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---- Action sitas of mild alkaline solvents

( endo-PG celluclast 1.SL ) db. Action sites of DBD

- - -- - Action sites of HPE

# Reconsidering conventional and innovative methods for pectin extraction from fruit and vegetable waste: Targeting Rhamnogalacturonan I

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#### 27 Abstract:

Background: Rhamnogalacturonan I (RG-I) is composed of a backbone of repeating disaccharide units  $\rightarrow 2$ )- $\alpha$ -L-Rhap-(1 $\rightarrow 4$ )- $\alpha$ -D-GalpA-(1 $\rightarrow$  with neutral sugar sidechains consisting of arabinose and galactose with variable linking types and chain lengths, corresponding to the hairy regions of pectin. This polysaccharide is abundant in the primary cell walls of fruits and vegetables.

Scope and Approach: Biological functions of RG-I in immunomodulation and functional 33 properties as a supplement and pharmaceutical expedient have increased commercial 34 interest in RG-I extraction from fruit and vegetable waste. However, conventional 35 extraction methods use harsh acid treatments that hydrolyze the side chains of RG-I. 36 Innovative extraction technologies have been developed to preserve RG-I structure with 37 better biological function. Therefore, the present review will focus on the influence of 38 39 conventional and innovative methods exerts on the RG-I region of pectin from fruits and 40 vegetables.

Key Findings and Conclusions: Non-thermal processing (ultrasound, dielectric barrier discharge plasma, and enzymatic treatment) is superior to conventional and thermal processing (relying on high pressure, microwave and subcritical water extractions) in extracting branched RG-I from fruit and vegetables waste for food and pharmaceutical applications.

46 Key words: RG-I, pectin, fruit and vegetable waste, innovative extraction, biomass

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#### Contents 48

49	1. Introduction4
50	2. Conventional extraction method
51	2.1 Thermal/non-thermal treatment in acid, alkaline or chelating agent solutions
52	3. Innovative extraction technology10
53	3.1 Ultrasound extraction (UE)10
54	3.2 En zy me-assisted extraction (EAE)13
55	3.3 Subcritical water extraction (SWE)16
56	3.4 Dielectric barrier discharge plas ma extraction (DBD)
57	3.5 Microwave-assisted extraction (MAE)20
58	3.6 High pressure processing extraction (HPE)23
59	4. Hybrid extraction methods24
60	5. Comparison between conventional extraction and innovative extraction on pectin structure26
61	6. Conclusion and perspectives27
62	References
63	
64	

#### 65 1. Introduction

66 The fruit and vegetable processing industry produces large amounts of by-products such as peels, seeds and shells (Schieber, 2017; Pfaltzgraff, Bruyn, Cooper, Budarin, & 67 Clark, 2013) that contain abundant bioactive components including antioxidants 68 (polyphenols, dietary fibers), pigments, flavor compounds, proteins, essential oils, 69 enzymes, and dietary fibers (Trigo, Alexandre, Saraiva, & Pintado, 2019). Pectin is one of 70 the most abundant components in food processing waste and biomass by-products, thus, 71 optimizing pectin extraction and recovery is important to fully valorize these feedstock 72 73 resources (Shalini & Gupta, 2010).

Pectin is a complex, colloidal heteropolysaccharide composed of structurally distinct 74 regions or domains which include homogalacturonan (HG), rhamnogalacturonan (RG-I), 75 rhamnogalacturonan (RG-II) (Fig. 1). HG, accounting for approximately 65% of pectin, 76 is a linear polymer of  $\alpha$ -1,4 linked galacturonic acid that is partially methyl-esterified at 77 C-6 and O-acetylated in positions 2 and 3 (Mohnen, 2008). HG has dominated pectin 78 research due to its ability to form gels in the presence of calcium, depending on the extent 79 80 and pattern of methyl esterification (Celus, Kyomugasho, Loey, Grauwet, & Hendrickx, 2018). RG-I, accounting for 20-35% of pectin, is composed of a backbone of repeating 81 82 galacturonic acid and rhamnose (Rha) disaccharide with neutral side chains attached to 83 the O-4 position and sometimes the O-3 position of  $\alpha$ -L-Rhap backbone units. Between 84 20% and 80% of the Rha residues are substituted at C-4, depending on the plant source as 85 well as the extraction conditions used (Kaya, Sousa, Crepeau, Sorensen, & Ralet, 2014). 86 Like HG, RG-I may also be methylated and acetylated (Sun et al., 2019). RG-II, accounting for 2-10% of pectin, is composed of a HG backbone that is heavily branched 87 with many complex side chains containing Rha, arabinose (Ara) and galactose (Gal), 88 other minor sugars such as fucose, glucuronic acid, methyl-esterified glucuronic acid, 89 apiose, 2-O-methylxylose, and 2-O-methylfucose. RG-II is considered the most 90

conserved domains among pectin molecules (Noreen, Nazli, Akram, Rasul, Mansha, 91 Yaqoob, et al., 2017). Due to its linear structure, HG is often referred as the 'smooth 92 region', while branched regions including RG-I, RG-II and xylogalacturonan (XG) are 93 94 referred to as belonging to the 'hairy regions' (Pfaltzgraff, Bruyn, Cooper, Budarin, & 95 Clark, 2013). Pectin is extensively used in the food industry as an emulsifier, stabilizer, gelling agent, thickening agent and color-protecting agent (Chen et al., 2015). Pectin also 96 has promise as a bioactive, pharmaceutical ingredient for drug delivery, tissue 97 engineering, and the formation of nanoemulsions (Chen, Guo, Zhang, Wan, Yang, & Yang, 98 2018). The demand for pectin is increasing approximately 4-5% annually (Raji, 99 Khodaiyan, Rezaei, Kiani, & Hosseini, 2017), driven by demand in plant-based, clean 100 label food ingredients and the increased functionality in pharmaceutical products. 101

For large and structurally complex biopolymers, extraction methods have a strong 102 influence on the composition, structural, physicochemical and bioactive properties, and 103 determine their application and value in the market. Traditionally, the degree of 104 esterification (DE) and GalA content effects pectin's applications as a gelling and 105 thickening agent because of their different influence in the gel forming mechanism of 106 pectins (Marić, Grassino, Zhu, Barba, Brnčić M., & Brnčić R., 2018). The commercial 107 final pectin products often require a high GalA content (65%) and a specific degree of 108 methylation (DM) (>55% for high methylation pectins and <55% for low methylation 109 pectins), in order to obtain the optimal gelling properties. Commercial pectins are 110 traditionally obtained from food processing by-products including citrus peels, apple 111 pomace, and sugar beet pulp (Putnik, Bursac Kovacevic, Rezek Jambrak, Barba, Cravotto, 112 Binello, et al., 2017) using harsh acid extraction conditions at low pH values (1.5-3.0) 113 and elevated temperatures (60-100 °C) over several hours (Koubala, Mbome, Kansci, 114 115 Mbiapo, Crepeau, Thibault, et al., 2008). These commercial extraction conditions require high solid to liquid (S/L) ratios, large amounts of solvents, and can result in substantial 116 adverse environmental impact including high energy and water utilization. Recently, the 117

food industry has expanded pectin's application from a gelling agent to an emulsifier, stabilizer, and thickening agent. In addition, pectin, and RG-I in particular, has attracted attention as a bioactive component for functional food or pharmaceutical applications. Thus, reconsideration of extraction methods is necessary to optimize pectin functionality and bioactivity.

123 RG-I's bioactivity is attributed to its molecular weight, composition and structure. Important criteria include the Gal, Ara, Rha and GalA contents, the degree of methylation 124 and acetylation, and branching pattern (Ralet et al., 2005). RG-I enriched pectin putative 125 bioactivities include prebiotic potential (Khodaei, Fernandez, Fliss, & Karboune, 2016) 126 and potential as a pharmaceutical component due to its immunomodulatory (Zhang et al., 127 2012) and anti-apoptotic activities through inhibition of galectin-3 function (Zhang et al., 128 2016). The RG-I type pectin with abundant side chains including alpha-L-1,5-arabinan, 129 beta-D-1,4-galactan, arabinogalactan I (AG-I) and arabinogalactan II (AG-II), exhibiting 130 strong binding activities to galectin-3 (Cui et al., 2019). Neutral Gal side chains of RG-I 131 region was proven to selectively bind to recombinant galectin-3 (Gunning, Pin, & Morris, 132 2013), through which arrested cell cycle of B16F10 cells in G2/M phase and induced 133 apoptosis (Vayssade et al., 2010). High Gal content in RG-I region is important for pectin 134 to inhibit cell proliferation and the induction of apoptosis (Shakhmatov, Toukach, 135 Michailowa, & Makarova, 2014). Besides, the Gal/Ara ratio is also a critical parameter 136 for the immunopotentiation activity of pectin oligomers (Leclere, Cutsem, & Michiels, 137 2013). Therefore, there is an increased interest in methods for the extraction and 138 preparation of oligomeric pectins containing fewer HG regions and enriched in RG-I 139 regions with branched neutral side chains specifically. 140

RG-I enriched pectins can either be obtained directly from various purified plant cell
walls under specific mild extraction conditions or from extracted pectins using
endopolygalacturonase (Endo-PG) modification in possible combination with pectin

methyl esterase and side chain degrading enzymes (Khodaei & Karboune, 2014). 144 However, enzymatic methods are difficult and expensive to upscale at the industrial scale, 145 146 studies and novel methods for the commercial production of RG-I enriched pectins need 147 to be developed. Various innovative thermal extraction techniques have been studied to extract pectin more efficiently. These technologies rely on indirect heating by pressure, 148 electric or magnetic field, microwaves, or light (Jérôme, Chatel, & Oliveira Vigier, 2016), 149 rather than conventional heating (Pereira & Vicente, 2010). These methods are more 150 effective at lower temperatures (Perez-Andres, Charoux, Cullen, & Tiwari, 2018) and 151 enable shorter extraction times, and lower solvent requirements, and result in higher 152 yields along with the recovery of RG-I rich pectins (Alba, Laws, & Kontogiorgos, 2015; 153 Methacanon, Krongsin, & Gamonpilas, 2014; Wang, Chen, Wu, Wang, Liao, & Hu, 154 2007). However, most of the studies using these innovative technologies involve acid 155 conditions, adversely impacting the RG-I regions, and particularly the degree and lengths 156 of RG-I branches, within the pectin product. 157

Although numerous studies on pectin extraction from fruit and vegetable waste have been carried out, few considered the influence of extraction method on pectin structure, especially the recovery of RG-I enriched pectins. The aim of this review is to highlight the impact of both conventional and innovative extraction techniques on the structural changes in RG-I enriched pectin and to provide an approach for the combined application of different extraction methods for RG-I enriched pectin recovery.

**164 2.** Conventional extraction method

#### 165 2.1 Thermal/non-thermal treatment in acid, alkaline or chelating agent solutions

166 Conventional pectin extraction is water based but relies on different chemical 167 additives. Direct boiling is the most conventional method for industrialized pectin 168 extraction, however, it takes several hours to obtain a good yield (Li, Jia, Wei, & Liu,

2012). During the long heating process, the pectin can undergo thermal degradation by 169 beta-elimination of the HG backbone and significant debranching, leading to pectins of 170 171 inferior quality. Thus, to reduce extraction time, heating is generally accompanied by the addition of different chemicals that facilitate pectin release from the cell wall. The 172 influence of extraction solvent composition on pectin structure has been compared in 173 many studies (Chan & Choo, 2013; Koubala, Kansci, Mbome, Crépeau, Thibault, & 174 Ralet, 2008). The structural diversity of pumpkin extracted using various solvents has 175 been demonstrated (Košťálová, Hromádková, & Ebringerová, 2014). The authors used 176 hot water, ethylenediaminetetraacetic acid (EDTA), dilute HCl, dilute and concentrated 177 NaOH solutions to isolate pectins. The first three solvents extract pectins with 178 considerable polymolecularity and reduced RG-I content (1.4-28%) compared to that of 179 alkali-extracted (39.3-49.6%) pectin, consistent with previous research (Yapo, Lerouge, 180 Thibault, & Ralet, 2007). Because of the high xylose content in the alkali-extracted pectin, 181 alkaline extraction is thought to promote the co-extraction of hemicelluloses such as 182 xyloglucan and glucuronoxylan. In the study of (Kurita, Fujiwara, & Yamazaki, 2008), 183 citrus peel pectin was extracted in water acidified with 0.05 to 1 M citric acid. Using 0.5 184 M citric acid under neutral pH at 65 °C, the maximum proportion of RG-I obtained was 185 57.5%. Pectin extracted with citric acid showed a lower DM (8.4%) and higher molecular 186 weight distributions (50 to 2000 kDa), indicating the citric acid did not degrade pectin 187 (Kurita, Fujiwara, & Yamazaki, 2008). Chelating agents such as oxalate, can solubilize 188 pectin having a high DM and of high molecular weights (Kaya, Sousa, Crepeau, Sorensen, 189 & Ralet, 2014), as previously reported (Hadfield, Rose, Yaver, Berka, & Bennett, 1998) 190 and later verified (Koubala, Kansci, Mbome, Crépeau, Thibault, & Ralet, 2008; Lim, Yoo, 191 Ko, & Lee, 2012). Chelating agent extractions are impacted by the number of ionic 192 linkages in plant tissue pectin, related to the Ca<sup>2+</sup> content and the distribution of free acid 193 groups in the HG pectin domain. More pectin (yield of 15.59%) is extracted with 194 195 hydrochloric acid compared with water extraction (yield of 0.95%) or sodium

hexametaphosphate extraction (yield of 5.17%), and the pectin yield is positively
associated with decreasing pH, suggesting that the pectin can bind to the
cellulose-hemicellulose network by hydrogen bonding (Ueno, Tanaka, Hosino, Sasaki, &
Goto, 2008).

Different stability of uronic acid residues and their linkages at different pH values 200 can determine the different structural features of pectin extracted by acid or alkaline 201 extraction. GalA-Rha or Rha-GalA linkages are less stable than GalA-GalA, besides, Ara, 202 203 Gal, Rha are successively acid-labile sugars, while GalA is the most resistant to acid hydrolysis (Kaya, Sousa, Crepeau, Sorensen, & Ralet, 2014; Thibault, Renard, Axelos, 204 Roger, & Crépeau, 1993). Under strongly acidic conditions (pH<2) and high 205 temperatures (>65 °C), linkages between uronic acid residues are more stable than 206 linkages between uronic acid and neutral sugars (Worth, 1967). Therefore, pectin 207 extracted with alkaline solvent under low temperature has much higher RG-I content with 208 retained neutral side chains compared to that of harsh acid extraction. Citrus peel residue 209 was treated with 0.6% NaOH at 32 °C stirring for 10 min, the pH value was then adjusted 210 to 6-7. The pectin obtained contained 82.5% RG-I region (compared to 44% that of HCl 211 treatment at pH 3-4) with highly branched side chains according to monosaccharide 212 analysis and AFM image(Zhang et al., 2018). KOH treatment leads to less degradation of 213 Ara and Gal side chains and, the debranching of Ara side chains was more significant 214 compared to Gal side chains under harsh alkaline conditions, suggesting that Ara residues 215 are more susceptible to altered conditions than Gal residues (Khodaei & Karboune, 2014). 216 Alkaline extracted pectin also has lower molecular weight, its RG-I region content is 217 usually 2-5 times compared to pectins extracted with other conventional extraction 218 219 methods. (Fishman, Chau, Cooke, Yadav, & Hotchkiss, 2009). Molecular weight is reduced due to  $\beta$ -elimination reaction, which cleaves glycosidic linkages between 220 221 methylated galacturonic acid units (Albersheim, Neukom, & Deuel, 1960).

In summary, RG-I content and its neutral side chains differ in different plant 222 materials and due to the use of different extraction conditions. As shown in Table 1, 223 224 potato pulp, citrus peel, sugar beet and oil-pumpkin are the best plant materials for RG-I 225 recovery. Hot water and acid extracted pectin is usually high in HG content (GalA > 65%) 226 and affords a high DM and DA. Low pH stimulates protopectin (water-insoluble precursor of pectin exists in plant tissues ) hydrolysis (Sakamoto, 1995), promotes Ca2+ 227 and Mg<sup>2+</sup> removal, and increases protopectin's solubility, thus, enabling higher isolated 228 vields of HG enriched pectin. Alkaline extracted pectin usually has high RG-I content 229 depending on temperature and pH), low DM (resulting from 230 (49.6% - 82.5%)saponification reaction) and low yields. Alkali causes GalA instability, enriching the 231 extracted fractions with RG-I oligomers branched with arabinan and galactose side chains. 232 Alkaline treatment leads to pectin decomposition, therefore, the resulting product cannot 233 be precipitated with alcohol, resulting reduced yields (Yeoh, Shi, & Langrish, 2008). 234 Organic acid/chelating agent extracted pectin is characterized by high molecular weight 235 and low DM. Because of their lower dissociation constant compared to mineral acids, 236 organic acids have lower hydrolyzing capacity. The RG-I content of pectin extracted by 237 organic acids often falls between pectins extracted by harsh mineral acids and by alkaline 238 conditions. 239

#### 240 3. Innovative extraction technology

### 241 3.1 Ultrasound extraction (UE)

Ultrasound refers to the sound waves with frequencies higher than 20 kHz, beyond the threshold of human auditory detection (from 16 Hz up to 16 kHz) and is mainly characterized by frequency (kHz range-MHz range) and wavelength (Koubaa, Rosello-Soto, Zlabur, Jambrak, Brncic, Grimi, et al., 2015). Its transmission depends on medium, such as solid, liquid or gas. The transmission process includes expansion (pulling molecules apart) and compression cycles (pushing molecules together). In liquid

medium, cavities grow and then collapse when the negative pressure exerted exceeds the 248 liquid's partial tensile strength. This process in which bubbles form, grow and collapse is 249 250 known as "cavitation". During phytochemical extraction, sound waves creates cavitation 251 bubbles near the tissue material, thus, breaking down the cell walls and causing enhanced 252 solvent entrance into the cells, thereby helping to release cell contents. This technique has been used for pectin extraction (Bayar, Bouallegue, Achour, Kriaa, Bougatef, & 253 Kammoun, 2017). UE has been used to extract pectin from Opuntia ficusindica cladodes 254 (Bayar, Bouallegue, Achour, Kriaa, Bougatef, & Kammoun, 2017), Artocarpus 255 heterophyllus fruit peels (Moorthy, Maran, Ilakya, Anitha, Sabarima, & Priya, 2017) 256 tomato waste (Grassino, Brncic, Vikic-Topic, Roca, Dent, & Brncic, 2016), orange peels 257 (Hosseini, Khodaiyan, Kazemi, & Najari, 2019) and industrial waste of Musa balbisiana 258 (Maran, Priya, Al-Dhabi, Ponmurugan, Moorthy, & Sivarajasekar, 2017). 259

Ultrasonic treatment disrupts the cellulose network (Yang, Wang, Hu, Xiao, & Wu, 2018), thus, the pectin yield obtained by combined enzymatic/ultrasonic method (31.1%) is about 1.5- to 3.5-times higher than those from separate enzymatic extraction (9.4%) or acid extraction (5.4%). In addition to increasing yields (Liew, Ngoh, Yusoff, & Teoh, 2016), sonication has an effect on pectin structure and the bioactive properties of the pectin (Wang, Ma, Jiang, Hu, Zhi, Chen, et al., 2016; Zheng, Zeng, Kan, & Zhang, 2018).

Sonochemistry severely degrades pectin microstructure, and this degradation mainly 266 occurs in the RG-I side chain and HG backbone. Pectin extracted using UE under 267 0.41W/mL, 60 °C for 28 min in water contained 41% RG-I content (Ma, Wang, Chen, 268 Ismail, Wang, Lv, et al., 2018; Wang, et al., 2016). Increased sonochemical treatment 269 leads to decreased molecular weight and a narrower molecular weight distribution for 270 extracted pectin. As the ultrasonic time increases, the decline rate in molecular weight 271 slows down, indicating the acoustic cavitation has a debranching action with less impact 272 on the main backbone structure in pectin. If ultrasonic time is relatively short, there still 273

will be long side chain fragments in the molecule (Ogutu & Mu, 2017; Wang, et al., 274 2016). After ultrasound treatment, the molar ratio of GalA/(Fuc + Rha + GlcA + Ara + 275 Gal + Xyl) decreases demonstrating degradation of HG compared to RG-I. The 276 proportion of RG-I in the remaining molecular fragments are higher (Wang, et al., 2017), 277 suggesting sonication enriches the pectin extract with RG-I. Ultrasonic waves can break 278 the covalent bond between pectin and the non-pectic polysaccharides, thereby improving 279 pectin purity (Wang, et al., 2017). The DM of pectin is also reduced because the ester 280 functional group is more susceptible to sonochemical effects, while the DA remains 281 substantially unchanged. Additionally, Fenton processes are a highly efficient method for 282 extracting RG-I enriched ultra-low molecular weight pectin. Combined treatment with 283 ultrasound and Fenton reagent at low temperature improve the proportion of pectin RG-I 284 from 36% to 79%, degrades pectin to 5.2 KD and accelerates the degradation process so 285 it takes place within 35 min (Zhi, Chen, Li, Wang, Huang, Liu, et al., 2017). An 286 ultrasound-accelerated metal-free Fenton chemistry, relying on H<sub>2</sub>O<sub>2</sub>/ascorbic acid, was 287 used to develop an ultrafast approach to prepare RG-I enriched low molecular weight 288 pectic polysaccharide (Li et al., 2019). The ultrasound was shown to enhance the 289 efficiency of H<sub>2</sub>O<sub>2</sub>/ascorbic acid system for pectin degradation (from 791 kDa to 7.9 kDa 290 within 60 min) through both chemical effects (increased the hydroxyl radicals amount 291 and lowered activation energy of H<sub>2</sub>O<sub>2</sub> decomposition) and mechanical effects 292 (disaggregated polysaccharide clusters). More importantly, it revealed that free radicals 293 preferentially act on the GalA backbone in the HG region while maintaining the RG-I 294 region, the highest RG-I content of resulting fragments reached 93.7%. Ultrasound has 295 been used to assist pectin modification (Ma, et al., 2018; Zhi, et al., 2017) decrease pectin 296 molecular weight efficiently and highly enrich RG-I domains, inducing higher contents of 297 galactose-containing pharmacophores in modified pectin, therefore, enhancing the 298 bioactivity of pectin (Ma, et al., 2018). 299

Ultrasonic approaches have potential in processing and modification of RG-I

300

enriched pectin using alkaline solvent, combined with Fenton process and is promising 301 302 for extracting RG-I enriched ultra-low molecular weight pectins.Pectin extracted by UE 303 often with high purity and low DM (Table 2). UE also enables higher efficiency, lower energy consumption, reducing the use of chemical reagents, selective extraction, faster 304 activation, and lower extraction temperatures (Chemat, Rombaut, Sicaire, Meullemiestre, 305 Fabiano-Tixier, & Abert-Vian, 2017). However, there is poor uniformity of ultrasound 306 waves reaching dispersed sample because the ultrasound intensity decreases with distance 307 from the emitter, leading to poor pectin uniformity and variation between batches (Wang 308 & Weller, 2006). 309

#### **310 3.2 Enzyme-assisted extraction (EAE)**

Pectin, cellulose, hemicellulose and protein interact with each other, resulting in the 311 entangled network of the plant cell wall. The cellulose/xyloglucan network is embedded 312 in a matrix of pectin along with a protein network (Panouille, Thibault, & Bonnin, 2006). 313 Enzymes catalyzing hydrolysis have selectivity that either reduces the amount of 314 315 solvent/chemical needed or increase the yield for the same amount of solvent. Enzymes 316 work either to degrade pectin or deconstruct plant cell wall to isolate pectin, which 317 facilitates the pectin extraction process. Through the hydrolysis of cellulose or 318 hemicelluloses, pectin trapped within the cellulose matrix can be released. The most used 319 commonly enzymes during pectin extraction process include cellulase, 320 hemicellulase, protease,  $\alpha$ -amylase, pectin methyl esterase, endopolygalacturonase, 321 β-glucosidase (Khodaei & Karboune, 2013; Khan, Nakkeeran, & Umesh-Kumar, 2013)

Potato cell wall is potentially a rich RG-I pectin source. The effects of reaction parameters of endo-PG–catalyzed isolation of potato cell wall RG-I and their interactions by response surface methodology (RSM) have been investigated (Khodaei, Fernandez, Fliss, & Karboune, 2016; Khodaei & Karboune, 2013). The cell wall concentration and amount of enzyme are the most significant parameters affecting pectin yield, Gal and Ara

content. Under optimal conditions, 0.42 mg of cell wall material /ml buffer and 181 units 327 of endo-PG /g cell wall material, RG-I enriched (90% RG-I proportion) pectin with high 328 329 Gal content (72%) was recovered from potato cell wall. Enzymatic treatment leads to recovery of intact RG-I with higher molecular weight. The effect of combined 330 physical/enzymatic treatments on the physical-chemical properties of pectin extracted 331 from Yuza pomace were compared with chemically-extracted pectin (Lim, Yoo, Ko, & 332 Lee, 2012). Pectin of low methoxyl content and reduced viscosity that contained 55% 333 galacturonic acid was recovered with an extraction yield (7.3%) without additional 334 chemical agents, whose yield was comparable with chemical extraction (8.0%) (Table 3). 335 However, the RG-I region was not elevated (17.1%) because the  $\beta$ -glucanase used mainly 336 focus on the cellulose hydrolysis. 337

Contrasts have been drawn between EAE and conventional extraction methods. 338 Enzymatic, water, and acid extraction of pectin from kiwifruit pomace has been 339 compared by evaluating their neutral sugar composition, pectin yield, GalA content, 340 molar mass, viscosity and degree of branching (Munoz, Almagro, 2017). Pectin extracted 341 1.5L (including cellulases, polygalacturonase, pectin lyase and 342 with Celluclast rhamnogalacturonan lyase), conducted at 25 °C (pH 3.70) for 30 min, showed the highest 343 yield (~4.5% w/w) when compared to the yield of water-based and acidic extraction 344 methods (~3.6-3.8% w/w). Hydrolysis of cellulose leads to the release of pectin trapped 345 within the cellulose matrix. Enzymatically extracted pectin has lowest degree of 346 347 branching (a side chain is carried by one of every 50 GalA residues) compared to pectin from acid and water extraction methods (a side chain is carried by one of every 48 and 45 348 GalA residues, respectively), owing to possible side chains hydrolysis caused by the 349 rhamnogalacturonan lyase. EAE and three conventional pectin extraction methods using 350 green tea leaf (GTL) as a model material were compared to obtain high yield leaf pectin 351 with better viscosity and gelling properties (Zhang et al., 2020). Compared to hot water, 352 acid, or FoodPro® CBL, Viscozyme® L and alkaline conditions can effectively extract 353

GLT pectin with a yield of 8.5% and 9.2%, respectively. Viscozyme® L extract had high 354 contents of RG-I and RG-II pectin with some hydrolyzed side chains (Table 3), thus, 355 exhibiting poor viscosity and no gelling properties. FoodPro® CBL extract had similar 356 properties to that of hydrothermal extract, which has higher HG content. RG-I pectin is 357 only located in primary cell wall, while HG pectin locates in both lamella layer and 358 primary cell walls (Mualikrishna & Tharanathan, 1994). Viscozyme® L, a multi-enzyme 359 complex containing a wide range of carbohydrases, can degrade the cell wall more 360 thoroughly than FoodPro® CBL, therefore releasing more RG-I pectin. EAE and 361 conventional acid extraction of apple pomace were also compared (Wikiera, Mika, & 362 Grabacka, 2015). Celluclast 1.5L, at concentration ranging from 25-70 µL per 1 g, was 363 used to treat apple pomace for 18 h at 50 °C pH 4.5, while acid extraction with sulfuric 364 acid performed at 85 °C for 3 h. Even the lowest concentration of Celluclast 1.5L resulted 365 in 15.3% recovery of pectin significantly less contaminated with glucose, however, this 366 pectin was richer in arabinose and fucose, typical of RG-I and RG-II fractions, 367 respectively. In an earlier report (Yoo, Mika, & Grabacka, 2015a), three different 368 commercially available enzymatic preparations (Celluclast, Econase and Viscoferm) were 369 used to extract pectin from apple pomace, resulting in pectins rich in HG 370 (55.59%-61.49%). Celluclast extraction afforded higher yield (19%) than Viscoferm 371 (18%) and Econase (12%) extractions. In addition, pectin recovered by Celluclast 372 extraction was higher in neutral sugar content (Celluclast 17% vs Econase 13%, 373 Viscoferm 17%). Xylanase and cellulase also promote plant cell wall degradation, 374 enhancing extraction effectiveness. 375

The enzyme-assisted extracted pectin structure differs greatly based on the plant materials and enzymes that are used. RG-I enriched pectin is recovered in high purity because of the specificity of enzymatic hydrolysis, although longer reaction times (18-30 h) and low substrate concentrations (0.04-1%, w/v) are required (Khodaei, Karboune, & Orsat, 2016). EAE affords a number of advantages including oriented extraction of high purity of extract; elimination of harsh extraction conditions with reduced equipment corrosion; some specific pre-treatments (e.g., the removal of sugars and color pigments) are eliminated. There are also some drawbacks, currently, available enzymes cannot completely hydrolyze plant cell walls, therefore limiting high yield pectin extraction. In addition, the low concentration of substrate make scale-up of the extraction process difficult (Khodaei, Karboune, & Orsat, 2016)

#### **387 3.3** Subcritical water extraction (SWE)

Sub/supercritical extraction relies on distinctive states of a solvent achieved when 388 subjected to a pressure and temperature conditions below/beyond a critical point (a 389 pressure and temperature for which the gas and liquid phases do not exist). Subcritical 390 water has unique properties: the hydrogen bond between water molecules weakens as the 391 temperature increasing, and the dielectric constant can change in a great range. The ion 392 product of water (K<sub>w</sub>) dramatically increases as the temperature increases to 270 °C 393 (Marshall & Franck, 1981). Therefore, subcritical water is effective for the extraction of 394 both polar and non-polar compounds, including cellulose, essential oils (Carr, 395 396 Mammucari, & Foster, 2011), and pectin extraction from citrus peels (Tanaka, Takamizu, 397 Hoshino, Sasaki, & Goto, 2012; Ueno, Tanaka, Hosino, Sasaki, & Goto, 2008) (Fig. 2).

398 Subcritical water extraction of pectin has been applied to apple pomace and citrus peels and the effect of temperature on pectin properties has been investigated (Wang & 399 Lu, 2014). During SWE, side sugar chains of recovered pectin increased (Table 4) while 400 401 the protein content decreased with increasing temperature higher than 130 °C. The apple pomace pectin possibly had more proportion of hairy regions and side chains, owing to 402 slightly higher ratio of Rha/GalA (indicating relative RG-I backbone abundance) and 403 (Gal+Ara)/Rha (indicating neutral sugar sides chains abundance) compared to that of 404 citrus pectin. Besides, the Gal/Ara was higher with temperature increases for both citrus 405 and apple pomace pectin, indicating the stronger resistance to high temperature of Gal 406

compared to Ara (Table 4). The protein content of pectin was significantly lower than 407 pectin extracted by conventional method owing to protein degradation caused by 408 409 subcritical water, it was firstly increased from 1.01% to 2.09% when temperature increasing from 100 °C to 120 °C, then decreased to 0.24% when temperature increasing 410 to 170 °C. Therefore, the protein was first separated and hydrolyzed from raw material 411 while the degradation was not severe at relative lower temperature. Because protein either 412 linked to pectin or existed in free form (Garna et al., 2007), the decrease of protein with 413 temperature increase indicates that pectin interacts less with proteins in subcritical water. 414 The high DE (68.9%-71.9%) of extracted pectin demonstrates probably unesterified 415 and/or low esterified pectin was hydrolyzed during extraction. This is in contrast to 416 previous reports (Liew, Teoh, Tan, Yusoff, & Ngoh, 2018) that pectin was recovered from 417 pomelo peels through dynamic subcritical water extraction has low DE (38.2%). These 418 conflicting results are mainly due to different temperatures and times used by these two 419 researchers with the former relying on 140 °C, 5 min and the latter relying on 120 °C, 140 420 min. Therefore, exposure time in high temperature may be an important factor for 421 demethylation. In another study (Ueno, Tanaka, Hosino, Sasaki, & Goto, 2008), pectin 422 was separated from the flavedo of citrus junos using a semi-continuous flow reactor. The 423 influence of flow rate and temperature on pectin extraction was then investigated. Pectin 424 was rapidly extracted at 160 °C at 20 MPa with flow rates of 7.0 mL/min, during which 425 there was no decomposition of HG. During the extraction process, potassium was eluted, 426 reflecting the initial destruction of the cell wall and membrane by the subcritical water 427 followed by pectin extraction. In a subsequent study (Tanaka, Takamizu, Hoshino, Sasaki, 428 & Goto, 2012), a wider temperature range of 160-320 °C was tested and the fraction 429 collected at 160 °C contained mostly HG enriched pectin. 430

The extraction process for apple pomace pectin extraction using SWE has been optimized (Wang & Lu, 2014). The physicochemical and functional properties of the resulting pectin were compared with the commercial apple pomace pectin. Under the

optimum conditions, an extraction temperature of 140 °C, an extraction time of 5 min, and a S:W ratio of 1:14, the resulting pectin has higher neutral sugar contents and lower molecular weight, GalA content, and DM than commercial apple pectin, which is mainly attributed to the hydrolysis of pectin's backbone chain. Interestingly, the amount of Ara in RG-I was lower due to the hydrolysis and degradation, which can be ascribed to other biomass hydrolysis in subcritical water (Lu, Yamauchi, Phaiboonsilpa, & Saka, 2009).

SWE can be used to extract oligosaccharides (DP>7) having HG as its main 440 component (65% of GalA) directly from the passion peels at 150 °C within 4.5 min or 441 175 °C within 5.5 min (Klinchongkon, Khuwijitjaru, Wiboonsirikul, & Adachi, 2017). 442 Under harsher conditions (hotter, longer time), subcritical water results in pectin 443 hydrolysis into oligosaccharides that can be recovered. A comprehensive investigation of 444 how temperature, water flow rate and pressure effects on pectin extraction efficiency has 445 been described (Hoshino, 2014). SWE effectively enables the separation of pectin and 446 cellulose or hemicellulose. At 120 °C, commercial pectin product with high molecular 447 weight (635 kDa) can be obtained, while at 140 °C or higher, lower molecular weight 448 (12-15 kDa) pectin is extracted having improved biological activity. At a range from 449 120-140 °C and 4-30 MPa, pectin yield and purity is the highest. Correctly controlling the 450 extraction temperature during sub-critical extraction can result in pectins of higher purity 451 with desirable properties. 452

Pectin obtained by SWE at high temperature (set value often higher than 100 °C) is enriched in GalA, lacks RG-I, has a high DM, a low molecular weight and is obtained in relatively lower yield among innovative extraction methods (Table 4). Pectin yields are lower as pectin is decomposed into monosaccharides or small molecules under longer times at higher temperatures. The most outstanding advantage of SWE, is the elimination of required chemical co-solvents and, another advantage is the higher quality of extracts and shorter process times (Curren & King, 2001). In addition, its GRAS status makes subcritical water an ideal pectin extraction processes for pharmaceutical and nutritional applications, particularly for the extensive use of pectins in drug delivery applications (Nova, Nothnagel, Thurn, Travassos, Herculano, Bittencourt, et al., 2019). However, improper control of process conditions leads to pectin chain hydrolysis, therefore, resulting in poor quality and low yields (Khajavi, Kimura, Oomori, Matsuno, & Adachi, 2005).

#### 466 **3.4 Dielectric barrier discharge plasma extraction (DBD)**

The past few decades have witnessed increased interests in the application of 467 non-thermal plasma extraction in food processing. Dielectric barrier discharge (DBD) 468 plasma, a kind of non-thermal plasma, has been widely used in enzyme inactivation or 469 microbiological decontamination during the food processing (Fig. 3). DBD is able to 470 break down specific bonds for the destruction of the secondary structure or to realize 471 chemical modifications of side chains through the action of the myriad of chemically 472 active species constituting the plasma (Misra, Pankaj, Segat, & Ishikawa, 2016). DBD 473 474 can also be used to degrade biomacromolecules including the chitosan, protein and polysaccharides (Hou, Dong, Yu, Li, Ren, Zhang, et al., 2008). High-energy electron 475 476 produced by DBD colloids into water molecule, producing hydroxyl free radical, which 477 attacks on the pectin chains and degrade the pectin into lower molecule.

RSM has been used to optimize the pectin extraction conditions from pokan peel 478 using DBD (Zhang, 2014). A maximum yield of pectin (27%) can be efficiently obtained 479 under the following conditions, input voltage of 40 V,pH 2, 5.5 min and S/L 1:30 (g/mL). 480 However, longer extraction times (>5.5 min) or extreme high voltage above 40V reduce 481 recovery and pectin yield, as pectin degradation occurs during longer exposure to plasma 482 or extreme high energy throughout the system. DBD treatment was then optimized to 483 degrade pectin, and it contributes mainly to break HG region, slightly degrade side chins 484 in RG-I region. The pectin had lower linearity and contains much higher RG-I content of 485

71.3% compared to 36.5% of the original one, while the (GalA+Ara)/Rha ratio was 486 slightly decreased to 1.4 compared to the original 2.4. In addition, the DE was lowered to 487 37.3% from 54.7%. The oxidative cleavage induced by DBD plasma selectively focuses 488 on break down of GalA attacking the HG region but retain the RG-I domain intact. In 489 addition, high input voltage is beneficial to RG-I enriched pectin with low molecule 490 weight preparation because it produces enhanced electric field intensity which enables 491 more high-energy electron colliding into water molecule to produce much more hydroxyl 492 free radical. However, the specific mechanism of this break down still awaits further 493 494 exploration.

The application of DBD plasma for pectin extraction has not attracted much 495 attention, thus, there is limited research on this topic. The most interesting aspect of 496 oxidative degradation by DBD plasma is its selectivity HG domains and its preservation 497 of RG-I domains. DBD plasma degradation requires low energy consumption and can be 498 used without additional chemical agents. Therefore, it is considered a very promising 499 method for the recovery of RG-I enriched pectin from plant materials. However, some 500 shortcomings restricting practical application of DBD plasma need to be addressed such 501 as the high cost and short life time of the plasma power supply and the change of 502 physicochemical properties in the remediation process. 503

#### 504 3.5 Microwave-assisted extraction (MAE)

Microwaves have been used as processing tool and have played a crucial role in the 505 506 food science and technology. Microwaves can be industrially used for: i) microwave-assisted extraction (MAE); ii) drying of foodstuffs; and iii) enzyme inhibition 507 and inactivation, and microorganism inactivation (Dehghannya, Farshad, & Khakbaz 508 Heshmati, 2018). It is used as auxiliary method combining with chemical solvent to 509 extract bioactive compounds such as pectin, polyphenols, essential oils from food 510 residues (Rashed, Ghaleb, Li, Nagi, Hua-wei, Wen-you, et al., 2018). MAE process is 511

512	efficient and requires small amounts of solvent. No temperature gradient results as is
513	commonly observed in conventional heating, and the temperature distribution within the
514	solvent is homogeneous, ensuring uniform pectin quality (Bagherian, Ashtiani,
515	Fouladitajar, & Mohtashamy, 2011). The energy of these waves produced by irradiation
516	of microwave leads molecules to vibrate and enhances their separation. The elaborate
517	mechanism of microwave extraction is described in earlier reviews (Adetunji, Adekunle,
518	Orsat & Raghavan 2017: Marić Grassino Zhu Barha Brnčić M & Brnčić R 2018)

MAE combined with acid solvent have been extensively studied. Pumpkin powder 519 has been microwave-extracted at 120 °C for 3 min, resulting in doubling of pectin yield 520 without loss of pectin quality (Yoo, Lee, Bae, et al., 2012), representing an advance over 521 acid extraction discovered. The yield, GalA content, and DE of extracted pectin increases 522 with increased microwave power and heating times (Bagherian, Ashtiani, Fouladitajar, & 523 Mohtashamy, 2011). In addition, molecular weight is reduced as heating time or power is 524 increased and the impact of power is dominant. Under optimum conditions microwave 525 power of 700W; irradiation time of 165 s; pH value 1.5; a high yield (18.13%) of 526 pistachio green hull pectin can be achieved (Kazemi, Khodaiyan, Labbafi, Hosseini, & 527 Hojjati, 2019). The resulting pectin has low DE  $(12.1 \pm 2.72\%)$  and molecular weight 528 (1.659 kg/mol), and a high percentage of HG (64%) and it was less linear than grapefruit 529 peel pectin extracted using conventional means. Additionally, followed by irradiation 530 531 time and microwave power, pH is the pivotal factor impacting pectin DE. The reduction of DE in under stringent conditions (low pH, high microwave power, and long irradiation 532 times), is probably because of de-esterification of galacturonic acid chains (Pasandide, 533 Khodaiyan, Mousavi, & Hosseini, 2017). 534

535 MAE extraction under mild condition is gaining increasing attention. Microwave 536 combined with alkali has been used to extract galactan-rich RG-I enriched pectin from 537 potato pulp (Khodaei, Karboune, & Orsat, 2016; Ueno, Tanaka, Hosino, Sasaki, & Goto,

2008). The influence of different extraction parameters on pectin yield and the structural 538 properties of pectin were studied. A trade-off made between the multifaceted impact of 539 540 high KOH concentration/solid to liquid (S/L) ratio and low power/extraction time was 541 crucial to the efficient extraction of galactan-rich RG-I and the limitation of branching. 542 Optimum conditions were: S/L ratio of 2.9% (w/v) with 1.5 M KOH, microwave power 36.0 W, for 2.0 min, and afforded a maximum yield of intact galactan-rich RG-I of 21.6% 543 and productivity of 192.0 g/L. The increase of S/L and microwave power accelerated the 544 physical rupture of cell wall increasing the concentration of arabinan released into 545 the liquid phase, while Rha content is mainly impacted by concentration of KOH and the 546 power applied. With increased power and KOH concentration, the RG-I backbone will be 547 hydrolyzed. For MAE sugar beet pectin, the neutral monosaccharide recovery order was 548 Ara > Rha > Gal > Glc > Xyl > Fuc (Fishman, Chau, & Cooke, 2009). Simultaneous 549 550 extraction of citrus pectin and essential oils from waste orange and lemon peel using only water as dispersing medium and microwave as energy source was examined (Fidalgo, 551 Ciriminna, Carnaroglio, Tamburino, Cravotto, Grillo, et al., 2016). DE and HG content 552 depend mostly on the plant source and the extraction procedure, respectively. Fresh 553 lemon derived pectin has a lower DE compared to fresh orange derived pectin. Pectin 554 containing HG regions, recovered by microwave-assisted hydrodiffusion was higher in 555 RG-I content, while this trend was reversed under hydrodistilation. HG region organizes 556 more easily; resulting in aggregated structures, while the lateral chains of RG-I regions 557 hinders aggregation, yielding more filamentous structures. Generally, microwave-assisted 558 pectin extraction under alkaline conditions features higher RG-I and neutral sugar, and 559 lower molecular weight, which is opposite to the properties of pectin extracted with HCl 560 or water. Since some plant materials are good sources of for highly branched structures 561 consisting of neutral sugars, the use of milder extraction solvents is promising for the 562 recovery of RG-I enriched pectin. 563

### **3.6 High pressure processing extraction (HPE)**

565 Ultrahigh pressure consists of pressure boost stage, pressure maintaining stage and pressure relief stage (Fig. 4) (Huang, Hsu, Yang, & Wang, 2013; Jolie, Christiaens, Roeck, 566 Fraeye, Houben, Buggenhout, et al., 2012). In the first stage, the pressure outside rises 567 quickly, usually in a couple of seconds, from atmospheric pressure accelerating cell wall 568 breakage and solvent permeation. The pressure is then maintained at a certain level for 569 some time to improve recovery yield. Finally, the pressure is returned to atmospheric 570 pressure in the relief stage. The intracellular pressure drops sharply from ultrahigh 571 pressure to atmospheric pressure causing the cells tends to expand, and some 572 non-covalent bonds are broken and the 3D structure of molecules is opened, leading 573 active ingredients to better combine with the extracting solvent. Shorter pressure relief 574 times induce greater impact force, resulting in a higher extraction rate, reducing 575 extracting time and improving efficiency with low energy consumption (Huang, Hsu, 576 577 Yang, & Wang, 2013).

High pressure causes partial side chain degradation without destroying primary 578 structure. The molecular weight change depends on the pressure particularly at levels of 579 580 250 Mpa to 550 Mpa (Peng, Mu, Zhang, Sun, Chen, & Yu, 2016). High hydrostatic 581 pressure does not impact molecular weight but high pressure homogenization leads to 582 significant molecular weight decrease, caused by the strong mechanical forces (Xie, 583 Zhang, Lan, Gong, Wu, & Wang, 2018). Under high pressure, the size of a molecule 584 becomes larger and the microstructure becomes looser. The filaments become slender, the blocks tend to shatter, and the overall density becomes reduced. High-pressure treatments 585 of 200 MPa, at 25 °C for 5 min, affords pectin richer in RG-I (42%) than the untreated 586 original pectin sample RG-I (36%), based on monosaccharide analysis, and AFM analysis 587 showed side chains degradation of the pectin (Xie, Zhang, Lan, Gong, Wu, & Wang, 588 2018). 589

HHP shows de-esterification because the C-O ester bond is sensitive to mechanical 590 force (Xie, Zhang, Lan, Gong, Wu, & Wang, 2018). A high-pressure enzymatic process 591 reduced DE by half in 15 min compared to 120 min in a normal process (Zhao, Guo, 592 Pang, Gao, Liao, & Wu, 2015). HHP has a different impact on viscosity and rheology. 593 High pressure can change the viscoelastic characteristics of pectin with a reduction in 594 viscosity but an increase in elasticity (Zhang, Xie, Lan, Gong, & Wang, 2018). The pectin 595 of high-pressure enzymatic extraction performed better in viscosity and gelling ability, 596 which is probably the result of its methoxyl content (Zhao, Guo, Pang, Gao, Liao, & Wu, 597 2015). Moreover, under high pressure, enzymatic hydrolysis greatly increases because 598 pectin's structure is open under high pressure making it more accessible to enzymatic 600 599 reactions (Guo, Han, Xi, Rao, Liao, Hu, et al., 2012), but this high pressure treatment 601 does not change the molecular structure and viscosity of the pectin product (Naghshineh, 602 Olsen, & Georgiou, 2013).

In summary, pectin recovered from HPE has a comparable content of HG and RG-I 604 (RG-I content was a little higher than conventional acid extraction) with slightly 605 degraded neutral side chains, and decreased molecular weight and DE. If operated at 606 room temperature, the pectin side chains can be slightly protected since they have low 607 thermal stability. High pressure combined with enzyme treatment is best for efficient 608 pectin extraction. There is still no research studying the combination of proper enzyme 609 selection or mild solvent conditions in HPE extraction of pectin. Because of the 610 protection of RG-I by milder extraction conditions, the combined use of HPE with 611 alkaline solvent to enrich RG-I should be feasible.

#### 612 **4. Hybrid extraction methods**

An increasing trend has seen a synergistic use of two or more innovative 614 technologies during the pectin extraction. For example, ultrasound-subcritical water 615 enhancement (Chen, Fu, & Luo, 2015), microwave-ultrasound enhancement (Liew, Ngoh,

616 Yusoff, & Teoh, 2016), ultrasound-enzyme enhancement (Nadar & Rathod, 2017), were 617 used for the pectin extraction. The ultrasound can enhance the mass transfer while 618 microwave enhance heat transfer during extraction process.

619 Pectin-enriched material from sugar beet pulp was extracted using subcritical water 620 combined with ultrasonic-assisted treatment (Chen, Fu, & Luo, 2015). The extract pectin 621 (with 54.6% HG region and 35.9% RG-I) contained much more neutral side chains and 622 Rha (4.5%) compared to pectin (Rha content of 0.4%-0.7%) extracted by merely 623 sub-critical water. The maximum yield (24.63%) was attained under the optimum 624 reaction conditions: L/S ratio 44.03, extraction pressure 10.70 MPa and extraction time 625 30.49 min. The lower Mw and higher neutral sugar (30.9%-68.2%) illustrate the 626 ultrasonic pretreatment could attack on the backbone of pectin's HG region. It's important 627 to optimize and standardize the combination of two or more particular innovative 628 extraction technologies to enable the selective recovery of pectin. Pectin extracted from 629 pomelo peel using sequential ultrasound-microwave (UMAE) assisted extraction method 630 has the highest yield (36.3%) and lowest DE value (59.8%) compared with UAE (yield 631 14.3%, DE 64.4%), MAE (yield 27.7%, DE 64.1%) and microwave-ultrasound assisted 632 extraction (yield 30.5%, DE 67.0%). Besides, pH has the most significant impact on 633 pectin yield while microwave power for DE.(Liew, Ngoh, & Yusoff, 2016). The 634 hemicellulase was combined with ultrasound for pectin extraction from discarded carrots. 635 The highest yield was 27.1% compared to that of merely using cellulase (12.4%) that per 636 se help to release the pectin from cellulase matrix. The extract pectin has low DE 637 (24.0-49.9%) with gelling capacity (Encalada et al., 2019).

Although the hybrid extraction has been proven to enhance pectin yield, few studieshave clarified their effects on the RG-I region, which need further research.

# 640 5. Comparison between conventional extraction and innovative extraction on 641 pectin structure

The fundamentals of conventional methods differ from innovative extractionmethods, leading to different pectin structure and disparate recovery yield.

Conventional extraction methods rely on various kinds of chemical additives reagent 644 645 in heated higher temperature to destroy the cell wall and release the pectin, with a pectin 646 recovery yield ranging from 0.6%-25.6%. During the extraction process, pectin structure 647 undergoes modification because of reaction with extractants. Pectin can be degraded 648 either by high temperature or harsh acid during acid extraction, and it undergoes a 649 saponification reaction during alkali extraction. Besides, the totally reverse stability of 650 GalA, GalA-GalA and Rha, Rha-GalA, GalA-Rha when facing with acid and alkali 651 solvents, determines whether the pectin is HG or RG-I enriched to great extent. The hot 652 water and acid extracted pectin is HG region dominant (52.9%-95.0%) with few neutral 653 side chains and high DE (21.5%-85.7%) while the alkali-extracted pectin is RG-I region 654 dominant (49.6%-82.5%) with neutral side chains in varying branching degrees and low 655 DE (~10%). A comprise needed to be made between having a more uniform quality with 656 higher RG-I content but low yield at high pH and having poor quality with higher HG 657 content but higher yield at a low pH. Therefore, selectively combining innovative 658 extraction methods with alkali/acid solvent for specific RG-I/HG enriched pectin 659 extraction enables higher efficiency and quantity production.

660 The innovative extraction methods leads to the cell structure changes by 661 electromagnetic, sound waves, high pressure or discharge plasma, different extraction 662 methods produce pectin with distinctive structure features, with enhanced yield varies 663 from 6.5% to 28.1%. UAE, DBD and EAE belong to the non-thermal relied methods, 664 while HPE, MAE and SWE are based on thermal technologies, are promising for HG or 665 RG-I enriched pectin efficient recovery respectively. The RG-I content of pectin obtained

666 by non-thermal based methods ranges from 38.3% to 90.3%, while the GalA content of 667 pectin extracted by thermal based methods varies from 20.7% to 85.7%. The free radical 668 polymerization and oxidative degradation respectively caused by ultrasound treatment 669 and DBD plasma both tend to attack GalA units in HG region and protect RG-I region 670 relatively. Among thermal based extraction methods, subcritical water extracted pectin 671 has the lowest RG-I content (Rha content of 0.5%-0.6%), while pectin obtained by MAE 672 and HHP has comparative HG and RG-I region content, which varies as acid or alkali 673 solvent used. control of SWE especially 674 temperature and accurate extraction condition Besides. time is vital for uniformity quality and good yield of pectin. Even minor 675 change between 120 °C to 140 °C for different time exerts influence on pectin structure 676 and DM.

#### 677 6. Conclusion and perspectives

Recent research has extended our understanding of the relationship between pectin 679 678 source, processes and the extraction of specific structures and functionality in recovered 680 pectins. Acid, subcritical water or microwave treatment at high temperature are suitable 681 for HG enriched pectin extraction while alkaline extraction under reduced temperature 682 can be used to isolate intact RG-I domains. However, extraction of RG-I enriched pectin 683 is enhanced by the use of multiple innovative extraction methods for efficient recovery 684 and purity. This is particularly important for the emerging utilization of RG-I enriched 685 pectin and oligomers as prebiotics and immunomodulators, cardiovascular disease and 686 fibrosis treatment. The free radical inspired by ultrasound treatment and the oxidative 687 degradation of DBD plasma both selectively attack GalA units and high-pressure 688 treatment leads to the breakdown of C-O bonds and protect side chains of RG-I. 689 Moreover, enzyme extraction is specific and depends on the site of action of the selected 690 enzymes. Operating at low temperatures (25-60 °C), these technologies can be combined 691 with one another or with alkaline solvents, as promising methods for the targeted

692 recovery of RG-I enriched pectins.

However, considering the complexity of RG-I and few studies investigating the 694 influence of innovative technologies (especially ultrasound, DBD plasma) on structure, a 695 concrete mechanism of these needs further exploration. The content of Gal 696 pharmacophores, linear Ara, as well as RG-I side chains, is important for biological 697 activity. A combination of innovative technologies to control the proper ratios of Gal/Ara 698 and chain length warrants further study. There are a number of challenges and prospects.

#### 699 (a) Improvement and standardization of analytical methods for pectin refined structure

Pectins from plant materials have chemically diverse structural units as well as a 701 700 distribution of molecular masses. researchers wide thus, face challenging 702 chromatographic separations and complicated structural characterization studies. The 703 RG-I domain (%) is often defined based on the molar content of monosaccharide residues 704 and it changes with different analytical methods. A standardization of analytical 705 approaches is required for better accurate definition of RG-I.

#### 706 (b) Improvement of pure RG-I isolation

Intact pure RG-I region with specific sidechains is hard to isolate. Current studies on RG-I bioactivity are normally based on HG and RG-I mixtures. In addition, certain 709 proteins in the sidechains are hard to remove. Identification and isolation of new enzymes, 710 produced by bacteria through co-culture, are needed to selectively degrade galactans, 711 branched arabinans and RG-II backbones and may represent a promising way to isolate 712 pure RG-I domains (Martens, Lowe, Chiang, Pudlo, Wu, McNulty, et al., 2011; Ndeh, 713Rogowski, Cartmell, Luis, Basle, Gray, et al., 2017). (c) Targeted extraction of specific region (RG-I or HG) enriched pectins through the
 combined use of innovative technologies

Targeted recovery of pectins through the combined use of innovative technologies 717 represents a new trend in isolating the structural domains of pectins. This is significant 718 for production of pectin with specific structure considering distinct functionality of HG 719 and RG-I domain. Plant material and extraction technology selected both need to be 720 considered. Potatoes, ginseng, and citrus peels are all good sources of RG-I enriched 721 pectin (Gao, Zhi, Sun, Peng, Zhang, Xue, et al., 2013; Khodaei & Karboune, 2013; 722 Khodaei & Karboune, 2014; Zhang, Chen, Li, Yan, Ye, et al., 2018). Compared to citrus 723 peels, pectin from sugar beets has a higher DA, a larger neutral content sugar, a lower 724 molecular weight and less feruloyl groups (Li, Jia, Wei, & Liu, 2012). Mango peel pectin 725 has also been reported to exhibit low GalA and high neutral sugars (Nagel, Mix, Kuebler, 726 Bogner, Kienzle, Elstner, et al., 2015; Koubala, Kansci, Mbome, Crépeau, Thibault, & 727 Ralet, 2008).

Future research needs to focus on the combined application of innovative 729 non-thermal technologies (ultrasound, DBD plasma, enzyme) under mild alkaline 730 conditions to efficiently enrich the recovery of pectins with RG-I domains. Considering 731 difference in the resistance of Ara, Gal and Rha residues to hydrolysis, if limited Ara of 732 RG-I were desired, a pH > 2.1 but <7.0 should be used to selectively remove the Ara 733 while retaining Gal. For HG enriched pectin recovery, microwave or subcritical water 734 under high temperature (above 65 °C) and acid solvent represents a promising method.

735 (d) Further structure-function exploration

The linear Ara of RG-I pectin from sugar beet can better enhance the 737 immunostimulatory activity through the Syk kinase-dependent pathway better than 738 branched Ara, due to the increased particle formation by the alignment of debranched

739 linear arabinan (Meijerink, Rosch, Taverne, Venema, Gruppen, Schols, et al., 2018). 740 RG-I-4 isolated from ginseng pectin by endo-polygalacturonase hydrolysis and 741 combination of ion exchange and gel permeation chromatography has high 742 anti-galectin-3 activity (Gao, et al., 2013; Yu, Zhang, Li, Liu, Sun, Liu, et al., 2010). 743 Future studies need to focus on the specific domain or metabolic pathways in vivo to 744 better understand the role of specific domain of RG-I on immunomodulation, 745 anti-proliferation, and anti-cancer activity.

746

.... of RG-I on immunom concer activity.

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Table 1 Effect of conventional water-based extraction on RG-I fraction and structure of pectin from fruit and vegetable waste

Plant material	Treatment	Extraction solvent	Extraction conditions		HG	G RG-I	HG/RG-I	DM(%)	DA (%)	Yield (%)	Reference
					(%)	(%)					
Ponkan peel	CW	water	25	900	47.8	36.4	1.30	51.2	0.0	2.9	(Colodel, Vries
	HW	water	100	120	54.6	40.7	1.30	52.6	0.4	12.4	
	CLA	0.5% ammonium oxalate	25	240	43.7	53.8	0.80	34.1	0.2	7.2	
	OA	Citric acid, pH 2.5	70	30	22.9	72.0	0.30	38.4	0.2	0.6	
Oil-pumpkin	HW	distilled water	60	120	55.5	28.0	2.00	na	na	na	(Kostalova, Hro
	CLA	0.05M EDTA, pH 4	25	120	95.0	1.4	67.9	na	na	na	
	MA	0.003M HCl	60	30	82.0	6.8	12.1	na	na	na	
	AL	0.25M NaOH	35	60	38.3	49.6	0.90	na	na	na	
	AL	1.32M NaOH	60	60	5.7	39.3	0.15	na	na	na	
Orange peel	MA	0.5% HCl, pH 1.7	82	50	nc	nc	nc	70.8	2.2	2.9	(Yordan Georg
Citrus peel	MA	0.4% HCl, pH 3-4	28	40	45.6	44.0	1.04	56.0	nd	4.2	(Zhang et al., 2
	AL	0.6% NaOH, pH 6-7	32	10	8.6	82.5	0.10	10.0	nd	18.9	
Ponkan peel	MA	HNO3, pH 1.6	100	100	81.7	16.2	5.04	85.7	0.1	25.6	(Colodel, Vries
Citrus peel	OA	0.5M Citric acid, pH 7	65	120	19.9	57.5	0.35	8.4	na	7.4	(Kurita, Fujiwa
Citrus peel	CW	water	25	30	60.6	9.8	6.18	76.5	5.5	5.8	(Yapo, Lerouge
	CLA	1% w/v Potassium oxalate, pH 4.5	25	90	69.5	8.1	8.58	73.7	2.3	14.7	
	MA	0.05M HCl	85	90	52.9	20.2	2.62	65.1	3.0	27.3	
	AL	0.05M NaOH, pH 5	40	90	43.1	16.5	2.61	10.0	na	4.8	
Yuza pomace	OA	0.25% oxalic acid/ammonium oxalate, pH 4.6	85	60	71.2	10.7	6.65	41.0	na	8.0	(Lim, Yoo, Ko,
Orange peel	MA	Mild HNO3, pH 2.1	72	180	79.5	20.5	3.88	na	na	90.7#	(Kaya, Sousa, G
	MA	Harsh HNO <sub>3</sub> , pH 1.6	70	420	83.3	16.70	5.00	na	na	92.1#	
	OA	Mild citric acid, pH 4.6	85	90	79.9	20.10	3.98	na	na	85.3#	
	OA	Harsh citric acid, pH 3.5	72	150	80.4	19.60	4.10	na	na	92.9#	
Potato pulp	MA	Sulphuric acid, pH 2.04	90	60	35.1	60.77	0.58	26.68	10.51	8.38	(Yang, Mu, & I
	OA	Citric acid, pH 2.04	90	60	33.4	61.49	0.54	21.51	9.21	14.34	
	OA	Acetic acid, pH 2.04	90	60	28.5	65.03	0.44	37.45	15.38	4.08	
Kiwifruit pomace	OA	1% Citric acid, pH 2.2	50	60	80.6	12.96	6.22	na	na	3.83	(Yuliarti, Goh,
	CW	Water, pH 3.6	25	30	80.9	15.21	5.32	na	na	3.62	
Apple pomace	MA	Sulphuric acid, pH 2.0	85	180	55.5	11.90	4.67	56.10	7.20	8.2	(Wikiera, Mika
Grapefruit peel	MA	0.5M HCl, pH 1.5	80	90	60.9	32.11	1.87	69.03	3.65	na	(Wang et al., 20
Sisal waste	MA	HCl, pH 1.5	100	90	48.7	6.11	7.97	33.12	na	5.40	(Yang, Wang, I
Grapefruit peel	MA	HCl, pH 1.5	80	90	60.6	31.54	1.92	55.31	4.00	21.10	(Wang et al., 20

HW:Extraction using hot water; CW: Extraction using cold water; MA: Extraction using mineral acids; OA: Extraction using organic acids; AL: Extraction using alkaline solvent; CLA: Extraction using chelating agents DM, degree of methyl-esterification. DA, degree of acetylation

The molar percentage of homogalacturonan(HG) and rhamnogalacturonan of type I (RG-I) were calculated as the following formula:

HG (%)=GalA(mol%)-Rha (mol%)

 $RG-I(\%) \approx 2Rha(mol\%) + Ara(mol\%) + Gal(mol\%)$ 

nc: nc indicates that this value can not be calculated from the data given in the article.

na: na indicates that this index was not analyzed in the corresponding article.

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& Lee, 2012)

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Ma, 2018)

Matia-Merino, Mawson, & Brennan, 2015)

a, & Starzynska, 2015) 016) Hu, Xiao, & Wu, 2018) 017)

Plant material	Frequency Extraction conditions			HG(%)	G(%) RG-I(%)	HG/RG-I	Neutral sugar	DM(%)	DA(%)	Yield(%)	Reference	
/pectin material	/Power -		Time(min)	Solvent	110(70)	R0 I(/0)		(%)	Divi(70)	D11(70)	1666(70)	Kererenee
Grapefruit peel	0.41 W/mL	60	28	Water	49.16	41.09	1.20	42.64	58.78	3.98	na	(Wang et al., 2016)
Waste grapefruit peel	20 kHz	67	28	HCl, pH 1.5	54.73	38.31	1.43	39.14	65.37	3.86	23.49	(Wang et al., 2017)
Sisal waste	20 kHz	70	60	Ammonium oxalate	59.75	5.29	11.29	37.72	44.35	na	11.90	(Yang et al., 2018)
Citrus pectin	18 W/mL	20	30	Citric acid-phosphate,pH4	57.96	34.76	1.67	32.73	36.66	1.56	na	(Ma et al., 2018)
Sour orange peel	150W	30	10	Citric acid, pH 1.5	62.50	33.20	1.88	34.70	na	na	28.07	(Ma et al., 2016)
Citrus pectin	3.8 W/mL	30	5	Water, 6g/L H <sub>2</sub> O <sub>2</sub> ;0.5mM ferrous	6.02	79.07	0.08	70.62	30.35	3.77	na	(Zhi et al., 2017)
	3.8 W/mL	30	35	Water, 6g/L H <sub>2</sub> O <sub>2</sub> ;0.5mM ferrous	14.66	72.00	0.20	64.37	36.76	4.12	na	
Citrus pectin	11.4 W/mL	20	60	50 mM H <sub>2</sub> O <sub>2</sub> ; 10 mM ascorbic acid	4.77	91.77	0.05	82.69	na	na	na	(Li et al., 2019)
	11.4 W/mL	30	60	50 mM H <sub>2</sub> O <sub>2</sub> ; 10 mM ascorbic acid	2.27	92.60	0.03	84.57	na	na	na	
	11.4 W/mL	50	60	50 mM H <sub>2</sub> O <sub>2</sub> ; 10 mM ascorbic acid	0.90	93.70	0.01	85.64	na	na	na	

Table 2 Effects of ultrasound-assisted extraction or US treatment on RG-I fraction and structure of pectin from fruit and

vegeta	ble	W	as	te
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Plant material	Enzyme	Extraction conditions		Extraction conditions		Extraction conditions		Extraction conditions		Extraction conditions		RG-I(%)	HG/RG-I	Neutral sugar (%)	Gal(%)	Ara(%)	DM (%)	Yield (%)	Reference
			Time, h	pН	-														
Yuza pomace	fungal β-glucanase	40	1	nm	53.1	17.1	3.10	17.6	4.3	10.0	46.3	7.3	(Lim et al., 2012)						
Potato pulp	Endo-PG	35	24	nm	25.7	73.2	0.35	61.7	55.0	11.2	na	37.9#	(Khodaei & Karboune, 2013)						
Potato pulp	Endo-PG	35	30.4	nm	6.00	90.3	0.07	79.7	71.8	7.9	na	9.5#	(Khodaei & Karboune, 2014)						
	Endo-PG	35	12	nm	14.00	85.2	0.16	82.8	81.2	1.6	na	63.9#							
Gold kiwifruit	Celluclast 1.5L	25	0.5	nm	82.91	14.15	5.86	15.27	6.86	3.87	na	4.48	(Yuliarti et al., 2015)						
Apple pomace	Celluclast 1.5L	50	18	4.5	60.70	15.4	3.94	35.4	4.9	8.3	57.3	15.48	(Wikiera, Mika, & Starzynska, 2015)						
Apple pomace	Celluclast	40	3	4.5	55.59	10.51	5.29	16.76	2.42	6.15	na	18.95	(Wikiera, Mika, & Grabacka, 2015)						
	Econase	40	3	4.5	58.86	8.31	7.08	13.35	2.08	4.28	na	11.78							
	Viscoferm	40	3	4.5	61.49	10.06	6.11	16.64	2.78	5.56	na	17.86							
Sisal waste	Celluclast 1.5L	50	20	4	54.02	5.47	9.88	26.67	0.15	0.06	48.11	9.40	(Yang et al., 2018)						
Citrus pectin	Pectinase	50	30	4	47.33	44.10	1.07	41.47	11.20	4.76	56.98	1.58	(Ma et al., 2018)						
Citrus pectin(US-pre)	Pectinase	50	30	4	42.70	46.91	0.91	45.63	11.67	4.12	39.60	1.56	(Ma et al., 2018)						
Green tea leaf	Viscozyme <sup>®</sup> L	30	3	4.5	nc	nc	nc	56.3*	19.14*	9.46*	22.4	8.5	(Zhang et al., 2020)						
	FoodPro® CBL	30	3	4.5	nc	nc	nc	25.4*	3.45*	5.20*	40.9	5.1							

Table 3 Effects of enzyme-assisted extraction on RG-I fraction and structure of pectin from fruit and vegetable waste

Endo-PG (Endopolygalacturonase)

Celluclast 1.5L (cellulases, polygalacturonase, pectin lyase and rhamnogalacturonan lyase); Viscozyme<sup>®</sup> L (Multi-enzyme complex containing a wide range of carbohydrases, including arabanase, cellulase,  $\beta$ -glucanase, hemicellulase, and xylanase); FoodPro<sup>®</sup> CBL (mainly contains cellulase)

<sup>#</sup> Yield was expressed the weight percentage of extract to the cell wall weight.

\* Monosaccharides content was expressed the mass ratio instead of molar ratio

nm: nm indicates that this condition was not mentioned in the article.

Diant material	Dowor (W)	Solvent	Extraction c	conditions	$C_{a1}(0/)$	Rha (%)	Gal+Ara	HG (%)	RG-I (%)		рм
Plant material	Power (w)	Solvelli		min	- GalA(%)					110/ KU-1	DM
microwave											
Polemo peel	1100	Water	Heating	2	70*	1.5*	24.3*	nc	nc	nc	29.7
	1100	200mM HCl, pH 1.0	Heating	2	82.2*	0.6*	13.7*	nc	nc	nc	82.5
	1100	50mM NaOH, pH 12.1	Heating	2	85.7*	1.1*	13.8*	nc	nc	nc	na
Sugar beet pulp	1200	50% NaOH, pH 11.5	100	10	13.4*	20.7*	64.1*	nc	nc	nc	6.4
Pistachio green hull	700	16mM H <sub>2</sub> SO <sub>4</sub> , pH 1.5	Heating	2.75	66	2.7	29.9	63.7	35.3	1.80	12.1
-DBD plasma					X						
	Input voltage										
Fresh pokan peel	40V	HCl, pH 1.88	80	60	35.63	20.97	29.40	14.66	71.34	0.21	37.2
* Monosaccharides	s content was exp	pressed the mass ratio ins	tead of molar	ratio							

Table 4 Effects of microwave, DBD plasma extraction on RG-I fraction and structure of pectin from fruit and vegetable waste

<b>[</b> (%)	Yield (%)	Reference
7	6.5	(Wandee, Uttapap, & Mischnick, 2019)
5	16.1	
	24.2	
	na	(Fishman, Chau, & Cooke, 2009)
1	18.13	(Kazemi, Khodaiyan, & Labbafi, 2019)
25	27.10	(Zhang,2018)

Diant matarial	Power(MPa)	Extraction conditions		GalA(%)	$\mathbf{Dho}(0/2)$	$A_{mo}(0/)$	$C_{2}(0/)$	Gal/Ara	DM (%)	Vield (%)	Ref
			min	GalA(%)	<b>K</b> IIa(%)	Ala (%)	Gal(%)	Gal/Ara	DM (%)		Kei
Citrus peel	nm	100	5	60.77*	0.50*	2.38*	0.80*	0.33	71.88	19.78	(W
	nm	120	5	68.88*	0.48*	3.10*	2.52*	0.81	74.74	21.95	
	nm	140	5	52.33*	0.62*	4.44*	4.59*	1.03	68.88	19.21	
Apple peel	nm	130	5	44.37*	0.67*	2.99*	4.23*	1.41	83.41	13.33	(W
	nm	150	5	40.13*	0.79*	2.33*	4.58*	1.96	85.99	16.68	
	nm	170	5	20.67*	0.41*	1.39*	5.40*	3.88	89.69	10.05	
Apple pomace	nm	140	5	48.20*	0.66*	2.07*	5.44*	0.38	60.23	17.55	(W
Sugar beet pup	10.7	120.72	30.5	59.12*	4.48*	21.66*	5.32*	0.25	55.20	24.63	(Cł

Table 5 Effect of subcritical water extraction on RG-I structure of pectin from fruit and vegetable waste

\*The monosaccharide content was expressed as the mass ratio instead of molar ratio

Journ

ference

Remarks

Vang, Chen, & Lü, 2014)

Vang & Lu, 2014)

Vang et al., 2014)

Chen, Fu, & Luo, 2015)

UAE+SWE

### 1 Figures:

- 2 Figure. 1 Schematic representation of the structure of pectin, showing the HG, RG-I and RG-II
- 3 domains. The structure of HG and RG-I backbones are highlighted.



5 Figure. 2 Basic scheme for subcritical water extraction [adapted according to (Hoshino,

- 6 Tanaka, Terada, Sasaki, & Goto, 2009) and (Ueno, Tanaka, Hosino, Sasaki, & Goto,
- 7 2008)]

4



- 10 Figure. 3 Schematic of dielectric barrier discharge [adapted according to (Misra, Pankaj,
- 11 Segat, & Ishikawa, 2016)]



- 13 Figure. 4 Schematic diagram of ultrahigh pressure extraction device [adapted according to
- 14 (Xi, Shen, Li, & Zhang, 2011)]



15

#### **Highlights** : 1

- 2 • RG-I is in the hairy region of pectin and has demonstrated biological functions
- Different extraction methods exert an influence on the final structure of pectin 3 ٠
- Harsh extraction conditions gives pectin rich in homogalacturonan but degrades 4 RG-I 5
- Plasma/enzyme-assisted extraction or mild alkaline extraction gives RG-I pectins 6 ٠
- 7 Combined non-thermal extraction gives pectins rich in neutral RG-I ٠

<text>