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CLUSTER CATEGORIES FROM GRASSMANNIANS AND ROOT COMBINATORICS

ABSTRACT. The category of Cohen-Macaulay modules of an algebra $B_{k,n}$ is used in [16] to give an additive categorification of the cluster algebra structure on the homogeneous coordinate ring of the Grassmannian of k-planes in n-space. In this paper, we find canonical Auslander–Reiten sequences and study the Auslander–Reiten translation periodicity for this category. Furthermore, we give an explicit construction of Cohen-Macaulay modules of arbitrary rank. We then use our results to establish a correspondence between rigid indecomposable modules of rank 2 and real roots of degree 2 for the associated Kac-Moody algebra in the tame cases.

1. INTRODUCTION

In this paper we investigate the category $CM(B_{k,n})$ of Cohen-Macaulay modules of an algebra $B_{k,n}$, defined to categorify the cluster structure of the Grassmannian coordinate rings of k-planes in n-space $\mathbb{C}[G_{k,n}]$. We study parts of the Auslander-Reiten quiver of this category, mostly those Auslander-Reiten sequences and components containing rigid modules. Of particular interest are the indecomposable Cohen-Macaulay rigid modules with the same class in the Grothendieck group, i.e. the modules with the same rank 1 modules appearing as composition factors in their filtrations.

It is known that rank 1 modules correspond to k-subsets of $\mathbb{Z}_n := \{1, 2, \ldots, n\}$ and that these in turn are in one-to-one correspondence with the Plücker coordinates in $\mathbb{C}[G_{k,n}]$. We write L_I for the rank 1 module associated to the k-subset I (see Definition 2.2).

Our first main result is a construction of canonical Auslander–Reiten sequences with rank 1 modules as end terms. A k-subset of \mathbb{Z}_n is almost consecutive if it is a union of two intervals, one of them consisting of a single entry.

Theorem 1 (Theorem 3.12). Let I be an almost consecutive k-subset and J be such that $L_J = \Omega(L_I)$. Then there is an AR-sequence

$$L_I \to L_X/L_Y \to L_J.$$

The middle term is a rigid rank 2 module which is indecomposable if and only if X (and Y) are almost consecutive.

So far, no explicit description of higher rank modules has been given. Our second main contribution is the definition of modules of arbitrary rank in Section 4. We prove in Proposition 4.7 that such modules are free over the center of $B_{k,n}$, hence they are Cohen–Macaulay.

When $CM(B_{k,n})$ is of finite representation type, there is a correspondence between indecomposable rank d modules and roots of degree d for an associated Kac-Moody algebra ([16, Section 8]). We study this correspondence for rank 2 modules when $CM(B_{k,n})$ is of tame representation type. Our main tool for this are Auslander-Reiten quivers. We compute the tubular components of the Auslander–Reiten quiver containing rigid modules of rank 1 and rank 2 (see [23, Chapter 3] for details on tubular components). We show that for each rigid indecomposable module of rank 2 we can cycle the filtration layers to obtain a new rigid indecomposable module. This yields the following important result.

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Theorem 2 (Section 6.1, Section 7.1). When (k,n) = (3,9) or (k,n) = (4,8), for every real root of degree 2 there are two rigid indecomposable modules. Moreover, if M is such a rigid indecomposable rank 2 module and if its filtration by rank 1 modules is $L_I|L_J$, then the rank 2 module with filtration $L_J|L_I$ is also rigid indecomposable.

Furthermore, in Conjecture 5.15, we give the number of rigid indecomposable rank 2 modules corresponding to real roots in the general case.

It is not hard to see, given the triangulated structure and the Auslander–Reiten translation of $CM(B_{k,n})$, that non-projective rank 1 modules are τ periodic (cf. [4, Proposition 2.7]). We use results from Demonet-Luo [7] to explain that this category is τ periodic (see Section 3.3).

We refer to [14] and [2] for background on AR-theory. For details about Cohen-Macaulay modules we recommend the textbook [28] by Yoshino and the work of Buchweitz [6]. Details on the tame-wild dichotomy can be found in [27] or in [3].

2. Background

In the following we recall the definition of $B_{k,n}$, the category of Cohen-Macaualy modules $CM(B_{k,n})$, and the relation between this category and root systems.

2.1. The category $CM(B_{k,n})$. We follow the exposition from [5] in order to introduce notation. Let n and k be integers such that $1 < k \leq \frac{n}{2}$. Let C be a circular graph with vertices $C_0 = \mathbb{Z}_n$ set clockwise around a circle, and with the set of edges, C_1 , also labeled by \mathbb{Z}_n , with edge i joining vertices i-1 and i. For integers $a, b \in \{1, 2, \ldots, n\}$, we denote by [a, b] the closed cyclic interval consisting of the elements of the set $\{a, a + 1, \ldots, b\}$ reduced modulo n. Consider the quiver with vertices C_0 and, for each edge $i \in C_1$, a pair of arrows $x_i \colon i-1 \to i$ and $y_i \colon i \to i-1$. Then we consider the quotient of the path algebra over \mathbb{C} of this quiver by the ideal generated by the 2n relations xy = yx and $x^k = y^{n-k}$. Here, we interpret x and y as arrows of the form x_i, y_i appropriately, and starting at any vertex. For example, when n = 5 we have the quiver



The completion $B_{k,n}$ of this algebra coincides with the quotient of the completed path algebra of the graph C, i.e. the doubled quiver as above, by the closure of the ideal generated by the relations above (we view the completed path algebra of the graph C as a topological algebra via the *m*-adic topology, where *m* is the two-sided ideal generated by the arrows of the quiver, see [9, Section 1]). The algebra $B_{k,n}$, that we will often denote by *B* when there is no ambiguity, was introduced in [16], Section 3. Observe that $B_{k,n}$ is isomorphic to $B_{n-k,n}$, so we will always take $k \leq \frac{n}{2}$.

The center Z of B is the ring of formal power series $\mathbb{C}[[t]]$, where $t = \sum_{i=1}^{n} x_i y_i$. The (maximal) Cohen-Macaulay B-modules are precisely those which are free as Z-modules. Indeed, such a module M is given by a representation $\{M_i : i \in C_0\}$ of the quiver with each M_i a free Z-module of the same rank (which is the rank of M, cf. [16], Section 3). **Definition 2.1** ([16], Definition 3.5). For any *B*-module M, if K is the field of fractions of Z, we define its *rank*

$$\operatorname{rk}(M) = \operatorname{len}(M \otimes_Z K).$$

Note that $B \otimes_Z K \cong M_n(K)$, which is a simple algebra. It is easy to check that the rank is additive on short exact sequences, that $\operatorname{rk}(M) = 0$ for any finite-dimensional *B*-module (because these are torsion over *Z*) and that, for any Cohen-Macaulay *B*-module *M* and every idempotent e_j , $1 \leq j \leq n$, $\operatorname{rk}_Z(e_jM) = \operatorname{rk}(M)$, so that, in particular, $\operatorname{rk}_Z(M) = n \operatorname{rk}(M)$.

Definition 2.2 ([16], Definition 5.1). For any k-subset I of C_1 , we define a rank 1 B-module

$$L_I = (U_i, i \in C_0; x_i, y_i, i \in C_1)$$

as follows. For each vertex $i \in C_0$, set $U_i = \mathbb{C}[[t]]$ and, for each edge $i \in C_1$, set

 $x_i: U_{i-1} \to U_i$ to be multiplication by 1 if $i \in I$, and by t if $i \notin I$,

 $y_i: U_i \to U_{i-1}$ to be multiplication by t if $i \in I$, and by 1 if $i \notin I$.

The module L_I can be represented by a lattice diagram \mathcal{L}_I in which $U_0, U_1, U_2, \ldots, U_n$ are represented by columns from left to right (with U_0 and U_n to be identified). The vertices in each column correspond to the natural monomial \mathbb{C} -basis of $\mathbb{C}[t]$. The column corresponding to U_{i+1} is displaced half a step vertically downwards (respectively, upwards) in relation to U_i if $i+1 \in I$ (respectively, $i+1 \notin I$), and the actions of x_i and y_i are shown as diagonal arrows. Note that the k-subset I can then be read off as the set of labels on the arrows pointing down to the right which are exposed to the top of the diagram. For example, the lattice picture $\mathcal{L}_{\{1,4,5\}}$ in the case k = 3, n = 8, is shown in the following picture



FIGURE 1. Lattice diagram of the module $L_{\{1,4,5\}}$

We see from Figure 1 that the module L_I is determined by its upper boundary, denoted by the thick lines, which we refer to as the rim of the module L_I (this is why call the k-subset Ias the rim of L_I). Throughout this paper we will identify a rank 1 module L_I with its rim. Moreover, most of the time we will omit the arrows in the rim of L_I and represent it as an undirected graph.

We say that i is a *peak* of the rim I if $i \notin I$ and $i + 1 \in I$. In the above example, the peaks of $I = \{1, 4, 5\}$ are 3 and 8.

Remark. We identify the end points of a rim I. Unless specified otherwise, we will assume that the leftmost vertex is labeled by n, and in this case, we may omit labels on the edges of the rim. Looking from left to right, the number of downward edges in the rim is k (these are the edges

labeled by the elements of I), and the number of upward edges is n - k (these are the edges labeled by the elements of $[1, n] \setminus I$).

Proposition 2.3 ([16], Proposition 5.2). Every rank 1 Cohen-Macaulay B-module is isomorphic to L_I for some unique k-subset I of C_1 .

Every *B*-module has a canonical endomorphism given by multiplication by $t \in Z$. For L_I this corresponds to shifting \mathcal{L}_I one step downwards. Since *Z* is central, $\operatorname{Hom}_B(M, N)$ is a *Z*-module for arbitrary *B*-modules *M* and *N*.

If M, N are free Z-modules, then so is $\operatorname{Hom}_B(M, N)$. In particular, for rank 1 Cohen-Macaulay B-modules L_I and L_J , $\operatorname{Hom}_B(L_I, L_J)$ is a free module of rank 1 over $Z = \mathbb{C}[[t]]$, generated by the canonical map given by placing the lattice of L_I inside the lattice of L_J as far up as possible so that no part of the rim of L_I is strictly above the rim of L_J [5, Section 6].

The algebra *B* has *n* indecomposable projective left modules $P_j = Be_j$, corresponding to the vertex idempotents $e_j \in B$, for $j \in C_0$. Our convention is that representations of the quiver correspond to left *B*-modules. The projective indecomposable *B*-module P_j is the rank 1 module L_I , where $I = \{j + 1, j + 2, ..., j + k\}$, so we represent projective indecomposable modules as in the following picture, where P_5 is pictured (n = 5, k = 3):



Definition 2.4. A pair I, J of k-subsets of C_1 is said to be non-crossing (or weakly separated) if there are no elements a, b, c, d, cyclically ordered around C_1 , such that $a, c \in I \setminus J$ and $b, d \in J \setminus I$.

Definition 2.5. A *B*-module is *rigid* if $\operatorname{Ext}^{1}_{B}(M, M) = 0$.

If I and J are non-crossing k-subsets, then $\operatorname{Ext}_B^1(L_I, L_J) = 0$, in particular, rank 1 modules are rigid (see [16, Proposition 5.6]).

Notation 2.6. Every rigid indecomposable M of rank n in CM(B) has a filtration having factors $L_{I_1}, L_{I_2}, \ldots, L_{I_n}$ of rank 1. This filtration is noted in its profile, $prf(M) = I_1|I_2|\ldots|I_n$, [16, Corollary 6.7].

The category CM(B) provides a categorification for the cluster structure of Grassmannian coordinate rings. As we will discuss later, the stable category $\underline{CM}(B)$ is 2-Calabi-Yau. Maximal non-crossing collections of k-subsets give rise to cluster-tilting objects T as the corresponding rank 1 modules are pairwise ext-orthogonal. Given a maximal collection of non-crossing ksets \mathcal{I} (including the projectives, i.e. k-sets consisting of a single interval), the direct sum $T = \bigoplus_{I \in \mathcal{I}} L_I$ corresponds to an alternating strand diagram [21] whose associated quiver is an example of a dimer model with boundary [5, Section 3]. If we forget its frozen vertices (the vertices corresponding to projective indecomposables) we obtain a quiver with potential (Q, P) encoding the endomorphism algebra $\operatorname{End}_{\underline{CM}}(T)$ as a finite-dimensional Jacobian algebra J(Q, P) in the sense of [9]. Remark 2.7. Any given k-subset I can be completed to a maximal non-crossing collection \mathcal{I} . The arrows in the quiver Q of $\operatorname{End}_{\underline{CM}}(T)$ represent morphims in $\operatorname{Hom}_{\underline{CM}}(L_I, L_J)$ that do not factor through L_U with $U \in \mathcal{I}$. Note that the quiver Q has no loops.

2.2. Root combinatorics. Here we recall the connection between indecomposable modules of the category $CM(B_{k,n})$ for k and n as above and roots for an associated Kac-Moody algebra, as explained in [16]. For details about the connection between indecomposable modules and positive roots for Kac-Moody algebras, we refer to the book [8] by Derksen and Weyman.

For (k, n) let $J_{k,n}$ be the tree obtained by drawing a Dynkin diagram of type A_{n-1} , labeling the nodes $1, 2, \ldots, n-1$ and adding a node n with an edge to node k. We consider positive roots for the associated Kac-Moody algebra, denoting the simple root associated with node iby α_i for $i = 1, \ldots, n-1$ and the simple root associated with n by β . For k = 2, the resulting diagram $J_{k,n}$ is a Dynkin diagram of type D_n .



There is a grading on the roots of the corresponding Kac-Moody algebra, where the *degree* is given by the coefficient of the root at β , i.e. at the *n*-th node, the black node in the figures.

Zelevinsky conjectured ([29]) that the number of degree d cluster variables is equal to d times the number of real roots for $J_{k,n}$ of degree d. In the finite types, this is known to hold, [24, Theorems 6,7,8], whereas in the infinite cases it does not hold in this generality. It is expected that one needs to restrict to cluster variables which are associated to real roots. In this spirit, one can ask whether the number of rank d rigid indecomposable modules of $CM(B_{k,n})$ is d times the number of real roots for $J_{k,n}$ of degree d. Jensen et al. confirmed that this holds in the finite type cases [16, Observation 2.3], see also Example 2.8 below.

We recall a map from indecomposable modules in CM(B) to roots for $J_{k,n}$ via a map from ind CM(B) to \mathbb{Z}^n from [16, Section 8]: If $M = L_1|L_2| \dots |L_d$ is indecomposable, let $\underline{a} = \underline{a}(M) = (a_1, \dots, a_n)$ be the vector where a_i is the multiplicity of i in $L_1 \cup \dots \cup L_d$, for $i = 1, \dots, n$. Let e_1, \dots, e_n be the standard basis vectors for \mathbb{Z}^n . Then we can associate with M a root $\varphi(M)$ for $J_{k,n}$ via the correspondence $\alpha_i \longleftrightarrow -e_i + e_{i+1}, i = 1, \dots, n-1$, and $\beta \longleftrightarrow e_1 + e_2 + \dots + e_k$.

Note that the image of M under \underline{a} is in the sublattice $\mathbb{Z}^n(k) = \{a \in \mathbb{Z}^n \mid k \text{ divides } \sum a_i\}$ and that $\varphi(M)$ is a root of degree d. Via these correspondences, we can identify the lattice $\mathbb{Z}^n(k)$ with the root lattice of the Kac-Moody algebra of $J_{k,n}$ and we have the quadratic form

(2.1)
$$q(\underline{a}) = \sum_{i} a_i^2 + \frac{2-k}{k^2} (\sum_{i} a_i)^2$$

on $\mathbb{Z}^n(k)$ which characterizes roots for $J_{k,n}$ as the vectors with $q(\underline{a}) \leq 2$. Among them, the vectors with $q(\underline{a}) = 2$ correspond to real roots.

Conjecturally, rigid indecomposable modules correspond to roots and if a module belongs to a homogenous tube, the associated root is imaginary. In the finite types, the correspondence between rigid indecomposable modules and (real) roots is confirmed. Here, we initiate the study of infinite representation types, and in particular, we study rank 2 indecomposable CM-modules. We show that, in the tame cases, for every real root of $J_{k,n}$ there exist two rigid indecomposable rank 2 modules (see Theorem 2). Note that for $J_{4,8}$ there exist 8 rigid indecomposable rank 2 modules whose associated root is imaginary, see [16, Figure 13].

Example 2.8. For k = 2, there are no indecomposable modules of rank 2. The diagram $J_{2,n}$ is a Dynkin diagram of type D_n for which there are no roots of degree 2.

Let k = 3.

(i) The diagram $J_{3,6}$ is a Dynkin diagram of type E_6 . The only root where node 6 has degree 2 is the root $\alpha_1 + 2\alpha_2 + 3\alpha_3 + 2\alpha_4 + \alpha_5 + 2\beta$. It is known that there are exactly two degree 2 cluster variables, cf. [24, Theorem 6]. On the other hand, the only rank 2 (rigid) indecomposable modules in this case are $L_{135}|L_{246}$ and $L_{246}|L_{135}$, cf. [16, Figure 10].

(ii) The diagram $J_{3,7}$ is a Dynkin diagram of type E_7 . The Lie algebra of type E_7 has 7 roots of degree 2. There are 14 cluster variables of degree 2 ([24, Theorem 7]) and, correspondingly, 14 rank 2 (rigid) indecomposable modules for (3,7). These can be found in [16, Figure 11].

(iii) The diagram $J_{3,8}$ is of type E_8 , there are 28 roots of degree 2 in the corresponding root system. The number of cluster variables of degree 2, and the number of rank 2 (rigid) indecomposable modules is 56, cf. [16, Figure 12].

Remark 2.9. For $J_{3,9}$ there are 84 real roots of degree 2. For $J_{4,8}$ there are 56 real roots of degree 2. One can find all these roots considering the classical result [17, Theorem 5.6] and playing the so-called *find the highest root game* which is attributed to B. Kostant by A. Knutson [20].

3. Homological properties

The algebra $B = B_{k,n}$ is Gorenstein, i.e. it is left and right noetherian and of finite (left and right) injective dimension. Hence, the category CM(B) is Frobenius and the projective-injective objects are the projective *B*-modules. The stable category $\underline{CM}(B)$ has a triangulated structure in which the suspension [1] coincides with the formal inverse of Ω [6, 15].

Let $\Pi_{k,n}$ be the quotient of the preprojective algebra of type \mathbb{A}_{n-1} over the ideal $\langle x^k, y^{n-k} \rangle$. This finite dimensional \mathbb{C} -algebra is Gorenstein of dimension 1. The category $\mathrm{CM}(\Pi_{k,n})$ is equivalent to the exact subcategory $\mathrm{Sub}Q_k$ defined in [13]. Analogously to $\mathrm{CM}(B)$, $\mathrm{CM}(\Pi_{k,n})$ is Frobenius and the stable category $\underline{\mathrm{CM}}(\Pi_{k,n})$ has a triangulated structure in which [1] coincides with the formal inverse of Ω , denoted by $(\Omega)^{-1}$. This formal inverse is not the co-syzygy Ω^{-1} since the algebras $\mathrm{B}_{k,n}$ and $\Pi_{k,n}$ are not self-injective, hence the slightly different notation.

By [16, Section 4], there is a (quotient) exact functor $\pi: \operatorname{CM}(B) \to \operatorname{CM}(\Pi_{k,n})$ setting a one-to-one correspondence between the indecomposable modules in $\operatorname{CM}(B_{k,n})$ other than P_n and the indecomposable modules in $\operatorname{CM}(\Pi_{k,n})$. This functor restricts to a triangle equivalence $\underline{\pi}: \operatorname{CM}(B) \to \operatorname{CM}(\Pi_{k,n})$. By construction the standard triangles of $\operatorname{CM}(B_{k,n})$, obtained via push-outs, are of the form

$$A \to B \to C \to A[1],$$

where $0 \to A \to B \to C \to 0$ is a short exact sequence in CM(B). The functor π takes exact sequences to exact sequences. This implies that we can use the additivity of the dimension vector <u>dim</u> on $CM(\Pi_{k,n})$ to reconstruct triangles, in particular, Auslander-Reiten triangles. We may refer to an Auslander-Reiten triangle

$$A \to B \to \tau^{-1}A \to A[1]$$

also as the associated short exact sequence $A \hookrightarrow B \twoheadrightarrow \tau^{-1}A$.

The category <u>Sub</u> Q_k is triangulated and 2-Calabi-Yau [13, Proposition 3.4]. Denote by [1]_{Sub} the shift in this category. Notice that [1]_{Sub} can be interpreted as the formal inverse of the syzygy when we are in <u>CM</u>($\Pi_{k,n}$), see [16, Remark 4.2]. By [22], τ [1]_{Sub} $\simeq S$, where S is the Serre functor. It also holds that $S = [2]_{Sub}$ from the 2-Calabi Yau condition. Therefore,

 $\tau = [1]_{\text{Sub}}$ over $\underline{\text{Sub}}Q_k$, and this implies that $\Omega = \tau^{-1}$ in the category $\underline{\text{CM}}(\Pi_{k,n})$. Hence, by the equivalence $\underline{\pi}$, we have $\Omega = \tau^{-1}$ in $\underline{\text{CM}}(B)$.



FIGURE 2. Lattice diagram of a module in $CM(B_{3,8})$ and its image in $CM(\Pi_{3,8})$ under π , and the corresponding quotient poset $(1^3, 2)$

Example 3.1. Let (k, n) = (3, 8). Consider the rank 2 module $M = L_I | L_J$ with $I = \{2, 5, 7\}$ and $J = \{1, 3, 6\}$. As for rank 1 modules, it is convenient to view higher rank modules as lattice diagrams. The lattice diagram of M is drawn on the left hand side in Figure 2. The image $\pi(M)$ is obtained by taking the quotient of M by the projective P_8 . In particular, we can obtain the dimension vector of the $\Pi_{k,n}$ -module $\pi(M)$ by cutting out the vertices corresponding to the lattice of P_8 from the lattice of $L_I | L_J$ as in Figure 2 (center) and considering the multiplicities of the vertices 1 to n - 1.

There is a covering functor from the category of finitely generated complete poset representations for a poset Γ [25, Chapter 13], see also [26], where Γ is a cylindrical covering of the circular quiver of B, to the category $CM(B_{k,n})$. The complete poset representations have a vector space at each vertex of Γ and all arrows are subspace inclusions. If \widetilde{M} is a complete poset representation for Γ , it can be identified with a finite subspace configuration of a vector space, say M_* , with dim $M_* = \operatorname{rank} \widetilde{M}$.

It is important that \widehat{M} , and therefore $M \in CM(B)$, can be identified with a pull-back from a finite quotient poset of Γ and the indecomposability of M can be deduced from the indecomposability of \widetilde{M} . Moreover when M is rigid indecomposable, \widetilde{M} is unique up to a grade shift, [16, Lemma 6.2, Remark 6.3].

Remark 3.2. Let M be an indecomposable of rank 2 in CM(B). Then the corresponding finite quotient poset has to be of the form $(1^r, 2)$ for some r. Since (1, 2) and $(1^2, 2)$ are dimension vectors for the quivers $1 \rightarrow 2$ and $1 \rightarrow 2 \leftarrow 3$ respectively, of Dynkin type A_2 and A_3 respectively, the corresponding representations cannot be indecomposable. Hence $r \geq 3$. These posets are precisely the ones corresponding to indecomposable subspace configurations of rank 2.

Example 3.3. Let $M = L_I | L_J$ be a rank 2 module in $CM(B_{k,n})$ with $I \neq J$. Then its poset is of the form $(1^r, 2)$ for $0 < r \leq k$. The modules $M = L_I | L_J$ with $I = \{2, 5, 7\}$ and $J = \{1, 3, 6\}$ from Example 3.1 and the first module in Figure 4 have poset $(1^3, 2)$. Examples for the poset (1, 2) are the modules in Figure 3 and the last module in Figure 4. An example for $(1^2, 2)$ is the second module in Figure 4.

3.1. Extension spaces between rank 1 modules. Let I be a rim, and let d_i and l_i respectively be the lengths of disjoint intervals of I and the lengths of the corresponding intervals of the complement of I in $\{1, 2, ..., n\}$. In other words, let d_i and l_i denote the lengths of downward and upward slopes, respectively, of I. Let m denote the minimum of the numbers d_i and l_i .

Proposition 3.4. Let I be a rim with two peaks and let J be any rim. If I and J are crossing, then

$$\operatorname{Ext}^{1}(L_{I}, L_{J}) \cong \mathbb{C}[[t]]/(t^{a}),$$

where a is less or equal to the minimum m of the lengths of the slopes (both downward and upward) of I.

Proof. Since there are only four slopes on the rim I, when J is placed underneath I in the computation of $\text{Ext}^1(L_I, L_J)$, as in [4, Theorem 3.1], there are at most four trapezia appearing. Since we assumed that the rims are crossing, then there are exactly four trapezia with nontrivial lateral sides, and hence, there are exactly two boxes (each box consisting of two trapezia). Each of the lateral sides of the trapezia involved is of length at most equal to the length of the corresponding slope of the rim I. It follows that the matrix D^* is of the form

$$\begin{bmatrix} -t^{m_1} & t^{m_2} \\ t^{m_3} & -t^{m_4} \end{bmatrix},$$

where the numbers m_i denote the lengths of the lateral sides of the trapezia used to compute the extension space $\text{Ext}^1(L_I, L_J)$ [4]. If we choose a to be the minimal m_i , then the proposition follows.

Corollary 3.5. If I and J are crossing rims with two peaks each, then

$$\operatorname{Ext}^{1}(L_{I}, L_{J}) \cong \mathbb{C}[[t]]/(t^{a}),$$

where a is less or equal to the minimum of the lengths of all slopes of I and J.

Proof. It follows from $\operatorname{Ext}^{1}(L_{I}, L_{J}) \cong \operatorname{Ext}^{1}(L_{J}, L_{I})$ (see [4, Theorem 3.7]).

Corollary 3.6. If I and J are crossing rims with I having two peaks and one of the slopes of length 1, then

$$\operatorname{Ext}^{1}(L_{I}, L_{J}) \cong \operatorname{Ext}^{1}(L_{J}, L_{I}) \cong \mathbb{C}.$$

Proof. If a is as in the previous proposition, then in this case $a \leq 1$, and since I and J are crossing, we must have $1 \leq a$.

We can use the previous corollary to construct part of the Auslander-Reiten quiver containing rank 1 modules whose rims have two peaks and a slope of length 1. For such a rim I, and Jsuch that $\Omega(L_I) = L_J$, if we can find a non-trivial short exact sequence of the form

$$0 \to L_I \to M \to L_J \to 0$$

then this sequence must be an Auslander-Reiten sequence.

Example 3.7. If $I = \{1, 2, \ldots, k-1\} \cup \{m\}$, where n > m > k, then for any rim J that is crossing with I we have $\text{Ext}^1(L_I, L_J) \cong \mathbb{C}$.

In the following proposition, we deal with a case where the upper bound from the previous proposition is achieved.

Proposition 3.8. Let I be a rim with two peaks, and let m be as above, i.e. the minimum of the lengths of the slopes of I. Then

$$\operatorname{Ext}^{1}(L_{I}, \Omega(L_{I})) \cong \mathbb{C}[[t]]/(t^{m})$$

Proof. Since the rim I has two peaks, its first syzygy is also a rank 1 module. As in the proof of the previous proposition, from the proof of [4, Theorem 3.1] we know that the matrix of the map D^* from that proof is a 2×2 matrix of the form

$$\left[\begin{array}{cc} -t^{m_1} & t^{m_1} \\ t^{m_2} & -t^{m_2} \end{array}\right],$$

where the numbers m_1 and m_2 denote the lengths of the lateral sides of the trapezia used to compute the extension space (cf. [4]), as in the following picture, and that $m = \min\{m_1, m_2\}$.



We see from the picture that $m_1 = \min\{d_1, l_2\}$ and $m_2 = \min\{d_2, l_1\}$. The proposition now follows.

Corollary 3.9. Let I be a rim with two peaks and one of the slopes (either downward or upward) of length 1. Then

$$\operatorname{Ext}^{1}(L_{I}, \Omega(L_{I})) \cong \operatorname{Ext}^{1}(\Omega(L_{I}), L_{I}) \cong \mathbb{C}.$$

3.2. Auslander-Reiten sequences. The purpose of this subsection is to determine certain Auslander-Reiten sequences of the form $L_I \to M \to L_J$, where L_I and L_J are rank 1 $B_{k,n}$ modules. To do this we move back and forth from $CM(B_{k,n})$ to $CM(\Pi_{k,n})$ using the quotient functor π .

Remark 3.10. Let L_I and L_J be two rank 1 modules such that dim $\text{Ext}^1(L_J, L_I) = 1$. Using the quotient functor, the modules $\pi(L_I)$ and $\pi(L_J)$ are rigid modules over $\text{CM}(\Pi_{k,n})$ (or one may consider them as modules in the subcategory $\text{Sub}Q_k$ of the preprojective algebra). Then, by [12, Proposition 5.7] the middle term $\pi(M)$ of the non-trivial extension is rigid. Thus, the middle term M is rigid.

Definition 3.11. Let *I* be a *k*-subset of \mathbb{Z}_n consisting of two intervals where one of the intervals is a single element. We call such a *k*-subset *almost consecutive*.

From [4, Section 2], we know that if I is almost consecutive, say $I = \{i, j, \ldots, j + k - 2\}$ for some $j \in [i + 2, \ldots, n - k + i + 1]$, then $\Omega(L_I) = L_J$, where $J = \{i + 1, \ldots, i + k - 1, j + k - 1\}$ is also almost consecutive.

Theorem 3.12. Let $I = \{i, j, ..., j+k-2\}$ be almost consecutive and J be such that $L_J = \Omega(L_I)$. Then the Auslander–Reiten sequence with L_I and L_J as end-term is as follows:

$$L_I \hookrightarrow \frac{L_X}{L_Y} \twoheadrightarrow L_J$$

with $X = \{i+1, j, j+1, \dots, j+k-3, j+k-1\}$ and $Y = (I \cup J) \setminus X$ and where $\frac{L_X}{L_Y}$ is indecomposable if and only if $j \neq i+2$. In case j = i+2, $\frac{L_X}{L_Y} = P_i \oplus L_U$ for $U = \{i, i+2, i+3, \dots, k+i-1, k+i+1\}$. Furthermore, in both cases, the middle term is rigid.

Proof. We will prove the claims for i = 1, the statement then follows from the symmetry of B. By Corollary 3.9, we have dim $\operatorname{Ext}^1(L_J, L_I) = 1$, so the middle term M is rigid by Remark 3.10. Note that M is a rank 2 module, so it is either a direct sum of two rank 1 modules or indecomposable module of rank 2. The projective cover of M is a direct summand of the direct sum of the projective covers of L_I and L_J , i.e. a summand of $P_0 \oplus P_{j-1} \oplus P_1 \oplus P_{j+k-2}$.

Suppose that $M = L_U \oplus L_V$. By the above, the peaks of M belong to $\{0, 1, j - 1, j + k - 2\}$. (i) If M has a projective summand, say $L_V = P_a$ for some a, we have an irreducible monomorphism $L_I \hookrightarrow P_a$, hence $L_I = \operatorname{rad}(P_a)$. In that case, $I = \{a, a + 2, \ldots, a + k\}$, i.e. a = 1, j = 3 and L_U is as claimed in part (2) of the theorem.

(ii) If none of the summands of M are projective, they have two peaks each, so $U = A \cup B$ and $V = C \cup D$ are two-interval subsets of C_1 .

We first claim that if the vertices 1 and j + k - 2 are the two peaks of L_U , then $L_U \cong L_J$. To see this, let $U = A \cup B = \{2, ...\} \cup \{j + k - 1, ...\}$. We use that π takes exact sequences to exact sequences. One checks that the dimension of $\pi(L_I \oplus L_J)$ is zero at vertices j + k - 1 and j + k, ..., n (see the following two figures).



If |B| > 1, then $\pi(L_U)$ has positive dimension at vertex j + k - 1 (see the following figure).



Hence |B| = 1, i.e. U = J.

Now we claim that the exact sequence $L_I \to L_V \oplus L_J \to L_J$ is not an Auslander–Reiten sequence. If L_J is a summand of a middle term of such Auslander–Reiten sequence, one can complete L_J to a cluster tilting object T as in Remark 2.7. Then the quiver of the endomorphism algebra $\operatorname{End}_{\operatorname{CM}(B)}(T)$ has a loop at the vertex L_J corresponding to the irreducible map $L_J \to L_J$, which is a contradiction.

So U and V both contain one peak of I and one of J. Say U contains the peak at 1 and V the peak at j + k - 2. Since 0 cannot be a peak of U, we have $U = \{2, \ldots\} \cup \{j, \ldots\}$ and $V = C \cup D = \{1, \ldots\} \cup \{j + k - 1, \ldots\}$. By the same argument as above, |D| = 1. Applying π to our short exact sequence yields that the dimension at vertex 2 of $\pi(L_U)$ is 1, whereas at vertex 2 of $\pi(L_V)$ it is 0. However, $\pi(L_I \oplus L_J)$ has dimension 2 at vertex 2, which is a contradiction.

We assume now that M is indecomposable. By the discussion above (Remark 3.10) M is rigid so it is determined by its profile, and the profile provides a filtration by rank 1 modules, $M = L_X | L_Y$. There are infinitely many injective maps of L_I into M. They differ by the relative positions of L_I and the quotient L_J on the lattice diagram of M covering the dimension vector of M. There are five distinct cases: the rim of L_I can be strictly lower than the rim of L_J , they can touch, intersect properly, or the rim of L_J can be below L_I , touching or being strictly lower, as in Figures 3 and 4.

Note that all these representations have up to three disjoint regions with 1-dimensional vector spaces at finitely many vertices mapping to the infinite region with 2-dimensional vector spaces at every vertex. The only case providing a profile of an indecomposable module is the left one in Figure 4, yielding $X = \{2, j, \ldots, j + k - 3, j + k - 1\}$ and $Y = \{2, 4, \ldots, k, j + k - 2\}$



FIGURE 3. Rank 2 modules with submodule L_I and quotient L_J



FIGURE 4. Rank 2 modules with submodule L_I and quotient L_J , continued

Note that in the previous theorem, $X = I \cup \{i + 1, j + k - 1\} \setminus \{i, j + k - 2\}$, whereas $Y = J \cup \{i, i + k - 2\} \setminus \{i + 1, j + k - 1\}$.

Remark 3.13. In case k = 3, Theorem 3.12 covers all possible Auslander-Reiten sequences where the start and end terms are of rank 1. By [4, Section 2], the rank of $\tau^{-1}(L_J)$ is one less than the number of peaks of J, so rank $\tau^{-1}(L_J) = 1$ if and only if J is a 2-interval subset. Since k = 3, the 2-interval subsets are of the form $\{i, i + 1, i + m\}$ with 2 < m < n - 1.

Assume k = 3. If we are given a rank 2 module M with profile X|Y, then how do we know if it is the middle term of an Auslander-Reiten sequence with rank 1 modules L_I and $\Omega(L_I)$? From the previous theorem, and the diagram on the left hand side in the previous picture, in order for M to be such a module, X and Y must be 3-interlacing (see the first definition in Section 5), and when drawn one above the other (as in the above picture), the diagram we obtain has to contain three consecutive diamonds, with no gaps between them and with the end two diamonds of lateral size 1. In order to recognize the rims of L_I and $\Omega(L_I)$ from the profile X|Y, the easiest thing to do is to identify the middle diamond if it happens to be of size greater than 1 (as in the above picture). If the middle diamond is of size 1, then we can identify the right hand side diamond, since it is followed by an upward "tail like" portion of the rim covered by both rim X and rim Y in the above picture. If there is no tail, then we only have three diamonds of size 1, and then we deal with the module $\{1, 3, 5\}|\{2, 4, 6\}$.

Other rigid indecomposable rank 2 modules whose profile X|Y does not satisfy these conditions do not appear as the middle term of an Auslander–Reiten sequence with rank 1 modules. In the tame cases, they either appear at the mouth of a tube, or they are meshes of the modules with at least one of them of rank greater than 1 as we will see later.

3.3. **Periodicity.** It follows from the direct computation in [4, Proposition 2.7], that if L_I is a rank 1 module, then $\Omega^2(L_I) = L_{I+k}$, where $(I_1 \mid \ldots \mid I_n) + m$ is the profile $(I_1 + m)|(I_2 +$ m) $|...|(I_n + m)$ obtained by adding m to each number in every k-subset appearing in the profile. Set v = lcm(n,k)/k. This leads to the next observation.

Remark 3.14. Let L_I be a non-projective rank 1 module, and let M be a module in the τ -orbit of L_I . Then, M is τ -periodic of period d, for some factor d of 2v.

It is not difficult to show that the same formula $\Omega^2(L_I|L_J) = L_I + k|L_J + k$ holds for the rigid rank 2 modules.

By graded Morita theory, $\operatorname{CM}(B)$ is equivalent to $\operatorname{CM}^{\mathbb{Z}_n}(R_{k,n})$ where $R_{k,n} = \mathbb{C}[x, y]/(x^k - y^{n-k})$ and where the \mathbb{Z}_n -grading is given by deg x = 1 and deg y = -1, [7, Theorem 3.16]. For the latter, Demonet-Luo show, [7, Theorem 3.22], that there is an isomorphism of autoequivalences $[2] \simeq (-k)$, where the notation (-1) refers to a shift in the \mathbb{Z}_n -grading. From that, we obtain:

Proposition 3.15. Every module in $\underline{CM}(B_{k,n})$ is τ -periodic with period a factor of 2v.

3.4. A different approach to periodicity. We now switch perspectives and use [5] to follow an approach to periodicity by Keller, [18], which uses products of Dynkin types. This will allow us to identify the tame cases later.

The category $\underline{CM}(B_{k,n})$ has cluster-tilting objects whose endomorphism algebras have rectangular quivers built by $(k-1) \times (n-k-1)$ lines of arrows, forming alternatingly oriented squares. These are exactly the quivers of the rectangular arrangements from [24, Section 4]. We will denote them by $Q\Box Q'$, where Q is a Dynkin quiver of type A_{k-1} and Q' of type A_{n-k-1} , with corresponding Coxeter numbers h = k and h' = n - k, as in [18]. These are quivers with a natural potential P, in the sense of [9], given by the sum of all clockwise cycles minus the sum of all anti-clockwise cycles. We will write QP to abbreviate 'quiver with potential'.

Example 3.16. For (3,9) and for (4,8) the rectangles $Q \Box Q'$ are as follows:



Such Jacobian algebras can also be obtained as 2-Calabi-Yau tilted algebras from certain (Hom-finite) generalized cluster categories C_A in the sense of [1], since the corresponding QP are (QP-)mutation equivalent to $(Q \boxtimes Q', \tilde{P})$, following [18].

In the cases (3,9) and (4,8), these QP are



with potential the sum of all positive 3-cycles minus the sum of all negative 3-cycles (note that this agrees with the natural potential of the dimer model from [5, Section 3]). By [19, Section 2.1], there exists an equivalence of categories

$$\mathcal{C}_A/(\Sigma T) \leftrightarrow \operatorname{mod} Jac(Q, P) \leftrightarrow \underline{\mathrm{CM}}(\mathbf{B}_{k,n})/((\Omega)^{-1}T'),$$

where (ΣT) is the ideal of morphisms that factor through $\operatorname{add}\Sigma T$ for a cluster tilting object T (respect. T' and $(\Omega)^{-1}T'$) over the 2-CY category. These categories are Krull-Schmidt,

so by [19, Section 3.5], the Auslander–Reiten quiver of mod Jac(Q, P) is obtained from the Auslander–Reiten quiver of the 2-CY categories removing a finite number of vertices.

Remark 3.17. If we denote Σ by the shift functor, S the Serre functor, and $\tau_{\mathcal{C}}$ the Auslander– Reiten translation over \mathcal{C}_A , there is an isomorphism of functors $\Sigma^2 = S$. On the other hand S is $\Sigma \tau$ over \mathcal{C}_A , so $\tau_{\mathcal{C}} = \Sigma$. Keller's proof of the periodicity of the Zamolodchikov transformation $(\tau \otimes 1)$ [18, Theorem 8.3] indicates a way to prove τ -periodicity. In fact, one can show that $\tau_{\mathcal{C}}$ is 2*n*-periodic.

Keller shows that $(\tau \otimes 1)^h = \Sigma^{-2}$ is an isomorphism of functors of \mathcal{C}_A and with this that $(\tau \otimes 1)^{h+h'} = \mathbb{1}$ is isomorphism of functors of \mathcal{C}_A . We can do the following:

$$1 = 1^{h} = (\tau \otimes 1)^{(h+h')h} = (\tau \otimes 1)^{h^{2}} (\tau \otimes 1)^{hh} \\ = (\Sigma^{-2})^{h} (\Sigma^{-2})^{h'} = \Sigma^{-2h-2h'} = \tau_{\mathcal{C}}^{-2(h+h')}$$

In our case 2(h+h') = 2n.

3.5. Tame cases. For k = 2, such a quiver $Q \Box Q'$ is of type A_{n-3} , for (3, 6) of (mutation) type D_4 , for (3, 7) of type E_6 and for (3, 8) of type E_8 . The Dynkin types above are the only cases of finite representation type, whereas the two cases (3, 9) and (4, 8) in Example 3.16 are the first cases of infinite representation type. The quivers with potential give rise to path algebras with relations whose mutation class representation theory is studied in [10]. They correspond to elliptic types $E_{7,8}^{(1,1)}$, known to be tame [11, Theorem 9.1]. Besides the cases (3, 9), (4, 8) and the finite types, all other QP algebras obtained from rectangular arrangements are of wild type.

In the cases (3,9) and (4,8) the QP's also arise from two cases of 2-Calabi Yau categories $\mathcal{C}(A)$, called *tubular cluster categories* of types (6,3,2) and (4,4,2) respectively. It is known that $\mathcal{C}(A)$ is formed by a coproduct of tubular components $\coprod_{x \in \mathbb{X}} \mathcal{T}_x$ where almost all tubes \mathcal{T}_x are of rank 1, and there are finitely many tubes of ranks 6, 3, 2 (resp. 4, 4, 2) [3]. Therefore, the corresponding Auslander–Reiten quivers are formed by finitely many tubes of ranks 6, 3, 2 (resp. 4, 4, 2), and infinitely many homogeneous tubes.

4. Higher rank modules

In this section, we give a construction for higher rank modules in $CM(B_{k,n})$ in the spirit of the definition of rank 1 modules (Definition 2.2). Recall that the rank 1 modules in $CM(B_{k,n})$ are in bijection with k-subsets of \mathbb{Z}_n . Modules of higher rank can also be described combinatorially, as we will see here. For this, let Id_s be the $s \times s$ -identity matrix, let $E_{i,j}$ be the elementary $s \times s$ -matrix with entry 1 at position (i, j) and 0 everywhere else. We set σ to be the following $s \times s$ -matrix:

$$\sigma = \sum_{i=1}^{s-1} E_{i,i+1} + tE_{s,1} = \begin{pmatrix} 0 & 1 & 0 & \\ 0 & 0 & 1 & \\ \vdots & \ddots & \\ 0 & & 0 & 1 \\ t & 0 & & 0 \end{pmatrix}$$

Lemma 4.1. For j = 0, ..., s we have

$$\sigma^{j} = \sum_{i=1}^{s-j} E_{i,i+j} + t \sum_{i=1}^{j} E_{s+i-j,i}.$$

In particular, $\sigma^s = t \operatorname{Id}_s$.

Proof. Straightforward.

Let I_1, \ldots, I_s be k-subsets. We then construct a rank $s \ B_{k,n}$ -module $L(I_1, \ldots, I_s)$. The maps x_i and y_i depend on the number of k-subsets among I_1, \ldots, I_s the index i belongs to. For $i \in [1, n]$ set $r_i = |\{I_j \mid i \in I_j\}|$.

Definition 4.2. Let I_1, \ldots, I_s be k-subsets of $[1, \ldots, n]$. We define a module $L(I_1, \ldots, I_s)$ as follows.

For i = 1, ..., n let $V_i := e_i L(I_1, ..., I_s) = \mathbb{C}[[t]] \oplus \mathbb{C}[[t]] \oplus \cdots \oplus \mathbb{C}[[t]] = Z^s$. Depending on the number of k-subsets i belongs to, we define maps $x_i, y_i : Z^s \to Z^s$ as follows:

$$x_i: V_{i-1} \to V_i$$
 multiplication by σ^{s-r_i}
 $y_i: V_i \to V_{i-1}$ multiplication by σ^{r_i}

Remark 4.3. Note that we have $x_i y_i = y_{i+1} x_{i+1} = \sigma^s = t \operatorname{Id}_s$ for all *i* (Lemma 4.1). To see that $L(I_1, \ldots, I_s)$ is a $B_{k,n}$ -module, we need to check that the relations $x^k = y^{n-k}$ hold.

Remark 4.4. The module $L(I_1, \ldots, I_s)$ can be represented by a lattice diagram $\mathcal{L}_{I_1,\ldots,I_s}$ obtained by overlaying the lattice diagrams \mathcal{L}_{I_j} such that \mathcal{L}_{I_j} is above $\mathcal{L}_{I_{j+1}}$ for $j = 1, \ldots, s-1$, where the rims of \mathcal{L}_{I_j} and of $\mathcal{L}_{I_{j+1}}$ are meeting at at least one vertex and possibly share arrows but have no two-dimensional intersections. The vector spaces $V_0, V_1, V_2, \ldots, V_n$ are represented by columns from left to right (with V_0 and V_n to be identified). The vertices in each column correspond to some monomial \mathbb{C} -basis of $\mathbb{C}[t] \oplus \mathbb{C}[t] \oplus \cdots \oplus \mathbb{C}[t]$, depending on the sets I_1, \ldots, I_s . Note that the k-subset I_1 can then be read off as the set of labels on the arrows pointing down to the right which are exposed to the top of the diagram. Labels of successive k-subsets can be read off from successive levels in the diagrams. To illustrate this for s = 2, the lattice picture $\mathcal{L}_{I,J}$ for $I = \{2, 5, 8, 9\}$ and $J = \{1, 3, 7, 8\}$, (k, n) = (4, 9), is shown in Example 4.5.

Example 4.5. Let (k, n) = (4, 9). Consider the 4-subsets $I = \{2, 5, 8, 9\}$ and $J = \{1, 3, 7, 8\}$. The module L(I, J) is illustrated in Figure 5.



FIGURE 5. Lattice diagrams for $L(\{1,3,7,8\})$ and $L(\{2,5,7,8\},\{1,3,7,8\}), n = 9$.

Example 4.6. (1) Let s = 2. Then $\sigma = \begin{pmatrix} 0 & 1 \\ t & 0 \end{pmatrix}$ and $\sigma^2 = \begin{pmatrix} t & 0 \\ 0 & t \end{pmatrix}$. Here, $x_i = y_i = \sigma$ for $i \in (I_1 \setminus I_2) \cup (I_2 \setminus I_1), x_i = \mathrm{Id}_2, y_i = t \cdot \mathrm{Id}_2$ for $i \in I_1 \cap I_2$ and $x_i = t \cdot \mathrm{Id}_2, y_i = \mathrm{Id}_2$ for $i \in I_1^c \cap I_2^c$. (2) Let s = 3. Then $\sigma = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ t & 0 & 0 \end{pmatrix}, \sigma^2 = \begin{pmatrix} 0 & 0 & 1 \\ t & 0 & 0 \\ 0 & t & 0 \end{pmatrix}$ and $\sigma^3 = \begin{pmatrix} t & 0 & 0 \\ 0 & t & 0 \\ 0 & 0 & t \end{pmatrix}$. An instance of this is in [16, Example 6.5] where $I_1 = \{3, 6, 8\}, I_2 = \{2, 5, 8\}$ and $I_3 = \{1, 4, 7\}$ for (k, n) = (3, 8).

Proposition 4.7. $L(I_1, \ldots, I_s) \in CM(B_{k,n}).$

Proof. We first check that $L(I_1, \ldots, I_s)$ is a $B_{k,n}$ -module. By Remark 4.3, it remains to show that the relations $x^k = y^{n-k}$ hold. By construction, all the x_i and all the y_i commute. We have

$$\begin{array}{rcl} x^{k} & = & x_{i+k-1}x_{i+k-2}\cdots x_{i+1}x_{i} = \sigma^{s-r_{i+k-1}}\cdots \sigma^{s-r_{i+1}}\sigma^{s-r_{i}} \\ & = & \sigma^{sk-(r_{i+k-1}+r_{i+k-2}+r_{i+1}+r_{i})} \\ y^{n-k} & = & y_{i+k}y_{i+k+1}\cdots y_{i-2}y_{i-1} = \sigma^{r_{i+k}}\sigma^{r_{i+k+1}}\cdots \sigma^{r_{i-2}}\sigma^{r_{i-1}} \\ & = & \sigma^{r_{i+k}+r_{i+k+1}+\cdots +r_{i-2}+r_{i-1}} \end{array}$$

Now $r_1 + r_2 + \dots + r_{n-1} + r_n = sk = |\bigcup_{j=1}^s I_j|$ and so:

Since all the powers of σ are invertible, we get $x^k = y^{n-k}$.

Consider $L(I_1, \ldots, I_s)$ as a Z-module. It is a direct sum $V_1 \oplus V_2 \oplus \cdots \oplus V_n$ where each of the V_i has a basis of size s, hence $L(I_1, \ldots, I_s)$ is free over Z.

5. Rank 2 modules and root combinatorics

In this section, we deal with rigid indecomposable rank 2 modules and relate them with roots for associated Kac–Moody algebras.

Definition 5.1 (*r*-interlacing). Let I and J be two k-subsets of [1, n]. I and J are said to be *r*-interlacing if there exist subsets $\{i_1, i_3, \ldots, i_{2r-1}\} \subset I \setminus J$ and $\{i_2, i_4, \ldots, i_{2r}\} \subset J \setminus I$ such that $i_1 < i_2 < i_3 < \cdots < i_{2r} < i_1$ (cyclically) and if there exist no larger subsets of I and of J with this property.

If I and J are r-interlacing, then the poset of $I \mid J$ is $(1^r, 2)$, see Figure 3.1 for r = 3. The module in question is indecomposable for $r \ge 3$ (see Remark 3.2).

Proposition 5.2. Let I and J be r-interlacing. Then there exist $0 \le a_1 \le a_2 \le \cdots \le a_{r-1}$ such that, as Z-modules,

$$\operatorname{Ext}^{1}(L_{I}, L_{J}) \cong \mathbb{C}[[t]]/(t^{a_{1}}) \times \mathbb{C}[[t]]/(t^{a_{2}}) \times \cdots \times \mathbb{C}[[t]]/(t^{a_{r-1}}).$$

Proof. We assume that we have drawn the rims I and J one above the other, say I above J, as in the proof of Theorem 3.1 in [4, Section 3]. For every $i_{2s} \in J \setminus I$ we have that rims I and J are not parallel between points i_{2s-1} and i_{2s} , yielding a left trapezium. Similarly, for every $i_{2s+1} \in I \setminus J$ we have that rims I and J are not parallel between points i_{2s} and i_{2s+1} , yielding a right trapezium. Since I and J are r-interlacing, we have, in alternating order r-left and r-right trapezia, giving us in total r boxes. The statement now follows from the proof of Theorem 3.1 in [4] which says that $\text{Ext}^1(L_I, L_J)$ is a product of r-1 cyclic Z-modules.

Corollary 5.3. Let k = 3 and I and J be rims. Then $\text{Ext}^1(L_I, L_J) \cong \mathbb{C} \times \mathbb{C}$ if and only if I and J are 3-interlacing.

Proof. If I and J are 3-interlacing, then they are both unions of three one-element sets. Hence, all the lateral sides in the boxes from the above proof are of length 1 and the statement follows since a_i from the previous proposition are strictly positive, but at most equal to the lengths of the boxes involved.

Corollary 5.4. Let k = 3. If I and J are crossing but not 3-interlacing, then $\text{Ext}^1(L_I, L_J) \cong \mathbb{C}$.

Note that if in Corollary 5.4 we have $L_J = \tau(L_I)$, then we are in the situation of Theorem 3.12.

Proposition 5.5. Assume that (k, n) = (3, 9) or (k, n) = (4, 8). Let $M \in CM(B_{k,n})$ be a rigid indecomposable rank 2 module. Then $M \cong L_I \mid L_J$ where I and J are 3-interlacing.

Proof. Let $M = L_I | L_J$ be rigid indecomposable, with I and J r-interlacing. Since M is indecomposable, we get $r \in \{3, 4\}$. If r = 4, then we must have k = 4, and the only 4-interlacing 4-subsets are $I = \{1, 3, 5, 7\}$ and $J = \{2, 4, 6, 8\}$. Assume that $M = L_I | L_J$ is rigid. Then it has a filtration given by its profile. Moreover, if any other module is rigid with the same profile it is isomorphic to M. On the other hand, if M is rigid, then $\tau(M)$ is also rigid. If we compute $\tau^{-1}M = \Omega(M)$ in $CM(\Pi_{4,8})$, we obtain that they have the same filtration so $\tau^{-1}M = M$. So M and $\tau^{-1}(M)$ are the end-terms of an Auslander-Reiten-sequence and M is not rigid, a contradiction. Then I and J must be 3-interlacing.

Corollary 5.6. Let $M \in CM(B_{3,9})$ be a rigid indecomposable rank 2 module. Then $\varphi(M)$ is a real root for $J_{3,9}$ of degree 2.

Proof. By Proposition 5.5, $M \cong L_I \mid L_J$ with I and J 3-interlacing, so $I \cup J$ consists of six distinct elements of $\{1, 2, \ldots, n\}$. But then in $\underline{a}(M) = (a_1, \ldots, a_9)$ there are six entries equal to 1 and three entries equal to 0. Recalling the quadratic form $q(\underline{a})$ of equation (2.1), we have $q(\underline{a}) = \sum a_i^2 - \frac{1}{9} (\sum a_i)^2 = 6 - 4 = 2.$

We observe that there exist rigid rank 2 modules corresponding to imaginary roots, an example is $L_{2568} \mid L_{1347}$ ([16, Figure 13]). We expect that if we impose that the modules correspond to real roots, we get a counterpart to Proposition 5.5.

Proposition 5.7. Let M be a rank 2 indecomposable module with profile I|J. If M corresponds to a real root of $J_{k,n}$, then $|I \cap J| = k - 3$.

Proof. Assume that $|I \cap J| = k - 3 - m$ for some $m \ge 0$. Note that $|I \cap J|$ cannot be greater than k-3, because in this case, the corresponding poset would be either $(1^2, 2)$ or (1, 2), which do not correspond to an indecomposable module. If there are k-3-m common elements in I and J, then the corresponding vector, say \underline{a} , has k-3-m coordinates equal to 2, 2(m+3)coordinates equal to 1, and the rest are equal to 0. If we apply our quadratic form q to this vector \underline{a} , then we get that $q(\underline{a}) = 2 - 2m$. In order for \underline{a} to be real, it has to be that m = 0. \Box

Example 5.8. Assume (k, n) = (3, 9) and M is a rank 2 indecomposable module with profile I|J. The conditions on I and J imply that the form q of such a module evaluates to 2, as in that case, $\underline{a} = (1, 1, 1, 1, 1, 1, 0, 0, 0)$, up to permuting the entries.

Corollary 5.9. Let M be a rank 2 indecomposable module corresponding to a real root. Then the poset of M is $(1^3, 2)$.

Proof. If the poset is of the form $(1^r, 2)$, where $r \ge 4$, then $|I \cap J| < k - 3$. If $r \le 2$, then the module in question is not indecomposable.

It follows that necessary conditions for a rank 2 indecomposable module M with profile I|J to be rigid are $|I \cap J| = k - 3$ and that the poset of M is $(1^3, 2)$. Unfortunately, we do not know if these conditions are sufficient in the general case. We will show that these conditions are sufficient in the tame cases, and we conjecture that it holds in general.

Notation 5.10. Let I and J be 3-interlacing k-subsets. We say that I and J are tightly 3-interlacing if $|I \cap J| = k - 3$.

Lemma 5.11. Let $M \in CM(B_{k,n})$ be a module with $M \cong L_I \mid L_J$ where I and J are tightly 3-interlacing. Then M is indecomposable and q(M) is a real root for $J_{k,n}$.

Proof. The poset of M is $(1^3, 2)$, thus M is indecomposable. Since $|I \cap J| = k - 3$, up to permuting the entries, $\underline{a}(M) = (\underbrace{2, \ldots, 2}_{k-3}, \underbrace{1, \ldots, 1}_{6}, 0, \ldots, 0)$, yielding q(M) = q(a) = 2.

Lemma 5.12. (1) Let I and J be r-interlacing, for $r \ge 3$. Then L(I, J) is a rank 2 module in $CM(B_{k,n})$ with filtration $L_I \mid L_J$.

(2) If I and J are tightly 3-interlacing, then L(I, J) and L(J, I) are indecomposable.

Note that Lemma 5.12 (2) provides modules with cyclically reordered filtration, as discussed in [16, Observation 8.2].

Proof. (1) The module L_J embeds in L(I, J) diagonally via the map $a \mapsto (a, a)$ lattice point wise, as in Figure 5 of Example 4.5, sending column U_i to column V_i in a way to get an image of L_J as high up as possible. This yields an exact sequence $0 \to L_J \to L(I, J) \to L_I \to 0$, giving the claimed filtration.

(2) The indecomposability follows from the fact that for tightly 3-interlacing k-subsets both modules have poset $(1^3, 2)$.

We expect that tightly 3-interlacing subsets always yield rigid modules. Combined with the preceding statements, this would give us:

Conjecture 5.13. Fix (k, n) with $k \ge 3$. Let I and J be tightly 3-interlacing. Then L(I, J) is a rigid indecomposable rank 2 module.

Theorem 3.12 provides further evidence for Conjecture 5.13 for arbitrary (k, n): We can use part 1 to find many examples of rigid indecomposable rank 2 modules $L(X, Y) = L_X | L_Y$ where X and Y are tightly 3-interlacing k-subsets satisfying $|X \cap Y| = k - 3$ by choosing j = i + 3 in the theorem.

Conjecture 5.14. Let M be a rank 2 module with poset $(1^r, 2)$, for $r \ge 4$. Then M is not rigid.

We recall that in the finite cases with k = 3, the numbers of (rigid) indecomposable rank 2 modules are 2 (for n = 6), 14 (for n = 7) and 56 (for n = 8). All these correspond to real roots for the associated Kac–Moody algebra $J_{k,n}$.

In the tame cases (3,9) and (4,8), we will show that there are, respectively, 168 and 120 rigid indecomposable modules of rank 2. This follows from Proposition 5.5 and the fact that in these cases, the real root in question has to correspond to a 9-tuple (a_1, \ldots, a_9) with six entries equal to 1 and zeros elsewhere, or to an 8-tuple (a_1, \ldots, a_8) with one entry equal to 2, six entries equal to 1 and one 0 respectively. We will confirm the above numbers explicitly in the next two sections by computing all tubes that contain rank 2 modules in the Auslander-Reiten quiver.

This leads us to a conjectured formula for the number of rigid indecomposable rank 2 modules which correspond to real roots. The 3-interlacing property (Proposition 5.5) yields the factor $\binom{n}{6}$, a choice of 6 elements from [1, n], say $\{1 \leq i_1 < j_1 < i_2 < j_2 < i_3 < j_3 \leq n\}$, with $\{i_1, i_2, i_3\} \subset I$ and $\{j_1, j_2, j_3\} \subset J$. If Conjecture 5.13 is true, each pair I and J of 3-interlacing subsets where the remaining k-3 labels are common to I and J yields two rigid indecomposable rank 2 modules. Using the map from indecomposable modules to roots for $J_{k,n}$ (see Section 2.2) we see that these give rise to real roots. So there is a choice of k-3 elements from the remaining n-6 elements of [1, n], yielding a factor $\binom{n-6}{k-3}$. Finally, there is a factor 2 which arises from the choice of which of these subsets is I and which is J. The above arguments give an upper bound for the number of rigid indecomposable rank 2 modules corresponding to real roots.

Conjecture 5.15. Let $3 \le k \le n/2$. For every real root α of degree 2 there are exactly two nonisomorphic rigid indecomposable rank 2 modules M_1 and M_2 such that $\varphi(M_1) = \varphi(M_2) = \alpha$. Thus, there are $2\binom{n}{6}\binom{n-6}{k-3}$ rigid indecomposable rank 2 modules corresponding to real roots. *Remark.* Note that we have proved (Proposition 5.7, Corollary 5.9) that the number $2\binom{n}{6}\binom{n-6}{k-3}$ is the number of indecomposable rank 2 modules corresponding to real roots. Combinatorially, indecomposable rank 2 modules corresponding to real roots are determined by the conditions $|I \cap J| = k - 3$ (which follows from the quadratic form) and the poset of the module is $(1^3, 2)$ (which follows from the indecomposability requirement).

6. The tame case (k, n) = (3, 9)

Here we will describe all the tubes of $CM(B_{3,9})$ which contain rank 1 and rank 2 modules. Recall that every exceptional tube of rank s contains s - 1 τ -orbits of rigid modules. We will write down the τ -orbits where we can give explicit filtrations. In the end, we use this to find the number of rigid indecomposable rank 2 modules in $CM(B_{3,9})$. We start by describing all such tubes of rank 6 in Figure 6. For example, Figure 6(A) shows one of the three tubes containing projective-injective modules, Figure 6 (B) shows one of the three tubes with modules of the form $L_{i,i+1,i+6}$.



FIGURE 6. Rank 6 tubes for $CM(B_{3,9})$

Next we give the rank 3 tubes containing rigid modules in Figure 7. There are two such types (three tubes of this form).



FIGURE 7. Rank 3 tubes for $CM(B_{3,9})$

Furthermore, there are two types of tubes of rank 2, described in Figure 8. The modules in the second τ -orbit are not rigid. To indicate this, they are written in grey.



FIGURE 8. Rank 2 tubes for $CM(B_{3,9})$

6.1. Counting rigid indecomposables for Gr(3,9).

By Proposition 5.5 there are at most 168 rigid indecomposable rank 2 modules in $CM(B_{3,9})$, because there are 84 3-interlacing pairs (I, J) in that case. The tubes with rank 1 modules contain 84 rigid rank 2 modules. The remaining 84 rank 2 modules are at the mouths of further tubes of rank 2,3 and 6. To sum up, the number of rigid indecomposable modules of rank 1, 2 and 3 in the above tubes, listed by rank is:

rank	1	2	3
#	84	168	117

We have collected all rank 1 and rank 2 rigid indecomposable modules. Since there are 168 rigid indecomposable modules whose roots are real, and 84 real roots of $J_{3,9}$ of degree 2, we have proved that for every real root of degree 2 there are two rigid indecomposable modules $L_J|L_I$ and $L_I|L_J$. Moreover in this case the number of rigid indecomposables of rank 2 is *exactly* twice the number of real roots of degree 2.

Therefore, both Theorem 2 and Conjecture 5.15 hold in this case.

7. The tame case (k, n) = (4, 8)

Here we will describe all the tubes of $CM(B_{4,8})$ which contain rank 1 and rank 2 modules, as in the previous section for (k, n) = (3, 9). We use this to find the number of rigid indecomposable rank 2 modules in this case. We start by describing all such tubes of rank 4 in Figure 9. For example, Figure 9(A) shows one of the four tubes containing projective-injective modules, Figure 9 (B) shows one of the four tubes with rank 1 modules for 4-subsets of the form $I = \{i, i+1, i+2, i+5\}$.



FIGURE 9. Rank four tubes for $CM(B_{4,8})$.

In addition to these, there are two types of rank 2 tubes in $CM(B_{4,8})$. They are described in Figure 10. As before, grey indicates non-rigid modules.



FIGURE 10. Rank 2 tubes for $CM(B_{4,8})$

7.1. **Summing up.** To sum up, the number of rigid indecomposable modules of rank 1,2 and 3 in all these tubes is:

rank	1	2	3
#	70	120	82

Since there are 70 rank 1 modules for (4,8), we have covered all tubes containing such modules. Overall, there are 120 rank 2 rigid indecomposable modules. Among these, there are eight modules that do not correspond to the real roots. These are the modules with profile of the form 1246|3578. So there are 112 rigid indecomposable modules of rank 2 that correspond to real roots of $J_{4,8}$. Since there are 56 roots of degree 2, we have shown that the number of rigid indecomposable rank 2 modules corresponding to real roots is twice the number of real roots of degree 2 for $J_{4,8}$. Also, for every rank 2 rigid indecomposable module whose root is real, and whose filtration is $L_I|L_J$, there exist a rigid indecomposable module with filtration $L_J|L_I$. Therefore, both Theorem 2 and Conjecture 5.15 hold in this case.

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