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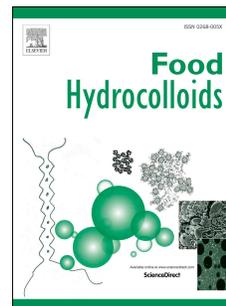


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# Accepted Manuscript

Effect of storage temperature and relative humidity on long-term colloidal stability of reconstitutable emulsions stabilised by hydrophobically modified starch

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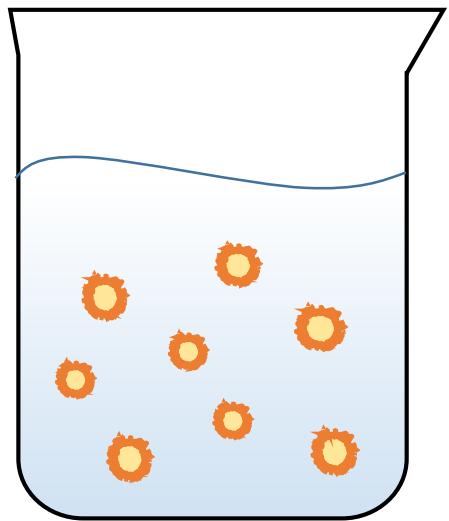
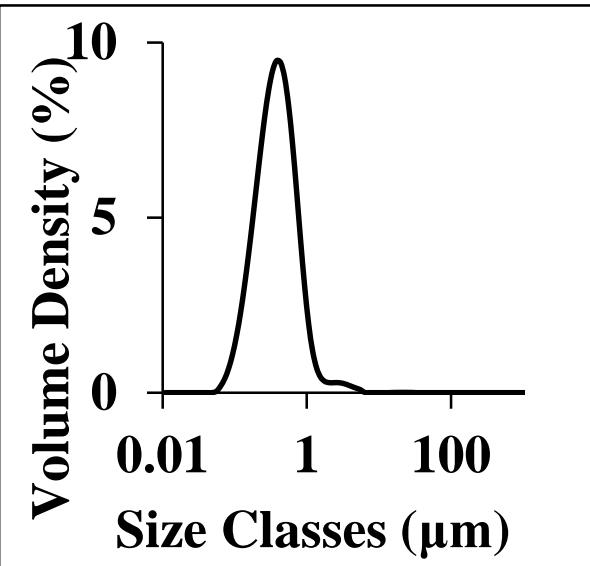
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Emulsion  
stabilised by  
modified starch

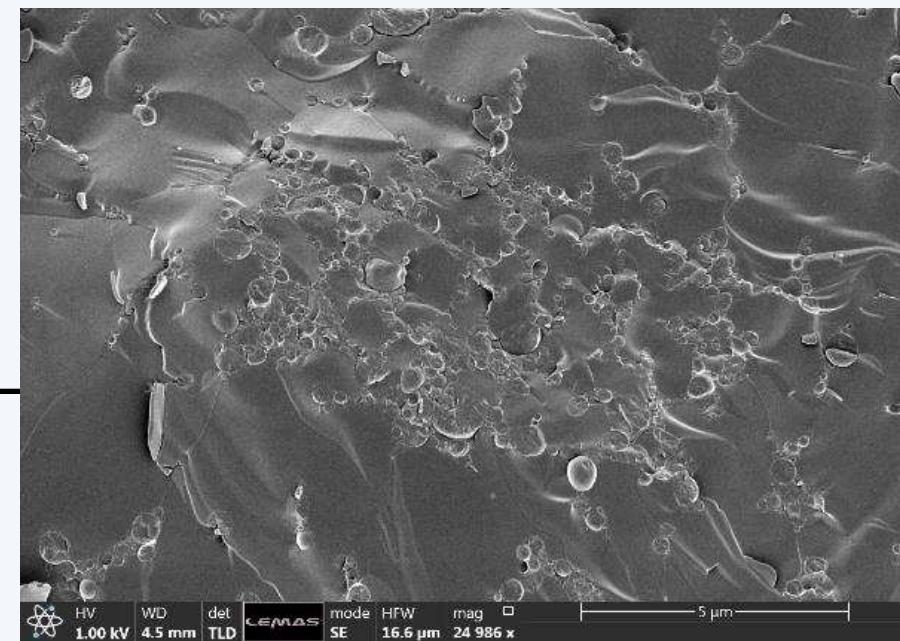
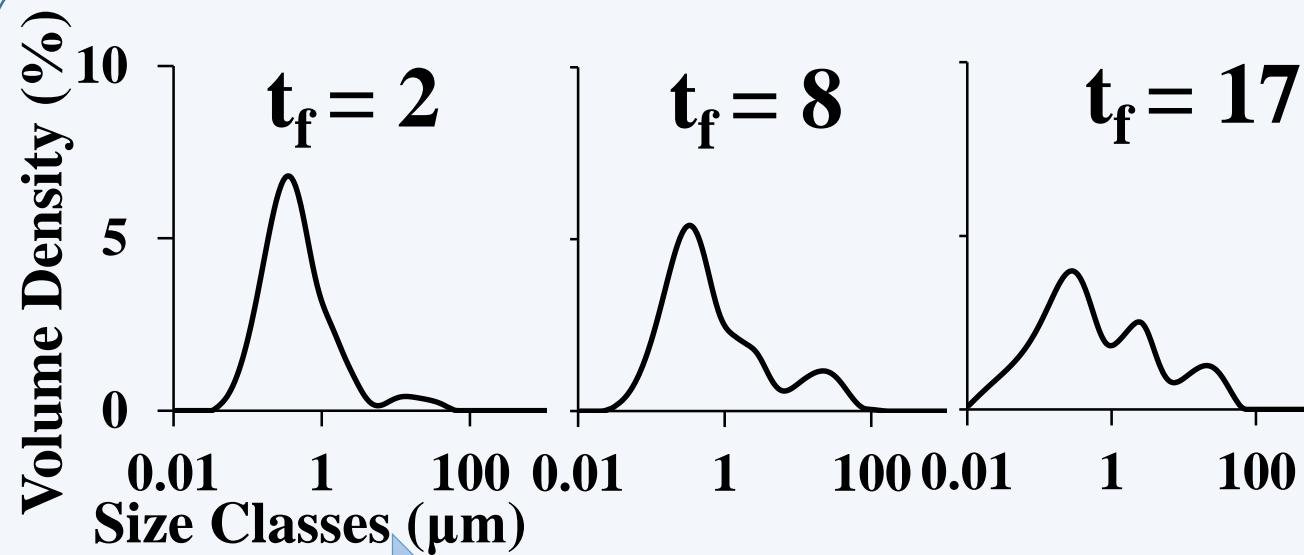
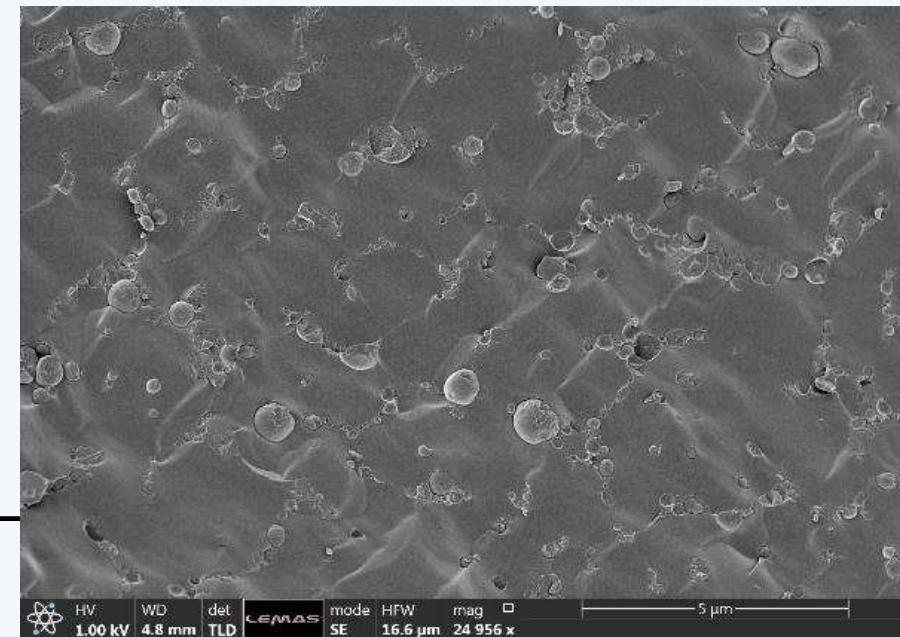
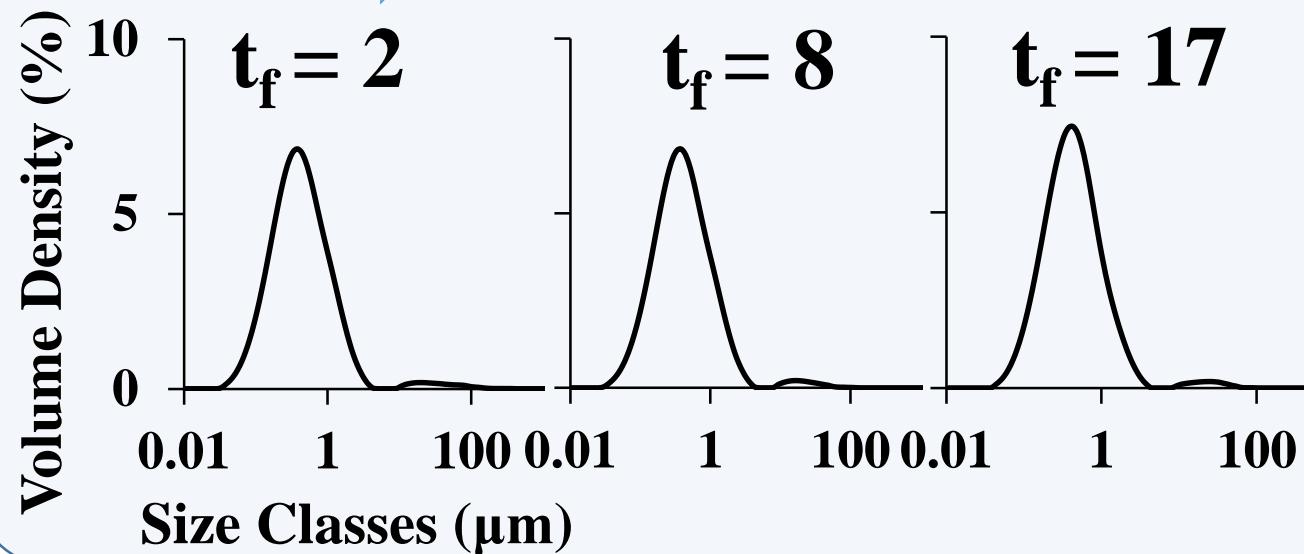


Freeze  
dried at  
2.5 mbar

Subjected  
to different  
storage  
conditions  
for  $t_f$  days

**-30°C**

Rehydration



Rehydration

**4°C**

# **Effect of storage temperature and relative humidity on long-term colloidal stability of reconstitutable emulsions stabilised by hydrophobically modified starch**

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**1 Abstract**

2 Dried emulsions leading to formulations exhibiting a high level of colloidal stability post  
3 rehydration would have many potential industrial applications and are of significant interest  
4 to food scientists in that the dry formulations can be easily stored and more cheaply  
5 transported. The influence of powder storage time and conditions on the long-term colloidal  
6 stability of reconstituted oil-in-water emulsion has been examined here. Emulsion systems of  
7 20% oil were prepared with 2.5% hydrophobically modified starch acting as the emulsifier.  
8 These were subjected to freeze drying followed by up to 3 weeks of powder storage under  
9 different conditions varying in relative humidity and temperature. Rehydration was  
10 performed at specific time intervals during storage for each set of powders. The change in  
11 droplet size and morphology of the reconstituted emulsion showed that powder storage  
12 temperature has a significant effect on the long-term colloidal stability of reconstituted  
13 emulsions. Powders stored under the lowest temperature condition produced the smallest  
14 droplet size and were the most colloidally stable emulsions once rehydrated, whereas those  
15 stored at higher temperatures showed inferior performance in this respect. Freeze-dried  
16 emulsion powder, stored at  $-30\pm 1$  °C for 3 weeks, once rehydrated gave liquid emulsions that  
17 were stable for at least 2 weeks. In contrast, flocculation was observed upon reconstitution of  
18 dry powders that were stored at relatively high storage temperatures (4 °C and 20 °C), but  
19 neither creaming nor extensive coalescence were present, post rehydration. It is often  
20 assumed that little change to the colloidal state of the system occurs during storage, once the  
21 system has been fully dried. Our results indicate otherwise. Even in the dried form, emulsion  
22 droplets still undergo substantial changes in their surface properties, impacting the  
23 subsequent colloidal interactions and thus their colloidal stability during storage and  
24 particularly post reconstitution.

## 25 1. Introduction

26 Despite their high technological desirability in many different industries, formulating fully  
27 reconstitutable dry oil-in-water (O/W) emulsions continues to be a demanding and complex  
28 problem for colloid scientists. We specifically define a fully reconstitutable emulsion as one  
29 where, following the drying and after an extended period of storage in dried form, droplets of  
30 the same size as the original emulsion are retrieved by simple and gentle rehydration.

31 Furthermore, the reconstituted emulsion thus formed without the need for further  
32 homogenisation, should exhibit a comparable level of long-term colloidal stability as it had  
33 prior to its drying. The difficulties of realising such formulations are probably nowhere more  
34 challenging than in food related systems. Food colloid scientists are rather limited in the  
35 variety of the stabilisers that they can include in food related dispersions. With an increasing  
36 demand on reducing, and the eventual phasing out of synthetic ingredients in foods, this  
37 choice is likely to become even narrower in the future. Central production of dry  
38 reconstitutable emulsions in one large facility may offer economies of scale efficiencies, as  
39 well as cost savings in such respect as the storage and transportation of raw materials to a  
40 single location. Of course, the extent of such savings will depend somewhat on the size and  
41 geographic distribution of local production sites.

42 The preparation of emulsions, followed by their drying, is also a common practice for making  
43 encapsulated (or microencapsulated) products. However, we wish to emphasise that the main  
44 aims of microencapsulation are quite different to those pursued here and often are focused on  
45 production of a dry powder that entraps an otherwise volatile food ingredient or flavour  
46 (Adachi, Imaoka, Hasegawa, & Matsuno, 2003; Madene, Jacquot, Scher, & Desobry, 2006;  
47 Tang & Li, 2013), or alternatively to protect a component from oxidative and other kind of  
48 damage during storage (Aberkane, Roudaut, & Saurel, 2014; Ghouchi-Eskandar, Simovic, &  
49 Prestidge, 2012; Klinkesorn, Sophanodora, Chinachoti, McClements, & Decker, 2005; Naik,

50 Meda, & Lele, 2014; Zhang et al., 2014). Other possible reasons for encapsulation are the  
51 provision of vehicles for obtaining a desirable controlled release profile (Ahmed & Aboul-  
52 Einien, 2007; Giardiello, McDonald, Martin, Owen, & Rannard, 2012) and more recently, to  
53 achieve novel porous structures (Akartuna, Studart, Tervoort, & Gauckler, 2008; Qian &  
54 Zhang, 2011) which for example can enhance the water dissolution behaviour of the resulting  
55 powder (Klinkesorn, Sophanodora, Chinachoti, Decker, & McClements, 2006). In all of these  
56 applications, it is seldom the case that the rehydration of the dried systems is required to  
57 result, or indeed does lead to, the formation of colloidally stable emulsion droplets of the  
58 same size as those prior to drying (Christensen, Pedersen, & Kristensen, 2001; Domian,  
59 Cenkier, Górska, & Brynda-Kopytowska, 2018; Hogan, McNamee, O'Riordan, & O'Sullivan,  
60 2001; Holgado, Marquez-Ruiz, Dobarganes, & Velasco, 2013; Jena & Das, 2012; Li, Woo, &  
61 Selomulya, 2016; Millqvist-Fureby, Elofsson, & Bergenstahl, 2001; Serfert et al., 2013; Tang  
62 & Li, 2013).

63 An emulsion system undergoes major environmental changes during the drying operation  
64 (Garti & McClements, 2012), whether this is achieved through spray drying, freeze drying,  
65 heat drying or any other kind of drying process. Freeze drying has been considered the most  
66 suitable technique for drying food emulsions, where it is necessary to preserve most of the  
67 structures and properties of the matrix (Desai & Park, 2005; Ray, Raychaudhuri, &  
68 Chakraborty, 2016). The porous structure of freeze-dried emulsion accounts for the relatively  
69 easy and quick rehydration process (Anwar & Kunz, 2011; Domian et al., 2018). However,  
70 the formation of ice crystals during the freeze part of the cycle can cause an increased  
71 concentration of droplets in the remaining unfrozen regions (Mun, Cho, Decker, &  
72 McClements, 2008). The same also occurs for many salts and other ingredients originally  
73 dissolved in water as these are also excluded from the frozen ice (Thanasukarn,  
74 Pongsawatmanit, & McClements, 2004). More tightly packed emulsions, in the presence of

75 an increasing concentration of electrolyte are more prone to destabilisation, particularly if a  
76 part of the contribution to emulsion stability is through electrostatic means (Dickinson, 1992;  
77 Hunter, 2000). Similarly, the provision of steric repulsion by colloidal stabilisers relies  
78 heavily on the suitability of the dispersion medium being a satisfactory solvent, for at least  
79 some sections of the macromolecules (Dickinson, 1992; Russel, Saville, & Schowalter, 1992)  
80 that are adsorbed at the surface of the droplets. It is known that the solubility of amino acids,  
81 including the hydrophilic residues, whether charged or polar, tends to decrease significantly  
82 as the temperature of water is lowered towards the freezing point (Amend & Helgeson, 1997;  
83 Dunn, Ross, & Read, 1933). Much of the same is also true for sugar moieties that make up  
84 the polysaccharide molecules. Thus, this decrease in repulsive colloidal forces, induced by  
85 the lowering of temperature, enhances the tendency of protein stabilised emulsion droplets to  
86 aggregate. When combined with the possible formation of solid fat crystals in the dispersed  
87 phase, this makes the aggregated emulsion droplets, only separated from each other by thin  
88 protein layers, quite susceptible to the well-known phenomenon of partial coalescence  
89 (Walstra, Wouters, & Geurts, 2006). Subsequently, full coalescence and breakup of the  
90 emulsion dispersion follows when the fat crystals begin to melt during the thawing part of the  
91 process. While each different drying process possesses its own particular difficulties, the  
92 above example typifies some of the challenges that are faced in formulating a reconstitutable  
93 dry emulsion.

94 Several relatively novel food-grade dispersants have been tried in preparation of emulsions  
95 for the purpose of microencapsulation in recent years. However, the suitability of these in  
96 formation of reconstitutable fine emulsions, possessing long-term colloidal stability has  
97 rarely been explored. One such technique is the layer by layer deposition method first  
98 introduced to food systems by McClements and co-workers (2005). This involves the  
99 adsorption of a layer of polysaccharide onto a primary emulsion, already stabilised by protein

100 (Guzey & McClements, 2006; McClements, 2006). An alternative involves the covalent  
101 bonding of polysaccharide chains to proteins via Maillard reactions to produce amphiphilic  
102 conjugates. Yet one further method is to make the polysaccharides the actual emulsifying  
103 agents. This can be achieved by incorporation of an adequate number of hydrophobic side  
104 groups into the structure of the otherwise hydrophilic polysaccharide, thus turning it into an  
105 amphiphilic molecule (Nilsson & Bergenstahl, 2006, 2007; Yusoff & Murray, 2011). Each  
106 technique exhibits its own distinct advantages and disadvantages, as discussed in our recent  
107 review (Ettelaie, Zengin, & Lishchuk, 2017).

108 Several authors have studied the stability of food emulsions stabilised by hydrophobically  
109 modified starch (HMS). Only octenyl succinic anhydride (OSA) is a permitted food-grade  
110 reagent for the modification of starch (Liu, Z. et al., 2008). Interestingly, in most of these  
111 studies the HMS remains in the form of granule particles. That is to say that the resulting  
112 emulsions are particle-stabilised, i.e. the so called “Pickering” emulsions. Yusoff and Murray  
113 (2011) produced starch particles by reacting non-swelling starch granules with OSA followed  
114 by freeze-milling process. They found that just like many Pickering type emulsions, the oil  
115 droplets stabilised by these starch particles showed excellent stability to coalescence, even  
116 after several months, but that the mean droplet size was relatively large, as big as 20  $\mu\text{m}$  in  
117 some cases. Insensitivity to pH variations, increases in background electrolyte and changes in  
118 temperature are other features associated with Pickering emulsions that have also been found  
119 to hold true for droplets stabilised by HMS granules (Marefati, Rayner, Timgren, Dejmek, &  
120 Sjoo, 2013; Murray, Durga, Yusoff, & Stoyanov, 2011). Marefati et al. (2013) also  
121 considered the effects of freeze-thawing and freeze drying on the stability of such O/W  
122 dispersions. For cases where no thermal treatment had been applied to the emulsion before  
123 freeze drying, the mean droplet size in the freeze-dried-rehydrated system was seen to be  
124 similar to the original emulsion, although in both cases the droplets were quite large  $\sim 50 \mu\text{m}$ .

125 Most food-grade particles suitable for making Pickering emulsions, even in the case of nano-  
126 sized primary particles, have been found to produce relatively coarse emulsions and bubbles,  
127 which are therefore more susceptible to creaming. In practice, it is relatively difficult to  
128 obtain an ideal dispersion of such individual particles due to their tendency to aggregate in  
129 the aqueous phase. This hinders the rapid diffusivity of the particles onto the interface  
130 (Ettelaie & Murray, 2014, 2015) during high-pressure homogenization and high-intensity  
131 ultrasound (Dickinson, 2012; Murray et al., 2011). In contrast, the adsorption and formation  
132 of macromolecular HMS layers can produce significantly finer emulsions,  $\sim 1 \mu\text{m}$ ,  
133 (Chanamai & McClements, 2001; Tesch, Gerhards, & Schubert, 2002). Recent theoretical  
134 work involving molecularly adsorbed HMS provide further evidence for the ability of such  
135 layers to provide strong long ranged steric repulsion between droplets (Ettelaie, Holmes,  
136 Chen, & Farshchi, 2016).

137 Freezing and drying of emulsions is nowadays quite a common practice, with the use of the  
138 technique for microencapsulating and protecting valuable active ingredient against oxidation  
139 well-studied in the literature. In contrast very few of such reported researches have reported  
140 on the long-term colloidal stability of reconstituted emulsions. Furthermore, in the few  
141 examples where such investigation has indeed been carried out, the reconstituted emulsions  
142 produced for long-term stability were obtained from dry powders immediately after their  
143 drying (Cheuk et al., 2015; Gallarate, Mittone, Carlotti, Trotta, & Piccerelle, 2009; Matsuura  
144 et al., 2015; O'Dwyer, O'Beirne, Eidhin, & O'Kennedy, 2013), involving no powder storage  
145 period between the end of drying and the start of rehydration processes. The assumption has  
146 been that once dried, any surviving droplets in the solid matrix undergo insignificant changes  
147 during the powder storage period, due to their immobilisation and the arrest of their  
148 Brownian motion. In this study, amongst other things, we wish to re-examine this  
149 assumption. Given the already reported potential of HMS for producing reconstitutable

150 emulsions (Cheuk et al., 2015; Domian et al., 2018), we have chosen this as the emulsifying  
151 agents for our investigation here. An additional reason for our choice was that, unlike protein  
152 + polysaccharide conjugates, for HMS, one only needs to consider the behaviour of a single  
153 type of biopolymer under the various encountered storage conditions. This makes an initial  
154 understanding of results somewhat easier to accomplish in such a preliminary study of the  
155 behaviour of colloids in a rehydrated system obtained post drying.

## 156 **2. Materials and Methods**

### 157 2.1. Materials

158 A commercial octenyl succinic anhydride (OSA) modified waxy maize starch was a gift from  
159 Ingredion UK Ltd. Typical molecular weight of OSA-modified starch is 10 – 30 MDa, with  
160 3% modification (Nilsson, Leeman, Wahlund, & Bergenstahl, 2006). The average molecular  
161 weight of this particular commercial product was determined to be ~10 MDa (PDI = 11.9) by  
162 asymmetrical flow field-flow fractionation (AF4), using the method described by Modig,  
163 Nilsson, Bergenstahl, and Wahlund (2006) with modification (see Supplementary Material  
164 S1). Such molecular weight indicates some likely degree of hydrolysis as one of the  
165 processing steps during the commercial production of this HMS. Tesco® Pure Sunflower Oil  
166 was purchased from a local supermarket. Sodium phosphate monobasic and sodium  
167 phosphate anhydride were purchased from Acros Organics (USA). All other chemicals used  
168 were obtained from Sigma Chemical Co. (USA). Milli-Q water (Millipore Corp., USA) was  
169 used in all experiments.

### 170 2.2 Preparation of HMS solution

171 Phosphate buffer of 0.2 M at pH 5.5 was heated on a hotplate to 50 °C while stirred  
172 magnetically. HMS of different concentrations by weight was added slowly to the meniscus

173 of the solution created by stirring. The solution was then left on the hotplate for 60 mins to  
174 ensure complete dissolution.

### 175 2.3 Preparation of O/W emulsion

176 Oil-in-water emulsions contained 20 wt% sunflower oil and 80 wt% HMS solution with  
177 various emulsifier concentrations, expressed as wt% of the total emulsion. Emulsions were  
178 prepared using a University of Leeds in-house made Jet homogenizer operating at a constant  
179 pressure of 250 bar (Burgaud, Dickinson, & Nelson, 1990). After emulsion preparation,  
180 20 ml of each emulsion was transferred into a screw-cap glass sample tube.

### 181 2.4 Freeze drying, storage and reconstitution of emulsion

182 Emulsions used for freeze drying were made with 20 wt% sunflower oil and 2.5 wt% HMS at  
183 pH 5.5, using the method described. Exact  $20 \pm 0.1$  g of each emulsion was weighed into six  
184 Petri dishes (internal diameter 92 mm), then stored in a freezer overnight at  $-30 \pm 1^\circ\text{C}$ , which  
185 has an estimated freezing rate of  $0.42^\circ\text{C}/\text{min}$ . The frozen samples were dried with a Christ  
186 Alpha 1-4 LD plus (Martin Christ Gefriertrocknungsanlagen GmbH, Germany) freeze dryer  
187 at a constant vacuum pressure of 2.5 mbar, which corresponds to a temperature of  $-10^\circ\text{C}$ . The  
188 dried powder was stored at six different powder storage conditions varying in temperature  
189 and relative humidity:

- 190 1.  $20 \pm 1^\circ\text{C}$ , 72% RH (achieved by a desiccator with oversaturated NaCl solution),  
191 coded as HRH (high relative humidity)
- 192 2.  $20 \pm 1^\circ\text{C}$ , 2% RH (achieved by a desiccator with silica beads), coded as LRH (low  
193 relative humidity)
- 194 3.  $20 \pm 1^\circ\text{C}$ , sealed, coded as R (room temperature)
- 195 4.  $4 \pm 1^\circ\text{C}$ , sealed, coded as F (fridge temperature)

196 5.  $-18 \pm 1$  °C, sealed, coded as L (low temperature)

197 6.  $-30 \pm 1$  °C, sealed, coded as VL (very low temperature)

198 The amount of water lost during drying was determined by calculating the weight loss of  
199 sample. After certain periods (0, 1, 2, 5, 8, 11, 14, 17, 21 days) of powder storage in the  
200 above conditions, reconstitution was performed by adding back the weight loss with MilliQ  
201 water containing 0.02 wt% sodium azide. The tube containing reconstituted emulsion was  
202 then capped and placed on a Vortex Mixer for 15 mins. Droplet size measurements were  
203 taken 60 mins after the mixing. All reconstituted emulsions were stored under refrigeration  
204 temperature.

205 To clearly identify different samples and the conditions of their dry powder storage, the  
206 following naming system is adopted:

207 Non-freeze-dried emulsions are called fresh. All freeze-dried samples are coded by their  
208 storage conditions with the convention  $Tt_f.t_s$ , where T is the powder storage condition as  
209 coded above,  $t_f$  is defined as the powder storage time (in days) prior to reconstitution, and  $t_s$  is  
210 defined as the post reconstitution storage time. For example, R2.7 refers to a sample that was  
211 freeze-dried, sealed and stored as powder under room temperature condition, i.e.  $20 \pm 1$  °C  
212 and sealed (R) for 2 days. Once rehydrated, the resulting reconstituted emulsion was then  
213 kept for further 7 days before it was subjected to various measurements. Samples  
214 reconstituted immediately after freeze-drying are designated as D0. $t_s$ , (with  $t_s$  once again  
215 signifying the post reconstitution storage period).

216 2.5 Size measurement for starch in solution and oil droplets in emulsion

217 Hydrated size of starch in solution was determined using a dynamic light scattering  
218 instrument Zetasizer nano ZS (Malvern Panalytical, UK). Before the measurement, the  
219 samples were diluted to the appropriate concentration with 0.2 M phosphate buffer of pH 5.5

220 and then transferred to a disposable sizing cuvette. The refractive indices of water and starch  
221 were set at 1.330 and 1.520, respectively.

222 The droplet size of emulsions was measured using a laser light scattering instrument  
223 Mastersizer 3000 (Malvern Panalytical, UK). Before droplet size measurement, emulsions  
224 were shaken by hand to ensure homogeneity. Sample was added to the dispersion unit  
225 connected to the laser light scattering instrument until an obscuration between 1% and 4%  
226 was obtained. The mean droplet size was reported as the volume-weighted mean diameter,  
227  $d_{43} = (\sum n_i d_i^4 / \sum n_i d_i^3)$ , where  $n_i$  denotes the number of droplets with a diameter  $d_i$ .

## 228 2.6 Rheological measurements

229 The apparent viscosity of both HMS solutions and emulsions was measured within 3h after  
230 their preparation using a Kinexus Ultra rheometer (Malvern Panalytical, UK) and a double  
231 gap concentric cylinder DG25 geometry (cup diameter 26.25 mm, bob internal diameter  
232 24 mm, bob external diameter, 25 mm). The samples were gently mixed, poured into the  
233 temperature-controlled measurement cell, and allowed to equilibrate at 25 °C for 10 min prior  
234 to the measurement. Apparent viscosity of emulsions was measured at shear-rates in the  
235 range 0.2-200  $s^{-1}$  using continuous shear, at 25 °C.

## 236 2.7 Water activity ( $a_w$ ) and moisture determination

237 The value of  $a_w$  in the freeze-dried powders were determined using HygroLab C1 with HC2-  
238 AW accessory (Rotronic Instruments, UK). Care was exercised to ensure sufficient  
239 equilibration time before readings were taken. The moisture content of the powders (1 g) was  
240 determined gravimetrically by vacuum oven drying at 105 °C and 29 in Hg for 24 h.

## 241 2.8 Scanning Electron Microscopy (SEM), and Cryo-SEM

242 Scanning Electron Microscopy on dried samples was performed by using Carl Zeiss EVO  
243 MA15 SEM (Carl Zeiss Microscopy, Jena). Cryo SEM images were obtained using an FEI  
244 Helios G4 CX (Fei, USA), and a Quorum PP3010 cryo-FIB/SEM preparation system  
245 (Quorum Technologies, UK) as the cryo system.

## 246 2.9 Differential Scanning Calorimetry (DSC)

247 A differential scanning calorimeter (Perkin Elmer DSC 8000, Perkin-Elmer, Norwalk, US)  
248 was used to record the DSC thermogram of the dried powders. Approximately 10 mg powder  
249 was placed in an aluminium pan and the pan was sealed hermetically. An empty pan was  
250 used as reference. The thermal analysis was performed using a three-cycle scan model, with  
251 temperature range from 25 °C to -45 °C, with heating and cooling rates of 10 °C/min under a  
252 stream of nitrogen with a flow rate of 20 mL/min.

## 253 2.10 Cold-Stage X-Ray Diffraction

254 Dried emulsion powder and bulk sunflower oil was observed for X-ray pattern using a  
255 Phillips P'Analytical XPert pro MPD X-ray diffractometer (Malvern Panalytical Ltd., UK)  
256 with CuK $\alpha$  radiation (K-Alpha1 wavelength = 1.54,0598 Å) generated from a copper source  
257 operating at a voltage of 40 kV and a current of 40 mA. The test samples were packed into an  
258 AP TTK-450 sample holder. The samples were scanned over the range of 4 – 40° 2 $\theta$  (scan  
259 step size = 0.0334, scanning time per step = 135 s). Scans were performed at -70 °C, -18 °C,  
260 and 25 °C.

## 261 2.11 Statistical analysis

262 All measurements, unless stated otherwise, were repeated in triplicates. The mean value of  
263 the three readings was calculated and reported in each case. Pearson correlation and linear  
264 regressions with associated coefficient of determination R<sup>2</sup> were performed where applicable.

265 All calculations were completed using Microsoft Excel 2013 and statistical significance was  
266 assigned at the level  $p < 0.05$ .

### 267 **3. Results and discussions**

#### 268 3.1 Hydrophobically modified starch solution

269 Rheological behaviour of emulsions subjected to shear can often provide valuable  
270 information regarding the colloidal state of the droplets. In order to be able to interpret such  
271 data correctly, it is necessary that any possible complications arising from the flow properties  
272 of the continuous phase itself are appropriately taken into account. In systems considered  
273 here, the continuous phase contains HMS. Depending on the level of the hydrophobic  
274 modification, as well as the value of pH and method for preparing the solution, HMS may  
275 remain in granular aggregated form. Alternatively, it can also be present as dissolved  
276 individual macromolecules in the solution and may or may not associate to form weak  
277 networks (Sweedman, Tizzotti, Schaefer, & Gilbert, 2013). As mentioned in the introduction,  
278 in both of these forms, HMS can stabilize emulsion droplets. In the latter case (Chanamai &  
279 McClements, 2002), the general stabilising mechanism will be similar to that for emulsions  
280 stabilised by other amphiphilic type macromolecules, whilst in the former the emulsions will  
281 be of particle stabilised “Pickering” type (Marefati et al., 2013; Yusoff & Murray, 2011).  
282 Measurements of low shear viscosity for dilute solutions can be used to determine the typical  
283 size of entities that are dispersed within the solution (Chanamai & McClements, 2001), and  
284 thus potentially allow us to distinguish between these alternative possible scenarios. In this  
285 section, we present and discuss the results of such rheological measurements for HMS  
286 solution in the absence of oil droplets.

287 At sufficiently low volume fractions of the dispersed phase/dissolved macromolecules, the  
288 viscosity of the solution,  $\eta$  (Pa·s), varies in a linear fashion with the concentration  $c$  (mol/L)  
289 of the molecules as shown in equation (1)

$$290 \quad \eta = \eta_0(1 + [\eta]c) \quad (1)$$

291 where  $\eta_0$  is the viscosity of the pure solvent phase and  $[\eta]$  the intrinsic viscosity of the  
292 macromolecules (or colloidal particles) added to the solution (Barnes, 2000). Indeed,  
293 Chanamai and McClements (2002) have already shown that the viscosity variation of well  
294 dissolved HMS solutions, at low concentrations, can reasonably be approximated by Eq. (1).  
295 Following their work, we also measured the viscosity variation of our HMS solution, as a  
296 function of biopolymer content in the low concentration limit. This was conducted for both  
297 the solution just prior to homogenisation and once it had passed through the homogeniser  
298 (without addition of any oil phase). In Fig. 1 the data for both cases have been plotted as  
299  $[(\eta/\eta_0) - 1]$  vs.  $c$ . For both the homogenised and the non-homogenised solutions, a very  
300 reasonable fit to equation (1) was obtained. However, the value of intrinsic viscosity,  $[\eta]$  was  
301 found to be somewhat higher at  $\sim 0.52$  prior to homogenisation, as compared to 0.37 dl/g for  
302 that following homogenisation. We suspect that this is due to small residual starch clusters  
303 that are not fully broken up and dissolved until the solution is subjected to the higher shears  
304 encountered in the homogeniser. More likely, it is also the result of some degradation of the  
305 HMS, known to take place in the homogenisation process (Modig et al., 2006).

306 The value of intrinsic viscosity obtained from the slope of the graphs in Fig. 1 can be used to  
307 obtain an estimate of the size of macromolecules (or their aggregates) present in the solution.  
308 In the low dilution limit, HMS molecules in the solution will not overlap with each other due  
309 to the strong excluded volume interactions between them. Therefore, the total effective  
310 volume fraction occupied by such molecules will be  $\phi \sim 4\pi R_H^3 n/3$ , where  $R_H$  is the

311 hydrodynamic radius of the chains (approximately the same as their radius of gyration,  $R_g$ )  
 312 and  $n$  the number density of the HMS molecules as given by  $10000cN_A/M_w$ , if  $c$  is expressed  
 313 in g/dl. Here,  $M_w$  denotes the average molecular weight of the HMS molecules and  $N_A =$   
 314  $6.022 \times 10^{23}$  is the Avogadro's constant. For spherical dispersed entities, occupying a volume  
 315 fraction  $\phi$ , Eq. (1) can also be expressed in term of  $\phi$ , as given by Einstein equation:

$$316 \quad \eta = \eta_0(1 + 2.5\phi) \quad . \quad (2)$$

317 From a comparison of Eqs. (1) and (2) it follows that

$$318 \quad R_H^3 = \frac{3M_w[\eta]}{10^5 \pi N_A} \quad . \quad (3)$$

319 Molecular weight for modified starch varies largely from one to several hundred MDa.  
 320 Typical values following degradation due to shear are measured to be around 30 MDa  
 321 (Nilsson et al., 2006). Taking this value together with our measured  $[\eta]=0.37$  dl/g, we obtain  
 322  $R_H = 56$  nm. The absolute size of the measured entities by itself is not an indication of their  
 323 particulate nature or otherwise. However, the measured radius here agrees well with the value  
 324 of the radius of gyration for a single HMS molecule, as reported by Nilsson et al. (2006)  
 325 measured using dynamic light scattering (DLS). The value is also in accord with our own  
 326 data using AF4 ( $R \sim 50$  nm), as well as our DLS results with  $d = 112$  nm ( $PDI < 0.1$ ). This  
 327 strongly indicates that our HMS was not in the form of granules, but much more likely that it  
 328 had dissolved to form a starch solution. Subsequently, oil droplets that are emulsified in this  
 329 solution cannot be classified as Pickering type, but are instead stabilised by adsorbed  
 330 macromolecular layers of modified starch. There have been studies reporting on modified  
 331 starch stabilised Pickering emulsions with droplet size of 391.5 nm (Liu, W., Li, Chen, Xu, &  
 332 Zhong, 2018). However, this probably requires starch granules no larger than  $\sim 40$  nm, which

333 is as small as, if not smaller than the size of a single hydrated starch chain. Thus, even if such  
334 granules truly exist, they cannot contain more than very few HMS molecules.

### 335 3.2 Fresh liquid emulsion

336 In order to find the optimum HMS concentration for a 20% O/W system, emulsions with  
337 different HMS content were prepared. A very interesting relationship was observed when the  
338 normalised viscosity of emulsions was plotted against HMS concentration. The presence of a  
339 biopolymer such as starch in a solution can by itself cause a significant change in the solution  
340 viscosity. Therefore, here the normalised viscosity ( $\eta/\eta_0$ ) was plotted to compensate for the  
341 effect of increasing starch concentration, where  $\eta$  is the viscosity of emulsion, and  $\eta_0$  is the  
342 viscosity of HMS solution at the same corresponding bulk concentration, but in the absence  
343 of oil droplets. It can be seen that the relative viscosity of the emulsion dropped to a  
344 minimum as the concentration of HMS increased to 2 wt%, and then started to rise again  
345 beyond a concentration of 4 wt% (Fig. 2A). Increase in viscosity is often associated with  
346 emulsion instability, especially flocculation, which often is considered as the very first step in  
347 the possible destabilization of emulsion (Dickinson, 2009). Bridging flocculation tends to  
348 occur at low emulsifier concentration, while depletion flocculation tends to occur at high  
349 emulsifier concentration (Dickinson, 1989) when significant excess biopolymer remains non-  
350 adsorbed in the bulk solution. Related to the results in Fig. 2B, we also find a mild shear-  
351 thinning behaviour at HMS concentration of 1 wt%. This behaviour disappears and becomes  
352 completely Newtonian for emulsions at higher HMS concentrations from 2 wt% to 4 wt%.  
353 Yet, at still higher concentrations of HMS, the shear-thinning behaviour once again manifests  
354 itself (Fig. 2B). These graphs in our opinion result from the classic bridging flocculation—  
355 stable emulsion—depletion flocculation trend as the concentration of HMS increases. It is  
356 particularly interesting to note that this situation is very similar to what occurs for other types

357 of biomacromolecular emulsions, such as sodium caseinate emulsions (Berli, Quemada, &  
358 Parker, 2002). While the depletion flocculation part of this trend has been experimentally  
359 observed and presented for HMS in the research work of Chanamai and McClements (2001),  
360 the complete curve, showing both types of bridging and depletion flocculation occurring for  
361 the same HMS stabilised system over the varying range of HMS concentrations, has not been  
362 reported previously to the best of our knowledge. Such a common feature between the  
363 colloiddally-induced behaviour in protein stabilised and HMS stabilised emulsions, is  
364 interesting and worthy of further investigation in future.

365 From the above results, 2.5 wt% HMS was determined to give excellent emulsion stability  
366 and therefore emulsions with this HMS concentration were used for subsequent freeze drying  
367 studies. Emulsions stabilised with 2.5% HMS gave Sauter mean diameter  $d_{32}$ , ( $d_{32} =$   
368  $(\sum n_i d_i^3 / \sum n_i d_i^2)$ ), of approximately 300 nm, and  $d_{43}$  of 480 nm at pH 5.5. Fresh emulsion's  
369 stability was monitored over the course of four weeks. By appearance, there was no creaming  
370 visible to the naked eye after two months of storage at 4 °C. The values of both  $d_{32}$  and  $d_{43}$   
371 remained stable over the whole storage period (Fig. 3B) indicating very little or no  
372 flocculation in the emulsion system. Samples tested at different storage times all gave  
373 Newtonian type behaviour, as seen in Fig. 3A, thus once again supporting the view that no  
374 aggregation of droplets occurred prior to drying. These results are largely in line with  
375 previously reported studies regarding the stability of properly prepared HMS stabilized  
376 emulsions.

### 377 3.3 Effect of freeze drying

378 Through the freeze-drying procedure, dried powders containing 80 wt% oil and 10 wt% HMS  
379 were prepared. The other 10% of the powder consisted of retained buffer salt and  $3.02\% \pm$   
380  $0.74\%$  moisture, with water activity of  $0.087 \pm 0.035$ . The non-sticky, white-in-colour dried

381 emulsion had a flaky texture, and became powdery once broken gently. The droplet size  
382 distributions of both the fresh emulsion, as well as emulsions reconstituted straight after  
383 drying are shown in Fig. 4. For the latter sample, the particle size was determined both  
384 immediately after reconstitution (D0.0) and 14 days post rehydration (D0.14). Comparing the  
385 fresh and D0.0 samples, the value of  $d_{43}$  is seen to have changed four fold from 0.50  $\mu\text{m}$  to  
386 almost 2  $\mu\text{m}$ . The distribution has also widened and a second peak appeared at approximately  
387 10  $\mu\text{m}$  as a result of the drying process. Upon either ultrasonication or addition of SDS, the  
388 second peak was reduced and the first peak increased in its height (data not shown). This  
389 indicates that the appearance of the second peak was mainly due to aggregation of droplets  
390 either during drying or at the point of rehydration. However, once reconstituted, the average  
391 droplet size and its distribution did not change substantially over the next 14 days (Fig. 4,  
392 D0.0 and D0.14). It is worth noting that even though sub-micron droplet size was lost after  
393 freeze-drying, the reconstituted 2  $\mu\text{m}$  droplets are still reasonably fine and considerably  
394 smaller than HMS granular-based Pickering emulsions (Yusoff & Murray, 2011). Coupled  
395 with their excellent stability after rehydration, this should make them of useful practical  
396 interest in many potential applications.

397 During the freezing step, formation of ice crystals may have started to destabilise the  
398 emulsion droplets by limiting their spatial arrangements, by increasing the local electrolyte  
399 concentration in the none frozen regions, and possibly also by penetrating the adsorbed layer  
400 of emulsifier, as discussed extensively in previous studies (Marefati et al., 2013; Mun et al.,  
401 2008; Zhu, Zhang, Lin, & Tang, 2017). The freezing point of sunflower oil is -17 °C, but  
402 homogenized oil droplets in 300 nm size range are expected to have a very high degree of  
403 supercooling, (Cramp, Docking, Ghosh, & Coupland, 2004; Elwell, Roberts, & Coupland,  
404 2004). Despite this, the freezing temperature of -30 °C was sufficiently low for fat  
405 crystallization to take place, as the crystallization temperature of freeze-dried emulsion

406 powder was determined here to be  $-24\text{ }^{\circ}\text{C}$  by DSC (See Supplementary Material S2). The  
407 lipid crystals penetrating the adsorbed interfacial layers would cause some desorption of the  
408 HMS. This in turn could cause further disruption to the provision of steric repulsion forces  
409 (Cramp et al., 2004; Marefati et al., 2013). When the drying phase of the freeze-dry cycle is  
410 initiated at a temperature of  $-10\text{ }^{\circ}\text{C}$ , oil crystals would start to melt. As drying proceeds, there  
411 is an increasing reduction in the volume of the free aqueous phase. Despite this, the bulky  
412 hydrophilic parts of HMS, responsible for provision of strong steric forces, could still  
413 physically provide some protection against total coalescence (Donsi, Wang, & Huang, 2011),  
414 though not necessarily against aggregation of droplets anymore. With a compromised  
415 adsorbed layer of HMS, and the combination of competing factors discussed above, some  
416 degree of aggregation and even partial coalescence may well be expected (Cramp et al.,  
417 2004). After all, the adsorption of HMS occurred at an oil-water interface during preparation  
418 of the original emulsion. In contrast, after drying, the interface is essentially one between the  
419 starch in the matrix and the oil phase. The adsorption behaviour of HMS molecules is not  
420 necessarily expected to be the same at these two rather different interfaces. The degree of  
421 aggregation (and possibly partial coalescence) proceeded further once rehydration happened  
422 and the droplets became more mobile again. We believe this is the main cause of the increase  
423 in droplet size and the wider size distribution seen for dried and then immediately rehydrated  
424 emulsions, when they are compared to fresh ones (Fig. 4).

425 Fig. 5 shows the SEM images of the above freeze-dried emulsion, at several levels of  
426 magnification. The irregular flaky structure can be observed in Fig. 5A, with internal porous  
427 structures evident on the breaking sites of the flakes. This is typical of freeze-dried materials  
428 (Laine, Kylli, Heinonen, & Jouppila, 2008; Sousdaleff et al., 2013). Reconstituted droplet  
429 size reduction upon ultrasonication or addition of SDS suggests aggregation, and this is  
430 supported by the fact that only a very small number of larger droplets can be observed in

431 SEM micrographs. However, coalescence cannot be ruled out completely, as the arrows in  
432 Fig. 5B indicate, there is possible evidence for partial coalescence of oil droplets. Despite  
433 these, as seen in Fig. 5C, many oil droplets have survived the drying process, and retained  
434 their submicron droplet size. Considering that the dry powder consisted of 80 wt% oil and  
435 only 10 wt% HMS, the spherical entities observed are very likely oil droplets, as there would  
436 not be enough HMS to form so many particulate entities, even in the extreme case where all  
437 HMS was to desorb from the surface of droplets.

#### 438 3.4 Storage condition and its effect on reconstituted emulsions

439 By freeze-drying samples under the same conditions and carefully characterising them both  
440 before and after the process, it is ensured that the influence of freeze-drying on HMS  
441 stabilized emulsions would be the same for all samples. Thus, the only variables remaining  
442 are the powder storage conditions and the duration of the dry storage before rehydration.  
443 SEM images were taken of powders, after varying periods of storage (ranging from 0 to 21  
444 days) and for all of the different powder storage conditions considered in this work. No  
445 obvious differences were observed between the powders, with all of them being visually  
446 similar to D0.0 shown in Fig. 5 (See Supplementary Material S3). In particular the sizes of  
447 droplets in various powders seem approximately the same in all of the SEM micrographs.  
448 Thus, any differences in the behavior of reconstituted emulsions are not simply due to the  
449 variation of droplet size caused during the powder storage period. However, this does not  
450 mean that the adsorption behavior of HMS remains the same. For example, HMS may get  
451 desorbed from the surface to varying degrees, depending on the length and conditions of  
452 powder storage. However, these differences cannot fully manifest themselves as changes in  
453 the size of droplets, due to lack of aggregation resulting from very low mobility of droplets in  
454 all powders. As noted, when powders are rehydrated and droplets become mobile once again,  
455 these differences result in quite dissimilar reconstituted droplet sizes.

456 In Fig. 6, the normalised droplet size, defined as the ratio of droplet sizes between emulsions  
457 reconstituted after a powder storage time of  $t_f$  and those reconstituted straight after freeze-  
458 drying (sample D0.0), is plotted as a function of powder storage period. Fig. 6A compares  
459 samples R, HRH and LRH to examine the impact of relative humidity during powder storage,  
460 and Fig. 6B compares samples R, F, L, VL to examine that of powder storage temperature.  
461 The results demonstrate that all dry powders, with the exception of VL, gave reconstituted  
462 emulsion that became more coarse with longer powder storage times,  $t_f$ . In contrast, for VL  
463 samples, the reconstituted droplet size seemed to remain relatively stable irrespective of  $t_f$ .  
464 The Pearson correlation analysis (Table 1) confirms that in all samples but VL, the  
465 reconstituted droplet size was significantly ( $p < 0.05$ ) correlated to the period of powder  
466 storage,  $t_f$ . These differences imply that any possible destabilization occurring during  
467 reconstitution was sensitive to the period of powder storage, even though visually no major  
468 differences between powders may be seen prior to rehydration.

469 It is interesting to note that in all reconstituted emulsions no creaming was visible. Similar to  
470 D0.0, all other samples showed no additional instability during a further 14 days of  
471 observation after rehydration ( $t_s$ ) (Fig. 7), albeit having produced quite different emulsion  
472 sizes when initially reconstituted. In particular, for VL samples freeze-dried under the  
473 aforementioned processing condition, with the dry powder stored at  $-30 \pm 1$  °C and sealed for  
474 up to 3 weeks, the reconstituted droplets maintained their stability with an average droplet  
475 size of less than 2  $\mu\text{m}$ , for at least 2 further weeks. The ability to keep dried emulsion  
476 powders for 3 weeks and then produce such stable fine emulsions simply by rehydration, is a  
477 particularly important first step in designing truly reconstitutable submicron emulsion  
478 systems, capable of transportation and storage as dry powders, for long periods of time.

## 479 3.4.1 Effect of relative humidity during storage of powder

480 The impact of relative humidity was examined by comparing HRH, LRH and R, as shown in  
481 Fig. 6A. In these three conditions, all powders were stored at the same temperature of 20 °C.  
482 The powder R was sealed at room humidity to prevent any further moisture exchange  
483 subsequently. In LRH, the environment of 2% RH suppressed moisture uptake of the powder.  
484 On the other hand, in HRH case, where moisture exchange with an environment having 72%  
485 RH was allowed, the powders rehydrated slowly during storage by absorbing moisture from  
486 the surrounding air. These were evidenced by a small variation in weight (See Supplementary  
487 Material S4) and more prominently a noticeable change in the texture of the powders. Both R  
488 and LRH powders stayed relatively dry until reconstitution, where they were rehydrated  
489 quickly.

490 Even though several authors reported higher degree of agglomeration with higher RH during  
491 powder storage in encapsulation studies of anthocyanins (Alvarez Gaona, Bater, Zamora, &  
492 Chirife, 2018; Garcia-Tejeda, Salinas-Moreno, Barrera-Figueroa, & Martinez-Bustos, 2018),  
493 as seen from Fig. 6A, the slopes of the linear regression lines for HRH and LRH (0.2066 and  
494 0.2049 respectively) are comparable. This shows that the rates of change in reconstituted  
495 droplet size with respect to powder storage time were equivalent for HRH and LRH samples,  
496 despite the difference in relative humidity of their powder storage conditions. Therefore,  
497 relative humidity during powder storage did not have a strong impact on reconstituted droplet  
498 size. The relatively large standard deviation between triplicate samples prepared under same  
499 condition, for both HRH and LRH cases, indicates high level of emulsion breakdown and  
500 instability as the powders were rehydrated. The rather mild impact of humidity may be the  
501 result of hydrophobic modification of starch, which makes the affinity of matrices consisting  
502 of HMS for the uptake of water lower than those of unmodified starch.

503 When Fig. 6A is contrasted to Fig. 6B, it is clear that humidity at best played only a minor  
504 role, at the studied temperature range. The effect of humidity, being a less significant and  
505 more subtle parameter, should be looked at in more detail for a wider range of storage  
506 temperatures, but this was beyond the scope of the current preliminary study. The more  
507 prominent factor, temperature, will be discussed in the next section.

#### 508 3.4.2 Effect of temperature during storage of powder

509 After storage under various temperatures, moisture content of powders increased slightly,  
510 varying from 3.39% to 5.13% (See Supplementary Material 5), but otherwise remained low.  
511 During freeze drying, the adsorbed layer of HMS covering the surface of oil droplets will  
512 tend to be disrupted to some extent by the crystalline structure of oil (Cramp et al., 2004;  
513 Marefati et al., 2013). This is likely due to the much altered nature of the interface between  
514 the dispersion medium and dispersed phase, if one or both solidify. Once the dried powders  
515 are removed from the freeze-dryer, the temperature of the subsequent storage determines the  
516 morphology and kinetic mobility of the constituents of the system. Compared to room  
517 temperature storage conditions (sample R), it is obvious that the sensitivity of change in  
518 droplet size to powder storage time,  $t_f$ , is reduced with lowering temperature (Fig. 6B).  
519 Emulsions formed by rehydration of the powder, stored at  $-30\text{ }^\circ\text{C}$ , showed no variation with  
520 the duration of powder storage. The beneficial effect of lower temperature might be due to a  
521 lower kinetic and reduced mobility, and therefore a slowing down of aggregation (Su, Guo,  
522 Mao, Gao, & Yuan, 2018). Nevertheless, the important point here is that this reduction in  
523 mobility does not only refer to the Brownian motion of droplets, as for example occurs when  
524 the continuous phase becomes a gel, as also seen in other type of applications. For all of the  
525 sufficiently dried and sealed powders, at any storage temperature, the mobility of droplets is  
526 expected to be very small. Thus, the reduction in mobility with temperature that we refer to  
527 here, is that which also includes the movement of actual oil molecules themselves, as well as

528 desorption kinetics of HMS from the surface. This suggests that processes such as Ostwald  
529 ripening may have a bearing on destabilisation of droplets when in the dry powder form. On  
530 one hand, the larger significance of Ostwald ripening in the dry system seems reasonable,  
531 given that in such samples the oil volume fraction is approaching 80%. This is a very  
532 concentrated emulsion system, indeed. But on the other hand, the solid (glassy) nature of the  
533 dispersion medium should resist and slow down any shrinkage of droplets, unless if HMS can  
534 only form rather weak and mechanically fragile matrices prone to rearrangement between  
535 droplets. In any case, the absence of obvious visual signs of change in the size of droplets,  
536 between powders stored at different temperatures (See Supplementary Material S3) prior to  
537 rehydration, seems to rule out Ostwald ripening being the main contributor for the formation  
538 of more coarse droplets observed upon rehydration. Thus, the exact mechanism through  
539 which the mobility of oil molecules in the dry powder contributes to this observed coarsening  
540 of droplets, is more likely to be desorption of HMS from the surface of droplets and/or some  
541 degree of arrested partial coalescence, as was alluded to above.

542 To better understand how components of the dry powders were affected by low temperatures,  
543 oil crystallization and glass transition were also considered and characterised. Samples of  
544 powders stored for 20 days at  $-30\text{ }^{\circ}\text{C}$  and  $4\text{ }^{\circ}\text{C}$ , as well as bulk sunflower oil, were scanned  
545 for X-ray diffraction pattern while held at scanning temperatures of  $25\text{ }^{\circ}\text{C}$ ,  $-18\text{ }^{\circ}\text{C}$  and  $-70\text{ }^{\circ}\text{C}$   
546 (Fig. 8). A temperature of  $25\text{ }^{\circ}\text{C}$  is well above the crystallization temperature of bulk  
547 sunflower oil, typically between  $-20$  to  $-17$  ( $-20\text{ }^{\circ}\text{C}$  here as determined by DSC, see  
548 Supplementary Material S2). Therefore, the oil component in the dry powders remained fluid  
549 with no characteristic peak associated with oil crystallisation detectable (Fig. 8A). Dry  
550 emulsion powder stored under  $-30\text{ }^{\circ}\text{C}$  and bulk oil showed no crystallinity, while that stored  
551 at  $4\text{ }^{\circ}\text{C}$  was overall molten with some small peaks. However, these peaks are most certainly  
552 not associated with the oil phase, but seem most likely to be contributed by the buffer salt

553  $\text{Na}_3\text{PO}_4$  in tetragonal structure (standard pattern 04-015-4964). As seen in the patterns  
554 scanned at  $-18\text{ }^\circ\text{C}$  (Fig. 8B), the supercooling effect is quite obvious as bulk sunflower oil  
555 showed distinctive peaks for crystallinity while the emulsion powders still remained molten,  
556 with no such peaks visible. As the scanning temperature was further lowered to  $-70\text{ }^\circ\text{C}$  (Fig.  
557 8C), pronounced peaks from oil crystallization could be observed in all three samples. The  
558 appearance of additional peaks compared to bulk oil scanned at  $-18\text{ }^\circ\text{C}$  indicates a possible  
559 transition from  $\alpha$ -form crystalline to  $\beta'$  structure (Calligaris, Arrighetti, Barba, & Nicoli,  
560 2008). Phase transition involving crystallization was also captured by the thermogram  
561 generated by DSC, involving a temperature scan in the range  $-45\text{ }^\circ\text{C}$  to  $25\text{ }^\circ\text{C}$ . For the three  
562 stored emulsion powders (20 days at  $4\text{ }^\circ\text{C}$ ,  $-18\text{ }^\circ\text{C}$ , and  $-30\text{ }^\circ\text{C}$  respectively) examined by  
563 DSC, the crystallization temperature (during cooling) was approximately  $-24.6\text{ }^\circ\text{C}$  (Fig. 9A),  
564 the melting point (during heating) was  $-27.5\text{ }^\circ\text{C}$  (Fig. 9B), and no glass transition was  
565 identified in the tested temperature range (Floros, Leao, & Narine, 2014). The observation  
566 that all dried emulsion powders, irrespective of their original storage temperature, exhibited  
567 the same degree of supercooling is a reflection of a similar size of the droplets in all these  
568 powders (see Supplementary Material S3). As mentioned previously, oil droplet size in dry  
569 powder did not change during powder storage, and the effect of powder storage temperature  
570 on droplet size only manifested itself once rehydration was performed. As evidenced here,  
571 destabilization upon reconstitution does not seem to be the result of alterations in the oil  
572 phase, but most likely related to desorption and re-adsorption behaviour of HMS in dried  
573 oil/starch matrix, as well as on the reformed O/W interface upon rehydration. Nonetheless,  
574 the fact that the oil droplets in VL powder (powder storage temperature of  $-30\text{ }^\circ\text{C}$ )  
575 crystallised, rather than remaining in molten or amorphous states during their storage, can in  
576 itself have some bearing on the desorption of HMS from the surface of droplets. This  
577 difference may be a possible reason for the superior resistance of VL sample against

578 aggregation/coalescence upon reconstitution, when compared to other powders stored at  
579 higher temperatures, i.e. where droplets remained molten (Bhandari & Howes, 1999). A  
580 study of the processes involving desorption of HMS, as occurring throughout the storage  
581 period within the dry state, is not trivial. This remains an area for future investigation.

582 Normal starch in dry state has an estimated glass transition temperature ( $T_g$ ) no lower than  
583 227 °C (Bhandari & Howes, 1999; Bizot et al., 1997; Orford, Parker, Ring, & Smith, 1989).  
584 Even though the presence of water is known to significantly reduce  $T_g$ , it has been established  
585 that a moisture content as high as 22% is required to lower  $T_g$  of high molecular weight  
586 (> 10 MDa) starch down to room temperature (Bizot et al., 1997). With the amount of  
587 moisture in our powders determined at 3-5%, the  $T_g$  of our HMS is estimated to be above  
588 50 °C, very unlikely to be as low as room temperature let alone below zero degrees (Lim &  
589 Roos, 2018; Liu, P., Yu, Liu, Chen, & Li, 2009; Liu, P. et al., 2010; Mizuno, Mitsuiki, &  
590 Motoki, 1998). Therefore, the HMS matrix in all the emulsion powders studied here are  
591 thought to have remained in glassy state throughout the powder storage period (i.e. the only  
592 differentiating factor between various samples). Hence, the rubber-glass transition is not  
593 considered here as a process playing a significant role in altering the colloidal stability  
594 behaviour of different samples, seen post rehydration. Had for example the matrix consisted  
595 of a low molecular weight hydrocarbon (e.g. maltodextrin) instead, then clearly this could  
596 have been a very different proposition.

597 Interestingly, in Fig. 6B where the droplet sizes of reconstituted emulsions are plotted against  
598 powder storage time  $t_f$ , three different regimes can be identified for R and F samples. There is  
599 a short period of initial plateau, where droplet size did not seem to change much with  $t_f$ .  
600 When powder storage period exceeded 2 days, the average rehydrated droplet size became  
601 larger and showed higher sensitivity to  $t_f$ . A second plateau was reached when the powders  
602 were stored for 8 days or more before reconstitution, where the droplet size showed no

603 further changes with increasing  $t_f$  once again. It is possible that dry powders stored at  $-18\text{ }^\circ\text{C}$   
604 (i.e. sample L) actually followed the same pattern of rehydrated size variation with  $t_f$  as the R  
605 and F samples. However, in this case the second regime, in which large variation of  
606 reconstituted droplet size was observed, was considerably delayed due to the prolonged first  
607 plateau regime (no droplet size change with  $t_f$ ). In other words, had our observation time been  
608 far longer, samples for all three storage temperatures that were higher than crystallization  
609 temperature of the oil phase, would have resulted in the same pattern of droplet size change  
610 with the duration of the powder storage period prior to rehydration. This delaying effect with  
611 lower storage temperature is quite clear from Fig. 10, which shows the change in  
612 reconstituted droplet size distribution at different  $t_f$ . Similar effect of different storage  
613 temperatures on the deterioration of freeze-dried entities was found in the microcapsules  
614 produced by Malacrida, Ferreira, Zuanon, and Nicoletti Telis (2015), but their focus was on  
615 retention percentage of encapsulated material rather than reconstituted droplet size, unlike  
616 that here.

#### 617 3.4.3 Long-term stability of reconstituted emulsion from dry stored powders

618 It was observed that the powders stored at room temperature (samples R) developed a weak  
619 gel-like texture subsequent to post reconstitution storage. These seem similar in appearance  
620 to those often encountered in the presence of weak attractive depletion interactions between  
621 droplets. The structure was found to break down easily with gentle hand shaking. The  
622 samples were subjected to rheological measurements, but even at the very lowest shear rates,  
623 the gel was already too fragile and had sufficiently broken down to show any pronounced  
624 rheological characteristics. Presumably, as was discussed before, some HMS molecules  
625 desorbed from the interface during freeze-drying (and possibly powder storage period). Upon  
626 reconstitution the free HMS did not re-adsorb quickly enough, if at all, back onto the surface  
627 of the droplets. Presence of a small amount of free starch in solution could lead to depletion

628 flocculation of oil droplets. The effect was disrupted by much higher dilution and the gentle  
629 shear that droplets suffer in the Mastersizer 3000. The weak flocs took a short period to  
630 separate. This manifests itself as an initial evolution of droplet size distribution in the  
631 Mastersizer over a period of 10 minutes or so, reaching a steady final value after that period.  
632 Interestingly a similar result was reported by Farshchi et al. (2013) with regards to a delay in  
633 complete break up of depletion flocculated aggregates occurring during similar  
634 measurements, though in their case the droplets were stabilised by soy bean protein.

635 Figure 11 shows Cryo-SEM images on reconstituted emulsions F11.87 and VL11.153, in  
636 which flocculation was suspected despite the lack of creaming. These samples were  
637 rehydrated and then flash frozen before imaging, as described in the method section. Like all  
638 other samples, in these two cases the droplet size remained fairly constant once samples were  
639 reconstituted (6.7  $\mu\text{m}$  for F11.87 vs 3.91  $\mu\text{m}$  for F11.0, and 1.8  $\mu\text{m}$  for VL11.153 vs 1.18  $\mu\text{m}$   
640 for VL11.0). Occasionally, single droplets of a size over 10  $\mu\text{m}$  were detected, but the vast  
641 majority of droplets were only visible under high magnification, as shown in Figure 11.

642 In Fig. 11A, sample VL11.87 (with powder storage temperature of  $-30\text{ }^{\circ}\text{C}$ ) showed a uniform  
643 spatial distribution of droplet positions, having typical sizes of 1  $\mu\text{m}$ . Some of the droplets  
644 aligned along the ridges, which was the result of getting pushed together by flash freezing of  
645 water. On the other hand, in Fig. 11B for powder storage of  $4\text{ }^{\circ}\text{C}$  samples (samples F), it can  
646 be seen that a large cluster ( $\sim 6\text{ }\mu\text{m}$ ) was formed by aggregation of small droplets, with sizes  
647 less than 1  $\mu\text{m}$ . Similar clusters were also seen in all the other F samples. Again, this seems to  
648 indicate some degree of flocculation in such cases. It is interesting that the droplets in these  
649 large flocs remained intact as individual droplets, rather than coalescing into bigger ones.  
650 This was the case even for our samples after a long period of time, i.e. 153 days post  
651 rehydration. The molecularly adsorbed layer of HMS must still be mostly intact, as indicated  
652 by the clear edges around each droplet within the flocs. Presumably the thick HMS layer still

653 could act as a physical barrier preventing extensive coalescence, even after freeze-drying,  
654 long period of powder storage, and many days post reconstitution. In Fig. 11C, we show one  
655 interesting rare droplet with an approximate size of 8  $\mu\text{m}$ . On the surface of this large droplet,  
656 there is a small region that does not seem to be fully covered, and in this loosely packed area,  
657 particle-like material can be observed. One could speculate that the surface of this droplet  
658 was covered by aggregated HMS particles, judging by the non-spherical shape of the small  
659 particulates. Presence of these droplets, though quite rare, could indicate that a very small  
660 amount of HMS may not have been completely dissolved, remaining in residual particulate  
661 form. These in principle can adsorb onto the surface of droplets and lead to the formation of  
662 Pickering droplets. Alternatively, some of the molecularly adsorbed HMS on the surface of  
663 droplets may be reverting back to form particle aggregates during freeze-drying or in the  
664 subsequent storage period. In any case, the observation of these types of large droplets was  
665 too rare to allow us to perform a more systematic detailed examination, or for it to have any  
666 significant practical implications.

#### 667 **4. Conclusions**

668 Extensive attention has been paid to encapsulation in studies involving drying of emulsions.  
669 In contrast, long-term stability of rehydrated emulsions has rarely been considered, either as  
670 part of such microencapsulation studies or other research involving drying of emulsions.  
671 Similarly, the effect of powder storage conditions on the degree of entrapment of numerous  
672 active ingredients, their retention during dry storage and their protection against possible  
673 oxidation have been widely researched. Once again, far less research has involved the impact  
674 of powder storage on colloidal stability of the reconstituted emulsions. In the present study,  
675 we have shown that powder storage temperature plays a major role on the size and emulsion  
676 stability of droplets that are obtained after rehydration of the dried powder. This most likely  
677 is resulted from the limited diffusion of oil molecules, since the oil phase was found to be in

678 crystalline form at temperatures below  $\sim -24^{\circ}\text{C}$ , showing some degree of supercooling as  
679 may be expected. The relative humidity on the other hand plays a rather minor role. This is  
680 perhaps due to hydrophobic modification of starch, somewhat reducing its affinity for uptake  
681 of moisture. Flocculation was observed in rehydrated emulsions, for samples reconstituted  
682 from powders stored at higher storage temperatures. However, extensive coalescence and  
683 emulsion breakdown were absent once the powder was rehydrated back to an emulsion. It is  
684 shown that during the powder storage period, oil droplets in the emulsion powder were not  
685 altered in morphology or size. Future studies should focus on characterising the HMS  
686 adsorbed layers on the surface of droplets within the dried powders. In particular,  
687 desorption/re-adsorption behaviour of HMS, as affected by powder storage temperature,  
688 should be investigated. We note that a study of such processes, occurring on the surface of  
689 droplets while in the dry form, remains quite challenging. Nonetheless, we have  
690 demonstrated in the current work that dry reconstitutable emulsions can be made and stored  
691 for considerable periods of time (more than 3 weeks), where upon simple rehydration (i.e. not  
692 needing any additional re-homogenisation), colloidal stable droplets of size  $< 2\ \mu\text{m}$  were  
693 achieved (stable for more than 100 days after reconstitution). The result can have major  
694 commercial potential such as the possibility of the mass production of the dried emulsion  
695 powders in one central site, shipment of the powder to other locations, and storage and  
696 rehydration of these as and when required. However, with further studies on optimising the  
697 relevant drying and storage conditions mentioned above, we believe that the realisation of  
698 even smaller, eventually stable sub-micron reconstitutable emulsions, formed by gentle  
699 rehydration of already dried emulsions, is a viable proposition.

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702 **References**

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921

922 **Figure Captions**

923 **Fig. 1.**  $[(\eta/\eta_0) - 1]$  plotted as a function of the HMS concentration for starch  
924 solutions before and after homogenization, where  $\eta$  and  $\eta_0$  are the  
925 apparent viscosity of the solution and of the pure solvent, respectively.  
926 The slopes of the best-fit lines in each case provide the corresponding  
927 intrinsic viscosities of the HMS solutions.

928 **Fig. 2A.** Normalised viscosity (at a shear rate of  $2 \text{ s}^{-1}$ ) of 20 wt% O/W HMS  
929 stabilised emulsions with different HMS concentrations (wt%).

930 **Fig. 2B.** Apparent viscosity of emulsions plotted as a function of shear rate.  
931 Curves for three different HMS concentrations (wt%), 1% (dotted), 2%  
932 (dashed) and 8% (solid line) are displayed.

933 **Fig. 3.** Apparent viscosity vs shear rate for non-freeze-dried emulsions, on the  
934 day they were made (dashed line) and after 22 days (dotted line). These  
935 emulsions contained 20 wt% oil and 2.5 wt% HMS. The inset shows the  
936 variation of the mean droplet size for non-freeze-dried emulsions over a  
937 period of 28 days.

938 **Fig. 4.** Droplet size distributions for non-freeze-dried emulsions (—), emulsions  
939 reconstituted immediately after freeze drying D0.0 (---), and the latter  
940 sample 14 days post rehydration D0.14 (....).

- 941 **Fig. 5.** SEM images of freeze-dried emulsion powders that underwent no powder  
942 storage (i.e. straight after drying). Arrows indicate some possible evidence  
943 for partial coalescence. Micrographs are taken at different  
944 magnifications, A) 500 X, B) 2.50K X, C) 30.00K X.
- 945 **Fig. 6.** Normalised average reconstituted droplet size plotted versus the powder  
946 storage period ( $t_f$ ). All measurements were performed immediately after  
947 rehydration ( $t_s=0$ ). Normalised droplet sizes are obtained as the ratio of  
948 droplet sizes between emulsions reconstituted after a powder storage time  
949 of  $t_f$  and those reconstituted straight away after freeze-drying (sample  
950 D0.0). Error bars represent calculated standard deviation. Linear  
951 regression lines are shown with equations and  $R^2$  values. (A) Effect of  
952 relative humidity with samples that underwent powder storage with high  
953 (HRH), low (LRH), and typical room (R), humidity conditions. (B) Effect  
954 of temperature with samples that underwent powder storage at room  
955 temperature (R), 4 °C (F), -18 °C (L), and -30 °C (VL).
- 956 **Fig. 7.** Assessing the long-term stability of reconstituted emulsions by monitoring  
957  $d_{43}$  ( $\mu\text{m}$ ) for samples VL11. $t_s$  and R11. $t_s$  at different  $t_s$  (days) post  
958 rehydration.
- 959 **Fig. 8** XRD patterns for 20-day stored emulsion powders and bulk sunflower oil,  
960 scanned while holding the samples at A) 25 °C, B) -18 °C, C) -70 °C.

- 961 **Fig. 9** DSC thermograms for freeze-dried powders after 20 days of powder  
962 storage, A) cooling from 25 °C to -45 °C, B) heating from -45 °C to 25 °C.
- 963 **Fig. 10** Droplet size distributions of reconstituted emulsions from powders that  
964 had been stored for 2, 8 and 17 days in conditions VL (-30 °C, sealed), L  
965 (-18 °C, sealed) and R (20 °C, sealed). All the distributions were obtained  
966 shortly after rehydration.
- 967 **Fig. 11** Cryo-SEM micrographs of reconstituted emulsions; A and C were for  
968 samples VL11.153 (i.e. 11 days of powder storage, following by 153 days  
969 post rehydration) while B was for sample F11.87.
- 970 **Table 1** The correlation coefficients and associated p values for Pearson  
971 correlation analysis, on reconstituted droplet sizes vs powder storage  
972 time, showing data size (n) and correlation coefficient (r).

Fig. 1

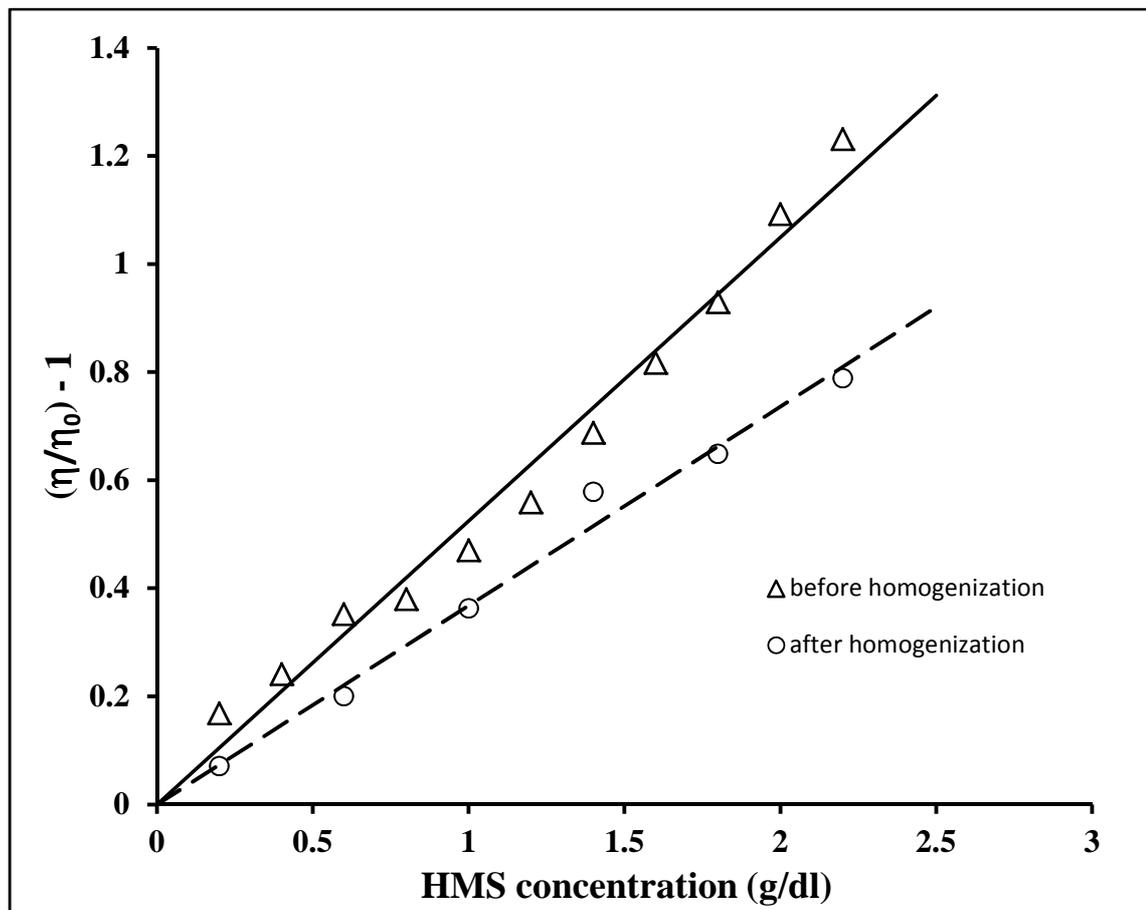


Fig. 2

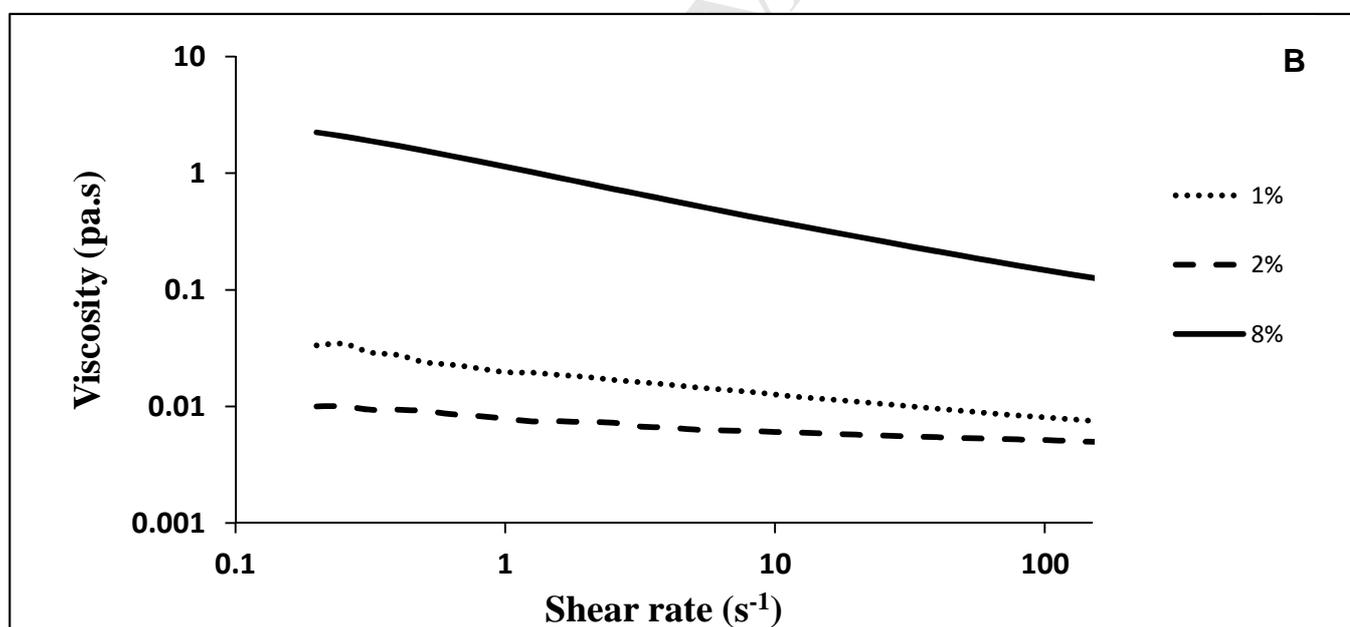
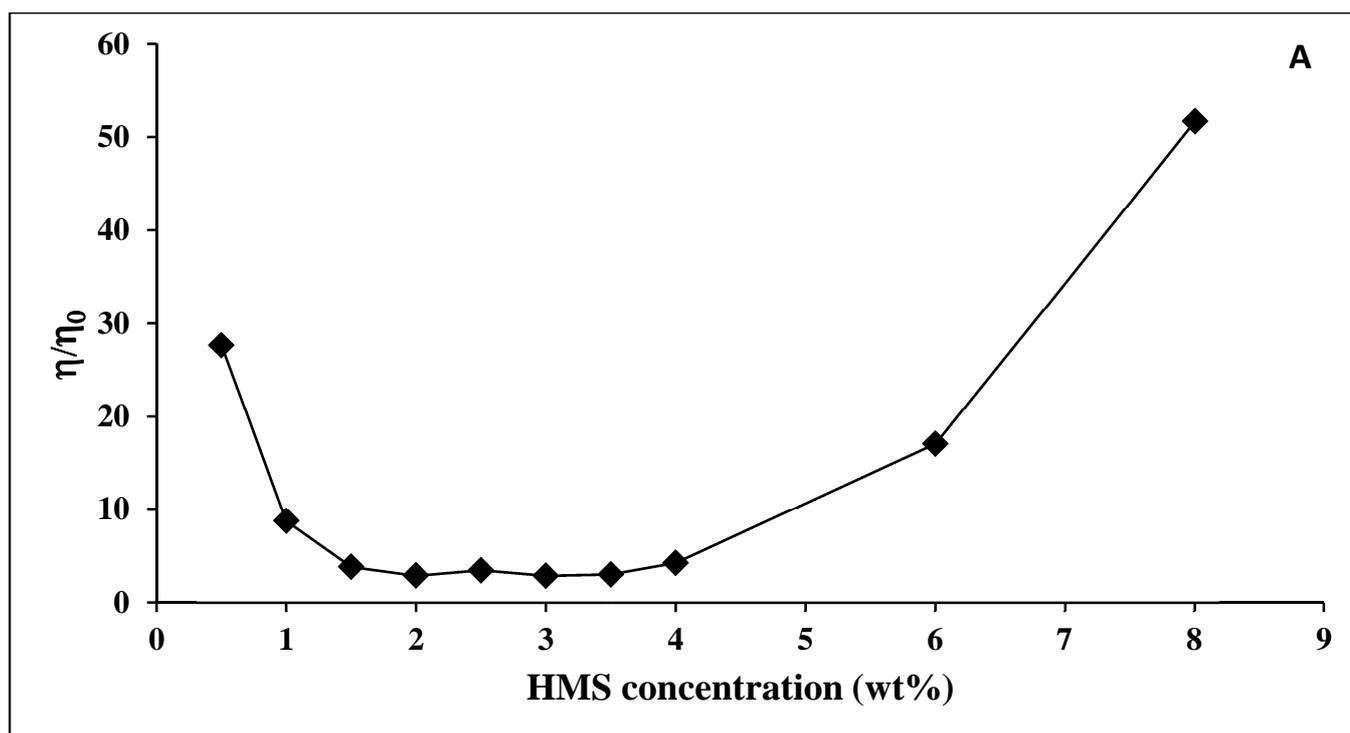


Fig. 3

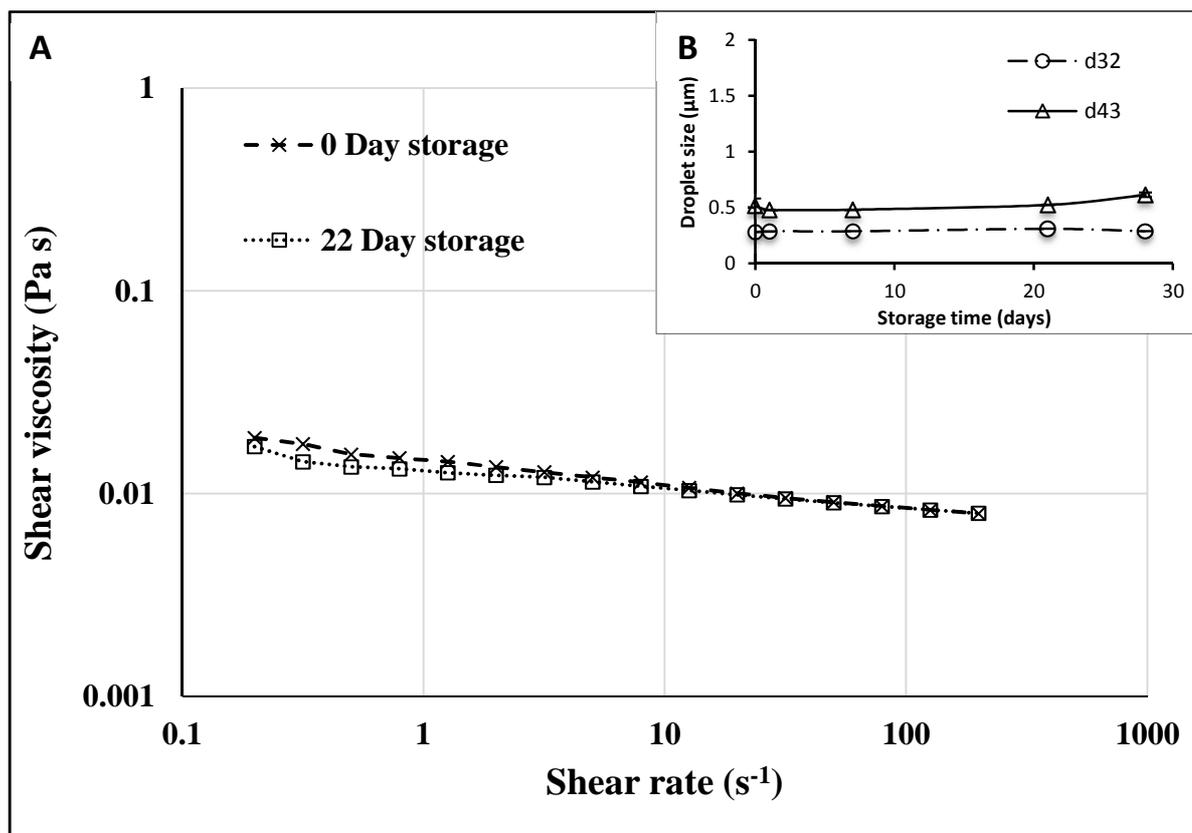


Fig. 4

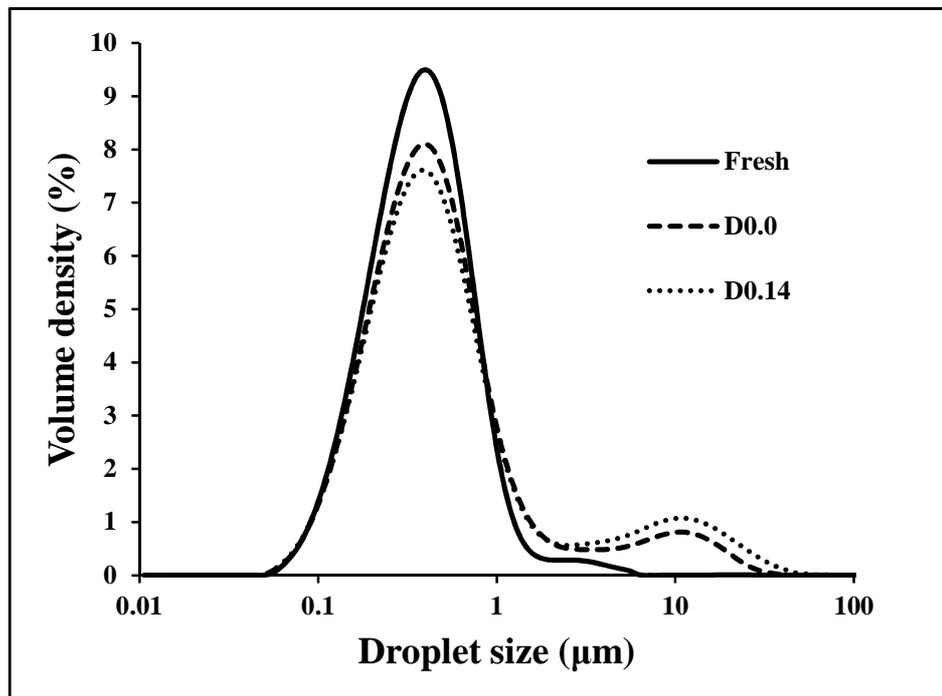


Fig. 5A

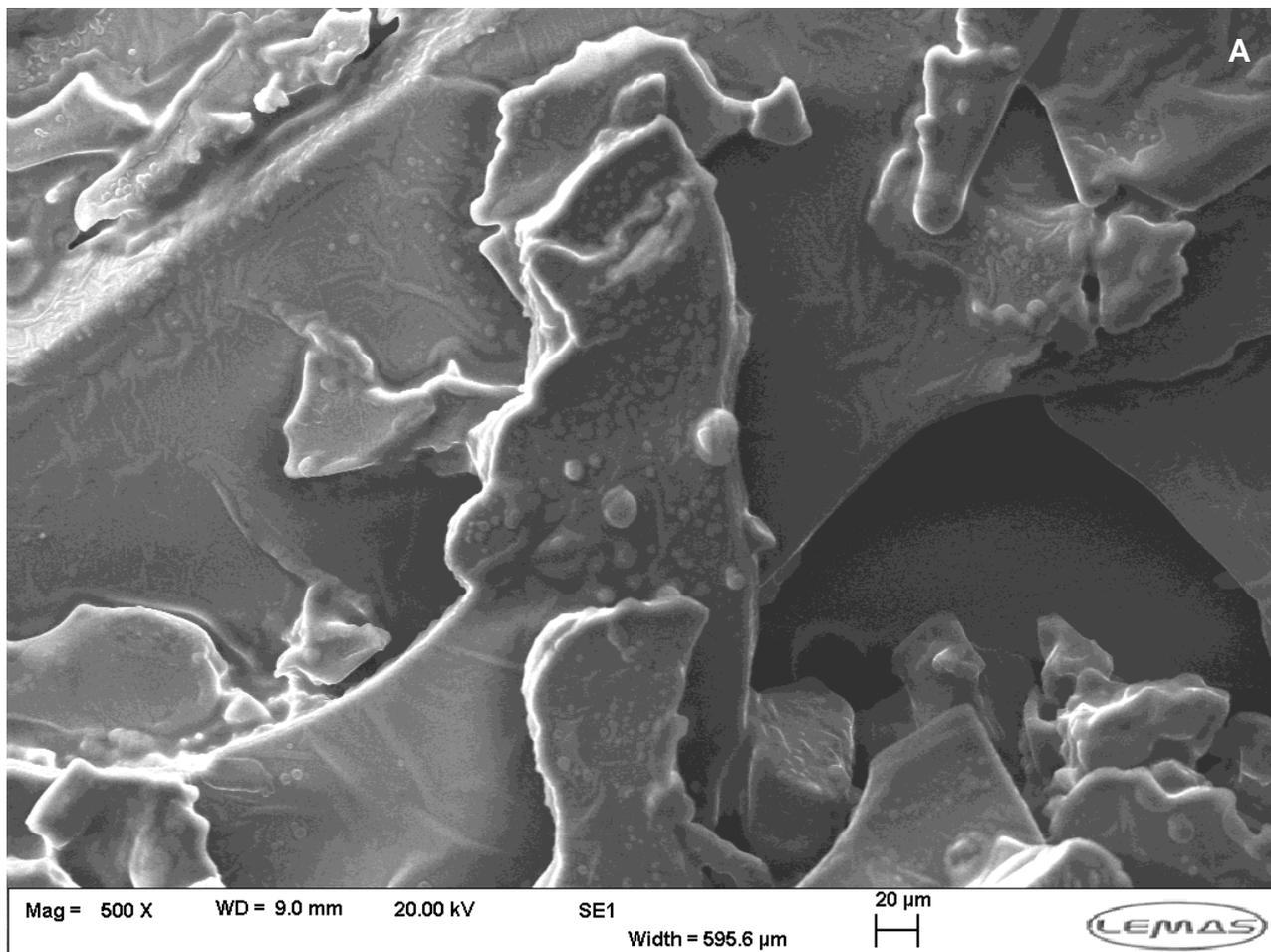


Fig. 5B

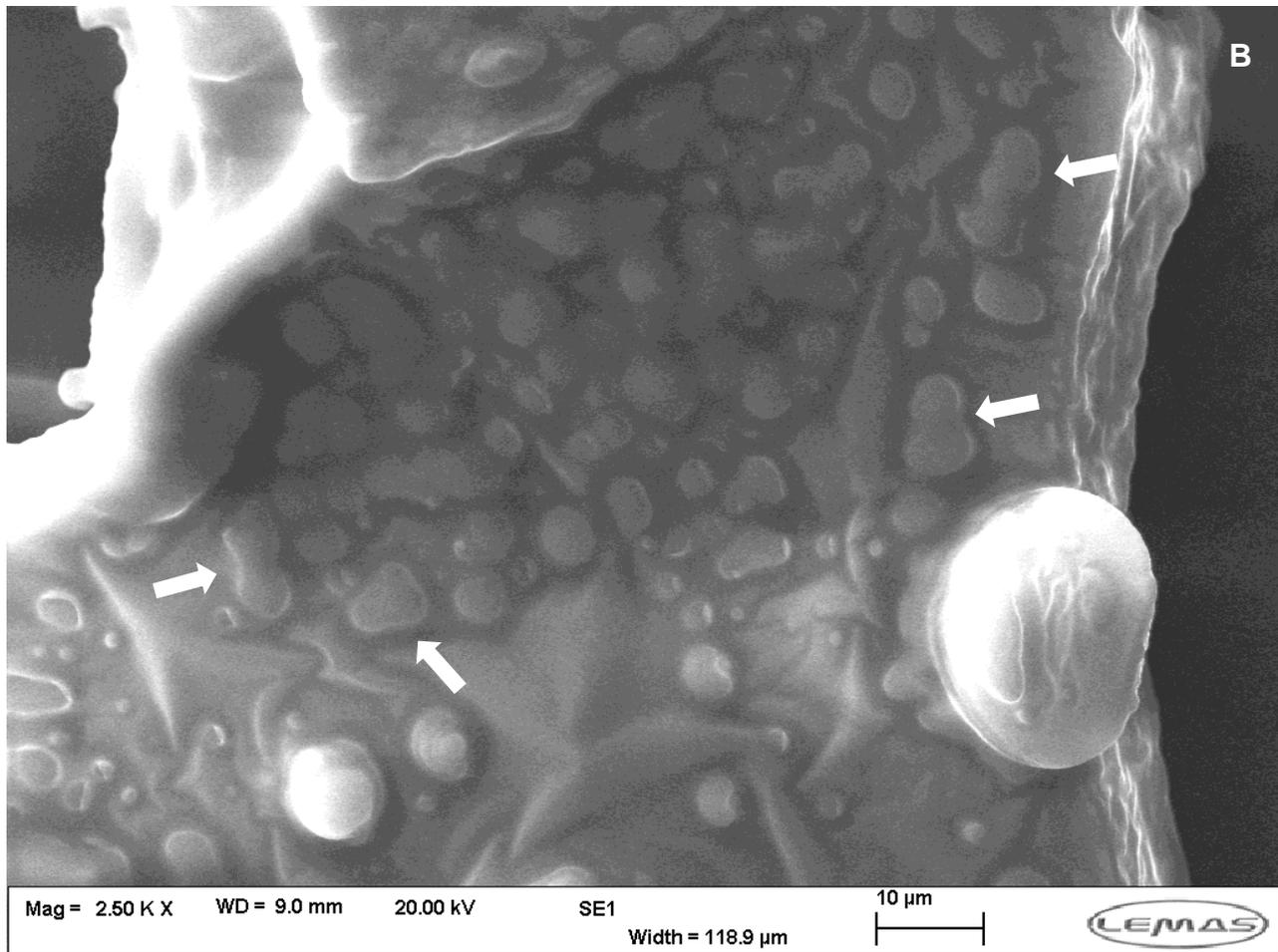


Fig. 5C

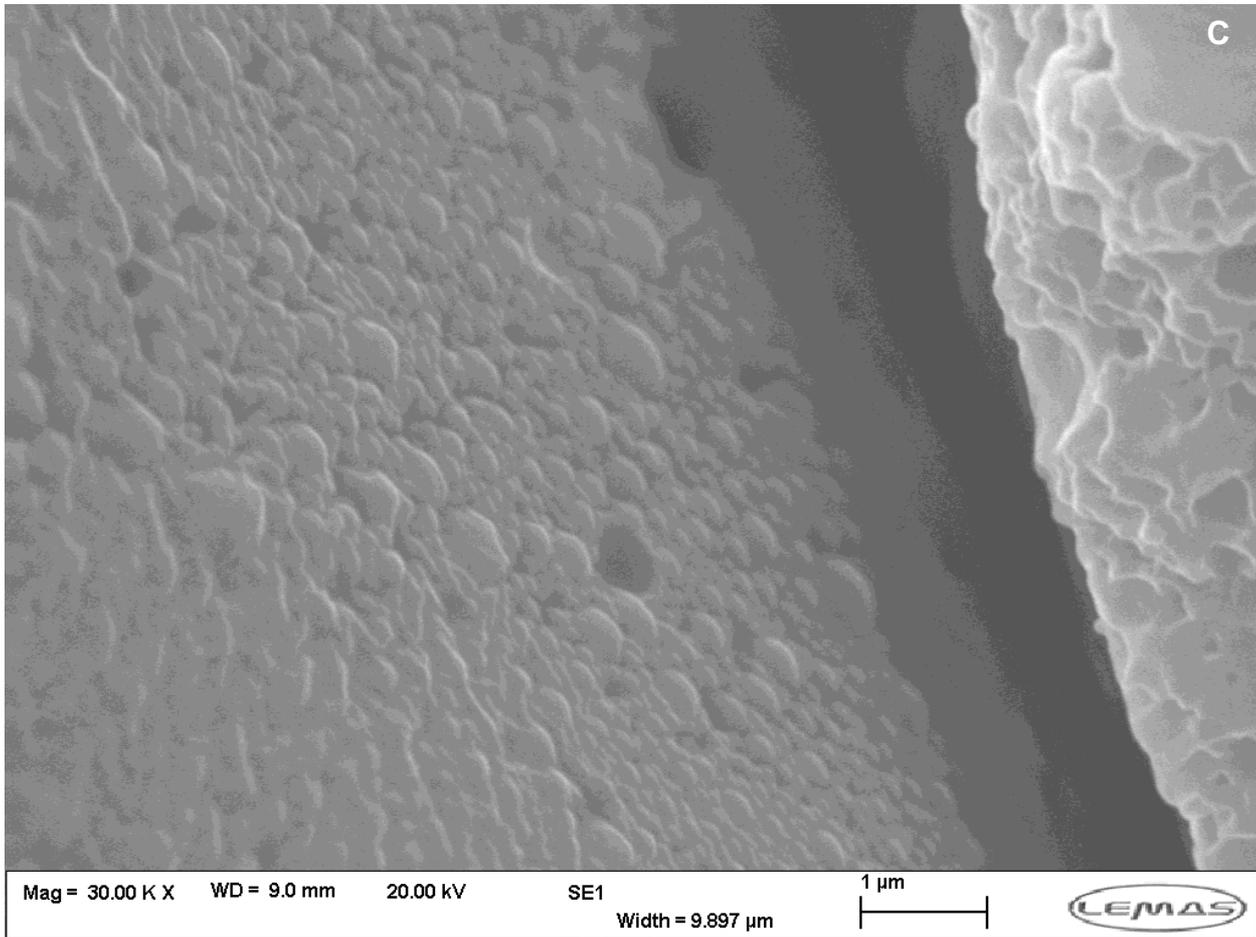


Fig. 6A

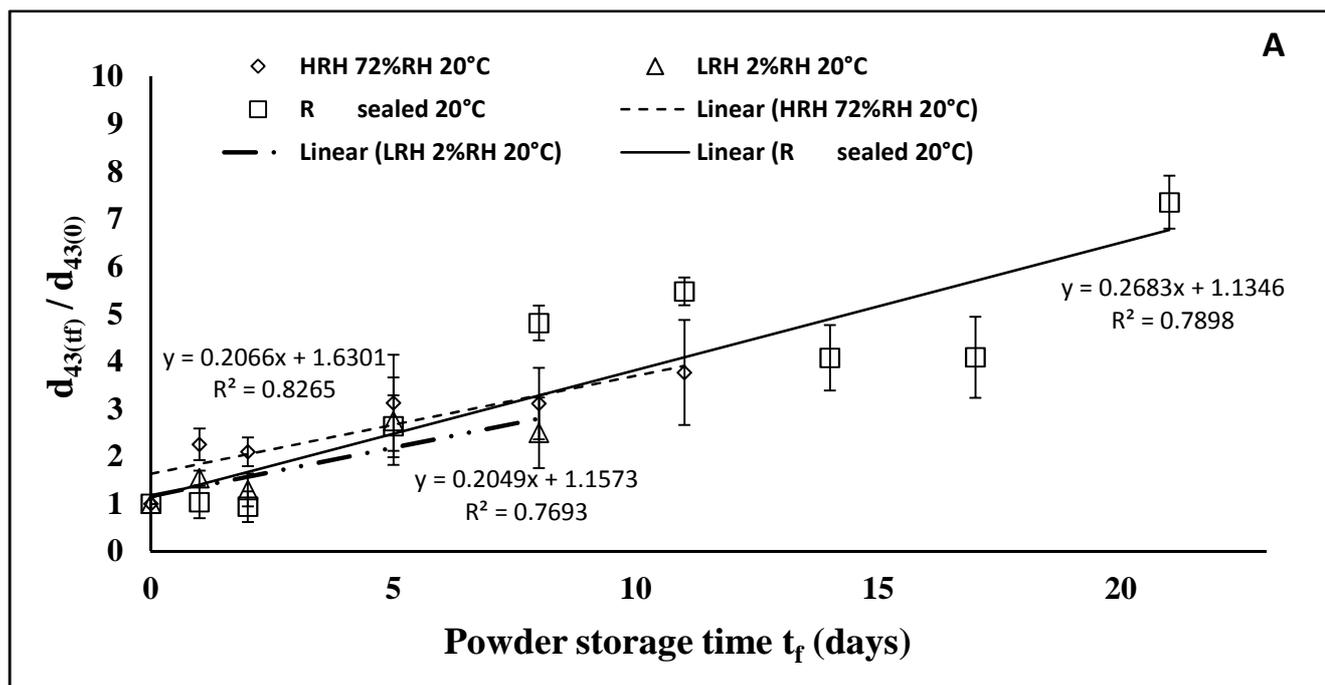


Fig. 6B

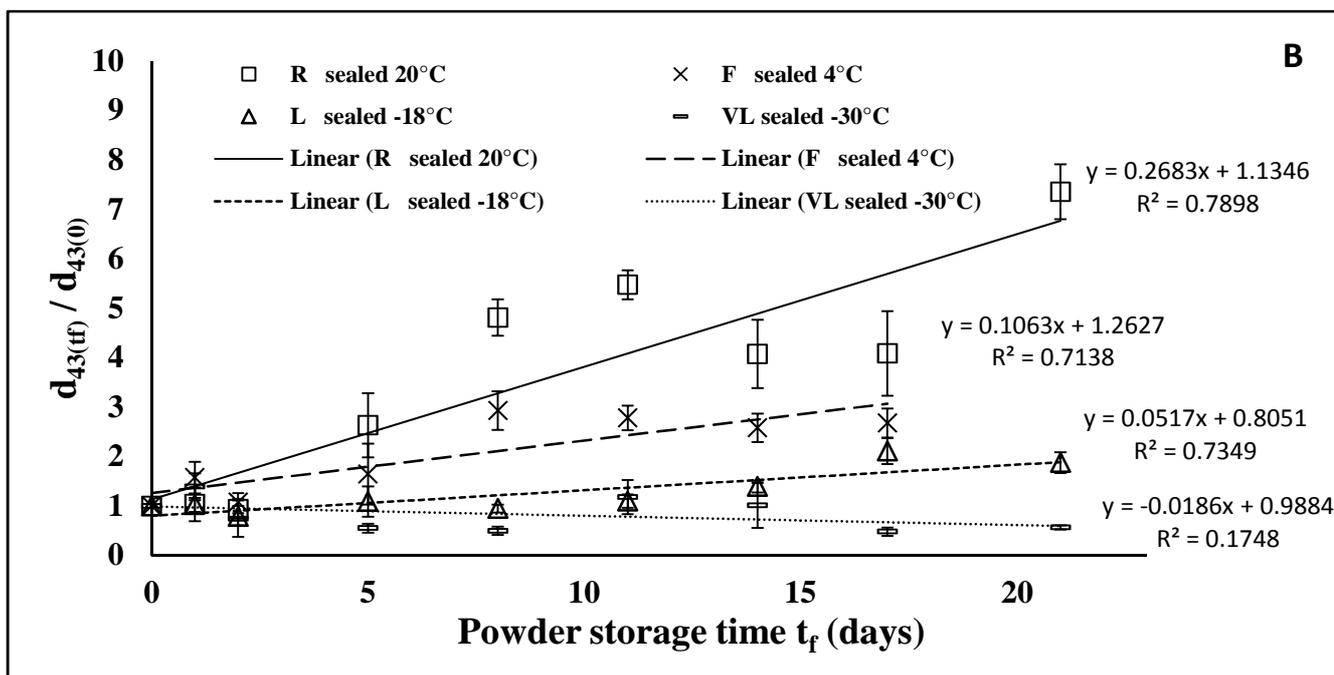


Fig. 7

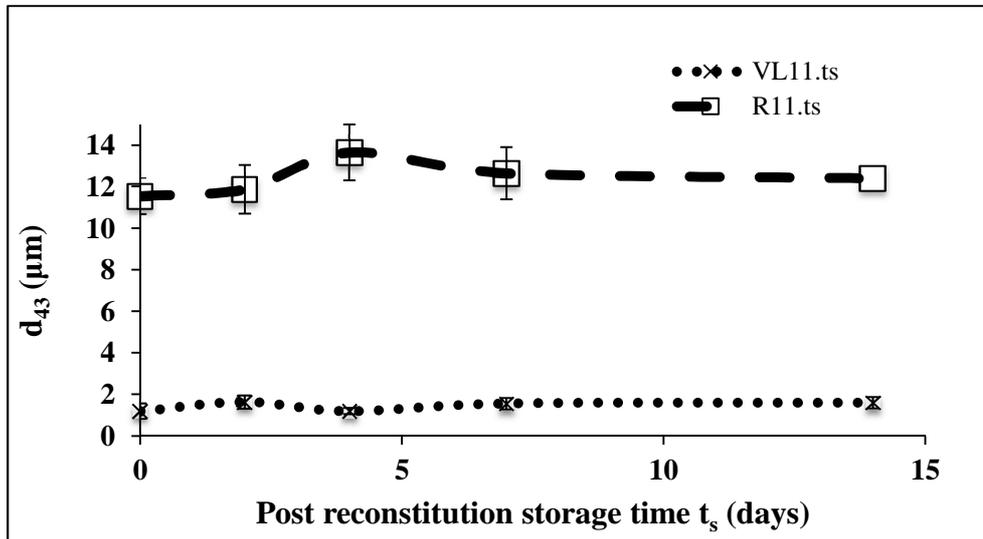


Fig. 8A

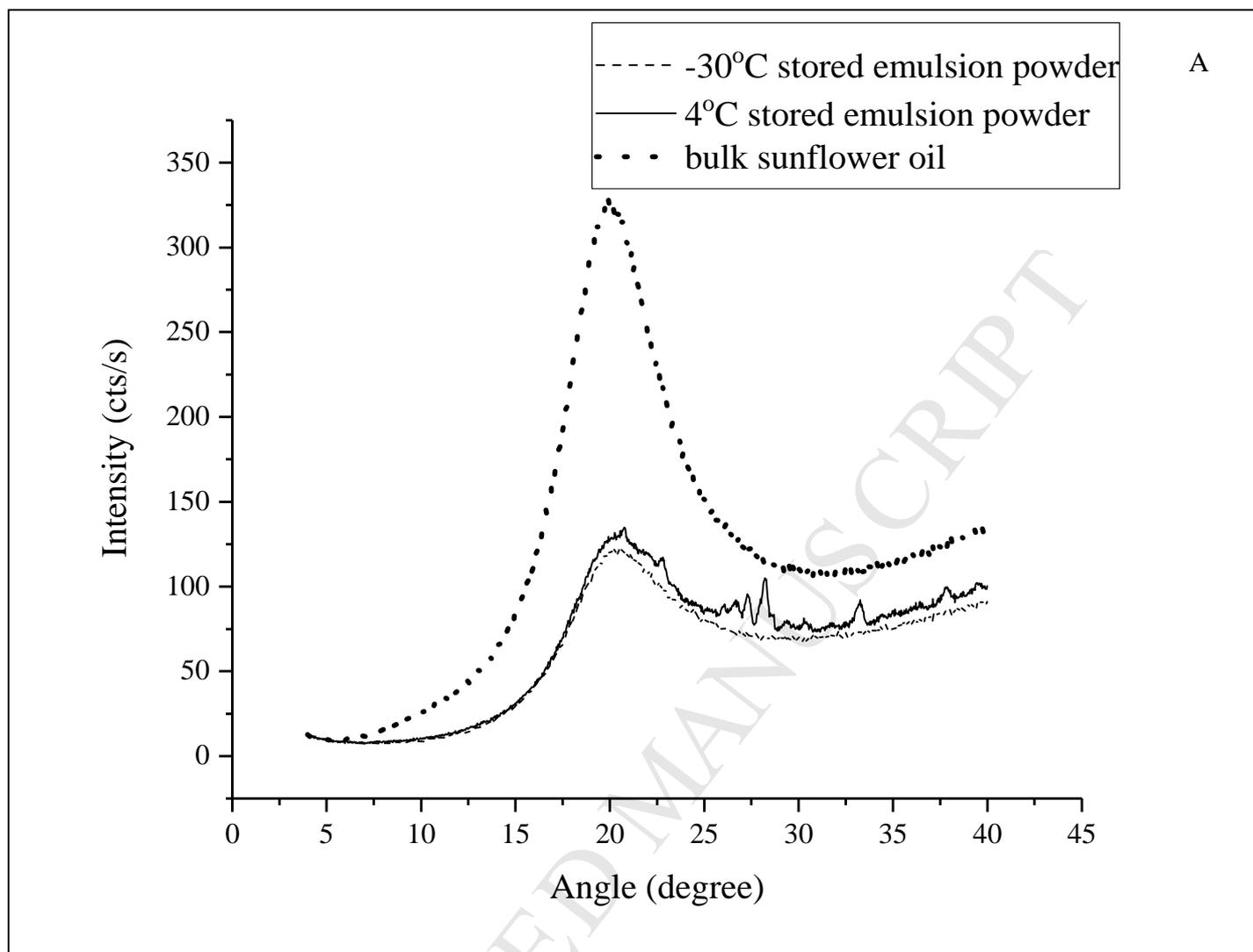


Fig. 8B

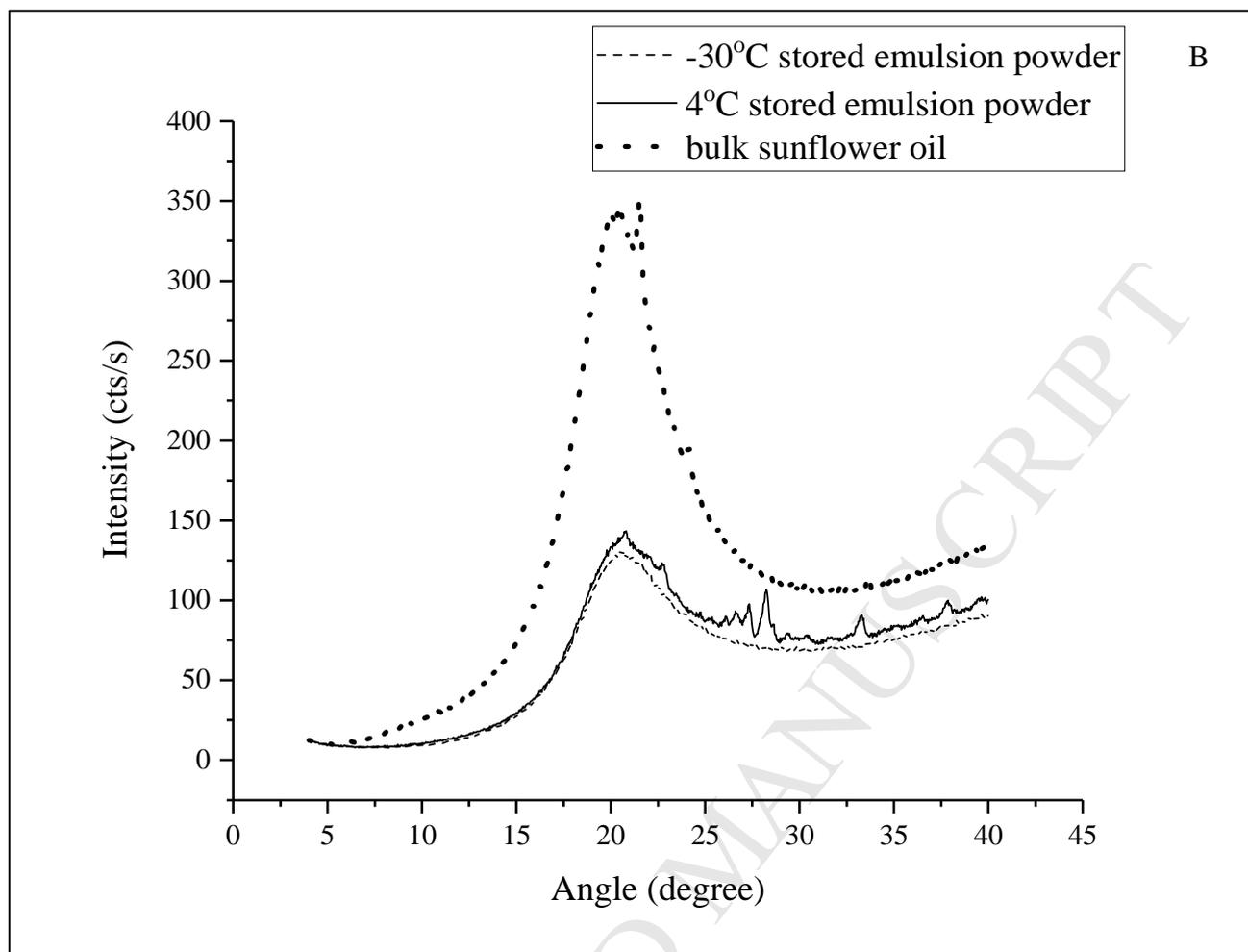


Fig. 8C

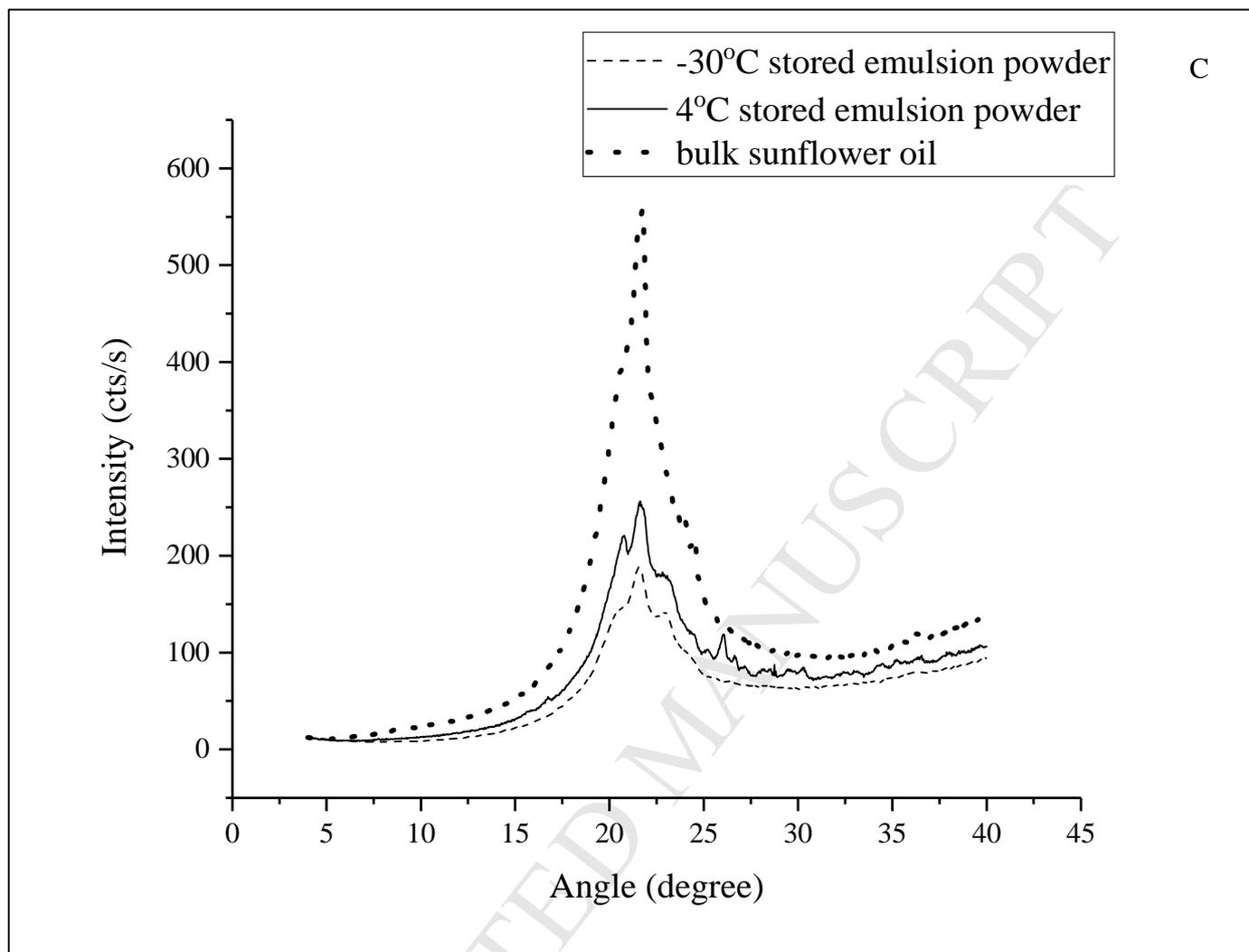


Fig. 9A

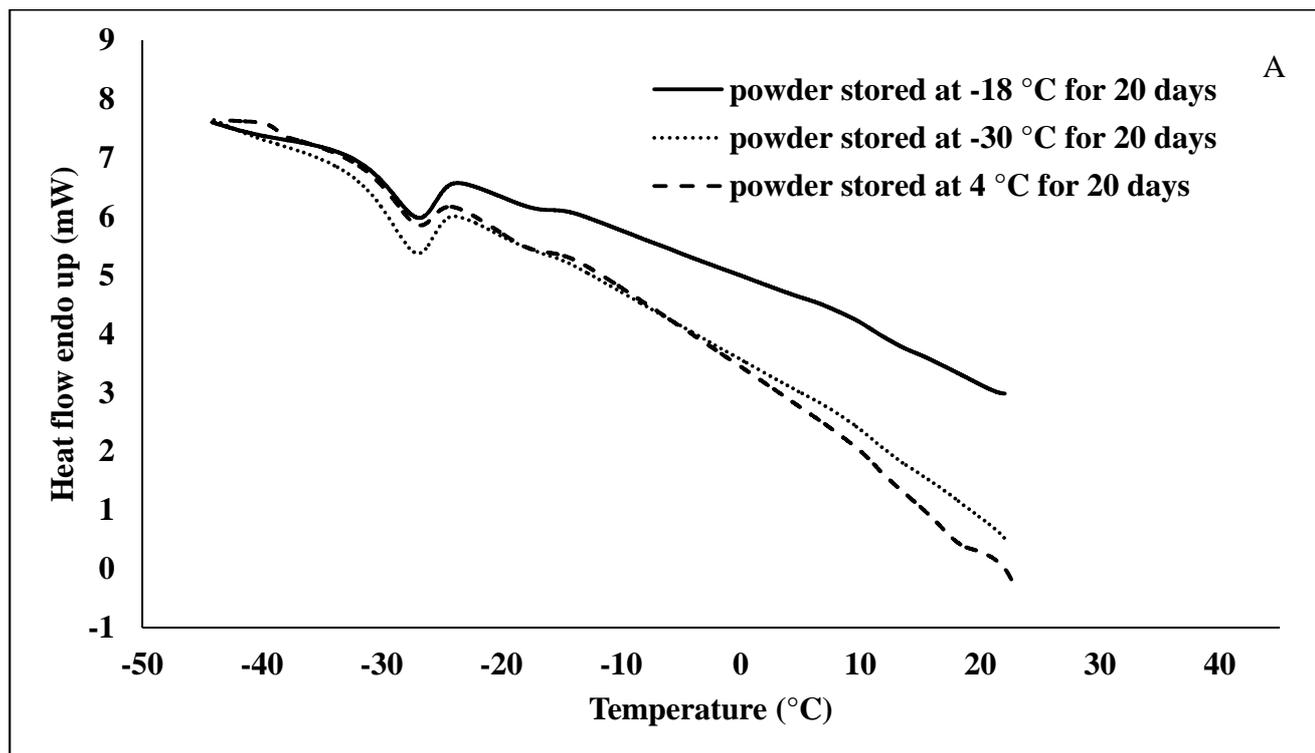


Fig. 9B

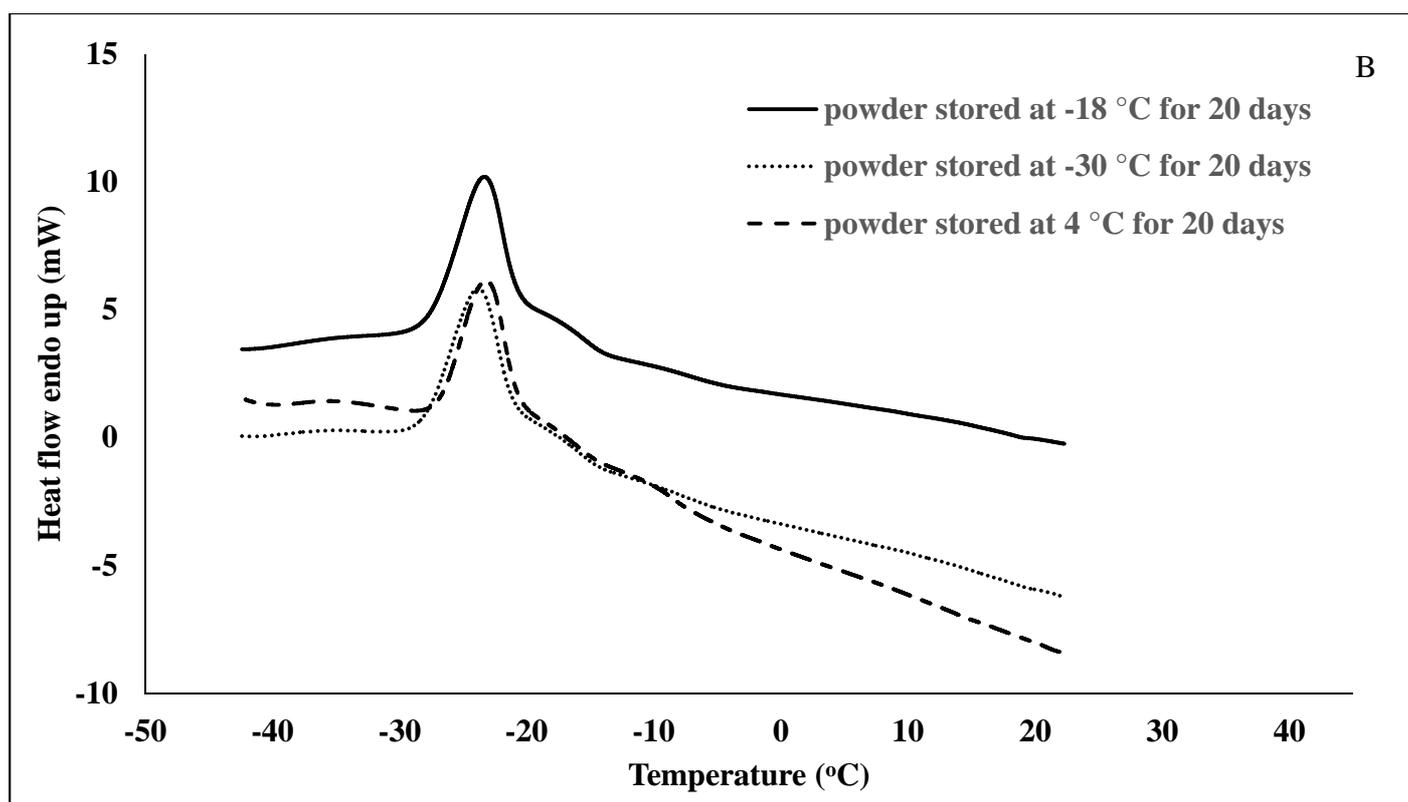


Fig. 10

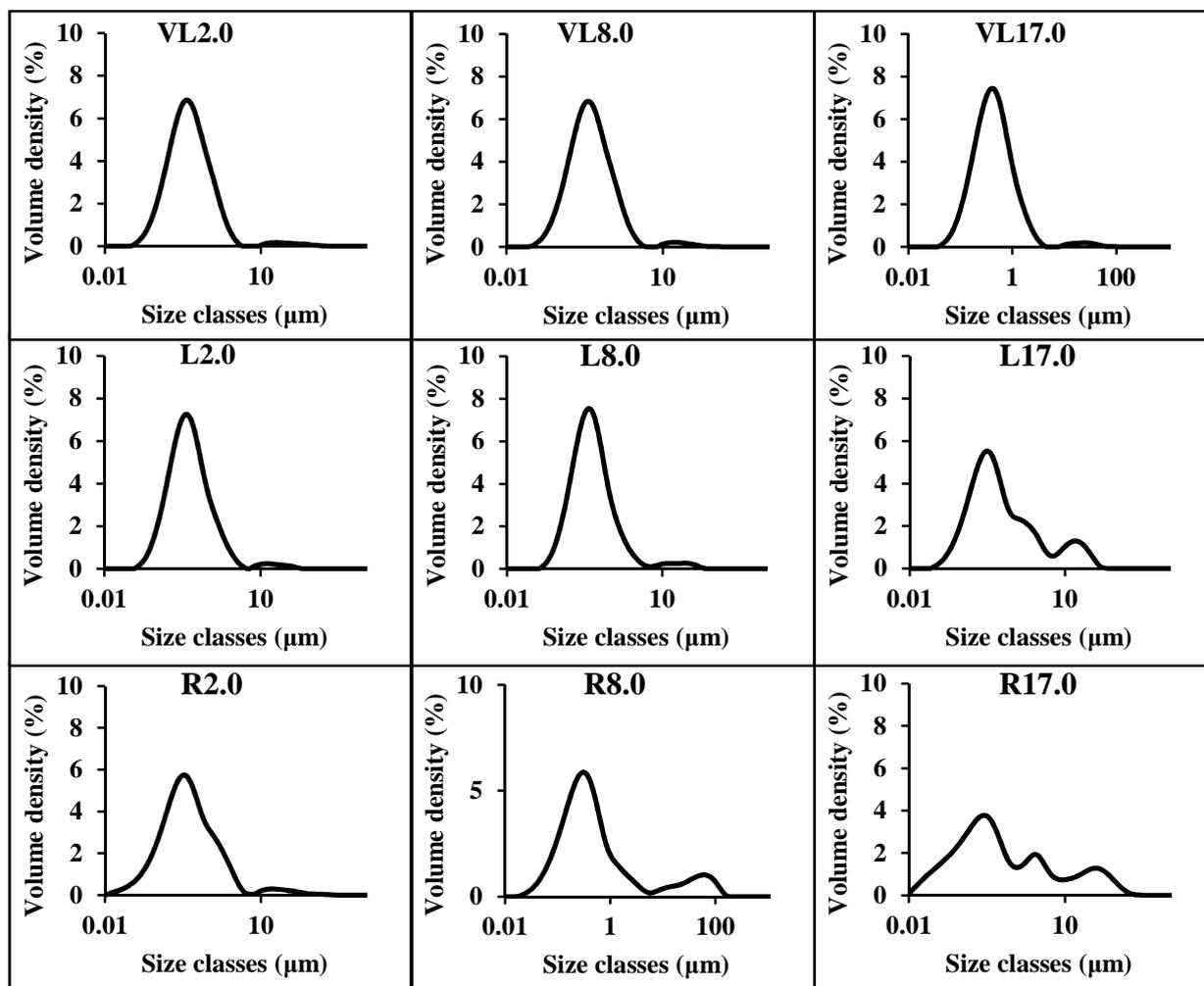
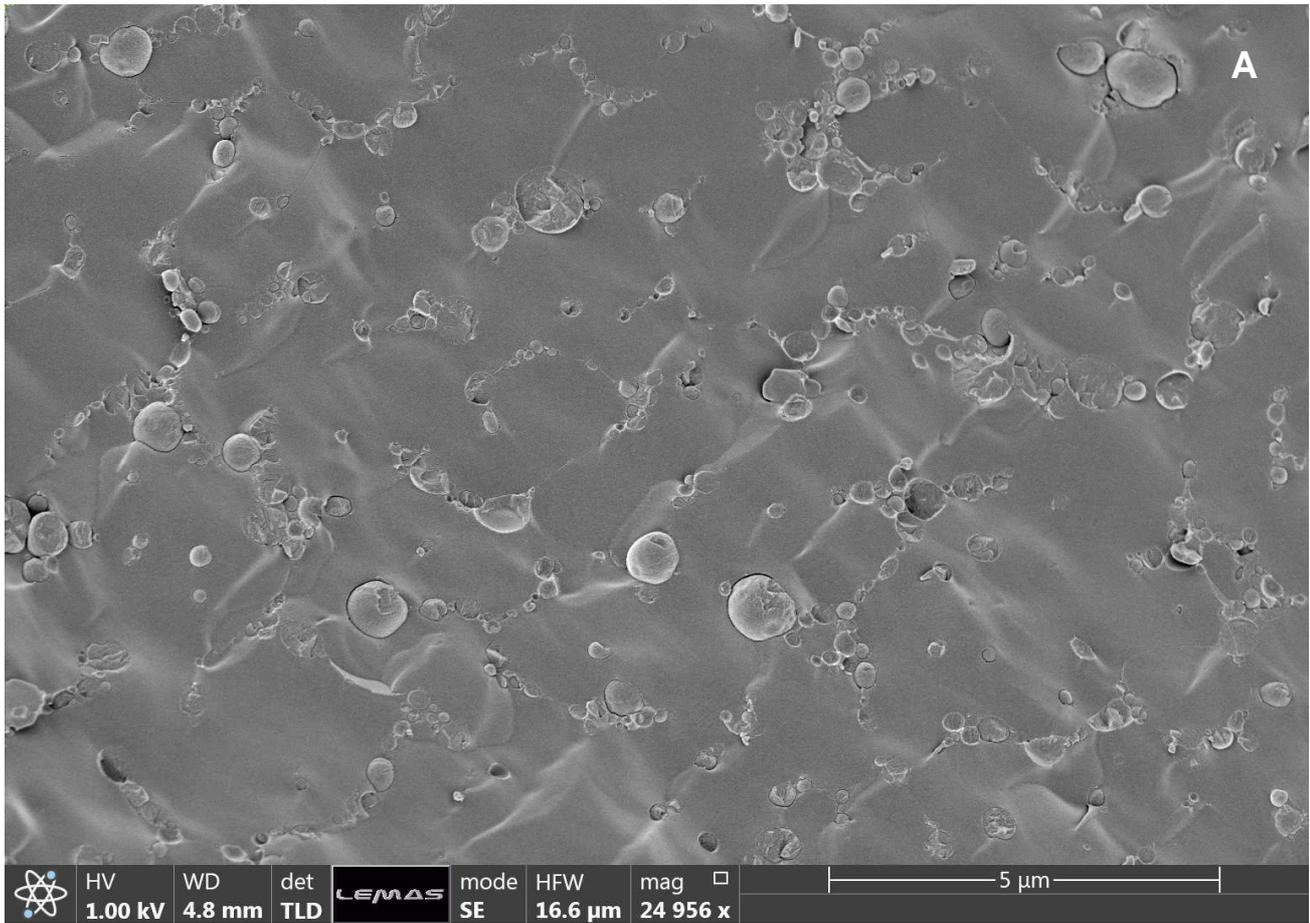
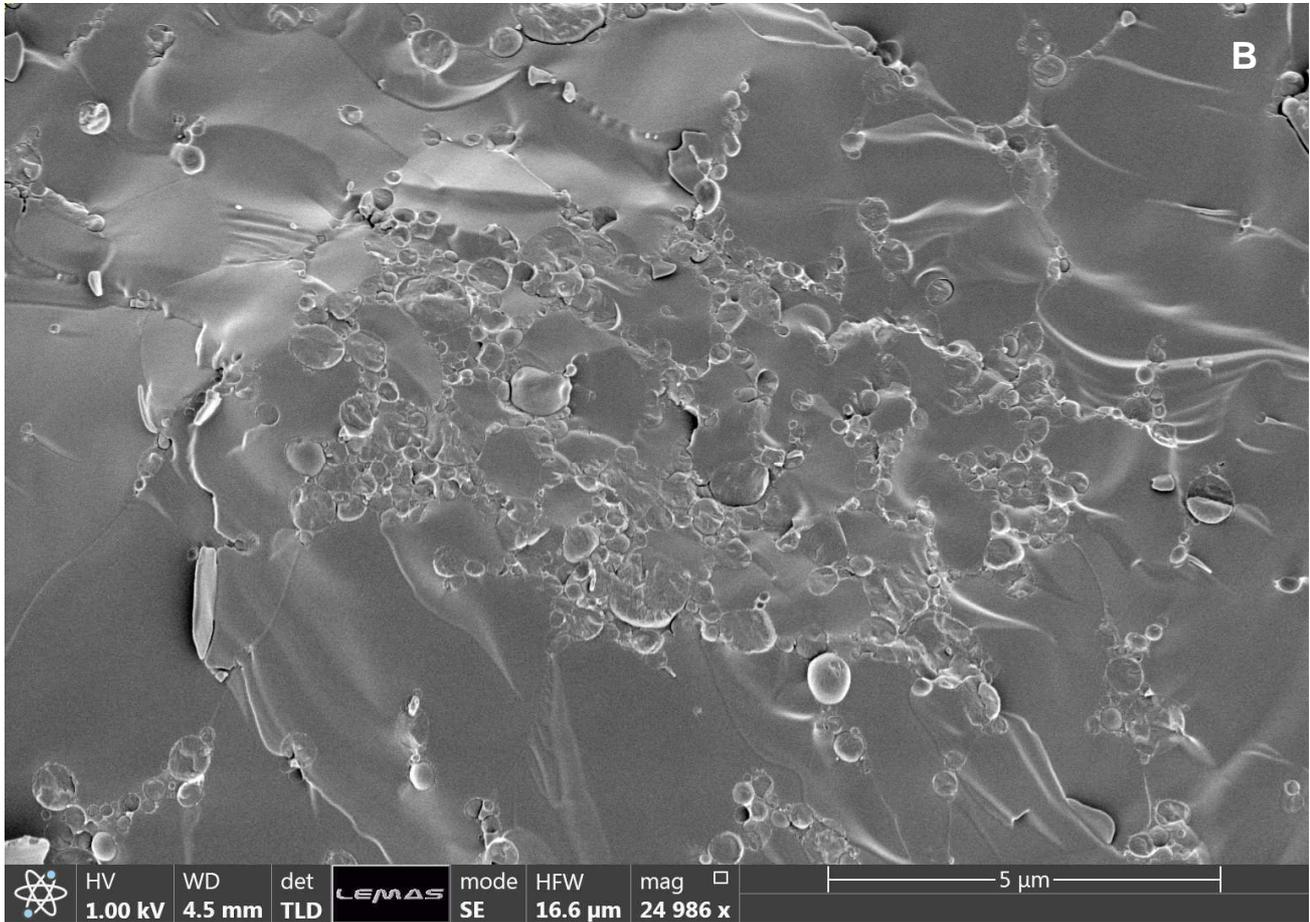


Fig. 11A



ACCEPTED

Fig. 11B



ACCEPTED

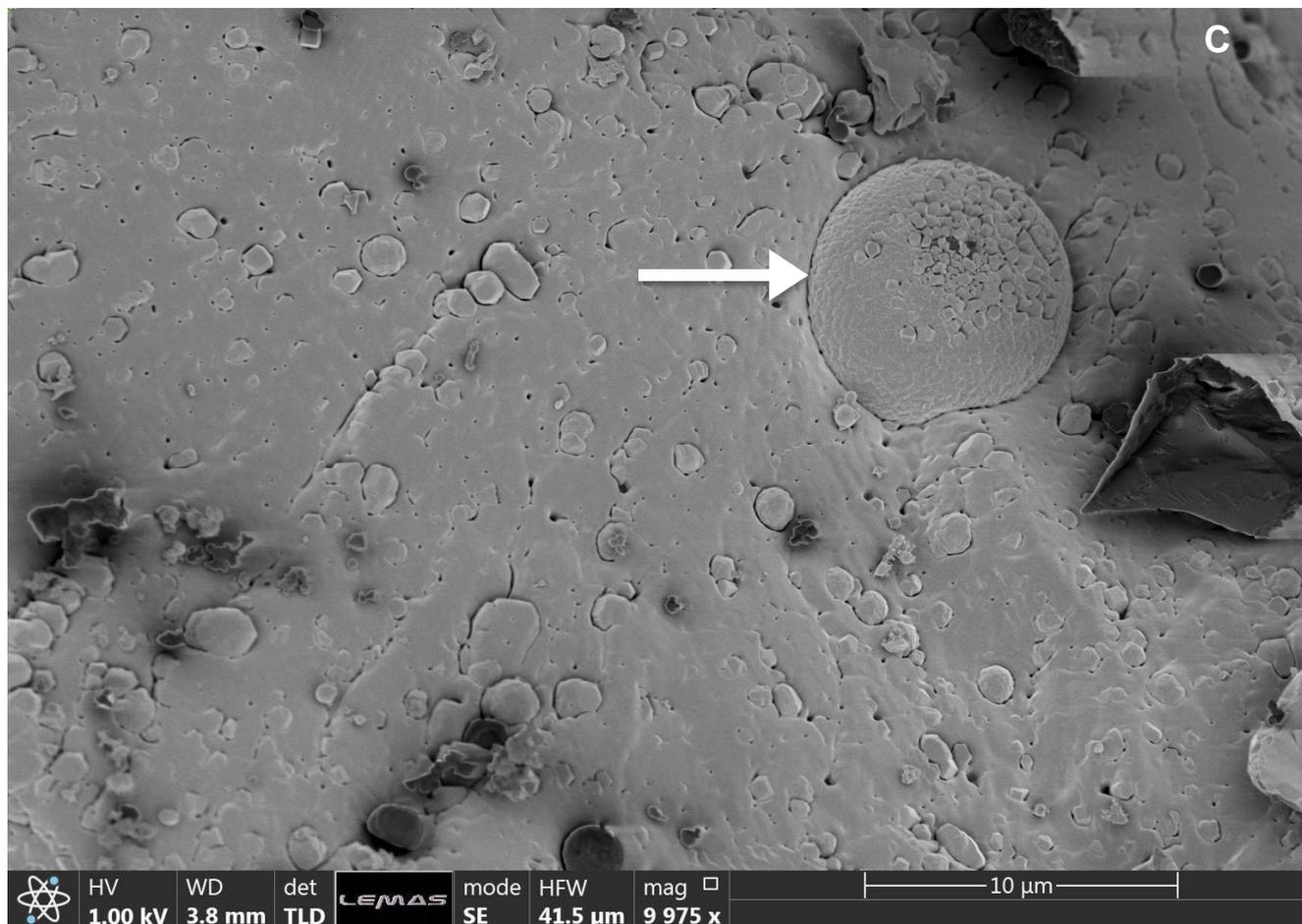


Table 1.

Sample	n	r	p	Sample	n	r	p
R	9	0.89	0.0007	R	9	0.89	0.0007
HRH	6	0.91	0.0060	F	8	0.84	0.0041
LRH	5	0.88	0.0254	L	9	0.86	0.0016
				VL	9	0.42	0.1314

**Highlights**

- 1) The impact of dry storage conditions on properties of reconstitutable emulsions has been investigated.
- 2) Dried OSA starch stabilised emulsions, kept for 3 weeks, produced 2  $\mu\text{m}$  droplets by gentle rehydration, stable for 100 days.
- 4) Dry storage temperature plays a major role in determining the size and stability of reconstituted emulsions.
- 5) Humidity, in the temperature range studied, was at best only a minor factor in determining the quality of reconstituted emulsions.