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A Spectrum Matching Method for Accurate Frequency Estimation of Real Sinusoids

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Abstract: It is well-known that incoherent sampling is detrimental for frequency estimation of a real sinusoid, and the estimation errors get worse when the signal lengths are very short. In this paper, a spectrum matching based frequency estimator is proposed as well as evaluated against other four two-step methods developed to suppress the effect of incoherent sampling. The spectral interference introduced by incoherent sampling is eliminated via a spectrum matching process including modulation and spectral analysis. A further error correction based on Fourier transform is conducted to generate the fine frequency estimate. Simulation results are carried out to show that the proposed method can closely approach the Cramér-Rao lower bound without any error floor, and it can outperform the other four methods particularly for short signal lengths.

Keywords: Frequency Estimation, Incoherent Sampling, Real Sinusoids, Spectrum Matching

I. INTRODUCTION

Frequency estimation of sinusoids has been a subject of investigation in many fields for decades, such as radar, power systems, measurement and instrumentation. Numerous estimation approaches have been developed so far. Given a straightforward operation of maximizing the periodogram [1], frequency domain approaches based on the discrete Fourier transform (DFT) and implemented by the fast Fourier transform (FFT) show high computational efficiency. A typical two-step scheme is widely concerned which usually includes a coarse estimation via DFT to locate the spectral maximum, followed by a fractional interpolation with two or more spectral points [2-4]. However, a majority of these two-step methods are only adapted to

30 complex signals, and for real sinusoids, the inherent picket fence effect and spectral leakage of the DFT may
31 introduce significant errors if the signal is not coherently sampled [5, 6]. In addition, the DFT based methods
32 suffer from resolution loss when the signal lengths are very short.

33 In time domain, the two-step procedure is also introduced to promote the estimation performance. The
34 two-stage autocorrelation (TSA) approach [7] employs the linear property (LP) to reform the signal and
35 makes use of different lags of autocorrelations to produce frequency estimates. To avoid multiple
36 autocorrelations which are computationally burdensome, the Taylor expansion and the least squares (LS)
37 principle are involved to generate an error function in the extended autocorrelation (EA) method [8]. By
38 minimizing the error function, the performance of the EA method can approach the Cramér-Rao lower bound
39 (CRLB) when the signal lengths are sufficiently large. However, coping with incoherently sampled data in
40 short length, the EA method performs biased significantly because the signal autocorrelation has an error
41 term which is not negligible. Accordingly, the recently proposed phase match based (PM) method [9] and
42 phase correction autocorrelation (PCA) method [10] show different ways of reconstructing the
43 autocorrelation function to avoid the error term, and besides, the Cauchy inequality is also considered to
44 derive the error function in [9]. Generally, the PM and PCA methods are effective to deal with the
45 incoherently sampled signal, but their performance still shows slight degradation when the signal lengths are
46 very short.

47 In this paper, a new two-step frequency estimator based on spectrum matching is proposed, which shows
48 improvements in accuracy among the aforementioned two-step methods. The estimation bias caused by
49 incoherent sampling is effectively reduced by modulation, which has already been validated in our previous
50 work [11]. Then, spectral analysis is carried out to divide the original signal spectrum into two separated
51 complex versions, what we called spectrum matching. Finally, the fine estimate results from an error
52 correction via a classical approach based on Fourier transform [12]. The rest of the paper is organized as
53 follows: In Section II, the underlying principle to deal with the spectral interference caused by incoherent
54 sampling is interpreted. The whole algorithm is carried out in Section III. Performance comparison is
55 conducted in Section IV, and the final conclusion is presented in Section V.

56

II. UNDERLYING PRINCIPLE

57 Consider a general, real sinusoid in noise as follows:

58
$$x(n) = A \cos(\omega_0 n + \theta) + w(n), n = 1, 2, 3, \dots, N-1 \quad (1)$$

59 where A and θ represent the signal amplitude and the initial phase respectively; ω_0 ($0 < \omega_0 < \pi$) is the
60 **angular frequency** in radians; and $w(n)$ is the zero-mean additive white Gaussian noise (AWGN) with a
61 variance of σ^2 .

62 From [9, 10], we know that if the signal is incoherently sampled, the signal autocorrelation has an error
63 term which is only negligible for sufficiently large N . In frequency domain, the spectrum of the incoherently
64 sampled signal suffers from interference from the negative frequency components, and it gets worse for small
65 value of N . **In addition, although the number of samples is increasing, the chosen value of ω_0 can hardly
66 matches a frequency bin of the DFT, and so, it is inevitable to deal with the effect of incoherent sampling for
67 accurate frequency estimation. Now, with a priori knowledge of the signal frequency, which is provided by
68 the coarse estimation, the signal frequency can be directly modulated to approach coherent sampling.**

69 Modulate the signal as $x_m(n) = x(n)e^{j\omega_c n}$, and then

70
$$x_m(n) = (A/2)e^{j((\omega_0 + \omega_c)n + \theta)} + (A/2)e^{-j((\omega_0 - \omega_c)n + \theta)} + w(n)e^{j\omega_c n} \quad (2)$$

71 where ω_c ($0 \leq \omega_c \leq \omega_0$) is the modulation frequency.72 Calculate the DFT of $x_m(n)$, denoted as $X_m(k)$, as

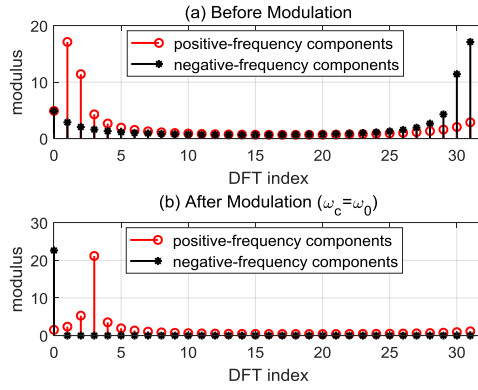
73
$$X_m(k) = S_{m+}(k) + S_{m-}(k) + W_m(k) \quad (3)$$

74 where $W_m(k)$ is the DFT of the modulated noise; $S_{m+}(k)$ and $S_{m-}(k)$ are the DFT of the positive and
75 negative frequency exponentials in (2) respectively, which can be written as

76
$$\begin{cases} S_{m+}(k) = \frac{A}{2} e^{j\theta} e^{j\frac{N-1}{2}(\omega_0 + \omega_c - \tilde{\omega}_k)} \frac{\sin((\omega_0 + \omega_c - \tilde{\omega}_k)N/2)}{\sin((\omega_0 + \omega_c - \tilde{\omega}_k)/2)} \\ S_{m-}(k) = \frac{A}{2} e^{-j\theta} e^{-j\frac{N-1}{2}(\omega_0 - \omega_c + \tilde{\omega}_k)} \frac{\sin((\omega_0 - \omega_c + \tilde{\omega}_k)N/2)}{\sin((\omega_0 - \omega_c + \tilde{\omega}_k)/2)} \end{cases} \quad (4)$$

77 where $\tilde{\omega}_k = 2\pi k / N$ represents the k -th DFT frequency bin, and $k = 0, 1, 2, \dots, N-1$.78 Note that in (4), we can force most values of $S_{m+}(k)$ or $S_{m-}(k)$ to be zero only by setting

79 $\omega_0 + \omega_c = 2\pi m/N$ or $\omega_0 - \omega_c = 2\pi m/N$ (m is an arbitrary integer). For example, in the absence of noise, if
 80 we set $\omega_c = \omega_0$, the modulus of $S_{m+}(k)$ and $S_{m-}(k)$ are shown in Fig. 1 ($\omega_0 = 0.0876\pi$, $N = 32$ and
 81 $\theta = \pi/7$). Serious interference of the negative frequency components occurs in Fig. 1(a), and the frequency
 82 estimation which ignores the negative frequency contribution will exhibit a significant bias. But in Fig. 1(b),
 83 the negative frequency component has $S_{m-}(k) = 0$ for all values of k excluding $k=0$, which means the
 84 interference only exists at $k=0$. Thus, if we can figure out $S_{m+}(0)$, it is possible to match $X_m(k)$, which is the
 85 spectrum of a real signal, to the spectrum of a complex sinusoid as $S_{m+}(k)$. The spectral interference of the
 86 negative frequency components can be eliminated in this case.



87
 88 Fig. 1. Interaction of positive and negative frequency components (zero noise).
 89

90 III. METHOD DEVELOPMENT

91 A. Coarse Estimation

92 In practice, ω_0 is unknown, and ω_c can only be set according to a coarse frequency estimate $\hat{\omega}_0^c$. Assume
 93 $\omega_c = \hat{\omega}_0^c = \omega_0 + \Delta\omega_0^c$, where $\Delta\omega_0^c$ is the estimation error. Then, from (4) for $k = 0, 1, 2, \dots, N-1$, we get

$$94 \quad S_{m-}(k) = (-1)^k \frac{A}{2} e^{-j\theta} e^{-j\frac{N-1}{2}(\hat{\omega}_k - \Delta\omega_0^c)} \frac{\sin(N\Delta\omega_0^c/2)}{\sin((\Delta\omega_0^c - \tilde{\omega}_k)/2)}. \quad (5)$$

95 Obviously, $S_{m-}(k)$ is proportional to $\sin(N\Delta\omega_0^c/2)$, and $S_{m-}(k) \neq 0$ when $k \neq 0$. Thus, we need
 96 $|N\Delta\omega_0^c|$ to be sufficiently small (empirically $|N\Delta\omega_0^c| < 0.1$) so that the interference occurred at $k \neq 0$ can
 97 be ignored. To that end, we give an enhanced version of the modified PHD [13], which calculates the coarse

98 estimate $\hat{\omega}_0^c$ as

$$99 \quad \hat{\omega}_0^c = \cos^{-1} \left(\frac{d + \sqrt{d^2 + 8c^2}}{4c} \right) \quad (6)$$

100 where $d = \sum_{k=5}^K r(k-2)[r(k)+r(k-4)]$, $c = \sum_{k=4}^{K-1} r(k-1)[r(k)+r(k-2)]$. The difference to [13] is that

101 we set $r(k) = \sum_{n=K+1}^{N-K} x(n)[x(n+k)+x(n-k)]$, $k = 1, 2, 3, \dots, K$, and $K = \text{round}(N/3)$, where $\text{round}(x)$

102 means to round x up or down to the nearest integer. This is to enhance the SNR according to the LP, and the

103 value of K is determined through simulations. Unlike the widely used FFT, the adopted coarse estimator does

104 not suffer from resolution loss for short signal lengths.

105 B. Spectrum Matching

106 Since most of the values of $S_{m-}(k)$ are forced to approximate zero after modulation, then the key problem

107 is to deal with the interference for $k = 0$. From (4), we know that

$$108 \quad S_{m+}(0) = \frac{A}{2} e^{j\theta} e^{j\frac{N-1}{2}(\omega_0 + \omega_c)} \frac{\sin((\omega_0 + \omega_c)N/2)}{\sin((\omega_0 + \omega_c)/2)}. \quad (7)$$

109 According to (3) and (4), for arbitrary value of integer q ($0 < q \leq N-1$), we can write

$$110 \quad S_{m+}(q) = X_m(q) - S_{m-}(q) - W_m(q) = \frac{A}{2} e^{j\theta} e^{j\frac{N-1}{2}(\omega_0 + \omega_c - \tilde{\omega}_q)} \frac{\sin((\omega_0 + \omega_c - \tilde{\omega}_q)N/2)}{\sin((\omega_0 + \omega_c - \tilde{\omega}_q)/2)}. \quad (8)$$

111 Then, substitute (8) for $(A/2)e^{j\theta}$ in (7) and we obtain

$$112 \quad S_{m+}(0) = (-1)^q e^{j\frac{N-1}{2}\tilde{\omega}_q} \frac{\sin((\omega_0 + \omega_c - \tilde{\omega}_q)/2)}{\sin((\omega_0 + \omega_c)/2)} (X_m(q) - S_{m-}(q) - W_m(q)). \quad (9)$$

113 Thus, substituting $\hat{\omega}_0^c$ for ω_0 and ω_c in (9), we can define an estimator of $S_{m+}(0)$ as

$$114 \quad \hat{S}_{m+}(0) = (-1)^q e^{j\frac{N-1}{2}\tilde{\omega}_q} \frac{\sin(\hat{\omega}_0^c - \tilde{\omega}_q/2)}{\sin(\hat{\omega}_0^c)} X_m(q). \quad (10)$$

115 Assuming that $|\Delta\omega_0^c|$ is sufficiently small, it is easy to make the approximation to

$$116 \quad \frac{\sin(\hat{\omega}_0^c - \tilde{\omega}_q/2)}{\sin(\hat{\omega}_0^c)} \approx \frac{\sin((\omega_0 + \omega_c - \tilde{\omega}_q)/2)}{\sin((\omega_0 + \omega_c)/2)}. \quad (11)$$

117 Then we can rewrite (9) as

$$118 \quad \hat{S}_{m^+}(0) = S_{m^+}(0) + \varepsilon(q) + \nu(q) \quad (12)$$

119 where $\varepsilon(q)$ and $\nu(q)$ can be regarded as the terms of the estimation error caused by $S_{m^-}(q)$ and the
120 modulated noise:

$$121 \quad \varepsilon(q) = (-1)^q e^{j\frac{N-1}{2}\tilde{\omega}_q} \frac{\sin((\omega_0 + \omega_c - \tilde{\omega}_q)/2)}{\sin((\omega_0 + \omega_c)/2)} S_{m^-}(q) \quad (13)$$

$$122 \quad \nu(q) = (-1)^q e^{j\frac{N-1}{2}\tilde{\omega}_q} \frac{\sin((\omega_0 + \omega_c - \tilde{\omega}_q)/2)}{\sin((\omega_0 + \omega_c)/2)} W_m(q). \quad (14)$$

123 **Substitute** (5) and $\omega_c = \hat{\omega}_0^c = \omega_0 + \Delta\omega_0^c$ into (13), and as $|\Delta\omega_0^c|$ is assumed to be sufficiently small, so

$$124 \quad \varepsilon(q) \approx \frac{\text{Asin}(\Delta\omega_0^c N/2)}{2} e^{-j\theta} e^{j\frac{N-1}{2}\Delta\omega_0^c} (\cot(\omega_0) - \cot(\tilde{\omega}_q/2)). \quad (15)$$

125 Note that q can be an arbitrary integer from 1 to $N-1$, and we can set $\tilde{\omega}_q/2 \rightarrow \omega_0$ to make $\varepsilon(q) \rightarrow 0$. So

126 q can be approximated by **setting** $q = \text{round}(N\hat{\omega}_0^c/\pi)$. Furthermore, as we assumed that $\Delta\omega_0^c N$ is small in

127 (5), the value of $\varepsilon(q)$ can be sufficiently small to be ignored.

128 For $\nu(q)$, it is easy to prove that $E[\nu(q)] = 0$, where $E[\cdot]$ is the expectation operator. Then, from (12), we

129 can write

$$130 \quad E[\hat{S}_{m^+}(0)] = S_{m^+}(0) + \varepsilon(q) + E[\nu(q)] \approx S_{m^+}(0) \quad (16)$$

131 which means that $\hat{S}_{m^+}(0)$ can be recognized as an unbiased estimator of $S_{m^+}(0)$.

132 Now, we can divide the spectrum of the modulated real signal as $X_m(k)$ into two spectrums of complex

133 exponentials, as $\hat{S}_{m^+}(k)$ and $\hat{S}_{m^-}(k)$, written as

$$134 \quad \begin{cases} \hat{S}_{m^+}(k) = X_m(k) - (X_m(0) - \hat{S}_{m^+}(0))\delta(k) \\ \hat{S}_{m^-}(k) = (X_m(0) - \hat{S}_{m^+}(0))\delta(k) \end{cases}, \quad k = 0, 1, 2, \dots, N-1 \quad (17)$$

135 where $\delta(k)$ is the discrete Dirac Delta function with $\delta(0) = 1$ and $\delta(k) = 0$ for $k \neq 0$. $X_m(0)$ can be

136 calculated by summing the elements of $x_m(n)$. After this spectrum matching process, the signal amplitude

137 and initial phase can be directly estimated from $\hat{S}_{m^-}(k)$ as $\hat{A} = 2|\hat{S}_{m^-}(0)|/N$ and $\hat{\theta} = -\text{angle}(\hat{S}_{m^-}(0))$, but

138 here we focus on frequency estimation, which can be further derived from $\hat{S}_{m^+}(k)$.

139 C. Error Correction

140 After modulation, the actual frequency of the positive frequency exponential in (2) has been shifted to
 141 $\omega_0 + \omega_c$. Accordingly, we can use $2\hat{\omega}_0^c$ to approximately locate the spectral maximum of $S_{m+}(k)$, which is
 142 only $\Delta\omega_0^c$ radians **distant** from the actual value. Then, we could estimate $\Delta\omega_0^c$ to correct the estimation bias
 143 of $\hat{\omega}_0^c$. According to the classical methodology of interpolation in frequency domain [12, 14], we can use two
 144 spectral points as $S_{m+}(2\hat{\omega}_0^c - \pi/N)$ and $S_{m+}(2\hat{\omega}_0^c + \pi/N)$ to realize accurate error correction.

145 From (4), we can calculate $S_{m+}(2\hat{\omega}_0^c - \pi/N)$, $S_{m+}(2\hat{\omega}_0^c + \pi/N)$, and after some simple algebra, we
 146 obtain

$$147 \quad \left(\sin\left(\frac{\pi}{2N}\right) \cos\left(\frac{\Delta\omega_0^c}{2}\right) - \cos\left(\frac{\pi}{2N}\right) \sin\left(\frac{\Delta\omega_0^c}{2}\right) \right) S_{m+}\left(2\hat{\omega}_0^c - \frac{\pi}{N}\right) e^{-j\frac{N-1}{N}\frac{\pi}{2}} = \frac{A}{2} e^{j\theta} e^{-j\frac{N-1}{2}\Delta\omega_0^c} \cos\left(\frac{N\Delta\omega_0^c}{2}\right) \quad (18)$$

$$148 \quad \left(\sin\left(\frac{\pi}{2N}\right) \cos\left(\frac{\Delta\omega_0^c}{2}\right) + \cos\left(\frac{\pi}{2N}\right) \sin\left(\frac{\Delta\omega_0^c}{2}\right) \right) S_{m+}\left(2\hat{\omega}_0^c + \frac{\pi}{N}\right) e^{j\frac{N-1}{N}\frac{\pi}{2}} = \frac{A}{2} e^{j\theta} e^{-j\frac{N-1}{2}\Delta\omega_0^c} \cos\left(\frac{N\Delta\omega_0^c}{2}\right). \quad (19)$$

149 By subtracting the both sides of (18) and (19), making $\exp(j(N-1)\pi/N) \approx -1$ and $\tan(x) = x$ for
 150 sufficiently small x , after some simplification, we obtain

$$151 \quad \left(\Delta\omega_0^c - \frac{\pi}{N} \right) S_{m+}\left(2\hat{\omega}_0^c - \frac{\pi}{N}\right) = \left(\Delta\omega_0^c + \frac{\pi}{N} \right) S_{m+}\left(2\hat{\omega}_0^c + \frac{\pi}{N}\right) \quad (20)$$

152 Substitute $\hat{S}_{m+}(2\hat{\omega}_0^c - \pi/N)$, $\hat{S}_{m+}(2\hat{\omega}_0^c + \pi/N)$ for $S_{m+}(2\hat{\omega}_0^c - \pi/N)$ and $S_{m+}(2\hat{\omega}_0^c + \pi/N)$, and take the
 153 real part to avoid complex value. We can estimate $\Delta\omega_0^c$ as

$$154 \quad \Delta\hat{\omega}_0^c = \frac{\pi}{N} \operatorname{Re} \left[\frac{\hat{S}_{m+}(2\hat{\omega}_0^c + \pi/N) + \hat{S}_{m+}(2\hat{\omega}_0^c - \pi/N)}{\hat{S}_{m+}(2\hat{\omega}_0^c - \pi/N) - \hat{S}_{m+}(2\hat{\omega}_0^c + \pi/N)} \right] \quad (21)$$

155 where $\hat{S}_{m+}(2\hat{\omega}_0^c \pm \pi/N)$ can be calculated from the inverse DFT of $\hat{S}_{m+}(k)$ in (17) as

$$156 \quad \hat{S}_{m+}(2\hat{\omega}_0^c \pm \pi/N) = \sum_{n=0}^{N-1} \left[x_m(n) - \left(X_m(0) - \hat{S}_{m+}(0) \right) / N \right] e^{-j(2\hat{\omega}_0^c \pm \pi/N)n}. \quad (22)$$

157 Finally, the fine frequency estimate ($\hat{\omega}_0^f$) of our method can be calculated by $\hat{\omega}_0^f = \hat{\omega}_0^c - \Delta\hat{\omega}_0^c$. The overall
 158 signal processing algorithm for the proposed method is shown in Table I.

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161TABLE I
SIGNAL PROCESSING ALGORITHM

1	Calculate the coarse frequency estimate $\hat{\omega}_0^c$ via (6).
2	Modulate $x(n)$ with $\omega_c = \hat{\omega}_0^c$ to obtain $x_m(n)$ as in (2).
3	Calculate $q = \text{round}(N\hat{\omega}_0^c / \pi)$ and $X_m(q) = \sum_{n=0}^{N-1} x_m(n)e^{-j\hat{\omega}_0^c n}$.
4	Calculate $\hat{S}_{m^+}(0)$ according to (10).
5	Calculate $\hat{S}_{m^+}(2\hat{\omega}_0^c + \pi / N)$ and $\hat{S}_{m^+}(2\hat{\omega}_0^c - \pi / N)$ via (22).
6	Generate the frequency correction factor $\Delta\hat{\omega}_0^c$ via (21).
7	Obtain the fine frequency estimate by $\hat{\omega}_0^f = \hat{\omega}_0^c - \Delta\hat{\omega}_0^c$.

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IV. SIMULATION RESULTS

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To evaluate the performance of the aforementioned two-step methods, we use the TSA [7], EA [8], PM [9], and PCA [10] methods as a comparison against our proposed method. Without loss of generality, we assume $A=1$, and θ is uniformly distributed between $-\pi$ and π . Each simulation result is carried out with an average of 2000 independent Monte Carlo runs.

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Bounds: As with the approximation made in [15], the CRLB of frequency estimation for a real sinusoid is given as

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$$\text{CRLB} = \text{var}[\hat{\omega}_0^f] = \frac{12}{\text{SNR} \cdot N(N^2 - 1)} \quad (26)$$

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where the SNR is defined as $A^2 / (2\sigma^2)$.

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Variable Frequency: Because of the periodicity of the spectrum of a real sinusoid, we only evaluate the performance for $0 \leq \omega_0 \leq 0.5\pi$. The root-mean-square error (RMSE) versus signal frequency ω_0 for $N = 32$ for four different SNR values is presented in Fig. 2. The signal frequency varies from 0.01π to 0.49π with a step size of 0.01π . The enlarged scale of $1.5\pi \leq \omega_0 \leq 3.5\pi$ can show the advantages of the proposed method more clearly. When the signal frequency is very low, the PCA and EA methods provide more reliable accuracy at SNR=10dB in Fig.2 (a), while the PM method performs better for high SNRs in Fig. 2 (c) and (d). However, generally speaking, the proposed method shows higher accuracy than the other evaluated methods in a very large range of signal frequency, and the superiority becomes more obvious with increasing SNRs.

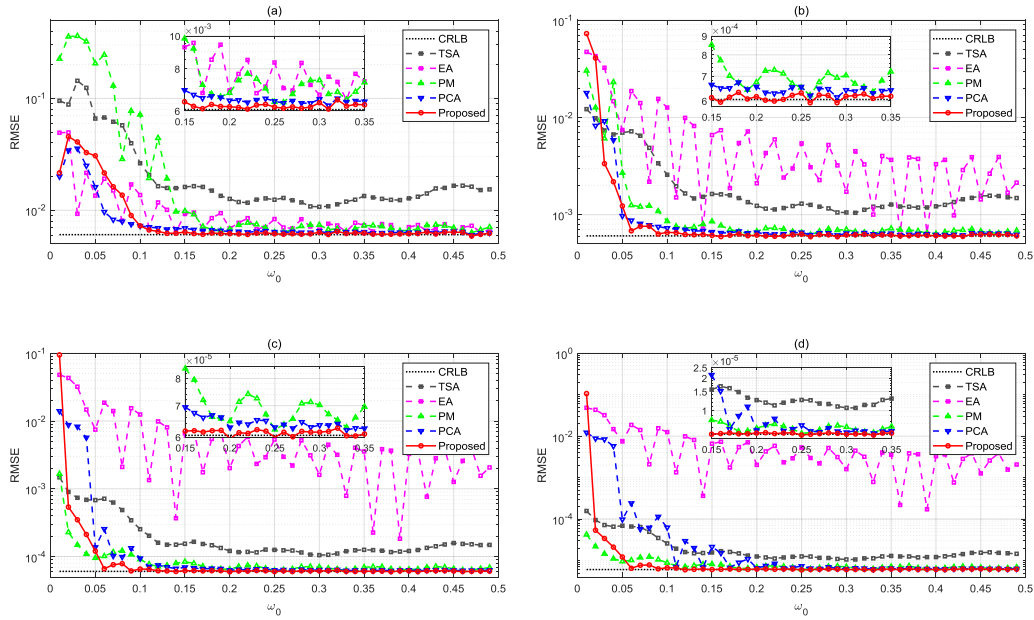


Fig. 2. RMSE versus ω_0 for $N=32$. (a) SNR=10dB; (b) SNR=30dB; (c) SNR=50dB; (d) SNR=70dB.

Variable SNR: The RMSE versus SNR for $\omega_0 = 0.09\pi$ and $N = 32$ is shown in Fig. 3. The SNR varies from -10dB to 119dB with a step size of 3dB. The effect of RMSE saturation can be found for the EA and PCA methods, which has proved their biasness in this case. By contrast, the TSA, PM and proposed methods can follow the trend of the CRLB without error floors, but only the proposed method can asymptotically approach the CRLB for sufficiently large SNRs.

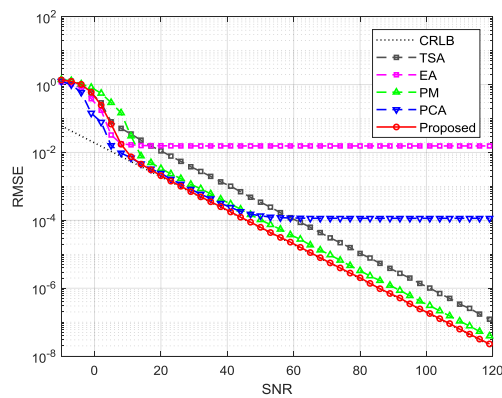


Fig. 3. RMSE versus SNR for $\omega_0 = 0.09\pi$ and $N = 32$.

Variable Signal Length: The RMSE versus signal length for $\omega_0 = 0.09\pi$ for two SNR values is presented

194 in Fig. 4. The reformed signal length $\log_2 N$ continuously increases from 5 to 12 (32 to 4096 for N). For two
 195 SNR values of 30dB and 70dB, evident advantages of the proposed method can be found for short signal
 196 lengths (eg. $N < 128$). With increasing the signal length, all the TSA, PM, PCA and the proposed methods can
 197 closely follow the CRLB. However, from the enlarged subgraph, we can see the proposed method is even
 198 slightly better than the other evaluated methods.

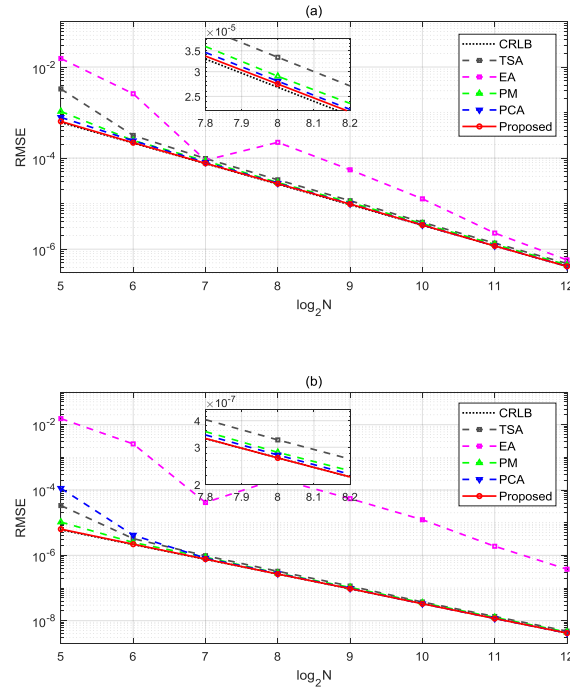


Fig. 4. RMSE versus $\log_2 N$ for $\omega_0 = 0.09\pi$.

(a) SNR=30dB; (b) SNR=70dB.

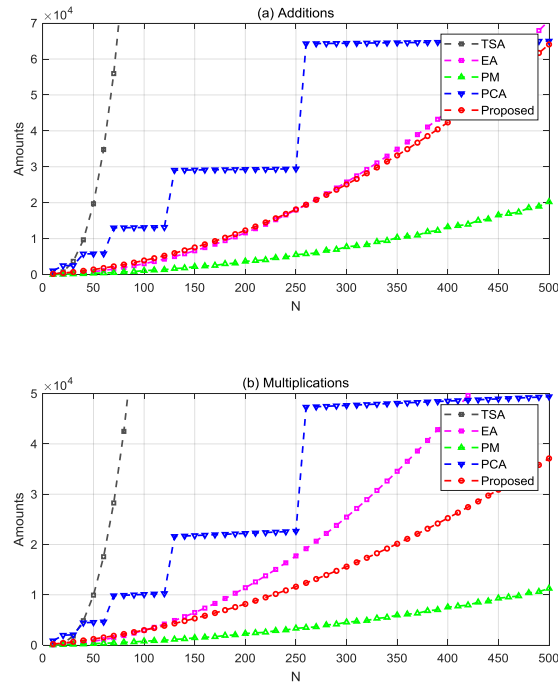
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 204 **Computational Complexity:** The result of the comparison of computational complexity is shown in Table
 205 II. All the complex-valued (CV) operations are converted to real-valued (RV) additions and multiplications,
 206 following the principle listed on the right-hand part of Table II. We neglect all the computations with $O(1)$
 207 complexity. To make the comparison more clearly, the amount of required additions and multiplications for
 208 the evaluated methods is shown in Fig. 5. The signal length N varies from 10 to 500 with a step size of 10.
 209 Obviously, we can see the computational complexity of the proposed method is only higher than the PM
 210 method, while the TSA, EA and PCA methods require more additions and multiplications within the
 211 simulated scale of signal lengths.

212
213TABLE II
Comparison of Computational Complexity.

Method	Addition	Multiplication	Converting Principle		
			Operation	Addition	Multiplication
TSA [7]	$2NM_0^2 + M_0^3 - 8M_0^3/3 - 32N$	$NM_0^2 + M_0^2 - 4M_0^3/3 - 26M_0/3 - 16N$	CV×CV	2	4
EA [8]	$5N^2/18 - 5N/2$	$5N^2/18 - 3N/2$	CV×RV	0	2
PM [9]	$2Np - 4p^2 + 8p$	$Np - 2p^2 + 9p$	CV+CV	2	0
PCA [10]	$3M2^{M+1} + 2^{M+1} + 3N$	$M2^{M+2} + 2^{M+2} + 41N/3$	CV+RV	1	0
Proposed	$2N^2/9 + 51N/3$	$N^2/9 + 56N/3$			

Note: $M_0 = \lfloor (N-1)/2 \rfloor$, $p = 0.46N$, $M = \lceil \log_2 2N \rceil$, where $\lfloor x \rfloor$ or $\lceil x \rceil$ means to round x down or up to the nearest integer.

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Fig. 5. Amounts of additions and multiplications versus N.

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(a) Additions; (b) Multiplications.

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V. CONCLUSION

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In this paper, a spectrum matching based method is proposed to realize frequency estimation of an incoherently sampled real sinusoid. Compared with other four methods designed to solve this problem, the proposed method shows better performance when the signal lengths are short. As long as the SNR reaches a certain level, the proposed method can closely approach the CRLB without any error floor, which means, the proposed method can be very useful in some high SNR applications such as measurement and instrumentation. The computational complexity is acceptable. Furthermore, the proposed spectrum matching

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226 process can be also used for the estimation of signal amplitude and initial phase.

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