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1 **Effects of Rheological Properties on Heat Transfer Enhancements by**
2 **Elastic Instability in von-Karman Swirling Flow**

3
4 Guice Yao^{1,2}, Jin Zhao³, Xiaobin Shen², Haie Yang², Dongsheng Wen^{1, 2,3*}

5
6 ¹School of Chemical and Process Engineering, University of Leeds, Leeds, LS2 9JT,
7 United Kingdom

8 ²School of Aeronautic Science and Engineering, Beihang University, 100191, Beijing,
9 P.R. China

10 ³School of General Engineering, Beihang University, 100191, Beijing, P.R.China

11 d.wen@leeds.ac.uk & d.wen@buaa.edu.cn

12
13 **ABSTRACT**

14
15 Elastic instability is proposed as a promising method to intensify heat transfer under
16 very low Reynolds number conditions. However, the onset of elastic instability and its
17 influence on heat transfer is highly dependent on the rheological properties of polymer
18 solution, which has not been revealed. By varying polymer concentration, sucrose
19 proportion and the degree of salinity, the heat transfer performance due to the variations
20 of rheology is investigated in a swirling flow configuration between parallel plates. The
21 results indicate that both the increase of polymer concentration and the reduction of the
22 salinity can induce elastic instability easily, leading to a better heat transfer performance
23 when the swirling velocity is fixed. However, the salinity effects become weakened as
24 the swirling velocity continually increases and the maximum enhancement seems to be
25 independent on salinity. In particular, the heat transfer performance based on pure
26 elastic instability shows larger enhancement than that of inertial-elastic instability at
27 low Reynolds number.

28
29
30 **Keywords:** Elastic turbulence; elastic instability; polymer; Swirling flow; rheology;
31 heat transfer

1 **1. Introduction**

2 Efficient heat transfer plays an important role in many industrial sectors
3 including power generation, information computing technology, chemical production,
4 and ultra-high heat flux encountered in aerospace field [1]. Driven by industrial needs
5 of process intensification and device miniaturization, the development of high-
6 performance heat transfer technique at low Reynolds number, Re , has been intensively
7 investigated in the past a few decades [2]. A conventional method to intensify heat
8 transfer at low Re number is to induce turbulent-like flow motion by geometrical
9 modifications [3-7], which however is not always practical due to the laminar flow
10 nature encountered.

11 One of the proposed approaches is to use viscoelastic fluid, which is usually
12 formed by adding small amounts of high-molecular-weight polymer into a pure
13 Newtonian solvent [8]. This viscoelastic fluid exhibits dramatic flow instability in the
14 presence of elastic nonlinearity, which is characterized by a normalized Weissenberg
15 number, defined as $Wi = \gamma \lambda$, where γ is the shear rate applied to the flow and λ is the
16 polymer relaxation time. In particular, when the inertial effect is neglected at vanishing
17 Reynolds number, the viscoelastic fluid is pronounced to induce purely elastic
18 instability at $Wi > 1$ [9-11], and with further increase of the value of Wi , the flow is
19 excited to a so called elastic turbulence regime [12, 13].

20 The occurrence of elastic instability or turbulence is attributed to the coupling
21 effects between the stretch of long polymer chains induced by the shear stress and
22 counteractive perturbations to the primary flow [14]. This turbulent-like phenomenon
23 has been identified in many conventional geometries both in macroscale and microscale,
24 including swirling flow between two parallel plates [15-17], Taylor-Couette flow set-
25 up [18-20], curvilinear serpentine channel [18, 21-23] and some self-designed
26 geometries such as cross slot channel [24, 25] and straight channel with obstacles
27 inserted [26, 27]. Although whether the curvature of the flow geometry is an essential
28 condition for the onset of elastic instability is still controversial, the transition to the
29 elastic turbulence is always accompanied by a sharp growth of the flow resistance,
30 which is similar to the features of inertial turbulence. In addition, the elastic turbulence
31 has been proved to be an effective method to intensify the mixing performance [28-30].

1 It would be expected that such vigorous mass transport and dramatic increase
2 in the flow resistance could affect the heat transfer, which however has received little
3 attention [31]. Traore et al [32] investigated the effective heat conduction in a bulk fluid
4 by a sucrose-based polymer solution in a swirling flow between parallel disks, where
5 heat was transferred four time rapidly than that in a pure sucrose solution. Besides, a
6 convective heat transfer performance up to 380 % between the fluid and the wall was
7 achieved in a millimetre-sized curvilinear channels by Abed et al [33, 34]. An
8 enhancement up to two orders of magnitude higher was observed by using polymer
9 aqueous solution without sucrose as the size of the curvilinear channel was scaled down
10 to micro meter size by Li et al [35, 36]. A few other experiments [37-42] were
11 conducted in different geometries, and all showed the capability of elastic turbulence
12 in improving heat transfer performance with the presence of different levels of heat
13 transfer intensification.

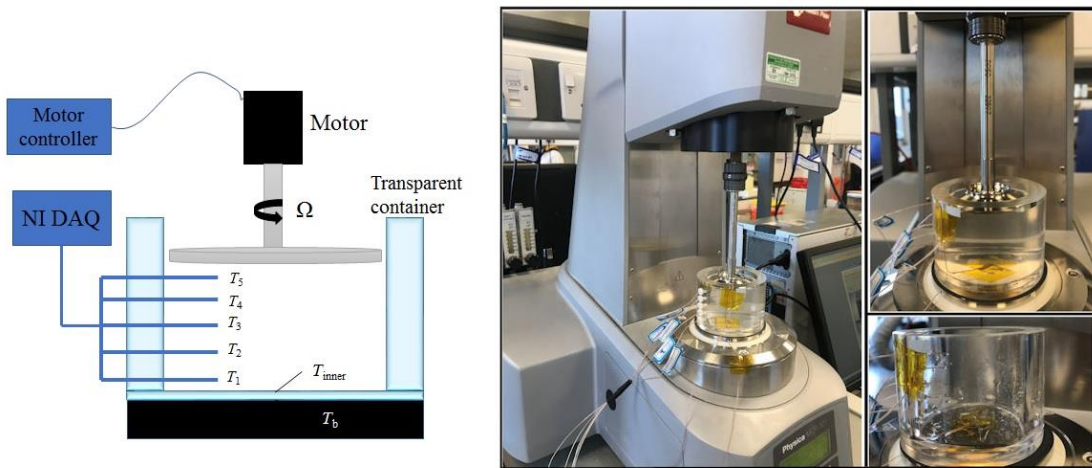
14 It shall be noted that our current understanding on elastic turbulence and its
15 relationship with heat transfer are still highly limited. The enhancement of heat transfer
16 begins after the occurrence of elastic instability, which is highly dependent on polymer
17 rheology [9-11]. However, few studies were focused on this area and only rheology
18 effects based on polymer concentration were investigated. Even within these published
19 studies there are still some inconsistent results. For example, the heat transfer
20 enhancement against Wi increases with increasing polymer concentration in Refs [34,
21 43], which contradicts to the observation of Li et al [36]. Indeed, polymer rheology
22 being sensitive with many other factors that could affect the heat transfer performance
23 has not received attention so far [34, 44]. It becomes clear that the variations of polymer
24 concentration and solvent viscosity could modify polymer rheological and elastic
25 property significantly, whose effects on the heat transfer side, however, have not
26 considered. Specially, with functional groups modification, the polymer rheology is
27 affected by many water chemistry elements. The hydrolysed polyacrylamide (HPAM),
28 which is capable of inducing elastic turbulence in porous media for enhancing oil
29 recovery (EOR) [45-47], is sensitive to the surrounding ion effects due to the charged
30 carboxylate groups [48]. The presence of different ions, typified by the salinity effect,
31 is prevail in most of oil reservoirs and shall have large impacts on the polymer
32 rheological properties [49], whose effects on the heat transfer have not been
33 investigated as well.

1 Addressing these limitations, this work aims to conduct a systematic study to
 2 reveal the effects of polymer rheological properties on the heat transfer performance by
 3 elastic turbulence in a swirling flow configuration between two parallel plates. The
 4 rheological properties, including viscosity and polymer relaxation time, based on
 5 various polymer concentration, sucrose concentration and salinity are first evaluated.
 6 By mounting thermocouples along the gaps between the two plates, the corresponding
 7 temperature distribution are measured. To quantitatively characterize the concomitant
 8 heat transfer performance, both effective thermal conductivity and surface heat transfer
 9 Nusselt number are defined to characterize the heat transfer augments within bulk fluid
 10 and between the fluid and wall, respectively.

11

12 **2. Materials and methods**

13 **2.1 Experimental system (set-up)**



14

15 Fig. 1 Schematic view of the experimental setup

16 The experimental rig used to investigate the behaviour of the flow and
 17 convective heat transfer performance of viscoelastic fluids in swirling flow is shown
 18 in Fig. 1. It consists of an acrylic fluid container with the inner diameter of $D_{in}=56$ mm
 19 and surrounded by optically transparent walls. The thicknesses of side wall and bottom
 20 wall are 10 mm and 5 mm, respectively. The flow is driven by a rotating round disk
 21 with a radius $R_d=25$ mm mounted on the shaft of the rheometer. The distance between
 22 the top disk and the inner wall of the bottom of the fluid container is set at a constant
 23 value, $H=40$ mm, for all experiments. The bottom of the fluid container is mounted at
 24 the base of the rheometer and the temperature of which is set to a value of 5 °C during
 25 experiments to reduce thermal convection inside the bulk flow. To ensure a good

1 repeatability and reproducibility of the experiments, the room temperature was
2 maintained around 22 °C by an air conditioning system.

3 Two thermocouples were mounted on both sides of the bottom of the fluid
4 container to measure the temperatures and to calculate the heat flux transferred between
5 bulk fluid and the wall. The temperature distribution of the flow is monitored by an
6 array of five thermocouples (T_1 to T_5) installed equidistantly (5mm each point) along
7 the vertical direction z and positioned at the radial position at half radius of the fluid
8 container. All thermocouples used in this work are K-type thermocouples with probe
9 diameter of 0.25 mm and are calibrated against a mercury thermometer of certified
10 accuracy (± 0.3 °C) in ice water mixture. The signals of the thermocouples are collected
11 by national instrument data acquisition system (NI 9185) and post-analysed by
12 LabVIEW software.

13 2.2 Working fluids preparation

14 The working fluids applied in this study were comprised of different amounts
15 of hydrolysed polyacrylamide (HPAM), sucrose and sodium chloride (NaCl). Sucrose
16 and sodium chloride with laboratory reagent grade were supplied by Fisher Scientific
17 Ltd. The HPAM with molecular weight 22M g/mole were purchased from Shandong
18 Tongli Chemical Co., Ltd. (China). A concentrated HPAM solution with 2000 ppm was
19 prepared first. By adding specific amounts of concentrated HPAM solution, sucrose
20 and NaCl into deionized water, the working fluids were then well prepared after 3h
21 moderate mixing by a mechanical stirrer and stayed overnight to degrade the polymer
22 with the largest molecular weight. With each variable was set different, the effects of
23 polymer concentration, solvent viscosity and degree of salinity were investigated,
24 respectively. The overview of working fluids is listed in the Table 1. To reduce the
25 effects of polymer molecular weight and the long-time instability, the working fluids
26 of experiments for each sensitive factor were freshly prepared with the same
27 concentrated stock solution before the measurement to obtain a good repeatability.

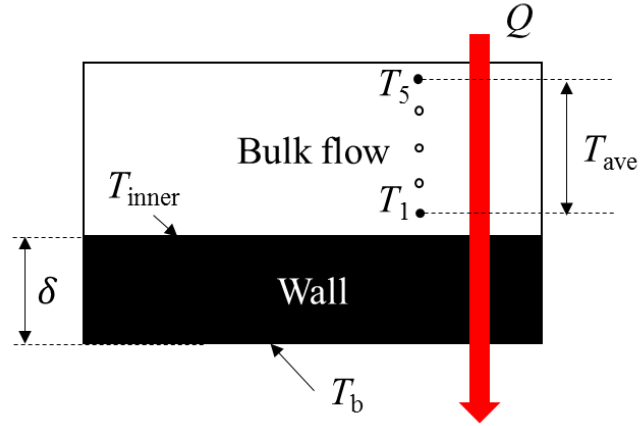
28 Table 1 Working fluids applied in this study

| Controlled parameters | Index | HPAM (ppm) | Sucrose (%) | NaCl (%) | Thermal conductivity ($\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$) |
|-----------------------|-------|------------|-------------|----------|---|
| Polymer concentration | 1 | 100 | 65 | 1 | 0.356 |
| | 2 | 200 | 65 | 1 | 0.372 |
| | 3 | 300 | 65 | 1 | 0.366 |
| Degree of salinity | 1 | 200 | 65 | 0 | 0.362 |

| | | | | | |
|-----------------------|---|-----|----|-----|-------|
| | 2 | 200 | 65 | 0.1 | 0.364 |
| | 3 | 200 | 65 | 0.5 | 0.373 |
| Proportion of solvent | 1 | 200 | 0 | 1 | 0.563 |
| | 2 | 200 | 20 | 1 | 0.510 |
| | 3 | 200 | 40 | 1 | 0.453 |
| | 4 | 200 | 65 | 1 | 0.362 |

1

2 2.3 Data analysis method



3

4 Fig. 2 Schematic diagram of the heat transfer process during experiments

5 The heat transfer process is schematically drawn in Fig. 2. The amount of heat
6 flux removed by the cooling plate can be quantitatively calculated by equation (1).

$$7 \quad Q = k_{acrylic} \cdot \frac{T_{inner} - T_b}{\delta} \quad (1)$$

8 where δ is the thickness of the acrylic wall, T_{inner} and T_b are the temperatures of the top
9 and the bottom surface of the wall, respectively; $k_{acrylic} = 0.18 \text{ W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$ is the standard
10 thermal conductivity of acrylic materials. To evaluate the heat transfer performance,
11 the effective thermal conductivity, k^* , and the global heat transfer coefficient, h^* , are
12 adopted here to characterize the heat transfer enhancement inside the bulk fluid and
13 between the fluid and the wall, which are represented by equations (2) and (3),
14 respectively:

15

$$16 \quad k^* = \frac{Q}{\frac{T_5 - T_1}{x_5 - x_1}} \quad (2)$$

$$17 \quad h^* = \frac{Q}{(T_{ave} - T_{inner})} \quad (3)$$

1 where T_1 and T_5 are the equilibrated temperature of thermocouples mounted near the
 2 bottom and top plates, respectively; T_{ave} is the average temperature of working fluids
 3 and T_{inner} is the temperature of the bottom of the fluid container; x_1 and x_5 indicate the
 4 z -coordinates position of thermocouples T_1 and T_5 . The corresponding Nusselt number
 5 can be obtained by equation (4).

$$6 \quad Nu^* = \frac{h^*H}{k} \quad (4)$$

7 2.4 The uncertainties of experimental measurement

8 The uncertainties of the effective thermal conductivity and the averaged Nu
 9 come from the energy balance equation parameters [50]. The uncertainty of these
 10 experimental results are calculated as:

$$11 \quad \varepsilon_{k^*} = \sqrt{\left\{ \left(\frac{\varepsilon_{\Delta T_b}}{\Delta T_b} \right)^2 + \left(\frac{\varepsilon_{\delta}}{\delta} \right)^2 + \left(\frac{\varepsilon_{\Delta x}}{\Delta x} \right)^2 + \left(\frac{\varepsilon_{\Delta T_l}}{\Delta T_l} \right)^2 \right\}} \quad (5)$$

$$12 \quad \varepsilon_{Nu} = \sqrt{\left\{ \left(\frac{\varepsilon_{\Delta T_b}}{\Delta T_b} \right)^2 + \left(\frac{\varepsilon_{\delta}}{\delta} \right)^2 + \left(\frac{\varepsilon_{\Delta H}}{\Delta H} \right)^2 + \left(\frac{\varepsilon_{\Delta k}}{\Delta k} \right)^2 + \left(\frac{\varepsilon_{\Delta T_m}}{\Delta T_m} \right)^2 \right\}} \quad (6)$$

13 where, ε_{k^*} and ε_{Nu} are the percent uncertainty in calculating k^* and Nu . $\varepsilon_{\Delta T_b}$, $\varepsilon_{\Delta T_m}$, and
 14 $\varepsilon_{\Delta T_l}$, with value of ± 0.3 °C, represent the uncertainty of the temperature differences
 15 between bottom walls, liquid and the inner wall, and bottom and top of the bulk liquid,
 16 respectively. $\varepsilon_{\delta} = \pm 0.1$ mm is the uncertainty of the thickness of the bottom wall. $\varepsilon_{\Delta H} =$
 17 ± 0.1 mm is the uncertainty of the height between the upper plates and the inner wall of
 18 the bottom. $\varepsilon_{\Delta x} = \pm 0.1$ mm is the uncertainty of the distance between the T_1 and T_5 . $\varepsilon_{\Delta k}$
 19 $= \pm 2.4\%$ represents the uncertainty of the measurement of thermal conductivities of the
 20 working fluids. The uncertainties for each experiment with different working
 21 conditions could be estimated by the equation (5) and (6). The minimum and maximum
 22 uncertainty values of k^* and Nu are demonstrated in Table 2 for all working fluids,
 23 while the others are displayed as error bars in the figures shown in the following
 24 sections. It should be noted that the most uncertainty in determining Nu came from the
 25 measured temperatures of the walls and fluids, approximately over 90% of the total
 26 error, whilst the others such as the determination of the thermal conductivity and the
 27 gap between the two plates contribute the remaining error.

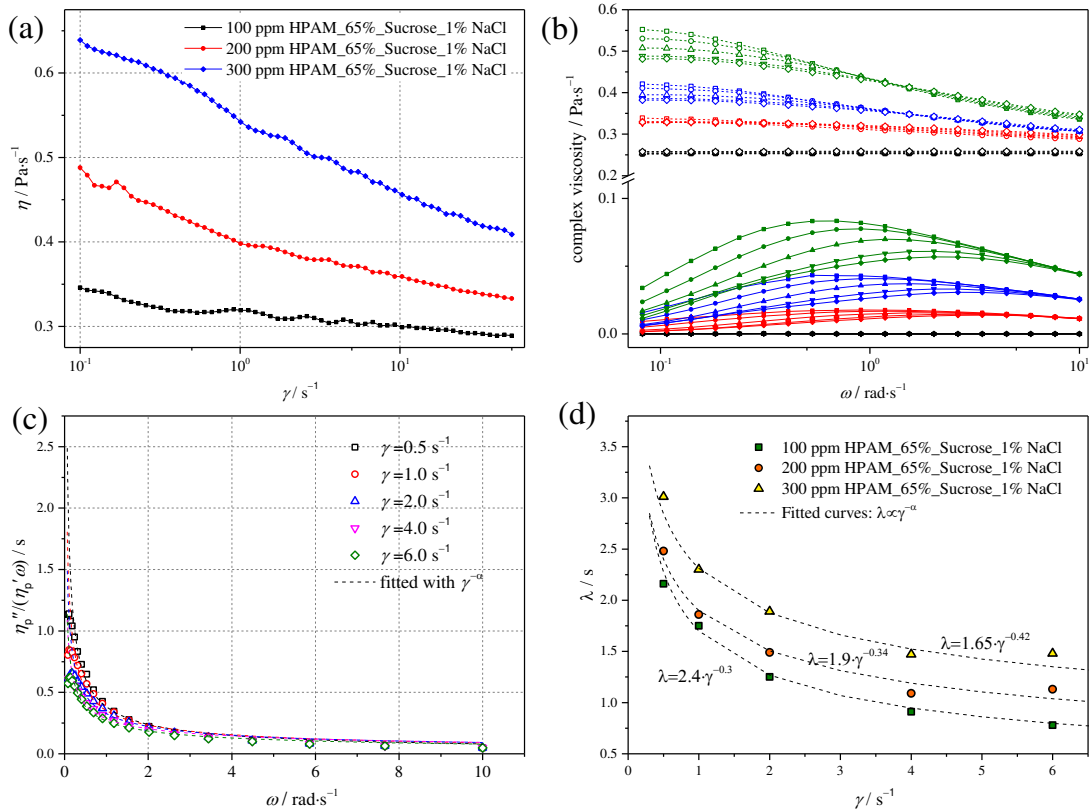
28 As shown in the later, the agreement between the averaged Nu from the experimental
 29 results and the simulation for the pure sucrose solution suggests the estimated
 30 uncertainties are reasonable. In addition, two repetitive experiments were conducted for

1 the pure sucrose solution and the HPAM solution with 65% sucrose and 1% NaCl. Both
 2 repetitions show similar trends with previous experiments and the measured Nu values
 3 are within the error tolerance.

4 **2.5 Rheology investigation**

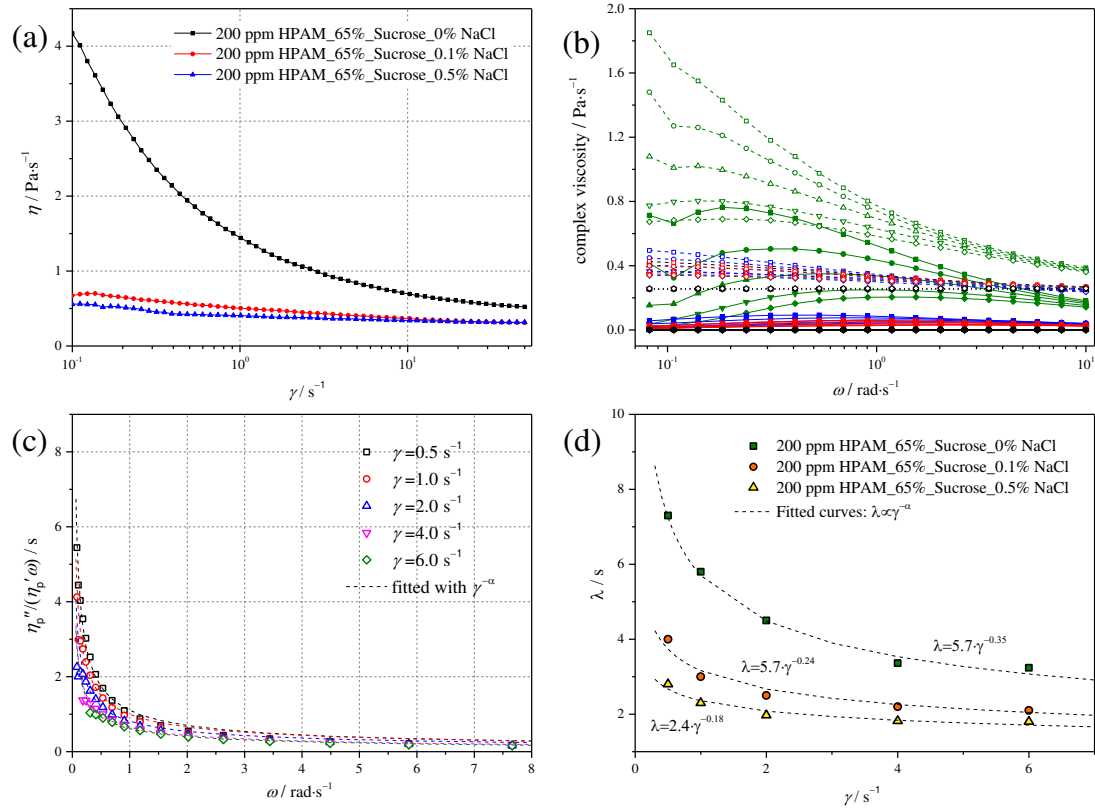
5 The rheological properties of working fluids were measured by a cone and plate
 6 geometry in a stress-controlled rheometer (Anton Paar MCR 301) at a temperature of
 7 15 °C, which is similar with the average temperature of bulk fluid. The effects of
 8 polymer concentration on the rheology are shown in Fig. 3. The viscosity increases with
 9 increasing polymer concentration for a given shear rate, which is ascribed to the
 10 increased frictional effects among polymer chains. All curves exhibit a shear-thinning
 11 behaviour whereas a higher polymer concentration induces more dramatic reduction.
 12 Fig. 3(b) shows the response of complex viscosity profiles as a function of angular
 13 velocity for different polymer concentrations. The results show both the out-of-phase
 14 viscosity and in-phase viscosity increase as the polymer concentration increases. The
 15 values for polymer-driven in-phase and out-of-phase viscosity were obtained by
 16 excluding the solvent contribution at same working conditions, $\eta_p' = \eta' - \eta_s'$ and $\eta_p'' =$
 17 $\eta'' - \eta_s''$, respectively. Then the polymer relaxation time was calculated according to
 18 equation (7), as shown in Fig. 3(c) for the 200 ppm HPAM solution with 65% sucrose
 19 and 1% NaCl at different shear rates. The dependence of the polymer relaxation time
 20 on the shear rate with different polymer concentration is shown in Fig. 3(d). Both curves
 21 show a clear shear thinning behaviour as a function of the shear rate, with scaling $\lambda \sim \dot{\gamma}^{-\alpha}$,
 22 similar to previous investigation in Ref [51], which also explains why the increase of
 23 Wi is normally slower than the increase of shear rate. In addition, with higher polymer
 24 concentration, the polymer relaxation time becomes longer, leading to an increased
 25 elasticity of HPAM solution, which may contribute to the onset of elastic turbulence or
 26 instability.

27
$$\lambda = \lim_{\omega \rightarrow 0} \left\{ \frac{1}{\omega} \left[\frac{\eta_p''(\omega)}{\eta_p'(\omega)} \right] \right\} \quad (7)$$



1
2 Fig. 3 The variations of polymer rheology with polymer concentration. (a) The viscosity
3 profiles as a function of shear rate with different polymer concentration; (b) The measured
4 complex viscosity at oscillating mode with different shear rate; (c) Angular frequency
5 dependence of $\eta_p''/(\eta_p'\omega)$ for 200 ppm polymer solution, (d) The shear rate dependence of
6 polymer relaxation time with different polymer concentration

7 Fig. 4 highlights the salinity effects on the rheology of polymer solution. The
8 presence of salts has a buffering effect, shielding the charges along the polymer chain
9 with salt cations, resulting in polymer molecules shrinkage and consequent viscosity
10 reduction. This shrinkage also contributes to the alignment of polymer molecules,
11 which significantly reduces the shear-thinning phenomenon. As the shielding effect is
12 limited by the numbers of charge groups along the polymer chain, a critical salinity
13 value shall exist, above which the salinity effect become ineffective. With continuous
14 increase of the salinity, a so-called poor solvent is obtained, i.e., increasing the polymer
15 interactions would lead to an increase of viscosity. In such conditions, the salinity is
16 not the only influential parameter anymore. In this study, the salinity of 1% was
17 excluded to eliminate the effects of poor solvent. The corresponding relaxation times
18 with different salinity are concluded in Fig. 4(d).



1
 2 Fig. 4 The variations of polymer rheology with salinity. (a) The viscosity profiles as a function
 3 of shear rate with different salinity; (b) The measured complex viscosity at oscillating mode
 4 with different shear rate; (c) Angular frequency dependence of $\eta_p''/(\eta_p' \omega)$ for 200 ppm polymer
 5 solution with 0% NaCl, (d) The shear rate dependence of polymer relaxation time with different
 6 salinity

7 Fig. 5 demonstrates the effects of solvent on the rheological properties of
 8 polymer solutions by varying the proportion of sucrose. With the addition of sucrose
 9 into the polymer solution, as expected, both the viscosity and relaxation time increase,
 10 which is consistent well with previous investigations that the polymer relaxation time
 11 is proportional to the viscosity of solvent [11]. One can see that the difference between
 12 polymer solution with 20% sucrose and 40% sucrose is small, which interprets why at
 13 high applied swirling velocity, the heat transfer enhancement of polymer solution with
 14 20% sucrose is better than that of 40% sucrose.

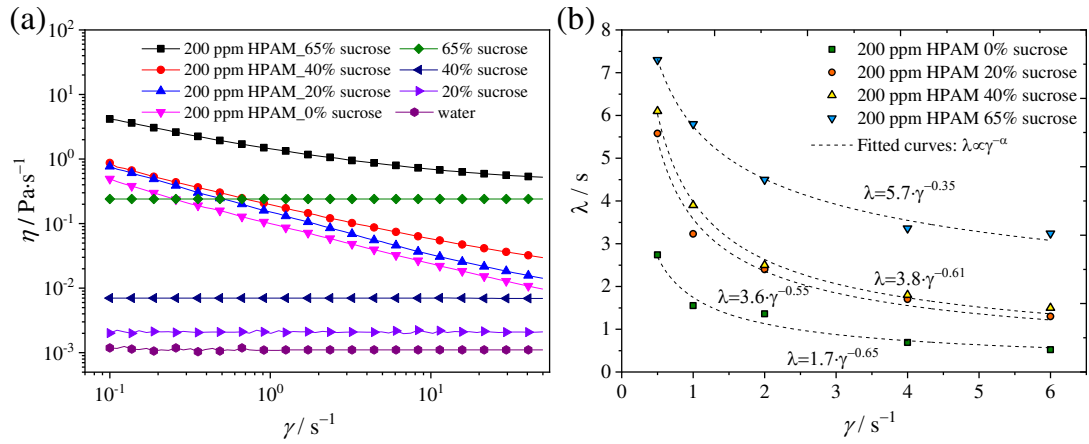


Fig. 5 Effects of sucrose proportion on the rheology of polymer solution. (a) Viscosity profiles of working fluids applied in the following investigation; (b) Shear rate dependence on polymer relaxation time for polymer solution with different amount of sucrose addition

3. Results and Discussions

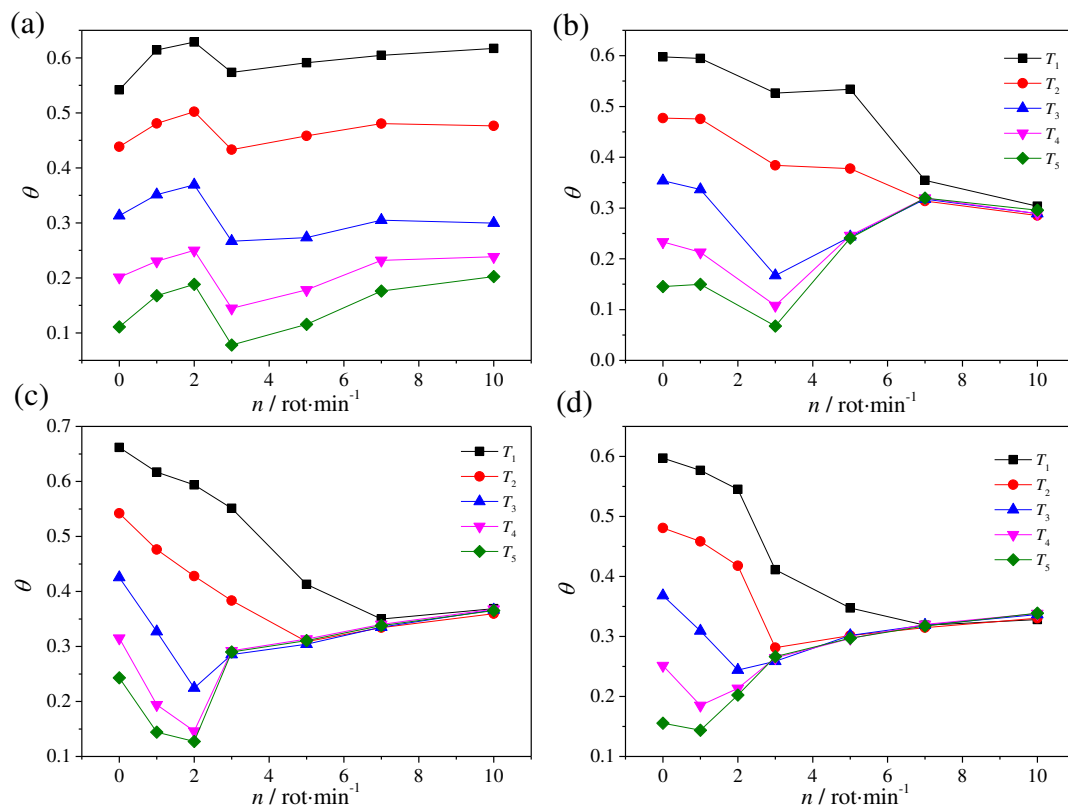
The heat transfer behaviours of all working fluids were conducted at rotating speed ranging from 0 to 14 rpm. It should be noted that the shear rate within the bulk fluids was highly inhomogeneous due to the large gaps between the two parallel plates. The shear rate hence was modified based on the viscosity profiles for pure sucrose solutions, as shown in Fig. S1 in the supplementary document. A normalised reduced temperature θ , calculated as equation (8), was applied to represent the temperature profiles along the axial direction.

$$\theta = \frac{T_0 - T}{T_0 - T_b} \quad (8)$$

3.1 Effects of polymer concentration

The equilibrated reduced temperatures along the vertical direction as a function of rotating speed with different polymer concentration are shown in Fig. 6. Without rotation, the temperature distribution along the vertical direction are in layers and the temperature difference between neighbouring thermocouples are almost similar. With the top disk starting to rotate, for polymer solutions, the temperature distribution gradually tends to be homogeneous as the speed increases, which indicates the existence of irregular flows. In comparison with pure sucrose solution, such flow instability is attributed to the elastic stress rather than viscous stress due to there is no collapsing phenomenon observed in the latter case. The interaction between polymer coil-stretch transition and the primary flow induces the secondary flow along the vertical direction, which accelerates the heat transfer and unifies the temperature. Especially, when the

1 polymer concentration is higher, more numbers of polymer reacts with the primary flow.
 2 Therefore, at same rotation, the concentrated polymer solution intends to generate more
 3 intensive elastic flow instability, which strengthens the temperature homogeneity in the
 4 bulk.



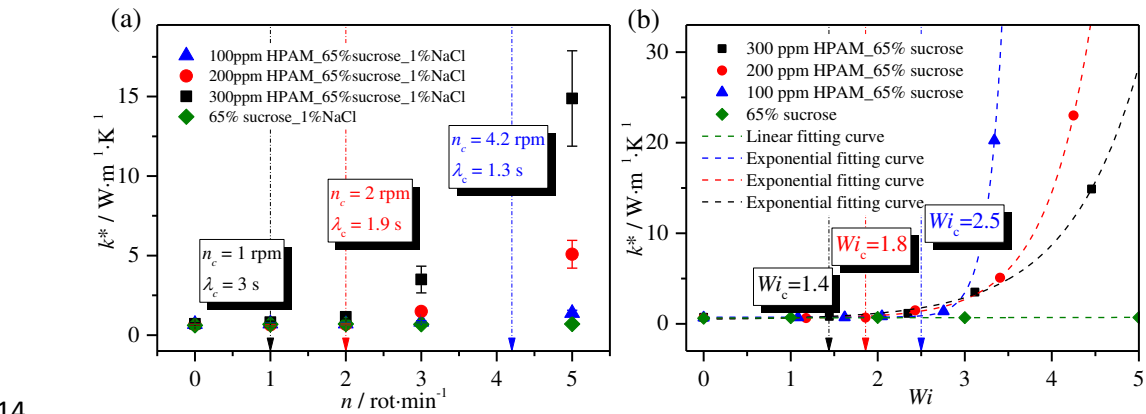
5
 6 Fig. 6 Equilibrated reduced temperature profiles of pure sucrose solution and polymer solutions
 7 with different concentration: (a) pure 65% sucrose solution with 1% NaCl, (b) 100 ppm HPAM
 8 sucrose solution with 65 % sucrose and 1% NaCl, (c) 200 ppm HPAM sucrose solution with
 9 65 % sucrose and 1% NaCl, (d) 300 ppm HPAM sucrose solution with 65 % sucrose and 1 %
 10 NaCl

11 To quantitatively evaluate the onset of elastic instability and the heat transfer
 12 augment due to elastic instability, the effective thermal conductivity and the convective
 13 Nusselt number are shown in Fig. 7 and Fig. 9. The effective thermal conductivity
 14 represents the heat conduction within the bulk. A sharp increase of that could be
 15 regarded as a signal of the onset of elastic instability. It is clear found that polymer
 16 solution with higher concentration is much easily to induce elastic instability as
 17 demonstrated in Fig. 7(a). The critical rotating speed to induce elastic instability is
 18 estimated varying from 4.2 rpm to 1 rpm when the polymer concentration increases
 19 from 100 ppm to 300 ppm, respectively. The corresponding critical Wi_c numbers is also
 20 reduced, which is consistent well the previous results in Ref [52]. Indeed, the elastic

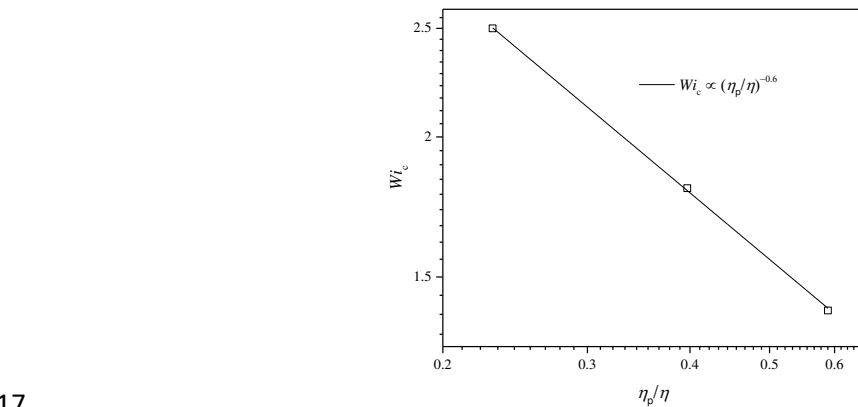
1 instability is highly dependent on geometry gap ratio, d/R , polymer contribution to
 2 viscosity, η_p/η , and degree of stretching of the polymers by the base flow, Wi . With the
 3 shear thinning phenomenon is probably considered, the elastic instability threshold
 4 could be determined by a parameter K , defined as:

$$5 \quad K \sim \frac{\eta_p}{\eta} \frac{d}{R} Wi^2 \quad (8)$$

6 The elastic instability then occurs when K exceeds a certain threshold. The
 7 polymer contribution to the viscosity is more significant with the increase of polymer
 8 concentration. Therefore, when the gap ratio is fixed, the Wi at the instability threshold
 9 should depend on it as $Wi_c \sim (\eta_p/\eta)^{-0.5}$, which results in the reduction of Wi_c . In the
 10 present work, the relationship between estimated Wi_c from the Fig. 7(b) and η_p/η from
 11 Fig. 3(a) is demonstrated in Fig. 8, where the dependence can be well fitted by the
 12 power law $Wi \sim (\eta_p/\eta)^{-\alpha}$, with the exponent $\alpha = 0.6$, which is quite close to the expected
 13 value of 0.5.

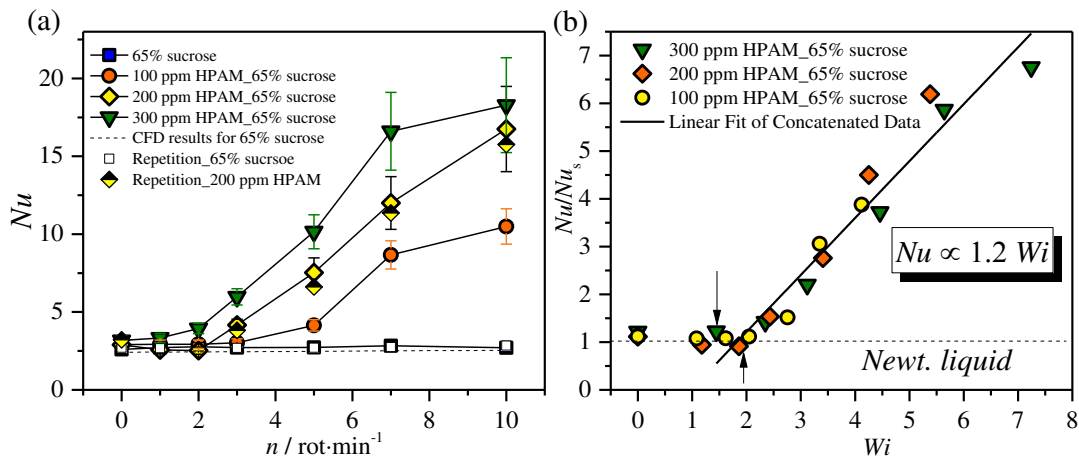


14
 15 Fig. 7 The variations of effective thermal conductivity with different polymer concentrations
 16 (a) as a function of rotating speed and (b) as a function of Wi



1 Fig. 8 The generalized Wi_c number as a function of η_p/η at the onset of elastic instability
 2 with different polymer concentrations

3 After the occurrence of elastic instability, a remarkable rise of k^* appears. For
 4 a given swirling flow, the polymer solution with higher concentration exhibits better
 5 heat transfer performance within the bulk, indicates that the degree of flow irregularity
 6 intensifies as the polymer concentration increases, which results in more heat is
 7 transferred between flow layers. The enhancement of effective thermal conductivity for
 8 the 300 ppm polymer solution could reach to 23 times larger than that of sucrose
 9 solution, which is even higher than the enhancement of inertial turbulence of a
 10 Newtonian fluid in swirling flow at $Re=2500$ [53], where 10 times incremental was
 11 obtained.



12
 13 Fig. 9 The variations of convective heat transfer performance with different polymer
 14 concentration (a) as a function of rotating speed and (b) as a function of Wi

15 Fig. 9(a) demonstrates the Nu between wall and fluid against degree of rotation
 16 with different polymer solutions. Similar trends are observed with the results of
 17 effective thermal conductivity. The Nu increases with increasing the polymer
 18 concentration. When $n=10$ rpm, the convective heat transfer of 300 ppm HPAM
 19 solution can reach to 6 times more efficiency than that of the sucrose solution, which is
 20 in same orders with previous studies [32, 34, 37]. The small discrepancy is ascribed to
 21 the polymer properties and streamline curvature of the geometry. As discussed above,
 22 when the rotating speed is fixed, higher polymer concentration allows more polymer
 23 molecules to be stretched by the primary flow. Therefore, more elastic energies are
 24 diffused into flow kinetic energy, resulting in more dramatic turbulent behaviours,
 25 reducing the thermal boundary layer near the wall and transferring the heat more rapidly.

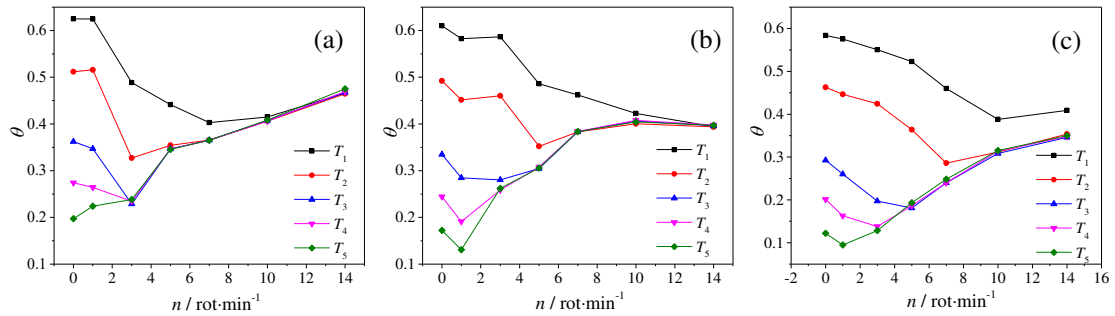
1 However, this dependence becomes different when the k^* and Nu are
2 demonstrated as a function of Wi , where the polymer relaxation discrepancy is
3 normalised. The polymer solutions with higher concentration still show slight heat
4 transfer enhancement before the elastic instability is induced in lower concentration
5 polymer solution. After the occurrence of the elastic instability, continually increase Wi ,
6 a steep enhancement in heat transfer is observed for all polymer solutions with the Nu
7 collapsing linearly by $Nu \propto 1.2Wi$, which is consistent well with the observations from
8 Ref.[34]. In dilute polymer solutions, due to the presence of the high salt concentrations,
9 the shear thinning phenomenon is not such dramatic. Therefore, when the stretching
10 degree of polymer solution (characterised by Wi) is in same level, the intensity of elastic
11 instability should be similar, and the concomitant heat transfer enhancement shows
12 similar behaviours. However, it should be mentioned that the saturation of fully
13 developed elastic turbulence is limited by the polymer concentration. More numbers of
14 polymer contribute to the stronger flow intensifications, which also implies that the
15 experiments conducted here, the flow is still in transition regime and on its way to fully
16 developed elastic turbulence.

17 **3.2 Effects of salinity**

18 In this section, the effects of salinity on the heat transfer performance are
19 investigated. Fig. 10 describes the equilibrated reduced temperature distribution against
20 rotating speed with different salinity. Increasing salinity makes the temperature
21 distribution curves more difficult to converge. Especially, when the salinity is 0.5 %,
22 even at the maximum applied rotating speed, the temperature distribution is still in
23 layers, which indicates the flow haven't been fully perturbed. The salinity effect on the
24 onset of elastic instability is estimated from the Fig. 11. The polymer solution with
25 lower salinity is capable of inducing elastic instability at relatively smaller rotating
26 velocity, $n = 0.5$ rpm, thereby perturbing the flow and intensifying the heat transfer
27 within the bulk. While increasing the salinity, more driven forces and higher rotating
28 velocity, $n = 3.8$ rpm are required to trigger the flow instability. As discussed above,
29 with presence of salt cations, the polymer is shielded into a coiled state, leading to the
30 reduction of the polymer relaxation time and viscosity. Therefore, the polymer solution
31 with high salinity behaves more like Newtonian fluid and has less elasticity. In order to
32 achieve the polymer coil-stretch transition, additional forces are required to overcome

1 the shielding effects and the occurrence of the elastic turbulence is postponed
 2 consequently.

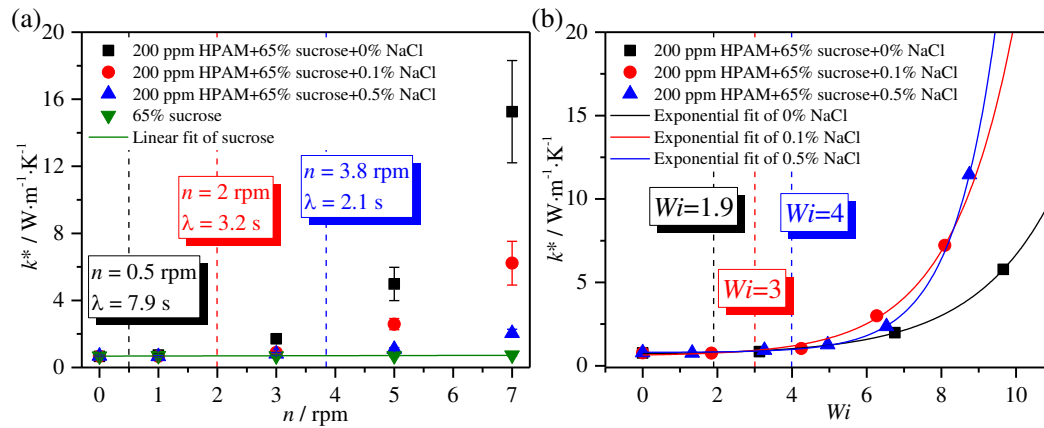
3 The reduction of the salinity, on the other hand, induces significant shear-
 4 thinning phenomenon due to the realignment of the elongated polymer chains, which
 5 enlarges the polymer contributions to the viscosity. As a result, the critical Wi_c on the
 6 onset of elastic instability decreases. The dependence of estimated Wi_c from the Fig. 11
 7 on the η_p/η is shown in the Fig. 12. The results can still be fitted with power law $Wi \sim$
 8 $(\eta_p/\eta)^{-\alpha}$. However, rather than expected value of 0.5, the exponent α goes higher to 0.95,
 9 which is consistent with our previous investigations [54]. The additional effects by
 10 shear-thinning which cannot be captured by the standard criterion of the onset of elastic
 11 instability were also investigated in curvilinear channel [34, 55]. The detailed
 12 mechanism of such effects is still missing. One possible interpretation is that there is an
 13 existence of second normal-stress difference may lead to a stabilizing effect of the flow.
 14 The magnitude of the second normal-stress difference is small compared to the first
 15 normal-stress difference (which is characterised by Wi) for weak shear thinning fluids.
 16 However, for strong shear-thinning fluids the second normal-stress difference may
 17 become important, and postpone the increase of the elastic instability, which as shown
 18 in Fig. 13, the Nu increases slowly with Wi for polymer solution with low salinity.



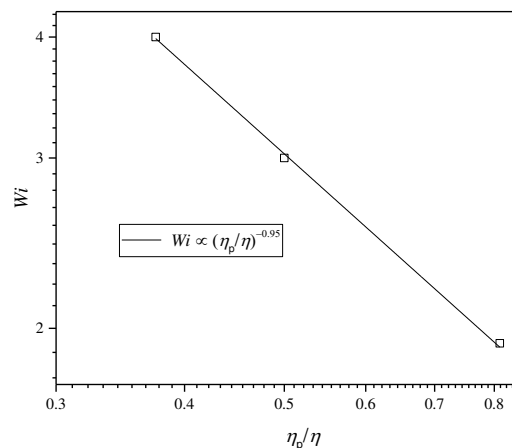
19
 20 Fig. 10 Equilibrated reduced temperature profiles for polymer solutions with different salinity:
 21 (a) 200 ppm HPAM solution without salt; (b) 200 ppm HPAM solution with 0.1% NaCl; (c)
 22 200 ppm HPAM solution with 0.5% NaCl

23 The effective thermal conductivity and convective Nusselt number with various
 24 salinity are displayed in Fig. 11 and Fig. 13, respectively. With same rotating velocity,
 25 the polymer solution with lower salinity exhibits better heat transfer performance which
 26 is mainly because the polymer relaxation time reduction by shielding effect decreases
 27 the elastic nonlinearity. However, this trend is different when the degree of the rotation
 28 exceeds a certain value, here $n=10$ rpm in this study. Similar Nu values which are

1 approximately 6 time higher than sucrose solution are obtained for all working fluids.
 2 Indeed, the total shear stress of the upper plate and the frequency power spectra of the
 3 flow velocity in the fully elastic turbulence regime are independent on salinity but
 4 limited by polymer concentration as shown in our previous study [54]. Therefore, the
 5 elastic flow irregularities on the primary flow are in similar level, which results in the
 6 analogous heat transfer augment. With such intensive flow irregularity, the motion of
 7 the salt ions and the polymer molecules is random and disordered, weakening the static
 8 electronic repulsion between each other, making the shielding effect insufficient
 9 Particularly, the Nu of the polymer solution with 0.5 % NaCl is smaller than that of
 10 other solutions with lower salinity, which is mainly because the elastic instability has
 11 not fully evaluated across the whole bulk fluid.



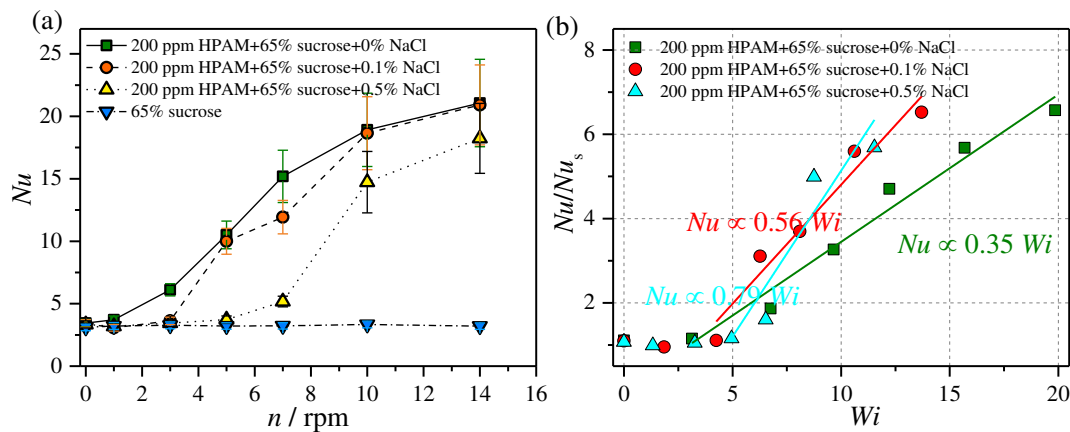
12
 13 Fig. 11 Effects of degree of salinity on the effective thermal conductivity (a) as a function of
 14 rotating speed; (b) as a function of Wi



15
 16 Fig. 12 The generalized Wi_c number as a function of η_p / η at the onset of elastic instability due
 17 to the existence of salt

18 It also should be noticed that the heat transfer enhancement after the occurrence
 19 of elastic instability for higher salinity seems to be more rapid, which also can imply

1 the insufficient effects of shielding effect at high swirling velocity. The onset of elastic
 2 instability is suppressed by the reduction of the polymer elasticity due to the shielding
 3 effect of cations. The more amounts of cations are, before the critical value based on
 4 the limitation of carboxylate groups are reached, the stronger the shielding forces are,
 5 and the more difficult for polymer solution to be perturbed. However, if the shielding
 6 effect is overcome by additional driven forces (increasing rotating speed), the elastic
 7 instability is induced, and the concomitant heat transfer performance increases. As
 8 discussed above, with increasing the flow velocity the shielding effect weakens,
 9 accelerating the flow irregularity and relative the heat transfer enhancement close to the
 10 polymer solution without salt.

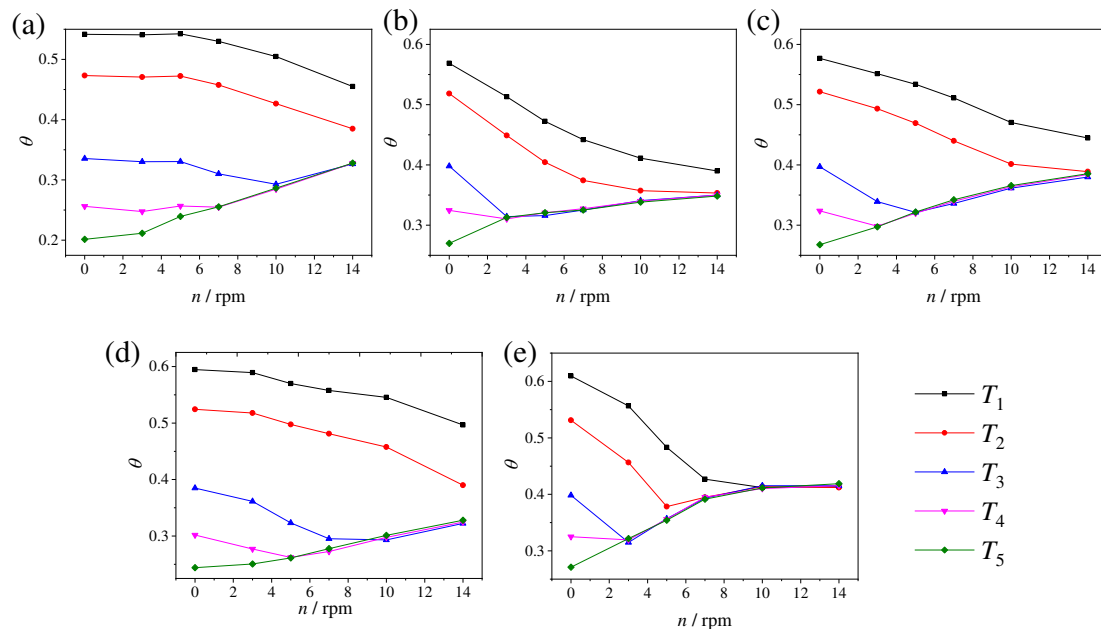


11
 12 Fig. 13 Effects of degree of salinity on the convective heat transfer performance (a) as a function
 13 of rotating speed; (b) as a function of Wi

14 The salinity is not only reducing the polymer elasticity but also weaken the
 15 shear-thinning degree, which also has significant influences on the elastic instability or
 16 turbulence. By normalising the polymer elasticity, the variations of k^* and Nu^* as a
 17 function of Wi are presented in Fig. 11(b) and Fig. 13(b), respectively. Unlike the
 18 discussion for the effects of polymer concentration, the increase of Nu as a function of
 19 Wi is no longer concreated into a single curve but is highly dependent on the shear-
 20 thinning degree, indicating that the Nu is a function of both Wi and the degree of shear-
 21 thinning of the fluids. The significant shear-thinning phenomenon suppresses the
 22 evolution of Nu . As discussed above, for strong shear-thinning fluids, a stable
 23 secondary flow prevents the development of the flow instability, leading to the heat
 24 transfer enhancement increases slowly without expectation. Indeed, the shear rate
 25 inside the flow is more significant non-uniform of a strong shear-thinning fluid and
 26 these various gradients of shear force could balance with stretch of polymer to somehow,

1 which, as a result, the flow becomes stable and exhibits less heat transfer enhancement.
 2 The effects of shear thinning are quite complicated and further investigations are
 3 required to reveal the mechanism.

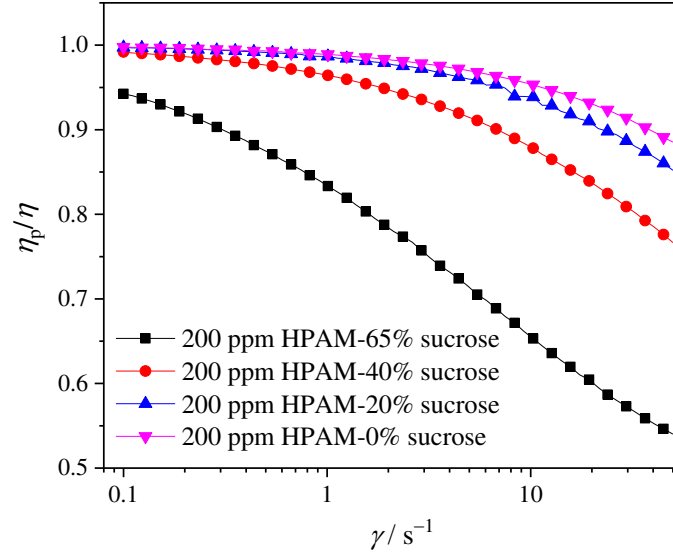
4 3 Effects of solvent concentration



5
 6 Fig. 14 Equilibrated reduced temperature profiles for polymer solutions with different amounts
 7 of sucrose addition: (a) pure water (b) 200 ppm HPAM solution without sucrose; (c) 200 ppm
 8 HPAM solution with 20% sucrose; (d) 200 ppm HPAM solution with 40% sucrose; (e) 200
 9 ppm HPAM solution with 65% sucrose

10 The solvent effects were conducted in this section by varying the proportion of
 11 the sucrose of the polymer solution. The reduction of sucrose is expected to decrease
 12 the viscosity of the polymer solution as well as weaken the polymer relaxation capacity,
 13 which leads to a higher Re and a lower Wi at a given shear rate. On one hand, the
 14 increase of Re intensifies the inertial nonlinearity and makes the flow more unstable. On
 15 the other hand, the highly reduction of the polymer relaxation time is less capable of
 16 inducing the elastic instability. The temperature distribution and the heat transfer
 17 performance for pure water were presented in Fig. 14 and 16, respectively. Compared
 18 with those of 65% sucrose solution, a clear increase of Nu is observed, which indicates
 19 the existence of the inertial instability. Therefore, by changing the proportion of sucrose
 20 from 65% to 0%, the flow instability is not solely driven by the elastic stress. A so
 21 called inertial-elastic instability should be considered instead. Based on the Nu profiles
 22 of water solution, the inertial nonlinearity could be neglected below $n=7$ rpm.

1 Besides the pure water solution, the reduced temperature distribution of
2 polymer solutions with different sucrose concentrations are also shown in Fig.14. The
3 temperature curves of polymer solutions without sucrose and with 65% sucrose additive
4 are first collapsing, sequentially followed by polymer solutions with 20% sucrose and
5 40% sucrose, respectively, which indicates that the onset of flow instability is first
6 postponed by increase the sucrose concentration and continually increasing will make
7 the flow instability more easily induced. This could also be implied from the heat
8 transfer performance in Fig. 16(b) at $n < 7$ rpm, where the flow instability is mainly
9 ascribed to elastic instability. The instability criterion presented in equation (8) may not
10 capture the effects of shear-thinning, however one can hope it still can serve least as a
11 guide for a scaling relation in this case. The effects of addition of sucrose on the
12 rheological properties of polymer solution is complicated. In the first place, the polymer
13 contribution to the viscosity decreases with increasing the sucrose concentration as
14 shown in Fig.15, which indicates a relatively smaller critical Wi_c is required to trigger
15 the elastic instability. When the shear rate is fixed, the polymer solution with short
16 polymer relaxation time can trigger the elastic instability. For another, the addition of
17 sucrose enhances the polymer elasticity and concomitant polymer relaxation time
18 becomes larger. Therefore, the occurrence of the elastic instability is determined by the
19 combination of these two effects. When the sucrose concentration has not reach as high
20 as 65 %, the polymer contribution to the viscosity is dominant, which makes the
21 polymer solution with lower sucrose concentration is more easily to induce the elastic
22 instability. However, when the sucrose concentration saturates at 65 %, the significant
23 increase of the polymer relaxation time makes the polymer solution even at low shear
24 rate can become instable. As a result, at low rotating speed of $n < 7$ rpm, the polymer
25 solution with 65 sucrose shows best heat transfer performance, followed by 0%, 20%
26 and 40%, respectively.

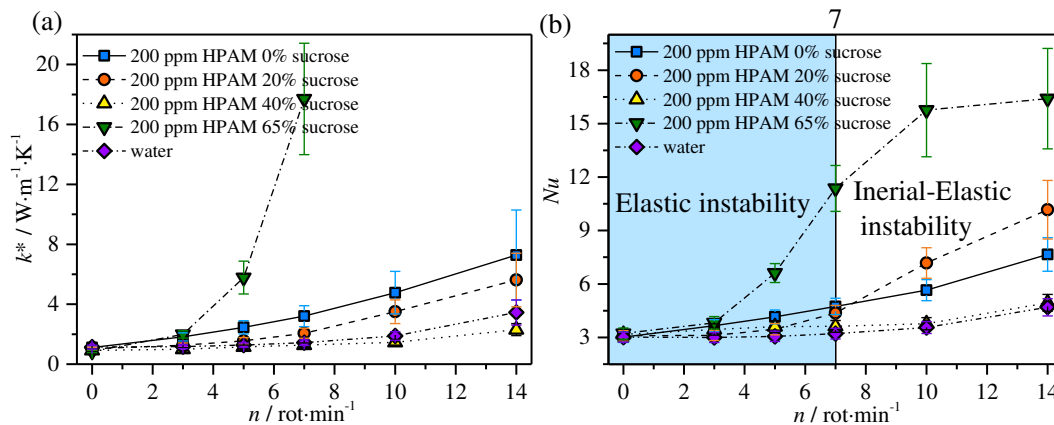


1

2 Fig. 15 The variations of polymer contribution to the viscosity with different sucrose addition

3 With increase of the rotating speed, the inertial instability exists after $n > 7$ rpm,
 4 where the heat transfer enhancement is not sole driven by the elastic instability and it
 5 should be influenced by the coupling effects between inertial and elastic nonlinearity.
 6 The heat transfer enhancement of the polymer solutions with 65 % sucrose is still most
 7 significant, followed by 20%, 0% and 40%, respectively. Compared with the pure
 8 HPAM solution, the HPAM solution with 20% sucrose can generate more intensively
 9 elastic irregularity due to the improvement of the polymer relaxation time, and the
 10 corresponding heat transfer performance increases. Continually increasing the sucrose
 11 concentration to 40%, the polymer elasticity does not change significantly, while the
 12 Re decreases rapidly which weakens the inertial instability and makes the heat transfer
 13 performance of the polymer solution with 40% sucrose even worse than pure HPAM
 14 solution without sucrose addition. Indeed, the elasticity numbers, El , of the polymer
 15 solutions with 20% and 40% sucrose are similar as shown in Fig. S4. The elasticity
 16 number represents the relative importance of elastic stress to inertial effect. With same
 17 El , the flow tends to small-scale vortex at high Re but large-scale at low Re . This small-
 18 scale vortex is much closer to the turbulent-like behaviours and results in a more
 19 significant enhancement of heat transfer [56]. When the sucrose concentration reaches
 20 to 65%, though the inertial nonlinearity does not exist anymore, the strong elastic
 21 nonlinearity perturbs the flow and enhance the heat transfer consequently. It also
 22 reveals that the heat transfer enhancement based on elastic instability at low flow rate
 23 is more dramatic than that based on inertial instability. Due to the low flow velocity,

1 the inertial instability is far from the turbulence region thereby the degree of flow
 2 irregularity is not strong enough. In other words, the elastic instability or elastic
 3 turbulence does a promising method for heat transfer intensification at low Re
 4 conditions.



5
 6 Fig. 16 Effects of sucrose concentration on (a) effective thermal conductivity; (b) Nusselt
 7 number as a function of rotating speed.

8 It is also should be noticed that the profiles of the effective thermal conductivity
 9 and convective Nu show different trends especially for polymer solutions with 0% and
 10 20% sucrose. The effective thermal conductivity is dependent on the temperature
 11 distribution, which is highly sensitive with the onset of flow instability. It cannot reveal
 12 the amounts of heat removal from the wall, which is ascribed to the intensity of flow
 13 irregularity. Therefore, both the effective thermal conductivity and convective surface
 14 Nu are required to investigate the heat transfer performance within the bulk and between
 15 wall and liquid. In addition, the thermal conductivity of working fluids is different. The
 16 thermal conductivity decreases with increasing the sucrose concentration and such
 17 reduction declines the effective thermal conductivity as well. However, this
 18 discrepancy is delimited when calculates the Nu due to its definition.

19 4. Conclusions

20 Convective heat transfer performance under various polymer sensitive factors
 21 were investigated in a swirling flow configuration between two parallel disks. The
 22 bottom disk was cooled as a constant temperature of 5 °C with thermocouples mounted
 23 both on the wall and inside the flow, the Nu dependences on polymer concentration,
 24 solvent concentration and degree of salinity were achieved. The following conclusions
 25 can be obtained:

- 1 1. With the increase of the polymer concentration and reduction of the salinity, the
2 onset of elastic instability shifts to an earlier critical swirling velocity and
3 Weissenberg number. The heat transfer enhancement begins after the
4 occurrence of elastic instability.
- 5 2. For a given swirling velocity, the enhancement increases with increasing
6 polymer concentration. The maximum enhancement is dependent on polymer
7 concentration. For a polymer solution with 300 ppm, the convective Nusselt
8 number could reach more than 6 time higher than that of pure sucrose solution
9 at the maximum rotating speed. After the occurrence of the elastic instability,
10 the heat transfer enhancement exhibits linear relationship on Wi , i.e., $Nu/Nu_s \propto$
11 $1.2Wi$, which is independent on polymer concentration. This independence is
12 attributed to the small discrepancies of shear-thinning in the dilute regime.
- 13 3. At low rotating speeds, the heat transfer enhancement increases with the
14 reduction of the salinity for a giving swirling velocity. However, the
15 enhancement becomes independent on the salinity when the swirling velocity
16 exceeds a critical value, which is possible due to the reduction of the shielding
17 effect. It becomes clear that the salinity influences the onset of elastic instability,
18 but the maximum enhancement is limited by the polymer concentration. In
19 addition, due to the significant discrepancies of shear-thinning, the Nu
20 dependence on Wi varies with different salinities. The polymer solution with
21 low salinity shows more dramatic shear-thinning phenomenon, suppressing the
22 increase of the heat transfer enhancement.
- 23 4. The effects of solvent concentration on the enhancement is complicated due to
24 the coupling effects between inertial and elastic nonlinearity. However, the
25 enhancement based on pure elastic instability is the most dramatic and
26 promising at low Reynolds numbers.

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32 **Conflicts of interest**

33 The authors declare no competing financial interest.

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