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## SUPPLEMENTARY INFORMATION

‘Phosphorus-limited conditions in the early Neoproterozoic ocean maintained low levels of atmospheric oxygen’

Guilbaud et al.

The Supplementary Information includes details on geological setting, P speciation and C isotope quality checks, a compilation of organic C through time (from ref. 1) and C/P ratios in the Phanerozoic, a geological and redox framework for the complementary data from the Taoudeni and the Animikie Basins, and key assumptions of the Daines et al. model<sup>2</sup>.

### **Geological and stratigraphic context of the Huainan Basin**

Palaeogeographic reconstructions situate the North China craton at the eastern tropical periphery of Rodinia<sup>3</sup>. The Huainan and Feishui groups constitute the earliest Neoproterozoic successions of the Huainan region, overlying the metamorphosed Mesoproterozoic Fengyang group<sup>4</sup>. The Huainan group starts with conglomerates and sandstones of the Caodian and Bagongshan Formations, conformably overlain by the ~700-800 m thick succession of the Liulaobei Formation. The Liulaobei Formation consists of calcareous marine mudstones intercalated with shales and siltstones, most of which were deposited below storm-wave base<sup>5</sup>. It hosts abundant acritarchs and macroscopic carbonaceous compressions<sup>4,5</sup>. The presence of *Chuarina*, *Ellipsophysa* and *Tawuia* assemblages in the Liulaobei Formation, and of characteristic early Neoproterozoic acritarchs (*Trachyhystrichosphaera aimika*) in the Liulaobei Formation, are consistent with a pre-Cryogenian age<sup>4-6</sup>, also supported by Sr isotope data<sup>7</sup>. There is no published age for the Huainan Group, but the Liulaobei Formation is correlated to the  $1069 \pm 29$  Ma Xinxing Formation<sup>4,8,9</sup>.

The overlying Feishui group starts with sandstones of the Shouxian Formation, conformably overlain by argillaceous limestones of the Jiuliqiao Formation (~50 m thick), which is correlated to the Jiayuan and the Zhaowei Formations of the Huaibei Group. The lower Jiuliqiao Formation is composed of calcareous sandstone interbedded with carbonates; the upper Jiuliqiao Formation starts with argillaceous limestones characterized by intraclastic breccia and molar tooth structures<sup>9</sup>. The Jiuliqiao Formation sediments deposited below the storm-wave base, shallowing upwards, with the top of the succession containing abundant ripple marks. This succession yields acritarch assemblages and carbonaceous compressions similar to those present in the Liulaobei Formation<sup>5</sup>. Zircon U-Pb ages of  $924.5 \pm 9.5$ <sup>10</sup> for the Zhaowei Formation (Huaibei region), which correlates with the Jiuliqiao Formation<sup>6</sup>, also point towards a Tonian age for the Feishui group. The Jiuliqiao Formation is conformably overlain by the ~250 m thick, dolomicritic Sidingshan Formation, which yields abundant intertidal stromatolitic structures.

### **Data quality checks on the $P_{Fe}$ and $P_{det}$ fractions**

All geochemical data are presented in Table S1. Care is required when applying P speciation to ancient sedimentary rocks<sup>11,12</sup>. Notably, the potential recrystallization of authigenic CFA into well-crystalline P apatite<sup>13</sup> during burial diagenesis and metamorphism may mask original reactive P by increasing the apparent ‘detrital’ signal. Consequently, this would lead to an overestimation of the  $P_{det}$  pool to the detriment of  $P_{auth}$ , as observed for Cambrian phosphorite deposits<sup>11</sup>. The necessity of step V (Table S2) in our extraction protocol underlines the high crystallinity of highly recalcitrant apatite in these ancient sediments. However, in contrast to the study of Creveling et al. (ref. 11) where  $P_{det}$  represented on average 82% of the phosphorus budget, we note here that  $P_{auth}$  is a substantial P pool in the sediment (~29% of total P), and that there is no correlation between  $P_{auth}$  contents and  $P_{det}$

contents (Fig. S1-A). Furthermore, there is a strong linear relationship between  $P_{\text{det}}$  and Al (as a proxy for the detrital input) within the Huainan group and the Feishui group (Fig. S1-B), which suggests that the measured  $P_{\text{det}}$  dominantly reflects the actual detrital P input, rather than post-depositional recrystallization. Hence, while a portion of the authigenic apatite pool may have recrystallized and been operationally extracted as  $P_{\text{det}}$ , these observations suggest that such a transfer was insignificant in terms of the dominant phase partitioning.

Thompson et al. (ref. 12) have recently proposed a modified protocol to ensure the full recovery of Fe-associated P ( $P_{\text{Fe}}$ ) from crystalline hematite and magnetite in ancient rocks, and to evaluate the potential recrystallization of authigenic P into more crystalline minerals. In our samples, iron oxide-associated P constitutes the smallest P pool (Table S1), and  $P_{\text{det}}$  appears to approximate the detrital pool (Fig. S1-B). Nevertheless, we performed a comparison of the Thompson et al. protocol and the original Ruttengerberg method<sup>14</sup>, which revealed no significant differences (Fig. S2). The one-to-one correlation between the two protocols suggests that the Ruttengerberg method used in this study was indeed appropriate, due to the very low  $P_{\text{Fe}}$  pool and limited recrystallization during burial.

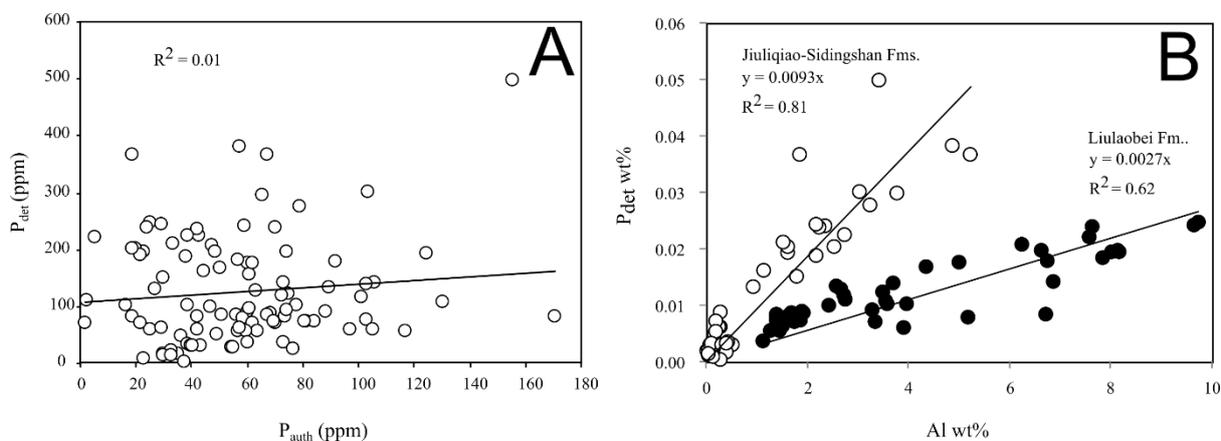
Finally, the strong correlation between the total P digestion and the sum of each P extract (Fig. S3-A) suggests that individual P extractions successfully recovered the bulk P contents. We are therefore confident that our P speciation data are of high quality.

Extraction	P pool extracted				
I	Na-dithionite extraction, citrate-buffered (pH 7.6; 8 h) MgCl <sub>2</sub> wash (2 h) H <sub>2</sub> O wash (2x; 2 h)				P <sub>Fe</sub>
II	Na-acetate extraction (pH 4; 6 h) MgCl <sub>2</sub> wash (2x; 2 h) H <sub>2</sub> O wash (as required; 2 h)				P <sub>auth</sub>
III	HCl extraction (1 M, 16 h)				P <sub>det, 1</sub>
IV	Ashing at 550°C HCl extraction (1 M, 16 h, 24 h)				P <sub>org</sub>
V	HNO <sub>3</sub> -HF-HClO <sub>4</sub> -H <sub>3</sub> BO <sub>3</sub> -HCl extraction on residue				P <sub>det, 2</sub>
VI	HNO <sub>3</sub> -HF-HClO <sub>4</sub> -H <sub>3</sub> BO <sub>3</sub> -HCl extraction on bulk sediment				P <sub>tot</sub>

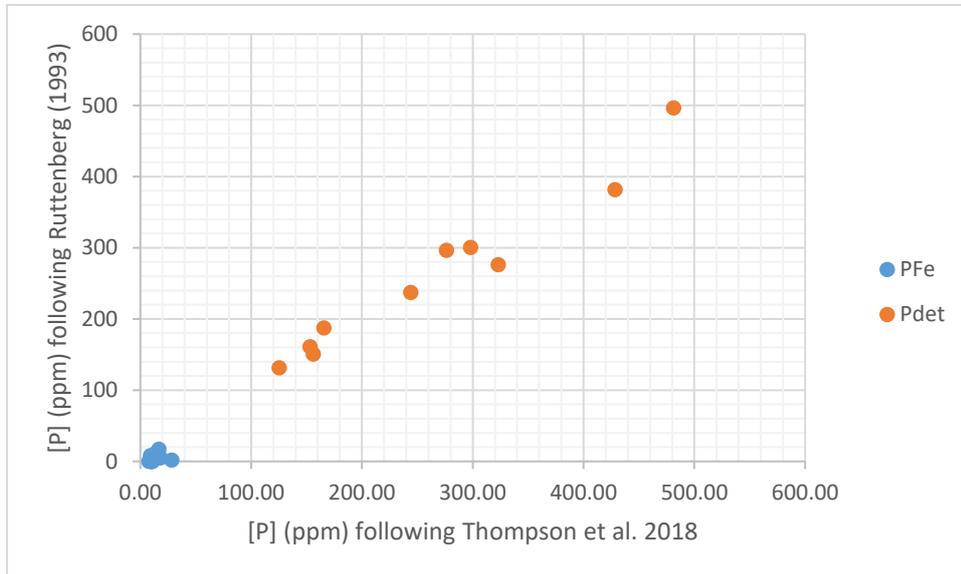
Replicates	P <sub>Fe</sub> ppm	P <sub>auth</sub> ppm	P <sub>det</sub> ppm	P <sub>org</sub> ppm	P <sub>tot</sub> ppm
A	8	97	268	10	383
B	13	92	289	8	404
C	10	100	267	8	344
D	12	94	281	8	390
E	12	107	285	8	415
Mean	11	98	278	8	387
SD	2	6	10	1	27
RSD%	16	6	4	9	7

**Table S2.** Upper part: SEDEX extraction steps and targeted P pools, modified for ancient sedimentary rocks. Lower part: Replicate analysis of [P] concentrations obtained for each targeted P pool.



**Figure S1.** Assessment of potential pool transfer of  $P_{auth}$  to  $P_{det}$ . The lack of correlation between  $P_{det}$  and  $P_{auth}$  is not supportive of a genetic relationship between both pools (A). The

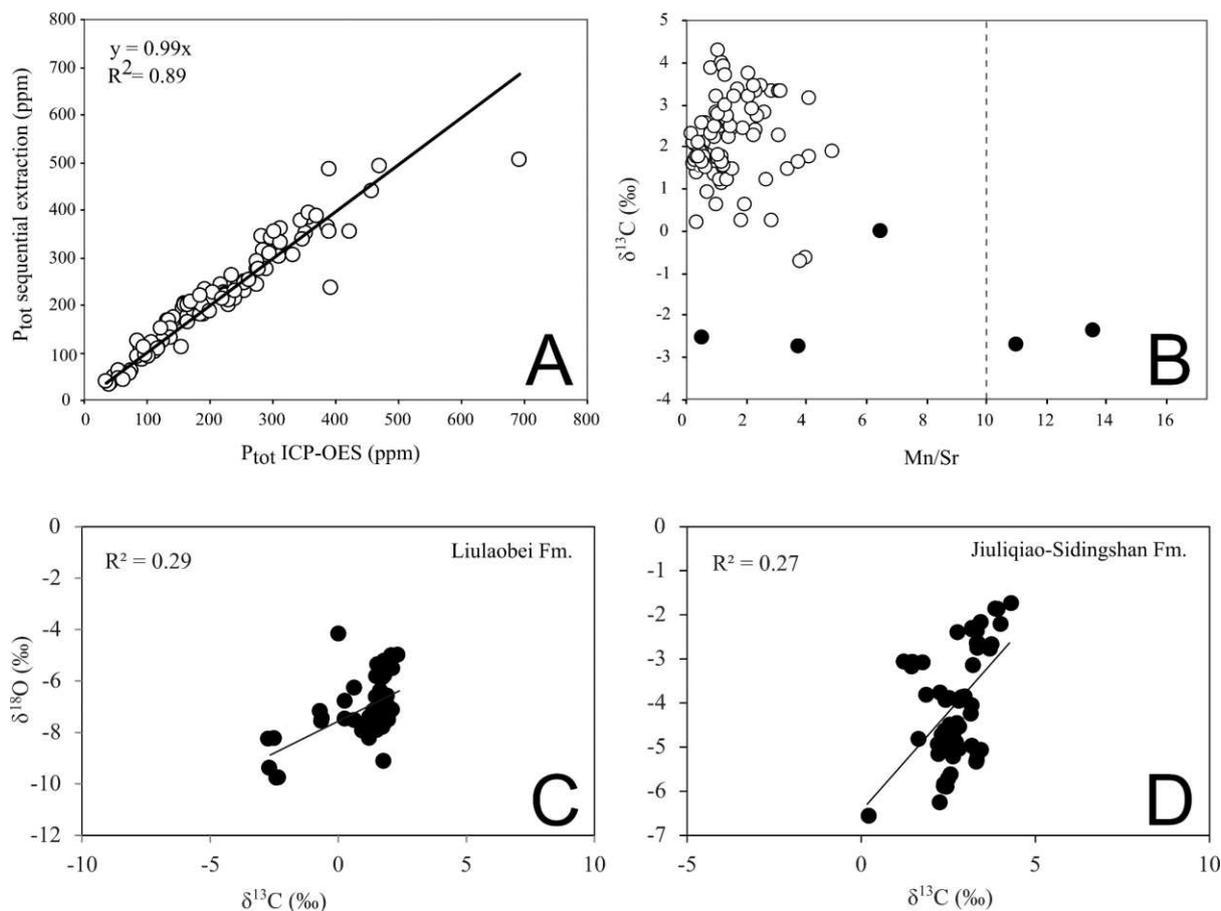
correlations between  $P_{\text{det}}$  and Al contents in the different formations (B) support that  $P_{\text{det}}$  is inherited from the detrital input.



**Figure S2.**  $P_{\text{Fe}}$  and  $P_{\text{det}}$  plots comparing the Ruttenberg and the Thompson methods.

### C isotope quality checks

Several lines of evidence suggest that the carbon isotope signatures ( $\delta^{13}\text{C}$ ) in our samples are pristine and not significantly altered by secondary overprinting, including i) the lack of correlation between the carbon isotope composition of carbonates ( $\delta^{13}\text{C}_{\text{carb}}$ ) and coeval oxygen isotope values ( $\delta^{18}\text{O}_{\text{carb}}$ ; ref. 15), and ii) Mn/Sr ratios  $<10$  (ref. 16), as shown by Fig. S3. Two samples from one locality (samples referred to as “Mli”), for which Mn/Sr ranges from  $\sim 0.5$  to  $\sim 13.6$ , exceed this threshold, suggesting that these two samples may have been affected by secondary processes.

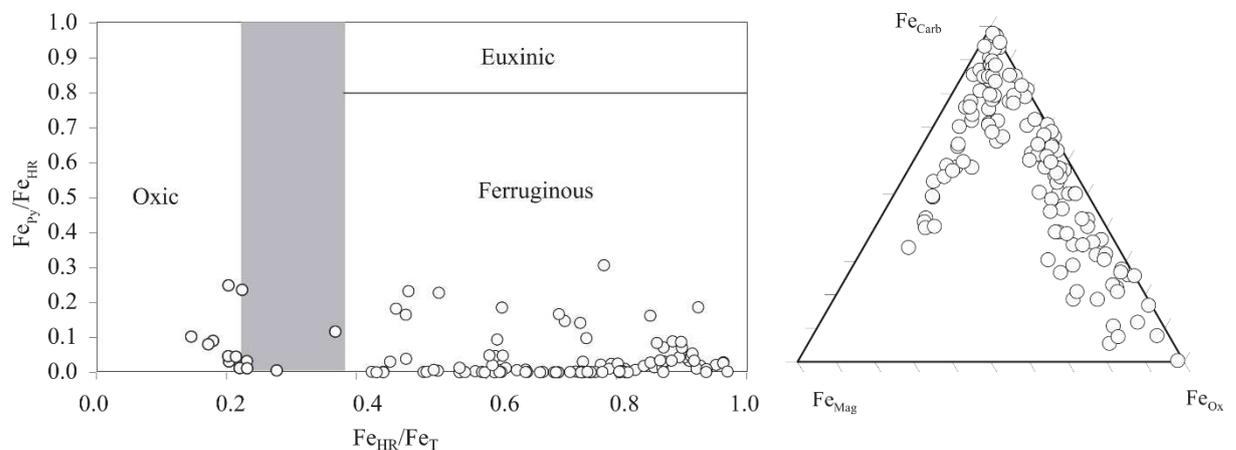


**Figure S3.** (A) Phosphorus extractions quality check based on the correlation between total P digestion and the sum of each P extract. (B) Assessment of potential secondary alterations of C isotope during diagenesis based on the relative mobility of Mn and Sr. The black circles represent the “Mli” sample outcrop which is likely to have experienced diagenetic overprinting. (C-D) Assessment of potential C and O isotope resetting from meteoric water and/or burial diagenesis for the Liulaobei Fm. and the Jiuliqiao-Sidingshan Fm.

### Previously published Fe speciation data for the Huainan Basin

Fe speciation data for the Huainan basin<sup>17</sup> are illustrated by Fig. S4. The persistence of ferruginous water column conditions in the region (and elsewhere throughout the early Neoproterozoic) has been established previously<sup>17</sup>, using the well-established Fe speciation method. The method targets operationally defined Fe pools, including carbonate associated-Fe ( $\text{Fe}_{\text{Carb}}$ ), ferric oxides-Fe ( $\text{Fe}_{\text{Ox}}$ ), magnetite-Fe ( $\text{Fe}_{\text{Mag}}$ ), sulphide-Fe ( $\text{Fe}_{\text{Py}}$ ) and total Fe

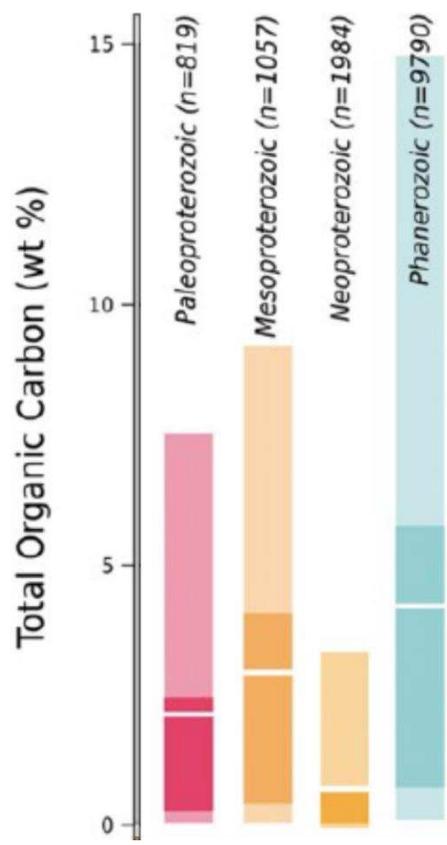
( $Fe_T$ ). Water column conditions are determined by quantifying the proportion of biogeochemically highly reactive Fe ( $Fe_{HR}$ , defined as the sum of  $Fe_{Carb}$  +  $Fe_{Ox}$  +  $Fe_{Mag}$  +  $Fe_{Py}$ ) relative to  $Fe_T$ . Anoxic water columns promote  $Fe_{HR}$  enrichments in the underlying sediments, and anoxic deposition is typically characterized by sedimentary  $Fe_{HR}/Fe_T$  ratios  $>0.38$  (ref. 18). By contrast, sediments deposited under oxic water column conditions lack  $Fe_{HR}$  enrichments and are characterised by low  $Fe_{HR}/Fe_T$  ratios ( $<0.22$ ; ref. 18). For anoxic samples, ferruginous conditions are distinguished from euxinic settings by quantifying the extent of sulphidation of the highly reactive pool ( $Fe_{py}/Fe_{HR}$ ).  $Fe_{py}/Fe_{HR} >0.7$  is characteristic of euxinic deposition, whereas  $Fe_{py}/Fe_{HR} <0.7$  indicates deposition under ferruginous conditions<sup>18</sup>. The speciation of highly reactive Fe is dominantly represented by carbonate associated Fe and ferric oxides, with minor contributions from magnetite and sulphides (Fig. S4).



**Figure S4.** Iron speciation in the Huainan basin, after ref. 17. Highly reactive iron phases include carbonate associated-Fe ( $Fe_{Carb}$ ), ferric oxides-Fe ( $Fe_{Ox}$ ), magnetite-Fe ( $Fe_{Mag}$ ) and sulphide-Fe ( $Fe_{Py}$ ).  $Fe_T$  stands for total Fe.

### TOC contents through time

The very low TOC contents observed throughout the Huainan basin succession are in agreement with other early Neoproterozoic shales (e.g., refs. 17,19,20). This contrasts with the still limited dataset of higher TOC contents characterizing the preceding Mesoproterozoic (e.g., refs. 1,21–25) (Fig. S5). We suggest that in the early Neoproterozoic, effective P removal under widespread ferruginous conditions and fixation in sediments exerted a negative feedback on primary production (hence decreasing the flux of organic C burial). By contrast, large expanses of mid-depth euxinia in the preceding Mesoproterozoic likely promoted intense P recycling to the water column (Fig. 4), with a positive feedback on primary production, and enhanced fluxes of organic C burial.



**Figure S5.** Summary compilation of total organic carbon through the Proterozoic and the Phanerozoic, modified after ref. 1. Horizontal white lines represent the medians and the boxes represent the first and third quartiles.

### Compilation of P speciation and C/P data for the Phanerozoic (Table S3)

Cambrian P speciation and C/P data consist of >100 samples from recrystallized, phosphate-rich carbonates deposited under ferruginous conditions<sup>11</sup>. Devonian data consist of bioturbated and laminated shales ( $n = 76$ ) from the Camp Run Member<sup>26</sup>. Cretaceous data include shales ( $n = 306$ ) deposited under ferruginous and euxinic conditions during Ocean Anoxic Event 2 (refs. 27,28) and under ferruginous conditions during Ocean Anoxic Event 3 (ref. 29). Numerous ODP sediment samples spanning the last 65 million years (Ma) and over various redox depositional conditions were also compiled, including 297 samples<sup>30</sup> from sites in the California Current region (ODP leg 167, sites 1010, 1011, 1012, 1014, 1016, and 1021), in the Benguela Current region (ODP leg 175, sites 1082, 1084, and 1085), and on Blake Nose in the western Atlantic (ODP leg 171B, sites 1050 and 1052), and 237 samples from the Western and Eastern equatorial Pacific<sup>31</sup>. Recent Phanerozoic sediments deposited under oxic and euxinic bottom waters were compiled for the California margin, the San Clemente Basin, the Eastern North Pacific, the Santa Monica Basin and the Washington continental margin<sup>32</sup>. Additional samples are from the Baltic Proper<sup>33</sup> and eastern Mediterranean sapropels<sup>34</sup>. Sediments deposited under oxic and oligotrophic conditions are from the Bothnian Sea<sup>35,36</sup> and from subtropical gyres<sup>37</sup>.

Sample	Bottom water redox condition	$P_{\text{exch}}/P_{\text{tot}}$	$P_{\text{Fe}}/P_{\text{tot}}$	$P_{\text{auth}}/P_{\text{tot}}$	$P_{\text{det}}/P_{\text{tot}}$	$P_{\text{org}}/P_{\text{tot}}$	$C_{\text{org}}/P_{\text{org}}$	$C_{\text{org}}/P_{\text{reac}}$	$C_{\text{org}}/P_{\text{tot}}$	Reference
Cambrian carbonates	ferruginous		0	8	92	0			<100	Creveling et al., 2012
Devonian shales	oxic						150			Ingall et al., 1993
Devonian shales	'anoxic'						3900			Ingall et al., 1993
OAE 2	'anoxic'		5	← 89 →		4			>500	Mort et al., 2007
OAE 2	ferruginous/euxinic								>600	Poulton et al., 2015
OAE 3	ferruginous		13	34	2	1	>1000	<50	<50	Marz et al., 2008
<i>This study</i>	ferruginous		5	29	58	9	135	24	8	<i>This study</i>
Bothnian (oligotrophic)	oxic	0	58	23	6	13	261	37	35	Egger et al., 2015
Bothnian (oligotrophic)	oxic	1	51	18	7	16	326	60	56	Slomp et al., 2013
East Mediterranean	oxic		28	35	12	23	210	50	44	Slomp et al., 2004
	anoxic sapropel						427	109	95	Slomp et al., 2004
Baltic Proper	oxic/euxinic	2	12	13	13	59	249	148	129	Mort et al., 2010
Various ODP	mainly oxic		9	82	12	8	970	89	71	Anderson et al., 2001
Equatorial Pacific	mainly oxic	5	10	81	1	5				Filipelli and Delaney, 1996
Various modern sites	oxic/'anoxic'								<600	Ingall and Jahnke, 1997
Subtropical gyres	oligotrophic						<600			Teng et al., 2014

**Table S3.** Compilation of C/P ratios and P speciation data for Phanerozoic sediments, compared to our study.

### **Geological and redox context of the Taoudeni Basin and the Animikie Basin**

Our study was augmented by euxinic shales from the ~1.1 Ga Atar/El Mreiti Group at the north-western edge of the Taoudeni Basin (Mauritania). The sediments deposited under a range of relatively shallow environments, from fluvial and shallow marine, to more proximal settings (below wave base)<sup>38</sup>. Drill core samples from low metamorphic grade black shales of the ~1.8 Ga Animikie group, Superior Province, North America, were also analysed. The samples have been fully described elsewhere<sup>12,22</sup>. We specifically selected sediments that deposited under persistently euxinic water columns, in order to draw a comparison between P recycling regimes under both dominantly ferruginous (Huainan Basin) and euxinic settings.

Water column redox chemistry was determined by the Fe speciation method<sup>39</sup>, and the samples analysed all display  $Fe_{HR}/Fe_T > 0.38$  and  $Fe_{Py}/Fe_{HR} > 0.7$  (ref. 18). P speciation was performed using the same protocols as for the Huainan Basin, and we focussed on the total, reactive and organic-bound fractions of P (Table S1).

### **Model assumptions**

The observation that the Great Oxidation Event (GOE) was never reversed places an important constraint on Earth's surface redox balance<sup>2</sup>: there must have consistently been a net source of O<sub>2</sub> in excess of the input flux of reduced gases, which oxidise rapidly and completely.

We argue the sulphur cycle was not a major contributor to maintaining this redox balance through much of the Proterozoic. Given widely-recognized complete oxidative weathering of pyrite after the GOE (i.e. the disappearance of detrital pyrite), subsequent

reduction of  $\text{SO}_4$  and reburial as pyrite has no net effect on Earth's surface redox balance. In principle, gypsum weathering followed by pyrite burial could provide a net source of  $\text{O}_2$ . However, this necessarily drains the gypsum sedimentary reservoir which anyway must have been small up to  $\sim 1$  Ga, because of limited evaporite deposition beforehand linked to low  $[\text{SO}_4]$  and limited evaporite basin areas<sup>17</sup>. Instead, a large increase in  $\text{SO}_4$  deposition in gypsum evaporites around  $\sim 1$  Ga associated with the low-latitude amalgamation of Rodinia<sup>17</sup>, more likely represented a net  $\text{O}_2$  sink (assuming, plausibly, that it exceeded gypsum weathering).

Therefore, the key controller of Earth's surface redox balance and atmospheric oxygen levels through much of the Proterozoic, as today, was the carbon cycle. The oxidative weathering of ancient organic matter (kerogen) after the GOE was kinetically-limited and incomplete<sup>2</sup>. Hence burial of new organic carbon in excess of this oxidative weathering of ancient organic carbon represented a key net oxygen source. In the limit of declining  $\text{O}_2$ , which tends to shut down ancient kerogen oxidation, the burial flux of new organic carbon must have exceeded the input flux of reduced gases to maintain an oxidizing atmosphere, a present-day minimum estimate for which is  $\sim 1.25 \times 10^{12}$  mol  $\text{O}_2$  eq  $\text{yr}^{-1}$  (ref. 2). The main text gives corresponding estimates of phosphorus and organic carbon burial fluxes, extrapolating from maximum total P and TOC in our sample location shales, and an assumed pre-anthropogenic sediment erosion rate. The resulting reactive P weathering/burial flux estimate is comparable to today and the estimated  $\text{C}_{\text{org}}$  burial flux of  $< 1.75 \times 10^{12}$  mol C  $\text{yr}^{-1}$  is sufficient to maintain an oxidizing atmosphere.

Two other recent models give comparable or larger estimates of the organic carbon burial flux consistent with maintaining Proterozoic Earth surface redox balance with an oxidizing atmosphere. Ozaki et al. (ref. 40), under their "Low  $\text{O}_2$ " (0.0001-0.01 PAL) assumption, estimate a median  $1.1 \times 10^{12}$  mol  $\text{O}_2$  eq  $\text{yr}^{-1}$  organic carbon burial, which

increases to  $1.64 \times 10^{12}$  mol O<sub>2</sub> eq yr<sup>-1</sup> under their “High O<sub>2</sub>” (0.01-0.1 PAL) assumption (consistent with our model). We note that their “Low O<sub>2</sub>” scenario assumes incomplete oxidative weathering of pyrite, which seems inconsistent with the disappearance of detrital pyrite after the GOE. Yet it already demands a large (non-S) reductant input to stop O<sub>2</sub> rising towards what we view as the more plausible levels of their “High O<sub>2</sub>” scenario. Even that “High O<sub>2</sub>” scenario has a large excess of pyrite burial over pyrite weathering and assumed reduced gaseous/hydrothermal S input, demanding a significant net S input from gypsum weathering and significant growth of the sedimentary pyrite reservoir at the expense of what has to be a significant gypsum reservoir. Whether or not an initial gypsum reservoir ~25% of present at 1.8 Ga can be justified, such net transfer of S could not be sustained over Proterozoic timescales – we estimate the gypsum reservoir would be drained in ~200 Myr.

Laakso and Schrag (ref. 41) in their “Low-O<sub>2</sub>” Proterozoic model state estimate a much higher  $4.3 \times 10^{12}$  mol O<sub>2</sub> eq yr<sup>-1</sup> “organic burial” close to their assumed modern value ( $4.8 \times 10^{12}$  mol O<sub>2</sub> eq yr<sup>-1</sup>). They show a Proterozoic sulphur cycle dominated by gypsum burial, which given complete pyrite oxidation, makes the S cycle a massive net O<sub>2</sub> sink. This explains the need for a massive organic burial flux, but this is not supported by with the S isotope record, which clearly indicates a dominance of pyrite burial through the Proterozoic<sup>42</sup>.

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