



Deposited via The University of York.

White Rose Research Online URL for this paper:

<https://eprints.whiterose.ac.uk/id/eprint/146616/>

Version: Accepted Version

---

**Article:**

Gadelha, Hermes Augusto Buarque and Gaffney, Eamonn A. (2019) Flagellar ultrastructure suppresses buckling instabilities and enables mammalian sperm navigation in high-viscosity media. *Journal of the Royal Society Interface*. 20180668. ISSN: 1742-5662

<https://doi.org/10.1098/rsif.2018.0668>

---

**Reuse**

Items deposited in White Rose Research Online are protected by copyright, with all rights reserved unless indicated otherwise. They may be downloaded and/or printed for private study, or other acts as permitted by national copyright laws. The publisher or other rights holders may allow further reproduction and re-use of the full text version. This is indicated by the licence information on the White Rose Research Online record for the item.

**Takedown**

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing [eprints@whiterose.ac.uk](mailto:eprints@whiterose.ac.uk) including the URL of the record and the reason for the withdrawal request.



**Subject Areas:**

xxxxx, xxxxx, xxxx

**Keywords:**

xxxx, xxxx, xxxx

**Author for correspondence:**

Insert corresponding author name

e-mail: [hermes.gadelha@york.ac.uk](mailto:hermes.gadelha@york.ac.uk)

Flagellar ultrastructure  
suppresses buckling  
instabilities and enables  
mammalian sperm navigation  
in high viscosity media

Hermes Gadêlha<sup>1,2</sup>, Eamonn A. Gaffney<sup>2</sup>

<sup>1</sup>Department of Mathematics, University of York, York YO10 5DD, UK.

<sup>2</sup>Wolfson Centre for Mathematical Biology, Mathematical Institute, University of Oxford, Oxford OX2 6GG, UK.

Eukaryotic flagellate swimming is driven by a slender motile unit, the axoneme, which possesses an internal structure that is essentially conserved in a tremendous diversity of sperm. Mammalian sperm, however, which are internal fertilisers, also exhibit distinctive accessory structures that further dress the axoneme and alter its mechanical response. This raises the fundamental question of what is the functional significance of these structures, how they affect the flagellar waveform and ultimately cell swimming. Hence we build on previous work to develop a mathematical mechanical model of a virtual human sperm to examine the impact of mammalian sperm accessory structures on flagellate dynamics and motility. Our findings demonstrate that the accessory structures reinforce the flagellum, preventing waveform compression and symmetry breaking buckling instabilities on increasing the viscosity of the surrounding media. This is in agreement with previous observations of internal and external fertilizers, such as human and sea-urchin spermatozoa. In turn, possession of accessory structures entails that the progressive motion during a flagellum beat cycle can be enhanced as viscosity is increased within physiological bounds. Hence the flagella of internal fertilisers, complete with accessory structures, are predicted to be advantageous in viscous physiological media compared to watery media for the fundamental role of delivering a genetic payload to the egg.

The mammalian sperm flagellum differs crucially from the simplest flagellar axonemes found in nature [1,2]. In addition to the intricate  $9 + 2$  axonemal scaffolding of the simple structure, each of the nine concentric axonemal microtubule doublets is attached to an outer dense fibre (ODF), forming a complex known as the  $9 + 9 + 2$  flagellum [3,4], as depicted in Fig. 1(a). These ODFs consist of highly condensed, modified intermediate cytoskeletal filaments strengthened by disulfide linked keratin proteins, and taper along the flagellum length, terminating prior to the distal end. The  $9 + 9 + 2$  complex is further surrounded by a proteinaceous keratin-like material, which forms the fibrous sheath (FS) that provides two further longitudinal columns that are diametrically opposite and also taper along the flagellum, from the mid-piece to the principal piece, in effect structurally replacing two of the ODFs at the principal piece [2–4] (Fig. 1(a)). In addition, the mammalian flagellum is proximally sheathed by mitochondria in the midpiece, and all of this flagellar structure is enveloped by a cell membrane.

Despite the mechanical significance of the ultrastructural flagellar components exhibited by mammalian sperm, little is known about their biological function nor the evolutionary pressures that lead to these critical flagellar adaptations [4]. Since the first electron-micrograph observations, it has been conjectured that the ultrastructural complex is necessary to provide the structural and mechanical support to stabilize long flagella observed in most mammalian spermatozoa [2,3,5–9]. In particular, Lindemann [9] hypothesised that only a reinforced flagellum would be capable of harnessing the increased power from a larger number of molecular motors present in a long flagellum, which is especially relevant for motility within the highly viscous fluids of the mammalian female reproductive tract [10].

Such ideas are reinforced by experimental observations of sea urchin sperm, which possess flagella that consist of a simple axoneme with no additional ultrastructure [11]. In particular when migrating in a high viscosity methylcellulose solution with a similar viscosity to cervical mucus in the mammalian reproductive tract [10], such sperm reveal a rich flagellar dynamics (Fig. 1(b) and [11]), consistent with theoretical predictions of a flagellar buckling instabilities [12]. Woolley & Vernon [11] further reported symmetric wave compression behaviour, as also illustrated in Fig. 1(b), and even more extreme levels of flagellar wave confinement if the head is attached to the coverslip, indicating sensitivity to dynamical constraints of the sperm head. Elasto-hydrodynamic systems are well known for its sensitivity to boundary conditions [13,14]. Indeed, non-linear flagellar instabilities [10] are dramatically changed upon different end conditions, from fixed to free sperm head constraints. In particular, the magnitude of the symmetry-breaking events is highly dependent on the head size of free swimming spermatozoa, where tight circular swimming trajectories are associated with large head sizes, as depicted in Fig. 1(e). In contrast, a very distinct behaviour is observed for human spermatozoa migrating in methylecellulose solutions of similarly high viscosity [10], as depicted in Fig. 1(c), where the flagellar wave form is characterised instead by a smooth flagellar meandering envelope with a gradual increase of the wave amplitude along the flagellum. In particular, the human sperm flagellum responds very differently to viscosity increases compared to sea urchin. This may be observed by contrasting Fig. 1(b) with Fig. 1(c). The latter highlights how the ultrastructural components of the mammalian flagellum are likely to have a major impact on the flagellar waveform, and thus the cell swimming behaviour in high viscosity media.

Despite such observational evidence for the structural significance of accessory structures on flagellar bending propagation and modulation [4,10,11], their influence on the swimming behaviour of mammalian spermatozoa have been markedly overlooked in the literature. In the inaugural theoretical study, Lindemann [8,9] modified the geometric clutch model [15] to incorporate the effect of accessory structures, by assuming a linear tapering of the elastic stiffness along the arlength, for immobilised sperm cells. The model successfully predicted a reduction in the maximum flagellar curvature caused by the additional stiffness, together with a qualitative agreement for bull sperm experiments. Eleven years later, Riedel et al. [16] investigated the effects of *perturbative*, and thus small, structural inhomogeneity, via a linear decay of the bending rigidity. In particular, several existing models incorporating molecular motor coordination

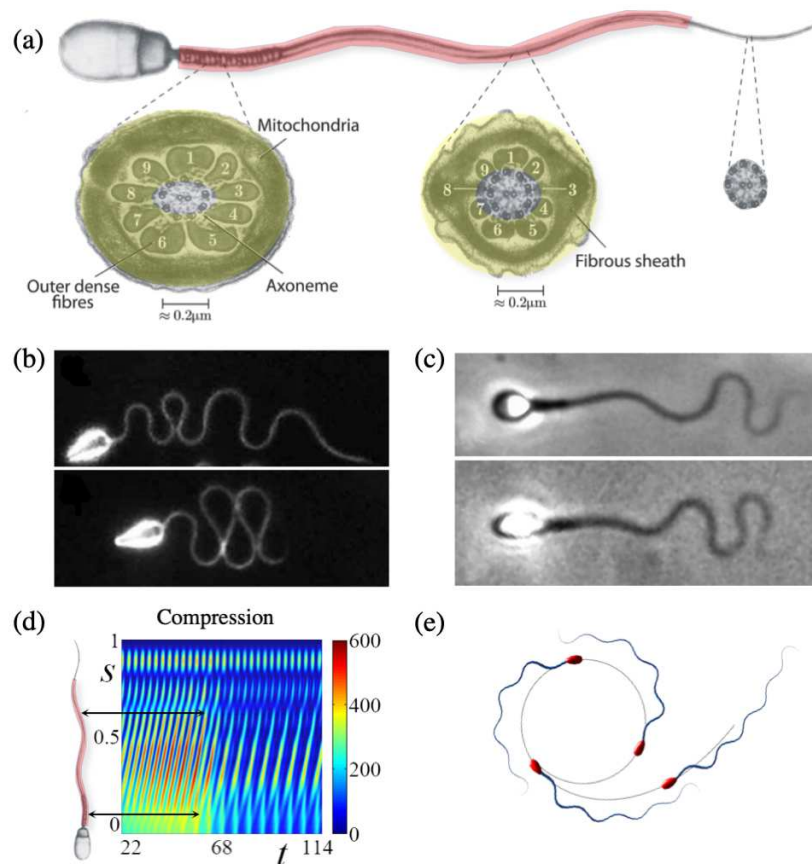


Figure 1: A comparison between the mammalian sperm and the naive axonemal flagellum. (a) Illustration of a mammalian flagellum, depicting the additional reinforcing structures (red shading) and the cross-section of the mid-piece, characterised by the presence of nine outer dense fibres exterior to the axoneme and a fibrous sheath (yellow shading); all reinforcing fibres gradually taper along the flagellum, ending prior to the distal tip of the sperm. (b) Wave-compression and symmetry breaking buckling instabilities in a high viscosity methylcellulose solution for sea urchin sperm, which possess a flagellum without accessory structures of length  $\sim 42\mu\text{m}$ ; reproduced from [11], permission pending. (c) Human spermatozoa, with reinforcing accessory structures on a flagellum of length  $\sim 50\mu\text{m}$ , swimming in a similar highly viscous methylcellulose solution, which highlights a suppression of buckling instabilities; reproduced from [10] with permission pending. (d) Modelling predictions for the non-dimensional absolute compression, as a function of time  $t$  and arclength  $s$  for a naive flagellum undergoing buckling instability in high viscosity [12]. The predicted transition can induce high curvatures and asymmetric waveforms, inducing circular swimming paths, depicted in (e), with smaller circular radius for spermatozoa with larger heads. Note that plot (d) shows that high elasto-hydrodynamic internal compression is predicted at regions where the ultra-structural components are larger in mammalian flagellum (black arrows in (d)). Plots (d,e), have been adapted from [10] with permission.

dynamics [15,17,18] were compared with the flagellar beating of bull spermatozoa. A satisfactory fitting was reported for the self-organization model with load dependent detachment rate of motors [18,19], if the variation of the elastic stiffness along the flagellum is negligible. The model

curve fitting was not significantly improved by the flagellar tapering of the bending stiffness [16]. This suggested that the flagellar ultrastructural components may play a minor role in shaping the flagellar waveform at the perturbative level, and thus at the leading linear order approximation considered. More recently, the role of temporal nonlinearities arising from second harmonics of the flagellar beat was also shown to contrast very well with experiments, without reoccurring to the spatial structural inhomogeneity present along the human sperm flagellum [20]. These results are, however, in contrast with estimates from videomicroscopy experiments Gaffney et al. [4] for the distribution of hydrodynamic, elastic, and internal sliding bending moments, which did not treat flagellar mechanical heterogeneity as a perturbation, nor the flagellar beat was truncated in the frequency domain [4]. In another empirical investigation, Lindemann and co-workers [21,22] estimated the internal sliding forces by considering an exponential decay of the flagellum stiffness. An approximately constant magnitude of the sliding force along the arclength was reported, agreeing with [4]. The flagellar elastic tapering was equally observed to influence the beating pattern of hyperactivated mammalian sperm [23], which was biochemically regulated within a model assuming a significant varying tensile stiffness. To date, theoretical investigations focusing on the effects of ultrastructural flagellar apparatus on the sperm swimming in high viscous fluids are still lacking in the literature.

Here, our fundamental aim is to extend the elasto-hydrodynamic formulation presented in Gadêlha et al. [12] to study the mechanical role of accessory flagellar structures and their tapering in mammalian spermatozoa (Fig. 1(a)). Hence we investigate the impact of the flagellar bending stiffness decreasing monotonically and significantly with arclength for a virtual model of a free swimming human sperm. In particular we will explore the observation highlighted in [12] that the ultrastructural components reinforce regions along the flagellum where high compression is expected, as illustrated in Fig. 1(d). In the absence of flagellar reinforcement, this compression mechanics is predicted to subsequently induce asymmetric waveforms and circling trajectories, as shown in Fig. 1(e). Hence we explore the resulting consequences of flagellar reinforcement by accessory structures for waveform formation and cell motility in light of such mechanics, together with a consideration of how the underlying model dynamics is altered by the heterogeneity of the structural mechanics.

## 1. Flagellar ultrastructure elasto-hydrodynamic formulation

To proceed, we generalise the planar sliding filament mechanism [12,16,17,19,24–31] to incorporate the role of tapering in the accessory flagellar structures of mammalian sperm cells. The model axoneme filaments are represented by a pair of parallel, planar elastic Euler-Bernoulli rods, depicted in black in Fig. 2a, and associated with a reinforcing structure, represented by the light blue shading. The model filaments are assumed to be homogeneous, inextensible and separated by a constant gap space  $b$ , which corresponds to the axoneme diameter. Dynein motors induce active sliding stresses along the axoneme, thus inducing a relative sliding couple acting on the model axonemal filaments and the paired ultrastructural components. However, at the connecting piece of the sperm head junction, the axonemal filaments are highly constrained [11] and we assume no interfilament sliding is permitted at this point; thus the filaments bend due to the dynein couples, which is the basis of the sliding-filament mechanism.

We describe the flagellum position, relative to the laboratory frame of reference, by its neutral line  $\mathbf{X}(s, t)$  (see Fig. 2a), noting that  $t$  is time and  $s$  denotes the distance along the flagellum with  $0 \leq s \leq L$ , where  $L$  is the filament length. The local flagellum coordinate system is represented as an orthonormal pair with a positive orientation  $\{\hat{s}, \hat{n}\}$ , where  $\hat{s} = \mathbf{X}_s \equiv \partial \mathbf{X} / \partial s$  is the tangent vector and  $\hat{n}$  is the vector normal to the flagellum centreline (Fig. 2a). The flagellar dynamics is inertialess to an excellent approximation and is governed by balancing the viscous drags and couples per unit length with the internal forces and torques, both structural and dynein induced, per unit length.

We generalise the elasto-hydrodynamic formulation presented in Ref. [12] by incorporating the effect of the ultrastructural components, captured by the effective elastic stiffness  $E(s)$  that varies

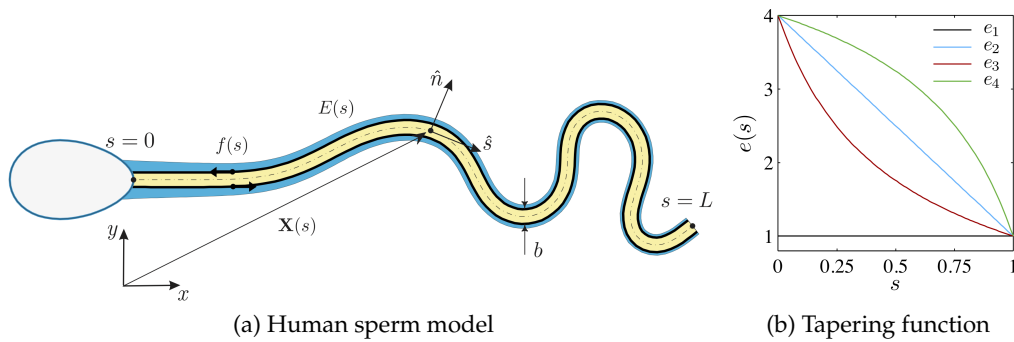


Figure 2: A schematic of the sliding filament mechanism modified by the ultrastructural components of mammalian spermatozoa. Relative to a laboratory fixed frame  $\{\hat{x}, \hat{y}\}$ , the vector  $\mathbf{X}(s, t)$  describes the position of the flagellum neutral line (dashed curve) at time  $t$ . The internal shear force  $f(s, t)$  is acting tangentially and in opposite directions on each sliding filament (solid black arrows) within the axoneme (light yellow shading) with diameter  $b$ , with the flagellar reinforcing structure given by the light blue shading. Note that relative spatial extent of the shaded regions are not to scale. The reinforcing structures are paired with each sliding filament, with a spatial heterogeneity that is captured by an effective elastic stiffness  $E(s)$ , which monotonically decays with the arclength  $s$ . (b) Tapering functions, as discussed in Sec. (b): (i)  $e_1$ , represents the absence of additional structures, (ii)  $e_2$ , a linear taper [8,9], (iii)  $e_3$ , a cotangent taper, corresponding to the qualitative trend in flagellum stiffness measurements [21,22], and (iv) an inverse cotangent taper, relative to the function  $e_2$ .

along the arclength  $s$ . Nondimensionalising with respect to the length scale  $L$ , time scale  $\omega^{-1}$  and force density  $E_0/L^3$ , for a given beating frequency  $\omega$  and a constant axonemal elastic stiffness  $E_0$ , the modified dimensionless elastohydrodynamic equation for a mammalian flagellum is given by

$$\text{Sp}^4 \mathbf{X}_t = - (e \mathbf{X}_{ss})_{ss} - (\gamma - 1) (e \mathbf{X}_s \cdot \mathbf{X}_{ssss} + 2e_s \mathbf{X}_s \cdot \mathbf{X}_{sss}) \mathbf{X}_s + (T \mathbf{X}_{ss} + \gamma T_s \mathbf{X}_s) + (f_s \hat{n} + \gamma f \hat{n}_s), \quad (1.1)$$

where the subscripts  $s$  and  $t$  respectively denote differentiation with respect to arclength and time. The sliding force density within the axoneme is given by  $f(s, t)$ ,  $\gamma = \xi_{\perp} / \xi_{\parallel}$  is the ratio between the perpendicular,  $\xi_{\perp}$ , and parallel,  $\xi_{\parallel}$ , fluid dynamic resistance coefficients. The function  $e(s)$  represents the flagellar bending stiffness relative to the axoneme stiffness, referred to here as the tapering function, as we discuss in Sec. (b) below and in Fig. 2b. The dimensionless sperm-compliance parameter,

$$\text{Sp} = L \left( \frac{\omega \xi_{\perp}}{E_0} \right)^{1/4}, \quad (1.2)$$

characterises the relative importance of elastic forces to viscous drag [32]. The non-dimensional tensile force  $T(s, t)$  is the Lagrange multiplier for the inextensibility constraint, and it is implicitly determined by the identity  $\mathbf{X}_s \cdot \mathbf{X}_s = 1$ ,

$$\begin{aligned} \gamma T_{ss} - (\mathbf{X}_{ss} \cdot \mathbf{X}_{ss}) T &= -3e\gamma (\mathbf{X}_{sss} \cdot \mathbf{X}_{sss}) - e(3\gamma + 1) (\mathbf{X}_{ss} \cdot \mathbf{X}_{ssss}) \\ &- e_s (7\gamma + 2) (\mathbf{X}_{ss} \cdot \mathbf{X}_{sss}) - e_{ss} (2\gamma + 1) (\mathbf{X}_{ss} \cdot \mathbf{X}_{ss}) \\ &- (\gamma + 1) (\hat{n}_s \cdot \mathbf{X}_s) f_s - \gamma (\hat{n}_{ss} \cdot \mathbf{X}_s) f. \end{aligned} \quad (1.3)$$

In the absence of structural components, the tapering function is a constant,  $e(s) = 1$ , and the governing equations are equivalent to earlier models [12,19,25,33]. A variety of derivations of active elastohydrodynamic systems have been presented in the literature, and thus these are not

reproduced here. Instead, we direct the reader to excellent discussions and detailed derivations in Refs. [12,19,25,29,31,32,34–38] and their appendices.

Empirical estimates of the effective sliding moment density [4], resulting from the coupling between the dynein molecular motor activity and the passive cross linking proteins within the flagellum [10,16,39–41], indicate that the observed flagellar waveform of human sperm migrating in high-viscosity fluid can be captured by a simple travelling wave of dynein contraction, with a single characteristic frequency, and approximately constant magnitude along the flagellum length [10,42,43]. This in-situ observation has motivated the use of a simple prescribed travelling wave to model the internal sliding density,

$$f(s, t) = a \cos(ks - t), \quad 0 \leq s < 1, \quad (1.4)$$

where  $a$ ,  $k$  are respectively the dimensionless force amplitude and wavenumber. This allows the investigation of pure elasto-hydrodynamical effects arising from flagellar structural tapering, unbiased by further complications associated with dynein control. We further consider the distal end of the flagellum to be free from sliding forces due to the lack of structural and motor elements at the very most distal part of the flagellum [2,3,5,6,44].

### (a) Boundary Conditions

The equations governing the flagellar dynamics are complemented by boundary conditions, in which either the movement of the flagellar endpoints is specified or a balance of forces and torques at each end is imposed [45]. In particular, at the distal boundary,  $s = 1$ , the flagellum is free to move and, therefore, the external contact forces and torques are zero, i.e.

$$\begin{aligned} 0 &= \mathbf{F}_{\text{ext}} = -e \mathbf{X}_{sss} - e_s \mathbf{X}_{ss} + f \hat{\mathbf{n}} + T \mathbf{X}_s, \\ 0 &= \mathbf{M}_{\text{ext}} \times \mathbf{X}_s = e \mathbf{X}_{ss}. \end{aligned} \quad (1.5)$$

At the proximal end,  $s = 0$ , the flagellum is driving the sperm head and thus experiences a non-dimensional viscous drag force,  $\mathbf{F}_{\text{head}}$ , and moment,  $\mathbf{M}_{\text{head}}$ , given by

$$\begin{pmatrix} \mathbf{F}_{\text{head}} \\ \mathbf{M}_{\text{head}} \end{pmatrix} = \mathcal{L}^4 \mathcal{R}(r/L, t) \begin{pmatrix} \mathbf{U} \\ \Omega \end{pmatrix}, \quad (1.6)$$

where  $\mathcal{L} = L(\eta\omega/E_0)^{1/4}$ , and  $\eta$  denotes the fluid viscosity.  $\mathcal{R}$  represents the dimensionless grand-resistance matrix for the sperm head, and depends on the head morphology, though we consider a ‘human-like’ sperm head geometry taken from [46]; in addition  $r$  denotes the distance between the head centre of mass and the sperm head-flagellum junction at  $s = 0$ . The torque and force balance at  $s = 0$  yield the required boundary condition for the flagellum in terms of the motion of the sperm head from a specification of the head velocity field  $(\mathbf{U}, \Omega)$ , via

$$\begin{aligned} \mathbf{F}_{\text{head}} &= e \mathbf{X}_{sss} + e_s \mathbf{X}_{ss} - f \hat{\mathbf{n}} - T \mathbf{X}_s, \\ \mathbf{M}_{\text{head}} \times \mathbf{X}_s &= -e \mathbf{X}_{ss} - \hat{\mathbf{n}} \int_0^1 f(s') ds', \end{aligned} \quad (1.7)$$

where the linear and angular velocity coupling with the basal flagellar movement via  $\mathbf{X}_t|_{s=0} = \mathbf{U}$  and  $[\mathbf{X}_s \cdot (\hat{\mathbf{z}} \times \mathbf{X}_{ts})]|_{s=0} = \Omega$  closes the system.

### (b) Ultrastructure tapering function

The dimensionless tapering function  $e(s)$ , depicted in Fig. 2b, captures the arclength variation of the bending stiffness associated with the tapering of the flagellar ultrastructure. These reinforcing components gradually decrease in size, terminating prior to the distal tip of the flagellum (Fig. 1). Here, the tapering function,  $e(s)$ , measures the flagellar bending stiffness relative to the bending stiffness of the axoneme,  $E_0 = 0.9 \times 10^{-21} \text{ Nm}^2$  [47–49], taken from demembrated sperm axonemal flagella of sea urchin *Lytechinus pictus*. Direct measurements of bending stiffness

for human sperm flagellum are not available, however estimates can be inferred from rat sperm measurements, given the similarity of the ultrastructural components [4]. Mammalian flagellar structural properties are observed to allometrically scale with geometry, consistent with a fixed material [1], with the exception of the anomalous bull and guinea pig sperm. Since the cross-sectional area of the human mid-piece outer dense fibre is  $1/8$  that of the rat [1], the prediction for the bending stiffness of human flagellum yields  $4 \times 10^{-21} \text{ Nm}^2$  in this region, about four times the stiffness of the axoneme. Due to the absence of quantitative studies on the elastic properties of accessory structures in other sections of the flagellum, we smoothly fitted the upper and lower bound of bending stiffness so that  $1 \leq e(s) \leq 4$ . We considered four distinct cases for comparison purpose, illustrated in Fig. 2b: (i) a constant function  $e_1$ , representing the absence of additional structural components characteristic of simple flagellar axonemes [47–49], (ii) a linear decaying taper  $e_2$ , as utilised in Refs. [8,9,16], (iii) a cotangent decaying function  $e_3$ , motivated from spatially resolved data [21,22], and therefore the biologically relevant case, and finally (iii) an inverse cotangent decay  $e_4$ , representing the mirror image of  $e_3$  relative to the linear function  $e_1$ .

### (c) Resistive Force Theory

One should note that the above framework captures the viscous interaction between the flagellum and the surrounding medium by resistive force theory (RFT), which approximates the local drag on a flagellar element by using the leading order term of slender-body hydrodynamics [50]. Although RFT is theoretically only valid for sufficiently slender filaments that are of sufficiently low curvature and sufficiently far from intersection or self-intersection, its region of validity is still not entirely clear, given reasonable accuracy is regularly observed in comparison studies [14,32,34,51,52]. In particular, agreement with the high-precision microscopy imaging of bull sperm flagella is especially relevant in the context of this study [16,41].

Furthermore, RFT is popular in negotiating the complications of elastic and hydrodynamic interactions, including relaxational and forced dynamics of stiff polymers [13,14,29,51,53–58], as well as flagellar dynamics, for example [16–19,25,27–29,52,53,59–64]. While RFT is an approximation, its popularity in these previous elasto-hydrodynamical investigations arises from investigation objectives that are concerned with overall mechanism rather than precise prediction. Under such circumstances, RFT is generally fit for purpose, and brings the additional convenience of mitigating the extreme numerical stiffness of elasto-hydrodynamic systems, especially in the presence of buckling instabilities, and we analogously inherit these motivations and considerations in implementing RFT for the current study.

### (d) Model parameter estimation

Numerical simulations were carried out for a free swimming cell with a ‘human-like’ sperm head geometry taken from [46], with dimensions  $4.5 \times 2.8 \times 1.12 \mu\text{m}$ , and assuming a fluid dynamic resistance ratio  $\gamma = 2$ . For human sperm experiments [4,10,12], the sperm number may vary from  $\text{Sp} = 4$ , for low viscosity, watery, in-vitro fertilisation medium, up to  $\text{Sp} = 24$ , for a cervical mucus substitute, assuming Newtonian behaviour. We focus our attention on the consequences of a high viscosity medium and thus the high sperm number regime  $\text{Sp} = 20 - 25$ , although we also display results for  $\text{Sp}$  as low as 5 for comparison.

Estimates for the sliding force density magnitude,  $a$ , may be inferred from measurements of the sliding bending moment density [4], extracted from spatial and temporal cinemicroscopy for a swimming cell, or from direct force measurements of molecular motors [65,66]. Indirect measurements of the sliding bending moment density extracted from human sperm indicate a maximum magnitude of  $8 \times 10^{-10} \text{ N}$ , when the tapering of the structural components is taken into account. Taking the axonemal diameter  $b = 200 \text{ nm}$  [21], with a maximum flagellar length of  $L = 60 \mu\text{m}$ , the upper limit of the dimensionless force density magnitude yields  $a = 3200$ . The inferred sliding bending moment density in Ref. [4] is also in agreement with direct measurements of the total force that a dynein motor can generate, which ranges between 2 – 8 pN per motor

domain for, respectively, the inner arm axonemal dynein and cytoplasmic dynein [65,66]. In this context, we may also add the intrinsic contribution from the inter-doublet elastic resistance within the axoneme, observed to be 2 pN per structural repeat for flagellar axoneme [67], which yields an average sliding force density magnitude of  $a = 2400$ . Here, we will allow the sperm-compliance parameter to vary in the range  $5 \leq \text{Sp} \leq 25$ , with a sliding force density magnitude no larger than  $a = 2000$ , noting that the above values for  $a$  are estimates only, and the non-physiological behaviour of flagellar self-intersection can manifest once  $a$  is too large. For comparative purposes in the results section, it is convenient to display  $a$  in terms of the sperm-compliance parameter, thus hereafter the force amplitude  $a$  is rescaled by  $E_0/\ell^3$ , where  $\ell = L/\text{Sp}$ , also known as the penetration length [68].

Motivated by the observed flagellar waveform in different viscosities (Fig. 1 and [10,11]), we restrict our investigation to sliding force wave numbers that are even multiples of  $\pi$ ,  $k = 2\pi, 4\pi$  and  $6\pi$ . Previous investigations have revealed that, in general, wave numbers that are odd multiples of  $\pi$  do not generate realistic wave patterns [12]. The further property of a consistent wave amplitude without a significant decay as the waveform progresses depends on the interplay between  $k$  and  $\text{Sp}$ , and can be predicted from linear analysis [12,60]. Hence, we focus our investigation on the cases where a noticeable forward motion is achieved as a result of the flagellar beating. Typically, this corresponds to small (large)  $k$  for low (high)  $\text{Sp}$ , also in agreement with flagellar wave number viscous modulation in human spermatozoa [10]. Furthermore, the sliding force density magnitude  $a$  was gradually increased, within the range of validity above. This is necessary as higher force magnitudes are required for large amplitude motion when  $\text{Sp}$  is increased in elasto-hydrodynamic systems [12,31,68], though the relation between the force and amplitude is non-linear [12,32]. The described approach has allowed an investigation of the entire spectrum of beating patterns for each  $(\text{Sp}, k)$ -pair. For sufficiently large  $a$ , however, flagellar self-intersection occurs, even though the force magnitude is within its range of validity. In such cases, the numerical simulation is terminated and the upper limit of the force density is restricted so that flagellar self-intersection does not occur in the simulations.

## 2. Results

We begin by presenting a general overview of the distinct flagellar behaviours across a wide range of sperm compliance factors, from as low as  $\text{Sp} = 5$  to as high as  $\text{Sp} = 25$ . We firstly contrast large amplitude beating patterns for the flagellar axoneme and human sperm flagellum cases, given respectively by the tapering functions  $e_1$  and  $e_3$ . Fig. 3 illustrates the time evolution for six distinct pairs of sperm compliance number and wave number  $(\text{Sp}, k)$ , and force amplitude  $a$ , as detailed above. The flagellar waveform associated with low  $(\text{Sp}, k)$  is characterised by a large wave amplitude and a significant forward motion, as demonstrated for  $(\text{Sp} = 10, k = 2\pi)$ . Meandering formation and wave compression are distinctive characteristics of large  $(\text{Sp}, k)$  in Fig. 3.

For large values of  $\text{Sp}$ , when the reinforcing flagellar components are absent, extensive wave confinement is observed (see also Fig. 4), in addition to the flagellar symmetry breaking, driving the cell in a circular trajectory, see the plot  $(e_1, \text{Sp} = 25, k = 6\pi)$  in Fig. 3. This is in contrast with the case  $e_3$  with a reinforced, tapering flagellum, akin to the human sperm, with the same values of  $\text{Sp}$  and  $k$ , where both flagellar wave confinement and symmetry breaking-buckling are not as pronounced in the results of Fig. 3. Instead, the meandering formation is characterised by a wave envelope that gradually changes with arclength. At low  $(\text{Sp}, k)$ , however, the waveform is only weakly modified by the tapering function  $e_3$ , as shown in Fig. 3 for  $\text{Sp} = 5, 10, k = 2\pi$ . Hence, below we focus on the region of the parameter space where the flagellar ultrastructure is most relevant, i.e. for large  $(\text{Sp}, k)$ .

However, before continuing with our analysis, we briefly interlude to discuss the mechanism behind the flagellar symmetry-breaking described above (Figs. 3 and 4) [12]. The latter is a direct consequence of a dynamical buckling instability of the beating flagellum [12], though frequently found in filaments under large tangential forces [14,29,57,58,69,70]. In this case, the

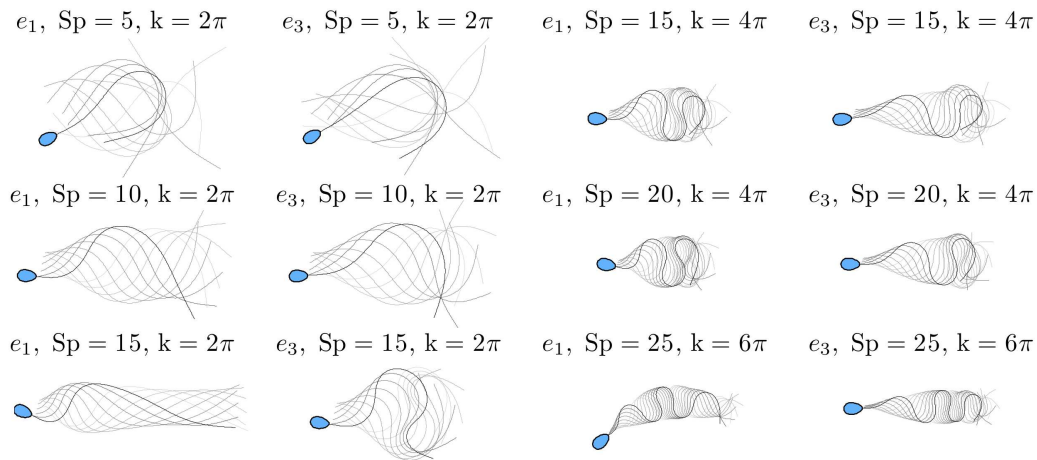


Figure 3: Snapshots of the flagellar evolution for the tapering functions  $e_1$  and  $e_3$ , and six distinct pairs of wave number and sperm compliance number ( $Sp, k$ ) across parameter space, as indicated, plotted at equal time intervals over one period (darker curves denote later times and the sperm head is only shown at final time for clarity). In particular in the figure, there are four columns and three rows. In the first two columns from the left one has the profiles  $e_1$  and  $e_3$ , with the Sperm number then increasing on descending the rows. In columns 3, 4 the wavenumber is increased, as is the Sperm number, and again one has the profiles  $e_1$  and  $e_3$  with Sperm number increasing on descending the rows. The values of force amplitude  $a$ , for each row, from left to right are, respectively,  $a = 2.24, 2.54, 1.96, 2.37, a = 2.02, 2.93, 2.04, 2.55$  and  $a = 2.54, 3.63, 1.30, 2.21$ , after nondimensionalisation with  $E_0/\ell^3$ , where  $\ell = L/Sp$ .

excess in elasto-hydrodynamic friction experienced by the flagellum causes the compressive forces to increase beyond a critical value (Fig. 1(d)), which the elastic structure cannot support and thus buckles (Fig. 1(e)) to release the excess in compression. Upon buckling, however, the flagellum is still driven by the same internal, periodic internal force, which continuously maintains the emerging asymmetric bending pattern, thus leading to circular trajectories for free swimming sperm cells (Fig. 1(e)), as described in Ref. [12].

The stabilising effect of the ultrastructural components for high viscosities is further illustrated in Fig. 4, which plots the time evolution of the beating shape for each tapering function  $e_1, e_2, e_3, e_4$  and seven pairs of ( $Sp, k$ ). In this instance, the same force amplitude,  $a$ , given by the upper limit of the non-tapering, pure axonemal case  $e_1$ , is used for each  $e_2, e_3$  and  $e_4$  case, for each ( $Sp, k$ )-pair. The resulting waveform for the tapering functions  $e_2 - e_4$  is characterised by the formation of a wave envelope with a gradual change of the wave amplitude and wave compression with increasing arclength, while still maintaining a meandering form. The wave envelope, however, carries critical signatures of the tapering function: narrow wave envelopes are observed for the tapering cases  $e_2$  and  $e_4$ , whilst wider envelopes are associated with the tapering function  $e_3$ .

Furthermore, Fig. 4 also allows one to examine whether the waveform changes are dominated by the increase in overall stiffness with use of tapering functions  $e_2, e_3, e_4$  compared to  $e_1$ , or whether the waveform changes are induced by the introduction of stiffness heterogeneity. Firstly, noting the quarter-power dependence of the Sperm compliance factor on inverse stiffness in Eq. (1.2), changing  $Sp$  from 20 to 15 represents a factor of three increase in the stiffness, while changing  $Sp$  from 25 to 15 represents approximately a factor of eight increase. However these factor of three and eight changes in the homogeneous stiffness are insufficient to radically change the structure of the flagellar waveform, as seen from the persistence of wave confinement for  $e_1$  on reducing  $Sp$  from 25 to 15 in the first column of Fig. 4. In contrast, changing from homogeneous stiffness

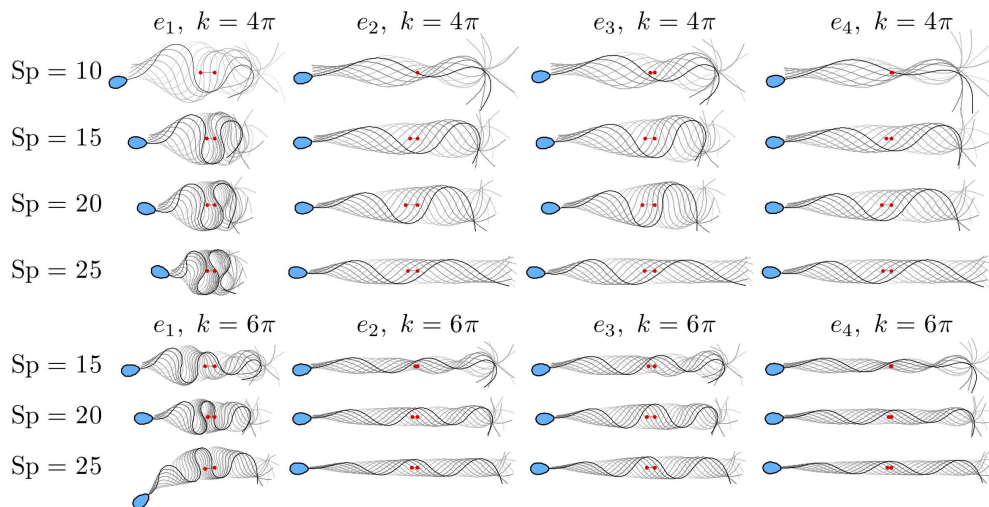


Figure 4: Snapshots of the flagellar evolution for the tapering functions  $e_1 - e_4$ , and seven distinct pairs of wave number and sperm compliance number ( $Sp, k$ ), as indicated, plotted at equal time intervals over one period (darker curves denote later times and the sperm head is only shown at final time for clarity). The same force amplitude,  $a$ , given by the upper limit of the tapering case  $e_1$  consistent with the absence of self-intersection (as discussed in the final paragraph of section (d)) is used for the other stiffness functions  $e_2, e_3$  and  $e_4$ . The value of  $a$  for each row, from top to bottom, is thus  $a = 2.08, 1.96, 2.04, 2.09, 2.22, 1.81, 1.3$ , nondimensionalised by  $E_0/\ell^3$ , where  $\ell = L/Sp$ . Red markers depict the initial and final position of the flagellum centroid over a period.

to any of the tapering cases  $e_2, e_3, e_4$ , with  $Sp=25$  for example, has an extensive impact in the wave form, modulating the wave envelope and suppressing waveform compression. Hence one can observe that it is the tapering, rather than the overall increase in stiffness, that dominates the observed waveform differences between homogeneous flagellar stiffness on the one hand, and tapering flagellar stiffness on the other.

We proceed to consider the distance travelled per period, as depicted by the red markers in Fig. 4. For all tapering functions considered  $e_2 - e_4$ , an increase in the total swimming distance is observed as  $Sp$  increases (for clarity, see also Fig. 5 for the travelled distance over one period). In general, the swimming distance is larger for  $e_3$  when compared with  $e_2, e_4$  for each  $(Sp, k)$ -pair. However for lower values of the Sperm compliance number, and hence less viscous media together with typical wave numbers, for example ( $Sp = 10, k = 4\pi$ ), the beating shapes associated with the tapering functions  $e_2 - e_4$  are marked by a sharp decay of the wave amplitude in the central part of the tail. As a consequence of such erratic waveform, forward motion is relatively small for sperm with reinforced flagella, in distinct contrast with the untapered,  $e_1$ -case, where the associated sperm possesses a noticeable forward motion in Fig. 4. Hence in less viscous media the modelling indicates a trend that there is a modest mechanical advantage for swimming with the absence of accessory structures.

The effect of ultrastructural components on the distance travelled per beat cycle is further shown in Fig. 5, where the flagellum wave and waveform centroid (red markers) across the allowed range of  $a$  instead are displayed for one period in (a-d) for  $Sp = 15, 20, 25$  and  $k = 4\pi$ , respectively, for both stiffness functions  $e_1$  and  $e_3$ , as indicated. For both cases, the irregular wave amplitude along the flagellum switches to a regular wave envelope, subsequently followed by a wave compression that is much more extensive for the naked axoneme, case  $e_1$ . Furthermore one can again observe the trend of a modest increase for the predicted swimming distance per

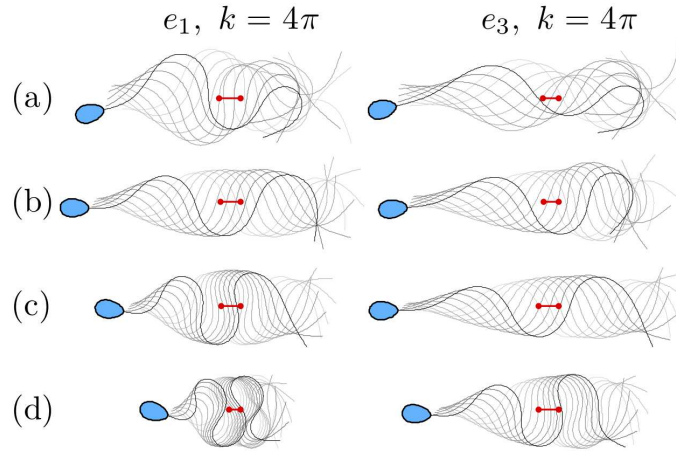


Figure 5: Comparison between the stiffness functions  $e_1$  and  $e_3$ : the flagellar waveform and centroid displacement (red markers) for the maximum travelled distance over one beat cycle, within the allowed range of the internal force magnitude, as discussed in section (d). (a-d) are respectively for  $Sp = 10, 15, 20, 25$  for  $k = 4\pi$ . Except for the final time, the sperm head has been omitted for clarity.

Sp	10	15	20	25
$d_{e3}/d_{e1}$	0.77	0.83	1.03	1.53

Table 1: In the above table,  $Sp$  is the Sperm number and  $d_{e3}$  denotes the distance moved by the centroid over a beat cycle for the reinforced flagellum, with stiffness function  $e_3$ , in Figure 5, whilst  $d_{e1}$  is defined analogously for the naked axoneme with stiffness function  $e_1$ . Hence by examining the ratio of these distances, one has that the reinforced flagellum travels significantly more per beat cycle at a high Sperm number of 25 in Figure 5, relative to the naked axoneme, with the converse observation at a lower Sperm number of 10.

beat cycle for the reinforced flagellum, case  $e_3$ , as  $Sp$  increases from (a) to (d) by comparing the red tracers along each column, with the converse trend for the undressed axoneme, case  $e_1$ , as quantified and further emphasised in Table 1. In particular, it should be noted that while resistive force theory may not be trustworthy at the highest waveform compression depicted in Fig. 5, due to the near flagellar self-intersection, the trends in the movement per beat cycle emerge from far less extreme waveform patterns, away from flagellar self-intersection.

In Fig 6, we present a qualitative comparison with the observations of sea-urchin and human spermatozoa in methyl-cellulose solutions from Fig. 1(b,c) - a detailed description of the model and empirical parameters are provided in the figure caption. This firstly indicates the broad differences in waveforms across different tapering accessory structures. In particular, a comparison of the flagellar waveforms of the predicted beat patterns highlights the presence of extensive flagellar compression in column (a) for both observation and simulation, where no accessory structures are present, as indicated by the bare axoneme in column (a). This contrasts the observations and simulations of sperm with a dressed axoneme in column (b). However, while one can readily find qualitative agreement between modelling and observation for both species, it is not feasible to attempt a quantitative test of the simulation framework by comparison to observation, for instance since the viscosity measurements for the sea urchin experiments are lacking and the active forces should be assigned in the simulations. Nonetheless, the qualitative

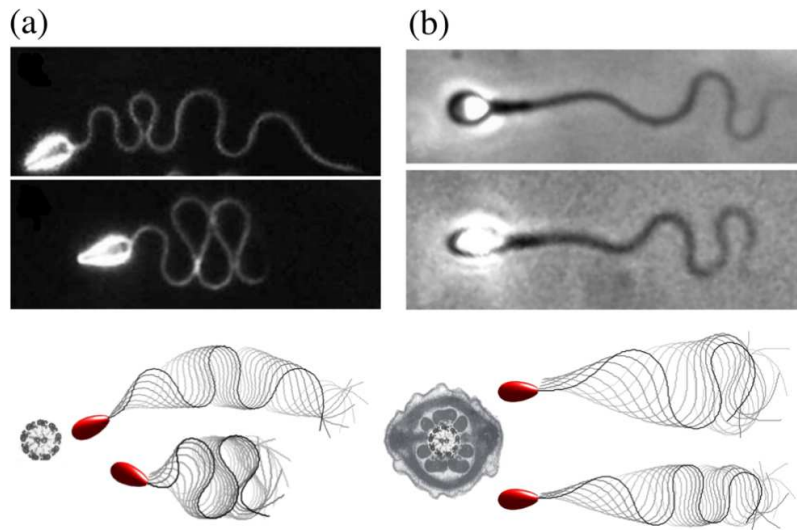


Figure 6: A comparison of predictions of the virtual sperm flagellar waveform and observation in a highly viscous medium. (a) The sea urchin micrographs from Fig. 1(b) are reproduced, together with net plots of predicted flagellar waveforms (upper:  $Sp=25$ ,  $k = 6\pi$ ,  $a = 1.3$ , as in Fig. 4, first column, 7th row; lower:  $Sp=25$ ,  $k = 4\pi$ ,  $a = 2.09$ , as in Fig. 4, first column, 4th row). The naked axoneme cross-section in the plot emphasises both that sea urchin sperm has no accessory structures and that the modelling predictions are for virtual sperm with the homogeneous non-tapering stiffness function,  $e_1$ . (b) Human sperm micrographs from Fig. 1(b) are reproduced, together with plots of simulated waveform netplots (upper:  $Sp=20$ ,  $k = 4\pi$ ,  $a = 2.55$ , as in Fig. 3, final column, 2nd row; lower:  $Sp=25$ ,  $k = 6\pi$ ,  $a = 2.21$ , as in Fig. 3, final column, 3rd row). The dressed human sperm flagellum cross section in the plot emphasises both that the human sperm has accessory structures and that the modelling predictions are for virtual sperm with the tapering stiffness function,  $e_3$ . The sea urchin and human sperm micrographs are respectively reproduced from [11] and [10], with permissions pending. For the observations in column (a) the high viscosity was achieved by adding 2% methyl-cellulose to the media with different molecular weights specified by a nominal viscosity of, respectively, 1.5 Pa.s (upper) and 4 Pa.s (lower). For column (b), a 1% methyl-cellulose was added for the upper frame and 2% methyl-cellulose added for the lower frame. However the methyl-cellulose used had the same molecular weight, specified by a nominal viscosity of 4 Pa.s for a 2% methyl-cellulose aqueous solution at 20 degrees Celsius. The resulting methyl-cellulose solutions in column (b) was explicitly measured using a cone-and-plate rheometer [10] with an effective viscosity given by 0.14 Pa.s (upper) and 1.6 Pa.s (lower), while the beating frequency was 11Hz (upper) and 3Hz (lower). Explicit measurements for the fluids in the observations of column (a) are not available, though the averaged beat frequencies reported in [11] for nominal viscosities of 1.5 Pa.s (upper) and 4Pa.s (lower) are, respectively, 3.8Hz (a) and 2.8Hz (b). The flagellum length reported for column (a) was approximately  $42\mu\text{m}$  while for column (b) it was  $50\mu\text{m}$ . The sperm number for each case (a, upper; a, lower; b, upper; b, lower), respectively, is  $Sp = 23, 27, 20, 26$ . However the  $Sp$  estimates for the experiments in column (a) are likely to carry systematic error as the media viscosity was not explicitly measured.

agreement further motivates the study of how accessory structures and their tapering impacts the flagellum waveform and, for example, the presence or absence of waveform compression.

The maximum curvature,  $\kappa_{max}$ , and maximum absolute tension,  $T_{max}$ , over one period, are depicted in Fig. 7 for ( $Sp = 25$ ,  $k = 4\pi$ ), as a function of the scaled sliding force magnitude,  $a$ . The maximum curvature and tension for the tapering function  $e_1$  is characterised by the presence of a sharp transition, depicted by the points 'a' and 'b' in Fig. 7. For the purpose of comparison,

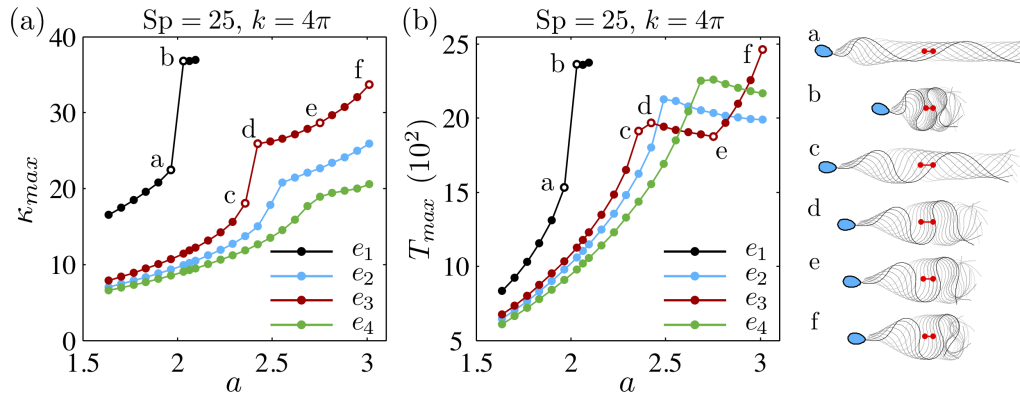


Figure 7: The maximum curvature  $\kappa_{max}$  (a) and the maximum absolute tension  $T_{max}$  (b), over one period, as a function of the maximal sliding force density magnitude,  $a$ , nondimensionalised by  $E_0/\ell^3$ , where  $\ell = L/Sp$ . This magnitude is limited for the  $e_1$  curves to ensure the absence of flagellar self-intersection, as detailed in the final paragraph of Section (d). The same points 'a-f' in (a) are depicted in (b), in addition to the associated beating shape, on the right side of (b), with the sperm head only shown at final time for clarity. Red markers depict the initial and final position of the flagellum centroid over a period.

the same jump transitions 'a' and 'b' from Fig. 7(a) are depicted in Fig. 7(b), in addition to the associated beating shape, shown on the right side of Fig. 7(b). The beating patterns for 'a' and 'b' are separated by a transition from a diffuse waveform in 'a' to a large wave confinement in 'b'. A distinct behaviour is found for the tapering functions  $e_2 - e_4$ , in which the maximum curvature and tension are always lower than the ones observed for the constant tapering function  $e_1$ . In this case, while the rapid transition in  $\kappa_{max}$  is gradually decreasing in magnitude for the stiffness functions  $e_3$ ,  $e_2$  and  $e_4$ , respectively, in Fig. 7(a), no sharp increase in magnitude is detected for  $T_{max}$ . Instead,  $T_{max}$  is non-monotonic and decreases after the transition, as illustrated near the points 'c' and 'd' in Fig. 7(b).

This transition is also associated with flagellar wave compression, as portrayed by the beating patterns in 'c' and 'd', for the tapering function  $e_3$  in Fig. 7. After the waveform compression transition,  $T_{max}$  decreases with the sliding force density magnitude between points 'd', 'e' before reverting to an increasing behaviour once more between 'e', 'f'. Despite the non-monotonic behaviour in  $T_{max}$  between 'd' and 'f', the variation in  $\kappa_{max}$  is monotonic in this region, as also portrayed by the beating patterns of 'd', 'e' and 'f'. A similar behaviour to  $e_3$  is observed for  $e_2$  and  $e_4$ , with the appropriate scaling, as larger values of  $T_{max}$  are permitted in these cases. The tapering functions  $e_3, e_2, e_4$  are able to withstand increasing values of  $T_{max}$ , respectively before a transition associated with wave compression occurs, as seen from Fig. 7(b). In contrast, the absence of wave compression and buckling for waveforms with  $Sp \in \{5, 10\}$  in Fig. 3 also highlights that the sharp transitions in maximal curvatures and tensions seen in Fig. 7(a),(b) are absent at low sperm compliance factors.

### 3. Discussion

Since the discovery of the flagellar ultrastructural components in mammalian spermatozoa, the biological function of this accessory complex has generated extensive debate [2,3,5-9]. Several studies, from electron microscopic to biochemical techniques, have revealed detailed information about the morphology, internal structure and molecular basis of these structures [2,3,5-8], ultimately unveiling its passive reinforcing nature. Despite this crucial advance, the functional

significance of this sperm flagellum adaptation by passive, reinforcing structures is unclear. To provide further insight into such questions, we have considered the physical principles of the flagellar ultrastructural components for free swimming spermatozoa in a viscous fluid. The structural response of a virtual sperm flagellum is modified by the addition of reinforcing elastic components that gradually taper along the flagellum length. The fluid-structure interaction is simplified to the level of resistive-force theory, while keeping the geometrically exact nature of the flagellar elastic structure, as well as taking into account the presence of the sperm head. The internal forcing is represented via the sliding filament model of eukaryotic flagellar motility, ultimately responsible for generating complex flagellar waveforms that are examined in detail through numerical simulations.

While geometrically linear theory [16] and temporal non-linearities of the beat [20] support the idea that gradual, spatial tapering of structural components play a minor role in shaping the flagellar waveform, we have demonstrated that these reinforcing flagellar appendages are fundamental for the emerging beating pattern, as well as the resulting swimming behaviour of the spermatozoa. A wide range of beating patterns emerged, from large amplitude waveforms and substantial sperm head yawing, for a low  $(Sp, k)$ -pair, to the meandering flagellar wave envelope formation, when  $(Sp, k)$  is large. In all cases, the flagellar accessory structures acted to significantly reduce the maximum flagellar curvature when compared with the naive flagellar axoneme, i.e. when the additional elastic components are absent. Such a reduction of flagellar curvature was also reported by the pioneering theoretical work by Lindemann [8].

Our numerical simulations further revealed the emergence of a wave-compression instability in the regime of high sperm compliance, illustrated in Fig. 4 ( $e_1, Sp = 25, k = 4\pi$ ), similar to the symmetric waveform confinement reported for sea urchin sperm migrating in high viscosity [11] (Fig. 1(b) and Fig. 6). This wave-compression instability is characterised by an extreme wave confinement and formation of symmetric flagellar 'loops' in the limit of self-intersection, with a vanishing time-averaged curvature, resembling Euler-elasicas [29,30]. The wave compression instability we observe here is, however, triggered dynamically by internal sliding moments driving the flagellum, further augmented by large elasto-hydrodynamic friction for a high sperm-compliance number [12], or equivalently for high viscosity. As the sliding force magnitude increases, the internal flagellar compression rises until a critical value, which the flagellar structure cannot support; the flagellar waveform thus tends to collapse onto itself, releasing excessive internal stresses. As a result, the maximum absolute compression is characterised by a transition associated with a concomitant rise in the maximum curvature along the flagellum (Fig. 7) as the sliding force magnitude  $a$  is increased. This causes the beating patterns 'a' and 'b' in Fig. 7 to change from a spread waveform in 'a' to a large confinement in 'b'. Naive flagellar axonemes are also predicted to be susceptible to a symmetry-breaking, buckling, instability, as previously reported in Ref. [12], in which asymmetric waveforms, instead, drive the sperm cell in circular swimming trajectories, as illustrated in Fig. 4 ( $e_1, Sp = 25, k = 6\pi$ ). Asymmetric waveforms were also observed experimentally for sea-urchin sperm swimming in high viscosity medium [11], in the absence of chemotactic cues, as depicted in Fig. 1(b) and Fig. 6.

These results provide evidence that asymmetric beating patterns and extreme wave confinement, as in Fig. 1(b) and Fig. 6, for sea-urchin flagellar axoneme in high viscosity medium [11], may emerge dynamically, via compression-driven and buckling instabilities due to the large effective drag experienced by the flagellum, without recourse to variations in structure or signalling influencing the molecular motors within the flagellum. In this case, the sperm flagellum becomes unable to sustain the high internal compression, ultimately, compromising the sperm migration in a high viscosity medium. Sea-urchin sperm however are not generally prone to flagellar compression and buckling as they fertilise in low-viscosity seawater. Internal fertilisers, on the other hand, are required to migrate in high viscosity liquids, and therefore are susceptible to flagellar waveform compression and buckling without ultrastructural flagellar adaptation.

Hence the ultrastructural components found in mammalian spermatozoa are implicated as important for sperm migration in high viscosity media, with further indirect support from the

qualitative agreement between prediction and observation for the flagellar waveform in Fig 6. By reinforcing the flagellum in regions where high compression may occur [12], between the mid- and principal pieces, the flagellar accessory complex is predicted to be able to prevent flagellar compression and buckling instabilities in high viscosity liquid [10,11], as shown in Fig. 4. Analysis of this figure has also revealed that the inhibition of wave compression and buckling is predominantly due to the tapering of flagellar stiffness rather than the overall stiffness of the flagellum, with the latter simply perturbing the sperm compliance factor,  $Sp$ , due to its quarter-power dependence on the inverse stiffness.

Furthermore, the tapering, heterogeneous stiffness of the ultrastructural complex is seen to stabilise the flagellar structure by reducing internal compression (Fig. 7), consequently decreasing the overall curvature along the flagellum. This regulates the absolute tension, thus suppressing large jumps in  $T_{max}$ , as illustrated in Fig. 7(b). As a result, for an increasing sliding force amplitude, the beating patterns are characterised by a gradual increase of the wave amplitude along the flagellum, with the formation of a wave envelope and the decrease of waveform compression (Figs. 4 and 7). The shape of the wave envelope depends on the functional form of the ultrastructural tapering, associated with the functions  $e_2 - e_4$ . In particular, we have seen that the larger the gradient of stiffness in the distal regions of the flagellum, as depicted in Fig. 2b, the greater the stabilising influence. Hence, for example, the tapering of  $e_4$  inhibits wave compression and buckling more, albeit subtly in that this is only clear in Fig. 7, and is not evident in Figs. 3 and 4.

Nonetheless, compared to homogeneous stiffness, flagellum tapering is observed to prevent excessive flagellar wave-compression (Fig. 7), and consequently reduce curvature and self-intersections, enabling a modestly increasing progressive movement per beat for a flagellum reinforced with tapering stiffness as the sperm compliance number is increased (Figs. 4 and 5). It is also worth noting that such differences in progressive swimming will be cumulative, and thus not insignificant in absolute terms, for timescales longer than the 0.1 second scale of a single beat cycle period.

Modelling refinements to the presentation here are certainly possible. For instance the fact observations show that the wave compression is highly suppressed towards mid-piece [10], as opposed to the end piece, (Fig. 1c), indicate that a systematic parameter estimation study may increase current estimates for the average bending stiffness of the flagellum mid-piece, with subsequent refinement of the presented results. In addition, while elastic effects are relatively limited in methyl-cellulose solutions [10], which were used in both the observational human and sea urchin sperm studies considered here [10,11], elasticity is often extensive in physiological media [71,72] and may act to favour the concentration of large amplitude bending waves at the end piece region [60] and warrants further study. Similarly, incorporating non-local hydrodynamic interactions [23,35,36,73,74] are likely to refine predicted waveforms, possibly further reducing the tendency to symmetry break [73], though this would require careful consideration of sperm head elasto-hydrodynamic boundary conditions.

However, such refinements are not anticipated to alter the qualitative modelling observations that the reinforcement of the flagellum in regions where high compression is expected [12], due to flagellar accessory structures, acts to prevent elastic instabilities that could compromise a core biological function: the transport of genetic material within high viscous environments. The presented results more generally demonstrate that the tapering of structural components plays an important role in shaping the flagellar waveform. They further suggest that the above aspects of the flagellar viscous modulation observed empirically [10] may be achieved without recourse to intricate molecular motor regulation [4] with only, for example, a constant sliding force amplitude along the flagellum [20]. It can also be hypothesised that the viscosity of the medium where the spermatozoa naturally swim may have acted decisively during the evolutionary process for internal fertilisers, such as human sperm, thus inducing specialised mutations favouring flagellar ultrastructural components to enable cell penetration in high viscosity. Finally, we note the prospect that human sperm are adapted to swim in viscous media further emphasises the

importance of using high viscosity fluid while assessing and screening spermatozoa in the clinical setting [4,10,43,75].

## Acknowledgments

The authors acknowledge the major contribution of the late Professor John Blake in fostering the research collaboration which led to this work. We also acknowledge the sizeable contributions and creative discussions that lead to this work in the past decade by Dave Smith and Jackson Kirkman-Brown.

## Appendix. Numerical Scheme.

Numerical solutions of the elastohydrodynamic formulation for a free swimming cell, described by Eqs. (1.1)-(1.7), were carried out by employing the numerical scheme devised in Ref. [12], which uses a combination of second-order finite differences and second-order implicit time-stepping. The latter has been validated against analytical and non-linear numerical solutions, in addition to experiments for an oscillating elastic filament in a viscous fluid [12,29,51]. In particular, to avoid severe constraints on the time-stepping, the higher-order terms in Eq. (1.1) are treated implicitly, by employing a second-order implicit-explicit method (IMEX) [76]. The spatial discretization is uniform in arclength. Second-order divided differences are used to approximate spatial derivatives [34], in which skew operators are applied at the boundaries. Finally, periodicity is expected from the imposed sliding force in Eq. (1.4), and therefore the time iteration continues until the maximum difference between consecutive solutions,  $D_{\max} = \max_s |\mathbf{X}(s, t) - \mathbf{X}(s, t + T)|$ , one period apart, is below  $5 \times 10^{-4}$ .

## References

1. J. M. Baltz, P. O. Williams, and R. A. Cone.  
Dense fibers protect mammalian sperm against damage.  
*Biol. Reprod.*, 43:485–491, 1990.
2. D. W. Fawcett.  
The mammalian spermatozoon.  
*Developmental Biology*, 44:394–436, 1975.
3. G. E. Olson and R. W. Linck.  
Observations of the structural components of flagellar axonemes and central pair microtubules from rat sperm.  
*J. Ultrastruct. Res.*, 61(1):21–43, 1977.
4. E. A. Gaffney, H. Gad lha, D. J. Smith, J.R. Blake, and J. C. Kirkman-Brown.  
Mammalian sperm motility: Observation and theory.  
*Annual Review of Fluid Mechanics*, 2011.
5. G. E. Olson and D. W. Sammons.  
Structural chemistry of outer dense fibers of rat sperm.  
*Biology of Reproduction*, 22(2):319–332, March 1980.
6. J. M. Bedford and H. I. Calvin.  
Changes in -s-s- linked structures of the sperm tail during epididymal maturation, with comparative observations in sub-mammalian species.  
*Journal of Experimental Zoology*, 187(2):181–203, February 1974.
7. R. Rikmenspoel.  
The tail movement of bull spermatozoa: Observations and model calculations.  
*Biophysical Journal*, 5(4):365–392, July 1965.
8. C. B. Lindemann, W. G. Rudd, and R. Rikmenspoel.  
The stiffness of the flagella of impaled bull sperm.  
*Biophysical Journal*, 13(5):437–448, May 1973.
9. C. B. Lindemann.  
Functional significance of the outer dense fibers of mammalian sperm examined by computer simulations with the geometric clutch model.

- Cell Motility and the Cytoskeleton*, 34(4):258–270, January 1996.
10. D. J. Smith, E. A. Gaffney, H. Gadêlha, N. Kapur, and J. C. Kirkman-Brown.  
Bend propagation in the flagella of migrating human sperm, and its modulation by viscosity.  
*Cell. Motil. Cytoskel.*, 66:220–236, 2009.
  11. D. M Woolley and G. G Vernon.  
A study of helical and planar waves on sea urchin sperm flagella, with a theory of how they are generated.  
*Journal of Experimental Biology*, 204(7):1333–1345, April 2001.
  12. H. Gadêlha, E. A. Gaffney, D. J. Smith, and J. C. Kirkman-Brown.  
Nonlinear instability in flagellar dynamics: a novel modulation mechanism in sperm migration?  
*J. Roy. Soc. Int.*, 7:1689–1697, 2010.
  13. R. E. Goldstein, T. R. Powers, and C. H. Wiggins.  
Viscous nonlinear dynamics of twist and writhe.  
*Phys. Rev. Lett.*, 80:5232–5235, 1998.
  14. L. E. Becker and M. J. Shelley.  
Instability of elastic filaments in shear flow yields first-normal-stress differences.  
*Phys. Rev. Lett.*, 87:198301–198304, 2001.
  15. C. B. Lindemann.  
A model of flagellar and ciliary functioning which uses the forces transverse to the axoneme as the regulator of dynein activation.  
*Cell Motility and the Cytoskeleton*, 29(2):141–154, January 1994.
  16. I. H. Riedel-Kruse, A. Hilfinger, J. Howard, and F. Jülicher.  
How molecular motors shape the flagellar beat.  
*HFSP*, 1:192–208, 2007.
  17. C. J. Brokaw.  
Bend propagation by a sliding filament model for flagella.  
*J. Exp. Biol.*, 55(2):289–304, 1971.
  18. S. Camalet, F. Jülicher, and J. Prost.  
Self-organized beating and swimming of internally driven filaments.  
*Phys. Rev. Lett.*, 82:1590–1593, 1999.
  19. S. Camalet and F. Jülicher.  
Generic aspects of axonemal beating.  
*New J. Phys.*, 2:24.1–24.23, 2000.
  20. Gerhard Gompper, Guglielmo Saggiorato, Jan F. Jikeli, Jens Elgeti, Luis Alvarez, and U. Benjamin Kaupp.  
Human sperm steer with second harmonics of the flagellar beat.  
*Nature Communications*, 8(1):1415, December 2017.
  21. K. A. Schmitz-Lesich and C. B. Lindemann.  
Direct measurement of the passive stiffness of rat sperm and implications to the mechanism of the calcium response.  
*Cell Motility and the Cytoskeleton*, 59(3):169–179, 2004.
  22. K. A. Lesich, D. W. Pelle, and C. B. Lindemann.  
Insights into the mechanism of ADP action on flagellar motility derived from studies on bull sperm.  
*Biophysical Journal*, 95(1):472–482, July 2008.
  23. S. D. Olson, S. S. Suarez, and L. J. Fauci.  
Coupling biochemistry and hydrodynamics captures hyperactivated sperm motility in a simple flagellar model.  
*Journal of Theoretical Biology*, 283(1):203–216, August 2011.
  24. C. J. Brokaw.  
Molecular mechanism for oscillation in flagella and muscle.  
*Proc. Natl. Acad. Sci.*, 72:3102–3106, 1975.
  25. M. Hines and J. Blum.  
Bend propagation in flagella. i. derivation of equations of motion and their simulation.  
*Biophys. J.*, 23(1):41–57, 1978.
  26. R. Everaers, R. Bundschuh, and K. Kremer.  
Fluctuations and stiffness of double-stranded polymers: railway-track model.

- EPL (Europhysics Letters)*, 29:263, 1995.
27. A. Hilfinger and F. Jülicher.  
The chirality of ciliary beats.  
*Phys. Biol.*, 5:016003–016015, 2008.
  28. A. Hilfinger, A. K. Chattopadhyay, and F. Jülicher.  
Nonlinear dynamics of cilia and flagella.  
*Phys. Rev. E*, 79:051918–051925, 2009.
  29. Clement Moreau, Laetitia Giraldi, and Hermes Gadelha.  
The asymptotic coarse-graining formulation of slender-rods, bio-filaments and flagella.  
*Journal of the Royal Society Interface*, 2018.
  30. Hermes Gadelha.  
The filament-bundle elastica.  
*IMA Journal of Applied Mathematics*, 2018.
  31. Rachel Coy and Hermes Gadêlha.  
The counterbend dynamics of cross-linked filament bundles and flagella.  
*Journal of The Royal Society Interface*, 14(130):20170065, 2017.
  32. C. H. Wiggins, D. Rivelino, A. Ott, and R. E. Goldstein.  
Trapping and wiggling: elasto-hydrodynamics of driven microfilaments.  
*Biophys. J.*, 74:1043–1060, 1998.
  33. S. Gueron and N. Liron.  
Simulations of three-dimensional ciliary beats and cilia interactions.  
*Biophys. J.*, 65(1):499 – 507, 1993.
  34. A. K. Tornberg and M. J. Shelley.  
Simulating the dynamics and interactions of flexible fibers in Stokes flows.  
*J. Comput. Phys.*, 196:8–40, 2004.
  35. T. D. Montenegro-Johnson, H. Gadêlha, and D. J. Smith.  
Spermatozoa scattering by a microchannel feature: an elasto-hydrodynamic model.  
*Royal Society Open Science*, 2(3):140475, March 2015.
  36. Julie E. Simons and Sarah D. Olson.  
Sperm Motility: Models for Dynamic Behavior in Complex Environments.  
In Magdalena Stolarska and Nicoleta Tarfulea, editors, *Cell Movement: Modeling and Applications*, Modeling and Simulation in Science, Engineering and Technology, pages 169–209. Springer International Publishing, Cham, 2018.
  37. Thomas R. Powers.  
Dynamics of filaments and membranes in a viscous fluid.  
*Reviews of Modern Physics*, 82(2):1607–1631, May 2010.
  38. Eric Lauga and Thomas R Powers.  
The hydrodynamics of swimming microorganisms.  
*Reports on Progress in Physics*, 72(9):096601, September 2009.
  39. C. J. Brokaw.  
Thinking about flagellar oscillations.  
*Cell. Mot. Cytoskel.*, 66(8):425–436, 2009.
  40. T. J. Mitchison and H. M. Mitchison.  
Cell biology: How cilia beat.  
*Nature*, 463:308–309, 2010.
  41. B. M. Friedrich, I. H. Riedel-Kruse, J. Howard, and F. Jülicher.  
High-Precision Tracking of Sperm Swimming Fine Structure Provides Strong Test of Resistive Force Theory.  
*The Journal of Experimental Biology*, 213(8):1226–1234, April 2010.
  42. Kenta Ishimoto, Hermes Gadêlha, Eamonn A. Gaffney, David J. Smith, and Jackson Kirkman-Brown.  
Coarse-Graining the Fluid Flow around a Human Sperm.  
*Physical Review Letters*, 118(12):124501, March 2017.
  43. Kenta Ishimoto, Hermes Gadêlha, Eamonn A. Gaffney, David J. Smith, and Jackson Kirkman-Brown.  
Human sperm swimming in a high viscosity mucus analogue.  
*Journal of Theoretical Biology*, 446:1–10, June 2018.
  44. D. W. Fawcett, W. Bloom, and E. Raviola.

- A Textbook of Histology.*  
Chapman & Hall, June 1994.
45. SS Antman.  
*Nonlinear Problems of Elasticity*, volume 107 of *Applied Mathematical Sciences*.  
Springer, 2005.
  46. D. J. Smith, E. A. Gaffney, J. R. Blake, and J. C. Kirkman-Brown.  
Human sperm accumulation near surfaces: a simulation study.  
*J. Fluid Mech.*, 621:289–320, 2009.
  47. M. Okuno and Y. Hiramoto.  
Direct measurements of the stiffness of echinoderm sperm flagella.  
*Journal of Experimental Biology*, 79(1):235, 1979.
  48. M. Okuno.  
Inhibition and relaxation of sea urchin sperm flagella by vanadate.  
*The Journal of Cell Biology*, 85(3):712, 1980.
  49. J. Howard.  
*Mechanics of motor proteins and the cytoskeleton*.  
Sinauer Associates Sunderland, MA, 2001.
  50. R. E. Johnson.  
An improved slender-body theory for stokes flow.  
*J. Fluid Mech.*, 99:411–431, 1980.
  51. T. S. Yu, E. Lauga, and A. E. Hosoi.  
Experimental investigations of elastic tail propulsion at low reynolds number.  
*Phys. Fluids*, 18:0917011–0917014, 2006.
  52. R.E. Johnson and C.J. Brokaw.  
Flagellar hydrodynamics. a comparison between resistive-force theory and slender-body theory.  
*Biophys. J.*, 25:113–127, 1979.
  53. K. E. Machin.  
Wave propagation along flagella.  
*J. Exp. Biol.*, 35:796–806, 1958.
  54. L. Bourdieu, T. Duke, M. B. Elowitz, D. A. Winkelmann, S. Leibler, and A. Libchaber.  
Spiral defects in motility assays: A measure of motor protein force.  
*Phys. Rev. Lett.*, 75:176–179, 1995.
  55. R. E. Goldstein and S. A. Langer.  
Nonlinear dynamics of stiff polymers.  
*Phys. Rev. Lett.*, 75:1094–1097, 1995.
  56. C. W. Wolgemuth, T. R. Powers., and R. E. Goldstein.  
Twirling and whirling: Viscous dynamics of rotating elastic filaments.  
*Phys. Rev. Lett.*, 84:1623–1626, 2000.
  57. Gabriele De Canio, Eric Lauga, and Raymond E. Goldstein.  
Spontaneous oscillations of elastic filaments induced by molecular motors.  
*Journal of The Royal Society Interface*, 14(136):20170491, November 2017.
  58. M. Jawed, N. K. Khouri, F. Da, E. Grinspun, and P. M. Reis.  
Propulsion and Instability of a Flexible Helical Rod Rotating in a Viscous Fluid.  
*Physical Review Letters*, 115(16):168101, October 2015.
  59. C. Lindemann and K. Kanous.  
"Geometric clutch" hypothesis of axonemal function: key issues and testable predictions.  
*Cell. Mot. Cytoskel.*, 31:1, 1995.
  60. H. C. Fu, C. W. Wolgemuth, and T. R. Powers.  
Beating patterns of filaments in viscoelastic fluids.  
*Phys. Rev. E*, 78:041913–041925, 2008.
  61. P. V. Bayly and K. S. Wilson.  
Analysis of unstable modes distinguishes mathematical models of flagellar motion.  
*Journal of The Royal Society Interface*, 12(106), 2015.
  62. P. V. Bayly and K. S. Wilson.  
Analysis of unstable modes distinguishes mathematical models of flagellar motion.  
*Journal of The Royal Society Interface*, 12(106), 2015.
  63. Philip V. Bayly and Kate S. Wilson.

- Equations of Interdoublet Separation during Flagella Motion Reveal Mechanisms of Wave Propagation and Instability.  
*Biophysical Journal*, 107(7):1756–1772, 2014.
64. Raymond E. Goldstein, Eric Lauga, Adriana I. Pesci, and Michael R. E. Proctor.  
Elastohydrodynamic synchronization of adjacent beating flagella.  
*Physical Review Fluids*, 1(7):073201, November 2016.
  65. S. Toba, T. M Watanabe, L. Yamaguchi-Okimoto, Y. Y. Toyoshima, and H. Higuchi.  
Overlapping Hand-Over-Hand mechanism of single molecular motility of cytoplasmic dynein.  
*Proceedings of the National Academy of Sciences*, 103(15):5741–5745, April 2006.
  66. H. Sakakibara, H. Kojima, Y. Sakai, E. Katayama, and K. Oiwa.  
Inner-arm dynein c of chlamydomonas flagella is a single-headed processive motor.  
*Nature*, 400(6744):586–590, August 1999.
  67. I. Minoura, T. Yagi, and R. Kamiya.  
Direct measurement of inter-doublet elasticity in flagellar axonemes.  
*Cell structure and function*, 24(1):27–33, 1999.
  68. C. H. Wiggins and R. E. Goldstein.  
Flexive and propulsive dynamics of elastica at low reynolds number.  
*Phys. Rev. Lett.*, 80:3879, 1998.
  69. V. Kantsler and R. E. Goldstein.  
Fluctuations, dynamics, and the Stretch-Coil transition of single actin filaments in extensional flows.  
*Physical Review Letters*, 108(3):038103, January 2012.
  70. Julien Chopin, Moumita Dasgupta, and Arshad Kudrolli.  
Dynamic Wrinkling and Strengthening of an Elastic Filament in a Viscous Fluid.  
*Physical Review Letters*, 119(8):088001, August 2017.
  71. D. P. Wolf, L. Blasco, M. A. Khan, and M. Litt.  
Human cervical mucus. I. rheologic characteristics.  
*Fertil. steril.*, 28:41–46, 1977.
  72. D. P. Wolf, L. Blasco, M. A. Khan, and M. Litt.  
Human cervical mucus. II. changes in viscoelasticity during the menstrual cycle.  
*Fertil. steril.*, 28:47–52, 1977.
  73. K. Ishimoto and E. A. GAffney.  
An elastohydrodynamical simulation study of filament and spermatozoan swimming driven by internal couples.  
*IMA Journal of Applied Mathematics*, 28:41–46, 2018.
  74. Simon F. Schoeller and Eric E. Keaveny.  
From flagellar undulations to collective motion: predicting the dynamics of sperm suspensions.  
*Journal of The Royal Society Interface*, 15(140):20170834, March 2018.
  75. J. C Kirkman-Brown and D. J Smith.  
Sperm motility: Is viscosity fundamental to progress?  
*Molecular Human Reproduction*, 17(8):539–544, August 2011.
  76. U. M. Ascher, S. J. Ruuth, and B. T. R. Wetton.  
Implicit-explicit methods for time-dependent partial differential equations.  
*SIAM J. Numerical Analysis*, 32:797–823, 1995.