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Boynton, R.J. orcid.org/0000-0003-3473-5403, Amariutei, O.A., Shprits, Y.Y. orcid.org/0000-0002-9625-0834 et al. (1 more author) (2019) The system science development of local time dependent 40 keV electron flux models for geostationary orbit. Space Weather, 17 (6). pp. 894-906. ISSN 1542-7390

https://doi.org/10.1029/2018sw002128

This is the peer reviewed version of the following article: Boynton, R. J., Amariutei, O. A., Shprits, Y. Y., & Balikhin, M. A. (2019). The system science development of local time dependent 40 keV electron flux models for geostationary orbit. Space Weather, which has been published in final form at https://doi.org/10.1029/2018SW002128. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions.

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- The system science development of local time
- $_{2}$ dependent 40 keV electron flux models for
- ³ geostationary orbit

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Abstract. At Geosynchronous Earth Orbit (GEO), the radiation belt/ring 4 current electron fluxes with energies up to several hundred keV, can vary widely 5 in Magnetic Local Time (MLT). This study aims to develop Nonlinear Au-6 toRegressive eXogenous (NARX) models using system science techniques, 7 which account for the spatial variation in MLT. This is difficult for system 8 science techniques, since there is sparse data availability of the electron fluxes 9 at different MLT. To solve this problem the data are binned from GOES 13, 10 14, and 15 by MLT, and a separate NARX model is deduced for each bin 11 using solar wind variables as the inputs to the model. These models are then 12

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- ¹³ conjugated into one spatiotemporal forecast. The model performance statis-
- ¹⁴ tics for each model varies in MLT with a Prediction Efficiency (PE) between
- $_{15}$ 47% and 75% and a correlation coefficient (CC) between 51.3% and 78.9%
- $_{16}\,$ for the period from 1 March 2013 to 31 December 2017.

1. Introduction

The radiation belt/ring current electrons with energies from tens of keV to several MeV 17 can pose a serious threat to the satellites that our society is becoming increasingly reliant 18 [Horne et al., 2013a]. Therefore, models that are able to forecast the periods when the 19 radiation belts or ring current electrons will be hazardous to these spacecraft are highly 20 valuable to the satellite operators. Increases in the number of these electrons can lead 21 to various problems on the satellite. High energy electrons, typically above 1 MeV, can 22 cause deep dielectric charging, which can irrevocably damage the electronic components 23 onboard the satellite [Baker et al., 1987; Wrenn et al., 2002; Gubby and Evans, 2002; 24 Lohmeyer and Cahoy, 2013; Lohmeyer et al., 2015]. 1 keV to 100 keV energy electrons 25 can also be problematic to satellite operators, as they can contribute to surface charging, 26 particularly at ~ 10 keV, which interferes with the satellite electronic systems [Olsen, 27 1983; Mullen et al., 1986; O'Brien and Lemon, 2007; Thomsen et al., 2013; Ferguson, 28 2018; Sarno-Smith et al., 2016]. This can potentially turn off vital systems onboard the 29 spacecraft, which may be the cause of the anomaly on the Galaxy 15 spacecraft when it 30 stopped responding to any ground commands [Loto'aniu et al., Aug. 2015]. 31

The dynamics of the radiation belts are known to be due to a balance between transport, acceleration and loss processes. The solar wind is known to drive the acceleration through wave-particle interactions and radial diffusion [*Friedel et al.*, 2002], while magnetopause shadowing [*Kim and Chan*, 1997; *Bortnik et al.*, 2006; *Turner et al.*, 2012] and precipitation through wave particle interaction [*Bailey*, 1968; *Bortnik et al.*, 2006] lead to the loss of these energetic electrons. However, the radiation belt models based on first principles

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struggle to provide accurate forecasts of the radiation belt electron fluxes [Horne et al.,
2013b].

An alternative approach to first principles based forecast models is the system identi-40 fication or machine learning approach, where the models are automatically derived from 41 input-output data by computer algorithms. These algorithms include linear prediction 42 filters [Baker et al., 1990; Rigler et al., 2004], dynamic linear models [Osthus et al., 43 2014], neural networks [Koons and Gorney, 1991; Freeman et al., 1998; Ling et al., 2010], 44 and Nonlinear AutoRegressive Moving Average with eXogenous inputs (NARMAX) [Wei 45 et al., 2011; Boynton et al., 2013a, 2015]. Neural networks and NARMAX methodologies 46 are more suited to modelling the radiation belts, as the system is nonlinear with respect 47 to the solar wind input. Linear prediction filters and dynamic linear models are only 48 suitable for linear systems or local linearities within a nonlinear system. NARMAX and 49 neural networks have both been shown to provide accurate models for geospace systems 50 [Freeman et al., 1998; Boynton et al., 2011a, 2015], however, the advantages that NAR-51 MAX methodologies have over neural networks are that it is physically interpretable and 52 less prone to overfitting. This study uses the NARMAX methodology to model the 40 53 keV electron fluxes observed by the Geostationary Operational Environmental Satellites 54 (GOES), situated in Geostationary Earth Orbit (GEO). 55

The > 2 MeV electrons at Geostationary Earth Orbit (GEO) have been modelled using NARMAX, which results in a high forecast accuracy and a forecast horizon of one day [*Boynton et al.*, 2015]. *Balikhin et al.* [2016] showed that the NARMAX model provides forecasts superior to the one provided by the National Oceanic and Atmospheric Administration (NOAA), which employs the model by *Baker et al.* [1990]. Higher energy

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electrons take time to be accelerated after responding to the solar wind variations [Li]61 et al., 2005; Balikhin et al., 2012; Boynton et al., 2013b]. This means that it is possible 62 to forecast the dynamics of the high energy electrons further into the future than the 63 lower energies. Boynton et al. [2016a] developed NARMAX models for the electron flux 64 energy ranges observed by the third generation GOES (40 keV, 75 keV, 150 keV, 275 keV) 65 475 keV, > 800 keV and > 2 MeV). The developed models predict the daily averaged 66 electron fluxes and were shown to provide an accurate forecast. Although the models 67 provide a good forecast of the average conditions over a day in time and an orbit in 68 space, they will be unable to forecast any spatial variations over the orbit. For the high 69 energies, the electron fluxes are uniform in Magnetic Local Time (MLT) along the same 70 drift shells. Due to the distorted dipole, the electron fluxes measured by GOES will 71 vary in MLT as GEO does not follow drift shells or stay fixed at constant geomagnetic 72 latitudes. The tens to hundreds of keV electrons that populate the ring current, provide 73 the seed population of the radiation belts, and also drive the whistler mode chorus waves, 74 which lead to both the acceleration of the energetic electrons and loss by precipitation. 75 The injections of the tens to hundreds of keV electrons cause a fast localized electron 76 flux variation on shorter time scales (less than 24 hours), which the Boynton et al. [2016a] 77 models would average out. The Inner Magnetosphere Particle Transport and Acceleration 78 Model (IMPTAM) [Ganushkina et al., 2013, 2014, 2015] can provided a nowcast of the 79 short time scale variations using current values of geomagnetic indices [Ganushkina et al., 80 2015]. An empirical model of the 1 eV to 40 keV has been developed by [Denton et al., 81 2016] as a function of local time, energy, and the strength of the solar wind electric field. 82

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The aim of this study is to develop a reliable model that is able to forecast the short spatiotemporal variations of the 40 keV electron fluxes. The NARMAX methodology used to deduce the models is described in detail in Section 2, while the instrumentation and data are discussed in Section 3. In Section 4.1, the data are truncated every 1 hour MLT and 24 models are developed at each MLT. The performance and details of the models are discussed in Section 5 and the conclusions from this study are presented in Section 6.

2. NARMAX methodology

NARMAX is a system identification methodology [Leontaritis and Billings, 1985a, b] 89 and was initially developed in the field of system science. In control theory, an applica-90 tion of system science, a mathematical model of the system is needed in order to build a 91 robust controller. However, with complex engineering systems, the derivation of such a 92 mathematical model from first principles often leads to assumption which are not valid 93 and, hence, a poor controller. System identification aims to automatically derive a math-94 ematical model that governs the system's dynamics from input-output data. NARMAX is able to deduce models for a wide range of nonlinear systems and was originally applied to complex engineering systems [Billings, 2013]. The potential of the methodology to 97 develop nonlinear models from data has since been utilised by a diverse range of scien-98 tific fields. It has been used in analyzing the adaptive changes in the photoreceptors of 99 Drosophila Flies [Friederich et al., 2009], modelling the tide at the Venice Lagoon [Wei 100 and Billings, 2006], the dynamics of Synthetic bioparts [Krishnanathan et al., 2012], and 101 the Belousov-Zhabotinsky chemical reaction [Zhao et al., 2007]. In geospace the method 102 was first used to model the Dst index and analyze the dynamics in the frequency domain 103 [Boaghe et al., 2001; Balikhin et al., 2001]. A number of other Dst forecast models have 104

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also been developed, using single inputs [Zhu et al., 2006], multiple inputs [Zhu et al., 105 2007], and wavelets [Wei et al., 2004]. Boynton et al. [2011b] utilized the NARMAX 106 model structure detection methodology to identify a solar wind coupling function for geo-107 magnetic storms, which was derived from first principles by *Balikhin et al.* [2010] and then 108 employed as an input to model the Dst index [Boynton et al., 2011a]. The method of using 109 the physical interpretability of the NARMAX model structure detection has since been 110 used in many other studies to identify relationships between the solar wind and various 111 aspects of the magnetosphere. Examples include studies of SYM-H index Beharrell and 112 Honary [2016], proton fluxes at GEO [Boynton et al., 2013c], the electron fluxes [Balikhin 113 et al., 2011; Boynton et al., 2013b], and electron flux dropouts at GEO [Boynton et al., 114 2016b] and at the GPS orbit [Boynton et al., 2017]. 115

A Multi-Input Single-Output (MISO) NARMAX model was used in this study to model the electron fluxes. This is represented by

$$\hat{y}(t) = F[y(t-1), ..., y(t-n_y),
u_1(t-1), ..., u_1(t-n_{u_1}), ...,
u_m(t-1), ..., u_m(t-n_{u_m}), ...,
e(t-1), ..., e(t-n_e)]$$
(1)

where an estimate of the output \hat{y} at time t is a nonlinear function F of past outputs y, inputs u, and residual, $e = y - \hat{y}$. m is the number of system inputs and n_y , n_{u_1} ,..., n_{u_m} , n_e are the maximum time lags for the output, each of the m inputs, and the error, respectively.

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For this study, the nonlinear function F was chosen to be a nonlinear polynomial. 122 When this polynomial is expanded there will be many monomials, most of which have no 123 influence on the system and keeping them would most likely lead to an overfit model. To 124 overcome this problem, Billings et al. [1988] developed the Forward Regression Orthogonal 125 Least Squares (FROLS) algorithm, which detects a small model structure from the larger 126 polynomial and estimates the coefficients for each of the detected monomials. The model 127 structure is detected using the Error Reduction Ratio (ERR), which indicates the influence 128 that a monomial has on the output variance. This study employs the Iterative Orthogonal 129 Forward Regression (IOFR) algorithm, which is a variant of the original FROLS. This is 130 more likely to detect the optimal model when the data is oversampled [Guo et al., 2014]. 131 A more detailed description of the NARMAX methodology is described by *Billings* [2013] 132 or Boynton et al. [2018]. 133

3. Instruments and data

The data used in this study are from the third generation GOES MAGnetospheric Elec-134 tron Detector (MAGED) [Hanser, 2011]. The data for these instruments can be accessed 135 from http://www.ngdc.noaa.gov/stp/satellite/goes/dataaccess.html. The MAGED has 9 136 telescopes covering a range of different directions and measures the differential electron 137 fluxes in 5 energy channels: 40 keV, 75 keV, 150 keV, 275 keV and 475 keV [Hanser, 138 2011]. The time period used to derive and test the models was from 1 January 2011 to 139 13 December 2017. Three GOES spacecraft have carried this instrument, GOES 13, 14 140 and 15. These spacecraft were situated at GEO at various longitudes over North America 141 and were in operation at different times during this period. 142

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The following MAGED data have been removed from this study due to anomalies: GOES 13 on telescope 6 throughout this period; GOES 14 between 30 March 2010 and March 2010 on telescopes 2, 5, and 8; and GOES 15 between 25 November 2017 and 31 December 2017 on telescope 1.

¹⁴⁷Solar wind data were used as input data for training and testing the models. The 1-¹⁴⁸minute solar wind velocity, density and Geocentric Solar Magnetospheric (GSM) IMF data ¹⁴⁹were obtained from the OMNI website (http://omniweb.gsfc.nasa.gov/ow_min.html).

4. Individually binned MLT models

The method of choice of applying system identification to spatially varying systems 150 with different physics occurring in different locations is often to bin the data into different 151 spatial bins and then develop an individual model for each of the spatial bins. This 152 raises two questions: What should be the size of the spatial bin? And what should be 153 the temporal resolution of the data? With most system science applications to geospace 154 the temporal resolution is usually the resolution of the output, e.g., the Dst index has a 155 resolution of 1 hour and is modelled with a 1 hour resolution [Klimas et al., 1996]. The 156 temporal sampling frequency should be fast enough to extract the desired information 157 from the signal. Shannon's theorem states that if the desired information has a frequency 158 f_c then to recover the desired information a sampling frequency of at least $2f_c$ is required. 159 Oversampling is not beneficial for system science modelling as the model will require the 160 inclusion of more lags, which will overcomplicate the model and increases the computation 161 time. The same is true for sampling the spatial frequency. Therefore, we need to know the 162 spatial and temporal frequency of the high flux variations of keV electrons. The electron 163 losses are due to either precipitation to the atmosphere from pitch angle scattering or 164

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magnetopause shadowing with radial diffusion. Both these mechanisms should occur at 165 a wide range of MLT but take place over a short time period. Increases in electron flux 166 from radial diffusion will transpire over longer periods of time and increases from enhanced 167 convection will occur over a wide range of MLT at the same time. The mechanism that 168 leads to the high spatiotemporal frequency variations is due to the substorm associated 169 injections from the plasma sheet. The spatial and temporal scales at which injections 170 can occur are known to vary from one substorm to another [Sergeev and Tsyganenko, 171 1982; Ganushkina et al., 2013; Gabrielse et al., 2014], and further studies are required to 172 determine the azimuthal extent of the injection fronts. However, this study still requires 173 a spatiotemporal sampling frequency to deduce the electron flux model. 174

Figure 1 shows the 40 keV electron flux from the MAGED onboard GOES 13 (blue), 175 14 (orange) and 15 (yellow) from 27 October 2012 to 29 October 2012 and when each 176 of the spacecraft is at midday (GOES 13 - blue dashed, 14 - orange dashed, and 15 -177 vellow dashed) and midnight (GOES 13 - blue dot dashed, 14 - orange dot dashed, and 178 15 - yellow dot dashed). During this period, GOES 13 is 1 hour MLT ahead of GOES 14 179 and 4 hours MLT ahead of GOES 15. Up until 06 UTC on 28 October 2012, all three 180 measurements follow the same trend, with GOES 13 and 14 recording almost exactly 181 the same values and GOES 15 having a small offset. GOES 13 and 14 then observe an 182 increase of electron fluxes of approximately one order of magnitude at a post midnight 183 MLT that lasted 2 hours in time, which is not measured by GOES 15 at the pre midnight 184 MLT. This spatiotemporally localized bump in the electron flux time series is most likely 185 caused by an injection of energetic electrons from the plasma sheet. There are then a 186 series of peaks in the electron fluxes observed by all three spacecraft with GOES 13 and 187

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14 again observing almost exactly the same values and GOES 15 having an offset. Then 188 another bump in the fluxes probably caused by an injection was observed by GOES 13 189 and 14 but not GOES 15. This increase lasted ~ 2 hours and was observed by GOES 190 13 from 2.2 to 4.3 MLT and by GOES 14 from 1.3 to 3.4 MLT, while at the same time 191 GOES 15 moved from 22.2 to 0.3 MLT. These two potential injection structures both had 192 a temporal length of ~ 2 hours and a spatial width larger than 1 hour MLT, but did not 193 extend 4 hours MLT back from GOES 13 to GOES 15. Inspecting longer periods of data 194 in which all three spacecraft are in operation does show structures with narrower temporal 195 widths but a structure observed by the middle spacecraft is almost always observed by 196 one of the other two spacecraft. Therefore, a sampling of 1 hour MLT and 1 hour time 197 was selected as a good compromise between sampling the majority of high spatiotemporal 198 frequency injections and model complexity since a higher resolution will lead to more 199 temporal lags. Electron flux enhancements through convection and radial diffusion will 200 both be oversampled in space, since convection will occur simultaneously over a broad 201 range of MLT and radial diffusion will take place at all MLT simultaneously. 202

4.1. Spatiotemporally sampled 40 keV electron flux model

The GOES 13, 14 and 15 40 keV electron flux data from the MAGED were sampled at 1 hour time resolution and at 1 hour MLT, smoothing over 12 minutes MLT around each hour MLT, and averaging over the 9 telescopes from each spacecraft with pitch angles between 20° and 160° (excluding the errors mentioned in Section 3). This resulted in 24 time series datasets for each MLT, which were then individually modelled using the NARMAX methodology described in Section 2. Here, the time series of the electron flux at one of the 24 MLTs is the output data, J(MLT, t). Most of the points in each of the time

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series datasets were empty since for the majority of the time there will be no spacecraft 210 in the MLT bin. The input data employed were the solar wind velocity v(t), density 211 n(t), square root of the pressure $\sqrt{p}(t)$, and the IMF factor $B_f(t) = B_T(t) \sin^6(\theta(t)/2)$ 212 (where $B_T(t) = \sqrt{B_y(t)^2 + B_z(t)^2}$ and $\theta = \tan^{-1}(B_y(t)/B_z(t)))$ deduced by Boynton et al. 213 [2011b] and Balikhin et al. [2010]. The output lags were selected as the value 24 hours 214 previous. This is the most consistent data point that will be available, since any other 215 output lag will most likely be empty in the constructed time series dataset. The input 216 time lags were selected as 1, 3, 5,..., 23 hours as it has been shown that 10 to 100 keV 217 electrons have short response times with solar wind variations compared to MeV electrons 218 [Li et al., 2005; Boynton et al., 2013b]. The noise terms were not included in the model 219 because these data were sparse. This reduces the NARMAX model to the following NARX 220 model: 221

$$J(MLT, t) = F[J(MLT, t - 24),
 v(t - 1), v(t - 3), ..., v(t - 23),
 n(t - 1), n(t - 3), ..., n(t - 23),
 \sqrt{p}(t - 1), \sqrt{p}(t - 3), ..., \sqrt{p}(t - 23),
 B_f(t - 1), B_f(t - 3), ..., B_f(t - 23)]
 (2)$$

The nonlinear function F was chosen to be a third degree polynomial, thus, the model can include linear monomials of the lagged inputs and outputs as well as cross coupled combinations of the lagged inputs and outputs.

The IOFR algorithm was run for each of the 24 datasets using the same NARX model on data from 00:00 UTC 1 January 2011 to 23:00 UTC 28 February 2013. These models

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were then assessed statistically on data from 1 March 2013 to 31 December 2017 using the Prediction Efficiency (PE), Eq. (3), Correlation Coefficient (CC), Eq. (4), Mean Square Error (MSE), and the variance of the observed flux, which are commonly used to assess models [*Temerin and Li*, 2006; *Li*, 2004; *Boynton et al.*, 2011a; *Wei et al.*, 2004; *Boynton et al.*, 2015; *Rastatter et al.*, 2013]. The equations for PE and CC are:

$$E_{PE} = \begin{bmatrix} \sum_{t=1}^{N} \left[(y(t) - \hat{y}(t))^2 \right] \\ \sum_{t=1}^{N} \left[(y(t) - \bar{y})^2 \right] \end{bmatrix} 100\%$$
(3)
$$y\hat{y} = \frac{\sum_{t=1}^{N} \left[(y(t) - \bar{y}) \left(\hat{y}(t) - \bar{y} \right) \right] \\ \sqrt{\sum_{t=1}^{N} \left[(y(t) - \bar{y})^2 \right] \sum_{t=1}^{N} \left[\left(\hat{y}(t) - \bar{y} \right)^2 \right]} 100\%$$
(4)
$$E_{MSE} = \sum_{t=1}^{N} \left[(y(t) - \hat{y}(t))^2 \right]$$
(5)

Here, E_{PE} is the PE, ρ is the CC, E_{MSE} is the MSE, y(t) is the measured output at 232 time t, \hat{y} is the estimated output from the model, N is the length of the data and the 233 bar signifies the average. The model performance statistics of each of these models are 234 displayed in Table 1. The PE for each model varies by 47% and 75% while the CC varies 235 between 51.3% and 78.9%. The highest PE and CC occur at 09 MLT and decreases to the 236 lowest PE and CC at 22 MLT. The MSE and variance have a similar sinusoidal pattern 237 with both having a minimum at 16 MLT of 0.045 and 0.090 respectively and the MSE 238 having a maximum at 01 MLT of 0.208, while the maximum of the variance is 0.288 at 239 05 MLT. 240

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Figure 2 shows the model estimate of the 40 keV electron fluxes from 1 November 2017 241 to 30 November 2017 for different MLT. During this period, the model forecasts a number 242 of enhancements that are most intense at dawn MLTs and are lowest at evening MLTs. 243 Even though all the estimates at each MLT are from a different model, the structure of the 244 plots is consistent. A surface plot of the forecast is good for showing the evolution of the 245 fluxes but will be not be able to illustrate the performance of the model compared to the 246 observed fluxes, since, at each point in time, there will only be a few MLT measurements. 247 Figure 3 (a) shows a comparison of the model with GOES 13 measurements for the same 248 1 November 2017 to 30 November 2017 period displayed in Figure 2. The 1 minute GOES 249 13 data is presented in blue, the spatiotemporal sampled GOES data in red and the 250 model forecast at the GOES 13 location shown in green. Panel (b) displays the error 251 between the sampled GOES 13 40 keV electron flux and the model forecast at the GOES 252 13 location. The model forecast is shown to follow the enhancements and decreases of 253 the measured electron flux data with a MSE of $0.083 \log_{10}$ for the displayed period. The 254 model is able to forecast the large variations, for example, the decrease and the increase 255 on 3 November 2017, but struggles to reproduce the higher frequency variations. A video 256 of the variations in electron flux at different MLT for the period in Figures 2 and 3 are in 257 the supplementary material. 258

5. Discussion

One advantage of NARMAX methodologies over neural network machine learning techniques, other than its resilience to overfitting, is that the models are physically interpretable. The resulting models from the NARMAX algorithm are polynomials consisting of approximately 5 to 20 monomials [*Billings*, 2013]. By inspecting the monomials that

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were selected for the model, it is possible to gain some understanding into the underlying physical processes of the system [*Balikhin et al.*, 2010; *Boynton et al.*, 2011b; *Balikhin et al.*, 2012; *Boynton et al.*, 2013b; *Billings*, 2013]. The model for the 01 MLT 40 keV electron fluxes is

$$\hat{J}(01MLT,t) = 3.21 \times 10^{-4} B_f(t-1)v(t-1) + 2.57 + 1.78 \times 10^{-3}v(t-5) +4.02 \times 10^{-2} B_f(t-5) - 4.51 \times 10^{-3} B_f^2(t-1) +1.07 \times 10^{-2} J^2(01MLT,t-24) - 1.46 \times 10^{-1} p(t-3) +5.91 \times 10^{-2} B_f(t-21) + 4.72 \times 10^{-1} \sqrt{p}(t-3) + 1.47 \times 10^{-3} v(t-17) -4.69 \times 10^{-3} B_f(t-20) B_f(t-21) - 3.74 \times 10^{-2} B_f(t-1) \sqrt{p}(t-1) +7.35 \times 10^{-2} B_f(t-13) - 3.41 \times 10^{-2} B_f(t-13) \sqrt{p}(t-14) -5.87 \times 10^{-3} B_f^2(t-7) + 5.16 \times 10^{-2} B_f(t-7) -1.34 \times 10^{-6} v(t-8) v(t-9)$$
(6)

One interesting point about the models deduced by the algorithm using the initial NARX 267 model structure in Eq. (2) is that only the 01 MLT, 04 MLT, and 18 MLT models 268 out of the 24 models included the autoregressive J(MLT, t-24) term out of the 24 269 models. The autoregressive monomials in each of the three NARX models only have a 270 small contribution to the variance of the output, indicated by the small ERR. The other 271 models, with no past output terms and only consisting of exogenous terms, are known as 272 Volterra Series models. The lack of autoregressive terms and the small ERR contribution 273 when they are selected in the model means that the hourly MLT electron flux changes 274 significantly from their value 24 hours ago. 275

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The variable that is selected in all the models, and appears as a factor of the monomial 276 that has the highest ERR in each of the models, is the IMF factor B_f . The monomial 277 with the highest ERR controls most of the output variance. The solar wind velocity is 278 the second most selected variable and it is in all the models in either the first or second 279 highest ERR monomial, often coupled with B_f . The square root of the solar wind pressure 280 is chosen by the algorithm in 23 of the models (not the 23 MLT model) and the solar 281 wind density is only selected in 14 of the models but both are rarely selected in the top 282 five terms in order of ERR (three times for both pressure and density) and, thus, only 283 have a small contribution to the variance of the output. 284

The IMF factor B_f was automatically identified in a solar wind-magnetosphere coupling 285 function by using the NARMAX FROLS methodology and then derived analytically from 286 first principles by Balikhin et al. [2010]. This derivation is based on the geometry of 287 the dayside magnetosphere reconnection with the solar wind. Therefore, the fact that the 288 models attribute most of the variation of the electron fluxes to the IMF factor implies that 289 the reconnection is the most important process. On the surface, this is in contrast to the 290 higher energies where solar wind velocity [Paulikas and Blake, 1979] or density [Balikhin 291 et al., 2011] was found to have the most influence. However, these studies investigated 292 the daily averages of electron fluxes and solar wind, which will average out the turning 293 of the IMF southward over the day, since these time scales are quite short (~ 1 hour). 294 With the increased temporal resolution the turning of the IMF southward will not be 295 averaged out and and will have more influence. This averaging out over the timescales of 296 the IMF variations is also true for the study by *Boynton et al.* [2013b] where they also 297 found that southward IMF only had a small influence on the daily averaged 10 to 100 keV 298

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electron fluxes. For example, if there is a high velocity solar wind event taking place over several days and there are several periods of time when the IMF is southward for an hour, the IMF may average out to be insignificant, while the velocity remains high. Therefore, choosing a different time resolution may change the importance of the parameters.

The performance of the different electron flux models show a pattern with the MLT, 303 with the highest performance in terms of CC and PE in the late morning and the lowest 304 performance just before midnight. The lower performance just before midnight could 305 be due to the model not performing very well at forecasting the higher spatiotemporal 306 frequency injections that occur in this region. The MSE also exhibits a pattern with MLT 307 but it is shifted compared to PE and CC, with the highest MSE at 01 MLT and the lowest 308 at 15 and 16 MLT. The shift between PE and CC variation with MLT and the MSE MLT 309 variation is mainly due to the difference in the electron flux variance at each MLT, since 310 both PE and CC are normalized by the variance of the measured electron fluxes. 311

The highest performance in terms of PE and CC occur at dayside MLTs, where the 312 increases in the fluxes will mostly be due to convection or radial diffusion and are unlikely 313 to be caused by substorm particle injections. From Figure 3, the model estimates the 314 majority of the structures that last over half a day but a magnified figure would show more 315 detail. Figure 4 displays two magnified sections of Figure 3, panel (a) from 10 November 316 2017 to 12 November 2017, and panel (b) from 20 November 2017 to 22 November 2017. 317 The Figures show that the model follows the general trend of the measured GOES 13 318 data during this period. There is a sharp peak in the 1 minute GOES 13 measurements 319 (blue) on 10 November 2017 at 0400 UTC, which is averaged out in the sampled GOES 320 measurement (red), however, the model (green) does show an increase. Overall, the model 321

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underperforms in forecasting the high spatial and temporal frequency variations, such as 322 the three peaks between 0600-1800 UTC on 11 November 2017, but follows the slower 323 variations. An increased temporal resolution of 30 minutes may help in identifying the 324 fast substorm associated injections. The inputs to the model are measured at L1 and using 325 a 1 hour time lag in the model, which may lead to changes in the solar wind occurring 326 inside the hour. For example, a fast flow of solar wind can transit from L1 to the bow 327 shock in under 30 minutes, which will cause a change in the magnetosphere that the 1 328 hour lags in the model will be unable to take into account. Therefore, in the training of 329 the model, these changes in solar wind cannot be identified as drivers of the changes in 330 the electron fluxes. However, a consequence of including shorter lags in the model will be 331 to reduce the forecast horizon of the model. Also, the averaging of the solar wind over the 332 hour, particularly the fast turning of the solar wind southward, may nullify the drivers 333 of the substorm, therefore, it will not be identified in the model. This problem could be 334 solved by including the maximum of the value of the solar wind parameters as inputs as 335 well as the average value, however, this would increase the computational complexity of 336 identifying the model due to an increased amount of monomials to search through. 337

Another option to spatially model the electron fluxes is to employ MLT as an input into the model, rather than sampling the data in space and developing a separate model for each spatial bin. However, this approach was not selected because at different MLTs there should be different dynamics, and it would be better to isolate the individual physical processes in the different models corresponding to each region.

A model of the 40 keV electron fluxes through all MLTs at geostationary orbit is not only useful to satellite operators, who would be able to have a greater situational awareness of

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the environment and be able to apply any mitigation procedures to help protect their space based assets. The model could also be used as an outer boundary condition to physics based radiation belt models such as the Versatile Electron Radiation Belt (VERB) model [*Subbotin et al.*, 2011], Comprehensive Inner Magnetosphere-Ionosphere (CIMI) model [*Fok et al.*, 2014] or IMPTAM [*Ganushkina et al.*, 2015].

The models developed in this study have been implemented to run in real time. A figure of the real time output of this model is shown in Figure 5, which shows the model output across all MLT in panel (a), the model output at the location of GOES 15 vs GOES 15 data, and (c) the error between the measured and model for March 2019. The model performance for this period were a PE of 48.5% and a CC of 66.3%. The data gap at the start of the month is due to a missing solar wind inputs, which were not available from NOAA Space Weather Prediction Center (SWPC) at the time the forecast was made.

6. Conclusions

A data based spatiotemporal model has been developed for the 40 keV electron fluxes 357 at GEO. This model is comprised of 24 individual NARX models of the form shown 358 in Equation (2), where the output of each model is the electron fluxes for that region 359 of space in MLT at GEO. When the 24 models are conjugated together into the final 360 model, they give a forecast of the spatiotemporal evolution of the 40 keV electron fluxes 361 at GEO. At this energy, the electron fluxes can vary significantly over a narrow range of 362 MLTs due to substorm associated injections making it very challenging to model. The 363 development of a data based model using system science techniques is complicated by 364 the sparse availability of the electron fluxes at different MLT. This problem was solved 365 by binning the data from GOES 13, 14, and 15 by MLT and then deducing a separate 366

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³⁶⁷ model for each bin then conjugating these to produce one spatiotemporal forecast. The ³⁶⁸ performance of this forecast was then assessed on a period from 1 March 2013 to 31 ³⁶⁹ December 2017 where the PE varied between 47% and 75% and the CC varied between ³⁷⁰ 51.3% and 78.9% at different MLTs.

The models developed in this study will be implemented online at the University of Sheffield Space Weather Website (http://www.ssg.group.shef.ac.uk/USSW2/UOSSW.html) to provide a real time forecast of the GEO 40 keV electron fluxes through all MLTs. This will allow both satellite operators and scientists to have access to the outputs of the models, which will also be archived.

Acknowledgments. The MAGED data can be accessed from

http://www.ngdc.noaa.gov/stp/satellite/goes/dataaccess.html. The solar wind data and
geomagnetic indices data were from the OMNI website (http://omniweb.gsfc.nasa.gov/ow_min.html).
The real time data were from the NOAA SWPC. The work was performed within the
project Rad-Sat and has received financial support from the UK NERC under grant
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Model MLT	PE (%)	CC (%)	MSE	Var(J)
00	50.2	53.4	0.177	0.228
01	50.3	54.9	0.208	0.269
02	53.6	58.0	0.197	0.272
03	58.4	63.2	0.178	0.276
04	60.2	65.9	0.170	0.276
05	65.0	70.2	0.157	0.288
06	69.6	74.0	0.127	0.268
07	72.9	76.6	0.106	0.251
08	74.7	78.6	0.089	0.226
09	75.0	78.9	0.077	0.198
10	73.3	77.3	0.074	0.178
11	73.3	77.3	0.064	0.153
12	71.6	75.3	0.062	0.140
13	71.1	74.7	0.056	0.124
14	70.6	74.3	0.048	0.106
15	69.9	73.0	0.045	0.096
16	67.9	70.8	0.045	0.090
17	64.3	66.6	0.050	0.091
18	64.0	64.4	0.053	0.095
19	62.1	64.1	0.059	0.100
20	54.0	55.7	0.084	0.117
21	51.4	53.7	0.106	0.141
22	47.0	51.3	0.144	0.175
23	51.6	56.3	0.161	0.213

 Table 1.
 Table showing the PE, CC, MSE for each MLT model and the variance of the measured electron flux.



Figure 1. The 40 keV electron flux observed by the MAGED onboard GOES 13 (blue), 14 (orange) and 15 (yellow) between 27 October 2012 and 29 October 2012. The figure also shows when each of the spacecraft is at midday (GOES 13 - blue dashed, 14 - orange dashed, and 15 - yellow dashed) and midnight (GOES 13 - blue dot dashed, 14 - orange dot dashed, and 15 - yellow dot dashed).



Figure 2. The model estimated 40 keV electron flux at all MLT from 1 November 2017 to 30 November 2017.



Figure 3. (a) The 40 keV electron flux observed by the MAGED onboard GOES 13 (blue), the sampled GOES 13 40 keV electron flux (red) and the model forecast at the GOES 13 location for November 2017. (b) The error between the sampled GOES 13 40 keV electron flux and the model forecast at the GOES 13 location for November 2017.



Figure 4. The 40 keV electron flux observed by the MAGED onboard GOES 13 (blue), the sampled GOES 13 40 keV electron flux (red) and the model forecast at the GOES 13 location for (a) 10-12 November 2017 and (b) 20-22 November 2017.

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Figure 5. The real time output from the 40 keV electron flux model: (a) in MLT and time (b) at the GOES 15 location (green) vs GOES 15 data (blue), and (c) the error between the sampled GOES 15 40 keV electron flux and the model forecast at the GOES 15 location for March 2019