 °C. All the
 469 emulsions had very similar $D_{3,2}$ values ($p > 0.05$, Table 3 and 4) before shearing but
 470 the $D_{4,3}$ values increased ($p < 0.05$, Table 3 and 4) as the concentration of WPM
 471 particles increased, again suggesting increased flocculation of the system as more
 472 WPM particles were added. After shearing, both the curcumin- and quercetin-
 473 stabilized emulsions + 0.1 wt.% WPM particles showed a significant increase in both
 474 the $D_{3,2}$ and $D_{4,3}$ values ($p < 0.05$, statistical data are not shown), possibly indicating
 475 some coalescence, but at the higher WPM particle concentrations (0.5, 1.0 and 2.0
 476 wt.%) only $D_{4,3}$ decreased significantly ($p < 0.05$, statistical data are not shown),
 477 indicating greater stability with increased wt.% WPM particles.

478 **Table 3.** $D_{3,2}$ and $D_{4,3}$ values (μm) before and after shearing of 10 wt.% W/O emulsions
 479 stabilized by 0.14 wt.% curcumin crystals & WPM particles as an aqueous phase (pH
 480 3.0) at different concentrations; 0.1, 0.5, 1.0 and 2.0 wt.%. Samples with the same
 481 letter differ significantly ($p < 0.05$) according to Tukey's test for each WPM particle
 482 concentration.

WPM particles concentration/ wt.%	Before Shearing		After Shearing	
	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$
0.1	22.0 ± 1.7 ^{a,b}	54.3 ± 0.8 ^c	41.9 ± 5.4 ^{d,e,f}	61.1 ± 15.3 ^g
0.5	19.2 ± 1.4 ^a	83.5 ± 2.6 ^c	23.1 ± 3.0 ^d	70.9 ± 8.2 ^e
1.0	19.2 ± 3.2 ^b	92.7 ± 3.9 ^c	19.2 ± 0.3 ^e	78.6 ± 2.3 ^{g,f}
2	20.8 ± 0.5	110.0 ± 8.5 ^c	18.0 ± 5.7 ^f	49.6 ± 6.1 ^{e,f}

483

484 **Table 4.** $D_{3,2}$ and $D_{4,3}$ values (μm) before and after shearing of 10 wt.% W/O emulsions
 485 stabilized by 0.14 wt.% quercetin crystals & WPM particles as an aqueous phase (pH
 486 3.0) at different concentrations; 0.1, 0.5, 1.0 and 2.0 wt.%. Samples with the same
 487 letter differ significantly ($p < 0.05$) according to Tukey's test for each WPM particle
 488 concentration.

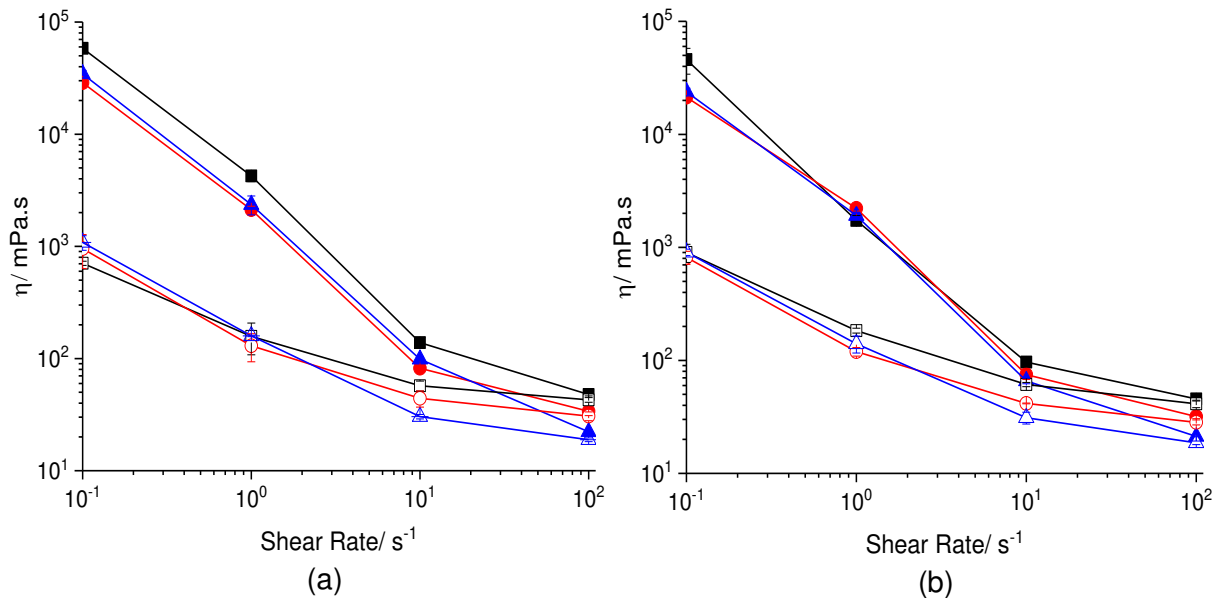
WPM particles concentration/ wt.%	Before Shearing		After Shearing	
	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$
0.1	20.3 ± 1.1 ^{a,b,c}	65.8 ± 6.9 ^d	38.1 ± 13.6 _{g,h,i}	77.3 ± 1.3 ^j
0.5	18.5 ± 1.4 ^a	70.4 ± 7.3 ^e	25.3 ± 7.5 ^g	65.9 ± 23.8 ^k
1.0	17.5 ± 1.0 ^b	73.5 ± 6.2 ^f	17.3 ± 0.0 ^h	68.1 ± 5.0 ^l
2.0	18.5 ± 3.0 ^c	92.7 ± 21.6 _{d,e,f}	15.4 ± 0.8 ⁱ	49.8 ± 3.0 ^{j,k,l}

489

490 **3.4. Stability of 20 wt.% W/O emulsions stabilized by curcumin or**
 491 **quercetin crystals + 2.0 wt.% WPM particles**

492 The shear stability of emulsions containing a higher water:oil ratio (20 wt.% water)
 493 was tested for systems stabilized by 0.14 wt.% curcumin or quercetin crystals + 2.0
 494 wt.% WPM particles as Pickering stabilizers, since this WPM particle concentration
 495 appeared to impart enhanced stability for 10 wt.% W/O emulsions, (Figure 5 and
 496 Tables 3 and 4) ¹⁹. Figure 6 shows η against $\dot{\gamma}$ at different temperatures (25, 35 and
 497 50 °C). The emulsions were taken through the same shear cycle as described earlier.
 498 As at 10 wt.% water, all the systems showed shear thinning behavior at all
 499 temperatures. No significant differences were identified in the initial η (at $\dot{\gamma} = 10^{-1} \text{ s}^{-1}$)
 500 at any temperature. In addition, the initial η value (at all temperatures) was in the same
 501 range ($10^4 - 10^5 \text{ mPa s}$) as that of the emulsions containing 10 wt.% water (Figure 3).
 502 At high shear rates (10^2 s^{-1}), a slight decrease of η was observed as the temperature

503 increased for both the curcumin- and quercetin- stabilized systems. Hysteresis was
 504 observed for all the systems, with the final η in the same range ($\sim 10^3$ mPa s) at all
 505 temperatures and similar to the value for the emulsions containing 10 wt.% water
 506 (Figure 3).



507
 508 **Figure 6.** Viscosity (η) against shear rate ($\dot{\gamma}$) curves of 20 wt.% W/O emulsions
 509 stabilized by curcumin (a) or quercetin (b) crystals dispersed in oil & 2.0 wt.% WPM
 510 particles as an aqueous phase (pH 3.0) at different temperatures; 25 (■, □), 35 (●, ○)
 511 and 50 °C (▲, Δ). Viscosity values are shown for ramping up (closed symbols) at shear
 512 rates from 0.1 - 100 s⁻¹ and ramping down (open symbols) at shear rates from 10² –
 513 10⁻¹ s⁻¹.

514
 515 Table 5 shows the size of the water droplets before and after shearing at
 516 different temperatures. The mean particle sizes in emulsions containing 20 wt.% water
 517 were smaller in size (~ 15 μm , Table 5) compared to those with 10 wt.% water (~ 20
 518 μm , Tables 1 and 2). This is possibly due polyphenol crystal adsorption at the interface
 519 dominating over crystal aggregation in the bulk oil as the area of interface is increased.
 520 Also the $D_{4,3}$ value was lower in the emulsions with 20 wt.% (65 – 75 μm , Table 5) than
 521 those with 10 wt.% water (108 – 110 μm , Table 1 and 2), suggesting less aggregation

522 in the continuous oil phase due to limited amount of unabsorbed WPM particles. After
 523 shearing, both $D_{3,2}$ and $D_{4,3}$ decreased slightly for both crystal types at all
 524 temperatures, due to the disruption of the aggregates, reaching mean sizes similar to
 525 those containing 10 wt.% water. In summary, the 20 wt.% W/O systems including 2
 526 wt.% WPM particles were at least, if not more stable, than the 10 wt.% water
 527 emulsions.

528

529 **Table 5.** $D_{3,2}$ and $D_{4,3}$ values (μm) before and after shearing of 20 wt.% W/O emulsions
 530 stabilized by 0.14 wt.% curcumin or quercetin crystals & 2.0 wt.% WPM particles as
 531 an aqueous phase (pH 3.0) at different temperatures; 25, 35 and 50 °C. Samples with
 532 the same letter differ significantly ($p < 0.05$) according to Tukey's test for each
 533 polyphenol crystal before and after shearing.

	Before Shearing		After Shearing					
	25 °C		25 °C		35 °C		50 °C	
	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$	$D_{3,2}$	$D_{4,3}$
Curcumin	16.0 ± 1.0	77.7 ± 11.7 ^{a,b,c}	12.9 ± 2.9	50.5 ± 7.9 ^a	15.5 ± 1.6	54.6 ± 1.6 ^b	13.6 ± 0.3	54.9 ± 0.4 ^c
Quercetin	14.2 ± 0.3 ^d	65.9 ± 6.9 ^{e,f,g}	12.3 ± 1.4 ^d	52.4 ± 6.8 ^e	13.5 ± 2.4	54.1 ± 6.5 ^f	14.0 ± 1.9	50.6 ± 4.1 ^g

534

535 4. Conclusions

536 In this study, the viscosity (η) and mean particle size of W/O emulsions stabilized
 537 by curcumin or quercetin crystals dispersed in the oil phase, with or without WPI or
 538 WPM particles present into the aqueous phase at pH 3.0, were measured at different
 539 shear rates and temperatures. All the emulsions were shear thinning. The emulsions
 540 stabilized by the polyphenol crystals alone without the addition of WPI or WPM
 541 particles in the aqueous phase exhibited coalescence after shearing, with the size of

542 the water droplets increasing significantly. More pronounced destabilization was
543 observed at higher temperatures. The emulsions containing WPI in the dispersed
544 phase were stable over shear and temperature without a significant increase in mean
545 particle size. The emulsions with WPM particles showed a decrease in the $D_{4,3}$ values
546 on shearing, indicating disruption of flocculated droplets, polyphenol crystals and
547 WPM particles in the continuous oil phase. Aggregation appeared to be enhanced by
548 increasing WPM particle concentration, but at ≥ 0.5 wt.% WPM particles no droplet
549 coalescence occurred and the systems were significantly stable even at 20 wt.% water
550 content. In summary, co-adsorbing biopolymers or biopolymer-based microgels to
551 polyphenol crystal stabilized W/O emulsions appear as a promising new technique to
552 improve the process stability of water droplets and can have applications in designing
553 new formulations in food industries.

554 **Author Information**

555 *Corresponding Author:*

556 *Email: B.S.Murray@food.leeds.ac.uk

557 Food Colloids and Processing Group, School of Food Science and Nutrition,
558 University of Leeds, Leeds, LS2 9JT, United Kingdom

559 **Notes**

560 The authors declare no competing financial interests.

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