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1 Evidence for seasonality in early Eocene high latitude sea-surface temperatures

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6 **Abstract**

7 **Specific challenges still exist in our understanding of past greenhouse climate states. Whilst**  
8 **climate model simulations using atmospheric CO<sub>2</sub> concentrations consistent with proxy**  
9 **estimates broadly align with lower latitude proxy temperature estimates, they struggle to**  
10 **reproduce the warming implied by proxies at higher latitudes, especially in the marine realm.**  
11 **This inconsistency has often led to the conclusion that climate models are insufficiently sensitive.**  
12 **Here, we analyse the distribution of photozoan and heterozoan carbonates, which provide**  
13 **important constraints for latitudinal sea surface temperature (SST) gradients, to assess**  
14 **data/model mismatches for the early Eocene Climatic Optimum. The carbonate facies**  
15 **distribution is compared against quantitative geochemical proxy temperature estimates ( $\delta^{18}\text{O}$ ,**  
16 **Mg/Ca, clumped isotopes and TEX<sub>86</sub>) and a new HadCM3L climate simulation. Good**  
17 **correspondence exists between the simulated cold-month SSTs and photozoan carbonates,**  
18 **indicating HadCM3L is effectively reconstructing meridional temperature gradients into mid-**  
19 **latitudes. Whilst there is good agreement between simulated mean annual SSTs and**  
20 **geochemical proxy estimates in low latitudes, the  $\delta^{18}\text{O}$ , Mg/Ca and TEX<sub>86</sub> estimates instead**  
21 **align with warm-month SSTs at higher latitudes. In light of the carbonate facies evidence, and**  
22 **consistency between our simulation and available terrestrial proxy temperature estimates, this**  
23 **study supports previous claims that a warm season bias exists in many middle and high latitude**  
24 **SST estimates. This helps resolve the discrepancy between climate simulations and marine**  
25 **proxies and shows that climate models and data might be more closely aligned than is**

26 **appreciated. Further, we demonstrate that simple, and widely available, proxies can play a**  
27 **fundamental role in contextualising wider paleoclimate uncertainties.**

## 28 **1. Introduction**

29 Since the early 1980s, numerical models of the climate system have been used to explore and better  
30 understand the climate evolution of our planet. During that time, the spatial resolution of models has  
31 increased, and their complexity has grown, fostering an ever-closer working relationship between  
32 modellers and geoscientists. Given the obvious potential relevance to future anthropogenic climate  
33 change, warm, high CO<sub>2</sub> climate states have become a particular focus. Such studies have  
34 demonstrated that whilst models are capable of simulating many of the large-scale features of past  
35 high CO<sub>2</sub> time periods (e.g. Lunt et al., 2012), comparisons with geological proxy data highlight  
36 specific challenges to our understanding of these greenhouse worlds remain. Whilst climate model  
37 simulations, conducted using atmospheric CO<sub>2</sub> concentrations consistent with proxy estimates,  
38 broadly align with proxy temperature estimates at lower latitudes, they are generally unable to  
39 reproduce the warming implied at higher latitudes (e.g. see figure 5 of Evans et al., 2018). This  
40 phenomenon is particularly well expressed in the marine realm with sea surface temperature (SST)  
41 proxy estimates generally indicating a greater magnitude of warming than suggested by terrestrial  
42 proxies (e.g. Huber and Caballero, 2011; Inglis et al., 2017). The data/model mismatches have often  
43 been attributed to inadequacies in climate models (e.g. Tierney et al., 2017; Evans et al., 2018),  
44 fuelling research into the physical parameterisations of climate models in order to generate warmer  
45 simulations (e.g. Sahoo et al., 2013; Upchurch et al., 2015) aligned with proxy temperature estimates  
46 (e.g. see figure 5 of Evans et al., 2018). Whilst these efforts are entirely appropriate, further research  
47 is also required to resolve some fundamental uncertainties that exist in some of the most commonly  
48 used paleotemperature proxies, including calibration issues (e.g. Ho and Laepple, 2016; Bernard et al.,  
49 2017) and the potential for a seasonal bias (e.g. Bijl et al., 2009; Hollis et al., 2012; Schouten et al.,  
50 2013).

51 Given these uncertainties, it can be challenging to conclude where the cause of data/model  
52 mismatches actually lies, and there is a potential risk of using circular reasoning to justify  
53 modifications to the physical representation of climate processes in models in the absence of actual  
54 observations. Numerous studies have explored data/model mismatches utilising a multi-proxy  
55 approach; however, to date, these have largely been confined to geochemical proxy methods (such as  
56  $\delta^{18}\text{O}$ ,  $\text{TEX}_{86}$  and  $\text{Mg/Ca}$ ), particularly in the marine realm (e.g. Hollis et al., 2012; Lunt et al., 2012).  
57 A range of simpler proxies consisting of climatically sensitive sedimentary facies and their  
58 constituents also exist and, although used in early studies (Adams et al., 1990), remain underutilised.  
59 Whilst these proxies do not provide absolute temperature estimates, they can provide important  
60 constraints to determine if conditions were above or below certain temperature thresholds and provide  
61 independent evidence for the nature of past latitudinal SST gradients. Being abundantly available,  
62 they can therefore provide robust contextual control to help assess the cause of data/model  
63 mismatches. Here, a global database of geochemical and simpler proxies, in the form of different  
64 carbonate facies, is presented for the 53–50 Ma early Eocene climatic optimum (EECO) greenhouse  
65 period, along with a new climate simulation using the Hadley Centre Coupled Climate Model Version  
66 3 (HadCM3L). The model results are compared to carbonate facies data in an effort to independently  
67 determine the early Eocene latitudinal SST gradient and critically assess the nature of data/model  
68 mismatches in a holistic way.

## 69 **2. The early Eocene climate**

70 Available lines of evidence indicate that the early Eocene climate was in a greenhouse state, with the  
71 EECO marking the culmination of a late Paleocene-early Eocene long-term warming trend.  
72 Concentrations of atmospheric  $\text{CO}_2$  during the EECO were greater than the modern with proxy-based  
73 estimates from a variety of techniques generally indicating levels were between 1.5 to 10 times pre-  
74 industrial levels (see Supplemental files for details). Previous climate modelling studies have often  
75 used values within this range, although some have employed values beyond the upper limits indicated  
76 by proxies in order to assess the importance of  $\text{CO}_2$  forcing uncertainty on the simulation (e.g. Huber  
77 and Caballero, 2011; Hollis et al., 2012; Keery et al., 2018). The importance of the long-term

78 geological carbon cycle in forcing past greenhouse climate states was clearly demonstrated in an  
79 Early Cretaceous to Eocene ensemble study which established that global mean annual temperatures  
80 are largely unaffected by time dependent solar and paleogeographic forcings (Lunt et al., 2016). Other  
81 model studies have demonstrated that CO<sub>2</sub> accounts for over 90% of the variance in a range of  
82 temperature parameters (Keery et al., 2018). During the early Eocene, surface temperatures were  
83 elevated at all latitudes, with higher latitudes showing the largest surface temperature response (e.g.  
84 Lunt et al., 2012). The term ‘equable’ has been used to describe such climate states, characterized by a  
85 reduced equator-to-pole temperature gradient with warm, ice-free polar regions. The equable climate  
86 characteristics are well expressed in early Eocene marine geochemical proxies which indicate that  
87 whilst tropical SSTs were moderately (3–8°C) warmer than present (Evans et al., 2018), there was a  
88 marked increase (10–25°C) in mid to high latitude SSTs (Bijl et al., 2009; Cramwinckel et al., 2018)  
89 (see Supplemental files for details)

90 Despite attempts to simulate equable climate conditions, persistent data–model inconsistencies  
91 remain, particularly in high latitudes, which has been termed the ‘equable climate problem’ (Sloan  
92 and Barron, 1990). The collapse of latitudinal temperature gradients is difficult to reconcile with the  
93 climate dynamics that underpin climate models (e.g. Lunt et al., 2012) and as a result, most early  
94 Eocene climate simulations are inconsistent with the full range of proxy data (Lunt et al., 2012, 2013;  
95 Evans et al., 2018). Climate model simulations can generate global air temperature distributions in  
96 broad agreement with the early Eocene terrestrial proxy temperature estimates (Huber and Caballero,  
97 2011), but challenges remain in simulating SST gradients using atmospheric CO<sub>2</sub> concentrations  
98 consistent with proxy constraint. This is in part related to marine proxies generally indicating  
99 warming of greater magnitude than terrestrial proxies (Huber and Caballero, 2011; Inglis et al., 2017).  
100 Unsurprisingly, warmer surface conditions appear to have led to a general enhancement in the  
101 hydrological cycle (Carmichael et al., 2018) with a warmer atmosphere also having an increased  
102 moisture carrying capacity following the Clausius–Clapeyron relationship (Lunt et al., 2012). The  
103 resulting differences in cloud properties may be an important factor behind the data/model  
104 discrepancies of equable climates (Kiehl and Shields, 2013; Upchurch et al., 2015) and models with

105 perturbed physics parameters have provided simulations that are more consistent with the range of  
106 proxies (e.g. Sagoo et al., 2013). Despite this promising line of investigation, data/ model  
107 comparisons are complicated by the presence of a number of sources of uncertainty in established  
108 paleothermometry techniques that require consideration before definitive conclusions can be made on  
109 the cause of data/model discrepancies.

110 It is well established that  $\delta^{18}\text{O}$  temperatures are commonly affected by diagenetic alteration (Pearson  
111 et al., 2001) for which the practice of picking “glassy” specimens was developed. However, it has  
112 been recently demonstrated that the  $\delta^{18}\text{O}$  composition of calcite may undergo alteration through  
113 diffusion during burial without any observable changes to the fossil ultrastructure (Bernard et al.,  
114 2017). This diffusive isotope re-equilibration influences the  $\delta^{18}\text{O}$  composition of the fossils that  
115 formed in cold waters, potentially causing overestimation of ocean temperatures at high latitudes. The  
116 physiological imprinting of geochemical signals (or vital effects) are another source of uncertainty in  
117  $\delta^{18}\text{O}$  temperature estimates (Hermoso et al., 2014) and both  $\delta^{18}\text{O}$  and Mg/Ca are highly sensitive to  
118 secular variations in salinity and seawater chemistry (e.g. Evans et al., 2016).  $\text{TEX}_{86}$  is associated with  
119 calibration complications (Tierney and Tingley, 2014; Ho and Laepple, 2016) and non-thermal factors  
120 may also influence the glycerol dialkyl glycerol tetraether (GDGT) signal (e.g. Elling et al., 2015). It  
121 has also been demonstrated that  $\delta^{18}\text{O}$ , Mg/Ca and  $\text{TEX}_{86}$  derived SST estimates could all be  
122 seasonally biased to summer temperatures at mid to high latitudes (Hollis et al., 2012). While some of  
123 these uncertainties may help explain the inconsistencies between climate simulations and proxy data,  
124 the debate has recently been reinvigorated through new peat-based branched glycerol dialkyl glycerol  
125 tetraether (brGDGT) data that indicate the terrestrial realm may have been much warmer than  
126 previously thought during the early Paleogene (Naafs et al., 2018). Additional lines of evidence are  
127 therefore needed to help critically assess the nature of the data/model mismatches characteristic of  
128 equable climates such as the early Eocene.

### 129 3. Carbonate facies as climate proxies

130 Temperature strongly affects many biological processes within the marine environment and the large-  
131 scale distribution of various carbonate producing organisms is clearly related to ocean temperature.  
132 Shallow-marine, benthic carbonates have been classified into “photozoan” or “heterozoan”  
133 associations (James, 1997), that are produced in tropical or cool-water “factories” (sensu Schlager,  
134 2003). A characteristic feature of photozoan, or tropical, carbonates is the presence of photosymbiont-  
135 bearing invertebrates, such as zooxanthellate coral and large benthic foraminifera (LBF). The modern  
136 distribution of these organisms is clearly temperature controlled with coral-bearing photozoan  
137 carbonate assemblages constrained to tropical/subtropical environments where SSTs remain above  
138 18°C in the coldest month (Fig 1). However, some LBF are able to tolerate a wider range of  
139 temperatures (Fig. 1), with most species found in areas where cold month ocean temperatures do not  
140 fall below 15°C (Langer and Hottinger, 2000), although some are able to exist in regions where winter  
141 temperatures fall as low as 14°C (Langer and Hottinger, 2000; Beavington-Penney and Racey, 2004).  
142 Temperature has a crucial role in controlling the geographical distribution of photozoan carbonate  
143 assemblages as it influences the energy required to generate skeletal carbonate by impacting the  
144 solubility of CO<sub>2</sub> and the saturation of CaCO<sub>3</sub> in seawater (Mutti and Hallock, 2003). Aragonite  
145 precipitation, characteristic of zooxanthellate corals and calcareous green algae, is most advantageous  
146 in warm waters, supersaturated with CaCO<sub>3</sub>. Calcite-secreting organisms are favoured in cooler  
147 waters, where greater energy is required to precipitate aragonite (Hallock, 2001). Lower temperatures  
148 also inhibit the ability of the symbiotic algae, present within both corals and LBF, to photosynthesise,  
149 leading to a potential net loss of carbon transferred from the algae to the host (Hollaus and Hottinger,  
150 1997; Kemp et al., 2011). Whilst temperature plays a crucial role in photozoan carbonate distribution,  
151 other factors such as light intensity, trophic level, and pH are also important considerations. In  
152 contrast, heterozoan carbonates are comprised of heterotrophic invertebrates (such as small benthic  
153 foraminifera, molluscs, and bryozoans). Red calcareous algae can be abundant in both heterozoan and  
154 photozoan assemblages. Heterozoan assemblages can occur within a wide spectrum of trophic levels  
155 and climatic zones but are generally swamped by rapidly growing photozoan material in tropical

156 environments. Heterozoan assemblages are therefore typical, but not exclusively reflective, of  
157 temperate to polar environments where summer SSTs remain cooler than 24°C (Michel et al., 2018).

#### 158 **4. Materials and methods**

##### 159 4.1 Climate proxies

160 A database of benthic carbonate assemblages from 56 sites (see Supplemental files for details) was  
161 collated and characterised as either “photozoan” or “heterozoan” following the definition of (James,  
162 1997). To address uncertainty in our classification we also applied a confidence rating. A high  
163 confidence was designated if the section was known to contain photosymbiont bearing invertebrates  
164 (e.g. corals and LBF) or green calcareous algae. Due to the propensity of photozoan corals to be  
165 hermatypic, rimmed platforms and their associated lagoons are also diagnostic facies associated with  
166 photozoan carbonates. Photozoan carbonates may also be associated with coastal sabkhas, such as  
167 those present along the modern Arabian margin of the Persian Gulf. We therefore also classified  
168 carbonates known to have facies associations with evaporites, lagoons, and reefs as high confidence.  
169 A lone facies association, such as the presence of a reef, is suggestive of photozoan carbonates, but is  
170 not definitive, and so in these instances a low confidence designation was assigned (see Supplemental  
171 files for additional details). As ocean temperature generally decrease with depth, only those sections  
172 deposited in shallow marine settings were considered when collating the carbonate proxies to ensure a  
173 fair reflection of SST. As a result of the quality of the temporal control in these sections, any deposit  
174 that could be constrained to the Ypresian or early Eocene was utilised. However, as the poleward  
175 extent of photozoan carbonates is controlled by cold month temperatures, the widest latitudinal  
176 distribution can be expected during peak warmth, when high latitude winter SSTs would be at their  
177 highest. Therefore, these data should still provide robust constraint for the EECO. As with other  
178 paleotemperature proxies, we assume that the strong correlation between the distribution of carbonate  
179 facies and temperature observed in the modern was the same during the early Eocene.

180 Additionally, quantitative geochemical marine proxies (TEX<sub>86</sub>, δ<sup>18</sup>O, Mg/Ca, and clumped isotope  
181 data) were collated from 22 sites (Fig. 2) and screened to ensure only those data matching the



182 biostratigraphic calibration of the EECO were used (see Supplemental files for details). The highest  
183 and lowest temperature values for the EECO interval were captured and the values were taken as  
184 published by the authors; no attempt was made to recalculate the values. Quantitative terrestrial  
185 temperature proxies were also obtained from a recent early Paleogene synthesis (Naafs et al., 2018),  
186 comprising 55 temperature estimates derived from leaf physiognomy, lignite GDGT, mammal  $\delta^{18}\text{O}$ ,  
187 MBT/CBT (the methylation of branched tetraether and cyclization of branched tetraethers), paleosols  
188 and nearest living relatives data (see Supplemental files). In a similar manner to the marine proxy  
189 temperatures, the highest and lowest temperature values for the EECO interval were captured and the  
190 temperature values were taken as published by the authors.

#### 191 4.2 Climate simulation

192 The HadCM3L General Circulation Model was used to generate a new EECO simulation (see  
193 Supplemental files for additional details). We incorporated the land surface scheme MOSES 2.1  
194 (Essery et al., 2003) with a land surface consisting of lakes and homogenous shrub. Atmospheric  $\text{CO}_2$   
195 concentration was prescribed at 1200 ppm, consistent with the most recent boron isotope data  
196 (Anagnostou et al., 2016). Other atmospheric constituents were held at preindustrial levels. The  
197 EECO tectonic configuration, gross depositional environments and resulting paleo digital elevation  
198 model (PDEM) that underpins this study were created by Halliburton Neflex® Insights using a  
199 modified version of the approach of V  rard et al. (2015) to include a wider range of input data. The  
200 PDEM, land sea mask, and lake fields were regridded to model resolution using an area-weighted  
201 algorithm. Model specific smoothing was applied to the bathymetry and hand edits applied where the  
202 land sea mask was unsatisfactory. A model resolution river drainage model was generated that is  
203 internally consistent with the PDEM and known river outlets. To address the paleolatitudinal  
204 uncertainty of the proxy data, the range of possible paleo-coordinates for each was captured from 8  
205 different plate tectonic models using PaleoGIS software and the paleolatitude calculator of van  
206 Hinsbergen et al. (2015), ensuring the uncertainty estimates consider both hotspot and paleomagnetic  
207 derived reference frames (see Supplemental files). Latitudinal temperature gradients were extracted  
208 from the time-averaged simulation results, and data were binned by latitude using the model grid

209 cells. Zonal mean, maximum and minimum values were obtained for the annual mean, cold-month  
210 mean (CMM) and warm-month mean (WMM) SST, and air temperature.

## 211 **5. Results**

### 212 5.1. Simulation and marine proxy data comparison

213 Our simulation achieved a satisfactory state of equilibrium within the atmosphere and ocean with a  
214 1.5M global mean annual temperature of 24.28°C trending at -0.03°C century<sup>-1</sup>. The simulation has a  
215 globally-integrated Top of the Atmosphere Radiative (TOA) imbalance of 0.12 W m<sup>-2</sup> with a potential  
216 temperature trend in the top 200m of the water column of -0.015°C century<sup>-1</sup> (see Supplemental files  
217 for additional information). Our simulation therefore has a climatological mean TOA imbalance that  
218 compares favourably with the 0.06 W m<sup>-2</sup> imbalance from the model's pre-industrial experiment and  
219 is well within the tolerance suggested by Lunt et al., (2017) (see Supplemental files for details).

220 There is good agreement between the geographic distribution of the different photozoan assemblages  
221 and the CMM SSTs generated by this simulation (Fig. 3). 51 out of the 53 pure photozoan carbonate  
222 sites are located where simulated CMM SSTs do not fall below 14°C (Figs. 3), the temperature  
223 tolerance of modern forms (Beavington-Penney and Racey, 2004). However, the two photozoan sites  
224 that occur outside of the 14°C temperature threshold (Inal and Hampshire Basin) are consistent with  
225 the simulation when the paleolatitudinal uncertainty and zonal range are considered (Fig. 4). LBF are  
226 able to tolerate cooler conditions that is typical for other photozoan assemblages, and when sites  
227 comprised solely of LBF are excluded, all other sites occur where simulated CMM SSTs remain  
228 above 18°C (Figs. 3 and 4), consistent with modern temperature tolerances. Only a small selection of  
229 heterozoan carbonates could be identified, and all occur in the southern hemisphere. In Victoria,  
230 Australia, the Dilwyn Formation contains solitary scleractinian corals within a heterozoan assemblage  
231 that is interpreted to have been deposited in a shoreface or coastal environment (Stilwell, 2003) at 62-  
232 55° S. This sole pure heterozoan site was deposited in a paleolatitude (62-55°S) where CMM SSTs do  
233 not or are unlikely to reach the 14°C threshold for photozoans (Beavington-Penney and Racey, 2004)  
234 and simulated WMM SSTs remain below 24°C, where heterozoans more typically occur today

235 (Michel et al., 2018). Deposited in slightly lower paleolatitudes (57-51° S), the basal unit of the  
236 Matanginui Limestone Member on the Chatham Islands, New Zealand, is a dominantly bryozoan  
237 packstone to grainstone that is typical of an heterozoan assemblage (James et al., 2011). The upper  
238 unit contains bryozoan with locally abundant LBF and echinoids. The unit is interpreted to have been  
239 deposited in a neritic environment within the photic zone (James et al., 2011). The underlying early  
240 Eocene and overlying middle to late Eocene carbonates are wholly heterozoan. The Chatham Island  
241 succession lies in an area where simulated CMM SSTs fall just below the 14°C threshold for  
242 photozoans (Fig. 3), although when the paleolatitudinal uncertainty and zonal range are considered,  
243 the climate simulation is consistent with photozoan carbonate accumulation at this site (Fig. 4).

244 A close correspondence between the simulated latitudinally binned mean annual SSTs and a range of  
245 estimates from low latitude sites (>30°) is evident (Fig. 4), including those derived from TEX<sub>86</sub>  
246 (Tanzania and ODP Site 959 and 929), δ<sup>18</sup>O (Tanzania), Mg/Ca (ODP Site 865) and clumped isotope  
247 analysis (Kutch, India). Simulated SSTs are consistent with mid latitudes (30-60°) estimates from  
248 TEX<sub>86</sub> (Well 10, Wilson Lake, South Dover Bridge, and Hatchetigbee), and clumped isotopes  
249 (Hatchetigbee, Kester Borehole, and Paris Basin). However, a number of proxy estimates are elevated  
250 compared to the simulated mean annual SSTs, including those derived from TEX<sub>86</sub> (U1356A, ODP  
251 Site 1172D and Waipara), δ<sup>18</sup>O (Waipara, Belgium Basin), and Mg/Ca (Tawanui, Tora, Waipara,  
252 Hampden, and ODP Site 1172D), which align more closely with WMM ranges. In high latitudes,  
253 there is some agreement between our simulation and δ<sup>18</sup>O estimates from ODP Site 690, whilst  
254 estimates from ODP Site 738 and DSDP 277 are elevated compared to the mean. However, these high  
255 latitudes estimates may not be robust as the planktic foraminifera at ODP Sites 690 and 738, and  
256 DSDP Site 277 appear to be variably affected by seafloor diagenesis (Hollis et al., 2012). High latitude  
257 TEX<sub>86</sub> estimates from IODP Site 302-4A are also elevated compared to the simulated mean annual  
258 SSTs and again align with simulated WMM SSTs.

## 259 5.2. Simulation and terrestrial proxy data comparison

260 In general, there is a high level of agreement between our simulation and quantitative terrestrial proxy  
261 temperature estimates (Fig. 5). Simulated temperatures are consistent with all mammal-derived δ<sup>18</sup>O

262 temperature estimates from mid to high paleolatitudes sites. In mid latitudes there is also good  
263 agreement with temperature estimates derived from nearest living relative, leaf physiognomy, and  
264 MBT/CBT in both hemispheres. However, our simulation is inconsistent with lignite GDGT  
265 temperature estimates (Otaio and Schoeningen), which are warmer than simulated temperatures in  
266 both hemispheres. There is agreement with some nearest living relative, MBT/CBT and leaf  
267 physiognomy data in high latitudes, but discrepancies are present. Estimates from nearest living  
268 relative data from Faddeevsky Island in high northern latitudes are warmer than our simulated  
269 temperatures, as are some estimates from nearest living relative data (Lowana Road, Dean's Marsh  
270 and Wilkesland), MBT/CBT (IODP sites U1356 and 1172), and leaf physiognomy (Brandy Creek and  
271 Hotham Heights, Australia) in high southern latitudes. There is relatively poor correlation with the  
272 sparse low latitude proxy temperature estimates, including those derived from paleosols (Argentina),  
273 lignite GDGT (Khadsaliya, Matanomadh and Panandhro, India), and leaf physiognomy (Gurha, India)  
274 which are all cooler than simulated temperatures.

## 275 **6. Discussion**

### 276 6.1. Temperature control on carbonate proxies

277 Temperature is not the only control on carbonate facies distribution, and these other factors need  
278 consideration. Light intensity is an important ecological control for photozoan assemblages due to  
279 their dependency on photosynthesis. However, studies on extant species of LBF and photozoan corals  
280 demonstrate that they can thrive in low-light conditions (Anthony and Hoegh-Guldberg, 2003;  
281 Hohenegger et al., 2000), making it unlikely that the early Eocene geographic distribution can be  
282 explained by light intensity. Trophic levels are another important control on modern photozoan  
283 assemblages and increased nutrient levels, resulting from elevated fluvial runoff or upwelling, could  
284 explain the restricted paleolatitudinal extent of early Eocene photozoan. However, the ability of LBF  
285 to tolerate elevated trophic levels has been implicated in their dominance during the EECO (Scheibner  
286 and Speijer, 2008). This hypothesis is supported by the presence of LBF in early Eocene clastic-  
287 dominated sequences, such as the UK, and is further supported by studies on modern LBF which

288 demonstrate they are resilient to increased nitrate levels (Prazeres et al., 2017). Surface ocean  
289 acidification associated with elevated atmospheric CO<sub>2</sub>, is also unlikely to have an impact on the  
290 observed distribution of photozoan carbonates as surface ocean pH is unlikely to have has much  
291 latitudinal variability and may have even been more alkaline at higher latitudes (Gutjahr et al., 2017).  
292 In light of these lines of evidence and following conclusions of Schlager (2003), we conclude that  
293 temperature has a dominant role in controlling latitudinal photozoan distribution during the early  
294 Eocene.

295 Despite this, it is important to consider whether the modern temperature thresholds used in this study  
296 are likely to be valid for the early Eocene. In light of the metabolic factors controlling the minimum  
297 temperature threshold of the various photozoans (see section 3), we conclude that it is unlikely that  
298 early Eocene forms would be able to tolerate cooler conditions than modern forms. However, we have  
299 employed the coldest thresholds of modern forms that could introduce a bias into our results.

300 Independent support for our approach does exist however, in the clumped isotope temperature data  
301 from northern middle latitudes (Kester borehole and Paris Basin), close to the northerly extent of LBF  
302 (Fig. 4). These data likely reflect mean annual SST as they either originate from LBF, which calcify at  
303 a constant rate in locations characterized by a large seasonal cycle (e.g. Evans et al., 2018), or are  
304 pooled from multiple bivalve shells encompassing growth bands from different seasons (Keating-  
305 Bitonti et al., 2011). These data provide mean annual SST estimates of 18.5 – 20°C ± ~2.5 °C (Evans  
306 et al., 2018) and therefore support the use of the modern (14°C), rather than a warmer, CMM  
307 threshold for LBF. It is also evident that early Eocene photozoan corals were deposited across a  
308 narrower latitudinal range than LBF, suggesting they were more sensitive to CMM temperatures,  
309 consistent with modern observations. When combined with the clumped isotope temperature data  
310 from the Kester borehole and Paris Basin, this supports our use of the modern 18°C CMM threshold  
311 for photozoan corals.

## 312 6.2. Implications for early Eocene ocean temperatures

313 The excellent agreement between the simulated CMM SSTs and the photozoan/heterozoan  
314 distribution suggests that HadCM3L is effectively reconstructing meridional cold-season conditions

315 using modest levels of atmospheric CO<sub>2</sub>. This is further supported by good agreement with the  
316 geochemical proxies in low to mid latitudes, especially in the northern hemisphere. Although the  
317 climate simulation is consistent with photozoan carbonate accumulation on the Chatham Islands given  
318 the paleolatitudinal uncertainty and zonal temperature ranges, the alternating heterozoan/photozoan  
319 assemblages deposited there might be better explained by the impact of orbital forcing on CMM  
320 conditions. Modern orbital parameters were used in this study, but photozoans may have been  
321 periodically deposited on the Chatham Islands when orbital parameters favoured warmer southern  
322 hemisphere winters, although changes in another forcing agent, such as atmospheric CO<sub>2</sub>, or in  
323 relative water depth cannot be ruled out. In combination, our observations leave two scenarios to  
324 explain the mismatch with TEX<sub>86</sub>, Mg/Ca, and δ<sup>18</sup>O temperature estimates at high and some middle  
325 latitude sites. The first scenario is that the proxy estimates exclusively record the mean annual SST,  
326 and despite providing robust CMM SSTs, HadCM3L is underestimating the mean and hence WMM  
327 temperature. Whilst we cannot definitively rule this possibility out, it appears unlikely and is difficult  
328 to reconcile with the temperature gradient implied by the latitudinal ranges of LBF and photozoan  
329 corals. There is also some support for the WMM simulation in the limited EECO heterozoan data,  
330 which are located where WMM SSTs remain below 24°C, conditions where heterozoan carbonates  
331 more typically occur today.

332 The alternative scenario is that some mid to high latitude SST estimates are seasonally biased, as  
333 suggested by a number of other workers (e.g. Sluijs et al., 2006; Bijl et al., 2009; Davies et al., 2009;  
334 Eberle et al., 2010; Hollis et al., 2012; Pancost et al., 2013) and that the seasonal bias becomes  
335 increasingly amplified at higher latitudes. Evidence for a seasonal bias in Mg/Ca and δ<sup>18</sup>O is  
336 supported by modern studies in the Southern Ocean, which demonstrate surface-dwelling planktic  
337 foraminiferal production peaks in late spring or summer (King and Howard, 2005). Thaumarchaeota,  
338 the source of the TEX<sub>86</sub> signal, most likely reached the seafloor within faecal pellets, creating a strong  
339 link with grazing. In high latitudes, grazing intensity is likely to be strongly seasonal, with more  
340 intense grazing during the ecologically favourable summer period. This is supported by modern  
341 studies offshore eastern New Zealand, which demonstrate the greatest flux of particulate organic

342 matter is in mid-to late-spring (Nodder and Northcote, 2001). In lower latitudes, where seasonality is  
343 reduced, this bias likely diminishes and estimates here are more likely to reflect mean annual  
344 conditions. A key piece of support for the presence of a seasonal bias is that our photozoan data and  
345 simulation are consistent with temperature estimates derived from clumped isotope data in both the  
346 tropics (Kutch, India) and in mid latitudes (Hatchetigbee, Kester Borehole and Paris Basin), which are  
347 likely to reflect mean annual SST (Evans et al., 2018). If a warm-month seasonal bias is  
348 acknowledged in mid to high latitude proxy temperature estimates from TEX<sub>86</sub> (IODP sites U1356A  
349 and 302-4A, ODP Site 1172D, Waipara and Well 10),  $\delta^{18}\text{O}$  (Waipara, Belgium Basin), and Mg/Ca  
350 (Tawanui, Tora, Waipara, Hampden and DSDP Site 277), then marine proxies demonstrate good  
351 agreement with simulated temperatures, although the southern hemisphere sites remain on the upper  
352 end of the zonal range. Future work to explore the impact of differing orbital parameters and higher  
353 atmospheric CO<sub>2</sub> concentrations on simulated temperatures may help further improve the correlation  
354 in this area, and the generation of clumped isotope temperature estimates in the southern middle to  
355 high latitudes will help evaluate the claim of a seasonal bias in the existing proxy estimates. Despite  
356 this, we feel the photozoan distribution provides robust evidence for a seasonal bias. There is support  
357 for our use of the modern CMM SST thresholds for photozoan corals and LBF (see section 6.1), but  
358 even if higher thresholds are used (e.g. 20°C and 16°C), the different latitudinal ranges of LBF and  
359 photozoan corals implies the presence of a latitudinal thermal gradient that is difficult to reconcile  
360 with high latitude tropical mean annual SSTs. If our conclusions are correct, it follows that changes to  
361 the physical parameterisation of climate models may not in fact be needed to obtain a closer  
362 correspondence between simulations and proxy data.

### 363 6.3. Implications for early Eocene air temperatures

364 Our conclusion that HadCM3L is effectively reconstructing meridional temperature gradients is  
365 further supported by the close agreement between our simulated air temperatures and the bulk of the  
366 terrestrial proxy temperature estimates (Fig. 5). However, inconsistencies are present, and it is  
367 important to understand to what these may relate. Firstly, there are mismatches between the paleosol  
368 temperatures of ~10°C in southern hemisphere mid latitudes (~30°). These temperatures appear

369 anomalously cold even for today and have been previously related to either a cold-month bias or lapse  
370 rate effects (Naafs et al., 2018). Additionally, there is disagreement with MBT/CBT-based  
371 temperatures in southern high latitudes from IODP site 1172, IODP Site U1356 and Waipara. The  
372 MBT/CBT approach utilises bacterial derived brGDGTs to estimate air temperature. The  
373 disagreement noted here occurs with data derived from marine sediments where it appears that the  
374 reconstruction of meaningful terrestrial estimates is complicated, because the signal can be  
375 contaminated with brGDGTs generated in the water column, in the sediment column or within the  
376 river itself (Sinninghe Damsté, 2016). The brGDGT signal may also suffer from a warm season bias  
377 (Bijl et al., 2013) and the signals might be further complicated due to a blending of temperature  
378 signals resulting from large drainage basins and co-eluting compounds (De Jonge et al., 2014).

379 Discrepancies are evident with the paleobotanical nearest living relative data from high paleolatitude,  
380 (81.7 - 77.8°N) sites in Russia. However, the EECO high latitudes represent a true non-analogue  
381 environment with warm summer temperatures accompanied by 24 hours of sunlight daily. This might  
382 have allowed some plants to live beyond their modern ecological tolerances; for example, the  
383 occurrence of palms is not simply prevented by low temperature (Gatti et al., 2008). The range of the  
384 palm *Trachycarpus fortunei* in Asia is limited by a combination of winter temperatures and a  
385 subordinate effect of cumulative growing season energy, with the range limit being imposed by frost  
386 damage to leaves that cannot be compensated by biomass production in the following growing season  
387 (Walther et al., 2007). Therefore, the effect of cold winter temperatures might have been offset by  
388 prolific summer growing seasons in the EECO high latitudes. As such, the cold temperature tolerance  
389 of Eocene polar palms might have differed markedly from the modern. Indeed, Read and Francis  
390 (1992) suggest that cool winter temperatures may actually be necessary for high latitude forests to  
391 have developed, as respiration induced carbon loss increases with temperature. This difference in  
392 temperature tolerance may in part also explain the discrepancy between our simulation and nearest  
393 living relative derived mean annual air temperatures from Australia (65-55°S) and Antarctica (62-  
394 58°S).

395 Lastly, there are mismatches with a temperature estimate from newly calibrated peat-based brGDGT



396 data (Naafs et al., 2018). The brGDGT proxy reaches saturation at 29.1°C and therefore the  
397 mismatches near the equator can be discounted as these likely represent minimum temperatures  
398 (Naafs et al., 2018). However, the mismatch with brGDGT data from mid latitude sites in present day  
399 New Zealand and Germany are more difficult to explain (Fig. 5). It is known that brGDGT production  
400 is seasonally influenced and a warm-season bias is present in some modern soils (Wang et al., 2018),  
401 although it appears that the brGDGT distribution in peat is dominated by production below the water  
402 table where the seasonal temperature cycle is muted (Naafs et al., 2017). However, there are  
403 uncertainties in the interpretation of brGDGT temperature estimates as information on the ecology of  
404 the bacterial producers of brGDGTs is scarce with most producers of these lipids unidentified (Naafs  
405 et al., 2017). The type of vegetation growing in the soil has also been shown to influence the brGDGT  
406 temperature estimates (Liang et al., 2019) and it is also possible that the signal could be influenced by  
407 in-situ production of brGDGT at depths during burial where temperature exceeds the surface  
408 conditions. This possibility could be explored by investigating brGDGT data from a single  
409 stratigraphic unit with a variable burial history and tight chronological control against thermal  
410 maturity indicators. As the brGDGT temperature estimates are generally warmer than other air  
411 temperature proxies at similar latitudes, another possibility is that they record the extreme end of the  
412 zonal temperature range (Douglas et al., 2014; Naafs et al., 2018), beyond the resolution of  
413 HadCM3L.

## 414 **7. Conclusions**

415 This study demonstrates an excellent correspondence between simulated cold-month SSTs and  
416 photozoan carbonate distribution, indicating HadCM3L is effectively reconstructing meridional  
417 EECO temperature gradients into mid-latitudes using moderate levels of atmospheric CO<sub>2</sub>. The  
418 carbonate facies distribution is inconsistent with many of the middle and high latitude geochemical  
419 temperature estimates recording mean annual SSTs. This work therefore adds to a growing body of  
420 literature that suggests a seasonal bias may be implicit in a number of previously reported deep time  
421 data/model mismatches, helping to explain the flat meridional gradient some geochemical proxies  
422 generate during periods of global warmth. Further, we demonstrate that simple marine proxies can

423 help resolve some long-standing inconsistencies between geochemical marine proxies and climate  
424 simulations. Being widely available, these simpler proxies have a key role in contextualising  
425 uncertainties associated with quantitative geochemical climate proxies and further research is  
426 suggested to validate this approach on other equable climate states. The technique could also be  
427 refined in future by assessing the distribution of additional features of the photozoan assemblages  
428 (e.g. calcareous green algae and non-biogenic precipitates) and assessing individual taxonomic  
429 groups. One remaining challenge for the EECO is the correlation with geochemical proxies in  
430 southern middle latitudes that are on the upper limit of the zonal range in our simulation. Additional  
431 climate simulations to explore the impact of differing orbital parameters and atmospheric CO<sub>2</sub>  
432 concentrations on simulated CMM SSTs are required.

433 Our work provides a case study for determining the cause of data/model mismatches in a holistic way,  
434 providing a framework to allow refinements to quantitative proxy temperature estimates in future. It  
435 cannot be assumed that quantitative proxy temperature estimates faithfully represent an annual mean  
436 temperature at all latitudes and the full seasonal signal, including zonal ranges, should be extracted  
437 from climate simulations for the purposes of data/model comparison. Given the scope of geological  
438 uncertainties, all lines of evidence should be explored using a holistic multi-proxy assessment before  
439 definitive statements on the magnitude of previous warmth, or model performance, are made.

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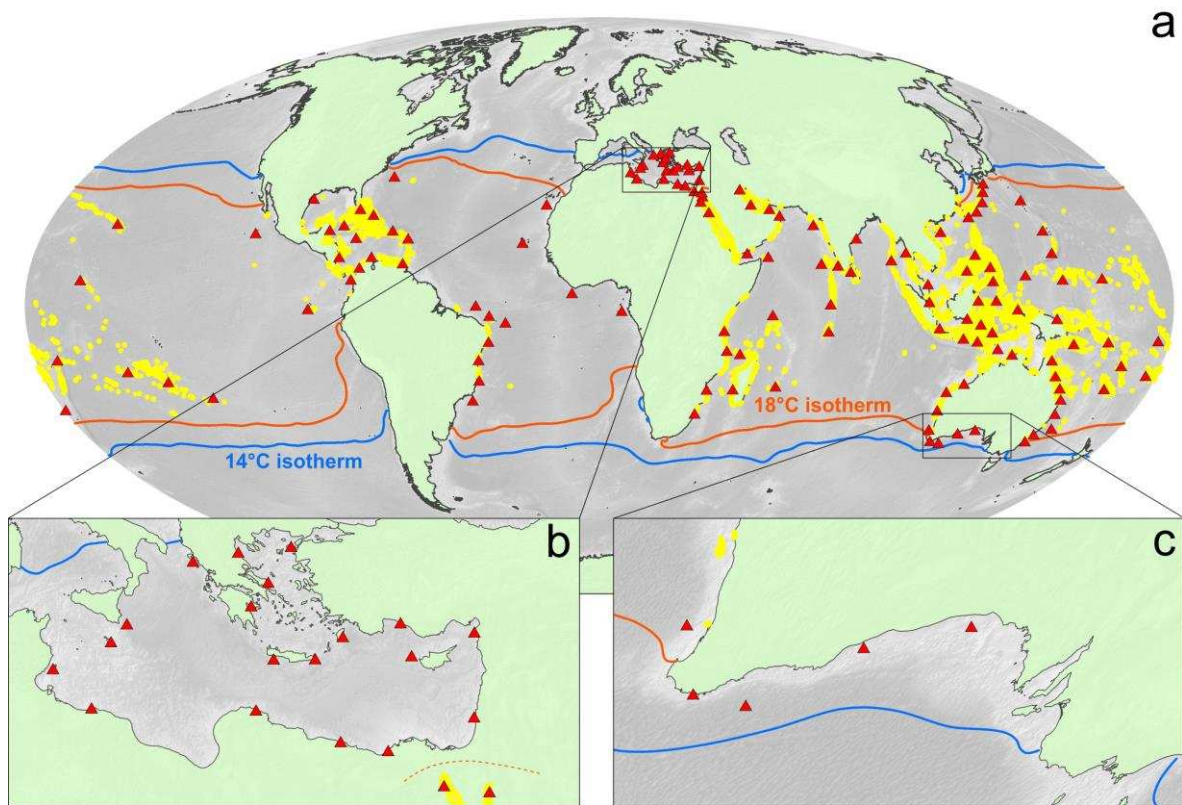
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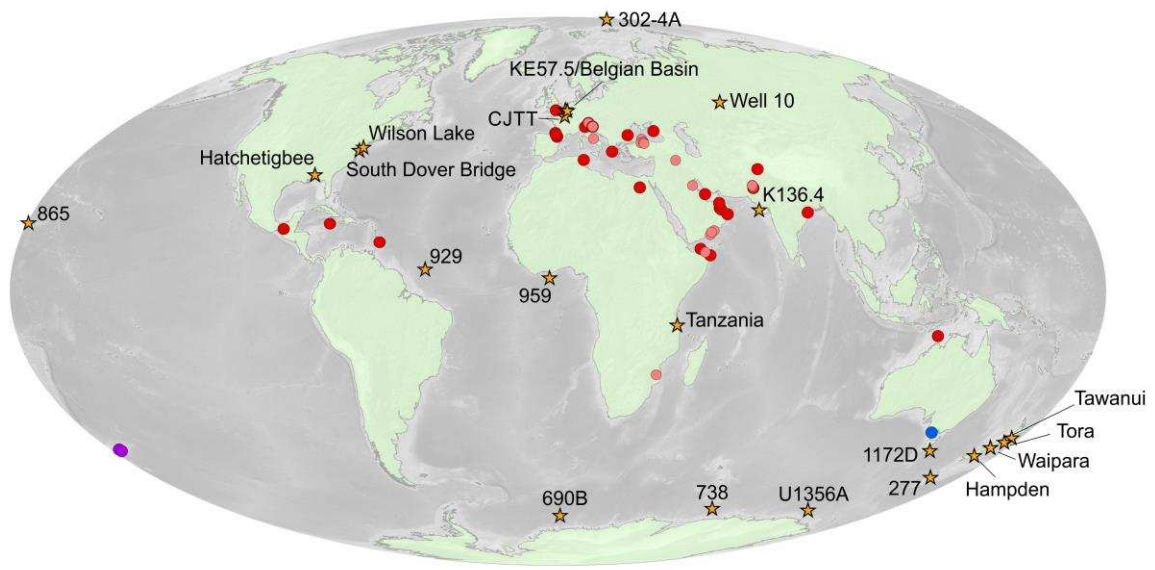
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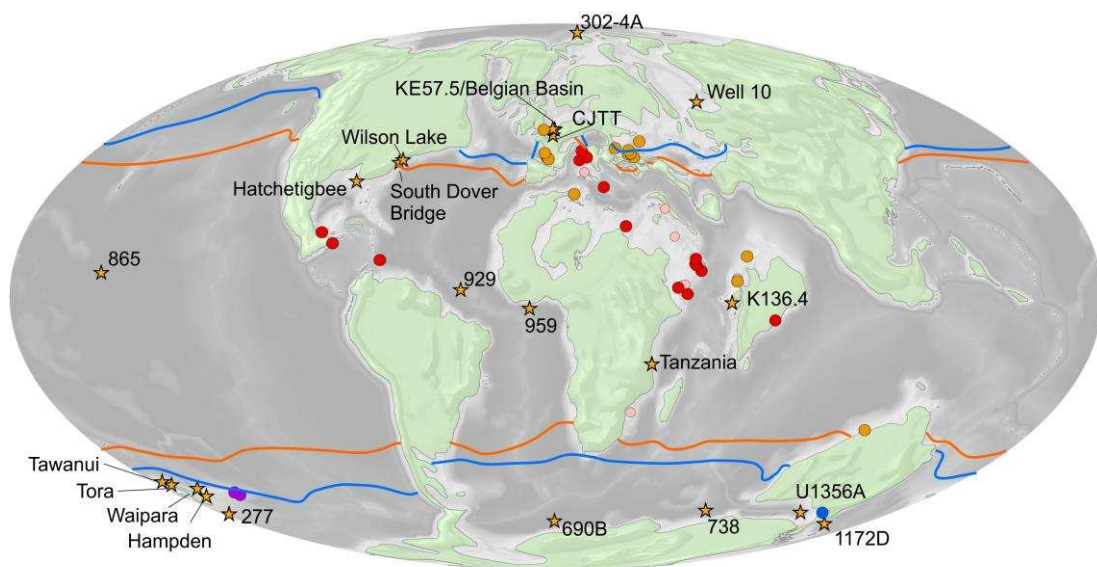
662 Fig. 1a. Modern global distribution of different photozoan carbonate producers. Yellow polygons are  
663 coral reefs, red triangles are large benthic foraminifera (LBF), against the cold month mean 14°C and  
664 18°C sea surface temperature isotherms. Details of areas where LBF occur below the 18°C CMM SST  
665 isotherm are shown for the Mediterranean (b) and southern Australia (c).

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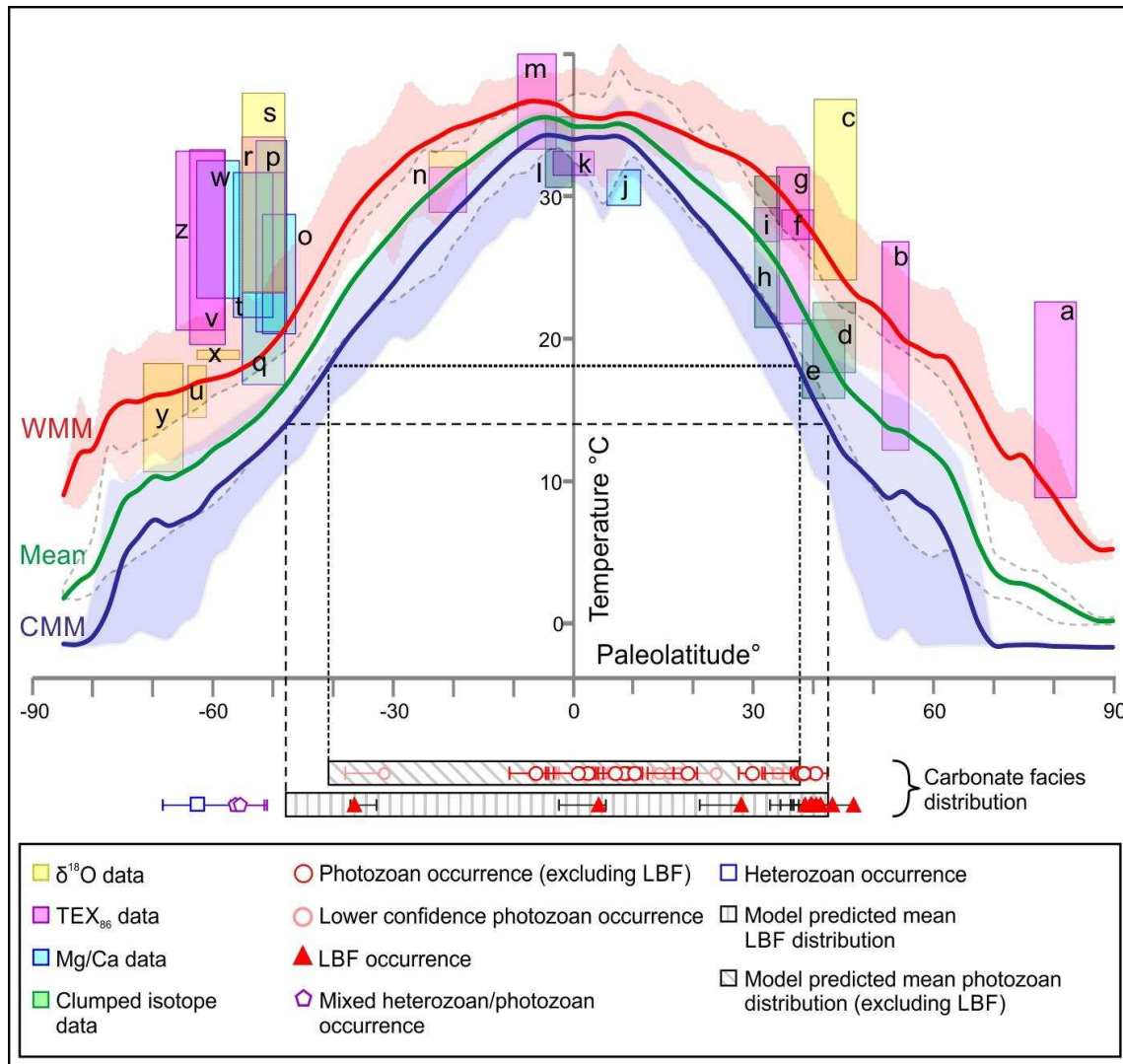
668 Fig. 2. Modern distribution of EECO quantitative geochemical marine proxies (stars) and  
 669 Ypresian/Early Eocene photozoans (high confidence red, low confidence pink), heterozoans (dark  
 670 blue) and mixed assemblages (purple). Data sources outlined in Supplemental files.



671

672 Fig. 3. EECO distribution of photozoan carbonates (red dots = high confidence, and pink = lower  
 673 confidence), LBF only (orange dots), heterozoans (dark blue) and mixed assemblages (purple) with  
 674 the HadCM3L simulated 18°C and 14°C CMM SST isotherm (orange and blue lines, respectively).  
 675 The location of quantitative geochemical proxies is represented by the stars.

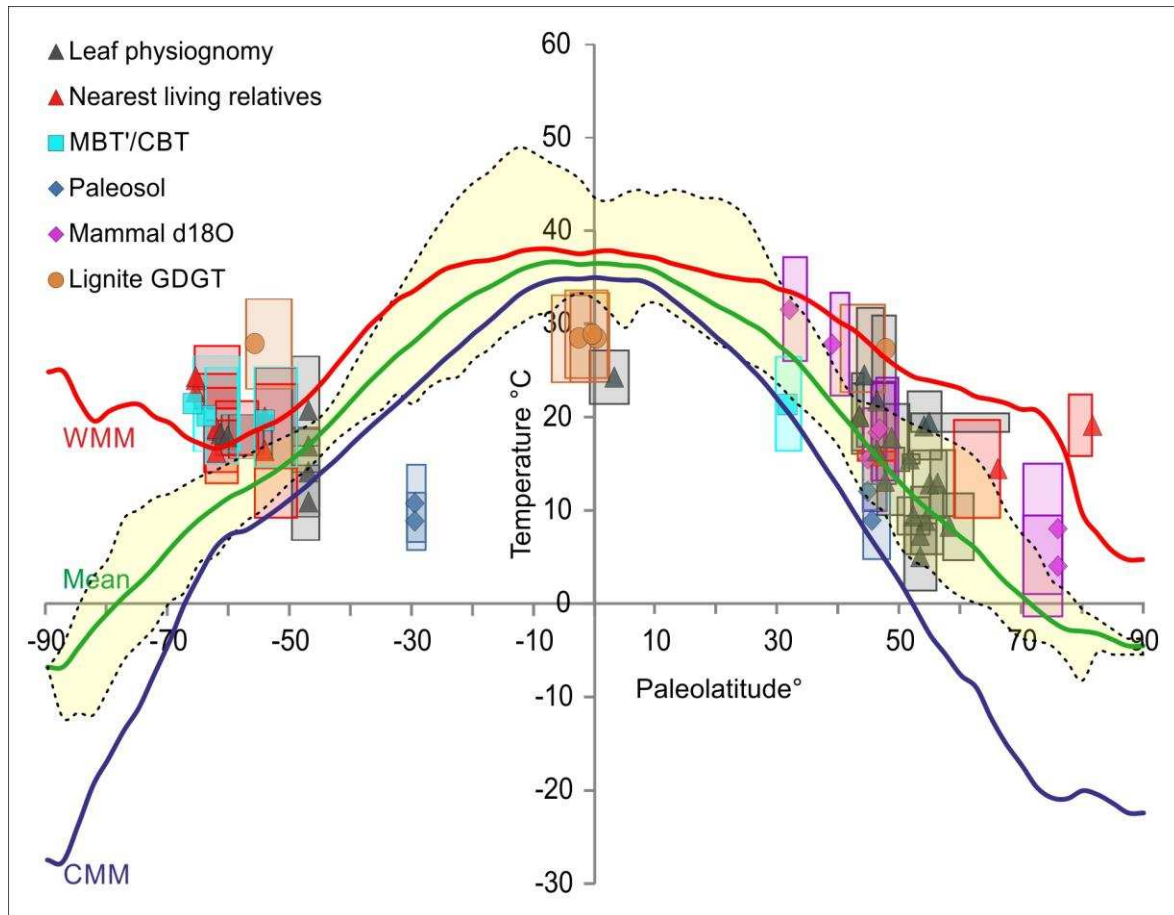
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678 Fig. 4. EECO latitudinal SST profiles for CMM (blue), mean annual (Green) and WMM (red) against  
 679 the quantitative proxy estimates and the distribution of photozoan, heterozoan and mixed carbonates.  
 680 The shading around the simulated temperatures represents the zonal minimum and maximum.  
 681 Paleolatitude error bars for the proxies represent the absolute range of latitudes resulting from 8  
 682 different plate tectonic models. Geochemical proxy data are from (a) IODP site 302-4A, (b) Well 10,

683 (c) Belgian Basin, (d) Kester Borehole, (e) Paris Basin, (f) Wilson Lake, (g) South Dover Bridge, (h),  
 684 i) Hatchetigbee, (j) ODP 865, (k) ODP 929, (l) Kutch, India, (m) ODP 959, (n) Tanzania drilling  
 685 project, (o) Tawanui, (p) Tora, (q, r, s) Waipara, (t) Hampden, (u) ODP 738, (v) U1356A, (w, x)  
 686 DSDP 277, (y) ODP 690, (z) ODP 1172D (see Supplemental files for details)

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688

689 Fig. 5. EECO latitudinal air temperature profiles for CMM (blue), mean annual (green) and WMM  
 690 (red) against the quantitative proxy estimates. The zonal mean annual temperatures are shown in the  
 691 yellow shading. Paleolatitude error bars for the proxies represent the absolute range of latitudes  
 692 resulting from 8 different plate tectonic models. See Supplemental files for details.