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1 **TRANSPORT AND DEPOSITION OF MUD IN DEEP-WATER ENVIRONMENTS:**
2 **PROCESSES AND STRATIGRAPHIC IMPLICATIONS**

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15

16 **ABSTRACT**

17 Deep-water mudstones are often considered as background sediments, deposited by vertical
18 suspension fallout, and the range of transport and depositional processes are poorly understood
19 compared to their shallow-marine counterparts. Here, we present a dataset from a 538.50 m-thick cored
20 succession through the Permian muddy lower Ecca Group of the Tanqua depocentre (southwest Karoo
21 Basin, South Africa). This study aims to characterize the range of mudstone facies, transport and
22 depositional processes, and stacking patterns recorded in deep-water environments prior to deposition
23 of the Tanqua Karoo sandy basin-floor fans. A combination of macroscopic and microscopic description
24 techniques and ichnological analysis has defined nine sedimentary facies that stack in a repeated
25 pattern to produce 2–26-m-thick depositional units. The lower part of each unit is characterized by

26 bedded mudstone deposited by dilute, low-density turbidity currents with evidence for hyperpycnal-flow
27 processes and sediment remobilization. The upper part of each unit is dominated by more organic-rich
28 bedded mudstone with common mudstone intraclasts, deposited by debris flows and transitional flows,
29 with scarce indicators of suspension fallout. The intensity of bioturbation and burrow size increases
30 upward through each depositional unit, consistent with a decrease in physicochemically stressed
31 conditions, linked to a lower sediment accumulation rate. This vertical facies transition in the single well
32 dataset can be interpreted to represent relative sea level variations, where the hyperpycnal stressed
33 conditions in the lower part of the units were driven by sea level fall and the more bioturbated upper part
34 of the unit represents backstepping, related to sea level rise. Alternatively, this facies transition may
35 represent autogenic compensational stacking. The prevalence of sediment density flow deposits, even
36 in positions distal or lateral to the sediment entry point, challenges the idea that deep-water mudstones
37 are primarily the deposits of passive rainout along continental margins.

38

39 **Keywords:** Deep-water, depositional processes, Karoo Basin, mudstone, Permian, trace fossils,
40 turbidite.

41

42 INTRODUCTION

43 Most sedimentological studies of mudstones—here defined as sedimentary rocks in which more than
44 50% of the grains are $<62.5\ \mu\text{m}$ (i.e. clay-size and silt-size particles; sensu Lazar et al., 2015)—have
45 focused on successions deposited in shelf environments (e.g. Schieber, 1994; Macquaker and Taylor,
46 1996; Plint et al., 2012; Bohacs et al., 2014; Plint, 2014; Harazim and McIlroy, 2015; Wilson and
47 Schieber, 2015; Birgenheier et al., 2017). These studies have improved current knowledge of the range
48 of physical and biological processes responsible for the transport and accumulation of mud in shallow-
49 water environments. In these systems, wave action has a major influence and may resuspend previously
50 deposited mud particles to generate or enhance turbidity currents (Ichaso and Dalrymple, 2009;
51 Macquaker et al., 2010). Recent flume experiments have demonstrated that flocculated mud can be
52 transported as bedload at flow velocities that normally transport sand (Schieber et al., 2007; Schieber
53 and Southard, 2009). Common aggregate grains (rip-up intraclasts, lithoclasts) in shallow-water

54 mudstones suggests intermittent sea floor reworking (Schieber, 1998, 1999; Schieber et al., 2010; Plint
55 et al., 2012; Egenhoff and Fishman, 2013; Plint and Macquaker, 2013; Plint, 2014; Schieber, 2016; Li
56 and Schieber, 2018). These observations demonstrate that mud can be transported and deposited in
57 continental shelves by depositional processes as energetic as those for coarse-grained sediments.

58 Deep-water (i.e. below storm wave base) sedimentology has expanded rapidly because of the
59 exploration and exploitation of hydrocarbons in sandy basin-floor fans and slope-channel complexes
60 (e.g. Pettingill and Weimer, 2002; Shanmugam, 2006; Weimer et al., 2007). The focus, however, has
61 mainly been on sandstones, whereas mudstone packages are usually described as background
62 sedimentation, deposited by pelagic or hemipelagic vertical suspension fallout in low-energy
63 environments with occasional turbidity currents (e.g. Scholle, 1971; Wynn et al., 2000; Southern et al.,
64 2017; Pierce et al., 2018). This interpretation is mainly due to the generally poor exposure of mudstones
65 at outcrop that usually precludes observation and interpretation of primary sedimentary structures and
66 bed contacts at macroscopic scale. In sub-surface datasets, deep-water mudstones are rarely cored
67 compared to deep-water sandstones, and where they are, they usually display a homogenous texture
68 to the naked eye. Older studies focusing on deep-water mudstones (e.g. Stow and Shanmugam, 1980;
69 Stow and Piper, 1984; Pickering et al., 1986) usually lack microscopic descriptions that are essential to
70 fully characterize mudstone fabrics. More recent studies using a combination of macroscopic and
71 microscopic description techniques have highlighted that a wide range of processes (i.e. turbidity
72 currents, debris flows, transitional flows, mass-wasting processes) may be responsible for the transport
73 and deposition of mud in deep-water environments (e.g. Schieber, 1999; Loucks and Ruppel, 2007;
74 Trabucho-Alexandre, 2012; Konitzer et al., 2014; Knapp et al., 2017; Ayranci et al., 2018a; Newport et
75 al., 2018). These findings have started to challenge the traditional view that suspension fallout is the
76 dominant depositional process in deep-water mudstones, with major implications for the estimation of
77 depositional rates and the correct interpretation of times of clastic starvation in deep-water
78 environments. However, there remains a lack of detailed process-based studies focusing on long and
79 continuous deep-water mudstone successions, that are essential to evaluate the long-term variability in
80 depositional conditions within deep-water muddy environments.

81 This integrated study documents a deep-water mudstone succession using a continuous 538.50
82 m core section from the Lower Ecca Group of the Karoo Basin (South Africa) deposited during the

83 Permian icehouse-to-greenhouse transition. By combining macrofacies and microfacies descriptions,
84 the main objectives of this study are to: (i) describe and interpret the range of facies, depositional
85 processes, stacking patterns and trace fossils in a mudstone succession deposited in deep-water
86 environment; (ii) document and discuss the resulting deposits of deep-water sediment density flows in
87 the absence of sand; and (iii) discuss the controls on the stacking pattern of deep-water mudstones.

88

89 **GEOLOGICAL SETTING**

90 The Karoo Basin has been interpreted as a retro-arc foreland basin developed behind a fold-thrust belt
91 (Cape Fold Belt) (De Wit and Ransome, 1992; Veevers et al., 1994; Visser and Praekelt, 1996;
92 Catuneanu et al., 1998; López-Gamundi and Rossello, 1998; Viglietti et al., 2018). An alternative
93 hypothesis is that subsidence during the deep-water phase of deposition was controlled by dynamic
94 topography associated with the subduction of the palaeo-Pacific plate beneath Gondwana (Pysklywec
95 and Mitrovica, 1999; Tankard et al., 2009). Mantle flow might have triggered movements along inherited
96 basement structures that led to the development of the Tanqua and Laingsburg depocentres in the
97 southwest Karoo Basin (Fig. 1A and B). This interpretation is consistent with provenance studies
98 (Andersson and Worden, 2004; Van Lente, 2004) and radiometric dating (Blewett and Phillips, 2016),
99 which indicate that the Cape Fold Belt was not emergent nor an important source of sediment for the
100 Karoo Basin before the Triassic.

101 The basin fill comprises the 5500 m-thick Karoo Supergroup, deposited from Late Carboniferous
102 to Early Jurassic (Fig. 1C) (Smith, 1990; Veevers et al., 1994). The Karoo Supergroup is composed of
103 the glaciogenic Dwyka Group (Late Carboniferous-Early Permian), the deep-marine to shallow-marine
104 Ecca Group (Permian) and the fluvial Beaufort Group (Permo–Triassic). The Dwyka Group is composed
105 of four deglaciation sequences of basal diamictites overlain by rhythmites grading into mudstones
106 (Theron and Blignault, 1975; Visser, 1997). The uppermost sequence grades into the Ecca Group,
107 deposited approximately between 290 to 265 Ma (Belica et al., 2017). The transition from the Dwyka to
108 Ecca Group was interpreted to mark the end of glaciations in the Karoo Basin (Bangert et al., 1999;
109 Isbell et al., 2008).

110 This study focuses on the mudstone-dominated lower Ecca Group of the Tanqua depocentre,

111 which comprises the Prince Albert, Whitehill, Collingham and Tierberg formations (Fig. 1C) (Johnson et
112 al., 2006). These formations were previously interpreted as deposited in a relatively sediment-starved,
113 basin-floor environment (Visser, 1992, 1994; Viljoen, 2005), although water palaeodepth has not been
114 defined due to the absence of diagnostic microfossils. Some authors have suggested a northern source
115 for the Prince Albert, Whitehill and Collingham formations based on facies distribution and sparse
116 palaeocurrent indicators (Chukwuma and Bordy, 2016) and palynology (Götz et al., 2018). Ash beds
117 potentially derived from the Choiyoi volcanic province (Northern Patagonia) are interbedded in these
118 formations, with a higher density in the Collingham Formation (McLachlan and Jonker, 1990; Veevers
119 et al., 1994; Viljoen, 1994; McKay et al., 2015). These mudstone-rich formations are overlain by the
120 well-studied, sandy basin-floor fans of the Skoorsteenberg Formation (Wickens, 1994; Johnson et al.,
121 2001; Hodgson et al., 2006) and the upper slope to shelf deposits of the Waterford Formation (Wild et
122 al., 2009; Dixon et al., 2012; Poyatos-Moré et al., 2016; Gomis-Cartasio et al., 2016, 2018) (Fig. 1C).

123 Some authors have proposed that the Eccca Group was deposited in an open marine basin with
124 normal salinity based on the presence of marine fossils (McLachlan and Anderson, 1973; Visser, 1992;
125 Götz et al., 2018). Fully marine conditions are also suggested by Rb/K palaeosalinity proxy (Scheffler et
126 al., 2006; Geel et al., 2013). Others authors have suggested that the Eccca Group was deposited in a
127 freshwater to brackish basin with intermittent connections with the open marine environment, based on
128 ichnology (Buatois et al., 2010) and stable isotope data (Faure and Cole, 1999; Herbert and Compton,
129 2007). A multi-proxy approach combining geochemistry, palynology, and mineralogy suggests a climatic
130 transition from a cold-arid climate during deposition of the Dwyka Group to a warm-humid climate for
131 the Eccca Group (Scheffler et al., 2003, 2006).

132

133 **MATERIALS AND METHODS**

134 This study is based on a 948.50-m-thick continuous core from the vertical OR-01 research borehole
135 drilled in the Tanqua depocentre (Fig. 1B). For the purpose of this paper, we focused on the lower
136 538.50-m-thick, mudstone-dominated succession (from 948.50 m up to 410 m), that includes the Prince
137 Albert, Whitehill, Collingham and Tierberg formations (Fig. 1C).

138 A sedimentary log of the core was established through wet and dry observations to record

139 macroscopically visible features including: (i) lithology, (ii) colour, (iii) physical sedimentary structures,
140 (iv) bed contacts, (v) bed thicknesses, (vi) deformation, (vii) trace fossils and (viii) bioturbation index.
141 The bioturbation index (BI) of Taylor and Goldring (1993) was used macroscopically and semi-
142 quantitatively to describe bioturbation intensity on a 0 to 6 scale where 0 corresponds to non-bioturbated
143 material and 6 corresponds to completely bioturbated. The presence of calcium carbonate was
144 assessed by placing 10% hydrochloric acid directly onto the core. High-resolution photographs of the
145 different facies and features of interest were taken using a high-resolution Nikon AF-S Micro Nikkor 60
146 mm F-2.8 lens (Nikon, Tokyo, Japan). Enhanced contrast images of the core using Microsoft Office
147 Picture Manager® captured subtle colour changes and bed contacts. A total of 124 samples were
148 collected using an adjusted uniform sampling spacing method of one sample per 5 m to include all facies
149 and significant features of interest (i.e. stratigraphic surfaces, concretionary horizons, facies contacts,
150 trace fossils).

151 A total of 27 samples were selected to represent the range of facies described from the core.
152 Oriented polished thin sections (24×46mm) were prepared normal to bedding at 20 to 25 µm thickness
153 to improve textural information. Thin sections were scanned using an Epson Perfection V600 photo
154 scanner at 3200 dpi resolution (Epson, Suwa, Japan). Microscopic descriptions were done using an
155 optical Nikon Eclipse LV100NPOL microscope fitted with a Nikon DS-Fi2 camera (Nikon, Tokyo, Japan).
156 Grain sizes were refined from the core observations using visual estimate. Mudstones were classified
157 into different facies based on the combination of macroscopic and microscopic descriptions. Mudstones
158 consisting of more than half of grains <10 µm are defined as fine mudstone and those with more than
159 half of grains 10 to 62.5 µm as coarse mudstone (McCave et al., 1995). Following the guidelines of
160 Lazar et al. (2015), a composition modifier (e.g., siliceous, calcareous, argillaceous and carbonaceous)
161 is added depending on the dominant grain type (quartz, carbonate, clay and organic matter,
162 respectively). Trends in burrow size, ichnodiversity and bioturbation intensity are used qualitatively to
163 infer sea floor physicochemical conditions (i.e. oxygen level, type of medium, salinity, sediment
164 accumulation rate and frequency of flow events) (e.g. Bromley, 1996; MacEachern et al., 2007).

165 Following optical microscope descriptions, thin sections were carbon coated for electron
166 microscope analysis. High-resolution imaging and compositional analysis were done on 5 samples using
167 a FEI XL30 environmental scanning electron microscope (ESEM) (FEI, Hillsboro, OR, USA) with a

168 backscattered electron detector attached at 15 kV and a working distance of ca 10 mm.

169 Total organic carbon (TOC) was determined in 42 samples in a targeted part of the core (from
170 649.20 m up to 624.95 m) using a LECO SC-144DR (LECO Corp., St. Joseph, MI, USA) to evaluate the
171 vertical evolution of organic enrichment. Samples were powdered using a mortar and pestle. Empty
172 sample tubes used for analysis were weighted (m_{tube}). 0.8 g (+/- 10 %) of sediment per sample was
173 added to the tubes. The tubes with sediment were weighted (m_{initial}). Samples were then prepared for
174 total organic carbon analysis by adding 10 % HCL to remove any carbonate content. They were rinsed
175 using distilled water and a centrifuge and dried in an oven at 60°C overnight . The tubes with sediment
176 were weighted after drying (m_{final}). 0.3 g of acid-washed sediment per samples was placed into the LECO
177 to obtain the percentage carbon (P_{OC}). Total organic carbon (TOC) in the samples after carbonate
178 removal was calculated using the formula:

$$179 \quad \text{TOC} = P_{\text{OC}} \times ((m_{\text{final}} - m_{\text{tube}}) / (m_{\text{initial}} - m_{\text{tube}})). \quad (1)$$

180

181 RESULTS

182 Facies and depositional processes

183 Nine facies (F1 to F9) were determined from the combination of macroscopic and microscopic
184 characteristics. Facies-stacking pattern is presented in Fig. 2. Facies are described below, illustrated at
185 similar scales in Figure 3 and additional data are presented in Figs 4 to 8. The range of trace fossils is
186 illustrated in Fig. 9. Around 90% of the succession (490 m of a total of 538.50 m) consists of coarse
187 mudstone, whereas ~10 % consist of fine mudstone (Fig. 2). These results, however, are based on
188 visual estimates from thin sections and continuous grain size analysis may indicate a more
189 heterogeneous pattern. Mineralogy consists mainly of quartz and clay with subordinate feldspar, mica
190 and wood fragments.

191

192 Facies 1: very thin-bedded mudstone

193 Description.

194 Facies 1 (F1) consists of light grey to mid-grey, continuous, siliceous-argillaceous, fine- to coarse-
195 grained bedded mudstone (Figs 3A to C and 4A). Beds are 0.1 to 2 cm thick but usually less than 1 cm
196 thick. Two bed types with a tripartite organization are recognized (Fig. 5). Bed type I is most common
197 and characterized by a sharp and erosional base (Figs 4D and 5). The lower subdivision consists of
198 structureless or continuous to discontinuous, planar-parallel to low-angle, laminated coarse mudstone.
199 The middle subdivision is structureless and consists of normally graded to ungraded coarse mudstone.
200 The upper subdivision consists of mottled, fine mudstone. A grain-size break is common between the
201 middle and upper subdivisions but some beds show a conformable upper subdivision. Bed type II is
202 characterized by a sharp and erosional or gradational base (Figs 4E and 5). The lower subdivision
203 consists of inversely graded, planar-parallel laminated, fine to coarse mudstone. The middle and upper
204 subdivisions are similar to bed type I. Some beds are truncated by the overlying bed (Fig. 5). Rare small-
205 scale scours are observed (Fig. 4B). Post-depositional features include soft-sediment deformation
206 (convolute laminations) (Fig. 4C), carbonate cement and rare pyrite nodules (Fig. 4B). Bioturbation is
207 low to moderate (BI: 2 to 3) and increases upward in individual beds. Trace fossils consist of small
208 Helminthopsis and Phycosiphon (<1 cm diameter) with subordinate Chondrites, Conichnus,
209 Cosmorhapha, Planolites and Thalassinoides (<3 cm diameter) (Fig. 9).

210 Interpretation.

211 Based on the erosional bases, normal-grading and increasing-upward bioturbation, Facies 1 is
212 interpreted as sediment-density flow deposits. Bed type I is interpreted to represent deposition from
213 waning, dilute, low-density turbidity currents (Lowe, 1982). The lower subdivision indicates deposition
214 by turbulent traction transport (Stow and Piper, 1984). The middle and upper subdivisions indicate
215 suspension settling from a waning flow. The common grain-size break between the middle and upper
216 subdivisions suggests sediment bypass (e.g. Poyatos-Moré et al., 2016). Bed type II is interpreted to
217 represent deposition from waxing-waning, dilute, low-density turbidity currents. The lower subdivision is
218 interpreted as deposited from waxing flow by turbulent traction transport. The middle and upper
219 subdivisions indicate deposition by suspension settling from waning flow. Bed type II resembles river-
220 derived hyperpycnites (e.g. Mulder and Alexander, 2001; Mulder et al., 2003; Plink-Björklund and Steel,
221 2004; Bhattacharya and MacEachern, 2009; Zavala et al., 2011). Direct river input is also suggested by
222 common wood fragments (Fig. 4F) and rhythmic bedding (Nakajima, 2006; Zavala et al., 2012). The low

223 to moderate bioturbation, small burrow size and moderate ichnodiversity suggest sea floor
224 physicochemical stressed conditions for organisms potentially linked to a high sediment accumulation
225 rate with frequent flow events in a fully oxygenated bottom-water environment (MacEachern et al., 2007;
226 Bhattacharya and MacEachern, 2009; Flaig et al., 2016; Jackson et al., 2016). Moreover, common
227 freshwater river input to the sea floor may have generated salinity fluctuations, and potentially the
228 development of intermittent bottom-water brackish conditions. Common soft-sediment deformation
229 suggests high sediment accumulation rate with a soft sea floor medium that is easily remobilized
230 (Bhattacharya and MacEachern, 2009; Flaig et al., 2016).

231

232 Facies 2: mottled very thin-bedded mudstone

233 Description.

234 Facies 2 (F2) consists of light to dark grey, continuous to discontinuous, siliceous-argillaceous, fine-
235 grained to coarse-grained bedded mudstone intercalated with dark grey, intensely bioturbated, coarse
236 mudstone (Fig. 3D to F). Beds are 0.1 to 2 cm thick and characterized by a sharp and erosional or
237 gradational base. Preserved sedimentary structures are identical to F1 (Fig. 3E). Bioturbation is high to
238 intense (BI: 4 to 5) and the trace fossil assemblage is similar to F1. Burrows are usually larger (<5 cm).

239 Interpretation.

240 F2 is interpreted to be deposited by waning and waxing-waning, dilute, low-density turbidity currents,
241 potentially derived from hyperpycnal input, based on similar sedimentological characteristics to F1. The
242 higher bioturbation intensity and larger burrow size suggest a lower sediment accumulation rate and
243 lower frequency of flow events in a fully oxygenated bottom-water environment. This bioturbation pattern
244 may also be linked to a decrease in freshwater river input to the sea floor that may have led to more
245 favourable bottom-water environment for benthic organisms (e.g. Flaig et al., 2016; Jackson et al.,
246 2016).

247

248 Facies 3: intraclast-bearing thin-bedded to medium-bedded mudstone

249 **Description.**

250 Facies 3 (F3) consists of mid-grey to dark grey, siliceous-argillaceous, fine-grained to coarse-grained
251 bedded mudstone (Figs 3G and 6A). Beds are 5 to 15 cm thick, structureless and ungraded, and
252 characterized by a sharp and erosional base. F3 sometimes overlies partially cemented mudstone (F7)
253 deposits (Figs 3G and 6A). Coarse mud-sized to pebble-sized mudstone clasts are common (Figs 3G
254 and I and 6A). The pebble-sized clasts are usually concentrated in the lower part of the beds (Figs 3G
255 and 6A). Microscopic inspection, however, shows that coarse mud-sized to sand-sized clasts are
256 pervasive across the entire beds (Figs 3I, 6C and D). Clasts mineralogy is similar to the mudstone matrix
257 (quartz, clay and wood fragments), but they usually contrast in colour with the surrounding matrix (Figs
258 3I, 6C and D). They are usually flattened with a sharp edge and a few clasts are characterized by a
259 stringer-type texture (Fig. 3H). Some clasts are characterized by a calcium phosphate and calcium
260 carbonate cement. The mudstone matrix does not show significant differential compaction around the
261 mudstone clasts (Fig. 6D). Beds with mudstone clasts aligned along coarser grained horizons are
262 observed (Fig. 6B). Pyrite aggregates are observed in the lower part of some beds (Fig. 3H). Bioturbation
263 is low to moderate (BI: 1 to 3) and increases upward in individual beds (Fig. 6A). Trace fossils are
264 predominantly small (<1 cm diameter) and mainly consist of Helminthopsis and Phycosiphon.

265 **Interpretation.**

266 The sharp and erosional base, presence of outsize mudstone clasts, and the absence of obvious grading
267 suggest laminar flow and rapid en masse deposition by moderate-strength, cohesive debris flows
268 (equivalent to D_{M-2} of Talling et al., 2012), preventing the development of bedforms or grading. The
269 clasts are interpreted as rip-up mudstone intraclasts based on similar mineralogy as the mudstone
270 matrix (e.g. Schieber et al., 2010; Schieber and Bennett, 2013). However, the colour difference between
271 the clasts and the matrix indicates a different composition that may be linked to the presence of early
272 cement (calcium phosphate and calcium carbonate). The texture of the pebble-sized clasts in the beds
273 in Fig. 3H and Fig. 6A is similar to the underlying partially cemented mudstone (F7) texture. This
274 suggests that some of these clasts may have been eroded from a sea floor characterized by early
275 cementation processes and consolidation.

276

277 Facies 4: intraclast-bearing very thin to thin-bedded mudstone

278 Description.

279 Facies 4 (F4) consists of light grey to dark grey, siliceous-argillaceous, fine-grained to coarse-grained
280 mudstone (Figs 3J, 7A and B). Beds are generally hard to recognize due to the absence of clear bed
281 contacts (Fig. 7A and B). When recognized, beds are 1 to 5 cm thick with a diffuse or sharp and erosional
282 base (Figs 3K, 7A and B). Some beds are characterized by a two-part stratigraphy: (i) thin, normally
283 graded, coarse mudstone lower subdivision (usually less than 1 cm thick); and (ii) thicker, ungraded and
284 structureless, coarse mudstone upper subdivision (usually 1 to 5 cm thick) with common mudstone
285 intraclasts (Fig. 7A). Some beds are ungraded with common mudstone intraclasts. Rarely preserved
286 continuous to discontinuous planar-parallel to low-angle laminations occur in some beds (Fig. 7B).
287 Millimetre-thick, brown banding is observed in places (Fig. 7B). Similar to those described in F3, the
288 mudstone intraclasts are flattened with a sharp edge and contrast in colour with the surrounding
289 mudstone matrix (Figs 3L and 7C to E). They are, however, usually smaller (from coarse mud to fine
290 sand-sized). Some clasts are partially cemented by calcium phosphate and calcium carbonate (Fig. 7E).
291 No significant differential compaction is observed in the mudstone matrix around the mudstone
292 intraclasts (Fig. 7C to E). Calcium phosphate fragments with an internal organization (parallel lines) are
293 observed (Fig. 7F). Common rounded, coarse mud to very fine-sand-sized quartz and feldspar are also
294 observed with differential compaction of the surrounding mudstone matrix (Fig. 7D). Pyrite nodules and
295 carbonate-rich concretions are common. Bioturbation is low to intense (BI: 2 to 5) and the trace fossil
296 assemblage consists of small to large (<5 cm diameter) Helminthopsis and Phycosiphon with
297 subordinate Chondrites, Conichnus, Cosmorhaphé, Planolites and Thalassinoides.

298 Interpretation.

299 The diffuse but commonly sharp and erosional bed contacts, planar-parallel to low-angle laminations,
300 and common mudstone intraclasts indicate deposition by sediment density flows. Beds characterized
301 by a thin, normally graded, lower subdivision overlain by a thicker, ungraded intraclast-rich mudstone
302 upper subdivision (Fig. 7A) may represent deposition from flows that are transitional between turbulent
303 and laminar (Baas et al., 2009; Sumner et al., 2009; Kane and Pontén, 2012). The lower normally-
304 graded section may represent deposition by turbulent flow while the upper ungraded and intraclast-rich

305 section may represent deposition by laminar flow. Ungraded beds with mudstone intraclasts may
306 represent rapid en masse deposition by low-strength, cohesive debris flows (equivalent to D_{M-1} of Talling
307 et al., 2012). The rare, planar-parallel to low-angle laminations suggest turbulent transport, potentially
308 by dilute, low-density turbidity currents. Similar to F3, the mudstone intraclasts are interpreted to be
309 eroded from partially consolidated sea floor sediments. Calcium-phosphate fragments with an internal
310 organization are interpreted as reworked bone fragments. The low to intense bioturbation intensity, large
311 burrow size, and high ichnodiversity indicate relatively low sediment accumulation rate and low
312 frequency of flow events in a fully oxygenated bottom-water environment.

313

314 Facies 5: homogenous mudstone

315 Description.

316 Facies 5 (F5) consists of light grey to mid-grey, siliceous-argillaceous, fine-grained to medium-grained
317 mudstone (Fig. 3M and N). No bed contacts are recognized. Similar to F3 and F4, coarse mud to fine
318 sand-sized mudstone intraclasts are observed (Fig. 3O). Bioturbation has destroyed all primary
319 sedimentary structures (BI: 6) and the trace fossil assemblage consists of small to large (<5 cm
320 diameter) Helminthopsis and Phycosiphon with subordinate Cosmorhapha, Planolites and Teichichnus
321 (Fig. 9).

322 Interpretation.

323 The absence of preserved bed boundaries and physical sedimentary structures prevent an interpretation
324 of the primary sedimentary processes. The observed mudstone intraclasts suggest either: (i) primary
325 deposition dominantly en masse from low-strength cohesive debris flows (similar to F3 and part of F4);
326 or by (ii) transitional flows (similar to F4). Deposition by dilute, low-density turbidity currents (similar to
327 F1 and F2) or by vertical suspension fallout cannot be ruled out where mudstone intraclasts are not
328 identified. Following deposition, sediment was homogenised by bioturbation, indicating deposition in a
329 fully oxygenated bottom-water environment with low sediment accumulation rate.

330

331 Facies 6: carbonaceous mudstone

332 **Description.**

333 Facies 6 (F6) consists of dark grey to black, carbonaceous-argillaceous-siliceous, fine-grained to
334 coarse-grained mudstone (Fig. 3P). Diffuse bed boundaries and remnant planar-parallel to low-angle
335 laminations are suggested by thin-section observation (Fig. 3Q). No obvious grading is observed. Rare
336 mudstone intraclasts and coarse mud to very fine sand-sized quartz and feldspar are recognized (Fig.
337 3R). The mudstone matrix shows differential compaction around the outsize quartz and feldspar (Fig.
338 3R). The concentration of carbonate-rich concretions and pyrite nodules is the highest of the succession
339 (Fig. 2). This facies is characterized by an orthoconic nautiloid that represents the only macrofossil
340 preserved in the succession. Bioturbation is moderate to intense (BI: 3 to 5) and the trace fossil
341 assemblage is exclusively composed of very small (<1 mm diameter) *Helminthopsis* and *Phycosiphon*
342 (cryptobioturbation).

343 **Interpretation.**

344 Diffuse bed boundaries and outsize grains indicate deposition influenced by sediment density flows. The
345 common presence of carbonate-rich concretions and only rarely observed physical sedimentary
346 structures, however, suggest dominant low energy processes. The presence of mudstone intraclasts
347 and outsized grains suggests occasional deposition en masse by low-strength, cohesive debris flows or
348 by transitional flows. The presence of the orthoconic nautiloid, which does not show evidence of
349 transport, suggests deposition by vertical suspension fallout for part of this facies. Significant breaks in
350 sediment accumulation took place to account for the development of carbonate-rich concretions (Taylor
351 and Curtis, 1995). The pervasive presence of cryptobioturbation, the very low ichnodiversity and the
352 very small burrow size all point toward deposition in a dysoxic bottom-water environment.

353

354 **Facies 7: partially cemented mudstone**

355 **Description.**

356 Facies 7 (F7) consists of light grey to mid-grey partially cemented mudstone (Fig. 3S to U). Cement
357 consists of calcium carbonate and calcium phosphate. No physical sedimentary structures are
358 recognized. Bioturbation is intense (BI: 6) and the trace fossil assemblage is dominated by large (<5 cm

359 diameter) Helminthopsis and Phycosiphon with subordinate Chondrites, Conichnus, Cosmorhaphe and
360 Planolites (Fig. 9).

361 Interpretation.

362 The high cement content and intense bioturbation suggests a significant break in sediment accumulation
363 rate that is associated with early diagenetic processes (Taylor and Curtis, 1995). These levels could
364 potentially be associated with starved basin floor condensed horizons.

365

366 Facies 8: deformed deposits

367 Description.

368 Facies 8 (F8) consists of 5 to 6-m-thick, deformed material (Fig. 8A and B) stratabound by undeformed
369 units. Three distinct deformed intervals are recognized in the core (Fig. 2). The lower deformed interval
370 is 6.6 m thick (from 725.50 to 718.90 m; Fig. 2) and is dominated by plastic deformation with common
371 soft-sediment deformation (Fig. 8A). Decimetre-thick blocks of ash-rich sandstone (F9) and macroscopic
372 mudstone intraclasts similar to those described in F3 are observed (Fig. 3V and W). The middle
373 deformed interval is 5 m thick (from 714.50 to 709.50 m; Fig. 2) and is characterized by a combination
374 of plastic and brittle deformation (Fig. 8B). Plastic deformations consist of soft-sediment deformation
375 (Fig. 3X). Brittle deformations consist of normal faults (mainly listric) and reverse faults with common
376 fractures (Fig. 3Y). The upper deformed interval is 5.65 m thick (from 595.25 to 589.60 m; Fig. 2) and
377 is characterized by intense brittle and plastic deformation similar to that of the middle-deformed section.
378 Internal facies and trace fossils are similar to the rest of the stratigraphy.

379 Interpretation

380 The presence of both brittle and plastic deformation in stratabound units indicates slump or slide
381 processes, and sea floor remobilization. The autochthonous nature of the material (facies and trace
382 fossil assemblage) suggests an intrabasinal origin and relatively short travel distance.

383

384 Facies 9: ash-rich sandstone.

385 Description.

386 Facies 9 (F9) consists of ash-rich sandstone beds. Beds are 1 to 15 cm thick, sharp, erosional and
387 normally graded (Fig. 3Z). The lower part of the bed usually consists of planar-parallel to low-angle
388 current ripple laminated, very fine-grained sandstone. The middle part of the bed consists of normally
389 graded, structureless sandstone with rare soft-sediment deformation. The upper part of the bed is
390 strongly bioturbated and consists of fine-grained to medium-grained mudstone. Post-depositional
391 cementation is common. Some observed carbonate-rich concretions (Fig. 2) may correspond to
392 completely cemented, ash-rich sandstone beds.

393 Interpretation.

394 Based on the erosional base, normal grading and planar-parallel to low-angle laminations, the ash-rich
395 sandstone beds are interpreted to be deposited by ash-rich, low-density turbidity currents. The higher
396 porosity and permeability of this facies compared to the muddy facies may explain its preferential
397 cementation.

398

399 Depositional environments

400 The range of depositional processes described here—low-density turbidity currents, debris flows,
401 transitional flows, slumps and slides, and vertical suspension fallout, in combination with the
402 stratigraphic context of overlying basin floor fans—suggests that this succession was deposited in a
403 lower slope to basin-floor environment strongly influenced by sediment-density flows. The common
404 slumps, slides, and tilted intervals (Fig. 2) suggest localized tilting and post-depositional sediment
405 remobilization. The absence of wave-generated structures indicates deposition below storm wave-base.
406 A deep-water environment is also suggested by the trace fossil assemblage (dominance of
407 *Helminthopsis* and *Phycosiphon* with subordinate *Chondrites*, *Conichnus*, *Cosmorhaphé*, *Planolites*,
408 *Teichichnus* and *Thalassinoides*; Fig. 9) that has been described in other deep-water marine
409 successions (e.g. Loucks and Ruppel, 2007; Hubbard et al., 2009; Knaust and Bromley, 2012; Heard et
410 al., 2014). The overall trace fossil assemblage is consistent with the distal *Cruziana* to proximal
411 *Zoophycus* ichnofacies (MacEachern et al., 2007). The ichnodiversity is relatively low but bioturbation

412 intensity is highly variable (BI: 2–6; Fig. 2), suggesting intermittently stressed sea floor physicochemical
413 conditions (MacEachern et al., 2007; Bhattacharya and MacEachern, 2009). Following deposition, the
414 soft sediment was colonized by deposit-feeding and surface-grazing organisms (Bhattacharya and
415 MacEachern, 2009).

416 Primary mud is interpreted to have been sourced via deltaic input based on the dominance of detrital
417 grains (quartz, feldspar, mica), and common wood fragments. The location and size of the sediment
418 delivery system is unknown due to uplift and erosional removal of the time equivalent shelf during the
419 Cape Fold Belt orogeny during the Triassic. The absence of sand delivery into the system except for the
420 ash-rich sandstone (F9) may be explained by: i) a dominance of mud-grade sediment delivered to the
421 basin; or ii) a distal position relative to the feeder systems and/or time-equivalent sandstone deposits.

422 **Depositional units**

423 The 538.50-m-thick studied succession shows a repeatable, consistent arrangement of facies into 2–
424 26-m-thick depositional units (Figs 2 and 10).

425 **Description**

426 The base of a depositional unit is defined at the transition (abrupt to gradational) from intraclast-bearing,
427 very thin- to thin-bedded mottled mudstone (F4), homogenous mudstone (F5) or carbonaceous
428 mudstone (F6) to very thin-bedded mudstone (F1) or more rarely mottled very thin-bedded mudstone
429 (F2), that is marked by a dark to light colour change (Fig. 10). This transition is also marked by an abrupt
430 decrease in bioturbation intensity and TOC (Fig. 10). The lower part of a unit is dominated by F1
431 intercalated with rare F2, muddy deformed deposits (F8) and tilted intervals. Beds usually thin upward
432 and gradually become more bioturbated (transition from F1 to F2). Some rare units are characterized
433 by a lower part dominated by mottled, very thin-bedded mudstone (F2) grading into very thin-bedded
434 mudstone (F1) and then grading back into F2 (from 816.50 m up to 806 m for example; Fig. 2). In the
435 unit targeted for TOC analysis, the lower part TOC values increase upward and range from 0.62 to 1.72
436 wt.% with an average of 0.93 wt.% TOC (n = 23) (Fig. 10).

437 In the upper part of the units, F2 grades to darker intraclast-bearing, very thin- to thin-bedded mottled
438 mudstone (F4) and carbonaceous mudstone (F6) intercalated with rare mottled very thin-bedded

439 mudstone (F2), homogenous mudstone (F5), intraclast-bearing, thin- to medium-bedded mudstone (F3),
440 and partially cemented mudstone (F7). The intensity of bioturbation, burrow size, concentration of pyrite
441 and carbonate-rich concretions are usually higher than in the lower part of the units (Figs 2 and 10).
442 However, grain-size is similar between the lower and upper parts. The upper part TOC values are higher
443 and range from 1.06 to 2.28 wt.% with an average of 1.71 wt.% (n = 19) (Fig. 10). Ash-rich sandstone
444 beds (F9) are found in both the lower and upper parts of the units (Figs 2 and 10).

445 Interpretation

446 These repeated and relatively well-organized mudstone depositional units show some variability in
447 thickness and facies-stacking pattern (Fig. 2), but all contain a lower part dominated by facies interpreted
448 to record dilute, low-density turbidity currents, potentially derived from hyperpycnal input (F1, F2),
449 together with occasional sediment remobilization (F8). The upper part is dominated by more bioturbated
450 and organic-rich facies with common mudstone intraclasts (F3 to F6), interpreted as transitional flows
451 and debris flow deposits with scarce indicators of suspension fallout processes.

452 The low bioturbation intensity and small burrow size in the lower part of a depositional unit (dominated
453 by F1 and F2) suggest bottom-water physicochemically stressed conditions that may be linked to high
454 sediment accumulation rate from relatively frequent low-density turbidity currents (Fig. 11) (e.g.
455 MacEachern et al., 2007; van der Kolk et al., 2015). Freshwater input from hyperpycnal flows may have
456 decreased bottom-water salinity and increased the stressed conditions for benthic organisms (e.g.
457 MacEachern et al., 2007; Flaig et al., 2016). The deformed deposits (F8) suggest occasional and
458 localized shelf or slope instabilities (Fig. 11) which may be related to the interpreted high sediment flux.
459 The high sediment accumulation rate is also suggested by common soft-sediment deformations (Fig.
460 4C) and tilted intervals (Fig. 10). The vertical transition from F1 to F2 associated with an increase in
461 bioturbation intensity and burrow size and a decrease of bed thickness (Fig. 12) suggests a gradual
462 decrease in hyperpycnal flow activity with more time available between depositional events for benthic
463 organisms to disturb the primary sedimentary fabric (e.g. Wetzel, 1991; MacEachern et al., 2007; Heard
464 et al., 2014). The decrease in direct freshwater river input may have led to an increase of bottom-water
465 salinity, therefore, decreasing bottom-water stressed conditions. The rare units characterized by F2
466 grading into F1 and grading into F2 suggests waxing-then-waning sediment flux.

467 The higher bioturbation intensity, bigger burrow size and organic enrichment of the debrites and

468 transitional flow deposits (F3 to F6) in the upper part of the depositional units suggest lower sediment
469 accumulation rate and frequency of flow events (Fig. 12). The debris flows and transitional flows may
470 have originated from shelf or slope instabilities (Fig. 11) or from the transformation of low-density
471 turbidity currents (e.g. Kane et al., 2017). The more common carbonate-rich concretions and partially
472 cemented mudstone (F7) indicate occasional depositional breaks associated with early diagenetic
473 processes and condensed horizons.

474 These observations suggest a gradual decrease in sediment accumulation rate and frequency of flow
475 events at the scale of a single depositional unit (Fig. 12) associated with a decrease in physicochemical-
476 stressed bottom-water conditions. The lower part of a depositional unit dominated by low-density
477 turbidites therefore represents relatively proximal deposits related to high rates of sediment input while
478 the upper part, dominated by transitional flow deposits and debrites, represents deposition in a more
479 distal or lateral position (Fig. 11). No significant grain size variations are observed between the lower
480 and upper part of a depositional unit, which suggests no major variations of sediment calibre supplied
481 to the deep-water environment. Possible controls on facies stacking pattern and unit organisation are
482 discussed below.

483

484 **DISCUSSION**

485 **The record of hyperpycnal flows in deep-water mudstones**

486 Hyperpycnal flow processes are interpreted to be common in the lower part of the depositional units
487 (Figs 10 and 12) marked by inversely-to-normally graded sections in bed type II on F1 (Fig. 4E). The
488 co-occurrence of both normally graded beds (bed type I) and inversely-to-normally graded beds (bed
489 type II) in F1 may be explained by the evolution of the flow structure during a sediment-density-flow
490 event. In distal basinal locations, the faster moving part of a hyperpycnal flow (generated during the river
491 flood peak discharge) tends to overtake the slower moving part of the flow such that the velocity
492 distribution pattern is similar to that of a surge-type current (Kneller and McCaffrey, 2003). As a
493 consequence, waxing-waning flow is usually only preserved in proximal location whereas distal basinal
494 location only records waning flow, and the resulting deposits resemble classic low-density turbidites
495 (Kneller and McCaffrey, 2003). Both normally graded and inversely-to-normally graded beds, however,

496 have been observed 700 km away from a river mouth in the Central Japan Sea (Nakajima, 2006). The
497 latter study proposed that the presence of inversely-to-normally graded beds in such a distal basinal
498 location was explained by a long-term lag between the beginning and the peak discharge of the flow
499 such that the faster moving part of the flow does not overtake the slower moving part of the flow within
500 the travel distance between the river mouth and the site of deposition.

501 It is proposed that the relatively scarce (but usually thicker) inversely-to-normally graded bed
502 type II may have been deposited during larger flood events while the more common normally graded
503 bed type I may have been deposited by lower-magnitude floods. A waxing-waning flow depositing bed
504 type II is expected to wane in distal positions depositing normally graded beds (similar to bed type I).
505 Bed type I and II may, therefore, be genetically linked and bed type I may represent the distal expression
506 of bed type II (Fig. 13A). The common sharp contact between the middle and upper subdivisions in bed
507 type I (Figs 4D and 5) may indicate that sediment was bypassed to more distal locations. Bed type I
508 without a bypass surface may, therefore, represent the distal-most expression of river-derived turbidity
509 currents recognized in this succession (Fig. 13A). Another potential mechanism for the deposition of
510 bed type I is related to surge-type intrabasinal flows, induced by gravitational failure following rapid
511 deposition of sediments in the delta front or slope during a flood event (Talling et al., 2013). This process,
512 however, cannot account for the development of waxing-waning flows and the deposition of inversely-
513 to-normally graded bed type II.

514 Hyperpycnites are widely described in the marine realm from shelf to basin-floor environments
515 (Nemec, 1995; Mutti et al., 2003; Plink-Björklund and Steel, 2004; Petter and Steel, 2006; Bhattacharya
516 and MacEachern, 2009; Zavala et al., 2011; Van der Kolk et al., 2015). This type of deposit may be
517 more common than previously thought in the mudstone record as noted previously by Soyinka and Slatt
518 (2008). A careful microscopic characterisation of thin beds—grading, sedimentary structures, stacking
519 pattern, continental organic fragment content—may, therefore, help to recognize evidence for
520 deposition by hyperpycnal flows in deep-water mudstones.

521

522 **Origin and transport of mudstone intraclasts**

523 A common feature recognized in F3, F4, F5 and F6 in the upper part of a depositional unit (Figs 10 and

524 12) is the presence of mudstone intraclasts. Recent studies have demonstrated that mudstone
525 intraclasts are relatively common in mudstone successions deposited in a wide range of environments
526 and that they can be eroded from water-rich mudstone at the sea floor, transported for tens to hundreds
527 of kilometres as bed load, and deposited when the flow decelerates (Schieber et al., 2010; Plint et al.,
528 2012; Schieber and Bennett, 2013; Plint, 2014; Schieber, 2016). When mudstone intraclasts are
529 abundant, a diagnostic microscopic lenticular fabric is observed within the resultant deposits (e.g.
530 Schieber et al., 2010; Schieber, 2016). Mudstone intraclasts can also form lags at the base of beds (e.g.
531 Schieber, 1998; Plint et al., 2012). However, the deep-water mudstone facies described here are devoid
532 of a lenticular fabric and the mudstone intraclasts are usually scattered in centimetre to decimetre thick
533 beds rather than concentrated as lags. The interpreted range of depositional processes transporting and
534 depositing these intraclasts (debris flows and transitional flows) have been observed in coarser grained,
535 deep-water sediments from the Gulf of Mexico (Kane and Pontén, 2012). Kane et al. (2017) have shown
536 that a turbulent flow can evolve into a debris flow (laminar) or a transitional flow with the entrainment of
537 sea floor mud and flow deceleration. Here, the mudstone intraclasts are found mainly in the upper part
538 of the depositional units interpreted to be deposited in a distal or lateral position relative to sediment
539 input (Fig. 11). They are flattened but do not show bending or squeezing between more resistant
540 particles. Moreover, some mudstone intraclasts are partially cemented by calcium phosphate and
541 calcium carbonate. Post-depositional bioturbation of the clasts is usually limited compared to the
542 mudstone matrix. Mechanisms for the incorporation, transport and deposition of these mudstone
543 intraclasts (Fig. 13B) are:

544 **1** Because of the interpreted distal or lateral position relative to the sedimentation input (Fig. 11),
545 time was available between sediment density flows for early consolidation of mud on the sea floor
546 (either on the shelf, the slope, or the basin-floor). This process is interpreted to generate the
547 consolidation of the partially cemented mudstone (F7). Early consolidation of pre-compacted sea
548 floor mud may have occurred due to bacterial mediated precipitation of calcite and phosphate
549 cements in the pore space (Curtis, 1980; Plint and Macquaker, 2013).

550

551 **2** Occasional muddy-sediment-density flows (turbulent or laminar) may have been triggered through
552 shelf or slope instabilities (Fig. 11).

553

554 **3** These sediment density flows may have eroded and entrained mudstone clasts from the partially
555 consolidated sea floor (Figs 3G and 6A). These rip-up intraclasts may have travelled for tens to
556 hundreds of kilometres (e.g. Schieber, 2010) and may have been abraded and disintegrated due to
557 particle collision.

558
559 **4** The generation of smaller particles may have increased cohesion of the flow, triggering
560 transformation into a debris flow (laminar) or transitional flow (laminar and turbulent) (Baas et al.,
561 2009; Sumner et al., 2009; Kane et al., 2017). Flow transformation may have also occurred due to
562 flow deceleration linked to the presence of sea floor topography (Sumner et al., 2009).

563
564 **5** Depending on the flow state during flow deceleration, either debrites or transitional flow deposits
565 were preserved.

566
567 **6** The relatively flat shape of the mudstone intraclasts suggests that they were subsequently
568 compacted during burial (Schieber, 2016). Alternatively, the clasts may have been eroded from
569 consolidated sea floor mudstone as relatively flat rip-up intraclasts. The limited post-depositional
570 bioturbation of the mudstone intraclasts compared to the mudstone matrix suggests that they were
571 deposited as partially consolidated particles, to be able to resist biogenic disruption.

572

573 Debrites and transitional flow deposits have also been recently recognized in other deep-water
574 mudstone successions (Konitzer et al., 2014; Harazim and McIlroy, 2015; Newport et al., 2018).
575 Therefore, these energetic flows, usually associated with sandy deep-water systems, may be more
576 common in deep-water mudstone successions than previously thought. Moreover, distal or lateral
577 positions, usually interpreted to be characterized by vertical suspension fallout processes in deep-water
578 environments, may include significant volumes of the deposits of higher energy sediment density flow
579 processes.

580

581

582 **Allogenic and autogenic controls on the depositional units**

583 The vertical facies stacking pattern observed within a single depositional unit may be related to allogenic
584 and/or autogenic controls, both of which are discussed below. The upward increase in bioturbation
585 intensity, burrow size and early cementation processes within most depositional units is interpreted to
586 represent a decrease in sediment accumulation rate and frequency of flow events (Fig. 12). This
587 suggests deposition in a gradually more distal or lateral environment relative to the sediment input.

588 A commonly presented allogenic control on deep-water systems is relative sea-level (e.g.
589 Posamentier et al., 1991; Posamentier and Kolla, 2003; Flint et al., 2011). The usually sharp base to
590 each depositional unit, with dominance of hyperpycnal flows and low bioturbation (Figs 10 and 12) can
591 be interpreted as consistent with times of lowered sea-level. Hyperpycnal flows preferentially develop
592 during lowstand when deltas are at the shelf edge or at the canyon head, providing a frequent supply of
593 low-density turbidity currents downslope (e.g. Mitchum et al., 1977; Mutti, 1985; Shanmugam et al.,
594 1985; Mutti and Normak, 1991; Posamentier et al., 1991). Moreover, muddy sediments near the shelf
595 edge at lowstand are prone to collapse, generating mass-wasting processes, possibly recorded by the
596 deformed deposits (F8). The collapse scars generated on the shelf edge or the slope may have acted
597 as conduits for hyperpycnal flow, thus increasing runout distance (Henriksen et al., 2011). Other authors
598 have proposed that hyperpycnal flow activity decreases at lowstand because rivers tend to merge and
599 drain larger catchment areas, which decreases the river sediment load (Mulder and Syvitski, 1995,
600 1996). The usually low bioturbation intensity, small burrow size and common instability indicators (soft
601 sediment deformations, tilting) in the interpreted hyperpycnites (F1 and F2; Figs 10 and 12) suggest a
602 high frequency of flow events and a high sediment accumulation rate that are more likely to occur during
603 relative sea-level fall.

604 The upper part of a depositional unit is always dominated by more bioturbated debrites and
605 transitional flow deposits (Figs 2, 10 and 12). The interpreted lowered sediment flux to the deep-water
606 environment is consistent with the reduced sediment supply expected during highstands of relative sea
607 level, due to increased shelf accommodation and sediment storage. This reduction in sediment supply
608 may have promoted early consolidation of sea floor mud, and occasional sediment density flows derived
609 from shelf or slope instabilities may have reworked the sea floor to deposit rip-up mudstone intraclasts.
610 Highstands in deep-water environments are usually characterized by anoxic to dysoxic conditions, high

611 TOC content and common pyrite (e.g. Wignall, 1994; Bohacs, 1998; Abouelresh and Slatt, 2012; Ayranci
612 et al., 2018b). Hemipelagic and pelagic vertical suspension fallout is usually the dominant interpreted
613 depositional processes with only rare sediment-density flows (e.g. Konitzer et al., 2014). The dataset for
614 this study strongly challenges this generalized model insofar as the upper part of each depositional unit
615 is mostly characterized by the product of sediment-laden flows (mostly debris flows and transitional
616 flows; Fig. 12). Moreover, the pervasive presence of bioturbation indicates a persistent oxygenated sea
617 floor. Recent studies of shelf mudstone successions indicate the presence of active sedimentary
618 processes (storms, geostrophic currents, wave-enhanced gravity flows) that re-suspend previously
619 deposited mud to generate turbidity currents moving downslope by gravity (Ichaso and Dalrymple, 2009;
620 Macquaker et al., 2010; Denommee et al., 2016; Poyatos-Moré et al., 2016; Birgenheier et al., 2017).
621 Shelf sedimentary processes may therefore have generated intermittent sediment density flows, which
622 reached basin-floor environments in the Lower Ecca Group during highstand conditions, allowing
623 oxygenation of the sea floor.

624 Another common mechanism controlling deep-water stacking pattern is autogenic
625 compensational stacking (e.g. Mutti and Sonnino, 1981; Deptuck et al., 2008; Prélat et al., 2009; Prélat
626 and Hodgson, 2013). In this model, successive muddy low-density turbidites (F1 and F2) created
627 depositional topography. Through time, the generation of topography may have re-routed later low-
628 density turbidity currents laterally into topographic lows. Due to the gradual decreasing sediment flux, in
629 a vertical 1D stratigraphic section, bed thickness is expected to decrease upward, while bioturbation
630 intensity and burrow size are expected to increase, as observed in our dataset within a single
631 depositional unit (Figs 10 and 12). The high bioturbation intensity and larger burrows observed in the
632 upper part of the depositional units (dominated by F3 to F7) may, therefore, be related to a more lateral
633 position relative to the sediment entry point (Fig. 11). In this environment further from the main sediment
634 input, the lower sediment accumulation rate may have favoured early consolidation of sea floor mud and
635 the growth of early cements with occasional erosion and entrainment of rip-up intraclasts by flows
636 derived from shelf or slope instabilities. The usually abrupt base of the overlying unit (Fig. 10) may
637 indicate avulsion of the sediment entry point in the upstream part of the system (e.g. Gervais et al.,
638 2006; Prélat et al., 2009).

639 In summary, the well-ordered and repeated nature of the depositional units is consistent with a

640 regular control mechanism. The 1D nature of the core dataset limits the analysis of autogenic and
641 allogenic controls, which requires regional mapping of units and key surfaces to assess whether the
642 strongly organized nature of the stratigraphy is present at a larger scale.

643

644 **Stacking pattern of depositional units**

645 The thickness of depositional units and proportion of facies vary stratigraphically (Fig. 2). This stacking
646 pattern is interpreted to record long-term changes in depositional conditions in the deep-water
647 environment of the Karoo Basin. The core succession is linked to existing lithostratigraphy (Johnson et
648 al., 2006), based on sedimentological and stacking pattern differences (Fig. 2). The dominance of
649 carbonaceous mudstone (F6) in the Prince Albert and Whitehill formations (Fig. 2) suggests a distal
650 position relative to the sediment entry point. This is also suggested by common concretions (Fig. 2). The
651 upward decreasing proportion of F6, increasing proportion of very thin-bedded mudstone (F1) and
652 mottled very thin-bedded mudstone (F2), and increasing depositional units thickness in the Collingham
653 and lower Tierberg formations suggest a progressive increase of energy in the deep-water environment,
654 likely produced by long-term progradation of the sediment delivery system. The middle Tierberg
655 Formation records the thickest depositional units and the lowest bioturbation intensity of the succession.
656 The proportion of F1 and F2 within each depositional unit is the highest of the succession. Indicators of
657 sediment instability (deformed deposits and tilted intervals) are also common. This part of the
658 succession, therefore, records the highest energy conditions and sediment flux associated with the
659 maximum progradation. Decreasing unit thickness in the upper Tierberg Formation, associated with the
660 decreasing proportion of F1 and F2, increasing bioturbation intensity and transition from coarse to fine
661 mudstone, suggests decreasing sediment flux consistent with a retrogradation of the sediment delivery
662 system. The decreasing grain size could also be related to a change of sediment calibre delivered to
663 the deep-water environment, linked to a major rearrangement of the basin margin.

664 To summarize, the stacking pattern of successive depositional units indicate a long-term
665 progradation of the sediment delivery system, followed by a major retrogradation, before the
666 emplacement of the overlying sandy basin floor fans of the Skoorsteenbergs Formation (Johnson et al.,
667 2001; Hodgson et al., 2006). This demonstrates that a detailed multi-scale characterization of thick and

668 continuous deep-water mudstone successions may help to evaluate the evolution of depositional
669 conditions within deep-water environments.

670

671 **CONCLUSIONS**

672 This study documents an exceptionally thick and continuous deep-water mudstone succession from the
673 Permian Karoo Basin. The combination of macroscopic and microscopic descriptions allowed for the
674 definition of nine sedimentary facies deposited by a wide range of processes—low-density turbidity
675 currents, debris flows, transitional flows, slumps, slides, vertical suspension fallout—in a dominantly
676 oxic to dysoxic environment. Facies stack to produce repeated and well-organized 2 to 26-m-thick
677 depositional units. Each unit is characterized by a lower part dominated by low-density turbidites, with
678 evidence for hyperpycnal flow processes and sediment remobilization, and an upper part dominated by
679 debrites and transitional flow deposits with common mudstone intraclasts. These units show an internal
680 upward increase in bioturbation intensity, burrow size, total organic carbon, and early cementation
681 processes interpreted to indicate a gradual decrease in sediment accumulation rate and frequency of
682 flow events. This vertical pattern suggests deposition in gradually more distal or lateral environments
683 relative to the sediment input. At the larger scale, successive depositional units thicken-upward and then
684 thin- upward, interpreted to represent a long-term progradational to retrogradational stratigraphic trend.
685 This thick deep-water mudstone successions contains no clear evidence for volumetrically significant
686 deposition by pelagic and hemipelagic suspension fallout, challenging the traditional view that deep-
687 water mud are deposited by slow rainout in quiescent environments. Conversely, this study suggests
688 that most deep-water mud can be transported and deposited by tractive transport processes along
689 continental margins, which has implications for the correct interpretation of time of clastic starvation and
690 depositional rates in deep-water environments. More systematic descriptions of deep-water mudstones
691 using a range of techniques—conventional core logging, optical microscopy, electron microscopy,
692 ichnology—similar to the characterization of shallow-water mudstones is, therefore, needed to fully
693 capture their heterogeneity, which may also have a major impact on seal capacity or unconventional
694 reservoir characterization, as well as on palaeoenvironmental reconstructions.

695

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1041

1042 **Figure captions**

1043 Fig. 1. Study area. (A) Satellite view of southwest South Africa with location of study area (Tanqua
 1044 depocentre) indicated by white square. (B) Satellite view of Tanqua depocentre situated along eastern
 1045 side of N–S Cederberg Branch of the Cape Fold Belt. Black square indicates location of zoomed map.
 1046 Red dot indicates location of OR-01 core presented in this study. (C) Schematic stratigraphic log of
 1047 Karoo Supergroup in Tanqua depocentre with stratigraphic extent of core presented in this study
 1048 indicated by red dotted square. Adapted from Wickens (1994).

1049 Fig. 2. Summarized sedimentary log of the OR-01 core (from 948.5 m to 410 m), including facies,
 1050 bioturbation intensity, tilted intervals, pyrites nodules, concretions and thin section locations. Dotted lines
 1051 in ash column indicate where an ash bed is not preserved in core but ash material was recovered during
 1052 drilling. See Fig. 1 for geographical location. Bioturbation intensity scale from Taylor and Goldring
 1053 (1993). The Tierberg Formation has been subdivided into three parts based on variations in depositional
 1054 units stacking pattern. fm = fine mudstone, cm = coarse mudstone.

1055 Fig. 3. Illustrations of the nine facies identified in OR-01 core. White dotted squares in core views indicate
 1056 locations of thin-section views. White dotted squares in thin-section views indicate locations of optical
 1057 microscope views. **Facies 1:** very thin-bedded mudstone. (A) Dry core photograph (641.60 m). (B) Thin-
 1058 section scan. Erosional beds, normally graded or inversely-to-normally graded and characterized by
 1059 planar-parallel laminations. Detailed sedimentary log of thin section presented in Fig. 4A. (C) Optical
 1060 microscope photograph. Coarse mudstone dominated by quartz (Q) and clay. Common wood fragments
 1061 (WF) and micas (M). **Facies 2:** mottled very thin-bedded mudstone. (D) Dry core photograph (753.65
 1062 m). Beds usually discontinuous. (E) Thin-section scan. Erosional beds with laminations rarely preserved
 1063 (yellow arrows). (F) Optical microscope photograph. Texture similar to F1. Potential mudstone intraclast
 1064 (yellow-dotted line). **Facies 3:** intraclast-bearing thin-bedded to medium-bedded mudstone. (G) Dry core
 1065 photograph (508.80 m). Erosional dark grey medium mudstone bed overlying partially cemented
 1066 mudstone (F7). Pebble-sized mudstone intraclasts located in basal part of bed (yellow-dotted lines). (H)
 1067 Thin-section scan. Erosional contact. Note stringer-type texture of mudstone intraclast and pyrite
 1068 aggregate above basal erosional surface. (I) Optical microscope photograph. Poorly sorted texture

1069 dominated by quartz (Q) floating in matrix of clay and wood fragments (WF). Note medium sand-sized
1070 intraclast (yellow-dotted lines). **Facies 4:** intraclast-bearing, very thin-bedded to thin-bedded mudstone.
1071 (J) Dry core photograph (609.90 m). Bed boundaries usually faint and hard to spot at core scale. (K)
1072 Thin-section scan. Two bioturbated beds recognized in upper part without clear grading (bed bases
1073 indicated by yellow arrows). (L) Optical microscope photograph. Coarse mudstone. Dominance of quartz
1074 (Q) and clay. Common wood fragments (WF). Note fine sand-sized mudstone intraclast (yellow dotted
1075 lines). **Facies 5:** homogenous mudstone. (M) Dry core photograph (486.40 m). Fine to coarse mudstone.
1076 No physical sedimentary structures recognized due to intense bioturbation. (N) Thin-section scan.
1077 Mottled texture. (O) Optical microscope photograph. Texture consists of dominance of quartz (Q), clay
1078 and wood fragments (WF). Note medium sand-sized intraclast (yellow dotted line). **Facies 6:**
1079 carbonaceous mudstone. (P) Dry core photograph (930.54 m). Dark grey coarse mudstone,
1080 structureless at core scale. (Q) Thin-section scan. Subtle bed contacts (yellow arrows). White arrows
1081 indicate remnant low-angle to planar-parallel laminations. (R) Optical microscope photograph. Texture
1082 dominated by quartz floating in matrix of wood fragments and clays. Note fine sand-sized feldspar grain.
1083 **Facies 7:** partially cemented mudstone. (S) Dry core photograph (782.85 m). Intensely bioturbated
1084 cemented mudstone. (T) Thin-section scan. (U) Optical microscope photograph. Cement consists of
1085 calcium carbonate and calcium phosphate. **Facies 8:** deformed deposits. (V) Dry core photograph
1086 (725.60 m) showing base of lower deformed interval. Base indicated by white-dotted line. Note presence
1087 of mudstone intraclasts (yellow arrows) and similar texture observed in F3. (W) Dry core photograph
1088 (723.50 m) showing internal deformation of lower deformed interval. Note overturned strata below ash-
1089 rich sandstone block as well as the soft-sediment deformation into ash-rich sandstone bed. (X) Dry core
1090 photograph (711.70 m) showing internal deformations of middle deformed interval. Note combination of
1091 plastic and brittle deformation. (Y) Dry core photograph (593.30 m) showing internal deformations of
1092 upper deformed interval. Note combination of plastic and brittle deformation. **Facies 9:** ash-rich
1093 sandstone. (Z) Wet core photograph (552.20 m). Sharp-based, normally graded, ash-rich, very fine-
1094 grained sandstone bed. Lower part characterized by planar-parallel to low-angle laminated very fine
1095 sandstone passing upward into bioturbated, structureless to deformed very fine sandstone with
1096 carbonate cemented top. Sharp contact between the very fine sandstone and bioturbated
1097 (Helminthopsis) coarse mudstone (mud cap) is present.

1098 Fig. 4. Facies 1 (very thin-bedded mudstone): examples of key features. (A) Wet core photograph

1099 (700.15 m). Fine to coarse mudstone with continuous mm-thick normally graded, inversely graded or
 1100 inversely-to-normally graded beds. Bioturbation dominated by Helminthopsis (He) and Phycosiphon
 1101 (Ph). (B) Wet core photograph (771.92 m). Scour (black dotted line) with coarse sand-sized mudstone
 1102 clasts (white arrows). Carbonate-cemented bed with pyrite nodule located above scour. Bioturbation
 1103 dominated by Helminthopsis (He). (C) Wet core photograph (620.80 m). Soft-sediment deformation.
 1104 Black dotted lines indicate planes of deformation. (D) Optical microscope photograph (641.60 m).
 1105 Example of bed type I. Erosional basal contact (black dotted line); lower subdivision (1) coarse mudstone
 1106 with discontinuous planar-parallel laminations (yellow arrows indicate laminae); middle subdivision (2)
 1107 structureless and normally graded coarse mudstone; upper subdivision (3) sharp-based ungraded
 1108 bioturbated fine mudstone. (E) Optical microscope photograph (619.64 m). Example of bed type II.
 1109 Gradational basal contact (black dotted line); lower subdivision (1) inversely graded fine to coarse
 1110 mudstone with continuous planar-parallel laminations (yellow arrows indicate laminae); middle
 1111 subdivision (2) structureless and normally graded fine to coarse mudstone; upper subdivision (3) sharp-
 1112 base ungraded fine bioturbated mudstone. (F) BSE SEM image (641.60 m). Coarse mudstone,
 1113 siliceous-argillaceous. Common wood fragments. Common quartz (Q) with minor mica (M), pyrite (Py)
 1114 and feldspar.

1115 Fig. 5. Facies 1 (very thin-bedded mudstone) microstratigraphy. Bed types (I or II) indicated close to
 1116 graphic log. Note dominance of bed type I in the two examples. (A) Dry core photograph (left) and thin
 1117 section scan (right) (641.60 m). Note complex microstratigraphy and pervasive presence of small
 1118 burrows (mainly Helminthopsis and Phycosiphon). (B) Dry core photograph (left) and thin section scan
 1119 (right) (814.70 m). Note soft-sediment deformations in thick bed at 1.6 cm. fm = fine mudstone; cm =
 1120 coarse mudstone.

1121 Fig. 6. Facies 3 (Intraclast-bearing thin- to medium-bedded mudstone) example of key features. (A) Wet
 1122 core photograph (825.73 m). Intraclast-bearing, ungraded coarse mudstone. Largest intraclasts
 1123 concentrated in lower part of bed (yellow arrows). Middle part of bed characterized by structureless
 1124 coarse mudstone with smaller intraclasts. Upper part more pervasively bioturbated (Helminthopsis).
 1125 Underlying partially cemented mudstone (F7) characterized by Conichnus (Co). Note similar texture
 1126 between the mudstone intraclasts and the underlying cemented mudstone facies (B) Wet core
 1127 photograph (500.74 m) of intraclast-bearing coarse mudstone. Intraclasts aligned along coarser grained

1128 horizons. (C) Optical microscope photograph (500.74 m). Close-up view of intraclasts presented in (B).
1129 Intraclasts highly variable in size (from 80 μm to 700 μm long). Matrix dominated by mixture of quartz,
1130 feldspar, clay, and wood fragment. (D) Optical microscope photograph (500.74 m). Close-up view of
1131 texture of intraclasts.

1132 Fig. 7. Facies 4 (intraclast-bearing very thin to thin-bedded mudstone) examples of key features. (A)
1133 Wet core photograph (568.34 m). Coarse-grained mudstone beds. Beds with two subdivisions: (i)
1134 normally graded coarse mudstone; and (ii) ungraded intraclast-bearing coarse mudstone (intraclasts
1135 indicated by yellow arrows). (B) Wet core photograph (665.15 m) of faintly bedded coarse mudstone.
1136 Note brown banding and laminae (white arrows). (C) Optical microscope photograph (946.58 m) of
1137 argillaceous-siliceous coarse mudstone. Note very fine sand-sized intraclast (yellow-dotted lines). (D)
1138 Optical microscope photograph (672.41 m) of poorly sorted argillaceous-siliceous coarse mudstone.
1139 Note intraclasts (yellow-dotted lines) and very fine sand-sized quartz (Q). (E) BSE-SEM image (672.41
1140 m). Close-up view of an intraclast partially cemented by calcium phosphate and calcium carbonate in a
1141 matrix dominated by quartz and clay. (F) BSE-SEM image (672.41 m). Close-up view of calcium
1142 phosphate-rich elongated fragment, with internal structure, interpreted as a reworked bone fragment.

1143 Fig. 8. Facies 8 (deformed deposits) examples of key features. Some deformations have been
1144 highlighted by black lines. (A) Dry core photograph of the lower deformed interval (from 725.50 to 718.90
1145 m). Deformed interval bounded by white dotted lines. Dominance of plastic deformation (soft-sediment
1146 folding, liquefaction and sand injections). Note presence of decimetre thick, ash-rich sandstone blocks.
1147 (B) Dry core photograph of the middle-deformed interval (from 714.50 to 709.50 m). Base indicated by
1148 white dotted line. Plastic and brittle deformation. Plastic deformation consists of soft-sediment folding,
1149 liquefaction and sand injectites. Brittle deformation consists of normal faults (mainly listric) and reverse
1150 faults.

1151 Fig. 9. Wet core photographs of trace fossils encountered in OR-01 succession. (A) *Phycosiphon* in F7
1152 (555 m). (B) *Helminthopsis* in F1 (668.57 m). (C) *Conichnus* in F2 (743.70 m). (D) *Planolites* in F1
1153 (695.31 m). (E) *Thalassinoides* in F2 (948.40 m). (F) *Cosmorhaphes* in F2 (847.90 m). (G) *Chondrites* in
1154 F2 (701 m). (H) *Teichichnus* in F5 (429 m). Note the different scales of the illustrations.

1155 Fig. 10. Example of a deep-water mudstone depositional unit (from 646.15 to 628.65 m). See Fig. 2 for
1156 stratigraphic position. Note vertical transition from dominance of F1 to dominance of F2 and F4 as

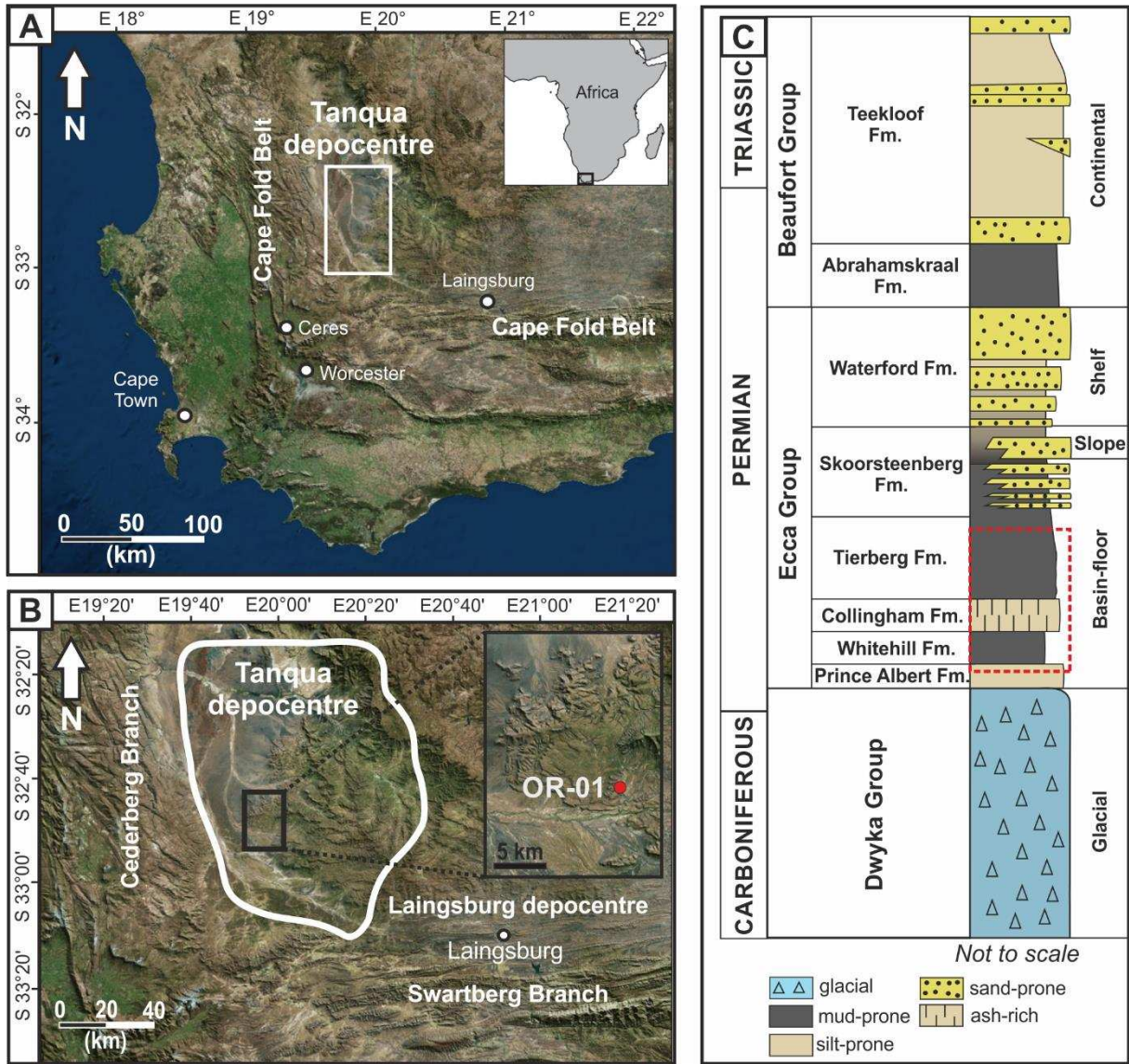
1157 observed in other depositional units in rest of succession. Facies transition accompanied with increase
1158 of bioturbation intensity and TOC. Bioturbation intensity scale from Taylor and Goldring (1993).

1159 Fig. 11. Depositional model of Lower Ecca Group in southwest Karoo Basin. Low-density turbidity
1160 currents predominant near the sediment entry point (depositing F1 and F2), whereas debris flows and
1161 transitional flows predominant in a lateral or distal position (depositing F3, F4, F5 and F6). Early
1162 cementation processes (F7) in areas of low sediment accumulation rate in lateral or distal position.

1163 Fig. 12. Summary conceptual schematic of deep-water mudstone depositional unit. Bed expressions of
1164 different facies illustrated. Bioturbation intensity scale from Taylor and Goldring (1993).

1165 Fig. 13. Interpreted depositional processes depositing deep-water mudstones in the Lower Ecca Group
1166 of the southwest Karoo Basin. (A) In lower part of depositional units, depositional processes dominated
1167 by river-derived, dilute, low-density turbidity currents (hyperpycnal flows). Normally graded bed type I
1168 interpreted to represent distal expression of inversely-to-normally graded bed type II. (B) In upper part
1169 of depositional units in a lateral or distal position relative to the sediment input, depositional processes
1170 dominated by debris flows and transitional flows. Blue colour indicates early cementation and
1171 consolidation of the mud at the sea floor.

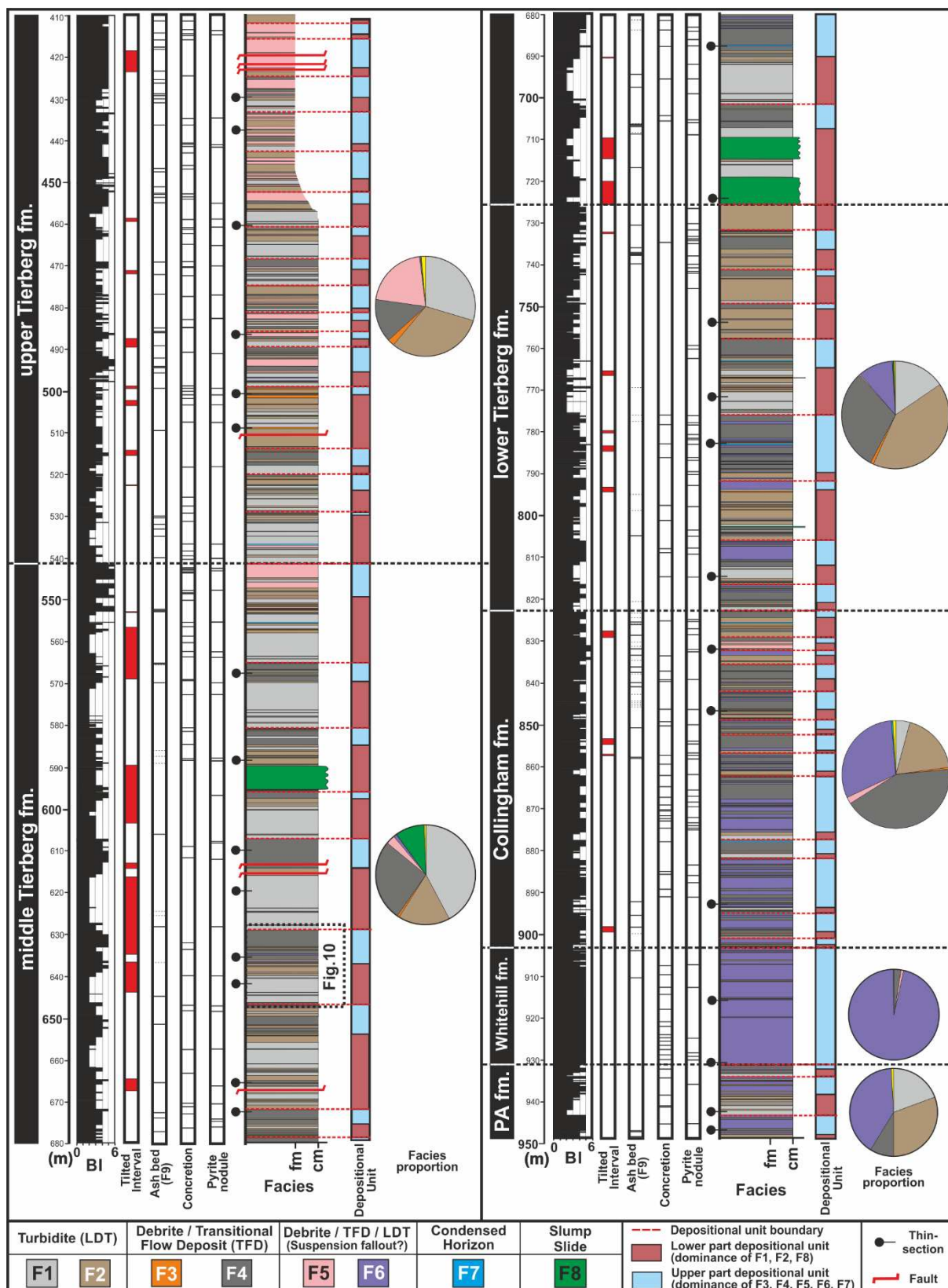
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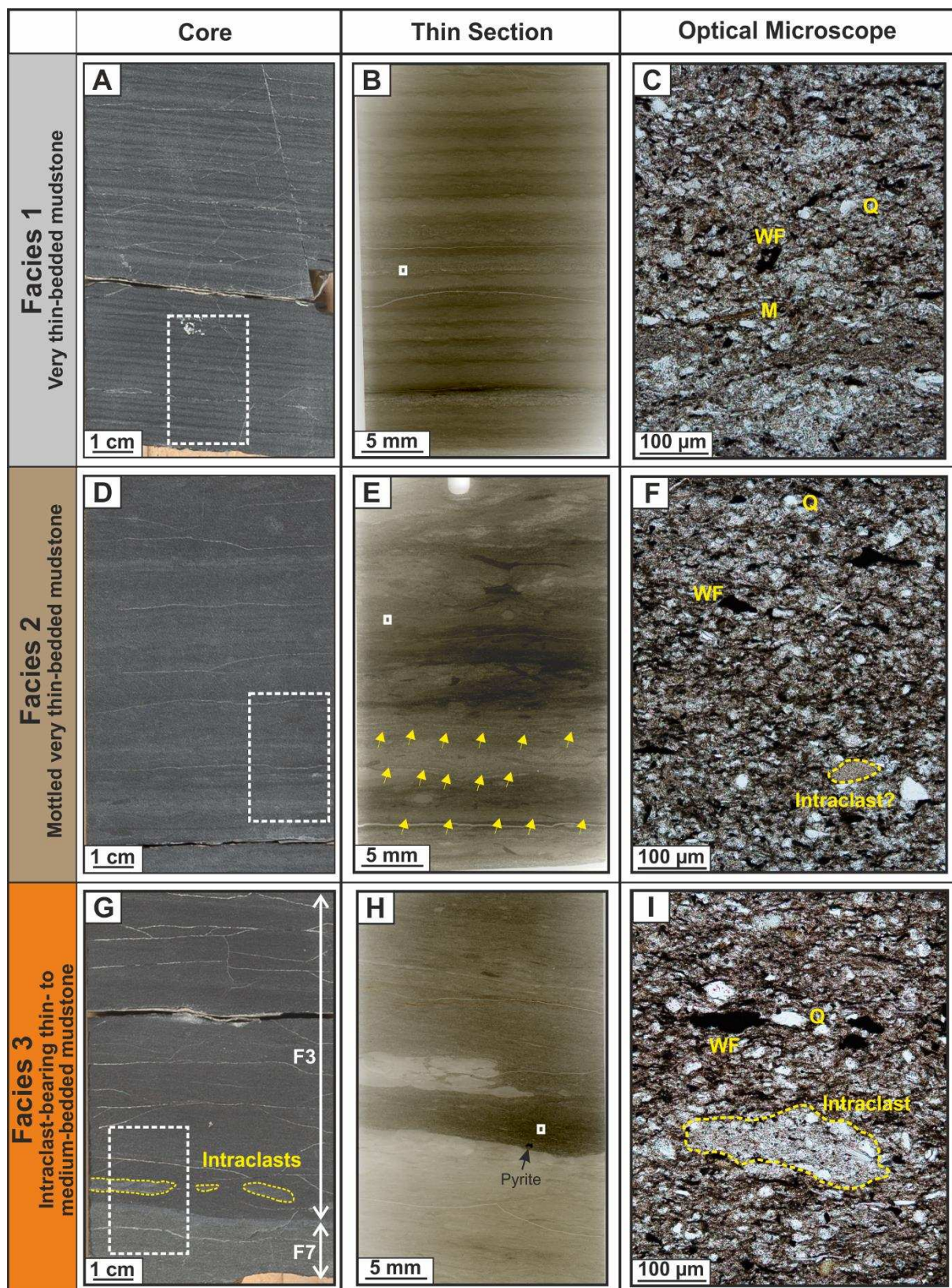
1174 **Figure 1**

1175



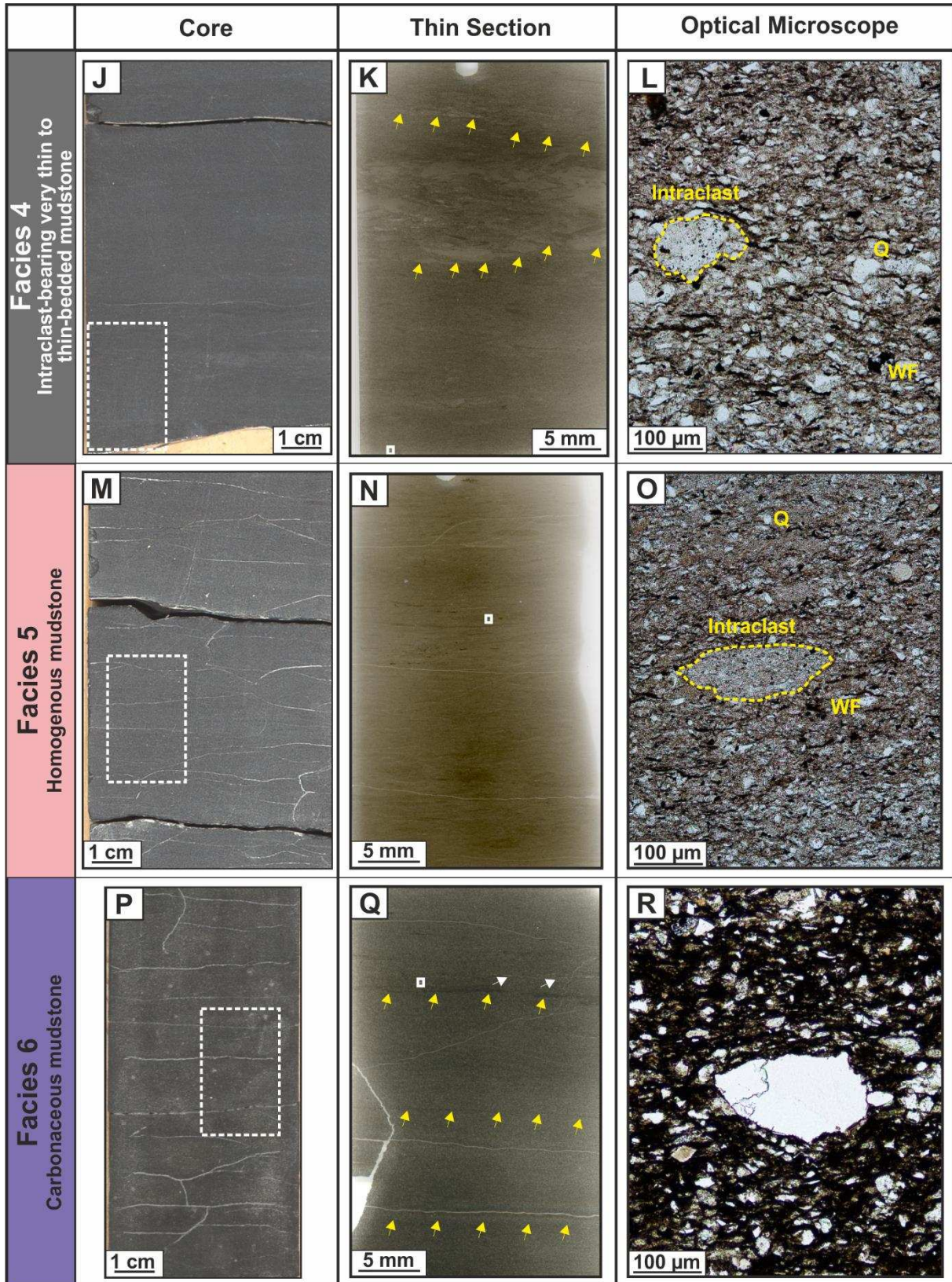
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1177 **Figure 2**



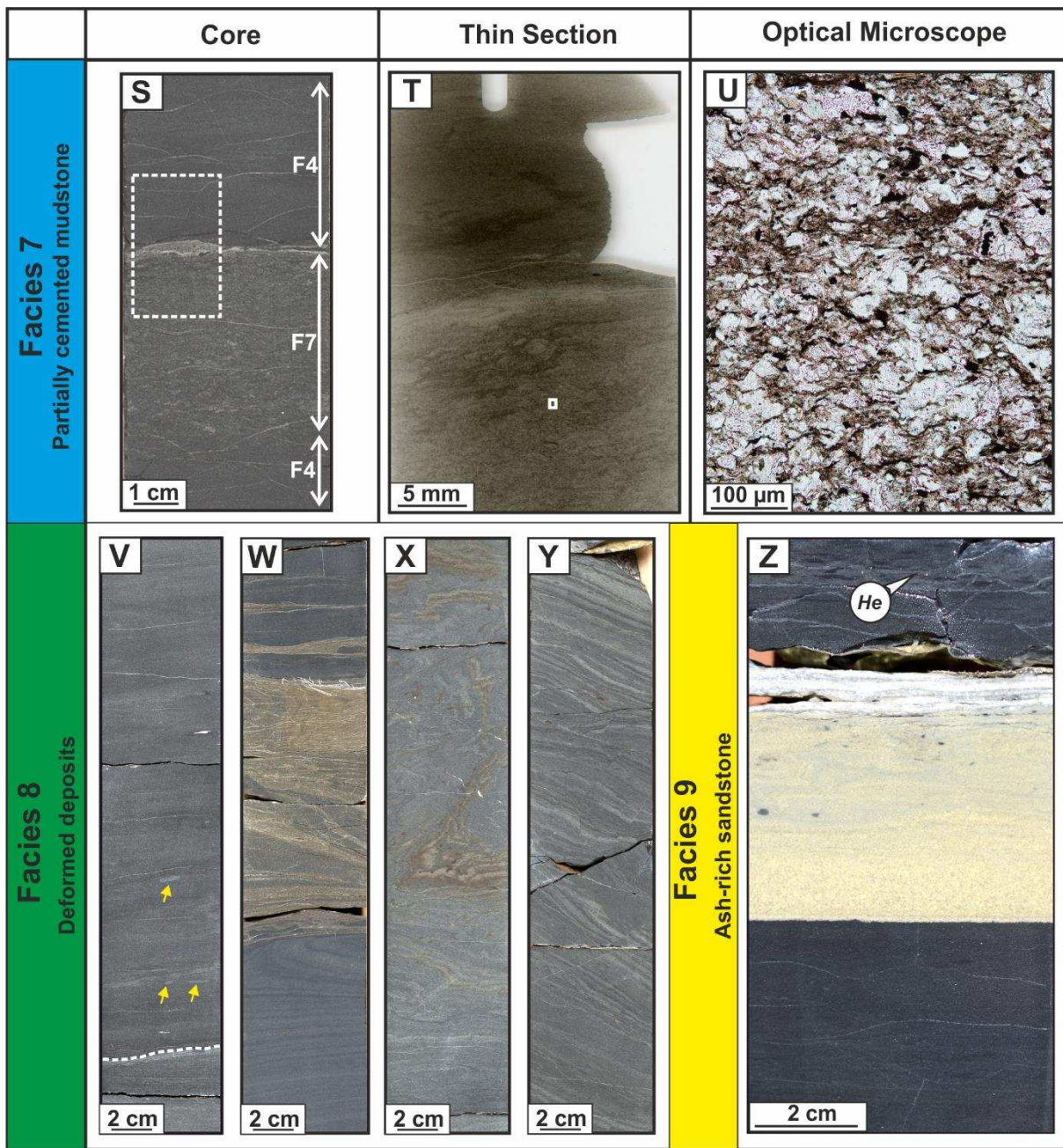
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1179 [Figure 3 \(part 1\)](#)



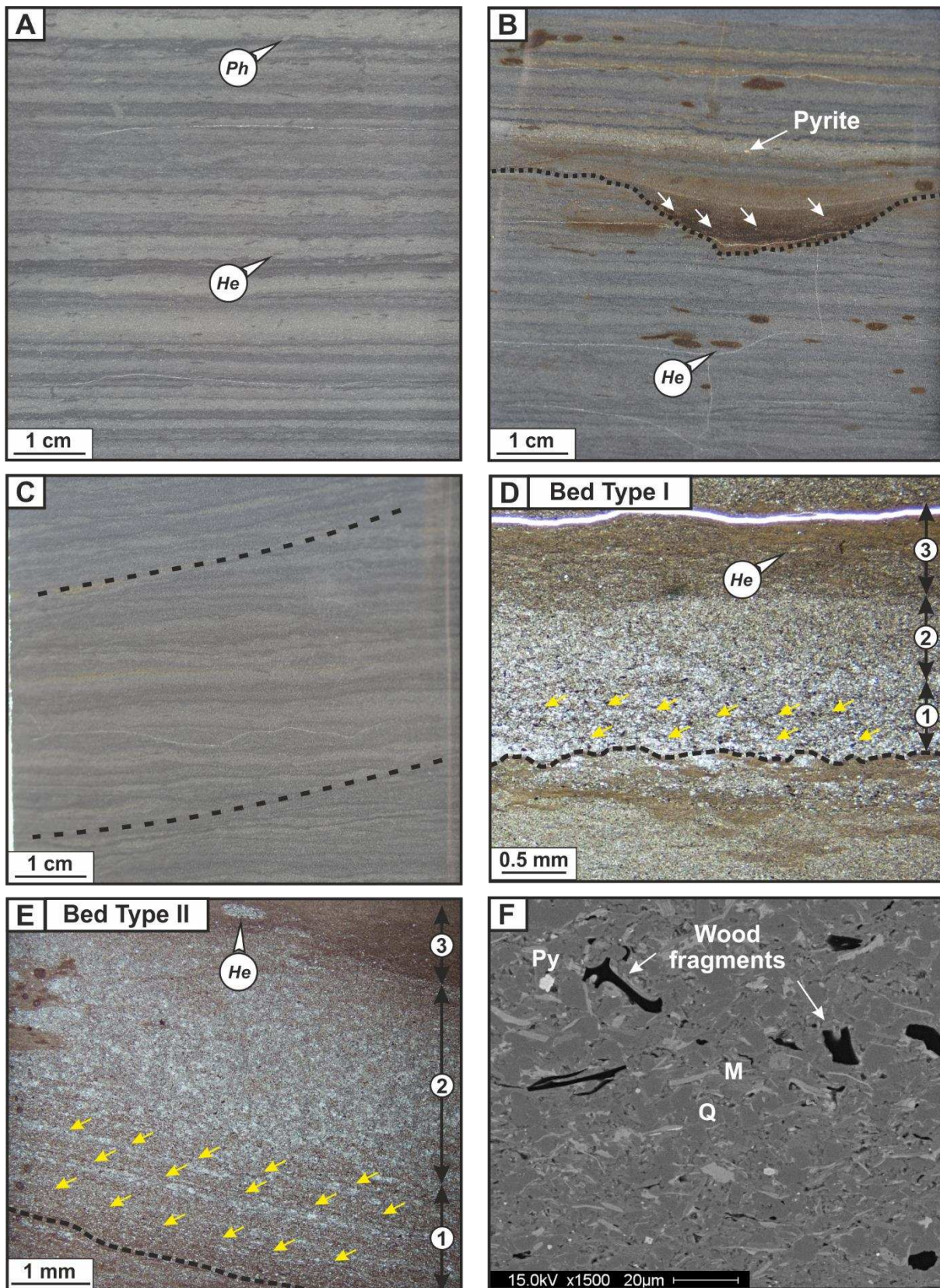
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1181 [Figure 3 \(part 2\)](#)



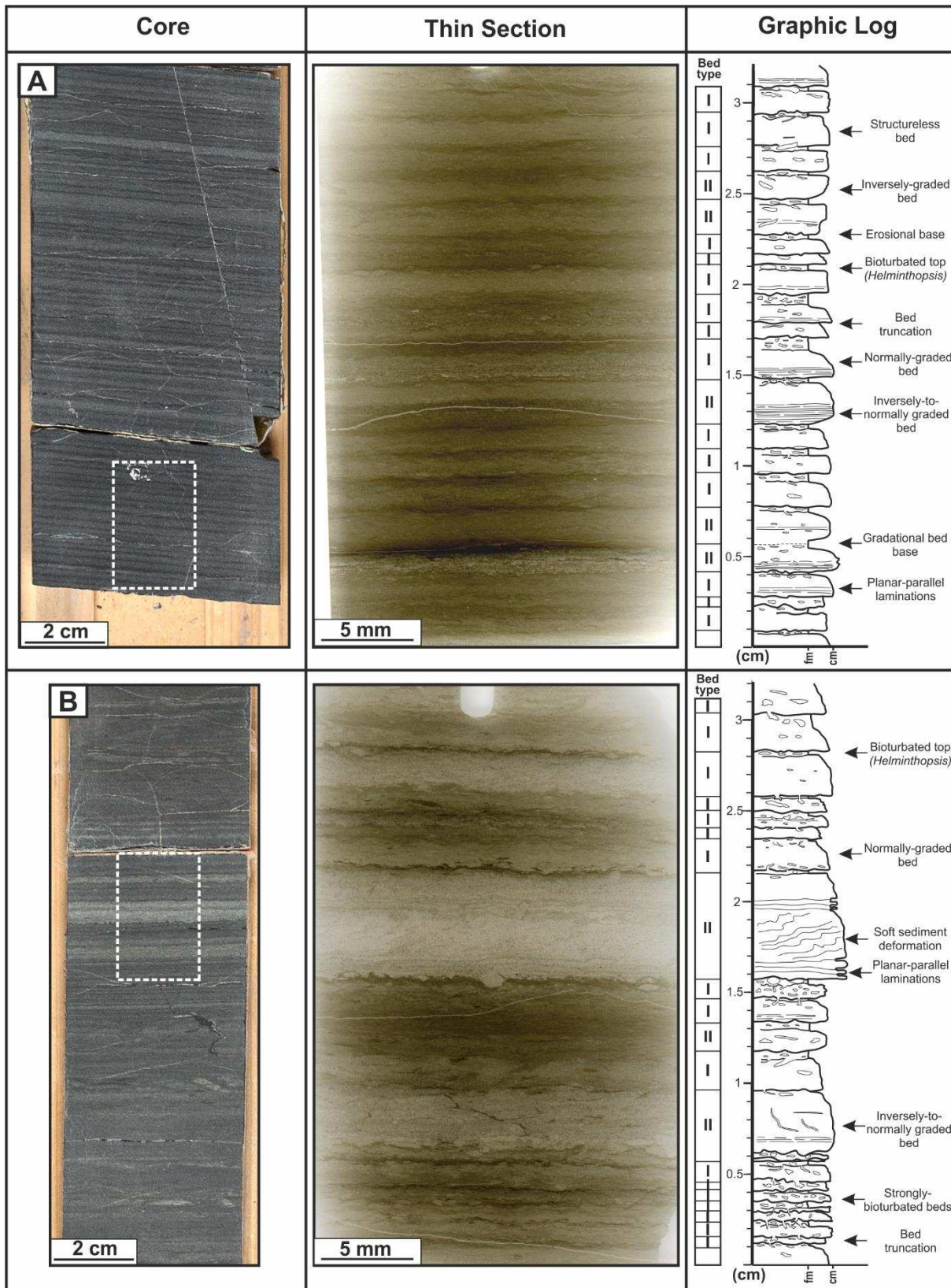
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1183 Figure 3 (part 3)



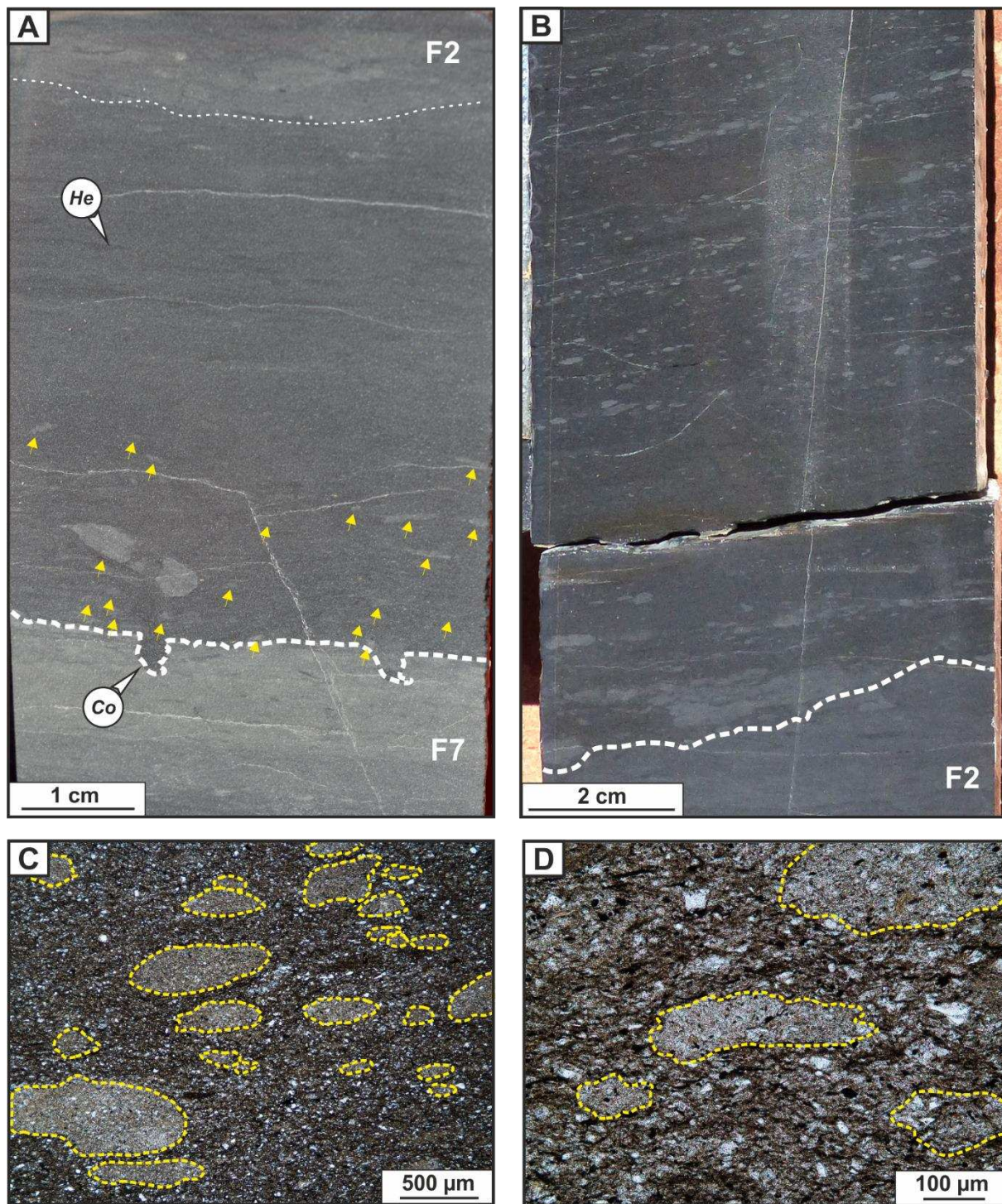
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1185 **Figure 4**



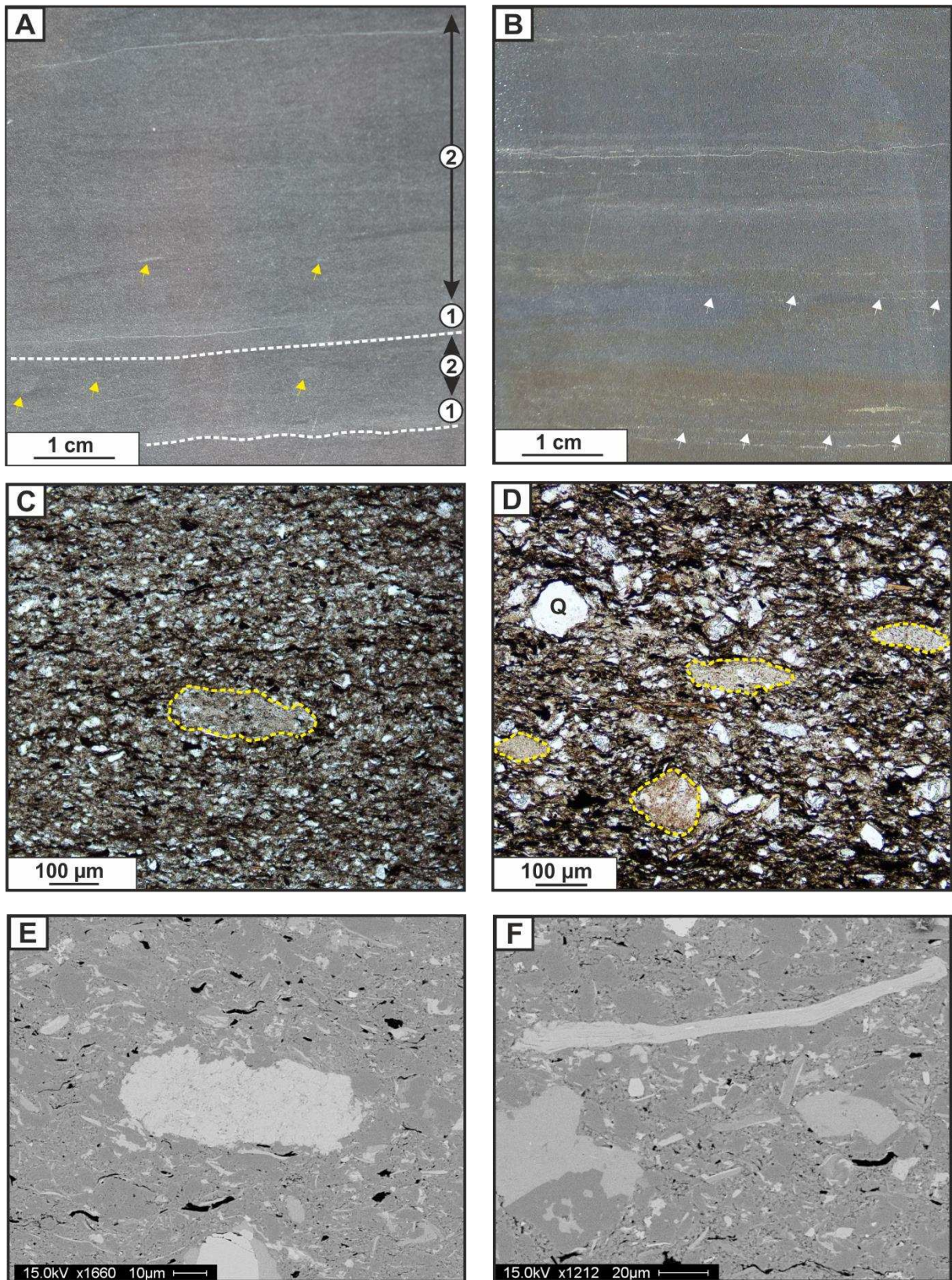
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1187 **Figure 5**



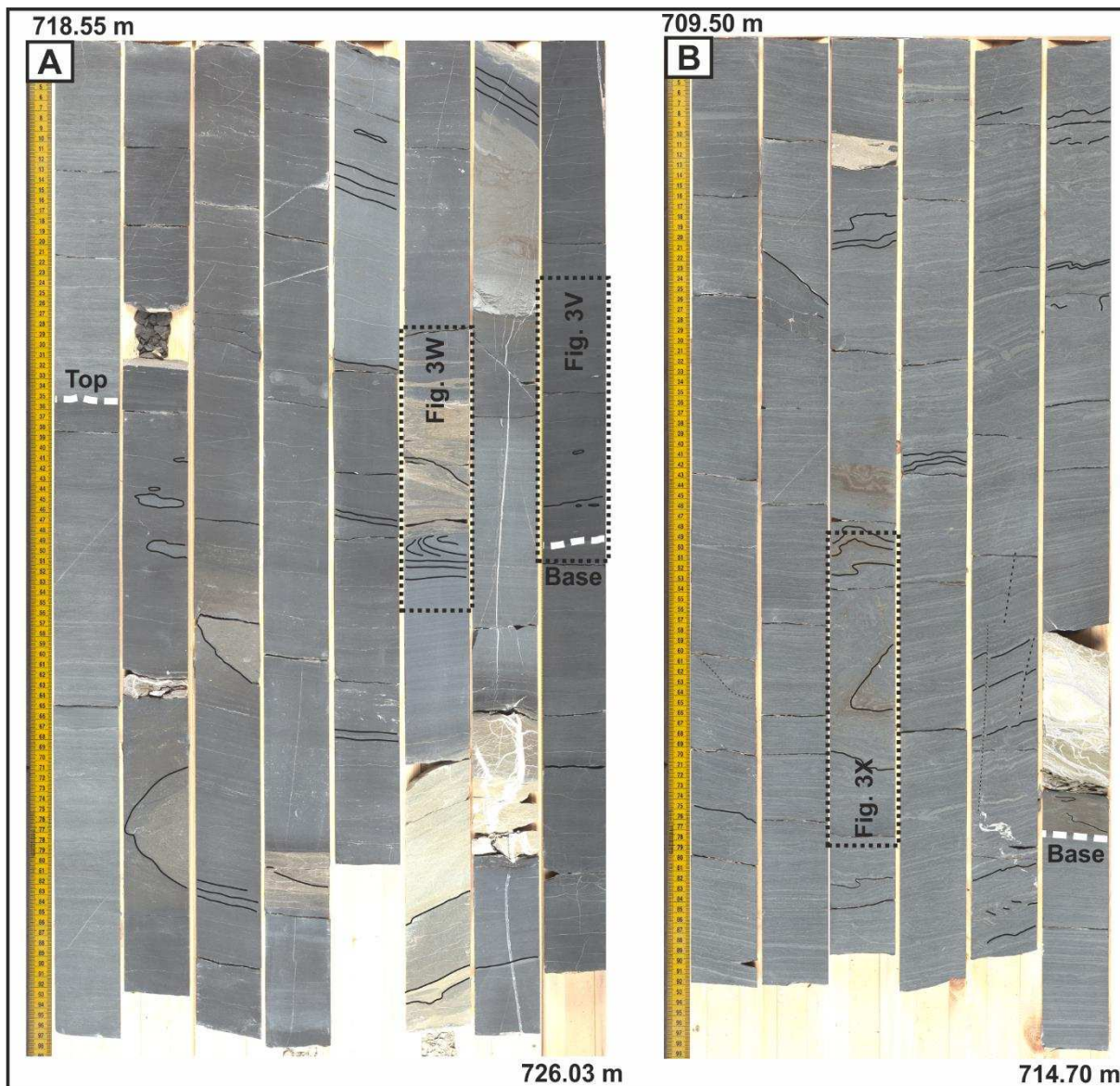
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1189 **Figure 6**



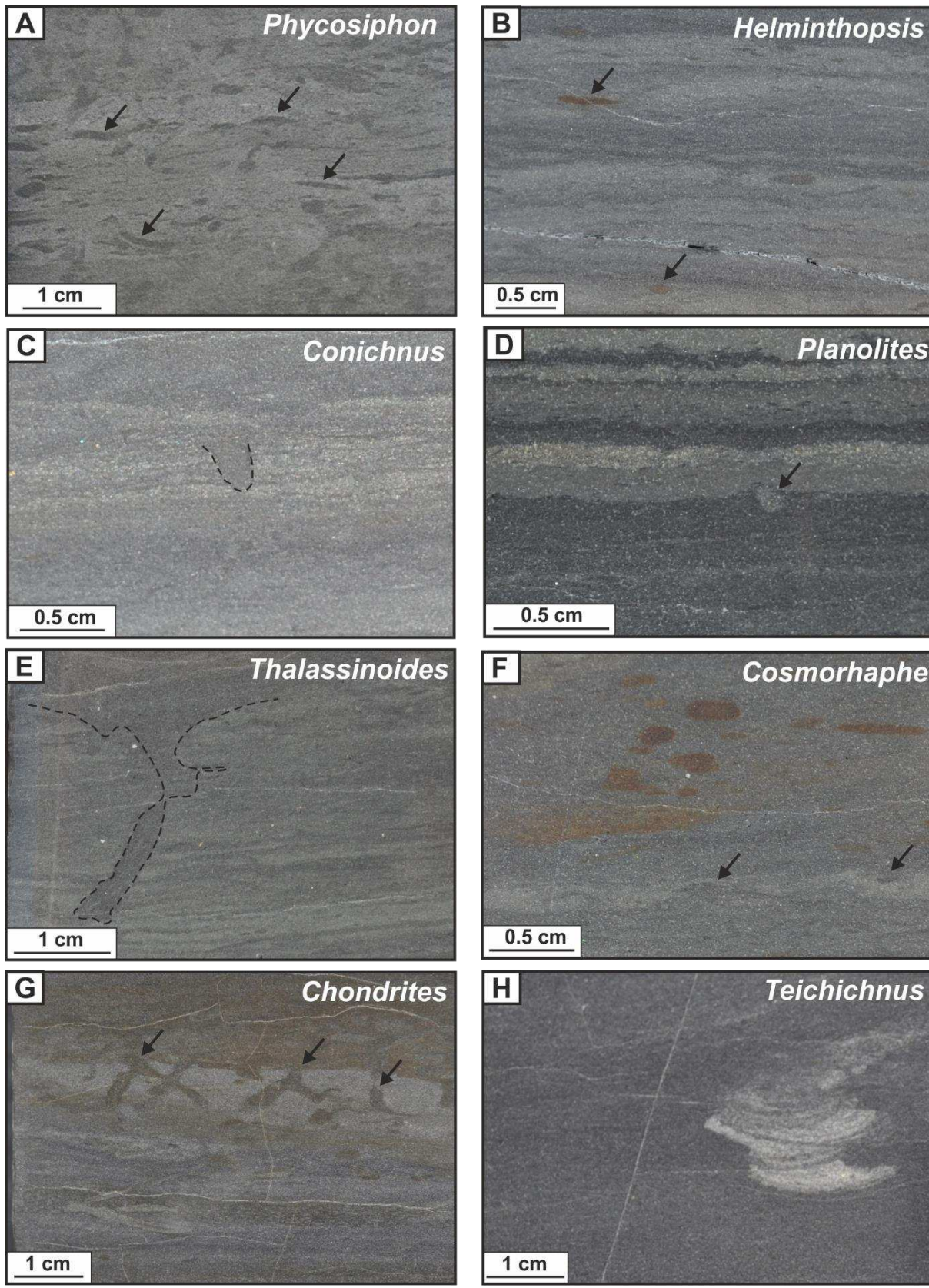
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1191 **Figure 7**



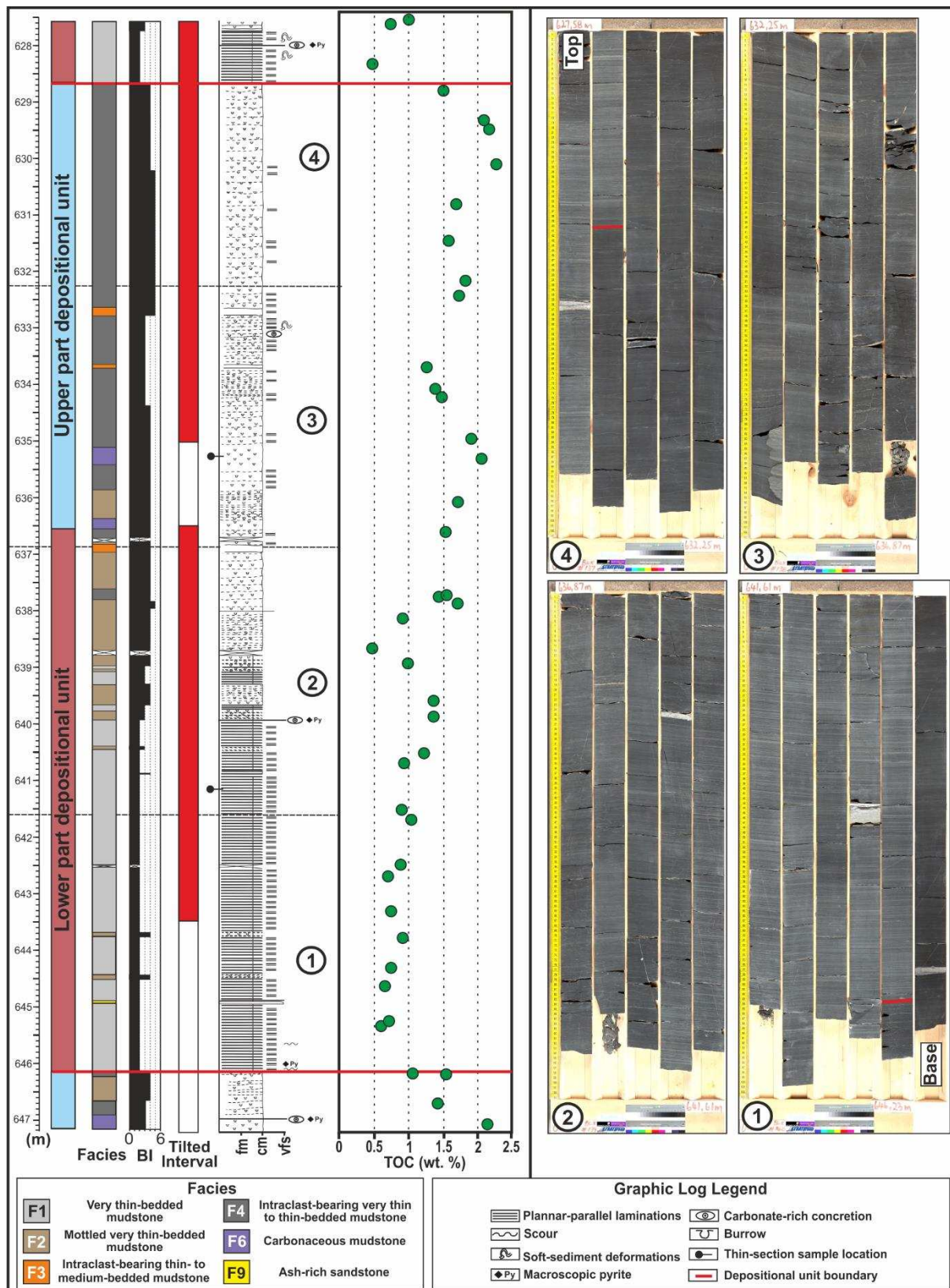
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1193 **Figure 8**



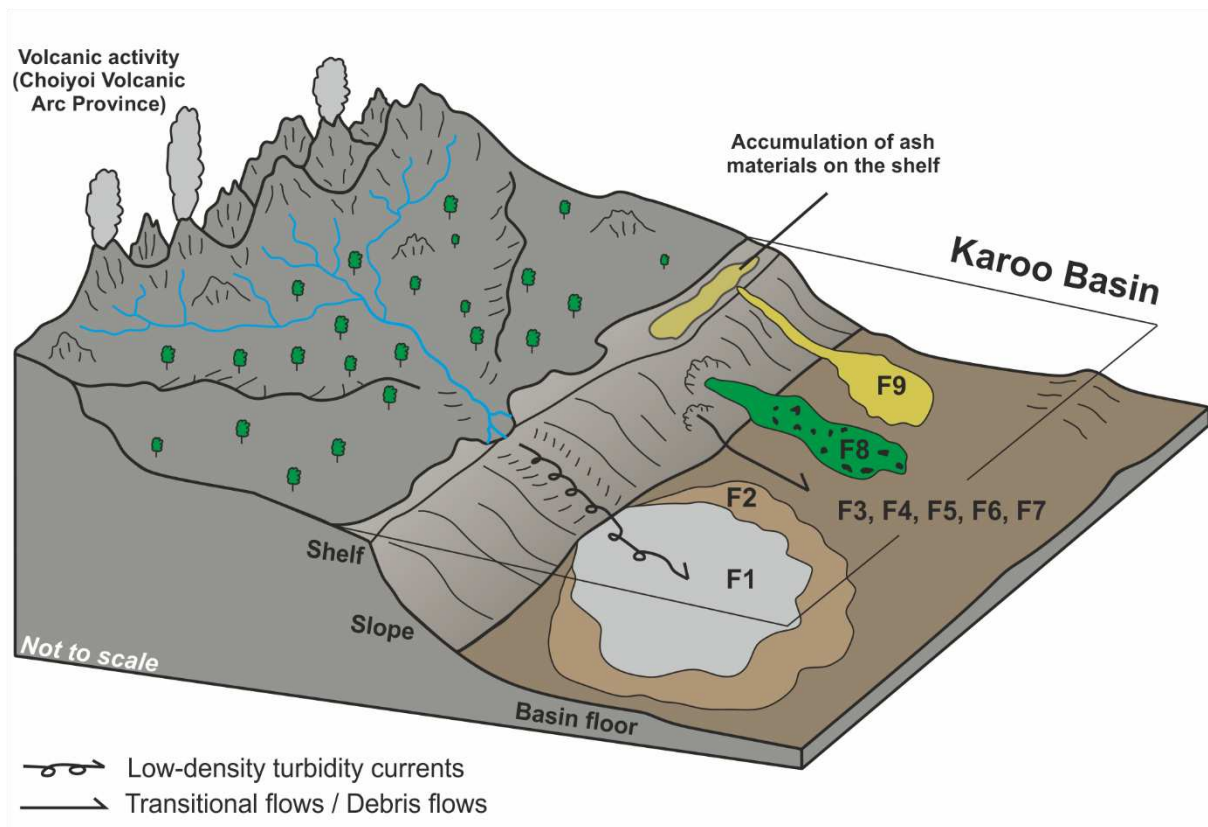
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1195 **Figure 9**



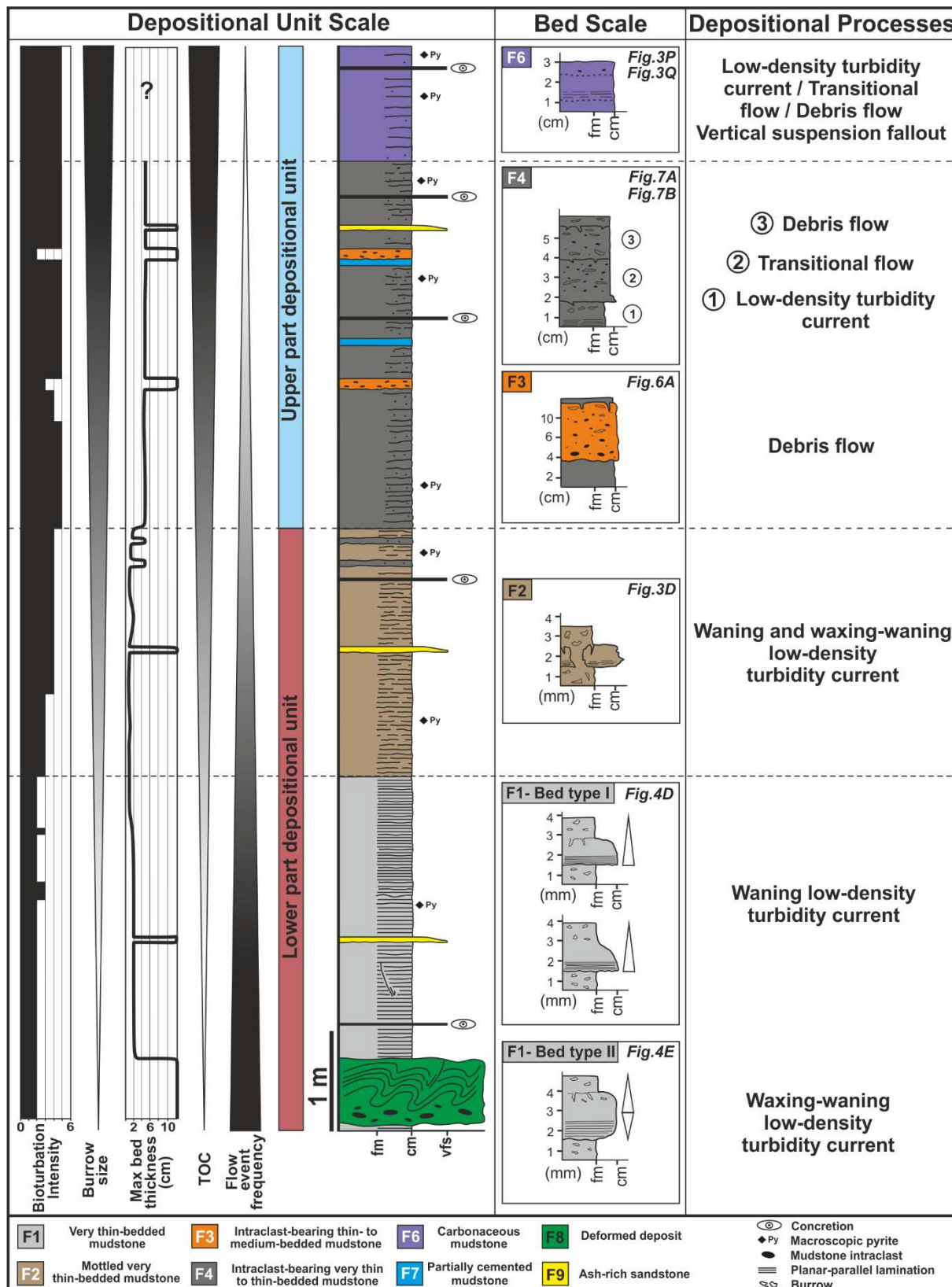
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1197 **Figure 10**



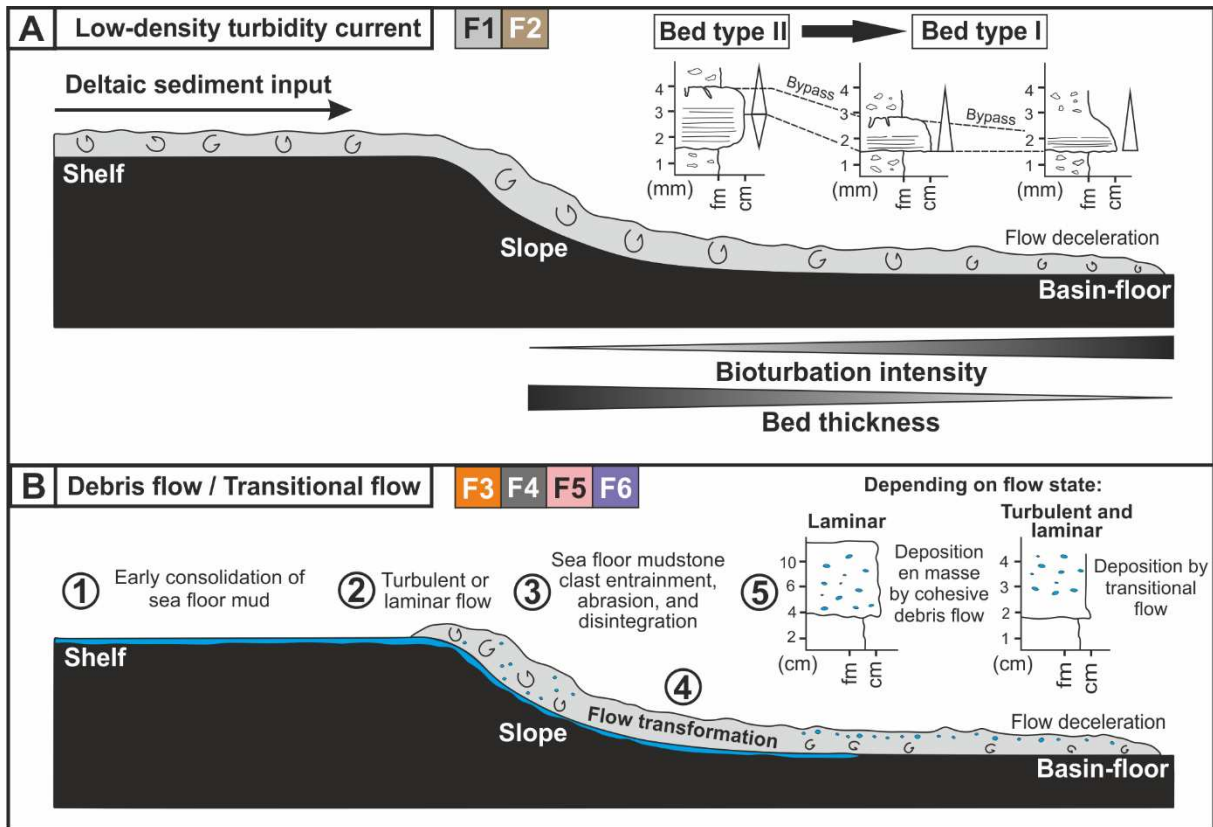
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1199 **Figure 11**



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1201 **Figure 12**



1202

1203 [Figure 13](#)